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In vitro enzymic hydrolysis of chlorogenic acids in coffee

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Key words: instant coffee, phenolic acids, pancreatic digestion, human plasma, Caco-2 cells

Abbreviations: **CA**, caffeic acid, **3-CQA**, 3-O-caffeoylquinic acid, **4-CQA**, 4-O-caffeoylquinic acid, **5-CQA**, 5-O-caffeoylquinic acid, **DHCA**, dihydrocaffeic acid, **DHFA**, dihydroferulic acid, **3,4-DMCIN**, 3,4-dimethoxycinnamic acid, **DMEM**: Dulbecco's modified Eagle's medium, **FA**, ferulic acid, **FBS**, fetal bovine serum, **GC**, instant coffee made from 65 % roasted and 35 % unroasted green coffee beans, **GoC**, golden roast caffeinated instant coffee, **GoD**, golden roast decaffeinated instant coffee, **HBSS**, Hank's balanced salts solution, **HCA**s, hydroxycinnamic acids, **iFA**, isoferulic acid.

1 **Abstract**

2 **Scope:** Coffee is rich in quinic acid esters of phenolic acids (chlorogenic acids) but also contains
3 some free phenolic acids. A proportion of phenolic acids appear in the blood rapidly after coffee
4 consumption due to absorption in the small intestine. We investigated in vitro whether this appearance
5 could potentially be derived from free phenolic acids in instant coffee or from hydrolysis of
6 chlorogenic acids by pancreatic or brush border enzymes.

7 **Methods/results:** We quantified 6 free phenolic acids in instant coffees using HPLC-DAD-ESI-QQQ.
8 The highest was caffeic acid, but all were present at low levels compared to the chlorogenic acids.
9 Roasting and decaffeination significantly reduced free phenolic acid content. We estimated, using
10 pharmacokinetic modelling with previously published data, that the contribution of these compounds
11 to small intestinal absorption is minimal. Hydrolysis of certain chlorogenic acids was observed with
12 human differentiated Caco-2 cell monolayers and with porcine pancreatin, which showed maximal
13 rates on 3- and 5-O-caffeoylquinic acids respectively.

14 **Conclusions:** The amounts of certain free phenolic acids in coffee could only minimally account for
15 small intestinal absorption based on modelling. The hydrolysis of caffeoyl quinic, but not
16 feruloylquinic acids, by enterocyte and pancreatic esterases is potentially a contributing mechanism to
17 small intestinal absorption.

18

19

20 **1 Introduction**

21 Coffee is a rich source of chlorogenic acids, a diverse family of esters formed between quinic acid
22 and certain phenolic acids, mainly hydroxycinnamic acids such as caffeic, ferulic and p-coumaric
23 acids [1]. A limited number of studies have identified small amounts of free phenolic acids in green
24 coffee beans and commercially available instant coffee [2, 3]. Variation in chlorogenic acid content
25 can result from different bean varieties and from different climates [4]. While extensive changes to
26 chemical composition and final chlorogenic acid content of the coffee bean can result from
27 decaffeination and roasting [1, 5], very little is known about the impact of coffee processing on
28 generating free phenolic acids. Investigations using cell models of the intestinal epithelium indicate
29 that phenolic acids are rapidly transported into the blood [6] and human intervention studies
30 investigating the appearance of phenolic acids in human plasma have indicated that free phenolic
31 acids and especially dimethoxycinnamic acid are highly bioavailable after coffee consumption [7].

32

33 A very limited absorption of intact chlorogenic acids occurs after consumption of coffee, and the
34 levels of compounds such as caffeoyl quinic or feruloyl quinic acids in plasma are at very low nM
35 levels [8]. During digestion, chlorogenic acids are hydrolysed to quinic acid and the constituent
36 phenolic acid. The phenolic acid appears in plasma in various forms, but mostly as sulphate
37 conjugates [8]. Most absorption occurs after 4-6 hours, owing to hydrolysis of chlorogenic acids by
38 gut microbiota in the colon [9]. However, a significant amount of the phenolic acids appear at earlier
39 time points in the blood (1-3 hours), especially for metabolites such as ferulic acid sulphate and
40 dimethoxycinnamic acid [7], but it is not known if these are derived from free phenolic acids already
41 present in the coffee, or from hydrolysis of chlorogenic acids by enzymes present in the small
42 intestine. The latter could include pancreatic secretions and brush border enzymes. A previous study
43 on human tissues indicated no hydrolysis upon incubation of chlorogenic acid (mainly 5-O-
44 caffeoylquinic acid) with extracts of human intestinal tissue, liver or plasma. On the other hand,
45 chlorogenic acid was efficiently converted into caffeic acid when incubated with faecal extracts [9].

46 There is also some evidence for a small amount of gastric absorption of intact chlorogenic acids in
47 animal and cell models [3, 10].

48

49 Phenolic acids may be the principal bioactive compounds responsible for the observed beneficial
50 health effects associated with regular coffee consumption [11]. There is growing evidence that
51 chlorogenic acid metabolites may contribute to improved intestinal health by increasing mucosal
52 membrane integrity [12]. Additionally, studies with animal models of type 2 diabetes indicated
53 favourable antiglycemic effects and modulation of oxidative enzymes following consumption of
54 caffeic acid [13] and ferulic acid [14]. It is now widely appreciated that chronic low grade
55 inflammation plays a key role in development of degenerative diseases [15], and the reported
56 bioactivity of phenolic acids may in part contribute to their control or prevention.

57

58 The aim of this study was to verify the presence of free phenolic acids in a variety of instant coffees
59 and estimate the impact of roasting and decaffeination on the phenolic acid content. Pharmacokinetic
60 modelling was then used to assess whether free phenolic acids, present in coffee, can account for the
61 levels of respective metabolites observed in human plasma after coffee consumption. Pancreatic
62 secretions and preparations of Caco-2 cells monolayers were used to investigate the hydrolysis of
63 individual caffeoylquinic acids and chlorogenic acids, as present in coffee.

