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2 The perils of taxonomic inconsistency in quantitative palaeoecology: experiments  
3 with testate amoeba data

4

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8 Payne, R.J., Lamentowicz, M. & Mitchell, E.A.D.: The perils of taxonomic  
9 inconsistency in quantitative palaeoecology: experiments with testate amoeba data

10

11 A fundamental requirement of quantitative palaeoecology is consistent taxonomy  
12 between a modern training set and palaeoecological data. In this study we assess the  
13 possible consequences of violation of this requirement by simulating taxonomic errors  
14 in testate amoeba data. Combinations of easily-confused taxa were selected and data  
15 manipulated to reflect confusion of these taxa, transfer functions based on unmodified  
16 data were then applied to these modified data sets. Initially these experiments were  
17 carried out one error at a time using four modern training sets, subsequently multiple  
18 errors were separately simulated in both four modern training sets and four  
19 palaeoecological datasets. Some plausible taxonomic confusions caused major biases  
20 in reconstructed values. In the case of two palaeoecological datasets a single  
21 consistent taxonomic error was capable of changing the pattern of environmental  
22 reconstruction beyond all recognition, totally removing any real palaeoenvironmental  
23 signal. The issue of taxonomic consistency is one which many researchers would  
24 rather ignore; our results show that the consequences of this may ultimately be severe.

25

26

27 Keywords: Testate amoebae; Palaeoecology; Transfer Functions; Peatlands;  
28 Palaeohydrology; Palaeoclimatology

29

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45 Quantitative palaeoecology generally proceeds by modelling the relationship between  
46 species and an environmental variable in modern environments and then applying this  
47 model to palaeoenvironmental data to produce quantitative estimates of environmental  
48 changes through time. Among the basic requirements of this ‘transfer function’  
49 approach is that ‘the fossil data-sets used for reconstruction purposes should be of  
50 comparable taxonomy and nomenclature... as the modern training set’ (Birks 1995)  
51 i.e. that individuals of the same species are identified consistently and called the same  
52 name in both the modern and palaeoecological data (Belyea 2007). However, there  
53 are good reasons to suppose that this assumption is sometimes violated; human error  
54 is inevitable and in some microfossil groups there is considerable uncertainty  
55 regarding the underlying taxonomy. Such a microfossil group is the testate amoebae, a  
56 group of protists which are abundant in many aquatic to terrestrial ecosystems and  
57 whose solid shells (‘tests’) may be preserved long after death (Fig. 1), allowing  
58 community changes to be tracked through time. Testate amoebae are increasingly  
59 used in palaeoecology, in particular as proxies for hydrological change, and therefore  
60 palaeoclimate, in peatlands (Charman 2001; Mitchell *et al.* 2008).

61 The taxonomy of testate amoebae is not straightforward. Difficulties start with  
62 the problem of applying a biological species concept to micro-organisms which, as far  
63 as we know, overwhelmingly reproduce asexually and for which there are little  
64 genetic data (Schlegel & Meisterfeld 2003). Testate amoeba taxonomy is built around  
65 the concept of morphospecies, that consistent morphological forms represent valid  
66 taxonomic units, at least in the absence of any superior approach (Finlay *et al.* 1996;  
67 Finlay 1998). However there are no biometric data for many morphospecies, leaving  
68 considerable room for personal interpretation of what degree of difference justifies the  
69 erection of new morphospecies and what can simply be considered intraspecific  
70 variability (Medioli *et al.* 1987; Odgen & Meisterfeld 1989). Delineation of species is  
71 further complicated by considerable morphological variability in tests (Heal 1963;  
72 Wanner 1999; Bobrov & Mazei 2004). Testate amoebae can show marked phenotypic  
73 plasticity (Lüftnegger *et al.* 1988; Wanner & Meisterfeld 1994; Wanner 1999) and in  
74 some taxa (adaptive) polymorphism (Schönborn 1992). The test morphology of taxa  
75 which build their shells from particles in their environment (xenosomes) depends on  
76 the available material; large particles may obscure the underlying test morphology  
77 (Ogden 1983). It is probable that many described taxa may just represent extreme  
78 forms of this morphological variability. A difference in taxonomies between

79 'lumpers' and 'splitters' is highly apparent in the literature. For instance the  
80 *Centropyxis constricta* of Medioli & Scott (1983) would probably include 20 or more  
81 species and subspecies considered separable by Chardez (1967).

82 Issues with the differentiation of morphospecies are common to other micro-  
83 organisms (e.g. Mann & Droop 1996; Pawlowski *et al.* 2002). However in the case of  
84 testate amoebae these issues are particularly acute due to the inadequacies of the  
85 taxonomic literature. Unlike for instance freshwater diatom analysis, where the floras  
86 of Krammer & Lange-Bertalot (1986, 1988, 1991a, b) are widely used (at least as a  
87 baseline), there is no 'standard text' for testate amoeba taxonomy. The obscurity of  
88 testate amoebae to many biologists, combined with the general decline in  
89 morphological taxonomic research over recent decades (Lee 2000; Wheeler 2004)  
90 have contributed to the poor state of testate amoeba taxonomy. Those attempting to  
91 apply testate amoeba analysis in ecology and palaeoecology are forced to use a  
92 fragmented body of literature, much of which dates back to the early part of the last  
93 century, and much of which is mutually-contradictory. There are no clear rules for  
94 separating many taxa and few taxonomic keys are available (none of which are  
95 comprehensive and few of which are in English, the *de facto* language of modern  
96 science).

97 In environmental studies using testate amoebae these problems are particularly  
98 serious because of the large number of tests which must be counted; typically at least  
99 100 individuals per sample and 40-50 samples (Payne & Mitchell 2009). This number  
100 of tests pragmatically requires that all identification and counting be carried out using  
101 light microscopy under normal (200x to 400x) magnifications. Many fine taxonomic  
102 distinctions rest on very subtle features which are simply not practicable under these  
103 conditions (e.g. in *Euglypha*: Wylezich *et al.* 2002, *Cyphoderia*: Todorov *et al.* 2009;  
104 Heger *et al.* in press, and *Diffflugia*: Ogden 1983). In palaeoecology problems are  
105 compounded by the loss of diagnostic features. The division between taxa with lobose  
106 and filose pseudopodia is the most fundamental in testate amoebae taxonomy but is  
107 not applicable in palaeoecology. Diagnostic features of the test such as spines may be  
108 lost through taphonomic processes or in sample preparation and tests may become  
109 compressed (Charman *et al.* 2000). Taxonomic schemes used in palaeoecology are  
110 therefore a compromise between practical simplicity and loss of palaeoenvironmental  
111 discernment (Charman *et al.* 2000). Given all these problems it would be little  
112 surprise if there were considerable taxonomic differences among researchers. In the

113 absence of a formal inter-comparison exercise it is impossible to know to what extent  
114 different researchers apply the same name to different taxa or different names to the  
115 same taxon. We can however make observations that: i) The taxonomic literature  
116 lacks clarity. ii) There are considerable differences in the taxonomic resolution  
117 adopted by different studies. iii) Inter-comparison exercises for other microfossil  
118 groups used in Quaternary palaeoecology have shown considerable variability  
119 between different analysts and research groups (Munro *et al.* 1990; Pederson &  
120 Moseholm 1993; Kelly *et al.* 2002; Prygiel *et al.* 2002). For instance, in the diatom  
121 inter-comparison exercise of Kelly *et al.* (2002) some taxa were identified correctly  
122 less than 20% of the time. iv) When researchers are learning testate amoeba taxonomy  
123 several mistakes are consistently made.

