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1 **Preoperative Neutrophil:Lymphocyte and Platelet:Lymphocyte Ratios Predict**
2 **Endometrial Cancer Survival**

3

4 **Running Title:** NLR and PLR predict endometrial cancer survival

5

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17 **ABSTRACT**

18 **Background**

19 Variations in systemic inflammatory response biomarker levels have been associated with
20 adverse clinical outcome in various malignancies. This study determined the prognostic
21 significance of preoperative neutrophil:lymphocyte (NLR), platelet:lymphocyte (PLR) and
22 monocyte:lymphocyte (MLR) ratios in endometrial cancer.

23 **Methods**

24 Clinicopathological and five-year follow-up data were obtained for a retrospective series of
25 surgically-treated endometrial cancer patients ($n=605$). Prognostic significance was
26 determined for overall (OS) and cancer-specific survival (CSS) using Cox proportional
27 hazards models and Kaplan-Meier analysis. Receiver-operator characteristic and log-rank
28 functions were used to optimise cut-offs. NLR, PLR and MLR associations with
29 clinicopathological variables were determined using non-parametric tests.

30 **Results**

31 Applying cut-offs of ≥ 2.4 (NLR), ≥ 240 (PLR) and ≥ 0.19 (MLR), NLR and PLR (but not
32 MLR) had independent prognostic significance. Combining NLR and PLR scores stratified
33 patients into low (NLR-low and PLR-low), intermediate (NLR-high or PLR-high) and high
34 risk (NLR-high and PLR-high) groups: multivariable hazard ratio (HR) 2.51; $P<0.001$ (OS);
35 HR 2.26; $P<0.01$ (CSS) for high vs. low risk patients. Increased NLR and PLR were most
36 strongly associated with advanced stage ($P<0.001$), whereas increased MLR was strongly
37 associated with older age ($P<0.001$).

38 **Conclusion**

39 NLR and PLR are independent prognostic indicators for endometrial cancer, which can be
40 combined to provide additional patient stratification.

41

42

43 **KEYWORDS:**

44 Endometrial cancer, neutrophil:lymphocyte ratio, platelet:lymphocyte ratio,

45 monocyte:lymphocyte ratio, lymphocyte:monocyte ratio, survival.

46

47 **INTRODUCTION**

48 Endometrial cancer is the commonest gynaecological malignancy in the Western world,
49 accounting for 6.1% of all cancers in European women (Ferlay *et al*, 2013). Almost 8,500
50 cases are diagnosed annually in the UK, leading to over 2,000 deaths (Cancer Research UK
51 Statistics, 2014). Moreover, the incidence of endometrial cancer in the UK has increased by
52 43% in 15 years since 1993-5, attributable in part to factors resulting in unopposed oestrogen
53 exposure such as obesity, certain hormone replacement therapies and the use of tamoxifen to
54 treat breast cancer. This rise has also been accompanied by a 14% increase in the number of
55 deaths due to endometrial cancer over the same time period (National Cancer Intelligence
56 Network, 2013).

57

58 Endometrial cancers are primarily adenocarcinomas and have been traditionally categorised
59 into types I and II on the basis of aetiology, molecular characteristics and clinical behaviour
60 (Bohkman, 1983). Type I endometrial cancer (endometrioid endometrial cancer, EEC) is the
61 commonest, accounting for approximately 80% of sporadic cases. It typically develops in
62 peri-menopausal women in a background of premalignant hyperplasia and is usually
63 oestrogen/progesterone receptor positive. By contrast, type II endometrial cancers (serous and
64 clear cell carcinomas) usually arise in a background of atrophic (postmenopausal)
65 endometrium, are high-grade, hormone receptor negative and typically follow an aggressive
66 clinical course (Dedes *et al*, 2011). While early stage, low grade EEC is classically managed
67 by curative hysterectomy, late stage EEC/type II endometrial cancers are associated with
68 significant mortality due to their metastatic spread outwith the uterine corpus (Dedes *et al*,
69 2011; DeLeon *et al*, 2014). Difficulties still exist with the type I/II classification system in
70 terms of prognosis: there is uncertainty as to whether grade 3 EECs should be classified as

71 type I or type II carcinomas (Boruta *et al*, 2004; Hamilton *et al*, 2006; Soslow *et al*, 2007;
72 Voss *et al*, 2012) while the prognostic significance of tumours with mixed type I/II histology
73 remains the subject of debate (Patsavas *et al*, 2011; Roelofson *et al*, 2012). The existence of
74 grade 1 EECs arising in a background of atrophic endometrium also presents difficulties for
75 this dualistic model (Geels *et al*, 2012). Finally, endometrial carcinosarcomas (formerly
76 named malignant mixed Müllerian tumours) are now considered to be metaplastic carcinomas
77 (McCluggage, 2002) which carry an exceptionally poor prognosis (Amant *et al*, 2005),
78 although their clinical behaviour is to some extent dictated by the histology of their epithelial
79 component (de Jong *et al*, 2011). Thus, there is an ongoing need to identify objective
80 biomarkers, both to improve risk stratification and to guide therapeutic management.

81

82 The host response to malignant tumours is characterised by systemic inflammation, resulting
83 in a relative thrombocytosis, neutrophilia and lymphocytopenia. Biomarkers of systemic
84 inflammation such as elevated neutrophil:lymphocyte ratio (NLR), platelet:lymphocyte ratio
85 (PLR) and absolute monocyte counts have shown potential for guiding the clinical
86 management of cancer patients across a range of malignancies (Clarke *et al*, 2011). High
87 preoperative NLR and PLR and, more recently, monocyte:lymphocyte ratio (MLR) have
88 been shown to associate with adverse outcomes in a range of solid tumours (Templeton *et al*
89 2014a; Templeton *et al*, 2014b; Li *et al*, 2013), although a paucity of data exist on their
90 prognostic significance in the context of endometrial cancer. The aim of this study was
91 therefore to investigate the prognostic significance of preoperative NLR, PLR and MLR in a
92 large retrospective series of surgically treated endometrial cancer patients with five-year
93 follow-up data.

94

95 **MATERIALS AND METHODS**

96 *Patients and data collection*

97 This retrospective study examined the records of a sequential series of 733 patients with a
98 new diagnosis of primary endometrial cancer between January 2005 and December 2007
99 within the North and West Yorkshire Deanery, UK. Ethical approval was obtained from
100 Leeds East Research Ethics Committee (ref: 05/Q1107/41). Data were obtained from Patient
101 Pathway Manager (Newsham *et al*, 2011) and case notes from Yorkshire Cancer Network
102 units. Follow-up was 3 monthly for first 18 months, 6 monthly for next 18 months, then
103 annually until 5 years when patients were offered to be discharged back to their GP, with the
104 option of continuing annual follow-up visits thereafter. Mobility within the region was
105 limited as judged by the numbers seen in follow-up clinics. Patients who moved from the
106 centre during the follow-up period were reviewed in their local regional hospital by accessing
107 their local electronic records by one of the investigators (MG). All deaths were cross-checked
108 against death certificates and patients were censored at end of follow-up, if death had not
109 occurred. Patients were managed according to regional guidelines, taking into account patient
110 performance status: The extent of surgical staging was based on preoperative histological
111 findings and imaging, where lymphadenectomy (+/- omental sampling and peritoneal
112 washings) was only performed on early stage patients with Type II histology. Following post-
113 operative staging, adjuvant combination chemotherapy (Paclitaxel + Carboplatin) was
114 administered to patients with stage III/IV disease, followed by consolidation external beam
115 radiotherapy (EBRT). Brachytherapy was reserved for those patients with tumour involving
116 the cervical epithelium (if Type II) or stroma (if Type I). Type II stage I cancers were
117 sometimes given EBRT at the discretion of the physician. A total of 128 patients were
118 excluded; these comprised patients who were lost to follow-up ($n=7$), those with no
119 preoperative blood parameter data available ($n=54$), patients that did not have a hysterectomy

120 ($n=54$) and patients with a diagnosis of uterine sarcoma/unknown uterine tumour ($n=13$).
121 Individual Charlson scores were calculated from recorded co-morbidities (Charlson *et al*,
122 1987). Staging data were converted from the International Federation of Gynaecology and
123 Obstetrics (FIGO) 1988 to the FIGO 2009 staging system (Creasman, 2009) according to
124 pathology reports. Patients' full blood count data (including absolute leukocyte, neutrophil,
125 eosinophil, basophil, monocyte, lymphocyte and platelet counts) were collected from a time
126 frame of less than two weeks prior to hysterectomy and used to calculate NLR, PLR and
127 MLR. We chose to calculate MLR, the reciprocal of the more frequently used
128 lymphocyte:monocyte ratio (LMR), in order to standardise by dividing myeloid lineage
129 counts by lymphoid lineage cell counts for all relevant variables.