64 **2 Materials and Methods**

65

66 **2.1 Chemicals and materials**

67 All chemicals used in this investigation were purchased from Sigma-Aldrich (Berkshire, UK) unless
68 otherwise stated. Acetonitrile (HPLC-MS grade) was purchased from Fisher Scientific Ltd
69 (Leicestershire, UK). Ferulic acid ($\geq 99\%$) and sinapic acid ($\geq 99\%$, HPLC) were purchased from
70 Fluka Analytical; dihydroferulic acid (97%), dihydrocaffeic acid (98+%) and methyl ferulate were
71 obtained from Alfa Aesar (Lancashire, UK); isoferulic acid was from Extrasynthèse (Genay France)
72 and DMSO from Riedel-de Haën. The human colon adenocarcinoma cell line, Caco-2 (HTB-37), was
73 obtained from American Type Culture Collection at passage 18 (LGC Promochem, Middlesex, UK).
74 Culture flasks, Transwell plates fitted with polycarbonate semi-permeable inserts of pore size $0.4\ \mu\text{m}$
75 and area $4.67\ \text{cm}^2$ were obtained from Corning Life Sciences (Appleton Woods, Birmingham, UK).
76 All water refers to deionized water, Millipore UK Ltd (Hertfordshire, UK).

77 The instant coffees used were obtained from a local supermarket: A coffee made from 35% unroasted
78 and 65% roasted green coffee beans (GC), a golden roast with caffeine (GoC), a decaffeinated golden
79 roast (GoD), a medium-dark roast with caffeine (MC), and a decaffeinated medium-dark roast (MD).

80

81 **2.2 Sample preparation**

82 **Standards:** For analysis of phenolic acids in coffee solutions, calibration curves with concentrations
83 ranging from 0.05 to $100\ \mu\text{M}$ for caffeic acid, dihydrocaffeic acid, ferulic acid, dihydroferulic acid,
84 isoferulic acid and 3,4-dimethoxycinnamic acid were prepared in premixed 5% acetonitrile and 94.9
85 % water acidified with 0.1% formic acid (solvent A). Sinapic acid was used as internal standard at a
86 final concentration of $100\ \mu\text{M}$.

87

88 For analysis of free caffeic and ferulic acids after pancreatin treatment of coffee, caffeoylquinic acids
89 (3-, 4-, 5-CQA) and feruloylquinic acids (3-, 4-, 5-FQA) calibration curves were prepared by
90 dissolving a $10\ \text{mM}$ stock solution of free phenolic acid in $20\ \text{mM}$ potassium phosphate buffer (pH

91 7.4). Final concentrations ranged from 1 to 34 μM and from 1 to 27 μM for caffeic and ferulic acid,
92 respectively, for the analysis of phenolic acids after pancreatic digestion of coffee. Final
93 concentrations ranged from 0.02 to 34 μM and from 0.01 to 27 μM for caffeic and ferulic acid,
94 respectively, for the analysis of phenolics acids after pancreatic digestion of caffeoyl- and
95 feruloylquinic acids, respectively.

96
97 For analysis of metabolites in cell culture solutions, calibration curves with final concentration
98 ranging from 0.1 to 2400 μM for caffeic acid, 5-O-caffeoylquinic acid, 3-O-caffeoylquinic acid,
99 ferulic acid, methyl caffeate and methyl ferulate were prepared by dissolving a high concentration of
100 test compound in dimethylsulfoxide and diluting with solvent A. The mixture was added to HBSS to
101 create a matrix-matched calibration curve based on peak area at 320 nm. All samples were normalized
102 to the internal standard, p-coumaric acid, which was maintained at 50 μM . Ferulic acid was used to
103 determine diode array analytical performance based on triplicate injections on the same day: the limit
104 of quantification was 0.1 μM , accuracy and precision at this concentration were calculated at $< \pm 15$
105 % and $< \pm 5$ % R.E. and R.S.D respectively.

106
107 **Preparation of coffee solutions:** Triplicates of spiked and non-spiked coffee samples were prepared
108 from two different batches of commercially available instant coffees. Phenolic extraction was done by
109 adding boiling deionized water to the coffee granules to a final 50 mg/mL concentration. This first
110 extracted stock was then diluted to 5 mg/mL in solvent A. Spiked samples were supplemented with
111 free phenolic acids to a final concentration of 100 μM and sinapic acid was used as internal standard
112 at a final concentration of 100 μM . For the pancreatin digestion of coffee, a 20 mg/mL GC coffee
113 solution was prepared in potassium phosphate buffer (pH 7.4).

114
115 **Preparation of pancreatin solution:** A 100 mg/mL porcine pancreatin (4 x USP; Sigma-Aldrich
116 (Berkshire, UK)) suspension was prepared in potassium phosphate monobasic buffer (pH 7.4), briefly
117 vortexed and centrifuged for 5 min at 20,000 g and 4 °C. A control solution was prepared by boiling

118 an aliquot of the supernatant. A further aliquot was used to quantify the protein content using the
119 Bradford assay. Both active and inactive pancreatin solutions were kept on ice during the experiment.
120 Pancreatic digestion was carried out for 60 min at 37 °C. An aliquot (100 µL) was collected at 0, 10,
121 20, 40 and 60 min after incubation start, and 10 % HCl (10 µL) was added to each collected sample to
122 stop the reaction. The inactivated sample was centrifuged for 10 min at 20,000 g at 4 °C, and 5 µL of
123 the supernatant was injected in duplicate on an HPLC-DAD-ESI-QQQ for quantitation.

