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1 **Raman Spectroscopy for the discrimination of tephras from the Hekla**
2 **eruptions of 1510 and 1947**

3

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18

19 **Abstract**

20 Tephrochronology (the dating of sedimentary sequences using volcanic ash layers) is an important
21 tool for the dating and correlation of sedimentary sequences containing archives and proxies of past
22 environmental change. In addition, tephra layers provide valuable information on the frequency and
23 nature of ash fallout from volcanic activity. Successful tephrochronology is usually reliant on the
24 correct geochemical identification of the tephra which has, until now, been based primarily on the
25 analysis of major element oxide composition of glass shards using electron probe microanalysis
26 (EPMA). However, it is often impossible to differentiate key tephra layers using EPMA alone. For
27 example, the Hekla AD 1947 and AD 1510 tephras (which are found as visible layers in Iceland and
28 also as 'crypto-tephra' microscopic layers in NW Europe) are currently indistinguishable using EPMA.
29 Therefore, other stratigraphic or chronological information is needed for their reliable identification.
30 Raman spectroscopy is commonly used in chemistry, since vibrational information is specific to the
31 chemical bonds and symmetry of molecules, and can provide a fingerprint by which these can be
32 identified. Here, we demonstrate how Raman spectroscopy can be used for the successful
33 discrimination of mineral species in tephra through the analysis of individual glass shards. In this
34 study we obtained spectra from minerals within the glass shards – we analysed the microlites and
35 intratelluric mineral phases that can definitely be attributed to the tephra shards and the glass itself.
36 Phenocrysts were not analysed as they could be sourced locally from near-site erosion. Raman
37 spectroscopy can therefore be considered a valuable tool for both proximal and distal
38 tephrochronology due to its non-destructive nature and can be used to discriminate Hekla 1510
39 from Hekla 1947.

40

41 **Keywords:**

42 Raman, spectroscopy, tephra, Hekla 1947, Hekla 1510, discrimination

43

44

45 **Introduction:**

46 Distal tephrochronology in NW Europe is a well-established tool for the correlation and dating of
47 sedimentary sequences and palaeoclimate records (Larsen *et al.*, 1999; Haflidason *et al.*, 2000;
48 Swindles *et al.*, 2011). There have been numerous studies where geochemical correlation of distal
49 tephra deposits with volcanic sources in Iceland was achieved successfully (Hall & Pilcher, 2002). This
50 has primarily been carried out using electron probe microanalysis (EPMA) on the volcanic glasses,
51 always through the analysis of individual shards. However, discrimination based on EPMA alone has
52 some limitations as the glass composition of tephra erupted from same vent or from multiple
53 volcanic sources in any given volcanic cluster may be indistinguishable in major element content.
54 The widely used volcanic rock classification schemes (so called "TAS diagrams, for total alkalis vs.
55 SiO₂ , or the "K vs. SiO₂" diagram; see Maitre, 2002) are designed on the fact that bulk rock major
56 element compositions of global volcanic rock datasets form clusters (for basalt, andesite, dacite,
57 rhyolite, etc.). Moreover, the process of basaltic magma evolution and the predictable crystal
58 fractionation of common rock forming minerals (olivine, pyroxenes, feldspars, oxides of Fe and Ti
59 etc.) can lead to very similar residual glass major element compositions. This is especially true for
60 felsic (dacitic or rhyolitic) eruptions, which always erupt pumice with very low MgO and FeO and
61 very high alkali and SiO₂ contents (Savov *et al.*, 2009).