130

131 *Statistical analysis*

132 Data normality was assessed using Kolmogorov-Smirnov tests and associations of NLR,
133 MLR and PLR with other categorised clinicopathological prognostic variables were
134 determined using either Mann-Whitney-*U* tests or Kruskal-Wallis tests followed by *post hoc*
135 pairwise Mann-Whitney-*U* tests. Bonferroni's correction was applied for multiple
136 comparisons, as appropriate. NLR, MLR and PLR correlations were performed using
137 Spearman's rho test.

138

139 Overall survival (OS) and cancer specific survival (CSS) were defined as time from diagnosis
140 to death (all causes) and death due to endometrial cancer (where endometrial cancer was
141 listed as a cause of death in the death certificate), respectively. In cases where endometrial
142 cancer was not listed as a cause, deaths were censored in CSS analysis. Survival analyses on

143 categorical variables were performed using the Kaplan–Meier method and significant
144 differences between groups were identified using the log-rank test. Univariable and
145 multivariable survival analyses were performed using Cox proportional hazards models.
146 NLR, PLR and MLR cut-off optimisation was performed using the software package Cutoff
147 Finder (Budczies *et al*, 2012). Two approaches were used for cut-off determination: a)
148 standard ROC curve analysis based on binary outcome, using Manhattan distance to calculate
149 optimal cut-offs, and b) fitting the Cox proportional hazards models to dichotomised NLR,
150 PLR and MLR variables and the time-dependent survival variable, whereby the optimal cut-
151 off point gave the lowest log-rank *P* value.

152

153 Statistical analysis was performed using *R* (R Core Team, 2014) and IBM SPSS (Statistical
154 Package for the Social Sciences; Version 21). Missing data were handled by pairwise
155 exclusion. All statistical tests used in this study were two-sided and *P* values of <0.05 were
156 considered significant.

157

158 **RESULTS**

159 *Patient characteristics*

160 Patient demographics have been summarised in Supplementary Table 1. Median age at
161 diagnosis was 65 years (range 28-95) and all selected patients underwent a total hysterectomy
162 with bilateral salpingo-oophorectomy. Lymphadenectomy (pelvic/para-aortal) was performed
163 in 71% of patients, 33% of patients received adjuvant radiotherapy and 13% of patients
164 received adjuvant chemotherapy. The majority of patients (78%) were diagnosed at early
165 stage (I/II) and EEC was the predominant (77%) histopathological subtype. Median follow-
166 up time (reverse Kaplan-Meier method) was 81.5 months (range 58-103). Throughout the
167 follow-up period there were 166 deaths, 96 of which were attributable to endometrial cancer
168 (see Materials and Methods). The estimated cumulative five-year survival for this patient
169 population was $76\pm 1.7\%$ for overall survival (OS) and $84\pm 1.5\%$ for endometrial cancer-
170 specific survival (CSS).

171

172 *Prognostic significance of preoperative blood parameters and cut-off determination*

173 To investigate the potential prognostic significance of preoperative blood parameters,
174 univariable Cox proportional hazards analyses were performed on continuous data, whereby
175 each parameter was scaled to its own median value to enable cross-comparison of hazard
176 ratios (Supplementary Table 2). These exploratory analyses revealed leukocyte, neutrophil,
177 lymphocyte and platelet counts to be significantly associated with survival, where increased
178 leukocytes and neutrophils associated with worse OS and CSS, increased lymphocytes
179 associated with better OS and CSS and increased platelets associated with worse CSS (but
180 not OS). No significant relationships between monocyte, eosinophil or basophil counts and

181 survival were identified. These analyses also revealed neutrophil:lymphocyte (NLR),
182 platelet:lymphocyte (PLR) and monocyte:lymphocyte (MLR) ratios to be highly significantly
183 associated with adverse outcome for both OS and CSS (all $P<0.001$), and to have a superior
184 prognostic significance compared to any blood parameters not expressed as a ratio. On this
185 basis, all three ratios were selected for further analysis.

186

187 Although an NLR cut-off of ≥ 5 is commonly applied in the prognostic setting (particularly in
188 colorectal carcinoma), its use is not universal (Templeton et al, 2014a). Cut-off PLR values
189 used for prognostication in cancers range from 160-300 (Templeton et al, 2014b), and
190 similarly, cut-off LMR values applied to non-haematological malignancies range from 2.9
191 (Stotz *et al*, 2014a) to 5.3 (Li *et al*, 2012). We therefore chose to perform cut-off optimisation
192 for NLR, PLR and MLR on our study cohort. Optimised cut-offs were determined for each
193 parameter using standard ROC curve analysis and time-dependent survival (see Materials and
194 Methods). In ROC curve analyses, the areas under the curve (AUC) for OS were 0.616
195 ($P<0.001$), 0.583 ($P=0.002$) and 0.592 ($P=0.001$) for NLR, PLR and MLR, respectively. For
196 CSS, respective AUC values for NLR, PLR and MLR were 0.620 ($P<0.001$), 0.611
197 ($P=0.001$) and 0.589 ($P=0.006$). For NLR, a cut-off of 2.4 was found to be optimal for OS
198 and CSS using ROC curve determination and this value also gave the lowest log-rank P value
199 ($P<0.0001$) for time-dependent survival analysis. For PLR, cut-offs were similar using both
200 approaches (240 and 250 for ROC and time-dependent survival, respectively; both
201 $P<0.0001$), and a cut-off of 240 was selected to maximise the number of patients in the PLR-
202 high group (14%). For MLR, there was a large discrepancy between cut-offs determined by
203 ROC curve (0.19; $P<0.001$) and time-dependent survival analysis (0.66; $P<0.0001$). Since the
204 latter approach defined only 2% of the patient cohort as MLR-high, patients were

205 dichotomised according to the ROC curve cut-off. This value (0.19) corresponds to an LMR
206 cut-off of 5.3.

207

208 *Univariable survival analysis of patients stratified according to NLR, PLR and MLR cut-offs*
209 *and other prognostic parameters*

210 Prognostic parameters for univariable analysis included age, Charlson co-morbidity index,
211 FIGO 2009 stage, grade, histopathological subtype and the presence of lymphovascular space
212 invasion, a known independent prognostic indicator for endometrial cancer (Briët *et al*,
213 2005). Depth of myometrial invasion, cervical involvement and lymph node status form part
214 of the FIGO staging system and, as such, were not included as independent variables in the
215 analysis. Patients were stratified into four age groups including two 10-year groups around
216 the median age (65 years). All prognostic parameters except the Charlson co-morbidity index
217 were significantly associated with OS and CSS in univariable analysis (Tables 1 and 2,
218 respectively) and were therefore included in subsequent multivariable models.

219

220 Kaplan-Meier analysis for OS (Figure 1 A-C) and CSS (Figure 2 A-C) revealed that patients
221 with high preoperative NLR, PLR or MLR (corresponding to a low LMR) had significantly
222 worse OS and CSS. PLR dichotomisation showed the greatest survival difference with a
223 cumulative five-year OS survival rate of 54% (PLR-high) vs. 80% (PLR-low), followed by
224 NLR (68% high vs. 86% low) and then MLR (72% high vs. 83% low). These results were
225 echoed by CSS with estimated cumulative five-year survival rates of 67% (PLR-high) vs.
226 87% (PLR-low), NLR (78% high vs. 91% low), and MLR (81% high vs. 90% low). However,
227 the enhanced survival difference identified by PLR dichotomisation was offset by the fact

228 that it only defined a relatively small subset of patients (14%) as high risk (Figures 1C and
229 2C).