124

125 **Preparation of Caco-2 cells:** Caco-2 cells were cultured as previously published [16] with the
126 following modifications: On or after 22 d, metabolism studies (n = 3 per test compound) were
127 initiated by replacement of DMEM culture medium with transport buffer (HBSS modified with 1.8
128 mM calcium chloride, pH 7.4) in the apical (2 mL) and basal (2 mL) compartments. Plates were
129 incubated at 37 °C in a 5 % CO₂-humidified atmosphere for 15 min to allow equilibration of tight
130 junction integrity. Apical and basal solutions were carefully aspirated and 2 mL of a 1 mM phenolic
131 acid ester test solution was added to the apical compartment, while all basal solutions were transport
132 buffer only. The test solution consisted of test compound (methyl ferulate, methyl caffeate, 5-O-
133 caffeoylquinic acid or 3-O-caffeoylquinic acid) dissolved in a small amount of DMSO, which was
134 diluted 500 times with transport buffer (0.2 % DMSO). Trans-epithelial electrical resistance (TEER)
135 was recorded using a Millicell ERS volt-ohm meter fitted with a chopstick probe (Millipore Ltd,
136 Watford, UK). To assess the stability of the test compounds during the experiment, 2 mL of the test
137 solution was added to a Transwell plate, in the absence of cells, as a reference sample. Both the
138 Transwell plate and reference sample were incubated at 37 °C in a 5 % CO₂-humidified atmosphere
139 for 2 h after which TEER measurements were repeated. 1 mL of the apical, basal and reference
140 sample solutions were then collected, acetic acid was added to obtain a final concentration of 10 mM
141 and samples stored at -80 °C until analysis. Immediately before HPLC-DAD analysis, samples were
142 thawed and centrifuged (17,000 × g, 5 min, RT) to remove particulate matter. 95 µL were removed to
143 an amber vial, spiked with 5 µL of internal standard (p-coumaric acid, 50 µM final concentration) and
144 placed in the HPLC autosampler for analysis.

145

146 **Caco-2 cell metabolism:** Cellular hydrolysis was calculated by subtracting the amount of metabolite
147 degraded in the reference sample after incubation (2 h), from the amount of metabolite (ferulic acid or
148 caffeic acid) detected in the apical and basal compartments after incubation (2 h) with the Caco-2
149 monolayers. Values are expressed as mean \pm SD (n = 3). For TEER measurements, the mean final
150 unit area resistance values for the control and test conditions were $1037 \pm 104 \Omega\text{cm}^2$ and 960 ± 112
151 Ωcm^2 respectively which is indicative of a monolayer with established tight junctions and a well-
152 developed apical-brush border [17].

153

154 **2.3 Sample analysis**

155 Analysis of the coffee solutions and metabolites resulting from in vitro pancreatic hydrolysis was
156 performed on an HPLC-DAD-ESI-QQQ. For the cell culture metabolites, this was done on a Rapid
157 Resolution HPLC-DAD (1200 series Agilent Technologies, Berkshire, UK). Dihydrocaffeic (DHCA)
158 and dihydroferulic acids (DHFA) were analysed at 280 nm, and caffeic (CA), ferulic (FA), isoferulic
159 (iFA) and 3,4-dimethoxycinnamic acids (3,4-DMCIN) at 325 nm. Upon optimization of the standards,
160 the mass spectrometric variables shown in Table 1 were used.

161 5 μL of either coffee solution, cell culture solution or standard was injected. Chromatographic
162 separation was achieved on an Eclipse plus C18 column (30 °C, 2.1 mm x 100 mm, 1.8 μm pore size;
163 Agilent Technologies) using a 61-min gradient of solvent A (premixed 94.9 % water in 5 %
164 acetonitrile modified with 0.1 % formic acid) and B (premixed 5 % water in 94.9 % acetonitrile
165 modified with 0.1 % formic acid), based on a previously established gradient [3]. Identification of
166 metabolites in cell culture solutions was confirmed by comparison of the UV spectra and retention
167 time with commercial standards. Caffeic acid and ferulic acid from the pancreatic digestion were also
168 quantified using an Eclipse plus C18 column (30 °C, 2.1 mm x 100 mm, 1.8 μm pore size; Agilent
169 Technologies), however, chromatographic separation of the 5 μL injected sample was achieved in a
170 30-min gradient with a flow rate of 0.3 mL/min. The gradient increased from 0 % to 8 % B within the
171 first 14.0 min and was kept at 19 % B from 14.1 to 20.0 min. The column was washed with 100 % B

172 from 20.1 to 24.0 min prior to equilibration with 0 % B from 24.1 to 30.0 min. Chromatograms were
173 recorded at 280 and 320 nm and DAD spectra were stored for quality control purposes. The flow was
174 evaporated with an electrospray unit operated in negative mode at 350 °C. The nebulizer gas was
175 nitrogen set to a flow rate of 13 L/min and a pressure of 60 psi.

176

177 **2.4 Recoveries**

178 Recovery rates of phenolic acids extracted from coffee were estimated from the comparison of
179 triplicate samples of non-spiked 5 mg/mL coffee solutions with 100 µM-spiked 5 mg/mL coffee
180 solutions.

