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# 1 **How (not) to recognize a mid-crustal channel from outcrop patterns**

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## 6 7 **ABSTRACT**

8 Mid-crustal channel flow has been hypothesised to be responsible both for the  
9 Greater and Lesser Himalayan Sequences (the Miocene Himalayan channel  
10 theory), and for the present east- and northward movement and extension of the  
11 Tibetan upper crust (the Tibetan middle crustal channel flow theory). As  
12 processes within the crust cannot be directly observed, various studies have  
13 attempted to validate mid-crustal channel flow by using indirect approaches,  
14 including outcrop patterns and other field data from Himalayas, Tibet, and  
15 exposed older orogenic roots. The results have been highly debated because  
16 arguments can be made that the internal structure of a channel and, therefore,  
17 the outcrop patterns of a palaeo-mid-crustal channel are not unique. This paper  
18 investigates what types of structural patterns may be produced within a mid-  
19 crustal channel, and discusses why they can be difficult, if not impossible, to  
20 distinguish from outcrop patterns produced by other mechanisms. A new  
21 example from the exposed middle crust of southern Finland is also discussed in  
22 this context. While outcrop structural patterns must indeed agree with other  
23 potential results that may infer a mid-crustal channel, the inverse is not  
24 necessarily true: one cannot infer a mid-crustal channel based on outcrop  
25 patterns alone, due to the non-unique nature of the patterns.

## 26 27 **INTRODUCTION**

28 The middle crust of the Himalayan-Tibetan system has received increasing  
29 attention since the emergence of the mid-crustal channel flow theory which  
30 postulates that the partially molten middle orogenic crust is weak enough to  
31 "flow" along a differential pressure gradient toward the minimum pressure.  
32 Lithospheric-scale mid-crustal flow or channel flow is, in the sense proposed by  
33 e.g. Bird, (1991), Clark & Royden (2000), Beaumont et al. (2001), Grujic et al.,  
34 (1996, 2006), Godin et al., (2006), essentially a mixture of Couette and Poiseuille

35 flows of partially molten material within a sub-horizontal, laterally extensive,  
36 lithospheric-scale, mid- to lower lithospheric channel (Fig. 1; Grujic et al., 2002).  
37 Channel flow has been hypothesised to be responsible for the deformation and  
38 the formation of the inverted metamorphic sequence along the Greater  
39 Himalayan Sequence GHS and the Lesser Himalayan Sequence LHS (the  
40 Miocene Himalayan extrusion channel theory; Grujic et al., 2002; Searle et al.,  
41 2006; see also e.g. Coscombe and Hand, 2000; Dasgupta et al., 2004;  
42 Anczkiewicz et al., 2014; Mottram et al., 2014 for descriptions of GHS and LHS).  
43 Channel flow has also been suggested to cause the present east- and northward  
44 movement and extension of the Tibetan upper crust (the Tibetan middle crustal  
45 channel flow theory; e.g. Royden et al., 1997 and 2008; Clark and Royden,  
46 2000). Although melts are known to weaken the rock (e.g. Rosenberg and  
47 Handy, 2005), and the middle crust is very likely to play a key role in orogenic  
48 processes such as spreading and collapse (e.g. England and Houseman, 1989;  
49 Vanderhaeghe and Teyssier, 2001a), relatively little is known of the bulk  
50 behaviour of the middle crust: how much melts and other fluids there are, how  
51 are they distributed and transported, and, most importantly, how the presence  
52 and distribution of melts and other fluids affect the bulk rheology of the middle  
53 crust at the scale of the proposed channel flow. As the properties of and  
54 processes within the orogenic middle crust cannot be directly observed, various  
55 studies have attempted to validate mid-crustal channel flow by using indirect  
56 approaches, such as numerical modelling (e.g. Royden et al., 1997; Clark and  
57 Royden, 2000; Jamieson et al., 2004 and 2006; Culshaw et al., 2009), outcrop  
58 structural patterns and other field data of deformation and metamorphic history  
59 from Himalayas, Tibet, and exposed older orogenic roots (e.g. Vanderhaeghe  
60 and Teyssier, 2001b; Grujic et al., 2002; Williams and Jiang, 2005; Hatcher and  
61 Merschat, 2006; Cagnard et al., 2006; Langille et al., 2010; Searle, 2013),  
62 analogue modelling (e.g. Harris et al., 2012), and/or geophysical studies (e.g.  
63 Unsworth et al., 2005; Bai et al., 2010; Bao et al., 2015). The results have been  
64 highly debated and many authors have examined other evidence and  
65 alternative/additional ways of explaining mid-crustal deformation and behaviour,  
66 the presence of the GHS, or the movements of the Tibetan upper crust (e.g.  
67 Whitney et al., 2004; Leloup et al., 2010; Long and McQuarrie, 2010; Chardon et  
68 al., 2011; Wang et al., 2011; Gao et al., 2013).

69 This paper examines what an exposed palaeochannel, formed by the  
70 hypothetical channel flow, might look like at outcrop, and discusses how outcrop  
71 patterns from exposed orogenic roots have been used as evidence to validate  
72 the mid-crustal channel flow theory, along with the problems with such an  
73 approach. The key questions this paper asks are: 1) what outcrop/structural  
74 patterns could be expected to result from Couette and/or Poiseuille type channel  
75 flow; and 2) are the expected patterns unique to palaeo-mid-crustal channel flow,  
76 or can the patterns be equally well or more plausibly explained by other models?  
77 The paper does not aim at being an exhaustive review of the theory of channel  
78 flow, or of all the processes, scales, and areas that can and have been studied to  
79 infer mid-crustal flow: after a brief summary of the necessary properties of mid-  
80 crust to induce channel flow, I will discuss examples of potential outcrop patterns  
81 that mid-crustal channel flow might produce, based on published field studies  
82 and a 3D numerical model of flow in viscous fluid. I will then continue with a brief  
83 overview of alternative mechanisms to produce similar outcrop patterns to those  
84 presented above. I will also present some new field results from southern Finland  
85 in this context. The paper finishes with a discussion on the relationships between  
86 the various suggested processes related to the spreading, extension, and/or  
87 escape of the middle and lower orogenic crust in general.

88

## 89 **MID-CRUSTAL CHANNEL FLOW – PHYSICAL AND GEOMETRIC** 90 **CHARACTERISTICS**

91 In this chapter, key geophysical and modelling studies inferring partially molten  
92 mid-crust on one hand, and the rheological properties of the middle crust on the  
93 other hand, are briefly summarized in order to set the background. Similarly,  
94 some evidence used to infer potential mid-crustal flow in the Himalayas and Tibet  
95 are described, although an exhaustive review is outside a scope of this paper.

96 For a review of the channel flow theory the reader is referred to e.g. Godin et  
97 al. (2006) and Grujic (2006). In short, the channel flow theory suggests that a  
98 weak, viscous layer forms in the middle crust of a hot collisional orogen, as a  
99 result of heating of and partial melting within the middle/lower crust that is  
100 suggested to drastically reduce the rheological strength of this part of the crust  
101 (e.g. Beaumont et al., 2004). According to the theory, the pressure gradient  
102 resulting from the gravitational potent energy created by lithospheric thickening

103 during the orogenesis, and possibly being enhanced by removal of material  
104 through e.g. erosion, causes large-scale lateral displacement or flow in this weak  
105 layer (Fig. 1). This flow has been envisaged to be responsible for various  
106 phenomena observed in orogens; perhaps most importantly, the Himalayan  
107 frontal high-grade metamorphic zone (envisaged to have originally formed in a  
108 mid-crustal channel and subsequently exhumed and extruded along the  
109 Himalayan front during the Miocene; e.g. Grujic et al., 2002 and 2006); and  
110 orogen-parallel spreading and collapse, such as the present north and eastward  
111 movement and extension of the Tibetan upper crust and the associated formation  
112 of N-S oriented rifts and the North Himalayan gneiss domes, i.e. metamorphic  
113 core complexes (both suggested to be at least partially caused by lateral crustal-  
114 scale flow within a weak middle crustal layer; e.g. Bird, 1991; Nelson et al., 1996;  
115 Clark and Royden, 2000; Beaumont et al., 2004; Zhang et al., 2004;  
116 Vanderhaeghe, 2009). In other words, this paper refers to “mid-crustal channel  
117 flow” as a pressure gradient –driven, crustal-scale lateral transport of viscous  
118 material by Couette-Poiseuille type flow (the contribution of each type varying in  
119 time and space), within a laterally extensive layer or channel between two  
120 rheologically stronger crustal layers that are moving (“shearing”) in opposite  
121 directions (Fig. 1; see e.g. Godin et al. 2006 for a more detailed discussion about  
122 terminology). This type of flow is, therefore, fundamentally different from other  
123 types of middle crustal deformation that are sometimes referred to as “flow”, such  
124 as doming, subhorizontal shearing, or (lateral) constrictional deformation:  
125 channel flow requires crustal-scale lateral transfer of material along the channel,  
126 i.e. necessitates a sufficiently low viscosity that is maintained at the tempo-spatial  
127 scale of a mid-crustal channel, in addition to appropriate channel thickness,  
128 pressure gradient, and relative velocity between the bounding crustal layers.

129 This paper considers the internal structure of a mid-crustal channel, but the  
130 first-order , diagnostic “boundary conditions” should be mentioned in this context  
131 (see Godin et al. (2006) and Jones et al., (2006) for a more detailed summary).  
132 These first-order, mostly field-based characteristics include a pair of broadly  
133 coeval “roof and floor” shear zones with opposing senses of shear; higher  
134 metamorphic grades, reaching anatectic PT conditions, toward the centre of the  
135 channel; upper crustal structures cannot be traced through the channel; and  
136 pervasive deformation/shearing throughout the channel with early ductile fabrics

137 at the top overprinted by increasingly brittle structures. However, as noted by  
138 Jones et al. (2006), these geometric features are characteristic for extrusion of a  
139 crustal block(s) in general and are not unique to channel flow, and they may  
140 result from other (tectonic) driving mechanisms some of which may be fairly local  
141 in nature and unrelated to the formation of a mid-crustal channel at depth. In  
142 other words, extrusion may operate independently and the observed geometries  
143 may, therefore, be unrelated to channel flow at depth.

144 In addition to the above patterns, various more ambiguous features have been  
145 suggested for the identification of palaeo-mid-crustal channel. For example,  
146 although the entire channel is by necessity pervasively deformed/sheared, the  
147 strain distribution is likely to vary due to heterogeneous distribution of strain  
148 (depending on the scale of observation) and possibly various deformation  
149 phases, resulting in discrete deformation planes (shear zones) distributed  
150 throughout the channel or even just close to the channel margins (e.g. Grujic et  
151 al., 2002; Beaumont et al., 2004). The vorticity and the strain type of the flow is  
152 predicted to be complex but, overall, the vorticity is likely to decrease toward the  
153 centre of the channel; while the strain type may be either simple shear or general  
154 shear, the overall simple shear component is likely to increase toward the  
155 channel margins whilst the centre of the channel deforms mainly by pure shear  
156 (e.g. Grasemann et al., 1999; Grujic, 2006; Larson and Godin, 2009). An active  
157 channel is predicted to be 10-20 km thick in the Tibetan-Himalayan system,  
158 although, more generally speaking, narrower channels are possible with an  
159 appropriate combination of the key parameters of viscosity, pressure gradient,  
160 and bounding layer velocities (e.g. Grujic et al., 1996 and 2006; Clark and  
161 Royden, 2000; Beaumont et al., 2004).

162

### 163 **Properties of the (Himalayan-Tibetan) middle crust**

164 Typical modern geothermal gradients of c. 20-30°C/km cannot generate large-  
165 scale partial melting in a stable, undefining continental crust of an average  
166 thickness of about 35 km (e.g. Petford et al., 2000). Multiple studies show that  
167 tectonic perturbation of geotherms and radioactive heat production is needed to  
168 induce partial melting, such as happens within orogens (e.g. Thompson and  
169 Connolly, 1995; Jamieson et al., 1998). In addition, melting generally requires  
170 presence of water (extracted from prograde dehydration reactions) to facilitate

171 melting, producing at most 25% granitic melt from mica-rich pelitic protoliths in  
172 the presence of free water (e.g. Thompson and Connolly, 1995). Extensive field  
173 evidence from exposed, migmatitic orogenic roots around the world attest that it  
174 is probably not unusual for large volumes of partial melts to form in the middle  
175 crust of large orogens.