230

231 *NLR and PLR have independent prognostic significance*

232 Since NLR, PLR and MLR were strongly correlated with each other (Spearman's rho
233 coefficients of 0.728 (NLR vs. PLR), 0.682 (NLR vs. MLR) and 0.583 (PLR vs. MLR; all
234 $P < 0.001$), all three factors were adjusted separately in multivariable Cox proportional hazards
235 models which included age, stage, grade, histopathological subtype and lymphovascular
236 space invasion (Tables 1 and 2 for OS and CSS, respectively). Both NLR and PLR were
237 independent prognostic factors for OS and CSS, albeit more highly significant for OS. By
238 contrast, MLR had no independent prognostic value for either OS or CSS.

239

240 *Combining NLR and PLR provides additional patient stratification*

241 Methods to combine NLR and PLR scores to improve patient stratification in relation to
242 clinical outcome were then investigated. Approaches such as combining the NLR and PLR
243 values as geometric means did not identify cut-offs that performed well in multivariable
244 analysis (data not shown). Indeed, the simplest and most effective approach was to stratify
245 patients into three groups: a) PLR-low and NLR-low, b) PLR-high or NLR-high, and c) PLR-
246 high and NLR-high (Figures 1D and 2D). These corresponded to low, intermediate and high
247 risk groups, with estimated cumulative five-year OS rates of 85%, 76% and 54%,
248 respectively, and estimated cumulative five-year CSS rates of 91%, 83% and 67%. When
249 adjusted for other prognostic parameters (Table 1), both the high and intermediate risk group
250 had significantly worse OS than the low risk group. For CSS (Table 2), the difference

251 between high and low risk groups was accentuated (compared to when simple NLR and PLR
252 cut-offs were used to dichotomise patients), although the low and intermediate risk groups
253 did not differ significantly from each other in the multivariable model. It is worth noting that
254 only one patient was PLR-high and NLR-low, which might be expected given the strong
255 positive correlation of PLR with NLR. One might, therefore, hypothesise that simply raising
256 the NLR cut-off threshold would also identify this high risk group. We did indeed apply a
257 cut-off of 5.0 - which is the most widely used value in the literature, particularly in colorectal
258 cancer (Guthrie *et al*, 2013a) - to our patient population but this did not perform as well as
259 when applying our optimised cut-offs for either OS (multivariable HR for NLR ≥ 5 : 1.81;
260 95%CI: 1.17-2.79; $P=0.008$) or CSS (multivariable HR: 1.61; 95%CI: 0.89–2.88; $P=0.111$).

261

262 *Other prognostic factors in the multivariable model*

263 The multivariable model confirmed the independent prognostic significance of
264 lymphovascular space invasion for both OS (Table 1) and CSS (Table 2). Age, stage and
265 grade were also significant independent prognostic indicators for both OS and CSS but, as
266 expected, the effect of age was magnified for OS compared to CSS, and *vice versa* for grade
267 and stage. When different endometrial cancer histopathological subtypes were compared to
268 EEC as the reference group, only a diagnosis of carcinosarcoma associated with worse OS
269 and CSS, confirming the particularly poor outlook associated with this subtype (Amant *et al*,
270 2005). A diagnosis of serous or clear cell carcinoma (both *de facto* grade 3 carcinomas) was
271 not independently predictive, perhaps partly due to the relatively small population sizes of
272 these subgroups and the fact that grade was also included in the model. Similarly, a diagnosis
273 of mixed carcinoma (serous or clear cell combined with endometrioid histology) was not
274 independently predictive, although univariable analysis suggested that the risk of endometrial

275 cancer-related death was lower in this population compared with that of patients diagnosed
276 with pure serous or clear cell tumours (Table 2).

277

278 *Subgroup analysis of combined NLR and PLR in early and late stage patients*

279 The prognostic value of the combined NLR- and PLR-based stratification system was next
280 investigated in early (I/II) and late stage (III/IV) endometrial cancer subgroups (Figure 3). In
281 multivariable analysis, when adjusting for age, grade and lymphovascular space invasion,
282 combined high NLR and high PLR was significantly associated with worse OS and CSS in
283 both early and late stage subgroups. In the early stage subgroup, the intermediate risk group
284 (with NLR-high or PLR-high status) was significantly associated with worse OS but not CSS
285 (Figure 3A and 3B). By contrast, there was a trend for intermediate risk group to associate
286 with both worse OS and CSS in late stage patients (Figure 3C and 3D), although the numbers
287 in the late stage subgroup were relatively small.

288

289 *Association of NLR, PLR and MLR with other clinicopathological variables*

290 Potential relationships between NLR, PLR, MLR and other clinicopathological factors were
291 then explored (Table 3). Both NLR and PLR were associated with features of high tumour
292 burden/metastatic potential, including stage (where the association was highly significant;
293 $P < 0.001$), lymphovascular space invasion and lymph node positivity. NLR was significantly
294 higher in patients diagnosed with stage IV cancers and PLR was significantly higher in
295 patients with IV cancer compared to those with stages I and II. NLR and PLR were both
296 significantly higher in patients diagnosed with lymphovascular space invasion and with
297 lymph node positivity, although the strength of these associations was greater for

298 lymphovascular space invasion. Interestingly, NLR was significantly higher in patients with a
299 diagnosis of carcinosarcoma compared to the EEC group. Both NLR and PLR were
300 significantly associated with age ($P=0.013$ and 0.035 , respectively). However, neither NLR
301 nor PLR correlated with age as a continuous variable. By contrast, MLR was highly
302 significantly associated with age ($P<0.001$) and significantly higher in patients aged ≥ 75
303 years compared to the groups aged 55-64 and 65-74 years. MLR also correlated weakly, but
304 significantly, with age as a continuous variable (Spearman's rho coefficient 0.129 ; $P=0.002$).
305 MLR did not associate with any other clinicopathological factor except stage ($P=0.001$),
306 although this association was less significant than that of NLR or PLR. We also investigated
307 potential associations of NLR, PLR and MLR with Charlson co-morbidity index. However,
308 no significant association was found using either Spearman's rho test on ordinal Charlson
309 index data or by Mann-Whitney- U tests on patient populations dichotomised according to
310 Charlson scores at any cut-off point (data not shown).

311

312 **DISCUSSION**

313 This is the largest study to investigate the prognostic role of preoperative NLR and PLR in
314 endometrial cancer, and the only such study to investigate the prognostic potential of MLR in
315 this disease. Both NLR and PLR were identified as having independent prognostic value
316 when adjusted for age, stage, grade, lymphovascular space invasion and histopathological
317 subtype. In this regard, previous studies on these systemic inflammatory markers in
318 endometrial carcinoma have explored the potential of NLR and PLR in the diagnostic setting
319 (Mete Ural *et al*, 2014; Acmaz *et al*, 2014), or as predictive markers of nodal metastasis (Suh
320 *et al*, 2012) and cervical stromal invasion in EEC (Wang *et al*, 2013). A recent study
321 (Haruma *et al*, 2015) on a cohort of 320 endometrial cancer patients identified high NLR as
322 having independent adverse prognostic significance for OS. However, no independent
323 prognostic significance was identified for PLR, which was only borderline significant for OS
324 even in univariable analysis. The reasons for the discrepant findings for PLR may be due to
325 the fact that although there was close agreement in optimised NLR cut-offs (2.7 compared to
326 2.4 defined herein), optimised PLR cut-offs were quite dissimilar (174 as opposed to 240
327 applied herein). This in turn may reflect the smaller cohort size used by Haruma and
328 colleagues (320 compared to 605 in the present study) leading to sub-optimal PLR cut-off
329 determination. It is worth noting that the PLR cut-off determined in the present study defines
330 a relatively small subset of patients (14%) as high risk, although this subset was associated
331 with particularly poor outcome.