181

182 **2.5 Pharmacokinetic calculations**

183 To estimate the possible contribution of unmodified free phenolic acids to the early plasma pool,
184 pharmacokinetic curves of 4 g of GC were computed assuming the following variables: 100 %
185 bioavailability (F), a volume of distribution measured for another polyphenol, (-)-epigallocatechin
186 gallate, equivalent to that of 200 mg in healthy human [18] (V_{β}/F 2009 +/- 1514), an administration
187 dose of free phenolic acid as quantified in 4 g GC (FA: 310.2 µg, iFA: 7.2 µg, DHFA: 17.2 µg, CA:
188 1116 µg, DHCA: 173 µg, 3,4-DMCIN: 149 µg), a maximal concentration (C_{max}) and half-life ($t_{1/2}$)
189 taken from existing pharmacokinetic curves [19], an elimination constant (k_e) calculated from $t_{1/2}$ (k_e
190 = $\ln(2)/t_{1/2}$) and a k_a calculated using the following formula: $k_2 * t_{max} - \ln(k_a) - k_e * t_{max} + \ln(k_e) = 0$.

191

192 **2.6 Statistical analyses**

193 The statistical software R was used for analyses of data. Each free phenolic acid was compared
194 between coffees, using the Welch two-sample t-test. Only values of $p < 0.05$ were considered
195 statistically significant.

196

197 **3 Results**

198

199 **3.1 Free phenolic acid content of coffee**

200 The conditions established for analysis of free phenolic acids by HPLC-DAD-ESI-QQQ are shown in
201 Table 1 and Figure 1. The limit of quantification per injection was 7.5, 45, 5.6 and 4.8 pg for CA,
202 DHCA, FA and DHFA respectively. Using this method, the content of 6 free phenolic acids was
203 determined in 5 different instant coffees (Table 2). All compounds were above the limit of
204 quantification. Amongst the 5 coffees analysed, the coffee originating from a mixture of roasted and
205 unroasted beans (GC) had the highest content of CA and FA ($p < 0.001$ and $p < 0.05$ respectively). On
206 the other hand, the medium dark roast caffeinated coffee (MC) contained the highest content of
207 DHCA, DHFA and iFA, and the golden roast caffeinated coffee (GoC) contained the highest level of
208 3,4-DMCIN. Finally, the two decaffeinated coffees tested had, when compared to their corresponding
209 roast caffeinated coffee, lower levels of all free phenolic acids. These observations suggest that not
210 only roasting, but also decaffeination, may affect the content of free phenolic acids. The levels of free
211 phenolic acids are > 2 orders of magnitude lower than that of chlorogenic acids typically present in
212 the GC coffee [20], see Table 2.

213

214 **3.2 Estimation of the contribution of free phenolic acids to the early appearing blood phenolic** 215 **acid conjugates**

216 Phenolic acid metabolites were reported in plasma in a previous human study where subjects
217 consumed a cup of GC coffee beverage at different concentrations [19]. To ascertain the contribution
218 of phenolic acids present in coffee to the early appearance of phenolic acids metabolites in plasma, the
219 contents in the GC coffee from the current investigation were compared to computed pharmacokinetic
220 curves (Figure 2) and to the maximum plasma values previously reported obtained after consumption
221 of a cup of 4 g GC coffee [19]. Assumptions made are shown in the Material and Methods section.
222 However, our values are the maximum estimates and real values are likely to be less. Even in the
223 unlikely event that our assumptions are underestimated by 10-fold, the amount of free phenolic acid in

224 coffee is still not enough to account for the early appearing blood peaks by at least an order of
225 magnitude, and more for some compounds. As an example, the free ferulic acid fraction in coffee
226 would give rise to a peak of 0.5 nM in blood assuming 100% absorption, compared to an obtained
227 value of 200 nM after drinking a cup of coffee, i.e. ~400-fold higher. From this calculation, we
228 estimate that most of the early appearing phenolic acids would be due to hydrolysis of the constituent
229 chlorogenic acids, which must occur either in the stomach or in the small intestine. We therefore
230 tested some possible sources of esterase in the small intestine for ability to hydrolyse the various
231 chlorogenic acids present in coffee.

232

233 **3.3 Pancreatic activity on chlorogenic acids**

234 Since the amount of free phenolic acids in coffee could not account for the early peaks in plasma, we
235 then tested sites in the small intestine which might facilitate hydrolysis of chlorogenic acids. Intestinal
236 and liver tissue extracts did not hydrolyse 5-O-caffeoylquinic acid [9]. We therefore tested the
237 pancreatic secretion which is secreted into the small intestine. Incubation of the coffee solution with
238 porcine pancreatin at 8.5 mg protein/mL (Figure 3) led to hydrolysis of chlorogenic acids present in
239 the coffee to give free caffeic acid, with a rate of 203 pmol/(mg protein*min). We then tested pure
240 chlorogenic acid (50 μ M each) as pancreatin substrates. Linear rates over 60 min were obtained,
241 equivalent to 1.6, 2.4 and 5.4 pmol/(mg protein*min) for 3- CQA, 4- CQA and 5-CQA, respectively.
242 No significant increase in ferulic acid was observed after pancreatin treatment of coffee, and also no
243 ferulic acid was detected after incubation of pancreatin with 50 μ M 3-FQA, 4-FQA and 5-FQA.
244 These data suggest that CQAs and more specifically 5-CQA is a better substrate for pancreatin, a
245 complex mixture of various lipases, peptidases, amylases and non-specific carboxylesterases. No
246 digestion was observed when the coffee solution and each of the chlorogenic acids were incubated
247 with an inactivated pancreatin preparation.

248

249

250

251 **3.4 Esterase activity**

252 In the cell culture medium, the phenolic acid esters exhibited good stability (Table 3). In total, 19.8 %
253 of the methyl ferulate was hydrolyzed, at a 0.25 mol/h rate, following incubation with Caco-2
254 monolayers, releasing ferulic acid which was detected in both the apical and basal compartments in
255 similar amounts. In comparison, 11.4 % methyl caffeate was metabolized at a 0.10 mol/h rate, but the
256 caffeic acid was distributed mainly in the basal compartment (i.e. 9.5 %). The hydrolysis of the
257 caffeoylquinic acid isomers was distinctly lower than observed for the methyl ester analogue, methyl
258 caffeate. Interestingly, 3-O-caffeoylquinic acid was hydrolysed ~10-fold more rapidly than 5-O-
259 caffeoylquinic acid; 0.18 % (1.7 mmol/h) and 0.02 % (0.23 mmol/h) respectively.