176 Many geophysical studies from Tibet suggest that significant quantities of melts  
177 and/or other fluids are currently present within the mid-crustal zone, below c. 15-  
178 20 km depth, although how much actual melts (vs. other fluids) there are remains  
179 an open question. A non-exhaustive list of examples is presented here.  
180 Francheteau et al. (1984) conducted heat flow measurements in southern Tibet,  
181 and interpret the measured high heat flows to indicate recent emplacement of  
182 plutonic bodies at depths of maximum 25 km. Makovsky and Klemperer (1999)  
183 use three-component wide-angle seismic data from the INDEPTH project to  
184 investigate the strengths of P-to-S converted reflections from aligned reflectors at  
185 c. 15 km depth in southern Tibet. They conclude that a solid-fluid interface is  
186 present at this depth, likely formed by either granitic magma or brine. Kind et al.  
187 (1996) examine data from INDEPTH-II passive source experiment and conclude  
188 that a mid-crustal low-velocity zone, interpreted as a partially molten layer, is  
189 revealed in southern Tibet by inversion of receiver functions, Rayleigh-wave  
190 phase velocities, and modelling of the radial component of teleseismic P-  
191 waveforms; however, such layer is not observed farther south beneath the  
192 Tethyan Himalaya. Nelson et al. (1996) also discuss the INDEPTH-II results to  
193 infer that a mid-crustal layer of partial melt exists at least in southern Tibet, but  
194 that the thickness and the lateral extent of the layer is unknown; the top of the  
195 layer at c. 15-20 km depth is probably complex and transitional, and likely  
196 coincides regionally with the wet granite solidus, consistent with the elevated  
197 heat flow in southern Tibet. They further suggest that the partial melt layer acts  
198 as a decoupling layer and accommodated formation of the south Tibetan core  
199 complexes by “lateral mid-crustal flow” (however, not defining what “flow” means  
200 in this context), and that a widely developed mid-crustal partial melt layer would  
201 account for e.g. the relatively flat topography of Tibet by decoupling the upper  
202 crust from the lower crust/upper mantle. Alsdorf et al. (1998) also use INDEPTH  
203 data, and interpret deep seismic reflection profiles to infer a partially molten,  
204 deformed layer below the Lhasa terrane, at depth of c. 12-18 km. They do not

205 discuss the results in terms of mid-crustal flow, but do state that the shortening  
206 has been accompanied by melting of the middle crust and that, consequently, the  
207 weak middle crust accommodated much of the deformation. Unsworth et al.  
208 (2005) construct resistivity models from magnetotelluric data and interpret the  
209 low resistivity layer beneath Tibet to represent a zone of high fluid content.

210 Many of the above studies do not specifically conclude the existence of channel  
211 flow from the results, but the growing popularity of the mid-crustal channel flow  
212 theory in the past two decades has increasingly led to interpretation of many  
213 geophysical results from Himalayas and Tibet to specifically infer mid-crustal  
214 channel flow. For example, Chen et al. (2014) use the fan wavelet coherence  
215 method to estimate the variations in the total elastic thickness and anisotropy of  
216 the lithosphere in SE Tibet. They conclude that at least in SE Tibet, the whole  
217 lithosphere is weak and mechanically anisotropic, which they suggest to imply  
218 continuous deformation and, possibly, crustal flow. Another example is Bao et al.  
219 (2015) who use Rayleigh wave dispersion and receiver function analyses to  
220 image two low-velocity zones in SE Tibet, interpreting these as discrete mid-  
221 crustal flow channels that facilitate the clockwise rotation of crustal material in  
222 that region. Klemperer (2006) summarizes geophysical and geothermal data and  
223 literature from Himalayan-Tibetan system to infer that Poiseuille-type flow is  
224 occurring throughout much of southern Tibet.

225 The presence of melts and other fluids in the present Tibetan middle crust is, all  
226 in all, undisputable. The critical question is whether the partially molten crust  
227 capable of flowing *en masse* in the manner required by the mid-crustal channel  
228 flow theory? For the mid-crustal channel flow to operate, the melting needs to  
229 take place at the length and width scales of the theoretical mid-crustal channel(s)  
230 (thickness a few to c. 20 km, length in the order of 100 km). In addition, those  
231 melts need to be fairly homogeneously distributed *and* survive at time scales  
232 necessary for significant lateral transport of material to occur (in the order of a  
233 few Ma). The melt fraction, melt distribution, and melt longevity at the tempo-  
234 spatial scale of a mid-crustal channel are, in other words, the first-order controls  
235 on the bulk rheology and mechanical behaviour of the orogenic mid-crust,  
236 although the rheology also depends on many other factors (e.g. rock  
237 permeability, chemical composition of the phases, grain sizes of the solid phase,  
238 density of the melt, metamorphic reactions during melting, ambient temperature,

239 presence of a volatile phase, pore fluid pressure of melt and other fluids, strain  
240 rate and differential stresses; e.g. Berger and Kalt, 1999; Renner et al., 2000). It  
241 is crucial for the mid-crustal channel flow theory that not only the larger  
242 accumulated melt volumes (sheets and plutons) but also the relatively small,  
243 fairly homogeneously distributed melt volumes (observed as migmatitic  
244 leucosomes at outcrop) remain as melts for sufficiently long time scales for the  
245 channel flow to operate. However, the longevity, volume, and spatial distribution  
246 of the melts at a channel scale (both in time and space) are still relatively poorly  
247 understood.

248 Various experimental studies exist on the rheology of partially molten rock,  
249 mostly with respect to upper mantle rheology, but also on granitic rocks and  
250 metapelites (e.g. Arzi, 1978; Kohlstedt, 1992; Vigneresse et al., 1996; Rosenberg  
251 and Handy, 2005; Rutter et al, 2006; Hashim et al., 2013). Also the mechanisms  
252 and consequences of melt extraction and segregation, and the effect of  
253 deformation and stress on melt extraction and on rheology, have attracted much  
254 attention (e.g. Kriegsman, 2001; Holtzman et al., 2003; Katz et al., 2006;  
255 Holtzman and Kohlstedt, 2007; Menegon et al., 2011). The extrapolation of the  
256 experiments and models to crustal scale is problematic. Field data suggest that  
257 partial melts tend to migrate and accumulate into plutons, dykes, and sheets  
258 rather than being relatively homogeneously distributed throughout the mid-crust,  
259 especially where the partially molten crust is undergoing active deformation (e.g.  
260 Holtzman et al., 2003; Bons et al., 2008; Diener et al., 2014). On the other hand,  
261 the common occurrence of migmatitic rocks in exposed orogenic middle crust  
262 suggests that not all melts are transported from their source and accumulated  
263 into larger bodies. All this means that, in terms of mid-crustal flow, the properties  
264 and behaviour of partially molten rocks at depth and at the scales of a mid-crustal  
265 channel are debated and the bulk viscosity estimations vary, often depending on  
266 which observation scale, modelling approach and/or flow law is used (e.g. Hilley  
267 et al., 2005).

268 It is undisputed that melts do weaken the rock. Two main rheological thresholds  
269 are found in partially molten, originally solid rocks. The first significant rheological  
270 threshold in deforming rocks containing melt is the 'melt connectivity transition',  
271 MCT, which occurs at a melt fraction ( $\Phi$ ) of ~5-8% (e.g. Vigneresse et al., 1996;

272 Rosenberg and Handy, 2005; although it should be noted here that solid rocks  
273 are weakened already from 400-600 °C, prior to actual melting; e.g. Sygala et al.,  
274 2013). The next significant threshold is the ‘solid-to-liquid transition’, SLT, or  
275 ‘rheologically critical melt percentage’, where the solid (crystal) framework breaks  
276 down and the aggregate becomes entirely melt-supported, which occurs at  $\Phi$   
277 ~20-50%: the suggested SLT is highly variable depending on e.g. the  
278 modelling/experimental approach and technique, especially in terms of using a  
279 volatile phase to assist melting; whether the experiment/modelling is considering  
280 rocks crystallising out of magma rather than partially melting, originally solid  
281 rocks, as the original melt distribution in both cases are very different; and  
282 whether or not partial melt segregation due to e.g. active deformation is efficient,  
283 so that melt accumulates into lenses, pockets and/or layers of variable sizes (e.g.  
284 Arzi, 1978; Van der Molen and Paterson, 1979; Vigneresse et al., 1996;  
285 Holtzman et al., 2003; Hier-Majunder et al., 2006; Rosenberg and Handy, 2005;  
286 Rutter et al., 2006). The strength drop at SLT is about four to five orders of  
287 magnitude (e.g. Arzi, 1978); however, Rosenberg and Handy (2005) argue that  
288 the reduction of the bulk rheology at MCT is actually more significant than that at  
289 the SLT, because the absolute drop in the bulk rock strength is more significant  
290 at MCT. In their study, the bulk strength drops significantly by  $\Phi$  of ~5-7% with  
291 respect to the maximum shear strength of the continental crust, by about 600  
292 MPa (i.e. up to 90% of the original); in contrast, the absolute strength loss at SLT  
293 is in the order of <1 MPa.

294 Despite the fact that melting significantly reduces bulk rock strength, the key  
295 questions with respect to mid-crustal flow models remain unanswered: i) what  
296 are the larger-scale rheological effects of (small) melt fractions and what does  
297 the melt distribution need to be with respect to the other channel flow parameters  
298 (channel thickness, pressure gradient, and relative velocities) to enable flow; ii)  
299 how reliably can the rheological properties obtained from laboratory experiments  
300 and models be extrapolated to natural conditions at a scale of a mid-crustal  
301 partially molten layer; and iii) whether the necessary strength values/melt  
302 fractions and distributions can be maintained at the temporal and spatial scales  
303 of orogenic deformation. Part of the problem is the constraints of laboratory  
304 techniques to reproduce the large spatial and temporal scales and slow strain

305 rates of orogenic processes (e.g. Paterson, 1987), and that no reliable flow law  
306 exists to extrapolate the experimental data and observed rheological properties  
307 to orogenic scales. There is also insufficient information about the behaviour of  
308 partially molten rocks at melt fractions below the SLT. It has been estimated that  
309 a *solid* mid-crustal rock typically shows non-Newtonian (power-law), plastic to  
310 viscous-plastic behaviours at geologically characteristic strain rates (in the order  
311 of  $10^{-14} \text{ s}^{-1}$ ; e.g. Weijermars and Schmeling, 1986; Bürgmann and Dresen, 2008).  
312 Complete silicate melts and partial melts with melt fractions well above SLT, i.e.  
313 melt supported aggregates, are generally considered to show viscous,  
314 approximately linear Newtonian behaviour (e.g. Van der Molen and Paterson,  
315 1979; Kohlstedt et al., 1995; Renner et al., 2000), although indications exist that  
316 at least some silicate melts can behave in a non-Newtonian manner in certain  
317 geologically realistic but high strain rates (e.g. Dingwell and Webb, 1989). The  
318 non-Newtonian behaviour becomes increasingly dominant even at lower strain  
319 rates as crystallinity increases, and it has been suggested that non-Newtonian  
320 behaviour becomes the norm below  $\Phi \sim 50\%$  as the rheology and the mechanical  
321 behaviour becomes controlled by the solid phase (e.g. Stevenson et al., 1996;  
322 Dell'Angelo & Tullis, 1998; Rutter et al., 2006; Caricchi et al., 2007; Lavallée et  
323 al., 2007). This also has implications to the flow geometry: for Poiseuille flow, a  
324 non-Newtonian material would exhibit a more rigid channel core (a "plug") with  
325 more intensely deformed channel walls than would a Newtonian material (e.g.  
326 Grujic, 2006).

