



UNIVERSITY OF LEEDS

This is a repository copy of *Human lower extremity joint moment prediction: A wavelet neural network approach*.

White Rose Research Online URL for this paper:
<http://eprints.whiterose.ac.uk/92792/>

Version: Accepted Version

Article:

Ardestani, MM, Zhang, X, Wang, L et al. (5 more authors) (2014) Human lower extremity joint moment prediction: A wavelet neural network approach. *Expert Systems with Applications*, 41 (9). pp. 4422-4433. ISSN 0957-4174

<https://doi.org/10.1016/j.eswa.2013.11.003>

© 2014, Elsevier. Licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International
<http://creativecommons.org/licenses/by-nc-nd/4.0/>

Reuse

Unless indicated otherwise, fulltext items are protected by copyright with all rights reserved. The copyright exception in section 29 of the Copyright, Designs and Patents Act 1988 allows the making of a single copy solely for the purpose of non-commercial research or private study within the limits of fair dealing. The publisher or other rights-holder may allow further reproduction and re-use of this version - refer to the White Rose Research Online record for this item. Where records identify the publisher as the copyright holder, users can verify any specific terms of use on the publisher's website.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk
<https://eprints.whiterose.ac.uk/>

Human lower extremity joint moment prediction: A wavelet neural network approach

Marzieh Mostafavizadeh Ardestani¹, Xuan Zhang¹, Ling Wang^{1*}, Qin Lian¹, Yaxiong Liu¹, Jiankang He¹, Dichen Li¹ and Zhongmin Jin^{1,2}

¹State Key Laboratory for Manufacturing System Engineering, School of Mechanical Engineering, Xi'an Jiaotong University, 710049, Xi'an, Shaanxi, China

²Institute of Medical and Biological Engineering, School of Mechanical Engineering, University of Leeds, Leeds, LS2 9JT, UK

ARTICLE INFO

Article history:
Received
Received in revised form
Accepted
Available online

Keywords:
Joint moment prediction
Mutual information
Wavelet neural network
Artificial neural network
Ground reaction force
Marker trajectory

ABSTRACT

Joint moment is one of the most important factors in human gait analysis. It can be calculated using multi body dynamics but might not be straight forward. This study had two main purposes; firstly, to develop a generic multi-dimensional wavelet neural network (WNN) as a real-time surrogate model to calculate lower extremity joint moments and compare with those determined by multi body dynamics approach, secondly, to compare the calculation accuracy of WNN with feed forward artificial neural network (FFANN) as a traditional intelligent predictive structure in biomechanics.

To aim these purposes, data of four patients walked with three different conditions were obtained from the literature. A total of 10 inputs including eight electromyography (EMG) signals and two ground reaction force (GRF) components were determined as the most informative inputs for the WNN based on the mutual information technique. Prediction ability of the network was tested at two different levels of inter-subject generalization. The WNN predictions were validated against outputs from multi body dynamics method in terms of normalized root mean square error (NRMSE (%)) and cross correlation coefficient (ρ).

Results showed that WNN can predict joint moments to a high level of accuracy (NRMSE<10%, ρ >0.94) compared to FFANN (NRMSE<16%, ρ >0.89). A generic WNN could also calculate joint moments much faster and easier than multi body dynamics approach based on GRFs and EMG signals which released the necessity of motion capture. It is therefore indicated that the WNN can be a surrogate model for real-time gait biomechanics evaluation.

* Corresponding author Tel.: +0-86-029-83395187;

E-mail: menlwang@mail.xjtu.edu.cn

1 1. Introduction

2 Human movement prediction has been one of the most interesting and challenging fields in biomechanics.
3 Predictions from such studies can be used in surgical intervention planning (Reinbolt et al., 2009, Reinbolt et al.,
4 2008), athletes training (Iyer and Sharda, 2009, Pfeiffer and Hohmann, 2012, Schmidt, 2012) and prosthesis and
5 orthosis design (Au et al., 2008, Joshi et al., 2011, Rupérez et al., 2012). In addition joint moments are important
6 factors in order to investigate joint reaction forces, which in turn affect joint functions such as tribology
7 characteristics of the joint including friction, wear and lubrication of the articulating surfaces.

8 Joint loading can be determined by instrumented prosthesis (Fregly et al., 2012) which is not feasible most of
9 the time. It can also be calculated based on multi body dynamics method using the measured gait data in a gait
10 laboratory equipped with 3D motion capture system and force plate. Measured kinematics and kinetics as well as
11 anthropometric data are then used in an inverse dynamics analysis to calculate joint moments (Robert et al., 2013).
12 However multi body dynamics approach is generally time-consuming which prevents it from serving as a real-time
13 technique especially in gait retraining programs where the real-time calculation of joint moments is needed to
14 evaluate the efficiency of the rehabilitation program. There are also some major difficulties using multi body
15 dynamics analysis. Such musculoskeletal models are sensitive to muscle-tendon geometry, muscle origin and
16 insertion (Ackland et al., 2012, Carbone et al., 2012). On the other hand it is not always straight forward to validate
17 and verify the models. Numerical methods are also important considerations in multi body dynamics analysis which
18 may result in the failure of solutions.

19 According to the above limitations, artificial intelligence has been recruited in this area due to its ability in
20 pattern recognition and signal prediction. For a complete review on neural network application in biomechanics one
21 can refer to (Schöllhorn, 2004). Especially in the field of joint moment prediction, for example, Uchiyama et al, used
22 a three-layer feed forward artificial neural network (FFANN) to predict the elbow joint torque using
23 electromyography (EMG) signals, shoulder and elbow joint angles for constant muscle activation (Uchiyama et al.,
24 1998). Luh et al, also used a three-layer FFANN to predict elbow joint torque using EMG signals, joint angle and
25 elbow joint angular velocity (Luh et al., 1999). (Wang and Buchanan, 2002) proposed to calculate muscle activities
26 using EMG signals based on a four-layer FFANN. Predicted muscle activities were then used by a Hill-type model
27 in order to estimate muscle forces and elbow joint torque. (Song and Tong, 2005) also investigated a recurrent
28 artificial neural network (RANN) for elbow torque estimation using EMG data, elbow joint angle and angular
29 velocity. (Hahn, 2007) used a three-layer FFANN to predict isokinetic knee extensor and flexor torque based on age,
30 gender, height, body mass, EMG signals, joint position and joint velocity. However this study predicted only net
31 knee flexion extension torque and did not predict other lower extremity joint moments. Liu et al, presented a FFANN
32 to predict lower extremity joint torques in the sagittal plane using GRFs and related parameters measured during
33 vertical jumping (Liu et al., 2009). This study also predicted ankle, knee and hip joint moments only in the sagittal
34 plane for vertical jump. Favre et al, proposed to use a three-layer FFANN to predict the external knee adduction
35 moment based on force plate data and anthropometric measurements (Favre et al., 2012). This paper also
36 investigated only knee adduction moments and did not consider other lower extremity joint moments. In a recent
37 study Oh et al, also successfully predicted the three dimensional GRFs and moments based on three-layer FFANN
38 using fourteen inputs of body parts trajectories and accelerations. This study also proved the possibility of calculating
39 joint forces and moments based on the GRFs predicted with the intelligent network (Oh et al., 2013).

40 All of the above studies have used traditional neural network to predict joint moments. However a major
41 disadvantage of neural network is that local data structures are discarded in FFANN learning process (Cordova et al.,
42 2012). In addition, the initial weights are adjusted randomly at the beginning of the training algorithm which can
43 slow down the training process (Haykin et al., 2009). Another disadvantage is that the network may fall in to a local
44 minimum during the training procedure so the network output never converges to the target (Van Der Smagt and
45 Hirzinger, 1998).

46 In order to cope with these disadvantages, wavelet neural network (WNN) has been introduced as an
47 alternative method. WNN combines the theory of wavelet with ANN structure in order to benefit general
48 approximation ability of neural networks as well as localization property of wavelets. A WNN is a three-layer
49 FFANN with a hidden layer in which neurons are activated by wavelets as activation functions so the local data
50 structures are considered in both time and frequency domains. This type of intelligent networks has been used
51 successfully in pattern classification (Subasi et al., 2005, Subasi et al., 2006), function estimation (Zainuddin and
52 Pauline, 2011), system identification (Billings and Wei, 2005, Wei et al., 2010), signal prediction (Chen et al., 2006,
53 Pourtaghi, 2012, Zhang and Wang, 2012)and especially in bankrupting and price forecasting (Chauhan et al., 2009,
54 Mingming and Jinliang, 2012)which has significantly nonlinear dynamic patterns. According to the above studies, it
55 may be possible to design WNN for joints moments prediction. To the best of our knowledge WNN has not been
56 used before in human gait biomechanics prediction.