260 **4 Discussion**

261 Amongst a variety of commercially available instant coffees, the caffeinated instant coffee made from
262 35 % green and 65 % roasted beans water extract (GC) had the highest content of free CA and FA.
263 The medium dark roast caffeinated coffee (MC) had the highest content of free DHFA, iFA and
264 DHCA and the golden roast caffeinated coffee (GoC) had the highest content of 3,4-DMCIN.
265 Additionally, when comparing caffeinated and decaffeinated coffees of a same roast, the
266 decaffeinated coffees contained less of all free phenolic acids tested. These data suggest that not only
267 chlorogenic acids [1], but also some free HCAs decrease with roasting intensity and decaffeination.

268

269 As expected, when compared to the amount of intact chlorogenic acids measured previously [20], the
270 amounts of free phenolic acids present in GC are substantially lower (Table 2). However, free CA and
271 FA are readily absorbed through the intestinal wall without further modifications [21] and less
272 abundant compounds could have the potential to contribute significantly to the pool of metabolites
273 measured in human fluids. Our pharmacokinetic simulation suggests that the free phenolic acids are
274 not sufficient to make a significant contribution to the plasma phenolic acids, even if 100% absorption
275 is assumed. The in vitro esterase experiments using pancreatic secretions and small intestinal cells
276 suggest, however, that the hydrolysis of chlorogenic acids into free phenolic acids are major
277 contributing mechanisms to the early appearance of free phenolic acids in plasma. Results from
278 chlorogenic acid treatment by pancreatic enzymes indicated a rate of hydrolysis of 5-CQA up to 5-
279 fold higher when compared to 3-CQA and 4-CQA. The pancreatic secretion used in these experiments
280 is a complex mixture of lipases, trypsin, peptidases, proteases, RNAses, DNAses, amylases and
281 unspecific carboxylesterases, and so it is not possible at this stage to attribute the hydrolysis to a
282 single enzyme. The hydrolysis of methyl esters in Caco-2 cells has been previously reported [22] and
283 was used in this study to verify the presence of esterase activity in our Caco-2 cell model. Less than
284 7% of chlorogenic acid (isomer composition not specified) was lost when incubated with human
285 gastric fluid, duodenal fluid and ileostomy effluent [23]. The expression of carboxylesterase(s), which

286 is believed to be localized on the endoplasmic reticulum [24] has been previously characterized in
287 both the Caco-2 cell model and human intestinal tissue [25].
288
289 Intestine, liver and tissue extracts were unable to hydrolyse 5-O-caffeoylquinic acid [9]. Our results
290 are consistent with this since we found a very low rate of hydrolysis of 5-O-caffeoylquinic acid by the
291 intestinal model, differentiated Caco-2 cells. However, 3-O-caffeoylquinic acid was hydrolysed at a
292 much higher rate (~10-fold). After hydrolysis, the product caffeic acid, and its methylated derivative
293 ferulic acid, are transported differently, probably due to the differing lipophilicity of the methyl esters
294 and caffeoylquinic acids, Log D ~ 2 and – 3.5 respectively (reference: MarvinSketch, 2012; version
295 5.3.1, ChemAxon). The hydrophilic nature of the caffeoylquinic acids and paracellular permeation
296 [26] may explain the lower rates of hydrolysis compared to the methyl esters. Steric hindrance in the
297 active site of human carboxylesterase-2, the predominant isoform in the small intestine [24], has been
298 reported to occur with substrates containing a bulky acyl-moiety (representing the phenolic acid part
299 of the quinic acid ester) which interfere with the formation of the enzyme-acyl intermediate during the
300 first stage of hydrolysis. Steric interference may in part explain the differential hydrolysis of
301 caffeoylquinic acids, and the lack of hydrolysis of the feruloylquinic acids. Interestingly, these
302 findings may support data from human bioavailability studies in vivo which showed that only 5-O-
303 caffeoylquinic acid could be detected in plasma after coffee consumption [8], perhaps suggesting that
304 the susceptibility of the 3-acyl isomer to hydrolysis and relative resistance of the 5-acyl may
305 contribute to the differential amounts of chlorogenic acids observed in vivo. An additional site of
306 absorption is the stomach; cultured gastric epithelial cells have the capacity to hydrolyse chlorogenic
307 acids into phenolic acids, with the esterase action favouring hydrolysis of caffeic and
308 dimethoxycinnamic acid-containing substrates [3]. Several publications have also shown that intact
309 chlorogenic acids can pass across the rat stomach and Caco-2 cell monolayers, but only minor
310 hydrolysis into phenolic acids occurred in the rat stomach [10, 27].

311

312 In conclusion, this study contributes significant knowledge to our understanding of the metabolic fate
313 of chlorogenic acids (Figure 4) in the small intestine and demonstrates that free phenolic acids present
314 in coffee are not present in sufficient quantity to explain the early appearance of phenolic acids
315 metabolites following consumption of a coffee drink. Further investigation of possible sources of free
316 phenolic acids indicated that pancreatin efficiently hydrolysed 5-CQA and the later, more than 3-CQA
317 could therefore be an important contributor to the early peak of caffeic acid.

318

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322

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392 coffee using the Ussing chamber model. *Food Res. Int.* 2014, 63, Part C, 456-463.

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394

395 **Figure legends**

396

397 Figure 1: Typical diode array detector and total ion count chromatograms of the coffee sample
398 containing 35 % of green coffee beans. Absorbance profile at 280 nm (A), Total ion counts from mass
399 spectrometry (B, C), Individual transitions for indicated mass-to-charge ratio (m/z).