327 Despite the difficulties, many attempts have been made to quantify the bulk  
328 viscosity necessary for mid-crustal flow, and to estimate whether those  
329 viscosities can be realistically achieved within the orogenic crust. The necessary  
330 bulk effective viscosity of the middle crust to induce flow has been considered to  
331 be  $10^{19} \text{ Pa}\cdot\text{s}$  or less (e.g. Beaumont et al., 2004), although it should be noted that  
332 this value is parameter-dependent: Beaumont et al. (2004) use channel  
333 parameters approximately corresponding to those in the Himalayan-Tibetan  
334 system. Most estimates of the *in situ* middle crustal viscosity vary from  $10^{19} \text{ Pa}\cdot\text{s}$   
335 (e.g. Block and Royden, 1990) to  $10^{18} \text{ Pa}\cdot\text{s}$  or less in regions of high heat flow  
336 (Bailey, 2001), to as low as  $10^{16} \text{ Pa}\cdot\text{s}$  for "wet" quartz-rich deep crust (Wang et  
337 al., 1994). Whether any of these values can be realistically maintained at the

338 tempo-spatial scales of an orogenic channel, is debated: e.g. Beaumont et al.  
339 (2004) only qualitatively state that a “small *in situ* component of partial melt” or  
340 “other processes” should suffice to gain the necessary viscosity for their channel  
341 parameters (set to correspond to the Himalayan-Tibetan system). Furthermore, it  
342 is very likely that the bulk rheology and other properties and, therefore, the  
343 mechanical behaviour of the mid-crust change significantly in space and time  
344 during the various stages of partial melting and orogenic deformation (e.g. Berger  
345 and Kalt, 1999). All in all, modelling mid-crustal flow is obviously a very  
346 complicated matter, and as long as the models and calculations make several  
347 assumptions that remain unproven, the results will continue to be debated.

348

### 349 **WHAT MIGHT A PALAEOCHANNEL LOOK LIKE?**

350 Various studies of exposed orogenic middle crust have been carried out to  
351 infer that mid-crustal channel flow once operated in those orogens. Outcrop  
352 studies attempting to address channel flow are challenging from the outset,  
353 especially in shield areas because their typically flat topography means that the  
354 outcrop patterns are rarely 3D to any significant degree. In other words, a cross  
355 section view is usually effectively missing. In addition, even the advocates for the  
356 mid-channel crustal flow agree that the flow and, therefore, the resulting patterns  
357 will be more complicated than a simple Couette-Poiseuille scenario would  
358 expect, due to the variations in the flow type in time and space and to the  
359 rheological/lithological, structural, thermal, and other heterogeneities of the  
360 lithosphere; however, the complexity does not in itself present an argument  
361 against channel flow (e.g. Beaumont et al., 2004). Any resulting outcrop patterns  
362 cannot be expected to be nicely organised to reflect the flow. However, if flow  
363 occurred, the resulting patterns have to reflect that flow, although it is important  
364 to keep in mind that the inverse is not necessarily true, i.e. the channel flow  
365 might not be the only process that can explain the observed patterns. Below, I  
366 will discuss this statement in the light of field studies and 3D numerical modelling.

367

### 368 **Numerical modelling of channel flow**

369 Various 2D numerical models exist specifically for mid-crustal channel flow  
370 (e.g. Jamieson et al., 2011; Rey et al., 2011). Unfortunately, 3D numerical  
371 models do not yet exist for combined Couette-Poiseuille flow, which is the

372 suggested flow mechanism within a mid-crustal channel. Pure Couette channel  
373 flow 3D models in viscous fluid do exist, and although they do not directly  
374 represent the mid-crustal channel flow where Poiseuille flow is a significant  
375 contributor, I will discuss Couette flow models here simply in order to  
376 demonstrate that various 3D geometries can result from even such a basic flow.

377 Gibson et al. (2009) investigate planar Couette flow patterns in a fairly high-  
378 aspect ratio channel (x:y:z = 8:1:8; for mid-crustal channels, the aspect ratios are  
379 likely to be even larger), of a fluid with a random initial internal organisation and  
380 relatively low Reynolds number of  $Re = 400$  (Fig. 2; see also  
381 [www.channelflow.org](http://www.channelflow.org) for videos of the flow models). The flow models show cyclic  
382 behaviour and that significant geometric changes can be expected in the flow  
383 patterns through the life span of the flow. The Reynolds number (Reynolds,  
384 1883) gives the ratio between the inertial forces and viscous forces in a fluid,  
385 therefore quantifying which force is dominant and helping to assess the flow type.  
386 A  $Re$  of  $>2000$  is normally needed for turbulent flow, for example, whereas  $Re <$   
387  $2000$  is normally dominantly laminar flow in low-aspect ratio channel ("pipe  
388 flow"); however, the flow type is highly dependent on factors such as the aspect  
389 ratio of the channel, or the channel wall roughness (Gibson et al. 2009 and  
390 references therein). The low  $Re = 400$  in the Gibson et al. (2009) models is  
391 considered to be just below the turbulence threshold in their models with high  
392 aspect ratios.  $Re = 400$  is an expression of a low-inertia, highly viscous fluid, but  
393 it is probably still too high for partially molten rocks (migmatites) as shown by a  
394 simplified calculation:

395

$$396 \quad Re = \text{inertia/viscosity} = (D * d * v) / \mu$$

397

398 where  $D =$  Density,  $d =$  diameter of the channel,  $v =$  velocity of the flow, and  $\mu$   
399  $=$  dynamic viscosity.

400 Geologically realistic parameters of  $D = 2800 \text{ kg/m}^3$ ,  $d = 134000 \text{ m}$  (for a  
401 channel of e.g.  $10 \times 200 \text{ km}$ , i.e. circumference  $c = 420 \text{ km}$ , giving an average  $d$   
402  $= 2(c/2\pi)$ , although the diameter of a high aspect ratio channel varies a lot),  $v =$   
403  $6.34 \times 10^{-10} \text{ m/s}$  (i.e.  $2 \text{ cm/year}$ ), and  $\mu = 10^{19} \text{ Pa}\cdot\text{s}$  would give an extremely low  $Re$   
404  $= 2.4 \times 10^{-20}$ . Even changing the parameters drastically (but within geologically  
405 realistic boundaries) would not bring  $Re$  much higher, not even close to unity.

406 The very low inertia (expressed by the very low  $Re$ ) for migmatites would  
407 effectively rule out any turbulence in the instantaneous flow pattern. The finite  
408 strain patterns that form during the long geological time scales at which the  
409 channel flow would operate are, therefore, a product of progressive deformation  
410 and the internal/local stress field variations within the channel rather than  
411 turbulence. The Gibson et al. (2009) models are, therefore, not used here as  
412 analogues for mid-crustal channel flow: they are only used to give a very  
413 simplified example of how different outcrop patterns may form under the same  
414 flow parameters, ahead of discussing actual outcrop patterns in the next chapter.

415 Figure 3 presents a simple thought exercise of the potential effect of Couette  
416 flow on initially horizontal vs. moderately (c.  $35^\circ$  towards east) tilted  
417 layers/foliation with respect to a horizontal upper and lower rigid plates on both  
418 sides of the channel in Figure 2. The implied structural geometries are purely  
419 based on the visual, qualitative estimation of how the relative orientation and  
420 magnitude ("force") of the flow in any given location within the modelled channel  
421 would affect the layers. The estimation assumes that the entire package behaves  
422 rheologically fairly homogeneously (except strain partitioning into shear zones)  
423 and responds to the modelled flow paths in a manner of approximately coherent  
424 viscous material. Note that the "shear zones" in the structural models are not  
425 likely to be discrete fault or shear planes, but diffuse zones of more intense  
426 deformation/higher strain rates.

427 The two simple thought exercises ignore important factors such as rheological  
428 heterogeneity within the channel and the development of any secondary foliation  
429 during flow, but demonstrate that already the simple factor of the pre-flow  
430 geometry of the layers/foliation has a significant impact on the resultant  
431 geometries. In structural model 1 (Fig. 3A), the originally sub-horizontal  
432 layers/foliation might produce dome-and-basin geometric patterns at outcrop,  
433 with the long axes of the domes approximately parallel to the direction of the  
434 flow, reflecting the "stream-wise streaks and rolls" of the flow that are in the  
435 model caused by the variations in the local flow directions and strengths (similar  
436 patterns, i.e. (elongate) domes in real rocks are probably not caused by the same  
437 process because any measure of turbulence is unlikely as discussed above;  
438 domes in real rocks usually result from local stress field and strain/flow type  
439 variations induced by the rheological differences and interactions between middle

440 and upper crust; see the discussion chapter). The "east-west" fold axes in this  
441 model are doubly plunging. Additional folds may develop at high angles to the  
442 flow direction where local flow orientations converge, in which case some  
443 sheath/overtaken folds with "north-south" fold axes might be expected (Fig. 3A,  
444 inset). The stretching and crenulation lineation trajectories are here assumed to  
445 mostly develop in the fold hinge orientations, and would, therefore, be gently  
446 plunging both E and W in the case of model 1. Additional stretching lineations  
447 would develop along the shear zones displacement vectors where the lineation  
448 plunges might be steeper depending on the shear zone kinematics, and possibly  
449 along the secondary "north-south" fold hinges as well (Fig. 3A; see also Chardon  
450 et al. (2009) for predicted foliation and lineation patterns for horizontal flow).

451 Model 2, where the geological layering/foliation is considered to have a pre-  
452 flow dip of c. 30-35° toward the upper plate motion direction ("east"), shows quite  
453 a different structural geometries compared to model 1 (Fig. 3B). The produced  
454 folds have moderately to fairly steeply "eastward" dipping axes and sub-vertical,  
455 "east-west" striking axial planes. In addition, another fold orientation would seem  
456 to develop, with fold axes that are highly oblique to the transport direction (i.e.  
457 "north-south") and "east"-verging to sub-horizontal axial planes; these can  
458 presumably develop into sheath folds as the flow progresses (Fig. 3B, inset). The  
459 stretching and crenulation lineations trajectories dominantly follow the "eastward"  
460 plunging fold axes and the shear zones displacement vectors and can be  
461 moderately to fairly steeply plunging, although some lineations could well form  
462 along the "north-south" fold axes as well (Fig. 3B).

463 Mineral lineations of elongate minerals might be also expected within the rock  
464 volume as minerals rotate towards the x-axis of the local strain ellipsoid. These  
465 lineations would probably vary significantly in both models, depending on the  
466 relative motion of the flow (i.e. the local orientation of the strain ellipsoid) in a  
467 particular location. The wavelengths of the major folds are in the order of c. 10  
468 km, mostly reflecting the spatial distribution of the "disturbances" in this model.  
469 The shear zones are mostly flow-parallel to slightly oblique (forming where  
470 internal flows in opposite directions move "past" each other) and can show a  
471 variety of displacement styles from dip-slip, to oblique-reverse or oblique-normal,  
472 to strike-slip. The shear zones do not seem to develop a consistent conjugate  
473 pattern as would be expected for a stationary, Andersonian stress field: the

474 rotational component caused by the relative shearing of the upper and lower  
475 plates seems to favour flow-parallel shear zones with variable kinematics  
476 instead. The locations and kinematics of the shear zones are estimated purely  
477 from the relative 3D flow directions in the model and are, therefore, the same for  
478 both models.

479 This thought exercise is rudimentary, but its aim is to illustrate how even a  
480 simple change in the initial geometries with respect to the channel boundaries  
481 results in very different outcrop patterns for structures within a crustal channel.  
482 Therefore, there is no single "typical" outcrop pattern of internal channel  
483 structures, which means, inversely, that outcrop patterns alone probably cannot  
484 used as an evidence for channel flow. The final channel-internal structural  
485 patterns would, naturally, be further complicated by continued shearing and flow,  
486 and by the presence of significant pre-existing lithological (rheological) and  
487 structural heterogeneities. Furthermore, as elaborated by e.g. Miller et al. (2006),  
488 outcrop structures such lineation, foliation, and asymmetric fabrics can record  
489 boundary conditions reflecting orogen-scale flow, local heterogeneous  
490 deformation and strain partitioning, or a combination of these and can also  
491 change through time as the orogen evolves. The difficulty in defining a "typical"  
492 internal structure for a mid-crustal channel is further illustrated by the examples  
493 presented in the next chapters.

494

#### 495 **Examples from outcrop studies**

496 Several conditions need to be met for outcrop studies and field data looking at  
497 potential mid-crustal flow patterns. Firstly, the scale of observation needs to be  
498 large enough to account for the scale of the putative palaeochannel. The mid-  
499 crustal channel theory expects that any mid-crustal channel should be several  
500 km in thickness (estimated 10-20 km in the Tibetan-Himalayan system; e.g. Clark  
501 and Royden, 2000; Beaumont et al., 2004), and possess a lateral width along the  
502 orogenic strike of at least a couple of hundreds of km, potentially significantly  
503 more. In practice this probably means a data collection from a field area of  
504 preferably at least several hundreds of km<sup>2</sup>. Secondly, the overall kinematics,  
505 geometries, and other data should agree with the expected first-order  
506 characteristics suggested for a mid-crustal channel (such as the coeval  
507 movement on shear zones with opposite kinematics bounding the channel; e.g.