124 On the basis of these observations we feel it would be naïve to assume that  
125 taxonomies are identical among all researchers. In this study we attempt to gain an  
126 understanding of the possible implications of taxonomic variability for environmental  
127 reconstructing by simulating possible errors in previously established modern and  
128 palaeoecological datasets.

129

## 130 Methods

131

132 Four modern training sets and four palaeoecological datasets were used in our  
133 experiments. The four modern training sets are all derived from *Sphagnum*-  
134 dominated, mostly ombrotrophic mires and span a considerable region from North  
135 America to western Asia (Table 1). They are: i) Poland, from peatlands of Poland  
136 (Lamentowicz *et al.* 2005, 2007, 2008); ii) Jura, from peatlands in the Jura Mountains  
137 of France and Switzerland (Mitchell *et al.* 1999, 2001); iii) Turkey, from the Sürmene  
138 Ağaçaşlı Yaylası peatland in north-eastern Turkey (Payne *et al.* 2008); and iv)  
139 Alaska, from peatlands in south-central Alaska (Payne *et al.* 2006). The final selected  
140 transfer function models were used in our experiments to infer depth to water table  
141 (DWT; Table 1). The four palaeoecological datasets are: 1. 'Site DLB', a peatland in  
142 sub-Arctic Alaska (Payne *et al.* unpublished, but see Payne & Mitchell 2009); 2. Praz-  
143 Rodet, a peatland in Switzerland (Mitchell *et al.* 2001); 3. Tuchola, a peatland in  
144 Poland (Lamentowicz *et al.* 2008), and 4. Jelenia Wyspa, another peatland in Poland  
145 (Lamentowicz *et al.* 2007). All of these palaeoecological datasets have an applicable  
146 transfer function from the same area (i.e. the Alaska, Jura and Poland training sets,

147 Table 1) which was produced by the same analysts. We are as confident as possible  
148 that these palaeoecological datasets and their respective transfer functions have  
149 consistent taxonomic schemes.

150 A first step in our experiments was to select pairs of species which we  
151 considered could be confused (Table 2). Our combinations were based on three  
152 sources of evidence: i) Our assessment of the distinctiveness of the taxon based upon  
153 the literature, in particular where taxa have been considered inseparable by some  
154 authors. ii) Our observations of the mistakes made by undergraduate and postgraduate  
155 students in learning testate amoeba taxonomy. iii) Our own experience of learning  
156 testate amoeba taxonomy. We produced separate lists of taxon combinations for each  
157 of our training sets, reflecting the differing communities encountered in those studies  
158 and the slightly different taxonomic schemes adopted by the analysts. For simplicity  
159 we refer to each of these taxon combinations as an ‘error combination’, however with  
160 some of these pairings we note that the distinction between the taxa may not always  
161 be clear. We would not claim that our taxon combinations reflect all possible errors or  
162 that all of these errors have a high probability. However, we do feel that our taxon  
163 combinations include all of the most common confusions. Three sets of experiments  
164 were conducted:

#### 165 Individual errors

166 The first group of experiments used only the modern training sets and was designed to  
167 quantitatively investigate the impacts of individual errors on transfer function  
168 predictions. We identified three possible ways in which each pair of species could be  
169 confused: 1. All of taxon A could be recorded as taxon B. 2. All of taxon B could be  
170 recorded as taxon A. 3. The taxa could be switched. The training set data were then  
171 transformed to reflect each of these three types of error for each of the taxon pairs  
172 identified. So for instance with the Alaska data we identified 15 taxon pairs (Table 2),  
173 which could each be transformed in three different ways giving a total of 45 possible  
174 individual modifications to the data. We then applied the transfer function derived  
175 from the original, unmodified training set to each of these modified data-sets in turn to  
176 predict depth to water table (DWT). This approach of applying a transfer function  
177 based on a training set to the same training set but with simulated taxonomic errors is  
178 not representative of any real-world situation but is a useful tool to investigate the  
179 impact that these errors might have on transfer function results.

180 Inferred depth to water table values (termed ‘testate amoeba-inferred depth to  
181 water table’: TI-DWT) were compared to predictions based on the unmodified data  
182 set and residuals calculated ( $\text{TI-DWT}_{\text{original}} - \text{TI-DWT}_{\text{modified}}$ ). Differences between  
183 predictions based on the original and modified data were calculated in terms of root  
184 mean square error (RMSE),  $R^2$  and the maximum difference between predictions for  
185 any one sample (Maximum Bias). All transfer function analyses were carried out  
186 using  $C^2$  (Juggins 2003).

#### 187 Multiple errors

188 To investigate the cumulative impact of more than one error we also carried out  
189 experiments simulating multiple errors in our modern training sets. The same taxon  
190 combinations were used as in the individual errors experiments. A random numbers  
191 system was used to select a taxon pair, with each pair assigned an equal probability of  
192 selection. Where more than two taxa could be confused with each other only one  
193 taxon pair could be selected at a time (where more than one pair were selected the  
194 data were kept unchanged). Each taxon pair could be transformed in one of the three  
195 ways described above with each of these three modifications given an equal  
196 probability of being selected. The number of errors in the data was steadily increased  
197 up to the maximum number of possible changes, with fifteen repetitions for each error  
198 total. The transfer function based on the unmodified training set was then applied to  
199 this modified training set and RMSE,  $R^2$  and Maximum Bias calculated as above.

200 A related possible source of bias in inferred values is that taxonomic errors in  
201 a training set lead to selection of a different transfer function model structure which  
202 may, in itself, lead to differences in model output. To investigate the potential  
203 implications of this issue alternative model structures (WA, WA-Tol, WA-PLS, ML)  
204 were tested using the maximum number of simulated errors in each training set and 15  
205 replicates. The best performing model was selected based on  $\text{RMSEP}_{\text{jack}}$  with no  
206 penalty for model complexity.

#### 207 Errors in palaeoecological sequences

208 To see how the simulated errors might affect palaeoenvironmental inference we also  
209 manipulated the four palaeoecological data-sets and then applied transfer functions  
210 based on unmodified training sets. The same taxon combinations were used when  
211 simulating errors in the palaeoecological data-sets as were used in the two  
212 experiments simulating errors in training sets described above. The number of errors  
213 was successively increased from one to ten. Transfer functions based on the

214 unmodified training set data were applied and TI-DWT values calculated for each  
215 modified palaeoecological data-set.

216

217 Results

218

219 Individual errors

220 Results of individual error experiments are shown in Table 2. With all training sets a  
221 few error combinations have a great deal more impact on predictions than most  
222 others. With the Poland data much the most significant error combination is *Diffflugia*  
223 *globulosa/Cyclopyxis arcelloides*, introducing a mean error of up to 2.5 cm (7% of the  
224 total measured DWT range) depending on which of the three permutations is  
225 considered, the next most important error combination is *Arcella vulgaris/Arcella*  
226 *discoides* (RMSE $\leq$ 0.55 cm, 1.5% measured range). With the Jura data the two most  
227 important error combinations are *Cyclopyxis arcelloides/Phryganella acropodia*,  
228 leading to a mean error of up to 1.95 cm (4% measured range) and *Centropyxis*  
229 *aerophila/Centropyxis platystoma*, leading to a mean error of up to 1.1 cm (2%  
230 measured range). With the Turkey data the most important error combination is  
231 *Corythion dubium/Trinema lineare*, leading to a mean error of up to 1.7 cm (2%  
232 measured range). With the Alaska data the most important error combinations are  
233 *Euglypha ciliata/Euglypha strigosa* (RMSE $\leq$ 3.06 cm, 5% measured range), *Nebela*  
234 *tincta/Nebela penardiana* (RMSE $\leq$ 2.78 cm, 4.6% measured range) and *Heleopera*  
235 *petricola/Heleopera sphagni* (RMSE $\leq$ 2.13 cm, 3.5% measured range). Maximum bias  
236 data show that many of these single errors lead to the predicted TI-DWT values of  
237 some samples changing by more than 10 cm, and in some cases more than 20 cm.  
238 These are highly significant changes; 20 cm represents the DWT difference between a  
239 lawn and a low hummock.