62 Hekla is one of the most active volcanoes in Iceland. It is a stratovolcano located near the
63 rift-transform fault junction in the area where Iceland's Southern and Eastern Seismic Zones
64 meet (Thordarson & Larsen, 2007). Hekla's repeated fissure eruptions result in the
65 formation of a vaulted ridge of about 5 km (*Heklugjá* fissure) that opens along its entire
66 length during major eruptions. All known Hekla eruptions have begun with an explosive

67 phase, followed by a period of more effusive eruptions (Thordarson & Larsen, 2007). After
68 the eruptions in 1693, 1845 and 1947, tephra fallouts were recorded in contemporary
69 written accounts (Thorarinsson, 1981). Among the most recent eruptions of Hekla only two
70 have been accompanied by tephra layers (Salmi, 1948; Thordarson & Larsen, 2007). This is a
71 common problem in tephra studies and is due to the fact that tephra blankets can either
72 disappear (often completely after major erosion due to an associated rainfall event) or are
73 never been deposited at a particular site. During the last 1100 years Hekla produced 17
74 widespread silicic tephra layers. All of these tephra layers have been analysed with EPMA,
75 and the dates of these eruptions responsible for producing these tephras have good
76 chronological constraint (Haflidason *et al.*, 2000).

77 In this paper we illustrate an alternative tool for analysis of volcanic glass using tephra samples
78 which are nearly identical in composition, tephra erupted from Hekla in 1510 and in 1947. The 1947
79 Hekla eruption is considered to be of Plinian type (VEI=4) and lasted a full year (March 29th 1947 to
80 21st April 1948). During this event 0.8 km³ of lava and 0.21 km³ of tephra was erupted (Thorarinsson,
81 1967, 1976). The 1510 eruption of Hekla inevitably has been less-well studied, but analysis of
82 contemporary writings suggests that it was a VEI=4 Plinian eruption which produced a tephra
83 blanket that is volumetrically similar to the one after the Hekla 1947 eruption (Sverrisdottir, 2007).

84 High quality EPMA data for these tephras are available from both Iceland and Ireland (Table 1, 2 and
85 Figure 1). Due to the very small glass shard sizes there has been no mineral species discrimination
86 based on the standard optical microscopy (e.g. Rea *et al.*, 2012). This is particularly true for the distal
87 deposits in peats, where often only a few glass shards can be retrieved successfully. In this paper we
88 demonstrate how Raman spectroscopy can be used to distinguish between microlites within the
89 glass shards from the Hekla eruptions of 1510 and 1947 AD with otherwise indistinguishable major
90 oxide compositions (see Table 1 and 2). Through this discrimination we also demonstrate how

91 Raman spectroscopy is able to definitively distinguish between the two tephras for the first time
92 without the aid of optical or other destructive analytical techniques. We also demonstrate how
93 Raman spectroscopy has the potential to offer greater discriminatory power compared to the widely
94 used EPMA analysis approach alone.

95 Raman spectroscopy is a spectroscopic technique used to study vibrational and rotational,
96 modes in a molecular system. It relies on inelastic scattering, or Raman scattering, of
97 monochromatic light, usually from a laser in the visible, near infrared, or near ultraviolet
98 range. The Raman effect occurs when light impinges upon a molecule and interacts with the
99 electron cloud and the bonds of that molecule. For the spontaneous Raman effect, which is a form
100 of scattering, a photon excites the molecule from the ground state to a virtual energy state. When
101 the molecule relaxes it emits a photon and it returns to a different rotational or vibrational state.
102 The difference in energy between the original state and this new state leads to a shift in the emitted
103 photon's frequency away from the excitation wavelength. The Raman effect, which is a light
104 scattering phenomenon, should not be confused with absorption (as with fluorescence) where the
105 molecule is excited to a discrete (not virtual) energy level.

106 Raman active vibrations occur when there is a change in polarizability in the electrons
107 surrounding the atoms. The method is most sensitive to the modes of vibration which are
108 associated with the greatest changes of polarizability, which tend to be symmetrical
109 vibrations. By contrast, infra-red (IR) spectroscopy is most sensitive to asymmetric modes of
110 vibration (which tend to be associated with dipole changes). Raman and IR spectroscopy's
111 thus form a complimentary pair of techniques.