508 Godin et al., 2006). In outcrop studies of high metamorphic grade rocks, the syn-  
509 peak temperature kinematics are not usually easily constrained because  
510 recrystallisation processes operate very efficiently at high temperatures, often  
511 destroying any obvious fabric asymmetries, although microanalytical methods  
512 might reveal the original grain shapes in ideal circumstances (e.g. Jessell et al.,  
513 2003). Retrograde, post-peak temperature deformation fabrics may or may not  
514 result from the same stress field as the syn-peak deformation. Stretching,  
515 crenulation, and mineral lineations together with (large-scale) folding patterns of  
516 especially asymmetric folds probably provide the most reliable kinematic  
517 controls. Stretching lineations might be strongest in shear zones and along fold  
518 hinge lines, especially if the folds are shear or sheath folds. However, it should  
519 be noted here that the stretching lineations will also be easily affected by  
520 recrystallisation processes, and that purely geometric consideration of structural  
521 asymmetries can also be risky because strain partitioning at various scales lead  
522 to non-uniqueness of many asymmetric structures (e.g. Carreras et al., 2013).  
523 Foliation patterns are also often useful for determining local deformation  
524 kinematics but, again, the final large-scale foliation patterns might be highly  
525 affected by strain partitioning, and by their pre-deformation geometries, as was  
526 also seen in the thought exercise in Fig. 3.

527 Examples of outcrop patterns suggested to have formed by mid-crustal  
528 channel flow, as defined in this paper, are presented in Fig. 4, and others that  
529 show similar outcrop patterns but are interpreted to have formed by other  
530 tectonic mechanisms are shown in Fig. 5. In terms of examples of pro-flow  
531 studies, Hatcher & Merschat (2006; Fig. 4A) suggest a “tectonically forced  
532 orogenic strike-parallel channel” in the Appalachians, based largely on the  
533 foliation and lineation patterns observed on a large area. Cagnard et al. (2006)  
534 similarly use regional patterns of migmatitic and syn-orogenic granitoid foliation  
535 and stretching lineations to infer mid-crustal channel flow in the  
536 Palaeoproterozoic Svecofennides in Finland (Fig. 4B). A third example comes  
537 from Trans-North China Orogen, where Trap et al. (2011; Fig 4C) suggest mostly  
538 lateral channel flow, again based mainly on foliation and lineation patterns  
539 (although they also suggest some diapirism took place with uprising of low  
540 density partially-molten and magmatic rocks). There are many similarities in all  
541 examples, such as all (migmatitic) foliations being mostly gently to moderately

542 dipping. However, striking differences exist. The outcrop patterns are quite  
543 different in terms of the relationships between the observed foliations and  
544 lineations. In the Appalachian example (Fig. 4A), the stretching lineations define  
545 an arcuate pattern and are shallowly plunging, while the mostly moderately  
546 dipping foliations are lobate/irregular (except within the Brevard fault zone) but  
547 dip mostly toward the SE. In southern Finland (Fig. 4B) the regional lineation  
548 pattern is fairly straight although some scattering is indicated in the stereographic  
549 projections, the lineations are shallow to steeply plunging in opposite directions,  
550 while the dominantly moderately dipping foliations define dome- or lens-like  
551 features (except in shear zones where both foliations and lineations are steeper;  
552 see also e.g. Ehlers et al. 1993, for the regional migmatitic foliation patterns). In  
553 Trans-North China Orogen (Fig. 4C), the lineations are also of constant  
554 orientation to somewhat scattered but shallowly to moderately dipping  
555 throughout; the foliation traces define km-scale folds on the map and in  
556 stereographic projections for S2 (the inferred fold axes of which are  
557 approximately parallel with the majority of the lineations); and the cross-section  
558 shows that the folds are related to dome-like features at depth, with a normal-  
559 sense shear zone at its northern flank. The interpretations also vary, from flow in  
560 an orogen-frontal (Himalayan) type of a mid-crustal channel in Fig. 4A; to East  
561 Tibetan mid-crustal type of lateral (here westward) channel flow in Fig. 4B; to  
562 both E and W directed channel flow (but with interpreted overall eastward  
563 extrusion of the middle crust) in Fig. 4C.

564 Similar structural (foliation/fold) patterns to those presented in Fig. 4, but  
565 interpreted by the authors to *not* represent channel flow in the ductile middle and  
566 lower crust, are shown in Fig. 5. The type of orogen in some of these examples is  
567 different from the Tibetan-Himalayan system, but the point here is to show  
568 examples of how the patterns in Fig. 4 might be formed in alternative ways. In  
569 Fig. 5A (Ridley, 1982), although the studied exposure is at a smaller scale than  
570 Fig. 4A, the patterns are similar when extrapolated to the scale of Fig. 4A:  
571 arcuate stretching lineations and variable foliation trends. However, here the  
572 patterns are interpreted to have formed due to thrust displacement of a ductile  
573 lower crustal sheet during subhorizontal shearing rather than channel flow, so  
574 that the lineations and fold hinge lines are rotated toward the edge of the thrust  
575 sheet during progressive deformation. In Fig. 5B (Chardon et al., 2009, 2011),

576 the gently plunging lineation trends are fairly straight, and the gently to  
577 moderately dipping foliations define dome- or lens-like structures, similarly to Fig.  
578 4B. The pattern is explained by “lateral constrictional flow” (LCF), rather than  
579 channel flow: in the LCF model, the orogen-parallel, syn-convergence escape is  
580 facilitated by a network of shear zones and constrictional, orogen-parallel  
581 stretching in the viscous middle crust that may be either decoupled from or  
582 coupled with both the upper and/or the lower crust (see also Culshaw et al., 2006  
583 about discussion on the upper-middle crustal coupling). The steep lineations  
584 present in Fig. 4B are absent in Fig. 5B, which may mean that the lineation  
585 patterns in Fig. 4B include some deformation zones that were not recognised in  
586 the field due to the often diffuse nature of high-grade shear zones; on the other  
587 hand, the model 2) in Fig. 5B predicts that stretching lineations may not be gently  
588 dipping everywhere, especially if the upper and middle crust are (partially)  
589 coupled (see also e.g. Tikoff and Greene, 1997). The final example in Fig. 5C  
590 (Denèle et al., 2007) is analogous to Fig. 4C, showing gently dipping, relatively  
591 straight, doubly plunging stretching lineations, and foliation patterns defining km-  
592 scale folds along an elongate, dome-like feature. The lineation patterns are  
593 explained by early (but post-thickening) top-to-east subhorizontal, syn-  
594 transpressional shearing of the upper crust, the folds having subsequently  
595 formed during the progressing transpression. Although the patterns shown in Fig.  
596 5C are for a local feature (the Hospitalet Massif), the scale of the folding patterns  
597 is similar as in the high-pressure belt HPB of the Trans-North China Orogen in  
598 Fig. 4C. Furthermore, the authors note various other areas within the Axial Zone  
599 of the Pyrenees that display similar patterns to the Hospitalet Massif (such as the  
600 migmatitic Aston Massif directly to the north), and the Axial Zone as a whole is of  
601 a similar scale as the HPB.

602

### 603 **An example from southwestern Finland**

604 Figure 6 shows a simplified geological and structural map from the Turku  
605 archipelago, southwestern Finland, compiled from published geological maps  
606 and new field data collected by the author. In southern Finland, the migmatitic  
607 middle and lower crust of the Palaeoproterozoic Svecofennian orogen is  
608 exposed. The structural history of the southern and southwestern Finland is  
609 complicated, but in essence an early, (apparent) NE-SW compression produced

610 tight to isoclinal, originally probably mostly recumbent F1 folds (e.g. Ehlers et al.,  
611 1993). A c. 20 Ma long period of relative tectonic quiescence followed, with  
612 thermal relaxation and intraorogenic basin development (e.g. Bergman et al.,  
613 2008). Some migmatitic melts are associated with F1, but the most voluminous  
614 anatexis occurred just before and partly during the next folding event F2 (e.g.  
615 Ehlers et al., 1993; Skyttä and Mänttari, 2008). During this wide-spread anatexis,  
616 the partially molten crustal package was subjected to gravitational  
617 spreading/escape/flow, the details of which are still unclear (Skyttä and Mänttari,  
618 2008; Torvela et al., 2013). The microcline granite "sheets" in Figure 6 were  
619 formed at this stage and most of them show moderate to strong internal  
620 deformation, being folded by the F2 folds (e.g. Ehlers et al., 1993; Skyttä and  
621 Mänttari, 2008). The F2 event formed open, mostly upright folds, with  
622 approximately E-W striking axial planes, refolding the F1 folds and the  
623 spreading/collapse structures, and producing an overall Type 3 fold interference  
624 pattern with the F1 folds (Ramsay, 1962). A final folding stage F3 with  
625 approximately perpendicular (i.e. apparently E-W directed) compression finally  
626 deformed the F1-2 and the spreading/collapse patterns in a Type 2 fold  
627 interference style (Ramsay, 1962): this event formed gentle, crustal-scale folds  
628 with N-S trending axial planes, forming and/or enhancing the dome-and-basin  
629 structure seen today (e.g. Lahtinen et al., 2005). The various interference  
630 patterns can be seen on geological maps and some are obvious also in the  
631 simplified Figure 6. No migmatites are associated with the F3 folds.

632 The F1 folds in the area of Figure 6 generally have relatively shallow (<40°),  
633 mostly eastward plunging fold axes that in the map area are almost exclusively  
634 preserved along the ~E-W striking F2 fold limbs. The F2 fold axes are dominantly  
635 E plunging, usually by 40-70°, with a mean orientation of c. 095/55 which is very  
636 close to the  $\beta$  direction predicted by the migmatitic foliations. Two eastward  
637 plunging F2 anticlines dominate the map area, separated by the Rosala-Jurmo  
638 zone (RJZ). The migmatite granite bodies/sheets follow approximately the S1  
639 lithologies that were folded during the F2 event. The granites seem to be  
640 "squeezed" into the anticline crests, away from the synclines and from the  
641 steeply dipping fold limbs. As a result, the synclines are very tight (RJZ is  
642 interpreted here to be one such syncline rather than a shear zone) whereas the

643 anticlines show more open morphologies. Note that, although significant  
644 exceptions do exist, the microcline granite "sheets" in southern Finland are not  
645 homogeneous but contain significant volumes of protolith/migmatitic host rock:  
646 the microcline granite areas are traditionally defined as areas where  
647 approximately >50% of the rock volume consists of granitic material, as opposed  
648 to other migmatitic areas with approximately <50% microcline granite. The rock  
649 type contacts are, therefore, very diffuse in reality. In the area of Figure 6, the  
650 microcline granites are intermixed with migmatitic schists and granitoids,  
651 containing approximately between 50-80% granitic material.

652 Observed lineations are mostly stretching, mineral, and crenulation lineations.  
653 On average, the lineations follow the fold axial plane and are almost parallel with  
654 the average F2 fold axis trend (but shallower, by about 10°). In places, remnant  
655 L1 lineations can be seen as they are folded by F2 (omitted from the map for  
656 clarity), and some steeply southward plunging stretching lineations are  
657 associated with subvertical constriction induced by the apparent N-S  
658 compression (also omitted from the map for clarity); but in general the lineations  
659 in the area are E-plunging crenulation and F2 fold axis-parallel stretching  
660 lineations, outlining relatively straight, approximately E-W trajectories on the  
661 map. E-plunging lineations are also prominent within the E-W striking zones  
662 along the fold limbs (most notably the RJZ), although the E-W zones also often  
663 show W-plunging lineations, probably as a result of relative movements of the  
664 folded domains and/or the migmatitic granites during deformation. The geometric  
665 relationships, in summary, indicate that the E-W lineations formed during the F2  
666 folding event. It is unclear whether the present eastward plunge of the fold axes  
667 and the lineations is an original feature, or whether there was a later eastward  
668 tilting of the crust; however, significant tilting such as required in this case has  
669 not been reported for southern Finland.