240 Multiple errors

241 When multiple errors are simulated there is a steady increase in the deviation of  
242 predictions from those based on the unmodified data (Fig. 2). With the Alaska data  
243 there is an approximately equal division between samples with TI-DWT over- and  
244 under-predicted relative to the original data. However with the other three data-sets  
245 there is a trend in one direction; with the Poland data this is towards under-prediction  
246 of TI-DWT while with the Jura and Turkey data this is towards over-prediction of TI-  
247 DWT. This directional bias is most apparent with the Jura data with the TI-DWT

248 values of the majority of samples being over-predicted relative to the unmodified data.  
 249 These directional biases are largely driven by just a few errors, so with the Jura data  
 250 the trend is mostly due to the *N. tinctoria*/*N. parvula* combination, with the Poland data  
 251 the trend is mostly due to the *C. arcelloides*/*D. globulosa* combination and with the  
 252 Turkey data the trend is mostly attributable to the *C. dubium*/*T. lineare* and *H.*  
 253 *petricola* /*H. rosea* combinations.

254 If alternative transfer function model structures are tested using the training sets  
 255 with simulated errors a different model structure is selected with 93% of replicates  
 256 with the Jura data, 60% of replicates with the Poland data, 40% of replicates with the  
 257 Turkey data and in no replicates with the Alaska data.

258

259 Errors in palaeoecological sequences

260 The consequences of these errors for palaeoecological reconstruction are shown in  
 261 Fig. 3A-D. With the Site DLB data (Fig. 3A) the most distinct features of the  
 262 reconstruction based on unmodified data are pronounced wet phases at the base of the  
 263 profile, from 52-56 cm and from 25-28 cm. These wet phases generally remain  
 264 apparent even when taxonomic errors are introduced, although with increasing  
 265 number of errors the phases become less distinct in some experiments. A notable  
 266 change with even one error is a period of higher values between 11 and 15 cm due to  
 267 counting *Centropyxis ecornis* as *Centropyxis laevigata*. With the Praz Rodet data (Fig.  
 268 3B) simulated errors make relatively little difference to reconstructed values. The  
 269 maximum deviation is 7.6 cm but in none of these experiments is the TI-DWT  
 270 reconstruction different enough to change interpretation of the record. With the  
 271 Tuchola data (Fig. 3C) even a single error can drastically change the pattern of the  
 272 reconstruction: If *Cyclopyxis arcelloides* is recorded as *Diffflugia globulosa* it  
 273 fundamentally changes the reconstruction giving an overall reduction in predicted  
 274 values, introducing a period of rapidly fluctuating values between 20 and 120 cm  
 275 depth and adding a trough at 360 cm. Interpretation of these data with and without this  
 276 error would be utterly different. Increasing error load slightly increases the variability  
 277 of predictions, but the overall pattern is largely determined by whether or not *C.*  
 278 *arcelloides* and *D. globulosa* are confused.

279 With the Jelenia Wyspa data (Fig. 3D) the difference that even a single error can  
 280 make is even more marked. Again the most important error is recording *C. arcelloides*  
 281 as *D. globulosa*. This error leads to a general under-prediction of TI-DWT by 5 cm or

282 more and an almost total difference in the pattern of change. Introducing this error  
283 leads to the reconstruction of major TI-DWT peaks at 42, 95 and 110 cm, features  
284 which are totally absent in the reconstruction based on unmodified data. One of the  
285 most distinctive features of the TI-DWT reconstruction based on the unmodified data  
286 is a period of high values between 50 and 65 cm. However in several experiments  
287 with one or more errors this feature is less distinct or not apparent at all. In these  
288 experiments *Centropyxis cassis* has been recorded as either *Centropyxis platystoma* or  
289 *Centropyxis aerophila*. With increasing number of errors there is an increasing  
290 variability in the pattern of reconstructed change, although reconstructions group  
291 around two basic patterns determined by whether *C. arcelloides/D. globulosa* are  
292 confused or not. In some experiments where both *C. arcelloides/D. globulosa*, and *C.*  
293 *cassis* and *C. aerophila* or *C. platystoma* are confused TI-DWT values deviate from  
294 the unmodified data by more than 17 cm.

295

## 296 Discussion

297 All of our experiments make several important assumptions: they assume that  
298 mistakes are made consistently, that these are all possible errors and all have an equal  
299 probability, and they do not account for tests simply over-looked or mistaken for taxa  
300 not included in the transfer function and therefore excluded. While we acknowledge  
301 that our experiments represent a considerable simplification of the real way in which  
302 taxonomic errors may affect transfer function output the results are undeniably  
303 revealing. While many possible errors make very little difference to predicted values  
304 some possible errors can change predicted values drastically, giving reconstructions  
305 which bear little apparent resemblance to those based on full data.

306 The specific errors which produce major impacts in our experiments seem by  
307 no means improbable. For instance the confusion of *C. dubium* with *T. lineare*  
308 (important in the Turkey training set) and *E. ciliata* with *E. strigosa* (important in the  
309 Alaska training set) are both common mistakes among our students. The most  
310 dramatic illustration of the possible impacts of taxonomic errors in our experiments is  
311 provided by the experiments simulating errors in palaeoecological data sets from  
312 Tuchola and Jelenia Wyspa. Major differences in reconstructions are produced by  
313 confusing *D. globulosa* and *C. arcelloides*, two taxa that have a similar overall  
314 morphology and would probably be grouped by Charman *et al.* (2000) or Medioli &  
315 Scott (1983). The drastic impact that this error makes is particularly notable given the

316 relative scarcity of these taxa in the Tuchola data, constituting only 2.7% of total tests  
317 and only exceeding 5% of count in 5 samples. In the Jelenia Wyspa data the taxa are  
318 slightly more abundant, constituting 10.1% of total tests. The difference that this  
319 single change makes to the reconstructions highlights the extent to which the pattern  
320 of palaeoenvironmental reconstruction may be determined by just a few important  
321 taxa. It is worryingly easy to envisage a scenario where somebody, perhaps relatively  
322 new to testate amoebae palaeoecology and using one of the more agglomerative  
323 taxonomies as their main guide, could make such an error to produce an  
324 environmental reconstruction which is substantially biased, or in the worst case  
325 entirely an artefact of taxonomic inconsistency. Taxonomic errors in a training set  
326 may change the transfer function model structure selected, but it is likely that this  
327 change alone would have limited impact on model output (cf. Booth 2007).