332

333 A wealth of research supports the prognostic value of NLR in solid tumours, as illustrated by
334 a recently published meta-analysis of 100 studies (Templeton *et al*, 2014a), where the
335 analysis of pooled data showed that high NLR associated with adverse OS, CSS progression-

336 free and disease-free survival, although only 10% of the studies specifically addressed CSS.
337 Evidence is also mounting for the value of PLR in predicting OS for solid tumours
338 (Templeton *et al*, 2014b). In this regard, preoperative PLR has been demonstrated to be an
339 independent risk factor for worse OS in pancreatic (Smith *et al*, 2014), colorectal (Kwon *et*
340 *al*, 2012) and ovarian cancers (Asher *et al*, 2011), and to predict independently both worse
341 OS and CSS in breast cancer (Krenn-Pilko *et al*, 2014). By contrast, few studies conducted to
342 date have investigated the prognostic potential of MLR in non-haematological malignancies.
343 Nonetheless, a number of recent publications across a range of carcinomas have indicated
344 that low preoperative LMR is independently predictive of poor OS in nasopharyngeal, lung
345 and colon cancers (Li *et al*, 2013; Hu *et al*, 2014; Stotz *et al*, 2014a), CSS in renal and
346 pancreatic cancers (Hutterer *et al*, 2014; Stotz *et al*, 2014b) and DFS in breast cancer (Ni *et*
347 *al*, 2014).

348

349 Although ROC-based cut-off optimisation for MLR enabled the stratification of endometrial
350 cancer patients into high (MLR-high) and low (MLR-low) risk groups in univariable analysis
351 in the present study, both NLR- and PLR-based stratification performed better in this regard.
352 Moreover, MLR was not an independent prognostic factor for either OS or CSS. Koh and co-
353 workers (2014) demonstrated a significant negative association between LMR and older age,
354 which mirrors the highly significant positive association of MLR with patients aged ≥ 75
355 years identified herein. Indeed, the authors suggested that separately defining LMR
356 thresholds for elderly patients may improve the prognostic accuracy of this marker, albeit at
357 the cost of complicating analyses.

358

359 The role of inflammation in carcinogenesis and tumour progression is well established.
360 Existing models purport that the inflammatory tumour microenvironment facilitates the
361 subversion of the host immune response by cancer cells, thereby enabling their escape from
362 immunosurveillance, inhibiting apoptosis, promoting genome instability, angiogenesis,
363 invasion and metastatic spread (Coussens and Werb, 2002). However, the biology underlying
364 the relationships between NLR, PLR and MLR, systemic inflammation and the inflammatory
365 tumour microenvironment remain comparatively poorly understood. Both high PLR and NLR
366 have been found to be associated with advanced stage and aggressive disease
367 (Raunkaewmanee *et al*, 2012; Guthrie *et al*, 2013a, 2013b; Feng *et al*, 2014), in line with
368 their highly significant association with advanced stage and the presence of lymphovascular
369 invasion noted in the present study. An emerging link between circulatory cytokines and
370 increased NLR in cancer patients may reflect increased tumour burden/aggressiveness and
371 consequent systemic pro-inflammatory effects, although it is not possible to establish clear
372 causal relationships in these observational studies. Elevated circulatory concentrations of
373 interleukin (IL)-1 receptor antagonist, IL-6, IL-7, IL-8, IL-12, monocyte chemoattractant
374 protein (MCP)-1 and platelet-derived growth factor (PDGF)-BB were found to be associated
375 with high NLR, while a highly significant association was also found between serum IL-8
376 and TNM stage in colorectal cancer (Kantola *et al*, 2012). Moreover, Motomura and
377 colleagues (2013) demonstrated an association between elevated serum and peritumoural IL-
378 17, high NLR and increased peritumoural macrophage infiltration in hepatocellular
379 carcinoma patients. In line with this, Guthrie *et al* (2013b) showed elevated serum IL-6 in
380 colorectal cancer patients to be associated with high NLR and the presence of tumour
381 necrosis, which is both a feature of aggressive disease and an inflammatory trigger.
382 Analogously, Stone and colleagues (2012) provided experimental evidence for the role of IL-
383 6 release by ovarian cancer cells in stimulating hepatic thrombopoietin production and

384 paraneoplastic thrombocytosis, which itself stimulates tumour growth and angiogenesis and
385 is a feature of advanced disease and poor outlook in ovarian cancer patients. In this regard,
386 however, PLR proved to be a more sensitive prognostic indicator than absolute platelet count
387 in our cohort of endometrial cancer patients. Thus far, no studies have investigated links
388 between circulatory cytokines and PLR or MLR/LMR in cancer patients. As we observed
389 strong correlations between NLR, PLR and MLR, it is likely that these are related phenomena
390 that reflect the complex interactions between the host immune system and the inflammatory
391 tumour microenvironment, together with other patient-specific factors such as age, nutritional
392 status and underlying inflammatory conditions (McMillan, 2009; Bhat *et al*, 2013; Gunay *et*
393 *al*, 2014), all of which likely combine to influence patient survival.

394

395 Despite their inter-relationships, both NLR and PLR proved to be better prognostic indicators
396 than MLR in endometrial cancer. By combining NLR and PLR scores using the cut-offs
397 defined for the present study's cohort, it was possible to stratify patients into low (NLR-low,
398 PLR-low), intermediate (NLR-high or PLR-high) and high risk (NLR-high, PLR-high)
399 groups. This approach was particularly successful for predicting OS in multivariable models
400 and accentuated the survival difference between the low and high risk groups in CSS.
401 Moreover, subgroup analysis revealed the findings from this stratification method (high vs.
402 low risk) to hold true for both early and late stage subgroups, although differences in the
403 prognostic significance of the intermediate risk group in early and late stage subgroups were
404 noted. Thus, NLR and PLR are biomarkers of systemic inflammation that only partially
405 overlap in terms of prognostic information, such that they can be combined to provide
406 additional risk stratification for endometrial cancer patients.

407

408 Standard therapy for endometrial cancer includes total hysterectomy and bilateral salpingo-
409 oophorectomy. The extent of associated lymph node dissection and adjuvant chemo- or
410 radiotherapy is dependent upon tumour type, stage and grade at diagnosis, along with
411 individual patient factors such as age, functional status and the presence of co-morbidities
412 (Dinkelspeil *et al*, 2013; DeLeon *et al*, 2014). Although extensive lymph node dissection has
413 been shown to improve prognostication, it is also associated with marked morbidity, while a
414 survival benefit for low risk early stage endometrial cancer patients has not been
415 demonstrated. However, it potentially alters or eliminates the need for adjuvant therapy in
416 high/intermediate risk patients (Burke *et al*, 2014). Furthermore, endometrial cancer is a
417 heterogeneous disease presenting diagnostic and prognostic difficulties (Gilks *et al*, 2011;
418 Geels *et al*, 2012; Roelofson *et al*, 2012), and whilst novel genomic classification methods
419 offer much promise in this regard (DeLeon *et al*, 2014; Murali *et al*, 2014), they have yet to
420 be implemented clinically. The present data suggest that NLR and PLR may have potential
421 merit as additional prognostic tools to support clinical decision-making in the surgical and
422 adjuvant therapeutic management of endometrial cancer.

423

424 The strengths of the current study are its large patient cohort and comparatively long follow-
425 up period. The limitations are its retrospective design, where it is difficult to control for
426 potential confounding factors. As such, it was beyond the scope of this study to investigate
427 potential interactions between systemic inflammatory markers and response to adjuvant
428 therapies. Independent validation of our cut-offs in prospective studies, including clinical
429 trials, is also warranted prior to their implementation. A further limitation is the heterogeneity
430 of tumour types/stages included in this study. Nevertheless, subgroup analysis revealed
431 combined NLR and PLR to have prognostic value in both early and late-stage endometrial
432 cancers, independent of other prognostic variables. In contrast to many other studies, we

433 accounted for patient co-morbidities, many of which involve underlying systemic
434 inflammation e.g. coronary heart disease and chronic obstructive pulmonary disease (Bhat *et*
435 *al*, 2013; Günay *et al*, 2014), by compiling Charlson co-morbidity indices for our patient
436 cohort. However, we found no significant association between Charlson score and NLR,
437 PLR, MLR or survival. In this sense, systemic inflammatory markers may provide a simple
438 and more objective alternative to Charlson scores for predicting survival in endometrial
439 cancer patients.