112

113 Raman spectroscopy is not only confined to the study of low frequency modes of vibration. Provided
114 that the vibrations are Raman active it can be used to investigate the highest to the lowest
115 frequency vibrations. It is able to identify vibrations between structural groups such as SiO₄ and
116 MgO₆, and, at lower frequencies, vibrations between these structural groups. Because the lengths
117 and angles of the bonds are characteristic of the structural groups and the atoms they contain, so
118 the number and frequency of the vibration are characteristic of these groups also. Due to minerals
119 containing distinctive arrangements of structural groups, their vibrations can be used to identify the
120 motions of atoms within the mineral structure and hence the mineral itself.

121

122 **Sample preparation and analytical techniques**

123 The tephra glass shards of Hekla 1510 and Hekla 1947, from exposed sections of the deposits in
124 Iceland, were treated with acid (concentrated Sulphuric acid 98% and Nitric acid 68-72%) in order to
125 remove any organic materials but leave, unchanged, the inorganic matter (glass and crystals (see
126 details in Swindles *et al.*, 2010)). This particular method is widely used (www.tephrabase.org),
127 although two alterations were made to ensure clean surfaces were achieved. These included heating
128 the sample under reflux in order to ensure the mixture was not able to boil dry and to heat with
129 stirring to ensure the complete digestion of organic materials (Edwards *et al.*, 2011). It is essential to
130 ensure the tephra samples are “clean” and free from any extraneous mineral grains. Any extraneous
131 mineral grains causing contamination of the sample may cause contamination of the resulting
132 spectra and thus prejudice the analysis of the tephra. Powder X-ray diffraction was carried out on
133 both samples pre- and post- treatment to ensure the mineralogy was not altered by utilising this
134 method.

135 The Raman spectra were obtained using a Renishaw InVia Reflex dispersive spectrometer using
136 the *Reflex* Raman microscope with a diode laser operating at 633nm with a thermoelectrically
137 cooled charged coupled device (CCD) detector. The instrument is coupled with a Renishaw RE 02

138 confocal *Leica* microscope with 5x, 20x and 50x objective lenses. The diffraction grating of the
139 instrument provides a spectral range of 3200-100 cm^{-1} with a spectral resolution of 2 cm^{-1} . The
140 instrument was calibrated daily by recording the Raman spectrum of pure silicon (1
141 accumulation, 10 seconds exposure time, 100% laser power in static scan mode). When
142 necessary drift corrections were performed to ensure the position of the silicon band was at
143 $520.5 \pm 0.1 \text{cm}^{-1}$. The instrument requires very little in the way of sample preparation, the
144 tephra sample (~0.1 g) was simply spread on a glass slide and placed on the stage in the
145 spectrometer. In total 50 spectra of each sample were collected over random sampling sites. We
146 analysed the microlites and intratelluric mineral phases that can definitely be attributed to the
147 tephra shards and the glass itself. Phenocrysts were not analysed as they could be sourced
148 locally from near-site erosion.

149

150

151

152 Spectra were obtained with an accumulation of ten scans, ten seconds exposure time, 1% laser
153 power (approximately 50 mW at source) and 633nm excitation as these settings had been shown to
154 be the optimum collection parameters. Initial data collected with the Raman spectrometer
155 suggested the presence of three iron oxides: Haematite, Goethite and Magnetite. As a result of
156 these initial spectra, experimental methods were designed to interrogate the iron oxide species
157 found within the glass shards from the Hekla eruptions of 1510 and 1947 AD. Experimental
158 parameters had to be carefully and systematically designed (Table 3) as it is well documented that
159 laser power and localised heating can cause these iron oxide species to interconvert (Muralha,
160 Rehren, & Clark, 2011) . In the case of all three iron oxides, experimental parameters were changed
161 in order to produce a “burn”. These “burns” were shown by a distinctive colour change on the
162 sample surface (supplementary file 1) as well as a slight change in spectral features (Fig. 2). The
163 settings used to cause these “burns” became the upper limits of the Raman collection parameters.