670 The foliation-lineation-fold relationships for the D2 event are much like those  
671 interpreted to represent mid-crustal channel flow in Figures 4B and 4C. There is  
672 also much resemblance to the expected geometries presented in the thought  
673 exercise in Figure 3B. At the same time, the relationships are almost identical to  
674 those observed in Figure 5C, and bear much resemblance to Figure 5B as well;  
675 these are field examples that do not infer channel flow. In summary, although the  
676 area in Figure 6 might be interpreted as an example of a palaeochannel based

677 on the internal geometric relationships, an equally likely explanation is probably a  
678 combined (eastward) shearing and/or doming/folding model such as presented  
679 for the Hospitalet Massif in Figure 5C, for example. A further complication is that  
680 in southern Finland, similarly for many other shield areas with exposed mid-crust,  
681 the first-order relationships required for a mid-crustal channel (e.g. coeval  
682 bounding shear zones with opposite shear senses; Godin et al., 2006) are very  
683 difficult or impossible to affirm: erosion and/or later deformation processes have  
684 removed or obscured the putative channel boundaries, so that, if they can be  
685 found at all, their characteristics cannot be established with certainty.

686

## 687 **DISCUSSION**

688 The first-order characteristics of a mid-crustal channel (e.g. coeval bounding  
689 shear zones with opposite shear senses; Godin et al., 2008) set the boundary  
690 conditions for channel flow. However, it should be noted in this context that the  
691 uniqueness of these first-order characteristics have also been contested: e.g.  
692 Jones et al. (2006) note that at least some of the first-order field relationships  
693 predicted for channel flow can also be produced by transpression and related  
694 crustal stretching/constriction and that the relationships are, therefore, non-  
695 unique. In this paper, I have not considered these first-order boundary conditions,  
696 because many field examples used to study deformation in the mid-crust come  
697 from deeply eroded shield and other areas where the channel boundaries, if they  
698 existed, cannot be observed. Instead, I have focussed on demonstrating the non-  
699 uniqueness of the internal structures and geometries of a putative mid-crustal  
700 channel. From the examples in this paper, it is clear that a variety structural  
701 geometries can potentially form where and if mid-crustal channel flow occurs, but  
702 similar geometries might also result from other crustal-scale mechanisms that do  
703 not necessarily require *channel* flow to operate. Below, I briefly discuss further  
704 what are probably the most important of these alternative mechanisms: doming,  
705 and orogenic spreading/escape through constriction and/or shearing in the  
706 middle crust.

707 Various studies exist on gneiss domes, i.e. dome-formed bodies of high-grade,  
708 commonly migmatitic gneisses resulting from vertical mid- and lower crustal  
709 material redistribution: e.g. Whitney et al. (2004) give a good overview of gneiss  
710 domes; Platt et al. (2015) discuss gneiss domes in the wider context of

711 metamorphic core complexes (MCCs); Rey et al. (2009) model how partial melts  
712 and extension rates influence the development of MCCs; and Le Pourhiet et al.  
713 (2012) show how the kinematics of MCC development significantly influences the  
714 resulting internal structural (foliation-lineation) relationships. Doming does not, of  
715 course, exclude channel flow as such: if mid-crustal channel flow, as defined in  
716 this paper, does occur, doming is probably an important aspect of channel flow,  
717 as seen from the various examples, and as discussed by e.g. Whitney et al.,  
718 2004 who describe the relationships between horizontal flow and vertical diapiric  
719 flow in dome formation (Fig. 7A; see also e.g. Beaumont et al., 2004). However,  
720 although a local lateral component to the mid-crustal movements must occur in  
721 the context of diapiric gneiss domes, the extent of that lateral flow at an orogenic  
722 scale (i.e. existence of channel flow) is open to debate.

723 Platt et al. (2015) note that the vertical material transport in developing domes  
724 can be driven either "actively" by buoyancy forces, or "passively" by isostatic  
725 forces (e.g. due to upper crustal extension), or by a combination of these. The  
726 experiments by Harris et al. (2012) also show that domes can form "passively" in  
727 contraction, much like suggested by Denele et al. (2007) for the Hospitalet dome  
728 (Fig. 4C). It should perhaps be noted here that Harris et al. (2012) interpret their  
729 experiments results to support the orogen-frontal extrusion channel model, but  
730 the results could also be used to infer that an extrusion channel is probably not  
731 necessary for the fold and dome structures to form. Whitney et al. (2004) note  
732 that the major difference between channel flow and diapiric flow should be seen  
733 in each case in the PT, Tt, and PTt paths (Fig. 7A). However, although the  
734 expected PTt paths for lateral vs. vertical flow are different at depth, once the  
735 rocks are exposed at the surface, even those that have possibly undergone  
736 channel flow at some point will have experienced P and T reduction and  
737 associated retrogression (see also Jamieson et al., 2004, 2006; Grujic et al.  
738 2011), probably rendering it impossible to distinguish the PTt paths from each  
739 other (especially in older orogens where the age determination errors are often  
740 too large to allow a sufficiently accurate reconstruction of events).

741 In terms of field structural data, Whitney et al. (2004) observe that very careful  
742 analysis of foliation patterns and, in particular, lineation trajectories are required,  
743 and ideally diapiric domes should display specific features such as radial  
744 lineations and flattening or constriction at particular localities; however, these are

745 easily distorted/ overprinted during progressive crustal deformation (see also e.g.  
746 Chardon et al. (2009) for predicted foliation and lineation patterns for horizontal  
747 vs. vertical flow, and Le Pourhiet et al. (2012) on how the mode and kinematics  
748 of crustal deformation that control the dome formation affect foliation-lineation  
749 relationships). E.g. Platt et al. (2015) note that diapir flow-dominated domes  
750 should have steep or even overturned margins, although numerical modelling  
751 work by e.g. Rey et al. (2011; Fig. 7A) and Whitney et al. (2013) shows that this  
752 is not always the case, especially at the early stages of the dome development or  
753 if the deformation rate is slow. On the other hand, “passive” domes as defined  
754 above do not necessarily show steep margins (e.g. Platt et al., 2015). Margin  
755 geometries are certainly very useful for gneiss dome recognition where the  
756 relationships between the more rigid upper crust and the migmatitic middle crust  
757 can be relatively easily observed. However, many inferred channel flow  
758 examples come from old, eroded orogenic roots where the depth dimension of  
759 outcrop studies is limited, the middle-upper crustal relationships cannot be  
760 observed anymore, and there is often significant uncertainty as to exactly which  
761 crustal level is observed (both in real palaeodepth and in terms of regional and  
762 even local crustal structure). Further complications are induced by progressive  
763 deformation and possible later orogenic and/or extensional phases: these often  
764 result in crustal tilting and structural overprinting that obscure the original  
765 structures, and in metamorphic reactions that may sometimes completely erase  
766 the previous PTt signature. The numerical model predictions in Figure 7A  
767 illustrate the point: depending on the crustal level and the “intensity” of doming,  
768 the observed structures would be very different and especially the deep dome  
769 structures might indeed be interpreted to represent mid-crustal channel flow. Fig.  
770 7A also shows that well-defined detachments that typify many gneiss domes at  
771 higher crustal levels (e.g. Platt et al., 2015) are unlikely to form well within the  
772 ductile/partially molten regime; if only this crustal level is exposed, as is the case  
773 in many older orogens, it will be impossible to with certainty determine the upper-  
774 middle crustal structural and kinematic relationships. The same of course goes  
775 for the first-order boundary conditions of a mid-crustal channel: if only a deep  
776 crustal level is exposed, the boundary conditions are impossible to constrain.

777 The summary is that, despite careful field data collection and analysis, it can be  
778 very difficult to constrain the exact relationships between foliation, folding, and

779 lineation patterns (even with the help of age determination and  
780 geothermobarometric data) in order to determine how much lateral (channel) flow  
781 vs. vertical flow ("active" or "passive" doming), took place. Lateral channel flow  
782 and doming-related vertical flow are linked: the channel flow model does predict  
783 doming (e.g. Beaumont et al., 2004; see also Fig. 3A), and local lateral flow is  
784 needed to redistribute and transport the material into the diapir, but the point here  
785 is that doming (and orogenic spreading/collapse) may not require channel flow at  
786 a crustal scale (see also Vanderhaeghe and Teyssier, 2001b). Doming in itself is,  
787 in other words, not exclusive evidence for channel flow.

788 In terms of how lateral orogenic spreading and escape are accommodated, the  
789 most important alternative model to mid-crustal channel flow is probably the  
790 "lateral constrictional flow model" (LCF; Fig. 5B; Chardon et al., 2009, 2011). The  
791 LCF model invokes a network of shear zones and constrictional, mostly orogen-  
792 parallel stretching in the viscous lower crust. On the other hand, the existence of  
793 shear zones is not an argument against channel flow: Couette-Poiseuille type  
794 mid-crustal channel flow would also lead to a development of shear zones that  
795 help to accommodate material transport. Probably the main and the most  
796 fundamental difference between the channel flow model and the LCF model is  
797 that the LCF model does not require material to move *en masse* for long  
798 distances along a mid-crustal channel. Instead, although some lateral movement  
799 or flow of material would occur in LCF due to a combination of local melt  
800 accumulation/transport and constrictional deformation, the bulk of the spreading  
801 is essentially accommodated by both vertical and inclined shear planes and  
802 movements of crustal "blocks" with respect to each other, and by vertical  
803 flattening (producing the flat foliations within the crustal "blocks" at depth; Fig.  
804 5B). The LCF model, like the channel flow model, does rely on the existence of a  
805 weak middle/lower crust, but the viscosity probably need not be as low nor as  
806 homogeneously distributed as for the channel flow model, and the upper-middle-  
807 lower crust rheological contrasts do not need to be as large. The LCF also  
808 explains the very common sigmoidal shear zone patterns defining asymmetric,  
809 lens-shaped "blocks" of less deformed rocks, a feature observed in many  
810 exposed roots of hot and ultrahot orogens (see also Fig. 4B where such a shear  
811 zone pattern can be observed in southern Finland). A sigmoidal shear zone  
812 pattern might be more difficult to explain with channel flow theory, even if channel

813 flow is expected to form shear zones approximately parallel to the flow direction  
814 (Fig. 3). The LCF model works during the orogenic compression/transpression,  
815 although the resulting structures can be partly extensional/transtensional and  
816 produce flattening structures (Fig.5; Chardon et al., 2009, 2011).

817 In detail, the LCF model does not contradict the other suggested mechanisms  
818 in Figure 5: the flattening/extensional component of the LCF allows the formation  
819 of subhorizontal shearing as suggested for the Hospitalet Dome (Fig. 5C) and the  
820 thrust stacking in suggested for Syros (Fig. 5A). The model also allows formation  
821 of gneiss domes, especially if the upper and middle crust are coupled. It can  
822 explain all the geometries observed in Figure 6 as well. Recent seismic reflection  
823 studies have given some support to the LCF model in that networks of shear  
824 zones do seem to play an important role in the strain accommodation of the mid-  
825 crust (Fig. 7B): Torvela et al. (2013) identified extensional shear patterns in the  
826 exposed orogenic roots of the Svecofennian orogen (in a study area c. 100 km  
827 NE from the Jurmo-Rosala area in Fig. 6), while Wang et al. (2011) have  
828 interpreted networks of thrusts and strike-slip shear zones in a reflection seismic  
829 study from northeastern Tibet. Both shear zone types are predicted by Chardon  
830 et al. (2011).

831 As a final note, LCF-type escape (but also channel flow) and orogenic  
832 lithospheric thickening can be seen as competing processes: both the  
833 extensional/transtensional processes of the LCF model and channel flow will  
834 result in thinning of the middle crust, while simultaneous orogenic convergence  
835 will induce thickening, although this would require a complete decoupling  
836 between the upper and middle crust. The relative rates and extents of these  
837 processes play a role in determining whether the orogenic plateau of a hot  
838 orogen (like Tibet) is rising, stable, or collapsing. Recent results suggest that the  
839 Tibetan crust is indeed thinning (Ge et al., accepted); whether this is  
840 accommodated by LCF-type escape, by channel flow, by a combination of these,  
841 and/or by some other mechanism, remains unanswered.