328         The large impacts of some of the simulated errors may suggest the need to  
329 group these potentially problematic taxa in our transfer functions. However these taxa  
330 frequently have significantly differing hydrological optima, therefore a corollary of  
331 the impacts of these errors is that if these taxa are grouped considerable ecological  
332 information will be lost. In the worst case grouping may considerably bias  
333 reconstructions. If one of a pair of taxa is well represented in a training set and the  
334 other not, the ecological optima of the group will mostly match that of the first taxon,  
335 however if the second taxon is more abundant in palaeoecological samples then  
336 reconstructed values will be biased.

337         In the absence of any formal taxonomic inter-comparison it is not possible to  
338 make any definitive assessment of how much of a problem taxonomic inconsistency  
339 may be *in praxis*. We would suggest that these errors are far from implausible.  
340 However, whether or not these specific taxonomic errors are very likely, our results  
341 suggest a wider point, that it is possible for taxonomic errors to radically distort  
342 environmental reconstructions. Taxonomic errors will not necessarily make any  
343 significant difference to environmental reconstruction; indeed, most errors will  
344 probably make very little difference. However, there is the potential for a single  
345 taxonomic mistake made consistently to so change an environmental reconstruction  
346 that the real palaeoecological signal is totally masked. Although our experiments only  
347 consider water table reconstruction in peatlands it is likely that similar results would  
348 be found when considering reconstruction of other variables and in other  
349 environments. Problems may be particularly acute in minerotrophic peatlands where

350 there may be a greater abundance of ‘difficult’ taxa (e.g. genera *Diffflugia* and  
351 *Centropyxis*).

352 Taxonomic comparability is critical; what a palynomorph used in  
353 palaeoecology is called matters little as long as the name is used *consistently*. For  
354 instance, non-pollen palynomorphs are commonly referred to as simply a numbered  
355 ‘type’ as the origin of the palynomorph may not be known (van Geel 2001). Given the  
356 taxonomic limitations imposed by palaeoecological counting some authors have  
357 considered it necessary to use a parallel naming system, for instance Joosten & de  
358 Klerk (2002) have suggested the differentiation of fossil pollen from plant species  
359 (and indeed modern pollen) by referring to the former in SMALL CAPITALS. While we  
360 do not feel that such a system is necessarily required for testate amoebae we would  
361 appeal for clarity in the description of taxonomies used in palaeoecological studies of  
362 testate amoebae. Until a revised taxonomic framework with clear identification  
363 criteria and keys is available and consistently used, researchers publishing training  
364 sets should clearly state identification criteria and the taxa included in groupings  
365 where these are not obvious.

366 Extreme caution should be used when applying transfer functions, particularly  
367 when using training sets counted by different analysts. Researchers attempting to use  
368 a transfer function derived by other analysts should work in close cooperation to  
369 ensure the same identification criteria are consistently employed. In our experience  
370 this is best done by close communication during counting, rather than trying to post-  
371 hoc adjust the taxonomy of a palaeoecological data-set to fit the taxonomy of a  
372 transfer function. Comparison of photographs of difficult taxa between analysts is a  
373 useful approach to ensure this consistency. Where there is any doubt at all over the  
374 criteria for differentiating taxa these taxa should be grouped or excluded from the  
375 data-sets. The fact that extremely large reconstruction errors can be introduced by  
376 relatively modest taxonomic errors adds to the case for comparing testate amoeba-  
377 based records with other data in a multi-proxy approach, and ideally replicating  
378 records with multiple cores. All palaeoecological techniques are imperfect, testate  
379 amoeba analysis is no exception.

380 There appears to be a tendency in testate amoeba-based palaeoecological  
381 reconstruction to use boot-strapping to derive estimates of standard errors and  
382 consider any changes which exceed these error bars (or even do not: Hendon &  
383 Charman 2004) to be a palaeoecological ‘signal’. However, these standard errors only

384 provide an estimate of the error inherent in the model, additional errors may well be  
385 introduced if the transfer function does not provide an adequate fit to the  
386 palaeoecological data (cf. Wilmshurst *et al.* 2003) or taxonomic errors are made. In  
387 our experiments even quite minor taxonomic errors produced a bias that significantly  
388 exceeded the boot-strapped standard errors. Boot-strapped standard errors should be  
389 used with caution as other sources of error can produce biases which considerably  
390 exceed these estimates.

391 To ensure taxonomic consistency there is a need for a common standard  
392 taxonomy which can be applied uniformly among analysts given the constraints  
393 imposed by counting large numbers of sub-fossil tests using optical microscopy. The  
394 guide of Charman *et al.* (2000) is the best attempt at this and is widely used (79  
395 citations in ‘Google Scholar’ at the time of writing). However, the taxonomic scheme  
396 set out has not met with uniform acceptance with many authors either not adopting  
397 this scheme or adapting it to varying extents. Major reasons for this lack of consistent  
398 use may include the exclusion of some relatively common peatland taxa (e.g.  
399 *Euglypha cristata*, *Tracheleuglypha dentata*) and the broad ‘types’ adopted for some  
400 groups of taxa (perhaps most notably the ‘*Cyclopyxis arcelloides* type’). The guide of  
401 Charman *et al.* (2000) provides a first attempt at a difficult task and is a very useful  
402 contribution. However we would argue that now, ten years after publication, is the  
403 time for a reconsideration and refinement of the scheme in an attempt to achieve a  
404 broad consensus. A consistent taxonomy is essential given increasing attempts to  
405 compare and combine modern data-sets while the more widespread use of testate  
406 amoebae in palaeoecology means that more environmental reconstructions are being  
407 produced using transfer functions derived by other researchers. Taxonomic  
408 inconsistency is a neglected issue in biological sciences, but its consequences may  
409 ultimately be very severe (Bortolus 2008).

410

#### 411 Conclusions

412 • Errors of taxonomy and enumeration are inevitable in palaeoecology. Testate  
413 amoeba analysis is likely to be particularly susceptible to such errors due to  
414 the inadequacies of the taxonomy.

415 • Our experiments suggest that some likely confusions can produce significant  
416 biases in quantitative environmental reconstructions.

417 • These results call for improvement of the taxonomic baseline. For now,

418 extreme caution should be used when applying transfer functions and especially  
419 interpreting small changes.

420 • There are many possible causes of bias in environmental reconstructions.  
421 Taxonomic inconsistency is but one of these.

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432

433 *'Truth is mighty and will prevail. There is nothing the matter with this, except that it*  
434 *ain't so.'* (Mark Twain)

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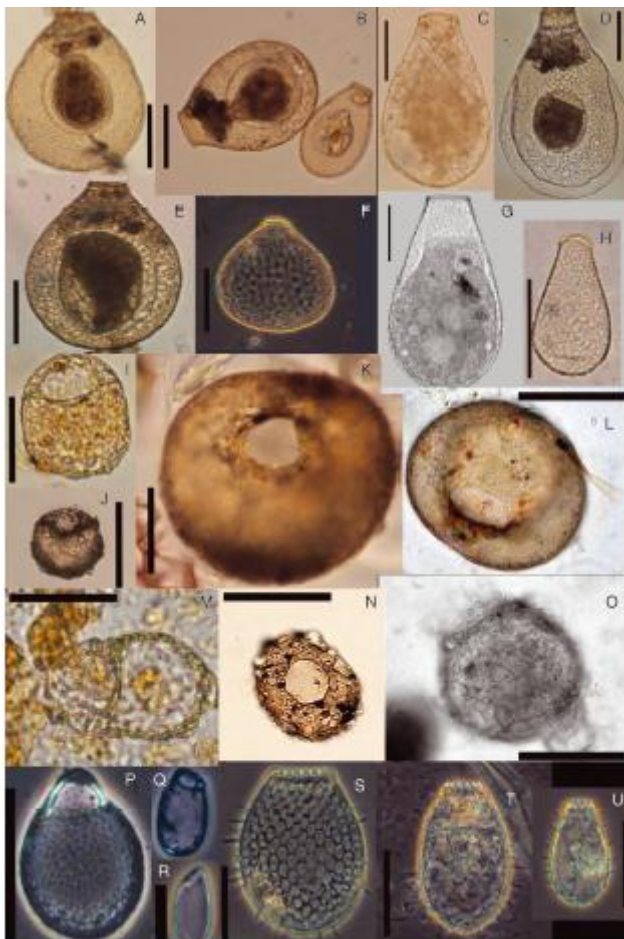
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## 583 FIGURES and TABLES