164

165 This systematic method development was carried out using both the 633nm and the 785nm laser
166 with the results reported in Table 3. After comparison it was decided that the 633nm laser offered
167 superior results with sharper and better defined peaks (Fig. 3) and it is this laser setting that was
168 utilised in the remaining work.

169

170 All the spectra collected were taken using the 20 × objective lens giving us an analytical area of
171 5µm in diameter. The collected spectra were recorded from the surface of the tephra glass
172 shards, from the Hekla eruptions of 1510 and 1947 AD, thus removing any potential
173 hydrocarbon contamination from the glass slides (supplimentary file 2). The spectrometer was
174 controlled using a PC and Renishaw WiRE 2 as control software.

175

176 Principal component analysis (PCA) was carried out using the Eigenvector's PLS_toolbox v. 4.11
177 within Matlab. In this work pre-processing was applied as follows: firstly, a Savitsky-Golay
178 smoothing filter was applied using a five-point smoothing window and a second-order
179 polynomial deconvolution. Secondly, a standard normal variate (SNV) analysis was applied.
180 Finally the datasets were mean-centered.

181

182 **Results**

183 A thorough analytical examination of the Hekla 1510 and Hekla 1947 samples via Raman
184 spectroscopy revealed that it is possible to identify a range of mineral species. A dominant broad
185 peak, within the spectra collected from the 1947 Hekla ash sample, at 667cm⁻¹ has been assigned to
186 the A_{1g} species of Fe₃O₄, magnetite (Shebanova & Lazor, 2003; Muralha *et al*, 2011; Faria & Vena,
187 1997) (Fig 4).

188

189 Further spectral features observed in the spectra from the 1947 ash sample are observed at
190 670, 460, 396, 324, 266 and 215 cm^{-1} (Fig 5). The peak observed at 324 cm^{-1} E_g (Fe–O sym. bend)
191 have been assigned to magnetite. The peak observed at 460 cm^{-1} has been identified as alpha quartz
192 (Krucnra & Hnvrr, 1994). The additional peaks observed at 396 (Fe–O–Fe/–OH sym. str), 324, 266
193 E_g (Fe–O sym. bend) and 215 cm^{-1} A_{1g} (Fe–O sym. str) have been assigned to haematite (Chourpa
194 *et al.*, 2005; Legodi *et al.*, 2007).

195

196

197

198 Raman analysis of the 1510 Hekla samples was found to be more difficult when compared to the
199 1947 samples due to issues with fluorescence. Fluorescence within Raman spectroscopy can occur
200 for many reasons including sample colour, causing the excitation photon to not provide sufficient
201 energy to the molecule, or contamination possibly caused by hydrocarbons decomposed on the
202 silica glass surface of the tephra grains (Egerton *et al.*, 1974). If the fluorescence is caused by
203 hydrocarbon contamination it is possible to reduce the fluorescence with heat treatment although in
204 this work heat treatment was intentionally avoided due to the risk of chemical changes in the iron
205 oxide chemistry of the sample and due to our belief that fluorescence was not caused by
206 hydrocarbon contamination. Hydrocarbon contamination could lead to distinctive Raman-active
207 modes that we did not observe in our spectra. Fluorescence does not change the nature of the
208 Raman spectra due to it being an absorption process. Fluorescence can simply be overcome with a
209 change in laser excitation or post-processing of the spectra.

210 The spectral features observed in the 1510 samples (Figure 3) appeared at 512 (Fe–OH
211 asym. str), 465 (Fe–OH asym. Str), 280 (Fe–OH sym. bend), 197 (Fe–O sym. str) (Legodi *et al.*,
212 2007). These peaks have been attributed to goethite. The two peaks located at 156 and 127 cm^{-1}

213 appear to be the E(LO+TOI) mode of SiO₂ quartz stretch (Krucnra & Hnvrr, 1994). Two of the spectra
214 also contained a large, broad peak at 680 cm⁻¹ which has been assigned as magnetite. The peaks
215 observed at 464nm have been assigned to quartz V₁, indicative of the mineral coesite (Korsakov *et*
216 *al.*, 2007).