57 This study had two main purposes; first to develop a generic multi-dimensional WNN as a real-time
58 surrogate model for joint moment prediction; second, to compare the prediction accuracy of WNN with three-layer
59 FFANN. To aim the purposes, four subjects walked with three different conditions (normal gait as well as two
60 different knee rehabilitation programs) were obtained from the literature. A generic multi-dimensional WNN was
61 designed and trained at two different levels of inter-subject generalization. To avoid time consuming procedure of
62 marker trajectory collection and processing, and consider the previous studies(Favre et al., 2012, Hahn, 2007, Liu et
63 al., 2009) ,EMG and GRFs were considered as network inputs. WNN predictions were validated against inverse
64 dynamics analysis and compared with those predicted by a three-layer FFANN.

65 **2. Materials and methods**

66 **2.1. Subjects**

67 Four different patients unilaterally implanted with knee prostheses including three males and one female
68 (height: 168.25 ± 2.63 cm; mass: 69.18 ± 6.24 kg) were taken from a previously published data base
69 (<https://simtk.org/home/kneeloads> ; accessed on, 5 September 2013). Three different sessions were considered for
70 each subject including normal, medial thrust and walking pole patterns. In each session, five gait trials were recorded
71 under the same walking condition. For a complete description of sessions and trials one can refer to (Fregly et al.,
72 2012). In brief, medial thrust pattern, a successful rehabilitation pattern for knee joint off-loading, included a slight
73 decrease in pelvis obliquity and a slight increase in pelvis axial rotation and leg flexion compared to normal
74 gait(Fregly et al., 2007). In addition walking pole included two lateral poles as walking aids which has been effective
75 to reduce knee joint loading(Willson et al., 2001). It should be pointed out that although several gait cycles were
76 measured in each gait trial, only two complete gait cycles of each trial were used, leading to a total of 120 data sets
77 (four subjects * three sessions *five trials* two gait cycles).

78 **2.2. Data pre-processing**

79 Due to high frequency rate of GRFs and EMG signals (1000-1200 Hz) and low frequency rate of calculated
80 joint moments (100-120 Hz), data were preprocessed before using as WNN inputs. GRFs were down sampled
81 according to the calculated joint moments and then re-sampled to 100 points for a complete gait cycle using the
82 nearest neighbor interpolation method. GRF amplitudes were also normalized by body weight (BW).

83 A total of 14 EMG signals were recorded including semimembranosus(semimem), biceps femoris(bifem),
 84 vastus intermedius (vasmed), vastus lateralis (vaslat), rectus femoris (rf), medial gastrocnemius (medgas), lateral
 85 gastrocnemius (latgas), tensor fasciae latae (tfl), tibia anterior(tibant), peroneal, soleus, adductor magnus
 86 (addmagnus), gluteus maximus (gmax) and gluteus medius (gmed). In order to deal with high rate variation of EMG
 87 signals, root mean square (RMS) was used as one of the most accepted techniques to represent EMG signals in time
 88 domain (Staudenmann et al., 2010).EMG signals were divided in to 50msec intervals to calculate RMS features of
 89 EMG signals based on the following equation:

$$90 \quad \text{RMS} = \sqrt{\frac{1}{N} \sum_{n=1}^N (\text{EMG}(n))^2} \quad (1)$$

91 Where N=20 and shows the number of samples within each interval (Arslan et al., 2010). Butterworth filter of
 92 order 10 with a cut off frequency of 1 Hz was also applied to RMS features. Preprocessed EMG signals were re-
 93 sampled to 100 points for one complete gait cycle.

94 **2.3. Input variable selection: mutual information**

95 Using redundant or little informative inputs can yield to a more complicated network with a decreased level
 96 of prediction ability. Therefore network inputs were chosen according to mutual information criteria which was
 97 calculated based on the following equation:

$$98 \quad I(X;Y) = \iint P(x,y) \log \frac{P(x,y)}{P(x)P(y)} dx dy \quad (2)$$

99 In which X refers to input variables (GRFs and RMS features of EMG signals) and Y refers to the outputs
 100 (joint moments). P(x,y) is the joint probability density function of X and Y, while p(x) and p(y) are the marginal
 101 probability density functions of X and Y respectively (May et al., 2011).

102 **2.4. Artificial neural network**

103 Due to the successful application of three-layer feed forward artificial neural network for joint moment
 104 prediction, this structure was adopted to approximate the highly nonlinear relation between GRFs and EMG features
 105 as inputs and lower extremity joint moments as outputs. FFANN was implemented using the Neural Network
 106 Toolbox of Matlab (v. 2009, The MathWorks, Inc., Natick, MA). Prediction ability of the network was tested at two
 107 different levels of inter-subject generalization (Liu et al., 1999):

108 (i) Level 1: specific inter-subject

109 A three-layer FFANN with a given number of inputs (to be determined from the mutual information technique
 110 in Section 2.3) was trained with the walking patterns of three subjects out of four walked under a given gait pattern.
 111 This network was then tested to predict the joint moments corresponding to the fourth subject for the same walking
 112 condition (specific training data space).

113 (ii) Level 2: non-specific inter subject

114 The network was trained with all of the available walking patterns corresponding to three subjects out of four.
 115 The network was then tested to predict the joint moments of the fourth subject for a given walking condition (non-
 116 specific training data space). In other words, at this level network was trained based on all of the walking conditions
 117 (normal, medial thrust and walking pole) corresponding to three subjects at the same time.

118 According to this fact that in back propagation algorithm, descent gradient may fall in to local minimum and
 119 the outputs never converge to targets, this network was trained based on Levenberg-Marquardt algorithm with an
 120 adaptive learning rate. Training data space was randomly divided into three parts including train (65%), validation
 121 (15%) and test (15%). Train and validation parts were used to train the network and adjust the connection
 122 weights/biases. The optimal number of hidden neurons and epochs were determined according to the test and
 123 validation error. Increasing the number of neurons and epochs reduce the validation error however using too many
 124 hidden neurons and epochs decrease the network generalization ability due to over fitting and yield to test error
 125 increment. Hidden and output neurons were activated by “tansig” and “purlin” functions respectively. It should be
 126 noted that the intelligent network had one output node which was used to predict one component of joint moments at
 127 time in order to increase the prediction accuracy.

128 Training procedure was continued to achieve an error goal of 0.0001 or reach 3000 epochs. Once the network
 129 was trained, it was employed to calculate the joints moments associated with the test data set (fourth
 130 subject) .According to (Iyer and Rhinehart, 1999) the network was trained and run 100 times for each test data set
 131 and the average of these 100 runs was considered as the network prediction on that test data set. Network
 132 performance was investigated based on Pearson correlation coefficient (ρ) and normalized root mean square error
 133 (NRMSE %).

134 2.5. Wavelet neural network

135 Taking advantage of the localization property of wavelets (Alexandridis and Zapranis, 2013) and
 136 generalization ability of the neural network, a multi-dimensional WNN with N_i input nodes, N_o output nodes ($N_o = 1$)
 137 and M number of hidden neurons (wavelons) was developed in which hidden neurons were activated by wavelets as
 138 activation functions (Figure 1). Each input node was related to each wavelon, with a special value of shift, scale and
 139 input weight parameters. Therefore, input weights, scaling and shifting parameters formed $M \times N_i$ matrices.
 140 Accordingly, each wavelon was activated by a multi-dimensional wavelet which was defined as the multiplication of
 141 one-dimensional wavelets as below:

$$142 \quad \Psi_i(x_1, x_2, x_3, \dots, x_{N_i}) = \prod_{k=1}^{N_i} \psi\left(\frac{x_k w_{ik} - t_{ik}}{\lambda_{ik}}\right) \quad k = 1, 2, \dots, N_i; i = 1, 2, 3, \dots, M \quad (3)$$