400

401 Figure 2: Calculated pharmacokinetic curves for phenolic acids in plasma based on free phenolic acid
402 content in coffee. These pharmacokinetic curves were computed assuming a 100 % bioavailability of
403 the compound and an administrated dose of free phenolic acids equivalent to that found in 4 g of GC.
404 From published data, the small intestinal C_{max} values (i.e. < 3 hours) after consumption of this amount
405 of coffee were approximately: DHCA, 50 nM; DHFA, 60 nM; 3,4-DMCIN, 500 nM; FA, 210 nM;
406 CA, 180 nM; iFA, 80 nM.

407

408 Figure 3: Treatment of instant coffee by porcine pancreatin as estimated by formation of caffeic and
409 ferulic acids.

410 Caffeic and ferulic acids estimated by LC-MS during the incubation of instant coffee (20 mg/ml CG)
411 with porcine pancreatin (8.5 mg protein/mL).

412 Data is presented as mean \pm SD, n=4.

413

414

415 Figure 4: Sites of hydrolysis of chlorogenic acids derived from this and previous studies.

416

417 **Tables**

418

419 **Table 1 Optimization variables for phenolic acids of interest**

	RT (min)	λ (nm)	[M-H]⁻ (m/z)	Fragmentor (eV)	MS² (m/z)	CE1 (eV)	CE2 (eV)
DHCA	12.45	280	181	90	137, 59	8	15
CA	15.77	325	179	90	135, 89	12	28
DHFA	33.60	280	196	90	136, 121	12	25
FA	36.31	325	193	90	178, 134	8	12
SA	38.33	325	223	100	208, 164	5	10
iFA	38.60	325	193	80	178, 134	5	12
3,4-DMCIN	45.95	325	207	80	103, 163	8	10

420

421 Values obtained from optimization of the different phenolic acids and used for analysis on HPLC-
422 DAD-ESI-QQQ. RT: retention time (min), λ : wavelength of maximal absorbance (nm), [M-H]⁻: mass-
423 to-charge ratio (m/z) of negatively charged molecular ion; Fragmentor voltage (eV); MS2: mass-to-
424 charge ratio of product ion produced by fragmentation of [M-H]⁻ or [M-H]⁺; CE1 and CE2: collision
425 energy for first or second transition.

426

427

428 **Table 2 Free phenolic acid content in 5 instant coffees**

µg phenolic acid/g coffee	DHCA	CA	DHFA	FA	iFA	3,4-DMCIN
GC	43 ± 1	279 ± 16	4.3 ± 0.2	77 ± 5	1.8 ± 0.1	37 ± 0
GoC	56 ± 1	107 ± 3	4.1 ± 0.2	23 ± 1	2.7 ± 0.4	48 ± 1
GoD	44 ± 2	72 ± 4	3.6 ± 0.2	22 ± 2	1.7 ± 0.6	19 ± 0
MC	71 ± 1	87 ± 2	6.7 ± 0.2	29 ± 4	3.2 ± 0.3	32 ± 2
MD	46 ± 1	75 ± 4	4.6 ± 0.2	22 ± 1	1.7 ± 0.0	15 ± 0

429

430 Free phenolic acid content in 5 instant coffees: GC, 35 % unroasted and 65 % roasted coffee

431 caffeinated; GoC, golden roast caffeinated; GoD, golden roast decaffeinated; MC, medium roast

432 caffeinated; MD, medium roast decaffeinated. Values are given in µg phenolic acid per gram of dry

433 weight of instant coffee. Standard deviations are for the two batches of coffee analysed, with three

434 biological replicates, each with two technical replicates. The content of chlorogenic acids, previously

435 reported [20], is: 3-CQA: 42,000 µg/g coffee; 4-CQA, 49,600; 5-CQA, 162,400; 4,5-diCQA, 23,200;

436 3,5-diCQA, 16,000; 3,4-diCQA, 22,800; 4-FQA, 8,800; 5-FQA, 31,600.

437

438 **Table 3 Summary of hydrolysis of phenolic esters by Caco-2 cell monolayers**