440

441 In conclusion, this study highlights the potential of NLR and PLR as additional prognostic
442 tools. These are simple measures which are essentially cost-neutral and which could aid
443 decision-making in the clinical management of endometrial cancer patients.

444

445 **ACKNOWLEDGEMENTS**

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623

624

625

626 **FIGURE LEGENDS**

627 **Figure 1. Overall survival of endometrial cancer patients stratified according to MLR,**
628 **NLR and PLR cut-offs.** Kaplan-Meier overall survival (OS) curves plus log-rank *P* values
629 for patients stratified using a monocyte:lymphocyte ratio (MLR) cut-off of 0.19 (A), a
630 neutrophil:lymphocyte ratio (NLR) cut-off of 2.4 (B, D) and a platelet:lymphocyte ratio
631 (PLR) cut-off of 240 (C, D). High and low ratio values are indicated by ↑ and ↓, respectively,
632 applying the cut-offs defined above. The number of patients falling into each category is
633 also indicated.

634

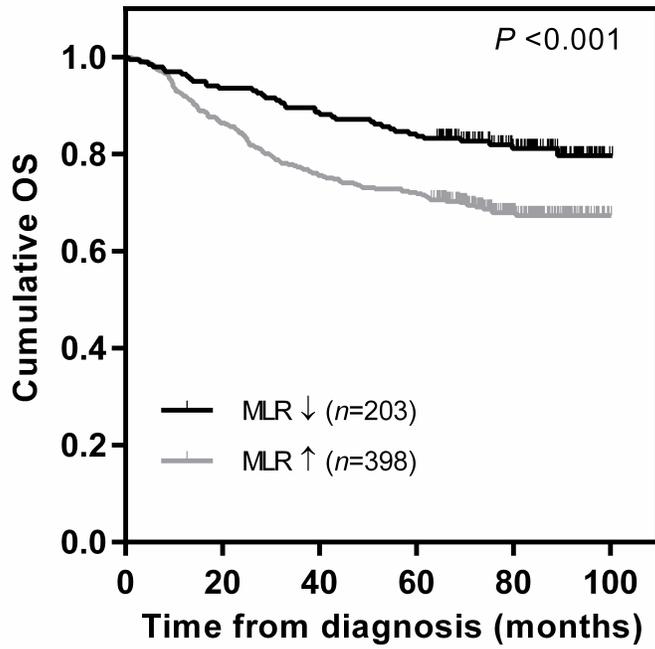
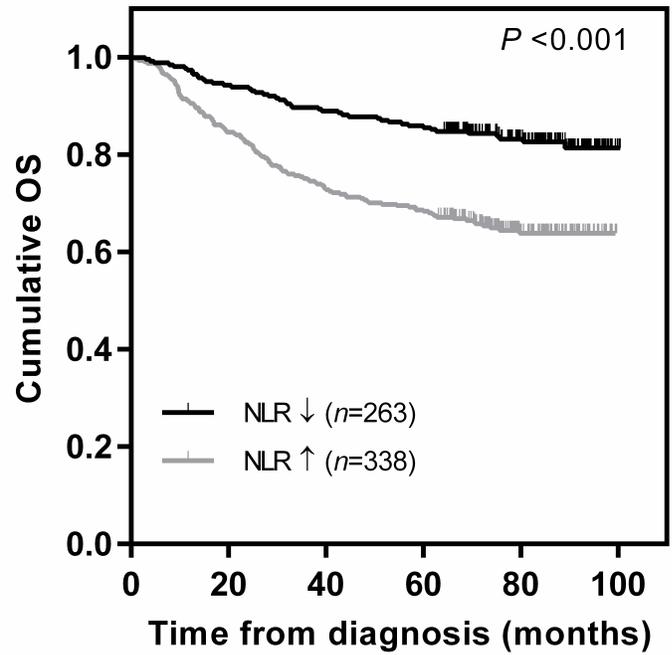
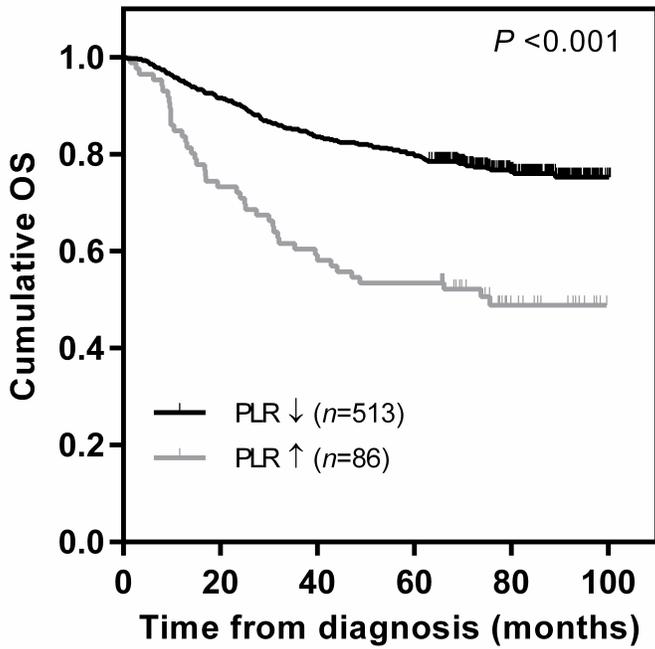
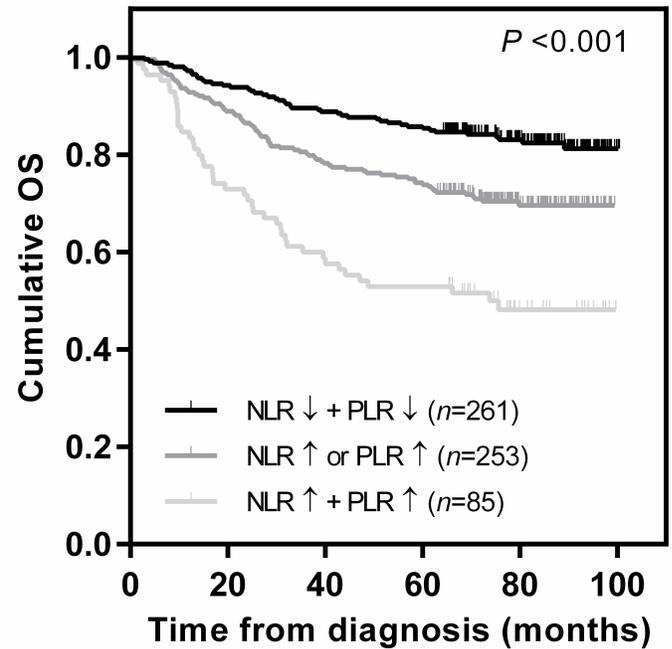
635 **Figure 2. Cancer specific survival of endometrial cancer patients stratified according to**
636 **MLR, NLR and PLR cut-offs.** Kaplan-Meier cancer specific survival (CSS) curves plus
637 log-rank *P* values for patients stratified using a monocyte:lymphocyte ratio (MLR) cut-off of
638 0.19 (A), a neutrophil:lymphocyte ratio (NLR) cut-off of 2.4 (B, D) and a
639 platelet:lymphocyte ratio (PLR) cut-off of 240 (C, D). High and low ratio values are indicated
640 by ↑ and ↓, respectively, applying the cut-offs defined above. The number of patients falling
641 into each category is also indicated.