842

### 843 **Summary**

844 This paper shows examples of the variability of outcrop patterns that have been  
845 inferred to result from mid-crustal channel flow, but also examples of the non-  
846 unique nature of those patterns. As a conclusion, it is very unlikely that Couette-

847 Poiseuille type mid-crustal channel flow, if it exists, can be reliably interpreted  
848 from outcrop data, especially if the putative channel boundary kinematics and  
849 properties cannot be observed. The general feasibility of the mid-crustal channel  
850 flow is also discussed: while its existence remains an open question, the  
851 processes and structures (outcrop patterns) in the Himalayas, Tibet, and  
852 exposed old orogenic roots can also be explained by other, perhaps simpler,  
853 mechanisms. The main challenge of the Couette-Poiseuille type mid-crustal  
854 channel flow is probably the need to maintain the appropriate bulk physical  
855 conditions at very large tempo-spatial scales within the crust. A strong contestant  
856 is the lateral constrictional flow model by Chardon et al. (2009, 2011): the various  
857 phenomena and patterns of shear zone networks, foliation-lineation relationships,  
858 and gneiss dome formations can be explained by it, while it is less restrictive in  
859 terms of the required rheological mid-crustal bulk properties.

860

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867

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1211

1212 Figure captions

1213

1214 **Figure 1.** Principle of the channel flow model underneath an orogen with a  
1215 continental plateau, such as the Himalayan-Tibetan system (modified from  
1216 Vanderhaeghe, 2009, based on Grujic et al. 2002). Channel flow encompasses  
1217 elements of both Couette and Poiseuille flow types, the relative particle velocity  
1218 paths of which are also illustrated. The relative contribution of Couette vs.  
1219 Poiseuille varies through time and space within the channel itself, but overall  
1220 relative displacement in opposite directions of the rigid boundaries is necessary  
1221 (one of the first-order characteristics for mid-crustal channel flow discussed by  
1222 e.g. Godin et al., 2008).  $F_g$  = gravitational force,  $F_t$  = basal traction force,  $F_c$  =  
1223 the horizontal compression force.

1224

1225 **Figure 2.** Examples of the Gibson et al. (2009) flow model for planar Couette  
1226 flow of a viscous fluid with a random initial internal organisation, within a  
1227 relatively high aspect ratio channel between shearing rigid plates (time steps  
1228 taken from a video on [www.channelflow.org](http://www.channelflow.org), accessed on 5 May 2015). The top  
1229 surface is the horizontal "map" view, the sides of the diagram are "cross  
1230 sections" at different levels with respect to the "map" surface. The arrows within  
1231 the channel represent material flow vectors (i.e. the arrow length is proportional  
1232 to flow speed/strength). The colours enhance the flow direction visualisation, with  
1233 red colours indicating flow toward the upper plate shear direction (toward top  
1234 right of the model), and blue indicating flow toward the lower plate shearing  
1235 direction (toward bottom left). The cyclical nature of the flow for the modelled fluid  
1236 is evident: the random initial condition (A) develops into a weak turbidity pattern  
1237 (B), which settles down into elongate "ridge flow" patterns (C), that become  
1238 somewhat unstable with time, again developing some weak turbidity (D). The  
1239 model is for viscous fluid but the viscosity is still much lower than would be  
1240 expected for mid-crustal material: these models are not directly applicable to the  
1241 mid-crustal channel flow, but are used here to give some visual insights as to the  
1242 variability of outcrop patterns/structures that may result (see Fig. 3 and the text).

1243

1244 **Figure 3.** Geometric thought exercises, based on Figure 2B, of the effects of  
1245 channel flow on initially A) horizontal and B) moderately dipping layering (pre-

1246 flow dip direction/dip c. 100/30). In A), the layer-parallel flow (with local  
1247 perturbations) leads to recumbent (sheath) folds with c. N-S fold axes, and to  
1248 formation of elongate, shear-parallel domes with doubly vergent, E-W trending  
1249 axial traces; in B), flow is not layer-parallel but overturned folds with c. N-S  
1250 trending axes form especially in places of convergence of opposite flow  
1251 directions; most folds form due to local variations in flow rate and show E-  
1252 plunging fold axes. In both cases, stretching lineations are expected to form  
1253 dominantly along the fold/dome axial traces and along shear zone kinematic  
1254 vectors. The layering/foliation steepens toward the edges of the channel as can  
1255 be seen in the "east-west" oriented cross sections along the model edges (see  
1256 also the inset for the changing foliation/layering dips). Theoretical stereonet of  
1257 the expected overall patterns of dominant foliation/layering (S), fold axes (F), and  
1258 stretching lineations (L) are shown. These extremely simplified models illustrate  
1259 how the initial geometry/structural grain has a significant impact on the resulting  
1260 outcrop geometries. Extrapolating to orogenic scales and assuming a channel  
1261 thickness of 10 km, the horizontal extent of the model is c. 80 x 80 km.

1262

1263 **Figure 4.** Examples of outcrop patterns interpreted to represent mid-crustal  
1264 channel flow, mainly based on foliation/fold patterns and stretching lineations:  
1265 modified from A) Hatcher and Merschat (2006), showing the form line map of  
1266 foliations (with teeth indicating dip direction), a map of mineral lineations, and a  
1267 flow model based on the geological mapping and lineation data; B) the main map  
1268 and stereonet from Cagnard et al. (2006), the map showing outcrop traces of  
1269 metamorphic layering (most prominent within the migmatites exposed between  
1270 the subhorizontal syn-orogenic microcline granite sheets), and the stereonet  
1271 giving examples of attitudes of typical stretching lineations that are steep within  
1272 the shear zones (black lines) and more scattered but E-W to NE-SW trending  
1273 elsewhere. Cagnard et al. (2006) use these data and the lineation map (smaller)  
1274 covering approximately the same area (from Ehlers et al., 1993; trajectories of  
1275 stretching lineations dipping mostly  $<30^\circ$ ) to suggest a mid-crustal palaeochannel  
1276 for southern Finland (the block diagram). The location of Figure 6 is shown in the  
1277 lineation map; and C) Trap et al., 2011 with foliation traces within the HPB and  
1278 stereonet summarizing the D2 structures interpreted to reflect mid-crustal flow  
1279 within the HPB. The aim of this figure is to illustrate that various different outcrop

1280 patterns and geometries, and especially the foliation-lineation relationships, have  
1281 been used to infer channel flow. See text for discussion.

1282

1283 **Figure 5.** Examples of outcrop patterns that are similar to those in Figure 4, but  
1284 have not been used to infer channel flow; instead, other mechanisms for their  
1285 formation are suggested by the authors. Modified from A) Ridley, 1982, showing  
1286 an arcuate pattern of the dominant stretching lineations (with additional  
1287 glaucophane lineations at lowermost crustal levels also shown) in the ductile  
1288 lower crust exposed in Syros, Greece, and lineation-parallel foliations/lithological  
1289 unit trends (inset), interpreted to represent the subhorizontal displacement of a  
1290 ductile lower crustal thrust sheet; B) Chardon et al. (2009, 2011) from the  
1291 Neoproterozoic orogen of the Dharwar craton (India). The geometric relationships of  
1292 the doubly plunging lineations (L1 and L2), F2 fold axes perpendicular to  
1293 shortening, and dome- or lens-like foliation patterns are interpreted to represent  
1294 lateral constrictional flow rather than channel flow. The two block models on the  
1295 left are for 1) decoupled, highly buoyant, and weak and 2) coupled lower crust;  
1296 both showing approximate strain ellipsoid shapes); and C) Denele et al. (2007)  
1297 with doubly plunging shallow lineations and folded foliation patterns in the  
1298 Hospitalet Massif, the Axial Zone of the Pyrenees. The patterns are interpreted to  
1299 reflect eastward shearing and subsequent/simultaneous folding. The aim of this  
1300 figure is to show alternative explanations of how "channel flow patterns" could  
1301 form: although some examples are from orogen types, metamorphic grades, and  
1302 scales different to the Himalayan-Tibetan middle crust, the basic geometric and  
1303 kinematic principles should be applicable.

1304

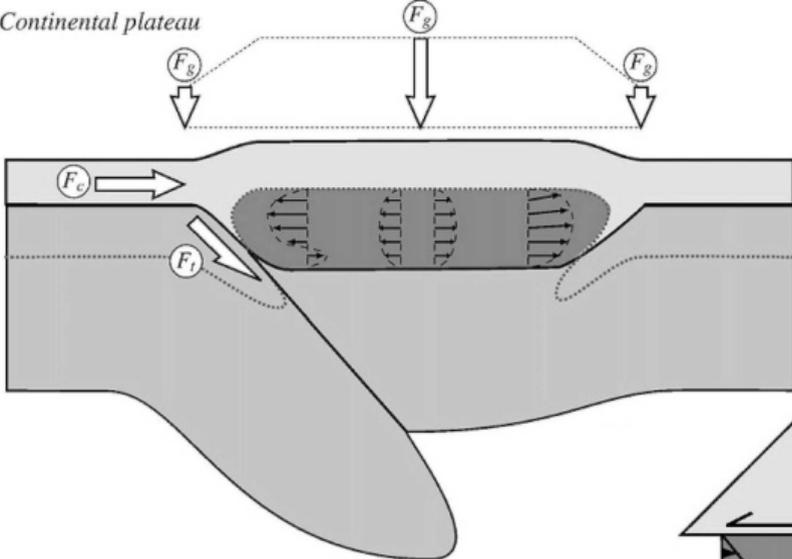
1305 **Figure 6.** A simplified geological map from the Turku archipelago, southwestern  
1306 Finland (see Fig. 4. for location). Based on field work by the author, and on  
1307 Laitala (1970), Edelman (1954, 1973), and Suominen (1987). Representative  
1308 lineations are marked along with lineation trajectories and migmatitic foliation  
1309 trends. There are thousands of small islands and skerries in the archipelago, only  
1310 the largest (groups) of islands are outlined on the inset map. The W-E striking  
1311 Rosala-Jurmo high-strain zone (RJZ) is shaded. The stereonet compile the  
1312 migmatitic foliations (S1-2; see text), fold axes (F; interpreted to be mostly F2  
1313 folds as described in the text), and mineral, stretching, and crenulation lineations

1314 (L2) observed in the field. Contour plots for S and F = 1%, 2%, 4%, 8% and 16%;  
1315 and for L = 1%, 2%, 4% and 8%. The outcrop patterns greatly resemble some  
1316 patterns interpreted as channel flow (Figs. 4B, C) but could also be claimed to be  
1317 analogous to Fig. 5C and partly also Fig. 5B. Note especially the foliation-  
1318 lineation-fold relationships that are very similar to both Fig. 4C and Fig. 5C. See  
1319 text for further discussion.

1320

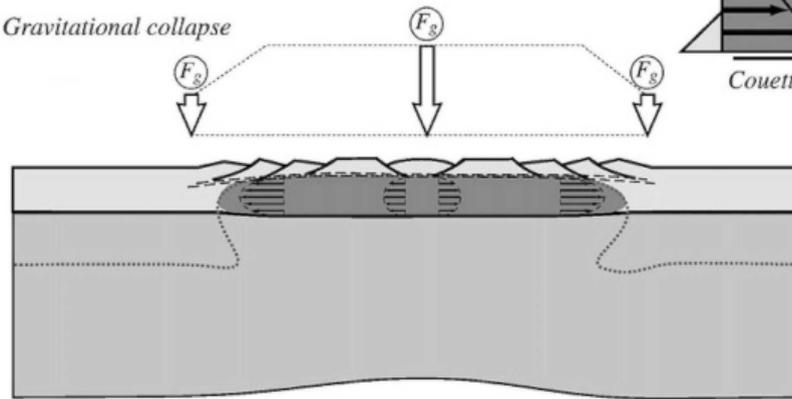
1321 **Figure 7.** Modified from A) Whitney et al. (2004) and B) Rey et al. (2011). A)  
1322 shows a schematic sketch of a gneiss dome with some characteristic feature; the  
1323 theoretical relationships between lateral channel flow and vertical diapiric flow;  
1324 the conceptual expected PTt (pressure-temperature-time) paths for locations A ->  
1325 A' and B -> B' ("active doming"), and A -> A" and B -> B" ("passive doming") in  
1326 channel flow vs. diapiric flow; in B), the results of a numerical experiment by Rey  
1327 et al. (2011) illustrate strain distribution and flow paths in extensional gneiss  
1328 dome formation; note the very different patterns in the internal dome structure  
1329 depending on the observation depth, and the longevity and the rate of dome  
1330 formation. Note also that only limited lateral "channel" flow at mid-crustal depths  
1331 is needed for doming to occur.