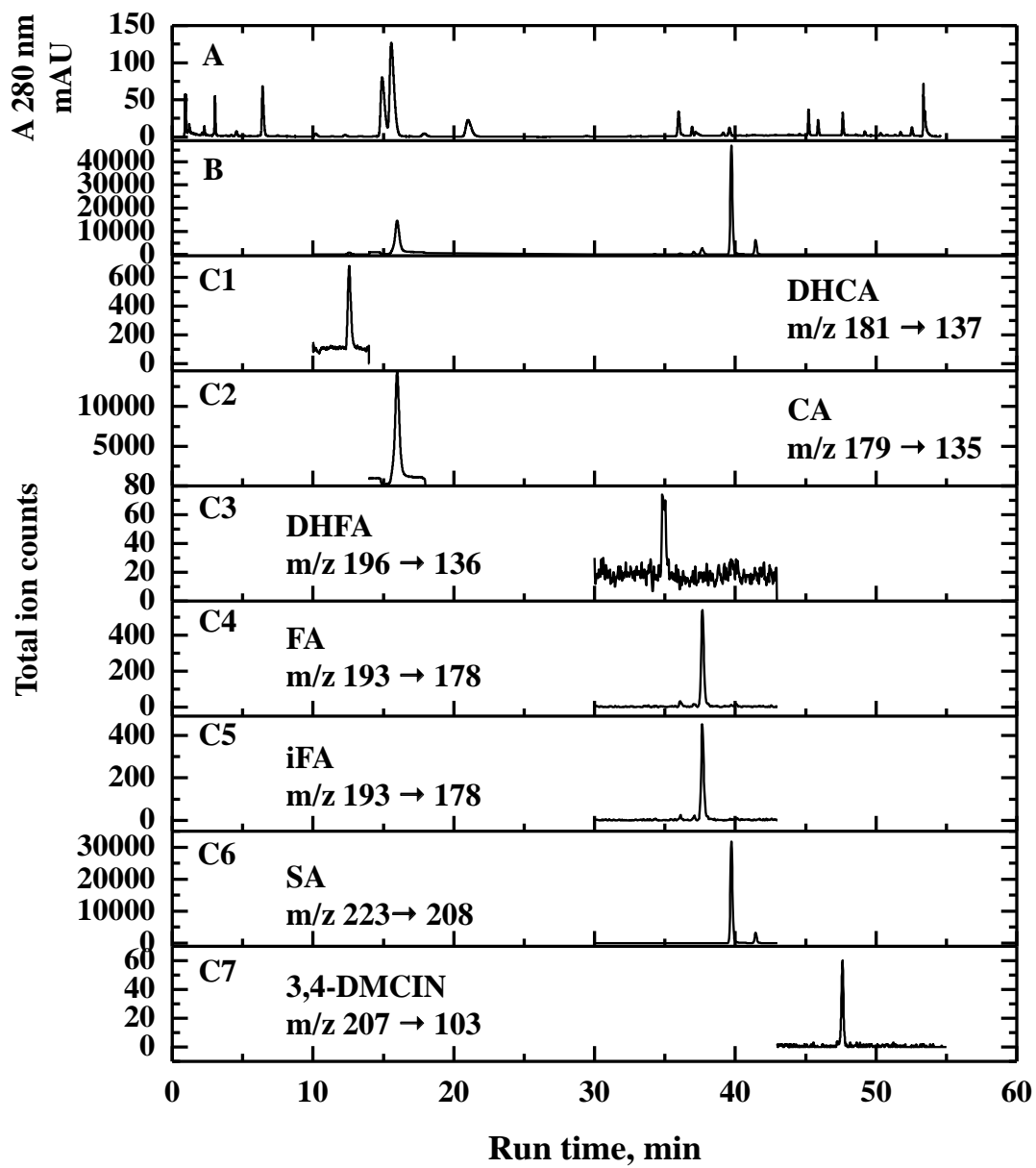
Phenolic acid ester	Caco-2 study			
	Mean apical dose	Hydrolysis		Mass balance
	(μM)	($\%$)		($\%$)
		Apical	Basal	
methyl ferulate	1285	11.1 \pm 0.4	8.7 \pm 0.4	88 \pm 2
methyl caffeate	894	1.9 \pm 0.1	9.5 \pm 0.3	97 \pm 1
5-O-caffeoylquinic acid	1134	n.d.	0.02 \pm 0.001	98 \pm 1
3-O-caffeoylquinic acid	940	0.18 \pm 0.01	n.d.	88 \pm 3

439

Caco-2 monolayers (n = 3) were incubated (2 h) with approximately 1 mM of phenolic acid ester and the release metabolite (caffeic acid or ferulic acid) was quantified by HPLC-DAD. n.d.: not detected. Values are the mean of 3 cultures \pm SD, experiments performed using passages 48 to 50.