143 In which $\psi(t)$ is Morlet wavelet function:

$$144 \quad \psi(t) = e^{-t^2/2} \cos(5t) \quad (4)$$

145 Where N_i indicates the number of input nodes and w_{ik} , t_{ik} and λ_{ik} are the input weight, shift and scale
 146 parameters relating k^{th} input to the i^{th} hidden wavelon respectively. It should be pointed out that each neuron acted on
 147 each input signal by a shifted and scaled version of mother wavelet (Morlet). The output of each wavelon was fed in
 148 to each output neuron with a special value of weight led to a $N_o \times M$ output weight matrix. Consequently the output of
 149 the proposed network was defined as follows:

$$150 \quad y_j = \sum_{i=1}^M w_{ji} \Psi_i(x_1, x_2, x_3, \dots, x_{N_i}) + \bar{y}_j \quad i = 1, 2, \dots, M; j = 1, 2, \dots, N_o \quad (5)$$

151 Where $\Psi_i(x_1, x_2, x_3, \dots, x_{N_i})$ is defined in equation (3) and w_{ji} is the output weight relating i^{th} hidden wavelon
 152 to j^{th} output node. The \bar{y}_j was also needed as a bias value to deal with nonzero mean functions (Zhang and
 153 Benveniste, 1992). Due to the above equations, five groups of parameters (input weights, shift, scale, output weights
 154 and bias values) were adjusted in WNN training. It should be pointed out that unlike the FFANN; in the case of

WNN it is important to initialize the adjustable parameters properly before training in order to guarantee that the daughter wavelets (shifted and scaled versions of mother wavelet) cover the entire of the input data space. Accordingly the WNN was trained in two main steps. First the adjustable parameters were initialized according to (Zhang and Benveniste, 1992); second, the network was trained based on batch gradient descent algorithm since the data vectors were not too large and included only 100 samples describing one complete gait cycle. The batch gradient descent algorithm developed for training the WNN is presented in Appendix 1. The error goal, number of training epochs and hidden neurons were determined based on the same procedure with the FFANN. All of the above analysis were conducted in Matlab (v. 2009, The MathWorks, Inc., Natick, MA).

2.6. Inverse dynamics analysis

A valid three dimensional musculoskeletal model with 23 degrees of freedom (DOF) and 92 muscles was recruited, available in Opensim software library (Delp et al., 2007). The model had three-DOF ball-and-socket hip joint, a hinge knee joint, universal joint for ankle-subtalar complex and hinge metatarsal joint. The model was first scaled using experimental marker trajectories. Scaled model was then used in the inverse kinematics (IK) analysis to calculate joint angles. In order to calculate joint moments, the scaled model was first imported to reduced residual analysis (RRA) in which musculoskeletal center of mass was modified so as the calculated joint angles would be in consistence with experimental GRFs.

The modified scaled model, calculated joint angles and experimental GRFs were then imported to compute muscle control (CMC) module in which muscle activities were calculated. Finally lower extremity joint moments were calculated using inverse dynamics analysis (ID) based on the CMC module calculations. Calculated joint moments were considered as WNN and ANN outputs to train the networks and validate the predictions.

3. Results

Prediction capability of a generic multi-dimensional WNN was investigated at two different generalization levels; (i) level 1; specific inter-subject and (ii) level 2; non-specific inter-subject. WNN predictions were validated against inverse dynamics calculations and compared with those obtained from a three-layer FFANN.

MI criterion was calculated between 18 potential inputs (three dimensional GRFs, moment of vertical GRF around center of pressure and a total of 14 EMG signals represented with 14 RMS features in time domain) and six joint moments outputs (hip abduction/adduction, hip flexion/extension, hip rotation, knee flexion/extension, and ankle flexion/extension and subtalar eversion moments). According to the results (Table 1) eight EMG signals, including semimembranosus (semimem), biceps femuris (bifem), vastuslateralis (vaslat), rectus femoris (rf), tibia anterior (tibant), peroneal, gluteus maximus (gmax) and gluteus medius (gmed) as well as two ground reaction components including anterior-posterior and vertical components of GRFs provided significant amount of information about joint moments and were chosen as the network (WNN and FFANN) inputs.

3.1. Level 1: specific inter-subject

Inverse dynamics calculations are compared with FFANN predictions (Figure 2) and WNN calculations (Figure 3) for medial thrust pattern of subject 4 as the test data set. According to Figure 2, a three-layer FFANN with 20 hidden neurons, 10 inputs and one output could predict the general pattern of lower extremity joint moments. However the predicted waveforms had different maximum and minimum values compared to the reference joint moments (inverse dynamics calculations). For example, FFANN output could not predict the pattern of knee flexion-extension moment (NRMSE=11.01%, $\rho=0.88$) (Figure 2-d). Moreover FFANN output overestimated the local maximum and minimum variation on the hip flexion-extension joint moment (NRMSE=11.93% $\rho=0.89$).

On the other hand according to Figure 3 the three-layer WNN network with 15 hidden neurons could predict the overall pattern of lower extremity joint moments as well as local minimums and maximums on each waveform. The maximum error occurred in prediction of the hip abduction moment (NRMSE =5.69%, $\rho=0.99$) which was much lower than the maximum error for FFANN moment prediction (hip adduction moment: NRMSE =12.72%, $\rho=0.97$). Figure 4 summarizes the accuracy of predictions for FFANN and WNN. According to the results, FFANN could predict joint moments to a certain level of accuracy for normal pattern ($\overline{\text{NRMSE}} = 7.70\%$, $\bar{\rho}=0.93$) medial thrust ($\overline{\text{NRMSE}} = 8.68\%$, $\bar{\rho} =0.95$) and walking pole ($\overline{\text{NRMSE}} = 8.25\%$, $\bar{\rho}=0.94$) patterns. Cross correlation values ranged from $\rho=0.86$ to $\rho=0.98$ and all the errors (NRMSE) were less than 13%.

By comparison, WNN could predict the joint moments more accurately than FFANN (normal pattern: $\overline{\text{NRMSE}} = 5.00\%$, $\bar{\rho}=0.97$; medial thrust: $\overline{\text{NRMSE}} = 5.10\%$, $\bar{\rho}=0.96$; and walking pole: $\overline{\text{NRMSE}} = 5.98\%$, $\bar{\rho}=0.96$). All of the cross correlation coefficients were higher than the corresponding values of FFANN and all errors were also lower than 10%. It is also noteworthy that the optimal WNN structure required less number of hidden neurons (15 wavelons) compared to the FFANN structure (20 hidden neurons) used to predict joints moments for the same test data set. Detailed information about the NRMSE % and cross correlation coefficients (ρ) is presented in the Appendix (Table 1.A and Table 2.A) for FFANN and WNN predictions.

3.2. Level 2:non- specific inter-subject

Inverse dynamics calculated joint moments are compared against FFANN predictions (Figure 5) and WNN calculations (Figure 6). According to the results (Figure7) errors were slightly increased at this level compared to the corresponding errors at level 1. Due to non-specific inter subject training space with higher pattern variation at this level compared to level 1, the number of hidden neurons was increased .For FFANN with 25 hidden neurons, cross correlation values ranged from $\rho=0.84$ to $\rho=0.96$ and all the NRMSE values were less than 20% (normal pattern: $\overline{\text{NRMSE}} = 12.32\%$, $\bar{\rho}=0.91$; medial thrust: $\overline{\text{NRMSE}} = 12.50\%$, $\bar{\rho}=0.91$; and walking pole: $\overline{\text{NRMSE}} = 14.04\%$, $\bar{\rho}=0.91$)

For WNN with 19 hidden neurons, the average prediction errors were also increased compared to level 1 (normal pattern: $\overline{\text{NRMSE}} = 7.6\%$, $\bar{\rho}=0.96$; medial thrust: $\overline{\text{NRMSE}} = 7.30\%$, $\bar{\rho}=0.96$; and walking pole: $\overline{\text{NRMSE}} = 8.90\%$, $\bar{\rho}=0.95$). However all of the cross-correlation values were still higher than those obtained from FFANN and all of the errors were also lower than corresponding FFANN prediction errors.

Moreover it should be pointed out that although the prediction errors were increased slightly at level 2 compared to level 1, the error increase in WNN predictions at level 2 were still smaller than the corresponding error increment in FFANN calculations (Figure 8).Compared to level 1, more hidden neurons were required for both FFANN and WNN; however the number of hidden neurons in WNN were still lower than in FFANN which was hired for the prediction of the same test data set. Detailed information about the NRMSE % and cross correlation coefficients is presented in the Appendix (Table 3.A and Table 4.A) for FFANN and WNN predictions.