*Continental plateau*

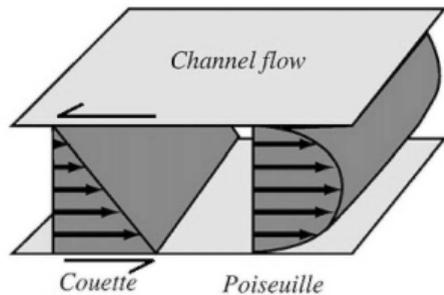


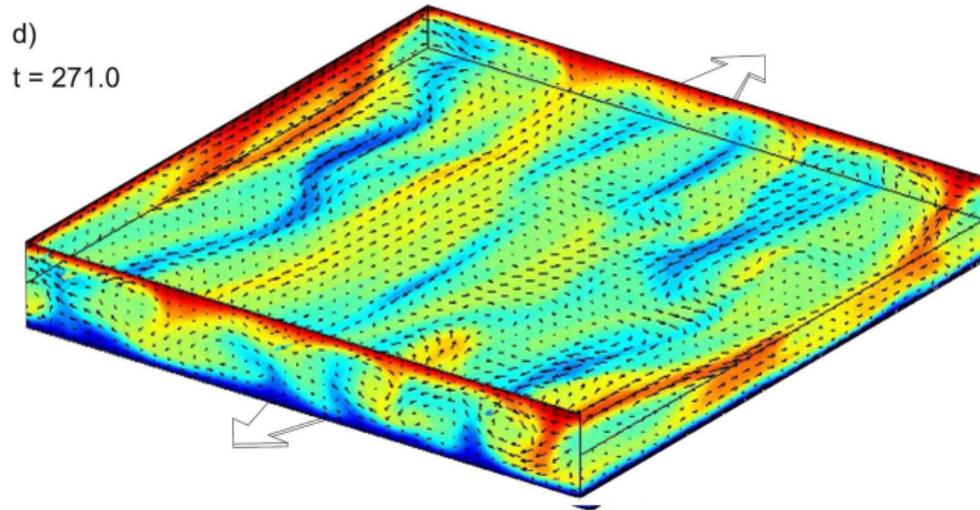
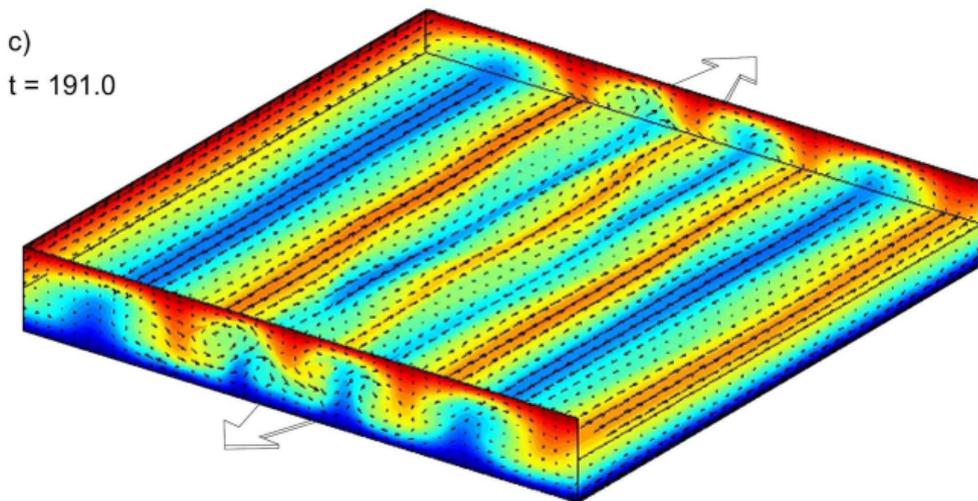
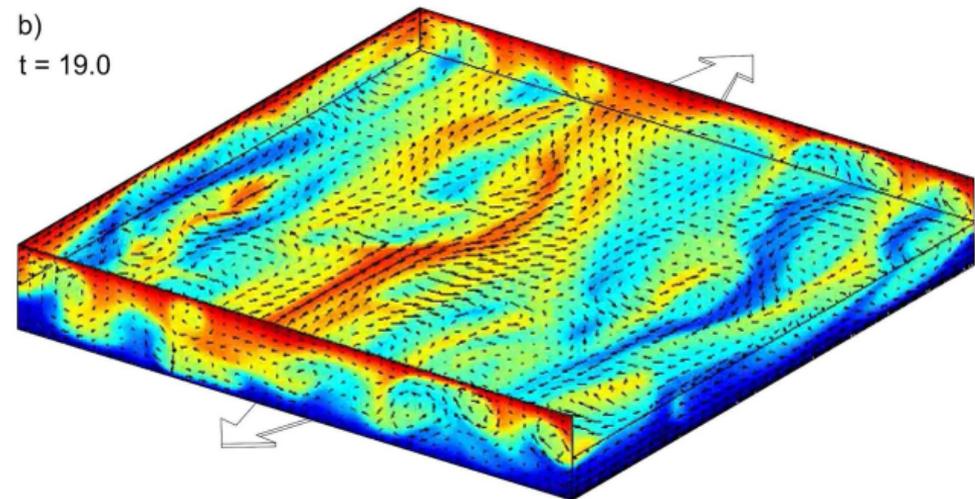
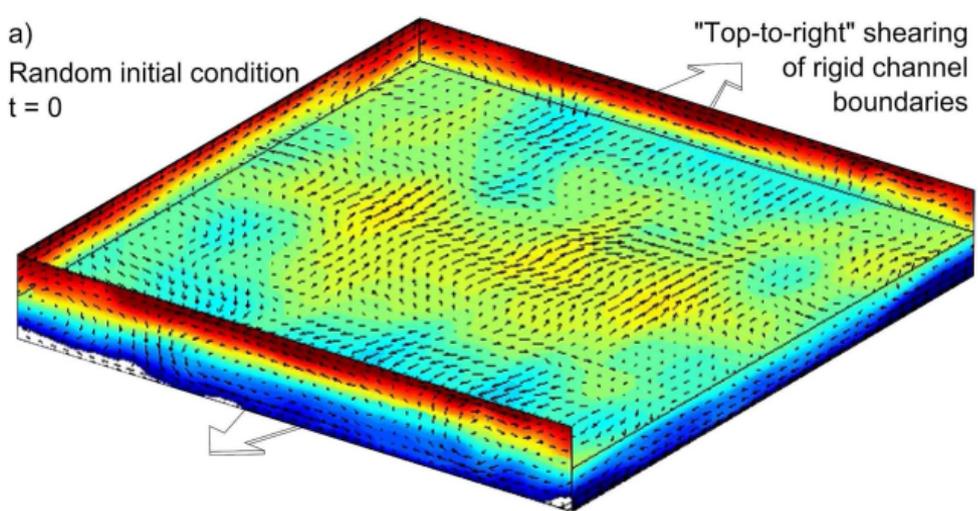
*Lateral flow  
without net thinning*

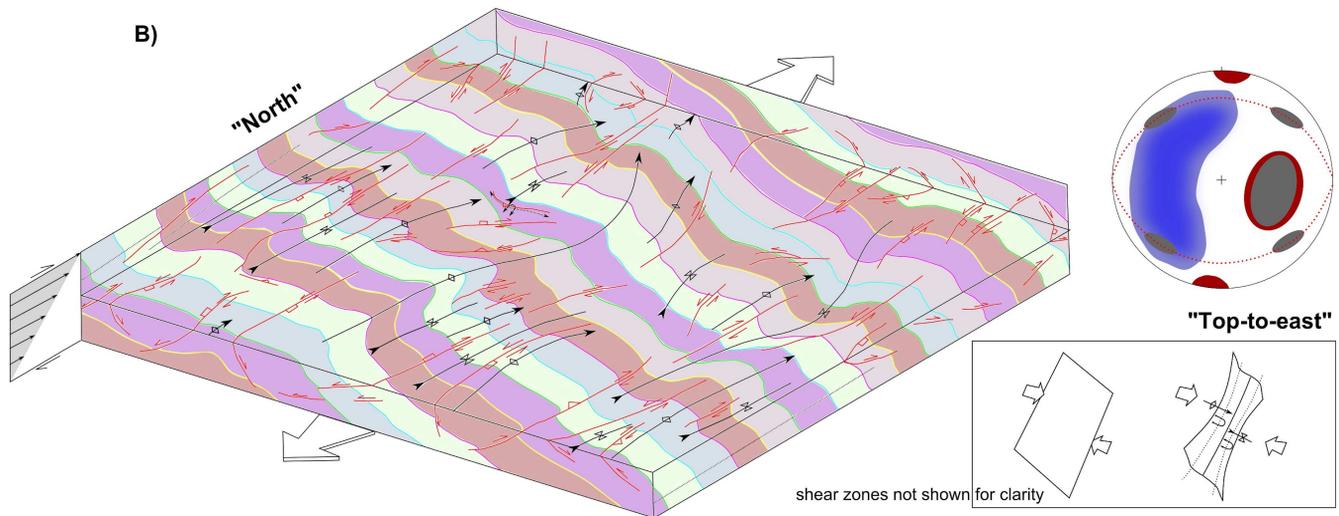
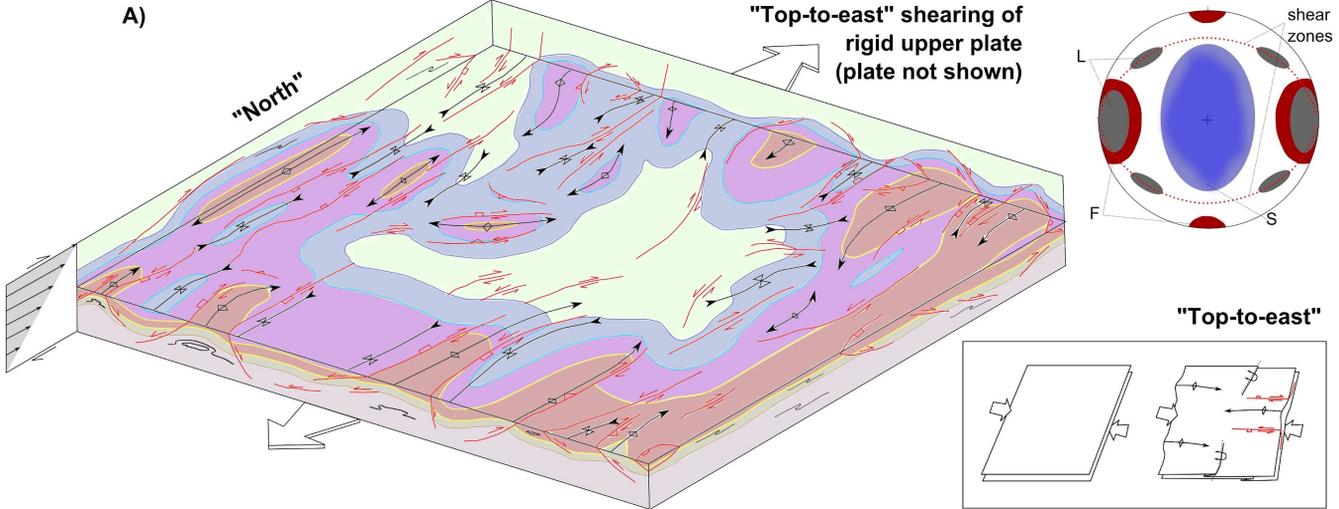
*Gravitational collapse*



*Lateral flow  
with net thinning*



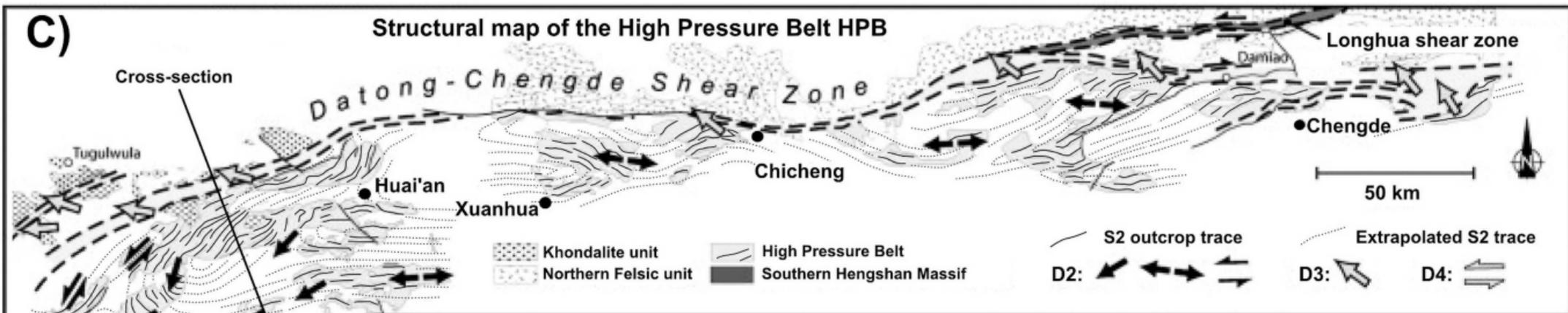






C)