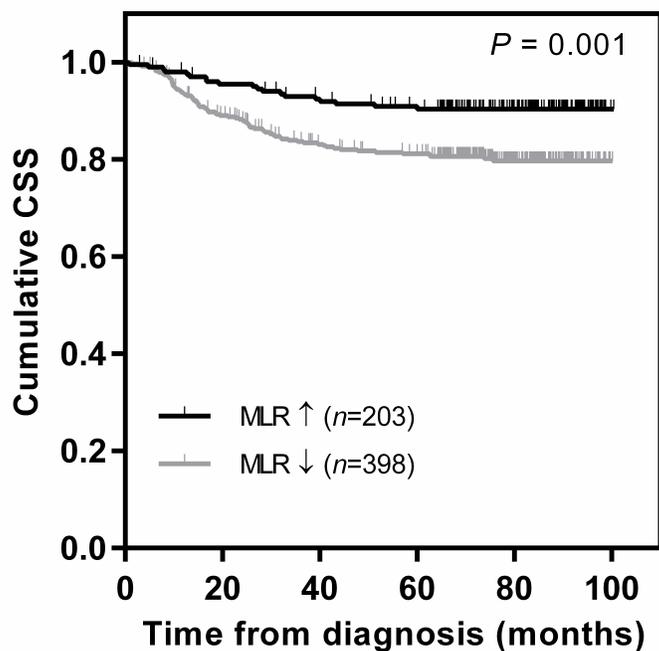
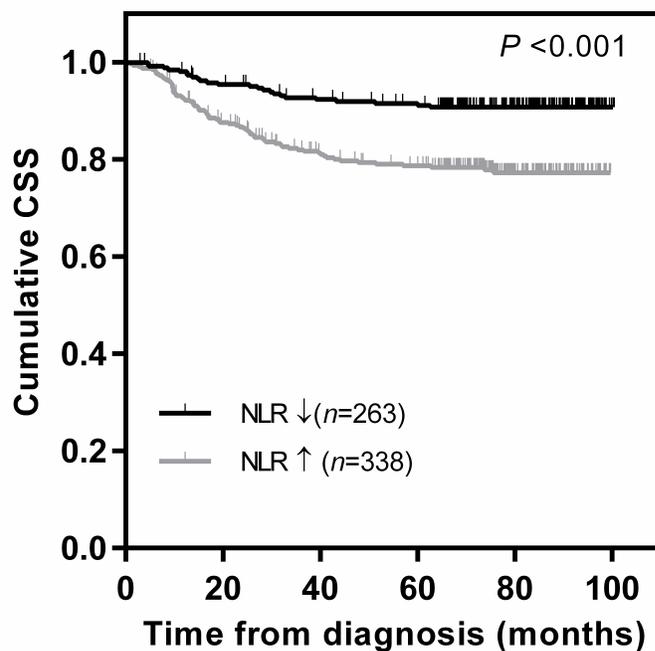
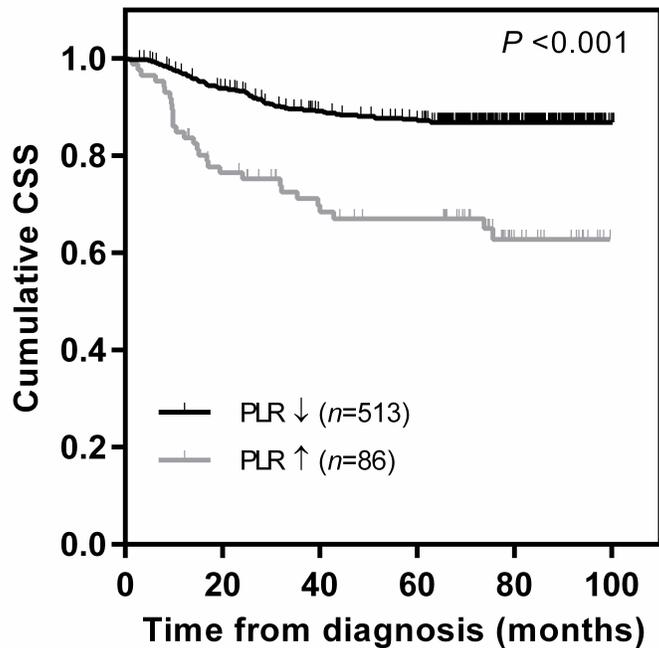
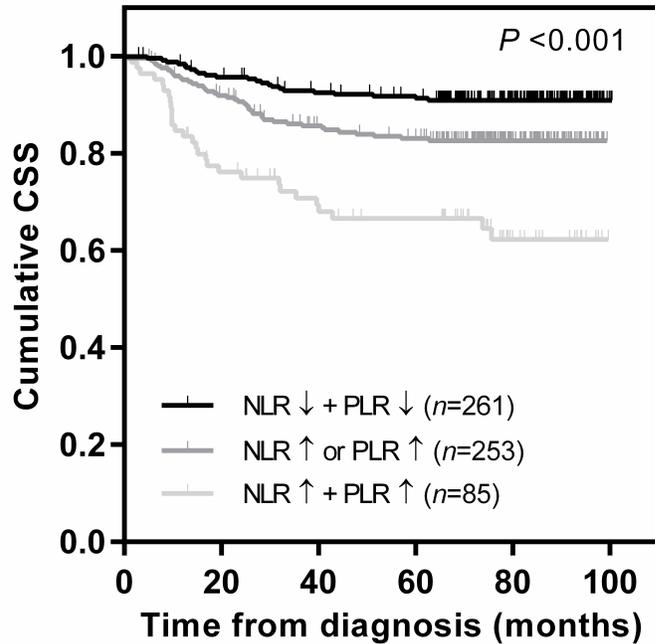
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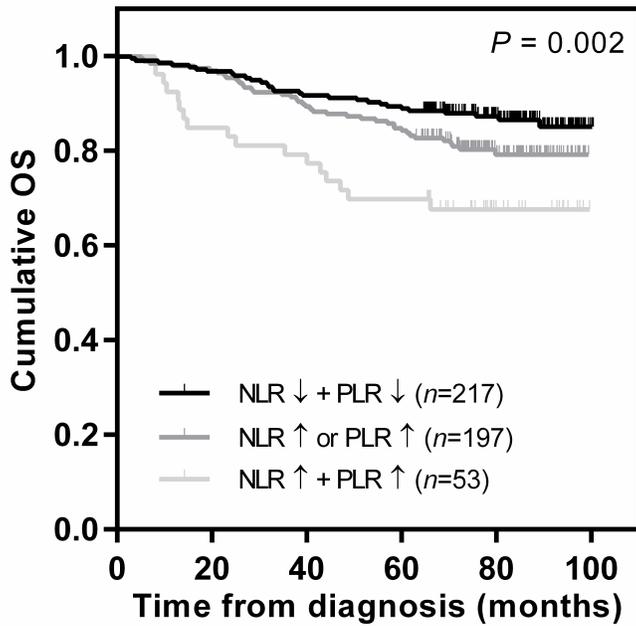
643 **Figure 3. Survival analysis of combined NLR and PLR-based categorisation in early**
644 **and late stage endometrial cancer subgroups.** Kaplan-Meier overall survival (OS; A, C)
645 and cancer specific survival (CSS; B, D) curves plus log-rank *P* values in stage I/II (A, B)
646 and stage III/IV (C, D) endometrial cancer patients. High and low ratio values are indicated
647 by ↑ and ↓, respectively, applying cut-offs of 2.4 for NLR and 240 for PLR. The number of
648 patients falling into each category is also indicated on the Kaplan-Meier plots. Corresponding
649 multivariable analysis data of combined NLR and PLR-based categorisation adjusted for age,

650 grade and lymphovascular space invasion are tabulated below each plot. HR = hazard ratio;

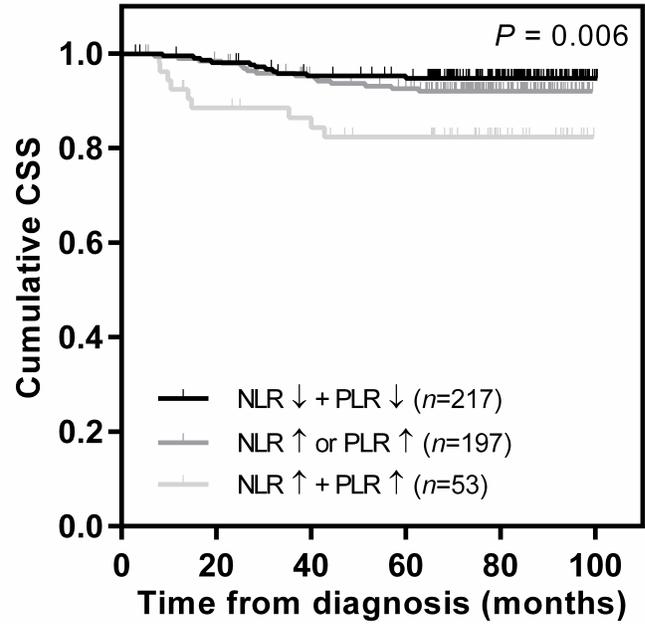
651 CI = confidence interval.