584

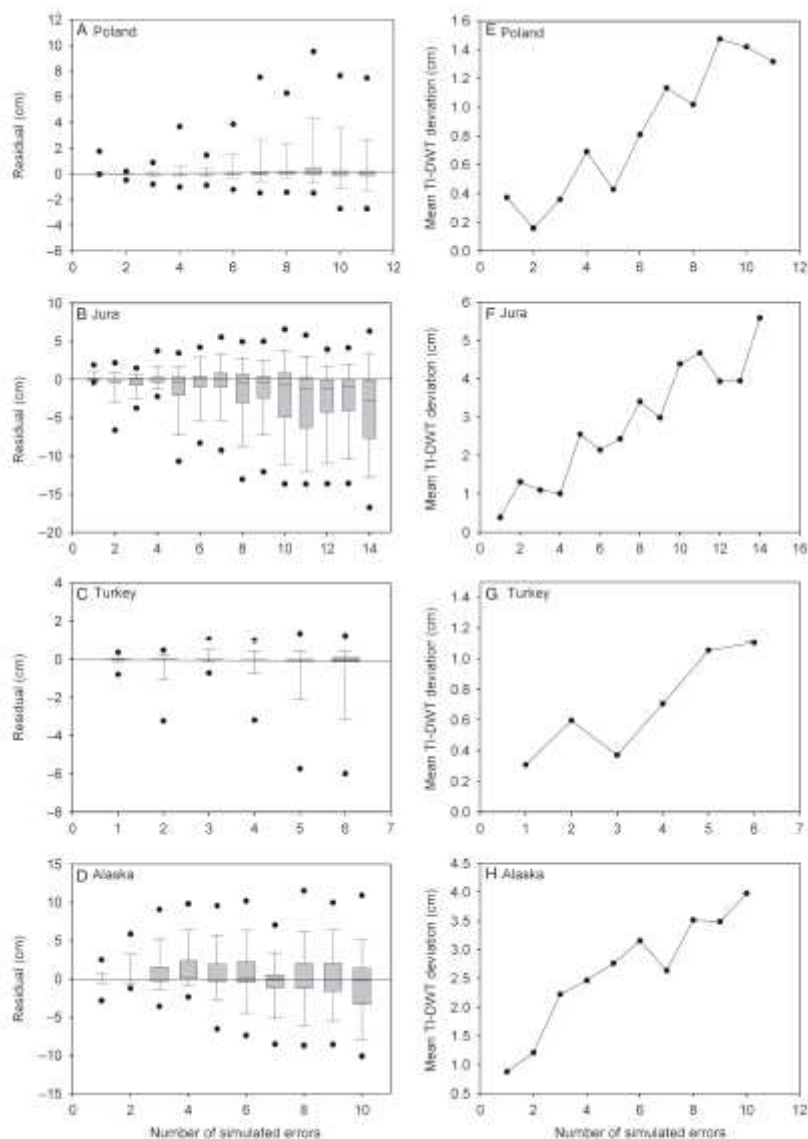
585 Figure 1. Illustrations of selected testate amoeba taxa discussed in this paper. A.  
 586 *Nebela tinctoria* var. *major*. B. *N. tinctoria* var. *major* and *N. tinctoria*. C. *N. marginata*. D. *N.*  
 587 *carinata*. E. *N. tinctoria* var. *major*. F. *N. flabellulum*. G. *N. penardiana*. H. *N. militaris*.  
 588 I. *Centropyxis aerophila*. J. *C. aerophila* var. *sphagnicola*. K. *C. ecornis*. L. *C.*  
 589 *laevigata*. M. *C. platystoma*. N. *Phryganella acropodia*. O. *Diffflugia globulosa*. P.  
 590 *Corythion dubium*. Q & R. *Trinema lineare*. S. *Euglypha ciliata*. T. *E. compressa*. U.  
 591 *E. strigosa*. Scale bar is 20µm for P,Q and R, 50µm for others.



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594 Figure 2. Results of multiple error experiments (see Methods) with four modern  
 595 training sets. Plots A-D show residuals ( $TI-DWT_{original} - TI-DWT_{modified}$ ), plots E-H  
 596 show the same data presented as an overall mean TI-DWT deviation. Box plots show  
 597 median (central line), first and third quartiles (grey box), tenth and ninetieth  
 598 percentiles ('whiskers') and fifth and ninety-fifth percentiles (dots).



599

600 Figure 3. Results of errors in palaeoecological sequences experiments (see Methods)

601 with palaeoecological data from A) 'Site DLB', Alaska, B) Praz-Rodet, Swiss Jura,

602 C) Tuchola, Poland, and D) Jelenia Wyspa, Poland. For each dataset the plot on the

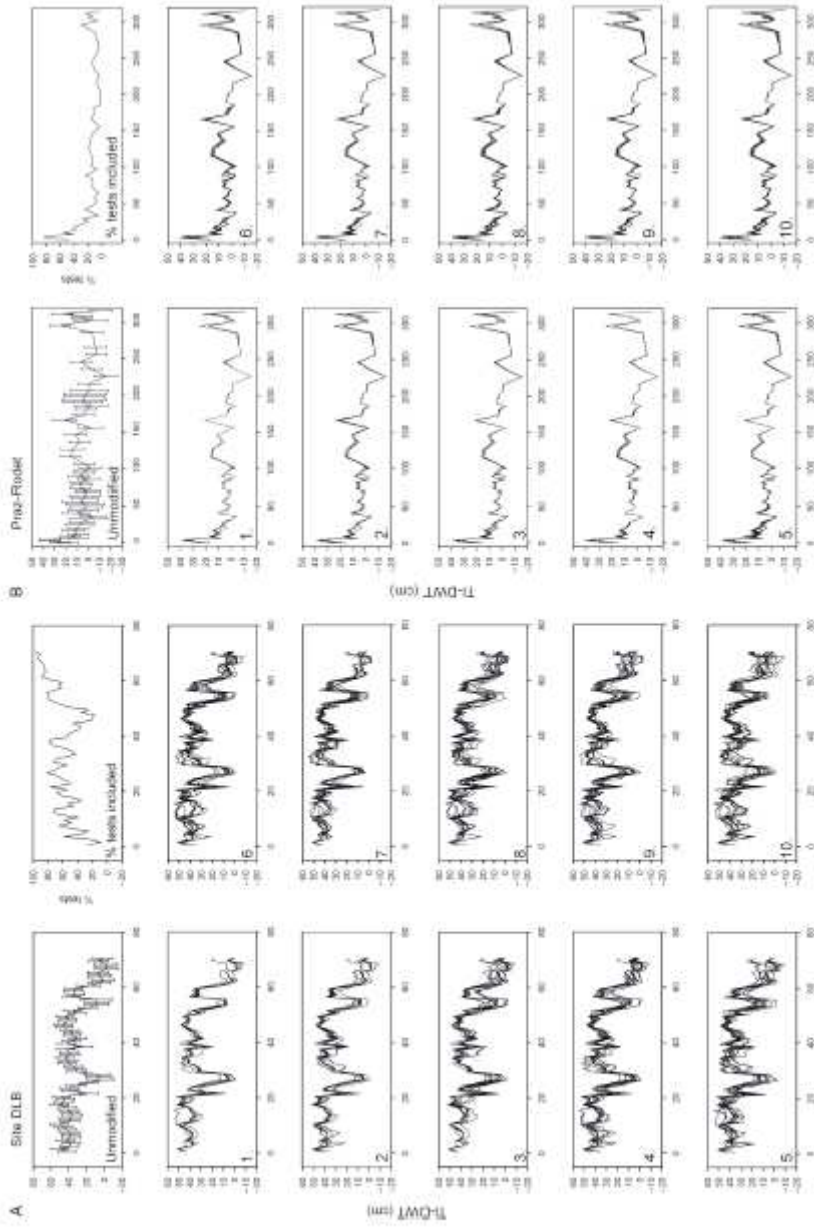
603 upper left shows reconstruction based on unmodified data and the adjacent plot shows

