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The MAGIC meteoric smoke particle sampler

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Abstract

Between a few tons to several hundred tons of meteoric material enters the Earth's atmosphere each day, and most of this material is ablated and vaporized in the 70 to 120 km altitude region. The subsequent chemical conversion, re-condensation and coagulation of this evaporated material are thought to form nanometre sized meteoric smoke particles (MSPs). These smoke particles are then subject to further coagulation, sedimentation and global transport by the mesospheric circulation. MSPs have been proposed as a key player in the formation and evolution of ice particle layers around the mesopause region, i.e. noctilucent clouds (NLC) and polar mesosphere summer echoes (PMSE). MSPs have also been implicated in mesospheric heterogeneous chemistry to influence the mesospheric odd oxygen/odd hydrogen (O_x/HO_x) chemistry, to play an important role in the mesospheric charge balance, and to be a significant component of stratospheric aerosol and enhance the depletion of O_3.

Despite their apparent importance, little is known about the properties of MSPs and none of the hypotheses can be verified without direct evidence of the existence, altitude and size distribution, shape and elemental composition. The aim of the MAGIC project (Mesospheric Aerosol – Genesis, Interaction and Composition) was to develop an instrument and analysis techniques to sample for the first time MSPs in the mesosphere and return them to the ground for detailed analysis in the laboratory. MAGIC meteoric smoke particle samplers have been flown on several sounding rocket payloads between 2005 and 2011. Several of these flights concerned non-summer mesosphere conditions when pure MSP populations can be expected. Other flights concerned high latitude summer conditions when MSPs are expected to be contained in ice particles in the upper mesosphere. In this paper we present the MAGIC project and describe the MAGIC MSP sampler, the measurement procedure and laboratory analysis. We also present the attempts to retrieve MSPs from these flights, the challenges inherent to the sampling of nanometre sized particles and the subsequent analysis of the sampled material, and thoughts for the future. Despite substantial experimental efforts, the MAGIC project has so far failed to provide conclusive results. While particles with elemental composition similar to what is to be expected from MSPs have been found, the analysis has been compromised by

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71 challenges with different types of contamination and uncertainties in the sticking

72 efficiency of the particles on the sampling surfaces.

73

1. Introduction

The Earth´s atmosphere is constantly bombarded by meteoric material. The total amount of this incoming material is a subject of controversy (Plane, 2012) with estimates ranging from a few tons to several hundred tons per day (Hughes, 1978; Love and Brownlee, 1993; Ceplecha et al., 1998; Cziczo et al., 2001; Mathews et al., 2001; Gabrielli et al., 2004; Plane, 2004; von Zahn, 2005). Most of this material is expected to vaporise in the altitude region 70 – 120 km during atmospheric entry (e.g. Ceplecha et al., 1998; Vondrak et al., 2008). It is well known that this ablation is the source of the global layers of metal atoms and ions that are observed by ground-based (e.g. Chamberlain et al., 1958; Bowman et al., 1969; Kane and Gardner, 1993; Alpers et al., 1996; Eska et al., 1998; Plane, 2003) and satellite- and rocket-borne (e.g. Donahue and Meier, 1967; Donahue et al., 1972; Newman, 1988; Kopp, 1997; Aikin et al., 2004; Fussen et al., 2010; Hedin and Gumbel, 2011) instruments in the mesosphere and lower thermosphere (MLT) region. Chemical conversion and subsequent re-condensation and coagulation of the vaporised material is thought to give rise to tiny nanometre size meteoric smoke particles, MSPs, which are then subject to further coagulation, sedimentation and global transport by the mesospheric meridional circulation (e.g. Rosinski and Snow, 1961; Hunten et al., 1980; Kalashnikova et al. 2000; Gabrielli et al., 2004; Megner et al., 2006; 2008a; 2008b). The general circulation of the atmosphere transports the MSPs away from the summer pole towards the winter pole and into the polar winter vortex (Megner, 2007; Bardeen et al., 2008; Megner et al., 2008a; 2008b), thus producing a strong annual variation. The general composition of the meteoric smoke material is thought to reflect the composition of the incoming meteorite material. However, due to differential ablation processes and the altitude-dependent chemical environment, the detailed smoke composition is expected to depend on the history of the individual meteoric particles (e.g. McNeil et al., 1998; Kalashnikova et al., 2000). Considering the meteoric influx, major vapour components are presumably silicon, iron and magnesium, with minor contributions from other metals like sodium, aluminium, potassium, calcium, lithium etc. Following gas phase chemical transformation prior to re-condensation, these compounds are likely to be present in the form of oxides,

107 hydroxides and carbonates in the resulting smoke particles (e.g. Plane, 2003; Self
108 and Plane, 2003; Plane and Whalley, 2012).