4. Discussion

This study demonstrated that a multi-dimensional wavelet neural network (WNN) trained with inter-subject data space can be employed as a real-time surrogate model to predict lower extremity joint moments associated with different gait patterns. The present study differed from the previous researches on joint moment's prediction using neural network in two main aspects. First, a wavelet neural network was developed for the first time in this study to address the disadvantages of the traditional neural network .WNN predicted joint moments more accurately than feed forward artificial neural network used in the previous studies. Second, unlike previous studies, the data base adopted in this study included two different knee rehabilitation programs (medial thrust and walking pole) as well as normal gait. Due to this fact that knee rehabilitation programs mainly aim to reduce knee joint loading, a thorough real-time calculation of joint moments can provide useful information about the efficiency of rehabilitation plans.

237 Reviewing the previous research (Favre et al., 2012, Liu et al., 2009) used GRFs and related parameters to
238 predict joint moments successfully, additionally (Hahn, 2007) employed EMG signals to predict joint moments and
239 forces using artificial intelligence. This is consistent with our study using EMG and GRFs contributions to predict
240 joint moments. Such an approach also avoids the use of marker trajectories which need special equipment and can be
241 time consuming.

242 In order to improve the prediction ability of the intelligent networks (WNN and ANN), mutual information
243 technique was recruited to measure the amount of information provided by potential inputs (RMS representations of
244 EMG signals and GRFs) about the outputs (joint moments). This technique is noise robust and insensitive to data
245 transformation. It also measures the dependency between variables without any pre-assumption about the data
246 structure which makes it suitable for nonlinear data bases (May et al., 2011). MI-based chosen EMG signals were also
247 consistent with those signals used by (Zhang et al., 2012) and (Hahn, 2007) for lower extremity joint angles and
248 moments predictions respectively.

249 At level 1 (specific inter-subject), the network was tested for the walking condition that has been specifically
250 trained on it. On the other hand all of the walking patterns were included in the training data space at level 2 (non-
251 specific inter-subject). By comparison, training the WNN on specific data space with fewer number of training
252 patterns led to slightly better prediction accuracy than training on non-specific gait patterns with higher number of
253 training sets.

254 Comparing the presented WNN approach with multi body dynamics, the latter needs a comprehensive data
255 base of markers as its inputs that should be provided by motion capture. However motion capture is not always
256 available in all laboratories. This approach also required musculoskeletal model to be scaled based on subject-
257 specific anthropometric characteristics. Although multi body dynamics approach can provide physics-based insights
258 into human walking and investigate casual relationships in gait analysis, such an approach is generally time
259 consuming which prevents it to serve as a real-time method.

260 Unlike inverse dynamics analysis, WNN could predict joint moments based on GRFs and a few number of
261 EMG signals which released the necessity of motion capture. It also did not need musculoskeletal model or subject-
262 specific scaling of the model. Once the network was trained based on inter-subject data base it could predict joint
263 moments for a new subject with a high level of accuracy. Consequently WNN proposed a much easier and faster
264 method for joint moment prediction which can serve as a real-time surrogate model for human gait analysis.
265 Especially in gait rehabilitation where the real-time calculations of joint moments provide useful information about
266 the efficiency of the rehabilitation plans and unwanted moment increment that may occur in adjacent joints which is
267 one of the major concerns in gait rehabilitation. Therefore wavelet neural network has the potential of executing of a
268 more effective rehabilitation program with minimum effort involved.

269 As mentioned earlier, (Liu et al., 2009) proposed a three-layer FFANN to predict sagittal lower extremity
270 joint torques associated with two different vertical jumping conditions. The network was trained based on non-
271 specific inter-subject data space similar to the level 2 of the present study; however their training data space included
272 18 data sets (9 subjects * 2 conditions). All of the NRMSE (%) values were below 10% (except for ankle moment in
273 counter movement jump with NRMSE =14.6%). Compared to their study, the present three-layer FFANN had higher
274 prediction errors since it was trained based on a smaller data base (three subjects instead of nine subjects) included
275 larger patterns variation (three different gait patterns instead of two different jumping condition). However the
276 proposed WNN could predict joints moments to a higher level of accuracy and all of the NRMSE (%) values obtained
277 from the WNN were below 11% (Table 2.A and Table 4.A). Two previous studies by (Liu et al., 2009) and (Favre et
278 al., 2012) supported this idea that a three-layer FFANN trained based on inter-subject data space is sufficient to
279 predict joint moments using force plate data. This paper proposed that a generic WNN is also capable, and more

280 accurate to predict three dimensional joint moments using GRFs and EMG signals for a subject that was not seen by
281 the network before.

282 Although WNN could predict joint moments based on GRFs and EMG signals successfully, it did not
283 provide physical insights of human gait since it modeled the input-output relationship as a black box. This study did
284 not aim to provide such understanding and should not be compared with inverse dynamics analysis in this aspect.
285 Despite the computational cost of multi body dynamics, it is still one of the most accepted computational approaches
286 in biomechanics due to its ability for physical modeling (Ren et al., 2008).

287 Finally it should be pointed out that there were also some limitations within the present study. One limitation
288 was that the relatively small data pool of four subjects was used. It would be valuable to test the prediction capacity
289 of multi-dimensional WNN for a larger subject pool. As another limitation, WNN was trained based on joint
290 moments which were calculated by inverse dynamics analysis. Accordingly WNN could not be more accurate than
291 inverse dynamics approach. Due to available experimental knee reaction force in the present data base, it would be
292 valuable to recruit WNN to predict experimental joint reaction force based on GRFs and EMG signals and compare
293 the results with inverse dynamics calculations.

294 For the future application, wavelet neural network can be employed in conjunction with inverse dynamics
295 analysis to decrease the computational cost. Intelligent surrogate models can learn the dynamics of the patterns and
296 respond to a change in environment (adopt to a new subject for example) .Accordingly trained intelligent networks
297 can release the necessity of calculation repetitions, hence intelligent surrogates can be used jointly with inverse
298 dynamics analysis. A recent study for example used artificial neural network to solve the static optimization
299 equations as part of inverse dynamics calculation procedure to speed up the calculation process (van den Bogert et al.,
300 2013).

301 The generalization ability of the proposed wavelet neural network can also benefit what-if studies in which
302 sensitivity of joint moments would be investigated due to changes in joint kinematics. Once the intelligent network is
303 trained based on inverse dynamics calculated joint moments, it can be used to predict joint moments in response to
304 kinematic variations in order to conduct sensitivity analysis and release the necessity of inverse dynamics repetitions.
305 All these will be conducted in future studies.

306 **5. Conclusion**

307 This study demonstrated the feasibility of the wavelet neural network to calculate lower extremity joint
308 moments using ground reaction forces and electromyography signals; easier and faster than multi body dynamics and
309 more accurate than feed forward artificial neural network. For specific inter-subject training , all of the prediction
310 errors were lower than 9.00% with correlation coefficients $\rho > 0.89$.For non-specific inter-subject , all of the
311 prediction errors were still lower than 11% with $\rho > 0.91$.Accordingly compared to the traditional feed forward neural
312 network ,the proposed structure was more stable and robust due to large variations in input patterns. The high level
313 of accuracy and low computational cost at one hand, capability of joint moment calculation without marker
314 trajectories at another hand, suggest the proposed network as a real-time surrogate model that benefit gait
315 biomechanics analysis and rehabilitation execution.

316 **Conflict of interest statement**

317 The authors have no conflict of interests to be declared.

318 **Acknowledgments**

319 This work was supported by “the Fundamental Research Funds for the Central Universities”, National
 320 Natural Science Foundation of China [E050702] , the program of Xi’an Jiao Tong University [grant number
 321 xjj2012108], and the program of Kaifang funding of the State Key Lab for Manufacturing Systems Engineering
 322 [grand number sklms2011001]