A**B****C****D****Figure 1**

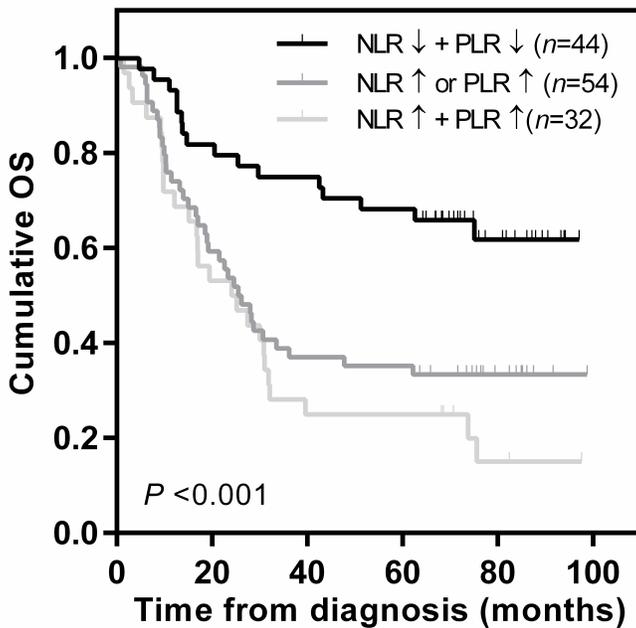
A**B****C****D****Figure 2**

A

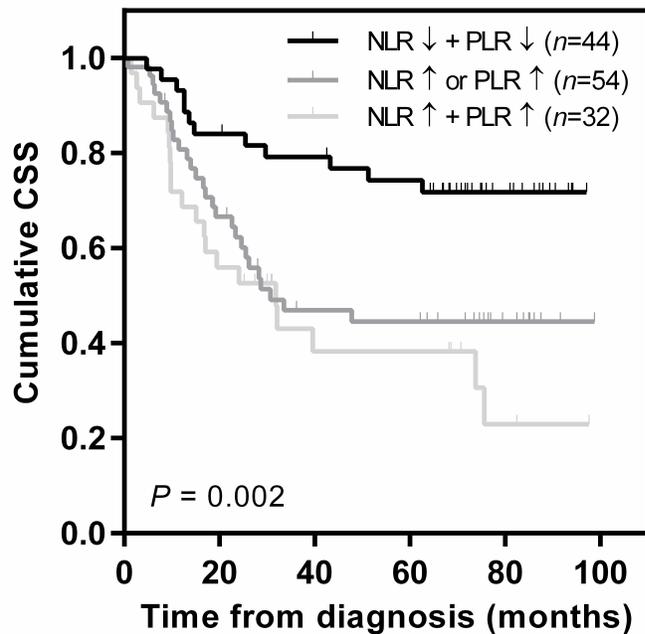
Stage I/II OS (multivariable)		
	HR (95% CI)	<i>P</i>
NLR low + PLR low	1 (referent)	
NLR high or PLR high	1.70 (1.03 - 2.81)	0.037
NLR high + PLR high	2.78 (1.50 - 5.18)	0.001

B

Stage I/II CSS (multivariable)		
	HR (95% CI)	<i>P</i>
NLR low + PLR low	1 (referent)	
NLR high or PLR high	1.52 (0.69 - 3.34)	0.294
NLR high + PLR high	3.51 (1.43 - 8.60)	0.006

C

Stage III/IV OS (multivariable)		
	HR (95% CI)	<i>P</i>
NLR low + PLR low	1 (referent)	
NLR high or PLR high	1.87 (1.00 - 3.51)	0.050
NLR high + PLR high	2.48 (1.30 - 4.73)	0.006

D

Stage III/IV CSS (multivariable)		
	HR (95% CI)	<i>P</i>
NLR low + PLR low	1 (referent)	
NLR high or PLR high	1.96 (0.95 - 4.07)	0.069
NLR high + PLR high	2.48 (1.30 - 4.73)	0.021

Figure 3

Table 1. Overall survival of patients stratified according to NLR, PLR and MLR cut-offs, together with other prognostic parameters

Parameter	Univariable		Multivariable	
	HR (95% CI)	P	HR (95% CI)	P
MLR				
Low (< 0.19)	1 (Referent)		1 (Referent)	
High (≥ 0.19)	1.89 (1.31 - 2.72)	0.001	1.23 (0.84 - 1.82)	0.294
NLR				
Low (< 2.4)	1 (Referent)		1 (Referent)	
High (≥ 2.4)	2.37 (1.68 - 3.34)	<0.001	1.82 (1.27 - 2.62)	0.001
PLR				
Low (< 240)	1 (Referent)		1 (Referent)	
High (≥ 240)	2.72 (1.92 - 3.84)	<0.001	1.89 (1.30 - 2.75)	0.001
Combined NLR + PLR				
NLR low + PLR low	1 (Referent)		1 (Referent)	
NLR high or PLR high	1.89 (1.30 - 2.73)	0.001	1.59 (1.08 - 2.35)	0.018
NLR high + PLR high	3.92 (2.58 - 5.96)	<0.001	2.54 (1.61 - 4.01)	<0.001
Age (years)				
<55	1 (Referent)		1 (Referent)	
55-64	1.84 (0.91 - 7.49)	0.091	2.72 (1.28 - 5.78)	0.009
65-74	3.44 (1.75 - 6.75)	<0.001	4.41 (2.17 - 5.78)	<0.001
≥75	7.46 (3.84 - 14.50)	<0.001	7.64 (3.74 - 15.61)	<0.001
Charlson co-morbidity index				
	0.93 (0.79 - 1.10)	0.419	-	-
Stage (FIGO 2009)				
I	1 (Referent)		1 (Referent)	
II	1.49 (0.84 - 2.65)	0.169	1.16 (0.63 - 2.14)	0.633
III	4.29 (3.01 - 6.12)	<0.001	2.31 (1.51 - 3.53)	<0.001
IV	12.66 (8.03 - 19.97)	<0.001	5.57 (3.19 - 9.74)	<0.001
Grade				
1	1 (Referent)		1 (Referent)	
2	1.71 (1.06 - 2.75)	0.027	1.38 (0.83 - 2.29)	0.216
3	5.26 (3.56 - 7.77)	<0.001	1.98 (1.15 - 3.40)	0.014
Histopathological subtype				
Endometrioid (EEC)	1 (Referent)		1 (Referent)	
Serous	5.48 (3.51 - 8.56)	<0.001	1.66 (0.95 - 2.90)	0.076
Clear Cell	8.31 (4.31 - 16.05)	<0.001	1.71 (0.70 - 4.16)	0.243
Carcinosarcoma	6.35 (3.90 - 10.35)	<0.001	2.28 (1.25 - 4.16)	0.007
Mixed (EEC + non-EEC)	2.30 (1.44 - 3.67)	<0.001	1.06 (0.61 - 1.86)	0.838
Lymphovascular space invasion				
Absent	1 (Referent)		1 (Referent)	
Present	3.69 (2.66 - 5.13)	<0.001	1.66 (1.12 - 2.46)	0.012

Univariable and multivariable analysis using Cox proportional hazards models. NLR, PLR, MLR and combined NLR+PLR were adjusted separately in models that all included age, stage, grade, histopathological subtype and lymphovascular space invasion. Results from the multivariable model which included combined NLR+PLR score are indicated in bold. Abbreviations: NLR = neutrophil:lymphocyte ratio; PLR = platelet:lymphocyte ratio; MLR = monocyte:lymphocyte ratio; FIGO = International Federation of Gynaecology and Obstetrics; EEC = endometrioid endometrial carcinoma;

HR = hazard ratio; CI = confidence interval.