217 In this study, pre-processing of the spectra was carried out to reduce inherent noise caused either by
218 instrument or sample variability. The Savitsky-Golay smoothing filter was applied in order to smooth
219 the curves of the spectra and thus reduce the noise(Tsai & Philpot, n.d.). This filter does not distort
220 the overall spectra or the frequency position of the peaks. The standard normal variate (SNV) was
221 performed which is a normalisation method that uses the spectrum itself for correction. SNV
222 achieves this by firstly by calculating one mean and one standard deviation value for the entire
223 spectrum. It then subtracts the mean value from each spectral point and then divides by the
224 standard deviation. This has the effect of centring the mid-point of the spectrum at zero and
225 standardising the entire spectrum to its overall variance, thus reducing differences in baseline and
226 peak intensity between spectra.

227

228 Discussion

229 Analysis of small tephra shards and cryptotephra with Raman spectroscopy provides a considerable
230 analytical challenge from a spectroscopic point of view. This is due to the fact that volcanic ashes are
231 polyphase (containing both glasses and minerals). Minerals within the volcanic glass exist as
232 phenocrysts and microlites (<0.3 mm)although in this study only the microlites were analysed.
233 Volcanic ashes are complex and variable in composition (even within a single eruption) and are
234 therefore difficult to analyse (Barletta, 2012).

235

236 The newly-collected data present some challenges. In the case of heterogeneous samples, the area
237 of the sample illuminated by the laser spot may not be characteristic of the entire sample (White,
238 2009). In order to resolve this issue, a large amount of data needs to be collected from the sample to
239 obtain a representative sample. Further challenges of laser Raman spectroscopy for mineral
240 identification are laser-induced sample alteration and fluorescence. Although laser Raman
241 spectroscopy is a non-destructive technique, samples can undergo localized heating and oxidation if
242 the laser power is too high (what is considered “high” laser power depends on the individual sample).
243 Considering our analytical area of 5 μm and power at source of 50 mW, the sample was subjected to
244 a power of $4 \times 10^8 \text{ W per cm}^2$. In this study, the parameter settings of the Raman spectrometer were
245 carefully and systematically designed in order to prevent the sample from overheating which could
246 lead to chemical alteration. This was carefully monitored with the spectra collection on pure iron
247 oxide standards. This presented us with the need for a spectral “trade-off”. To maintain sample
248 integrity, the signal to noise ratio was sacrificed resulting in the need for multiple sample
249 measurements and chemometric analysis (SNV processing) to amplify the differences between
250 spectral data-sets. Principal Components Analysis (PCA) was applied here to identify groups within
251 the data whilst removing any contribution from background noise.

252

253 The spectra were split into two datasets, one set for the 1510 data and one for the 1947 data. After
254 pre-processing PCA was carried out on the data. This resulted in a number of scores (principle
255 components PC) and loadings (spectral variables). The early scores were then plotted against each
256 other to form a three-dimensional score plot with related samples clustering together.

257 In Figure 7 three PC axes were used and accounted for 98% of the variables within the data. As one
258 can observe in figure 9, the combined and processed data-sets largely split into two data clusters-
259 one representing the 1947 and the other 1510. In general, the data relating to the 1947 tephra sits
260 on the left hand side of the axis whilst the data from 1510 sits on the right, although there is some

261 overlap. Four of the spectra obtained from the 1510 data-set fall in the main cluster of data from the
262 1947 data. This cross-over of data can be observed in the individual spectra and has been associated
263 with the presence of small amounts of magnetite within the 1510 data. The data collected through
264 Raman spectroscopy (Figures 4- 6) offers better discrimination when compared with the data
265 collected through EMPA alone (Figure 1). However, at this time EMPA data is still required to identify
266 the source volcano.