323 **References**

- 324 ACKLAND, D. C., LIN, Y.-C. & PANDY, M. G. 2012. Sensitivity of model predictions of muscle function to changes in moment arms and
 325 muscle-tendon properties: A Monte-Carlo analysis. *Journal of biomechanics*, 45, 1463-1471.
- 326 ALEXANDRIDIS, A. K. & ZAPRANIS, A. D. 2013. Wavelet neural networks: A practical guide. *Neural Networks*.
- 327 ARSLAN, Y. Z., ADLI, M. A., AKAN, A. & BASLO, M. B. 2010. Prediction of externally applied forces to human hands using frequency
 328 content of surface EMG signals. *Computer Methods and Programs in Biomedicine*, 98, 36-44.
- 329 AU, S., BERNIKER, M. & HERR, H. 2008. Powered ankle-foot prosthesis to assist level-ground and stair-descent gaits. *Neural Networks*, 21,
 330 654-666.
- 331 BILLINGS, S. A. & WEI, H.-L. 2005. A new class of wavelet networks for nonlinear system identification. *Neural Networks, IEEE
 332 Transactions on*, 16, 862-874.
- 333 CARBONE, V., VAN DER KROGT, M., KOOPMAN, H. & VERDONSCHOT, N. 2012. Sensitivity of subject-specific models to errors in
 334 musculo-skeletal geometry. *Journal of biomechanics*.
- 335 CHAUHAN, N., RAVI, V. & KARTHIK CHANDRA, D. 2009. Differential evolution trained wavelet neural networks: Application to
 336 bankruptcy prediction in banks. *Expert Systems with Applications*, 36, 7659-7665.
- 337 CHEN, Y., YANG, B. & DONG, J. 2006. Time-series prediction using a local linear wavelet neural network. *Neurocomputing*, 69, 449-465.
- 338 CORDOVA, J. J., YU, W. & LI, X. Haar wavelet neural networks for nonlinear system identification. *Intelligent Control (ISIC), 2012 IEEE
 339 International Symposium on*, 2012. IEEE, 276-281.
- 340 DELP, S., ANDERSON, F., ARNOLD, A., LOAN, P., HABIB, A., JOHN, C., GUENDELMAN, E. & THELEN, D. 2007. OpenSim: open-
 341 source software to create and analyze dynamic simulations of movement. *IEEE transactions on bio-medical engineering*, 54, 1940.
- 342 FAVRE, J., HAYOZ, M., ERHART-HLEDIK, J. C. & ANDRIACCHI, T. P. 2012. A neural network model to predict knee adduction moment
 343 during walking based on ground reaction force and anthropometric measurements. *Journal of biomechanics*, 45, 692-698.
- 344 FREGLY, B. J., BESIÈRE, T. F., LLOYD, D. G., DELP, S. L., BANKS, S. A., PANDY, M. G. & D'LIMA, D. D. 2012. Grand challenge
 345 competition to predict in vivo knee loads. *Journal of Orthopaedic Research*, 30, 503-513.
- 346 FREGLY, B. J., REINBOLT, J. A., ROONEY, K. L., MITCHELL, K. H. & CHMIELEWSKI, T. L. 2007. Design of patient-specific gait
 347 modifications for knee osteoarthritis rehabilitation. *Biomedical Engineering, IEEE Transactions on*, 54, 1687-1695.
- 348 HAHN, M. E. 2007. Feasibility of estimating isokinetic knee torque using a neural network model. *Journal of biomechanics*, 40, 1107-1114.
- 349 HAYKIN, S. S., HAYKIN, S. S., HAYKIN, S. S. & HAYKIN, S. S. 2009. *Neural networks and learning machines*, Prentice Hall New York.
- 350 IYER, M. S. & RHINEHART, R. R. 1999. A method to determine the required number of neural-network training repetitions. *Neural
 351 Networks, IEEE Transactions on*, 10, 427-432.
- 352 IYER, S. R. & SHARDA, R. 2009. Prediction of athletes performance using neural networks: An application in cricket team selection. *Expert
 353 Systems with Applications*, 36, 5510-5522.
- 354 JOSHI, D., MISHRA, A. & ANAND, S. 2011. ANFIS based knee angle prediction: An approach to design speed adaptive contra lateral
 355 controlled AK prosthesis. *Applied Soft Computing*, 11, 4757-4765.
- 356 LIU, M. M., HERZOG, W. & SAVELBERG, H. H. 1999. Dynamic muscle force predictions from EMG: an artificial neural network approach.
 357 *Journal of electromyography and kinesiology*, 9, 391-400.

358 LIU, Y., SHIH, S.-M., TIAN, S.-L., ZHONG, Y.-J. & LI, L. 2009. Lower extremity joint torque predicted by using artificial neural network
359 during vertical jump. *Journal of biomechanics*, 42, 906-911.

360 LUH, J.-J., CHANG, G.-C., CHENG, C.-K., LAI, J.-S. & KUO, T.-S. 1999. Isokinetic elbow joint torques estimation from surface EMG and
361 joint kinematic data: using an artificial neural network model. *Journal of Electromyography and Kinesiology*, 9, 173-183.

362 MAY, R., DANDY, G. & MAIER, H. 2011. Review of input variable selection methods for artificial neural networks. *Artificial neural
363 networks—methodological advances and biomedical applications*. InTech, Croatia. doi, 10, 16004.

364 MINGMING, T. & JINLIANG, Z. 2012. A multiple adaptive wavelet recurrent neural network model to analyze crude oil prices. *Journal of
365 Economics and Business*, 64, 275-286.

366 OH, S. E., CHOI, A. & MUN, J. H. 2013. Prediction of ground reaction forces during gait based on kinematics and a neural network model.
367 *Journal of biomechanics*, 46, 2372-2380.

368 PFEIFFER, M. & HOHMANN, A. 2012. Applications of neural networks in training science. *Human movement science*, 31, 344-359.

369 POURTAGHI, A. 2012. Wavelet Neural Network and Wavenet Performance Evaluation in Hydrodynamic Force Prediction due to Waves on
370 Vertical Cylinders. *International Journal of Information and Computer Science*, 1.

371 REINBOLT, J. A., FOX, M. D., SCHWARTZ, M. H. & DELP, S. L. 2009. Predicting outcomes of rectus femoris transfer surgery. *Gait &
372 posture*, 30, 100-105.

373 REINBOLT, J. A., HAFTKA, R. T., CHMIELEWSKI, T. L. & FREGLY, B. J. 2008. A computational framework to predict post-treatment
374 outcome for gait-related disorders. *Medical engineering & physics*, 30, 434-443.

375 REN, L., JONES, R. K. & HOWARD, D. 2008. Whole body inverse dynamics over a complete gait cycle based only on measured kinematics.
376 *Journal of Biomechanics*, 41, 2750-2759.

377 ROBERT, T., CAUSSE, J. & MONNIER, G. 2013. Estimation of external contact loads using an inverse dynamics and optimization approach:
378 General method and application to sit-to-stand maneuvers. *Journal of biomechanics*, 46, 2220-2227.

379 RUP REZ, M. J., MART N-GUERRERO, J., MONSERRAT, C. & ALCA IZ, M. 2012. Artificial neural networks for predicting dorsal
380 pressures on the foot surface while walking. *Expert Systems with Applications*, 39, 5349-5357.

381 SCH LLHORN, W. 2004. Applications of artificial neural nets in clinical biomechanics. *Clinical Biomechanics*, 19, 876-898.

382 SCHMIDT, A. 2012. Movement pattern recognition in basketball free-throw shooting. *Human movement science*, 31, 360-382.

383 SONG, R. & TONG, K. 2005. Using recurrent artificial neural network model to estimate voluntary elbow torque in dynamic situations.
384 *Medical and Biological Engineering and Computing*, 43, 473-480.

385 STAUDENMANN, D., ROELEVELD, K., STEGEMAN, D. F. & VAN DIE N, J. H. 2010. Methodological aspects of SEMG recordings for
386 force estimation—a tutorial and review. *Journal of Electromyography and Kinesiology*, 20, 375-387.

387 SUBASI, A., ALKAN, A., KOKLUKAYA, E. & KIYMIK, M. K. 2005. Wavelet neural network classification of EEG signals by using AR
388 model with MLE preprocessing. *Neural Networks*, 18, 985-997.

389 SUBASI, A., YILMAZ, M. & OZCALIK, H. R. 2006. Classification of EMG signals using wavelet neural network. *Journal of neuroscience
390 methods*, 156, 360-367.

391 UCHIYAMA, T., BESSHO, T. & AKAZAWA, K. 1998. Static torque–angle relation of human elbow joint estimated with artificial neural
392 network technique. *Journal of biomechanics*, 31, 545-554.

393 VAN DEN BOGERT, A. J., GEIJTENBEEK, T., EVEN-ZOHAR, O., STEENBRINK, F. & HARDIN, E. C. 2013. A real-time system for
394 biomechanical analysis of human movement and muscle function. *Medical & biological engineering & computing*, 1-9.

395 VAN DER SMAGT, P. & HIRZINGER, G. 1998. Solving the ill-conditioning in neural network learning. *Neural Networks: Tricks of the
396 Trade*. Springer.

397 WANG, L. & BUCHANAN, T. S. 2002. Prediction of joint moments using a neural network model of muscle activations from EMG signals.
398 *Neural Systems and Rehabilitation Engineering, IEEE Transactions on*, 10, 30-37.