## Structural map of the High Pressure Belt HPB



## S2 and L2 in the HPB

S2 Dialong-Huai'an area

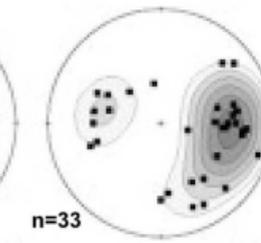
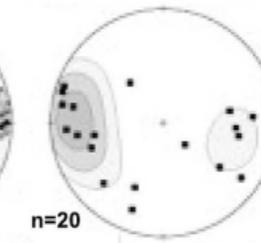
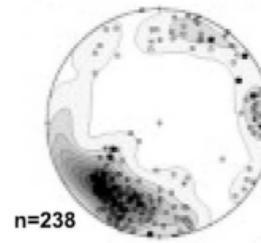
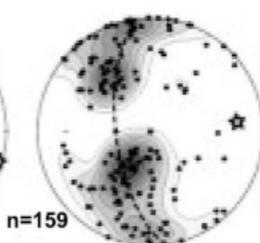
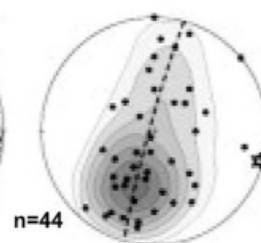
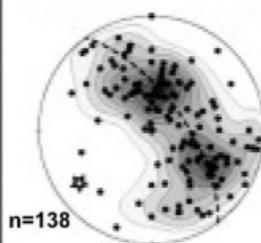
S2 Xuanhua massif

S2 Chengde massif

L2 Datong-Huai'an area

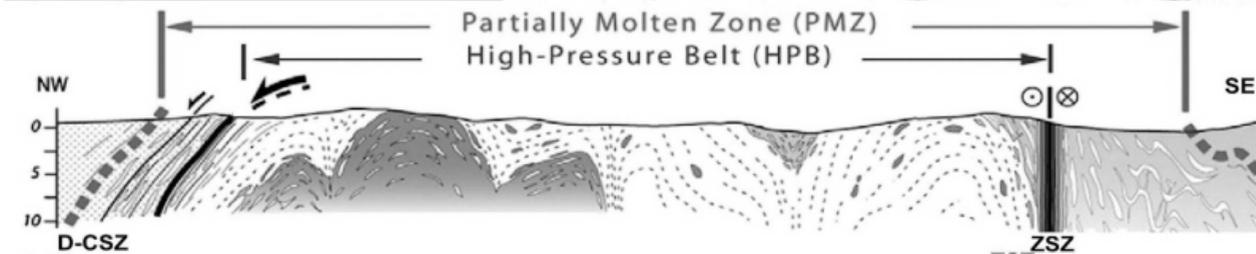
L2 Xuanhua massif

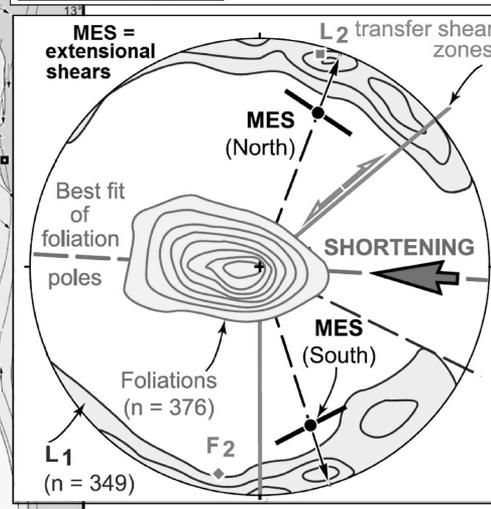
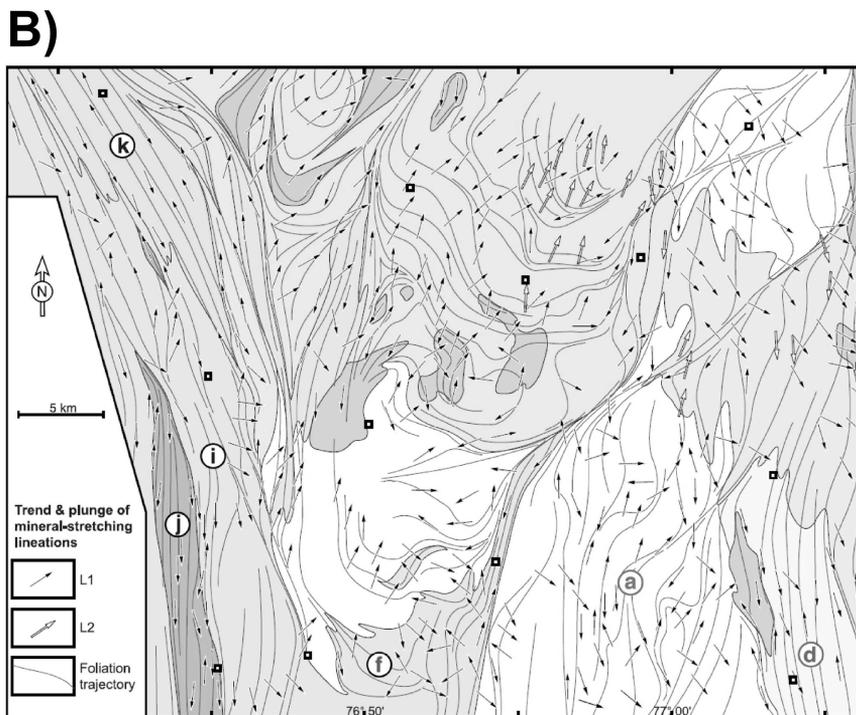
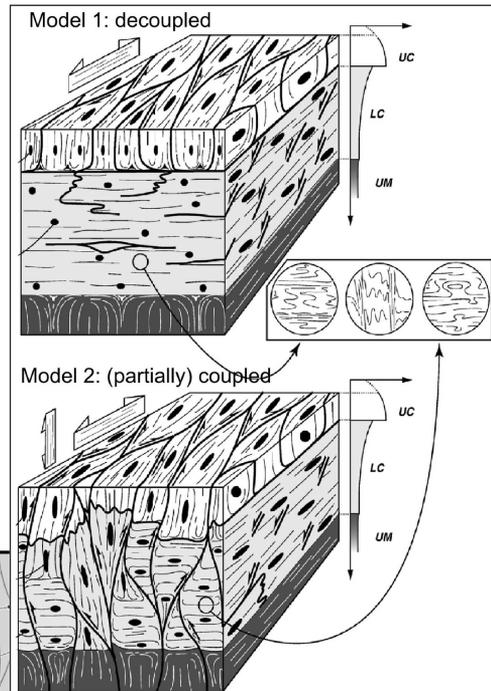
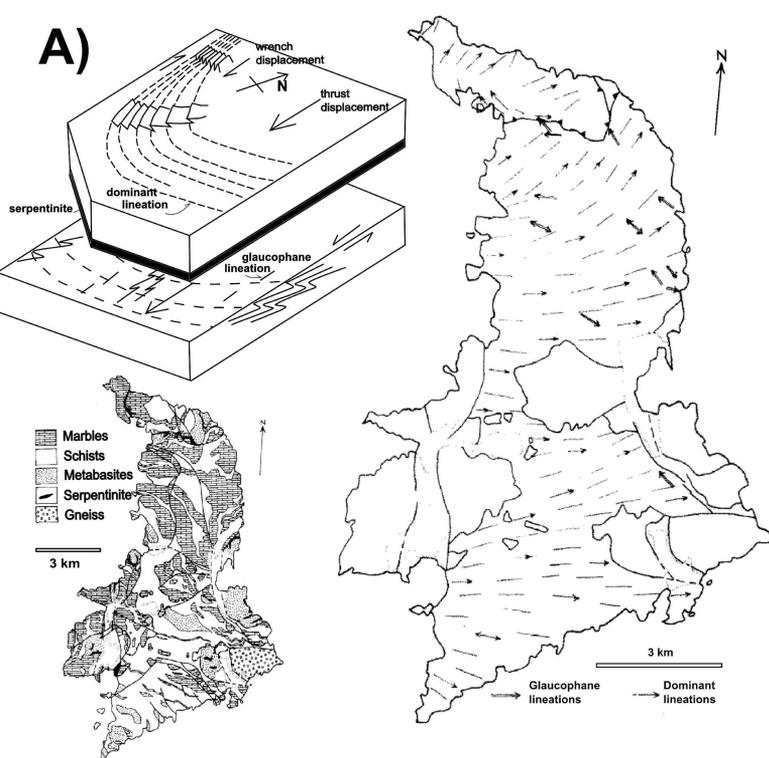
L2 Chengde massif

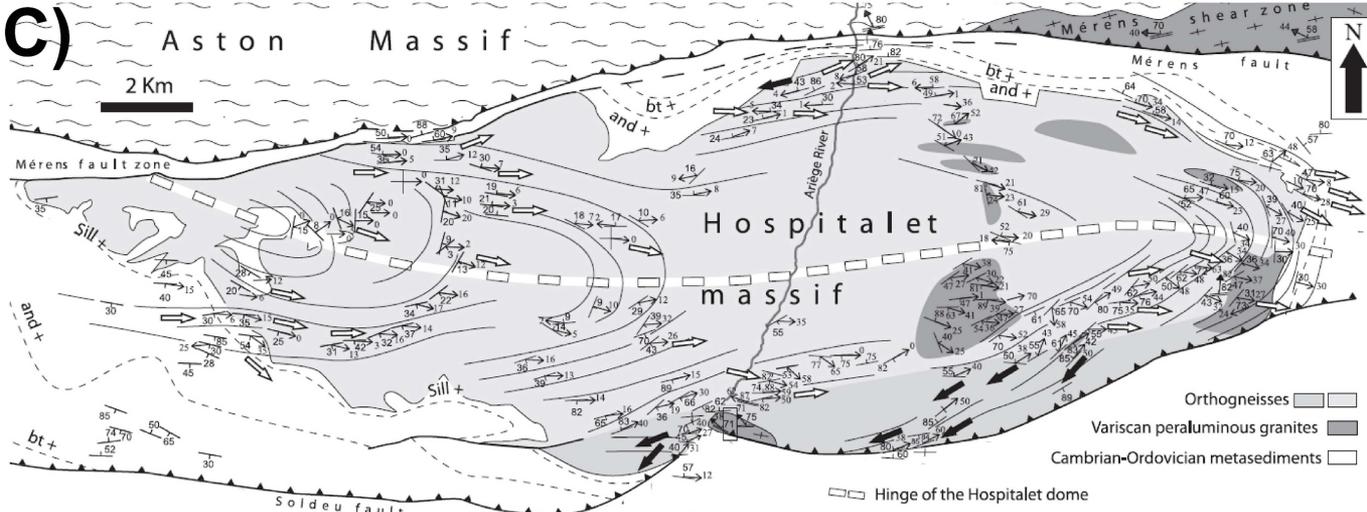


Partially Molten Zone (PMZ)

High-Pressure Belt (HPB)







- Hinge of the Hospitalet dome
- Pervasive foliation with associated stretching lineation
- Pervasive foliation trajectory
- Top to the east kinematics (normal movement)
- Top to the southwest kinematics (apparent reverse movement)
- Variscan mylonitic foliation with associated stretching lineation (reverse dextral kinematics)
- Alpine thrust fault
- bt+ Metamorphic isograd

Best fold axis  
79°/16°

Orthogneisses

Peraluminous granites

Foliation poles

Lineations

Foliation poles

Lineations