267

268 One further interesting variation observed between the two samples was in the SiO₂ mineralogy
269 observed in the Raman spectra. The Raman spectra obtained from the 1947 sample show traces of
270 α-quartz, as indicated by the peaks at 460 cm⁻¹. This mineralogy was not unexpected because of the
271 high silica content of the samples shown in previously recorded EPMA data. However, this
272 mineralogy is not observed in the Raman spectra of the 1510 sample, where coesite (Chopin, 1984),
273 a commonly found mineral in rhyolite eruptions (Borisova, Toutain, Dubessy, Pallister, & Zwick, 2014),
274 was observed instead. The presence of these mineral polymorphs provides further data to support
275 the proposed Hekla 1947 and Hekla 1510 sample discrimination.

276 Geothite, Hematite and Magnetite were identified through the Raman spectra of both the tephra
277 samples from the Hekla 1947 and Hekla 1510 eruptions. It is well documented that Geothite and
278 Magnetite can convert to Hematite through heating to approximately 250°C. Whilst we cannot
279 comment on these conversions having occurred in nature we are certain that such conversions were
280 not caused through the experimental procedure and thus are still useful in the separation of the
281 tephra from the Hekla eruptions of 1510 and 1947 AD.

282

283

284 **Conclusions:**

285 Raman spectroscopy has a role to play in the analysis of tephra samples, especially when electron
286 microprobe methods do not provide the level of discrimination required. In this study we
287 demonstrate that Raman spectroscopy can be used for mineral species identification in tephra
288 enabling the differentiation of glasses with similar chemical composition via the presence of various
289 magmatic crystals contained within the glass. For example, current methods in tephra analysis have
290 hitherto been unable to provide any discrimination between the tephtras from the Hekla eruptions of
291 AD 1510 and AD 1947. Here, we show that Raman spectroscopy can differentiate between these
292 tephtras, primarily through the identification of the species forming their iron oxide content, and
293 secondly through the presence of their different SiO₂ polymorphs. This Raman method is not
294 designed to be a replacement for current chemical analysis, rather as an additional technique to aid
295 discrimination between compositionally similar tephtras. Principal components analysis (PCA) proves
296 to be a good tool for separation of the tephtras based on data from Raman spectroscopy; however, a
297 large amount of data is needed for an effective analysis.

298 **Tables and Figures**

299

300 **Table 1: Glass chemistry data of the tephra from the Hekla eruptions of 1510 and 1947 (Source: Larsen *et al.*, 1999).**

301 **Table 2: Systematic development of Raman settings.**

302 **Figure 1: EPMA graphs highlighting the relative percentages of the main elemental oxides of distal (Iceland) and**
303 **proximal (Iceland) Hekla 1947 (Larsen *et al.*, 1999 and Rea *et al.*, 2012).**

304 **Figure 2: Overlaid spectra showing the spectral changes between normal and burnt goethite. These changes were**
305 **deliberately caused by increasing the laser power in order to determine the maximum laser power that could be used in**
306 **the tephra analysis without causing mineralogical changes.**

307 **Figure 3: Overlaid spectra displaying the difference in spectral quality between 785 and 633nm laser excitation.**

308 **Figure 4: These spectra demonstrate the broad peak at 677 cm⁻¹ relating to magnetite from the 1947 tephra. Each of the**
309 **spectra were collected from a different location on the sample surface.**

310 [Figure 5: The majority of the spectral features observed in the 1947 sample have been attributed to magnetite \(see fig 2\).](#)
311 [This figure displays further spectral features observed in sampling sites from the 1947 tephra.](#)

312 [Figure 6: Spectral peaks observed in the sampling sites of the tephra from the Hekla eruption of 1510](#)

313 [Figure 7: Principle Component Analysis Plot of PC 1 vs. PC2 vs. PC4](#)

314 [Figure 8: Triplot of 1947 vs. 1510 Hekla samples \(Ireland\) using EPMA along with other recent tephra commonly found](#)
315 [in Ireland \(after Swindles et al., 2010\)](#)

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317

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