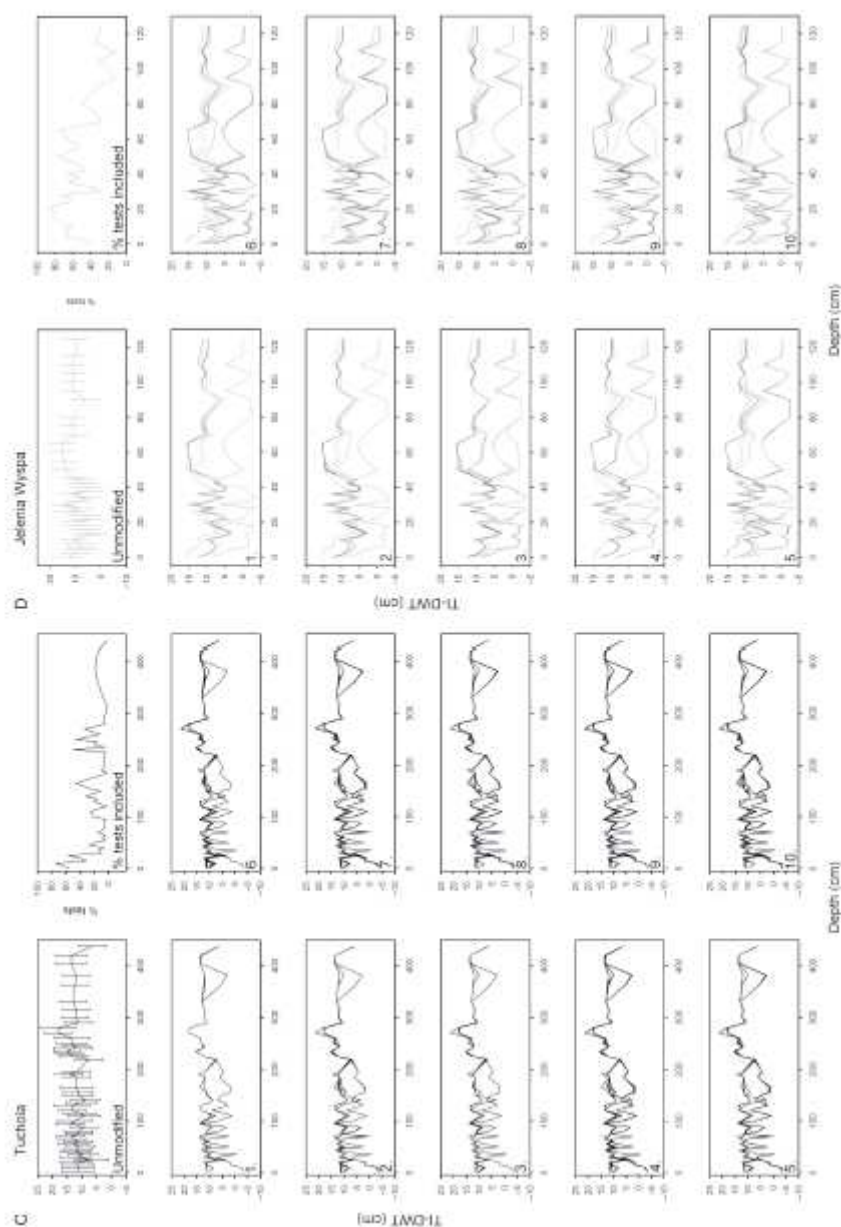
604 percentage of tests contributed by the taxa which could be confused. Other plots show

605 reconstructions for increasing number of errors from 1-10 with fifteen cycles of

606 random re-selection for each error total.

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Table 1.

Attributes of the datasets used in this study showing number of samples ( $n$ ), and for modern training sets: transfer function model structure, jack-knifed root mean square error of prediction (RMSEP), Maximum Bias and  $R^2$ . Location given in parentheses after palaeoecological data set name indicates applicable transfer function.

| Location              | $n$ | Model structure             | RMSEP <sub>jack</sub> (cm) | Max Bias <sub>jack</sub> (cm) | $R^2_{jack}$ | Reference  |
|-----------------------|-----|-----------------------------|----------------------------|-------------------------------|--------------|--|
| Modern training sets: |     |                             |                            |                               |              |  |
| Poland                | 84  | WA-Tol, Inverse deshrinking | 4.6                        | 9.0                           | 0.71         | Lamentowicz <i>et al.</i> (2007)*                |
| Jura                  | 37  | WA-PLS (2 component)        | 8.0                        | 21                            | 0.62         | Mitchell <i>et al.</i> (1999, 2001) <sup>†</sup> |
| Turkey                | 42  | ML                          | 7.1                        | 21                            | 0.81         | Payne <i>et al.</i> (2008)                       |

|                             |    |                      |     |    |      |                                   |
|-----------------------------|----|----------------------|-----|----|------|-----------------------------------|
| Alaska                      | 91 | WA-PLS (2 component) | 9.7 | 14 | 0.55 | Payne <i>et al.</i> (2006)        |
| Palaeoecological data sets: |    |                      |     |    |      |                                   |
| Site DLB (Alaska)           | 71 |                      |     |    |      | Payne <i>et al.</i> (unpublished) |
| Praz Rodet (Jura)           | 57 |                      |     |    |      | Mitchell <i>et al.</i> (2001)     |
| Tuchola (Poland)            | 50 |                      |     |    |      | Lamentowicz <i>et al.</i> (2008)  |
| Jelenia Wyspa (Poland)      | 38 |                      |     |    |      | Lamentowicz <i>et al.</i> (2007)  |

\*Values slightly different from published due to re-calculation of percentages. †Re-calculated using WA-PLS, see Payne and Mitchell (2009).

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Table 2. Results of individual error experiments (Methods section 1) for A) Poland (Lamentowicz *et al.* 2007), B) Jura (Mitchell *et al.* 1999, 2001), C) Turkey (Payne *et al.* 2008), D) Alaska (Payne *et al.* 2006). Showing, taxon pair (A and B), percentage of total tests these taxa represent, number of occurrences of each taxon (N), DWT optima estimated by weighted averaging ('WA Optima') and impact of simulated errors in terms of RMSE, maximum bias and  $R^2$  between TI-DWT based on original and modified datasets. Each taxon pair could be changed in three ways: all of taxon A could be counted as taxon B (A→B), all of taxon B could be counted as taxon A (A←B), and the two taxa could be switched (A↔B).