399 WEI, H.-L., BILLINGS, S. A., ZHAO, Y. & GUO, L. 2010. An adaptive wavelet neural network for spatio-temporal system identification.
400 *Neural Networks*, 23, 1286-1299.

401 WILLSON, J., TORRY, M. R., DECKER, M. J., KERNOZEK, T. & STEADMAN, J. 2001. Effects of walking poles on lower extremity gait
402 mechanics. *Medicine and science in sports and exercise*, 33, 142-147.

403 ZAINUDDIN, Z. & PAULINE, O. 2011. Modified wavelet neural network in function approximation and its application in prediction of time-
404 series pollution data. *Applied Soft Computing*, 11, 4866-4874.

- 405 ZHANG, F., LI, P., HOU, Z.-G., LU, Z., CHEN, Y., LI, Q. & TAN, M. 2012. sEMG-based continuous estimation of joint angles of human
406 legs by using BP neural network. *Neurocomputing*, 78, 139-148.
- 407 ZHANG, P. & WANG, H. 2012. Fuzzy Wavelet Neural Networks for City Electric Energy Consumption Forecasting. *Energy Procedia*, 17,
408 1332-1338.
- 409 ZHANG, Q. & BENVENISTE, A. 1992. Wavelet networks. *Neural Networks, IEEE Transactions on*, 3, 889-898.

Table 1 MI calculations between RMS features of EMG signals and GRFs (inputs) and lower extremity joint moments (outputs) for subject 4 walked with normal gait pattern as an example. MI criteria measure the amount of relevancy between potential inputs and outputs; higher MI values means more informative the input is regarding to the joint moments. Muscle abbreviations have been defined in the text.

	Hip abduction	Hip flexion	Hip rotation	Knee flexion	Ankle plantar flexion	Subtalar eversion
semimem	5.54	8.12	7.11	8.71	7.02	7.32
bifem	6.83	7.92	8.40	8.09	7.02	7.76
vasmed	5.02	4.07	2.73	3.04	6.66	6.81
vaslat	8.09	7.11	8.75	8.36	6.95	7.81
rf	8.50	6.68	7.53	7.83	6.31	6.8
medgas	2.37	1.38	3.86	2.29	2.35	1.43
latgas	5.81	1.57	2.92	3.78	1.93	2.99
tfl	4.14	2.79	3.82	3.55	1.34	1.69
tibant	7.25	7.57	6.55	6.48	8.72	8.41
peroneal	9.32	7.94	7.40	8.14	7.73	7.69
soleus	8.29	2.21	1.39	5.34	5.18	4.99
addmagnus	5.28	3.63	2.22	4.70	1.94	2.48
gmax	7.07	7.77	6.07	6.46	8.59	8.28
gmed	7.02	8.71	6.70	6.96	8.42	8.70
Anterior-posterior GRF	0.66	0.70	0.78	0.71	0.60	0.61
Medial-lateral GRF	0.35	0.33	0.17	0.14	0.11	0.18
Vertical GRF	0.72	0.99	0.78	0.79	0.59	0.87
GRF torque(vertical)	0.41	0.39	0.39	0.27	0.16	0.22

Figure 1

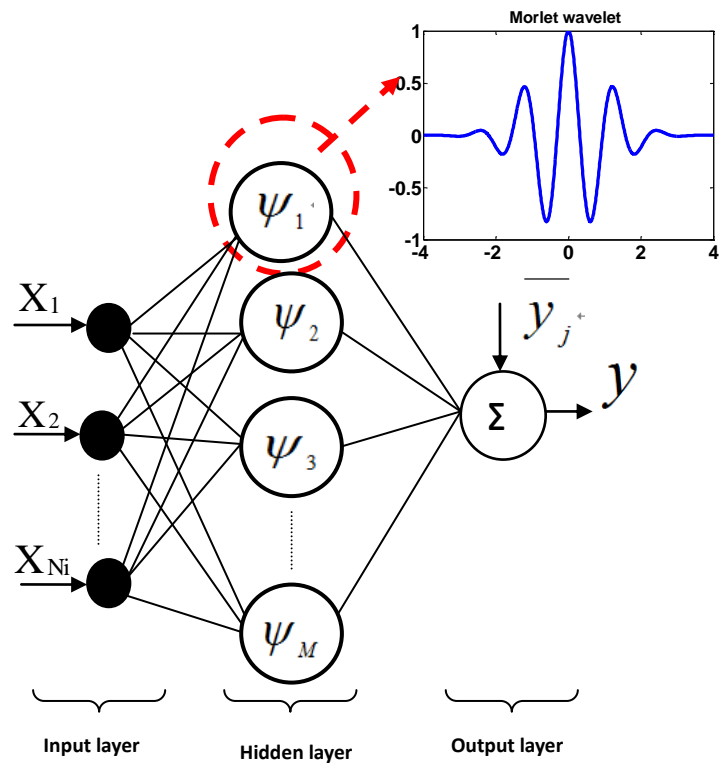


Figure 1 WNN structure with N_i inputs, M hidden wavelets and one output which was used to predict each component of lower extremity joint moments.

Figure 2

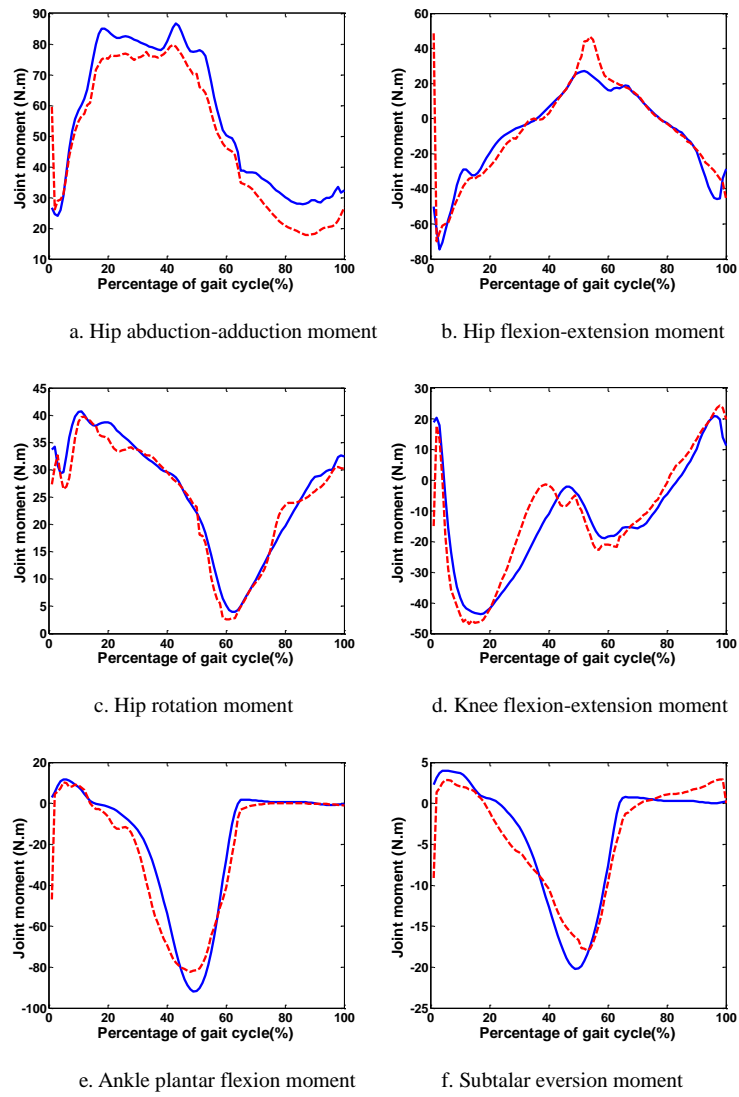


Figure 2 Predicted joint moments (dashed line) versus inverse dynamics calculations (solid line) using three-layer FFANN for subject 4 walked with medial thrust pattern corresponding to specific inter-subject training (level 1).