Table 2. Cancer specific survival of patients stratified according to NLR, PLR and MLR cut-offs, together with other prognostic parameters

Parameter	Univariable		Multivariable	
	HR (95% CI)	P	HR (95% CI)	P
MLR				
Low (< 0.19)	1 (Referent)		1 (Referent)	
High (≥ 0.19)	2.22 (1.35 - 3.68)	0.002	1.26 (0.73 - 2.15)	0.409
NLR				
Low (< 2.4)	1 (Referent)		1 (Referent)	
High (≥ 2.4)	2.72 (1.70 - 4.36)	<0.001	1.68 (1.03 - 2.76)	0.040
PLR				
Low (< 240)	1 (Referent)		1 (Referent)	
High (≥ 240)	3.24 (2.09 - 5.03)	<0.001	1.76 (1.09 - 2.87)	0.022
Combined NLR + PLR				
NLR low + PLR low	1 (Referent)		1 (Referent)	
NLR high or PLR high	2.02 (1.22 - 3.36)	0.007	1.46 (0.87 - 2.47)	0.156
NLR high + PLR high	4.91 (2.84 - 8.49)	<0.001	2.26 (1.24 - 4.13)	0.008
Age (years)				
<55	1 (Referent)		1 (Referent)	
55-64	1.36 (0.63 - 2.95)	0.431	2.13 (0.92 - 4.97)	0.079
65-74	1.97 (0.93 - 4.16)	0.076	2.55 (1.12 - 5.79)	0.025
≥75	4.10 (1.97 - 8.55)	<0.001	3.87 (1.69 - 8.86)	0.001
Charlson co-morbidity index				
	0.84 (0.66 - 1.08)	0.168	-	-
Stage (FIGO 2009)				
I	1 (Referent)		1 (Referent)	
II	2.61 (1.22 - 5.58)	0.013	1.66 (0.74 - 3.72)	0.223
III	7.90 (4.79 - 13.03)	<0.001	3.34 (1.88 - 5.96)	<0.001
IV	28.03 (15.85 - 49.57)	<0.001	7.84 (3.90 - 15.76)	<0.001
Grade				
1	1 (Referent)		1 (Referent)	
2	2.71 (1.23 - 5.97)	0.013	1.77 (0.79 - 3.13)	0.169
3	12.19 (6.28 - 23.66)	<0.001	3.47 (1.56 - 7.71)	0.002
Histopathological subtype				
Endometrioid (EEC)	1 (Referent)		1 (Referent)	
Serous	7.21 (4.10 - 12.66)	<0.001	1.58 (0.79 - 3.13)	0.193
Clear Cell	12.22 (5.72 - 26.11)	<0.001	1.98 (0.70 - 5.55)	0.197
Carcinosarcoma	10.38 (5.90 - 18.27)	<0.001	2.40 (1.20 - 4.79)	0.013
Mixed (EEC + non-EEC)	2.32 (1.19 - 4.49)	0.013	0.77 (0.36 - 1.63)	0.493
Lymphovascular space invasion				
Absent	1 (Referent)		1 (Referent)	
Present	6.51 (3.97 - 10.66)	<0.001	2.02 (1.14 - 3.56)	0.016

Univariable and multivariable analysis using Cox proportional hazards models. NLR, PLR, MLR and combined NLR+PLR were adjusted separately in models that all included age, stage, grade, histopathological subtype and lymphovascular space invasion. Results from the multivariable model which included the combined NLR+PLR score are indicated in bold. Abbreviations: NLR = neutrophil:lymphocyte ratio; PLR = platelet:lymphocyte ratio; MLR = monocyte:lymphocyte ratio; FIGO = International Federation of Gynaecology and Obstetrics; EEC = endometrioid endometrial

carcinoma; HR = hazard ratio; CI = confidence interval.

Table 3. Associations of NLR, PLR and MLR with other clinicopathological factors

Factor	n (%)	NLR, Median (IQR)	P	PLR, Median (IQR)	P
Total	605 (100)	2.56 (1.87 - 3.59)	-	144 (112 - 200)	-
Age (years)					
<55	100 (16.5)	2.66 (1.96 - 3.64) ^{a,b}	0.013	142 (113 - 210) ^{a,b}	0.036
55-64	198 (32.7)	2.36 (1.71 - 3.30) ^a		134 (103 - 185) ^a	
65-74	185 (30.6)	2.49 (1.92 - 3.43) ^{a,b}		147 (112 - 202) ^{a,b}	
≥75	122 (20.2)	2.91 (2.17 - 3.88) ^b		156 (120 - 210) ^b	
Stage (FIGO 2009)					
I	414 (68.4)	2.47 (1.79 - 3.32) ^a	<0.001	140 (108 - 192) ^a	<0.001
II	57 (9.4)	2.41 (1.88 - 3.73) ^a		145 (86 - 201) ^a	
III	101 (16.7)	2.81 (2.01 - 4.00) ^a		156 (120 - 207) ^{a,b}	
IV	31 (5.1)	3.68 (2.75 - 5.29) ^b		206 (135 - 285) ^b	
Missing data	2 (0.3)	-		-	
Grade					
1	256 (42.3)	2.46 (1.84 - 3.30)	0.055	136 (106 - 193)	0.131
2	156 (25.8)	2.45 (1.81 - 3.80)		151 (115 - 195)	
3	193 (31.9)	2.77 (2.03 - 3.83)		150 (115 - 214)	
Histopathological subtype					
Endometrioid (EEC)	468 (77.4)	2.48 (1.86 - 3.51) ^a	0.021	143 (111 - 195)	0.206
Serous	38 (6.3)	2.90 (1.83 - 3.40) ^{a,b}		132 (112 - 199)	
Clear Cell	13 (2.1)	2.88 (2.39 - 3.20) ^{a,b}		130 (113 - 229)	
Carcinosarcoma	29 (4.8)	3.15 (2.60 - 5.58) ^b		179 (131 - 258)	
Mixed (EEC + non-EEC)	57 (9.4)	2.47 (1.78 - 3.55) ^{a,b}		158 (112 - 208)	
Lymph nodes					
Negative	356 (58.8)	2.48 (1.80 - 3.38)	0.024 [†]	143 (109 - 197)	0.045 [†]
Positive	70 (11.6)	2.85 (2.18 - 4.00)		165 (121 - 206)	
No lymphadenectomy	168 (28.6)	-		-	
Missing data	6 (1.0)	-		-	
Lymphovascular space invasion					
Absent	346 (57.2)	2.46 (1.80 - 3.26)	0.002 [†]	138 (108 - 192)	0.006 [†]
Present	248 (41.0)	2.77 (2.05 - 4.05)		154 (116 - 213)	
Missing data	11 (1.8)	-		-	

† = Mann-Whitney-*U* test *P* values (all other *P* values are from Kruskal-Wallis tests); a,b depict significant differences *post hoc* Mann-Whitney-*U* tests with Bonferroni corrections for multiple comparisons. Abbreviations: FIGO = International Federation of Gynecology and Obstetrics; EEC = endometrioid endometrial carcinoma; IQR = interquartile range.

MLR, Median (IQR)	P
0.216 (0.170 - 0.293)	-
0.214 (0.163 - 0.296) ^{a,b} 0.204 (0.158 - 0.272) ^a 0.220 (0.175 - 0.275) ^a 0.249 (0.194 - 0.354) ^b	<0.001
0.214 (0.163 - 0.279) ^a 0.209 (0.179 - 0.298) ^a 0.223 (0.170 - 0.304) ^a 0.300 (0.219 - 0.391) ^b -	0.001
0.214 (0.167 - 0.285) 0.214 (0.167 - 0.274) 0.221 (0.178 - 0.314)	0.272
0.213 (0.167 - 0.281) 0.221 (0.168 - 0.360) 0.227 (0.215 - 0.278) 0.248 (0.216 - 0.339) 0.220 (0.170 - 0.305)	0.109
0.212 (0.163 - 0.279) 0.223 (0.178 - 0.329) - -	0.050 [†]
0.212 (0.165 - 0.285) 0.224 (0.178 - 0.304) -	0.104 [†]
<p>ifferences between categories following national Federation of Gynaecology</p>	