#### A) Poland

| Taxon A                       | Taxon B                       | % total |      | N  |    | WA optima |       | RMSE |      |      |
|-------------------------------|-------------------------------|---------|------|----|----|-----------|-------|------|------|------|
|                               |                               | A       | B    | A  | B  | A         | B     | A→B  | A←B  | A↔B  |
| <i>Corythion dubium</i>       | <i>Corythion-Trinema</i> type | 0.80    | 0.03 | 13 | 4  | 23.08     | 20.90 | 0.03 | 0.00 | 0.03 |
| <i>Cyclopyxis arcelloides</i> | <i>Diffugia globulosa</i>     | 3.63    | 1.74 | 33 | 6  | 4.36      | -0.18 | 2.33 | 0.28 | 2.49 |
| <i>Nebela parvula</i>         | <i>Nebela tincta</i>          | 1.37    | 2.40 | 32 | 33 | 19.04     | 21.59 | 0.04 | 0.08 | 0.08 |
| <i>Nebela bohémica</i>        | <i>Nebela collaris</i>        | 2.49    | 0.12 | 24 | 6  | 11.60     | 19.72 | 0.19 | 0.02 | 0.20 |
| <i>Nebela militaris</i>       | <i>Nebela collaris</i>        | 1.21    | 0.12 | 15 | 6  | 25.11     | 19.72 | 0.12 | 0.01 | 0.11 |
| <i>Heleopera sphagni</i>      | <i>Heleopera petricola</i>    | 0.42    | 1.56 | 15 | 31 | 13.29     | 13.02 | 0.00 | 0.01 | 0.01 |
| <i>Heleopera sylvatica</i>    | <i>Heleopera petricola</i>    | 0.16    | 1.56 | 5  | 31 | 20.10     | 13.02 | 0.01 | 0.05 | 0.06 |
| <i>Euglypha strigosa</i>      | <i>Euglypha compressa</i>     | 0.25    | 0.43 | 10 | 11 | 19.75     | 6.92  | 0.11 | 0.06 | 0.17 |
| <i>Euglypha compressa</i>     | <i>Euglypha ciliata</i>       | 0.43    | 0.41 | 11 | 8  | 6.92      | 6.51  | 0.02 | 0.02 | 0.02 |
| <i>Euglypha ciliata</i>       | <i>Euglypha strigosa</i>      | 0.41    | 0.25 | 8  | 10 | 6.51      | 19.75 | 0.40 | 0.05 | 0.07 |
| <i>Centropyxis cassis</i>     | <i>Centropyxis aerophila</i>  | 0.27    | 0.07 | 5  | 3  | 13.98     | 7.41  | 0.03 | 0.03 | 0.07 |
| <i>Centropyxis aerophila</i>  | <i>Centropyxis platystoma</i> | 0.07    | 0.03 | 3  | 2  | 7.41      | 8.68  | 0.00 | 0.00 | 0.00 |
| <i>Centropyxis cassis</i>     | <i>Centropyxis platystoma</i> | 0.27    | 0.03 | 5  | 2  | 13.98     | 8.68  | 0.05 | 0.01 | 0.05 |
| <i>Amphitrema stenostoma</i>  | <i>Amphitrema wrightianum</i> | 0.11    | 0.65 | 5  | 5  | 0.08      | 0.06  | 0.01 | 0.06 | 0.06 |
| <i>Arcella artocrea</i>       | <i>Arcella catinus</i>        | 0.03    | 3.05 | 4  | 35 | 11.64     | 15.08 | 0.00 | 0.15 | 0.15 |
| <i>Arcella discoides</i>      | <i>Arcella vulgaris</i>       | 7.58    | 2.20 | 33 | 17 | 1.36      | 3.15  | 0.43 | 0.16 | 0.55 |
| <i>Arcella gibbosa</i>        | <i>Arcella hemispherica</i>   | 0.59    | 0.59 | 6  | 5  | 0.77      | -0.23 | 0.02 | 0.02 | 0.05 |

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## B) Jura

| Taxon A                       | Taxon B                        | % total |       | N  |    | WA optima |       | RMSE |      |      |
|-------------------------------|--------------------------------|---------|-------|----|----|-----------|-------|------|------|------|
|                               |                                | A       | B     | A  | B  | A         | B     | A→B  | A←B  | A↔B  |
| <i>Arcella artocrea</i>       | <i>Arcella catinus</i>         | 0.10    | 1.64  | 7  | 19 | 13.16     | 26.33 | 0.06 | 0.88 | 0.92 |
| <i>Centropyxis aerophila</i>  | <i>Centropyxis platystoma</i>  | 2.10    | 0.95  | 17 | 8  | 17.17     | 23.31 | 1.10 | 0.50 | 1.07 |
| <i>Corythion dubium</i>       | <i>Trinema</i> type            | 5.31    | 3.70  | 33 | 20 | 24.97     | 26.38 | 0.49 | 0.34 | 0.36 |
| <i>Cyclopyxis arcelloides</i> | <i>Diffflugia globulosa</i>    | 0.55    | 0.24  | 7  | 1  | 11.12     | 3.00  | 0.02 | 0.01 | 0.03 |
| <i>Cyclopyxis arcelloides</i> | <i>Phryganella acropodia</i>   | 0.55    | 2.99  | 7  | 28 | 11.12     | 28.25 | 0.32 | 1.76 | 1.95 |
| <i>Diffflugia longicollis</i> | <i>Diffflugia oblonga</i>      | 0.37    | 0.02  | 3  | 1  | 27.35     | 16.00 | 0.26 | 0.01 | 0.27 |
| <i>Euglypha alveolata</i>     | <i>Euglypha tuberculata</i>    | 0.01    | 0.01  | 1  | 1  | 41.00     | 8.00  | 0.02 | 0.01 | 0.03 |
| <i>Euglypha ciliata</i>       | <i>Euglypha compressa</i>      | 2.08    | 0.29  | 31 | 8  | 21.66     | 26.25 | 0.72 | 0.10 | 0.69 |
| <i>Euglypha ciliata</i>       | <i>Euglypha strigosa</i>       | 2.08    | 1.04  | 31 | 19 | 21.66     | 25.78 | 0.30 | 0.15 | 0.27 |
| <i>Euglypha laevis</i>        | <i>Euglypha rounda</i>         | 1.66    | 2.62  | 22 | 24 | 24.24     | 24.75 | 0.27 | 0.42 | 0.47 |
| <i>Euglypha strigosa</i>      | <i>Euglypha compressa</i>      | 1.04    | 0.29  | 19 | 8  | 25.78     | 26.25 | 0.21 | 0.06 | 0.22 |
| <i>Heleopera petricola</i>    | <i>Heleopera rosea</i>         | 2.47    | 2.82  | 27 | 22 | 26.90     | 26.04 | 0.29 | 0.33 | 0.52 |
| <i>Nebela bohemica</i>        | <i>Nebela collaris</i>         | 0.72    | 0.23  | 6  | 5  | 20.68     | 23.20 | 0.13 | 0.04 | 0.09 |
| <i>Nebela carinata</i>        | <i>Nebela marginata</i>        | 0.18    | 0.91  | 5  | 9  | 8.82      | 9.59  | 0.01 | 0.05 | 0.05 |
| <i>Nebela militaris</i>       | <i>Nebela collaris</i>         | 6.62    | 0.23  | 30 | 5  | 27.85     | 23.20 | 0.81 | 0.03 | 0.83 |
| <i>Nebela parvula</i>         | <i>Nebela tinctoria</i>        | 0.04    | 14.68 | 2  | 37 | 29.35     | 29.29 | 0.01 | 5.87 | 5.86 |
| <i>Nebela penardiana</i>      | <i>Nebela tubulosa</i>         | 0.42    | 0.69  | 8  | 8  | 19.12     | 16.41 | 0.12 | 0.20 | 0.23 |
| <i>Phryganella acropodia</i>  | <i>Diffflugia globulosa</i>    | 2.99    | 0.24  | 28 | 1  | 28.25     | 3.00  | 1.88 | 0.15 | 2.00 |
| <i>Sphenoderia lenta</i>      | <i>Tracheleuglypha dentata</i> | 0.13    | 0.81  | 5  | 13 | 17.01     | 23.01 | 0.04 | 0.25 | 0.21 |