Figure 3

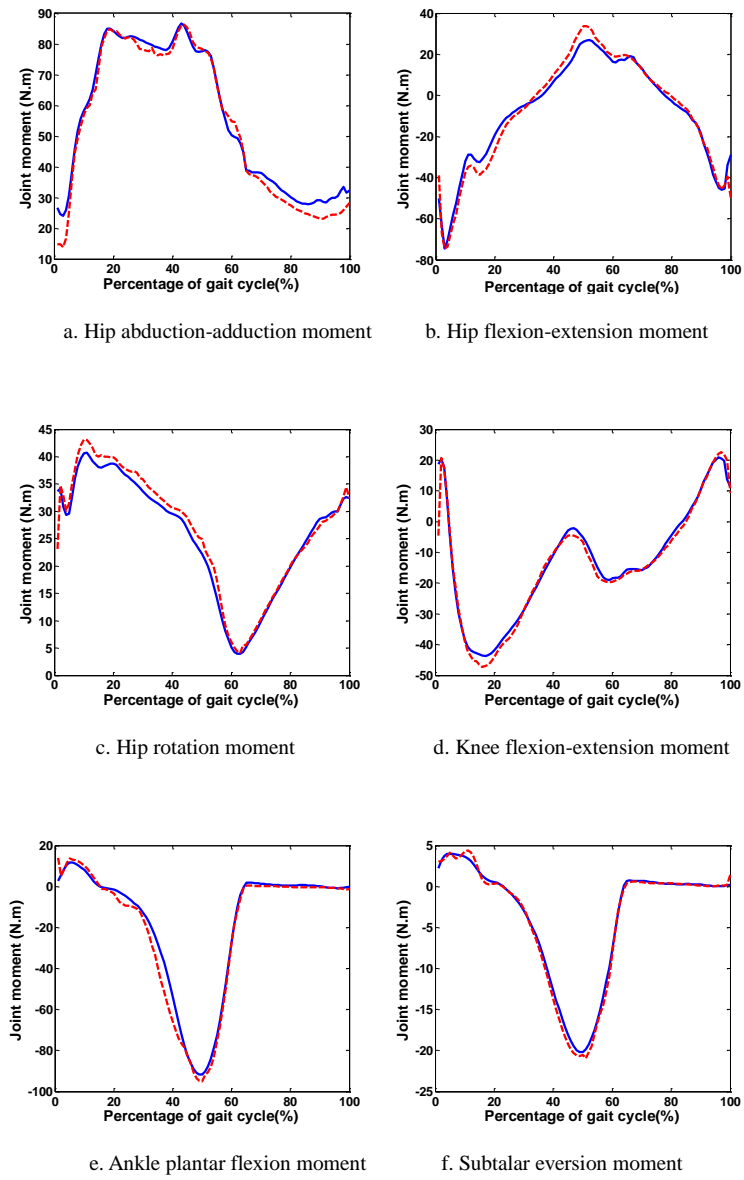


Figure 3 Predicted joint moments (dashed line) versus inverse dynamics calculations (solid line) using three-layer WNN for subject 4 walked with medial thrust pattern corresponding to specific inter-subject training (level 1).

Figure 4

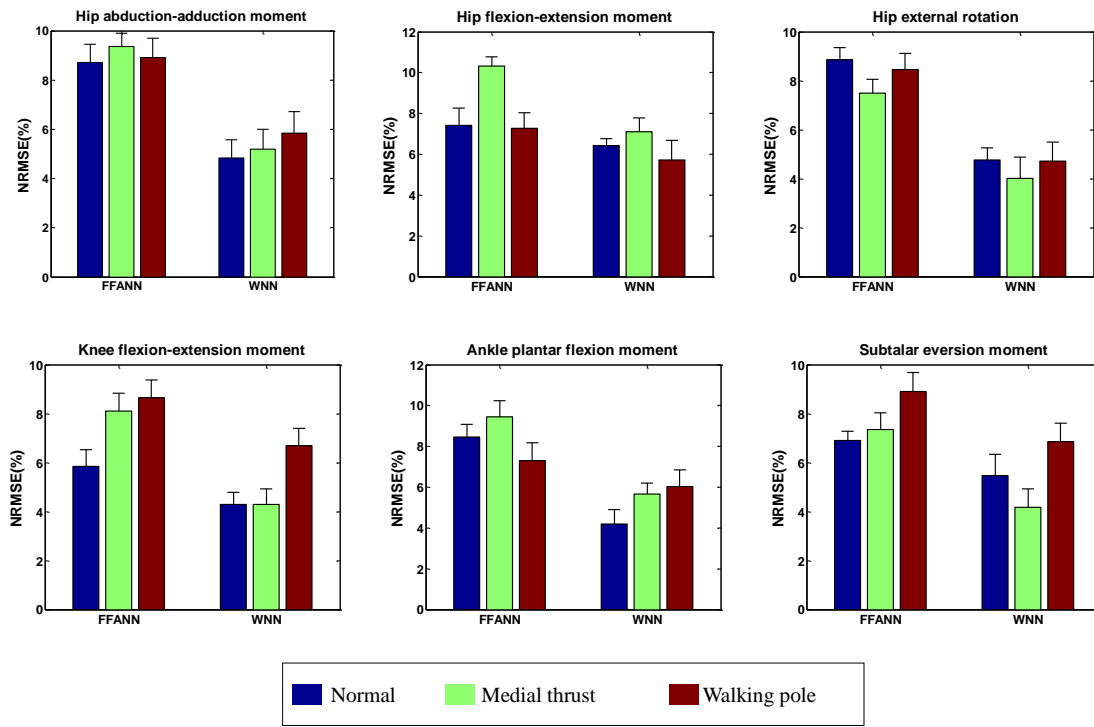


Figure 4 NRMSE (mean \pm standard deviation) for FFANN and WNN predictions corresponding to three walking patterns as normal, medial thrust and walking pole at level 1 (specific inter-subject training).

Figure 5

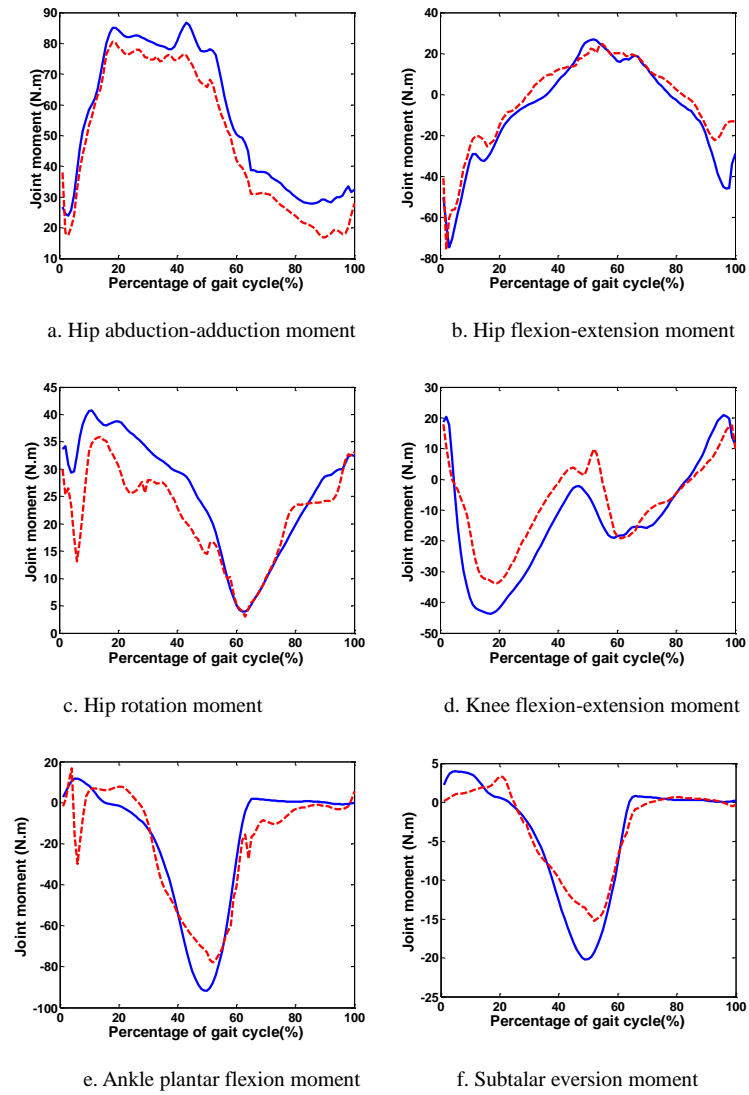


Figure 5 Predicted joint moments (dashed line) versus inverse dynamics calculations (solid line) using three-layer FFANN for subject 4 walked with medial thrust pattern corresponding to non-specific inter-subject training (level 2).