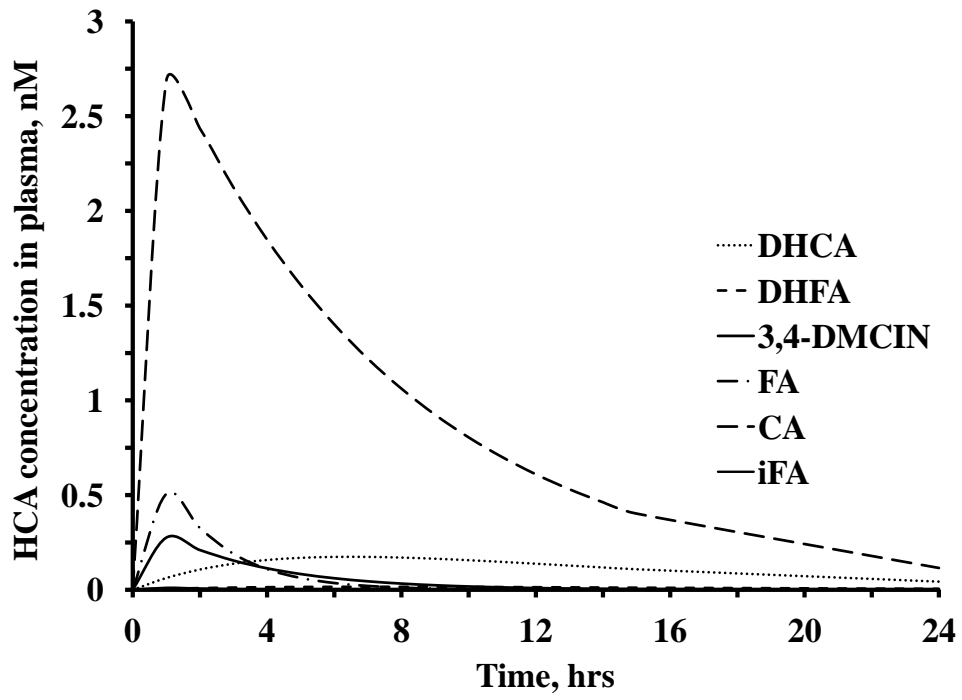
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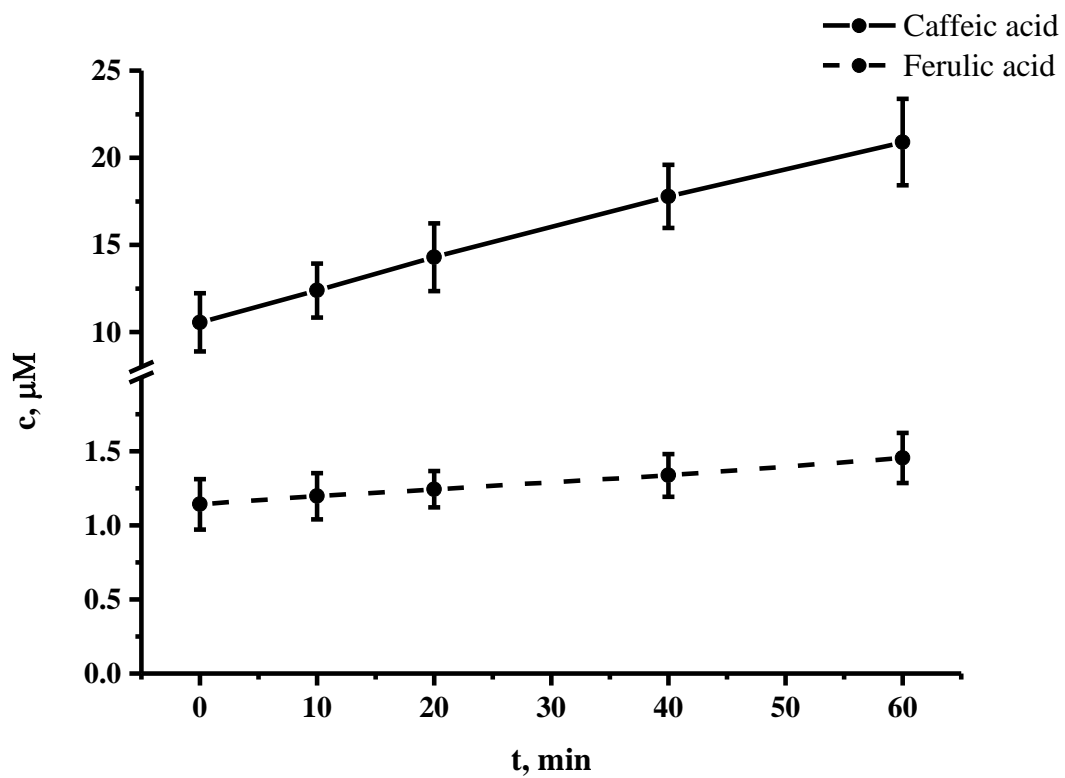
443 Fig 1



444

445 Fig 2

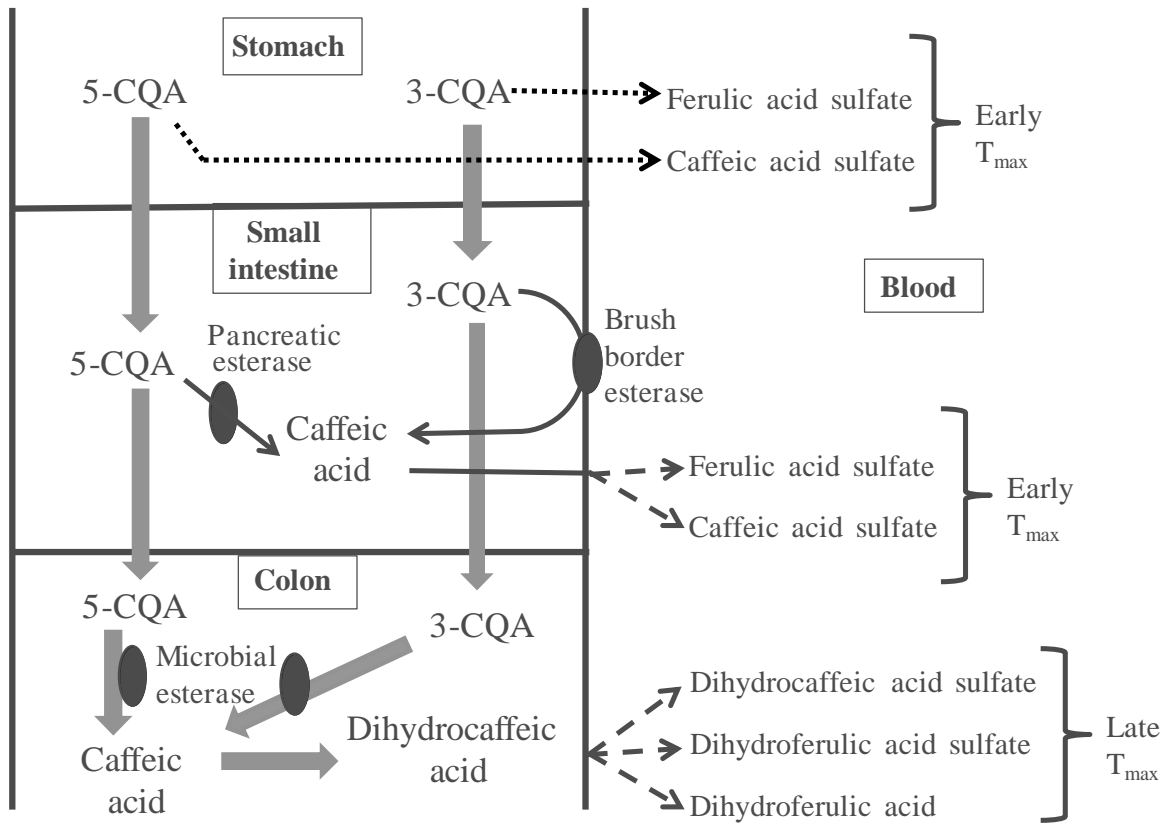
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447

448

449 Fig3



450

451

452 Fig 4

453