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## C) Turkey

| Species A                         | Species B                      | % total |      | N  |    | WA optima |       | RMSEP |      |      |
|-----------------------------------|--------------------------------|---------|------|----|----|-----------|-------|-------|------|------|
|                                   |                                | A       | B    | A  | B  | A         | B     | A→B   | A←B  | A↔B  |
| <i>Phryganella acropodia</i>      | <i>Cyclopyxis arcelloides</i>  | 1.04    | 0.27 | 22 | 3  | 39.74     | 9.34  | 0.03  | 0.00 | 0.03 |
| <i>Cyclopyxis eurystoma</i>       | <i>Phryganella acropodia</i>   | 0.84    | 1.04 | 8  | 22 | 68.28     | 39.74 | 0.22  | 0.35 | 0.37 |
| <i>Cyclopyxis arcelloides</i>     | <i>Cyclopyxis eurystoma</i>    | 0.27    | 0.84 | 3  | 8  | 9.34      | 68.28 | 0.55  | 0.17 | 0.72 |
| <i>Corythion dubium</i>           | <i>Trinema lineare</i>         | 8.24    | 1.41 | 31 | 13 | 47.40     | 63.76 | 1.65  | 0.35 | 1.59 |
| <i>Euglypha compressa</i>         | <i>Euglypha ciliata</i>        | 0.12    | 0.49 | 5  | 15 | 25.39     | 48.87 | 0.01  | 0.13 | 0.12 |
| <i>Euglypha strigosa</i>          | <i>Euglypha compressa</i>      | 0.07    | 0.12 | 4  | 5  | 30.29     | 25.39 | 0.01  | 0.01 | 0.01 |
| <i>Euglypha strigosa</i>          | <i>Euglypha ciliata</i>        | 0.07    | 0.49 | 4  | 15 | 30.29     | 48.87 | 0.00  | 0.03 | 0.03 |
| <i>Heleopera rosea</i>            | <i>Heleopera petricola</i>     | 3.45    | 0.08 | 27 | 2  | 41.03     | 28.59 | 0.90  | 0.01 | 0.90 |
| <i>Nebela penardiana</i>          | <i>Nebela tubulosa</i>         | 0.03    | 0.03 | 2  | 2  | 29.63     | 29.46 | 0.00  | 0.00 | 0.00 |
| <i>Nebela tinctoria</i>           | <i>Nebela penardiana</i>       | 0.47    | 0.03 | 14 | 2  | 43.69     | 29.63 | 0.01  | 0.00 | 0.01 |
| <i>Centropyxis aerophila</i> type | <i>Plagiopyxis cf. callida</i> | 2.33    | 0.06 | 20 | 2  | 57.28     | 12.62 | 0.38  | 0.01 | 0.38 |

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## D) Alaska

| Taxon A                        | Taxon B                           | % total |      | N  |    | WA optima |       | RMSE |      |      |
|--------------------------------|-----------------------------------|---------|------|----|----|-----------|-------|------|------|------|
|                                |                                   | A       | B    | A  | B  | A         | B     | A→B  | A←B  | A↔B  |
| <i>Arcella arenaria</i>        | <i>Arcella artocrea</i>           | 2.02    | 0.10 | 58 | 4  | 35.79     | 30.92 | 0.44 | 0.02 | 0.46 |
| <i>Centropyxis eicornis</i>    | <i>Centropyxis laevigata</i>      | 0.76    | 1.26 | 19 | 20 | 28.35     | 44.19 | 0.48 | 0.80 | 1.28 |
| <i>Centropyxis aerophila</i>   | <i>Centropyxis platystoma</i>     | 3.05    | 0.12 | 38 | 5  | 26.43     | 28.06 | 0.95 | 0.04 | 0.93 |
| <i>Corythion dubium</i>        | <i>Trinema</i> spp.               | 4.81    | 0.96 | 48 | 33 | 31.44     | 29.41 | 1.32 | 0.26 | 1.10 |
| <i>Diffflugia globulosa</i>    | <i>Phryganella acropodia</i> type | 0.15    | 6.89 | 3  | 85 | 19.59     | 34.72 | 0.01 | 0.29 | 0.29 |
| <i>Euglypha ciliata</i>        | <i>Euglypha compressa</i>         | 4.95    | 0.83 | 67 | 28 | 35.76     | 37.60 | 0.78 | 0.13 | 0.75 |
| <i>Euglypha ciliata</i>        | <i>Euglypha strigosa</i>          | 4.95    | 0.23 | 67 | 11 | 35.76     | 23.47 | 3.06 | 0.14 | 2.97 |
| <i>Euglypha strigosa</i>       | <i>Euglypha compressa</i>         | 0.23    | 0.83 | 11 | 28 | 23.47     | 37.60 | 0.18 | 0.64 | 0.82 |
| <i>Heleopera petricola</i>     | <i>Heleopera sylvatica</i>        | 3.84    | 0.31 | 43 | 12 | 32.45     | 33.42 | 0.57 | 0.05 | 0.58 |
| <i>Heleopera petricola</i>     | <i>Heleopera sphagni</i>          | 3.84    | 3.74 | 43 | 33 | 32.45     | 24.39 | 1.17 | 1.14 | 2.13 |
| <i>Nebela penardiana</i>       | <i>Nebela marginata</i>           | 0.06    | 0.33 | 3  | 6  | 18.27     | 18.35 | 0.02 | 0.10 | 0.09 |
| <i>Nebela tinctoria</i>        | <i>Nebela penardiana</i>          | 3.25    | 0.06 | 60 | 3  | 42.25     | 18.27 | 2.74 | 0.05 | 2.78 |
| <i>Hyalosphenia elegans</i>    | <i>Nebela militaris</i>           | 3.98    | 1.76 | 47 | 40 | 32.03     | 46.80 | 2.59 | 1.15 | 2.71 |
| <i>Euglypha rotunda</i>        | <i>Tracheleuglypha dentata</i>    | 1.15    | 0.03 | 32 | 3  | 31.69     | 14.52 | 0.74 | 0.02 | 0.73 |
| <i>Tracheleuglypha dentata</i> | <i>Sphenoderia lenta</i>          | 0.03    | 0.35 | 3  | 12 | 14.52     | 20.68 | 0.00 | 0.04 | 0.04 |

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