Figure 6

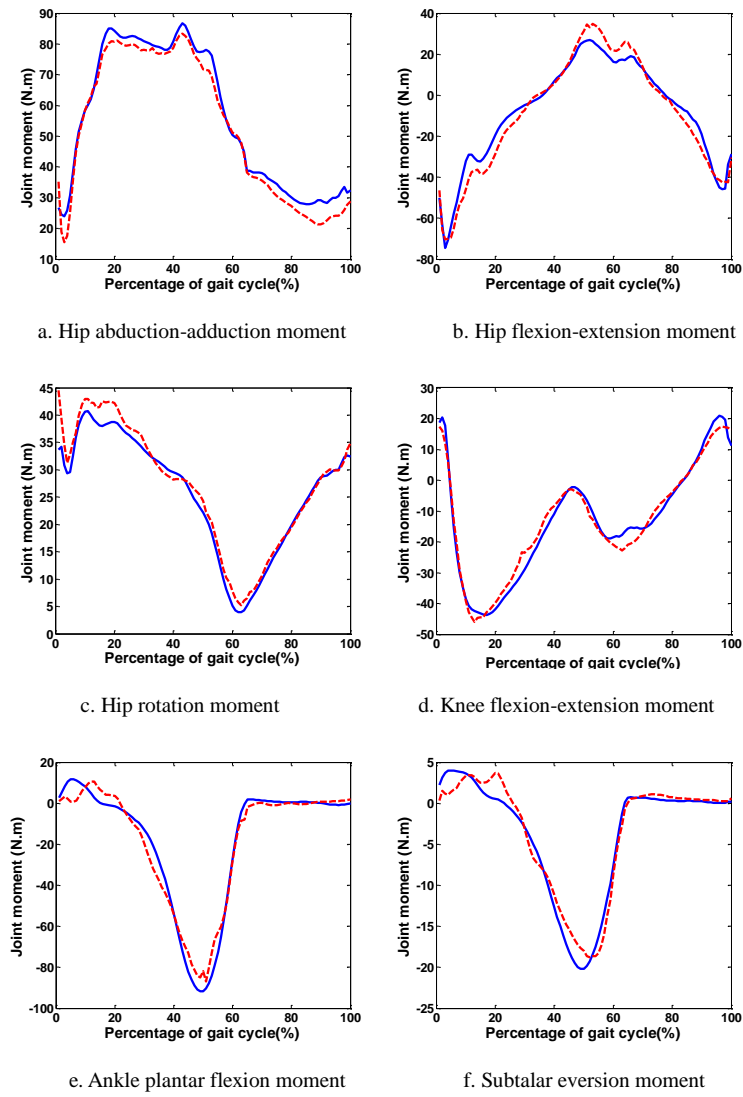


Figure 6 Predicted joint moments (dashed line) versus inverse dynamics calculations (solid line) using three-layer WNN for subject 4 walked with medial thrust pattern corresponding to non-specific inter-subject training (level 2).

Figure 7

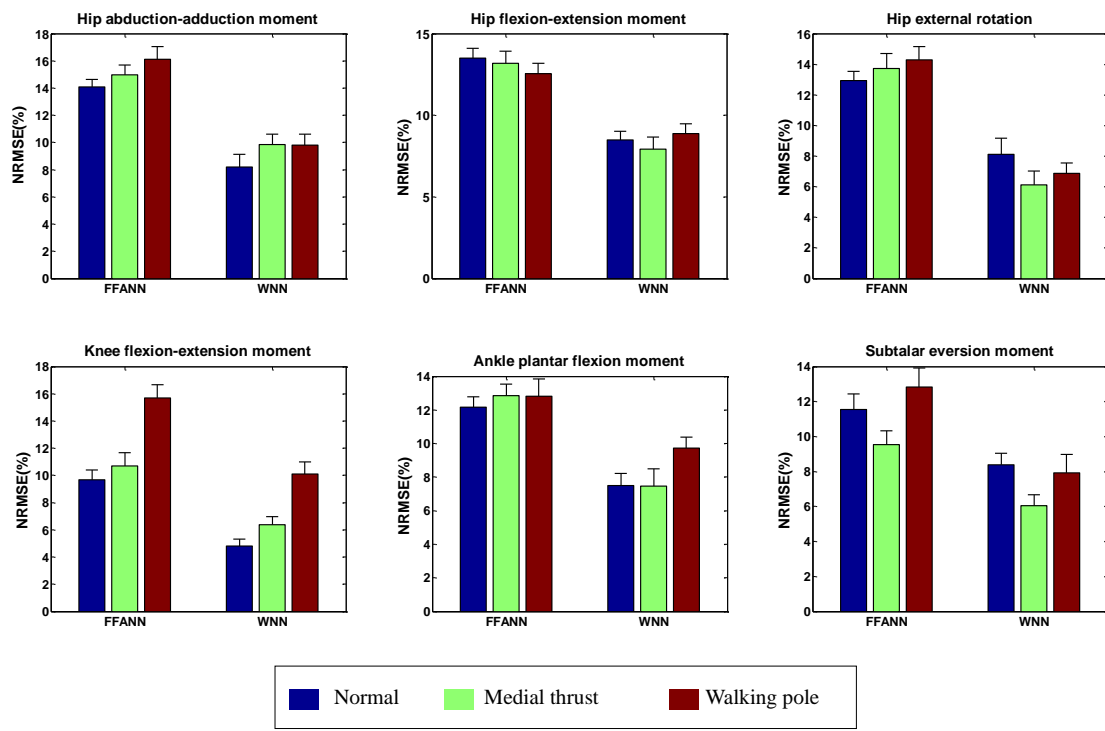


Figure 7 NRMSE (mean \pm standard deviation) for FFANN and WNN predictions corresponding to three walking patterns as normal, medial thrust and walking pole at level 2 (non-specific inter-subject training).

Figure 8

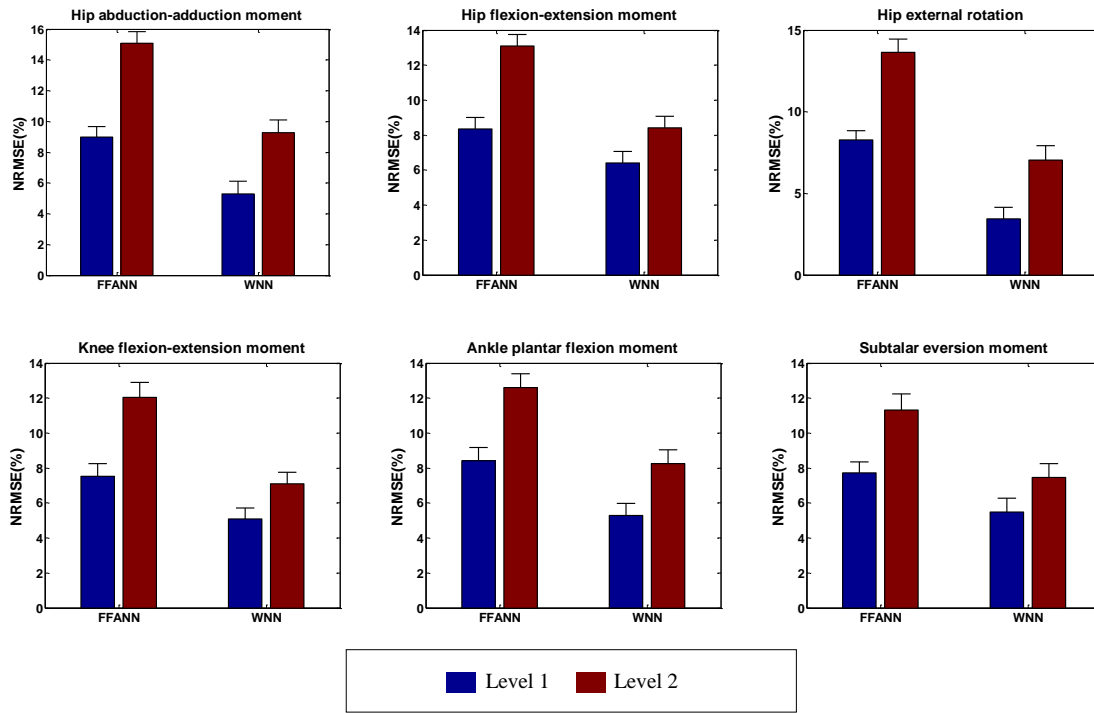


Figure 8 Comparing the error increment between FFANN and WNN over level 1 (specific inter-subject) and level 2(non-specific inter-subject).At level 2 , the prediction errors were increased due to the higher variety in the training data space; however the error increments in WNN predictions over level 1 and level 2 were generally lower than FFANN.

Supplementary Material

[Click here to download Supplementary Material: Appendix.pdf](#)