109 Basic information about MSP properties is today available from optical
110 occultation measurements (Hervig et al., 2009, 2012) and, more indirectly, as a
111 fraction of the particles is expected to be charged by the surrounding plasma,
112 from measurements of heavy charge carriers from sounding rockets (Schulte and
113 Arnold, 1992; Havnes et al., 1996; Gelinas et al., 1998; Horányi et al., 2000;
114 Croskey et al., 2001; Robertson et al., 2004, 2009, 2013; Lynch et al., 2005;
115 Barjatya and Swenson, 2006; Smiley et al., 2006; Havnes and Næsheim, 2007;
116 Amyx et al., 2008; Strelnikova et al., 2009; Rapp et al., 2005, 2010, 2012; Havnes
117 et al., 2013), and from incoherent scatter radars (Rapp et al., 2007; Strelnikova et
118 al., 2007; Fentzke et al., 2009). Experimental studies have been performed in the
119 laboratory (Saunders and Plane, 2006; 2011), but much of the existing
120 knowledge about MSPs relies on model results (e.g. Hunten et al., 1980; Megner
121 et al., 2006, 2008a, 2008b; Bardeen et al., 2008). It has been shown that
122 substantial amounts of particles of meteoric origin are present in the
123 stratosphere (e.g. Murphy et al., 1998; Cziczo et al., 2001) and that meteoric
124 smoke particles reach the Earth´s surface (e.g. Gabrielli et al., 2004; Lanci and
125 Kent, 2006; Dhomse et al., 2013), but no equivalent measurements of particles
126 have ever been achieved in the mesosphere. Attempts were made in the 1960s to
127 sample mesospheric aerosol from sounding rockets (e.g. Hemenway et al., 1964;
128 Soberman and Hemenway, 1965; Farlow et al, 1970), but none of the
129 measurements resulted in any conclusive results. Very recently, a student
130 experiment attempted to sample particles from a sounding rocket launched
131 within the REXUS/BEXUS (Rocket/Balloon Experiment for University Students)
132 programme, a bi-lateral cooperation between the Swedish National Space Board
133 (SNSB) and the German Aerospace Center (DLR), but results from the analysis is
134 not yet available (see Reid et al. (2013) for details about the experiment).

135 Although there is only indirect evidence of their existence in the mesosphere,
136 MSPs have been proposed as a key player in the formation and evolution of
137 several observed mesospheric phenomena. Smoke particles are today recognised
138 as the most likely candidate for condensation nuclei for mesospheric ice particles
139 (Rapp and Thomas, 2006) and hence phenomena such as noctilucent clouds, NLC

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140 (Gadsden and Schröder, 1989; Thomas, 1991), or polar mesospheric clouds,
141 PMC, and polar mesosphere summer echoes, PMSE (Rapp and Lübken, 2004).
142 These water ice particles (Hervig et al., 2001) are probably not pure ice with just
143 one MSP as nuclei, but more likely "dirty" ice particles with a large amount of
144 MSPs embedded in them along with metal atoms and molecules from meteoric
145 ablation (Havnes and Næsheim, 2007; Hervig et al., 2012; Kassa et al., 2012;
146 Havnes et al., 2013). Smoke particles are also believed to be responsible for the
147 polar mesosphere winter echoes (PMWE) observed by radars outside the PMSE
148 season (e.g. Zeller et al., 2006). With the advent of more powerful radars, these
149 winter echoes have now been observed also during summer below the much
150 stronger PMSE (Latteck et al., 2012). Heterogeneous chemistry has been
151 suggested to take place on the surfaces of smoke particles. An example is the
152 proposed catalytic recombination of O and H_2 as a local source of water vapour
153 in the mesosphere to explain observations of mesospheric HO_x chemistry and
154 unexpectedly high water concentrations (Summers and Siskind, 1999; Conway et
155 al., 2000). By scavenging various gas-phase products of meteoric ablation, MSPs
156 are thought to act as a sink in mesospheric metal chemistry (Plane, 2004). Smoke
157 particles can also efficiently scavenge free electrons and ions and can thus play a
158 substantial role in the D-region charge balance (Rapp and Lübken, 2001; Rapp,
159 2009; Friedrich et al., 2011). This charging may in turn strongly affect smoke
160 coagulation and ice particle nucleation processes (Gumbel and Megner, 2009;
161 Megner and Gumbel, 2009). The strong downward circulation in the winter polar
162 vortex can efficiently transport MSPs down into the winter stratosphere. Here,
163 MSPs can be involved in stratospheric chemistry and the microphysics of polar
164 stratospheric clouds (PSC) and thus have important effects on ozone chemistry
165 (e.g. Murad et al., 1981; Curtius et al., 2005; Voigt et al., 2005, Saunders et al.,
166 2012). Very recently Dhomse et al. (2013) predicted high deposition of MSPs to
167 occur at middle latitudes, providing a significant source of Fe fertilization to the
168 Southern Ocean.

169 Despite their apparent importance, little is known about the properties of
170 meteoric smoke particles and none of the hypotheses above can be verified
171 without direct evidence of their existence, altitude and size distribution, and
172 composition. The objective of the MAGIC project (Mesospheric Aerosol – Genesis,

173 Interaction and Composition) was to quantitatively answer fundamental
174 questions about the properties of MSPs in the mesosphere:

175 • Do re-condensed smoke particles of meteoric origin exist in the
176 mesosphere?

177 • What is their number density, shape and size distribution?

178 • What is their spatial distribution and how are they transported?

179 • What is their elemental and molecular composition?

180 • How do they interact with their mesospheric and ionospheric
181 environment?

182

183 To address these questions, an instrument was designed and built to directly
184 sample meteoric smoke particles in the mesosphere and return them to the
185 ground for detailed laboratory investigations. In this paper we describe the
186 MAGIC meteoric smoke particle sampler and present attempts to directly sample
187 MSPs. In section 2 the ideas behind the MAGIC project are presented and the
188 MAGIC sampler is described in detail. Section 3 then describes the measurement
189 campaigns and results are presented and discussed. Section 4 provides a
190 summary and conclusion with some thoughts for the future.

2. The MAGIC Idea

2.1 The challenge

193 In order to understand the role of meteoric smoke particles in the mesosphere
194 and their impact on that environment their presence must be certified and their
195 physical characterization (number density, size distribution, shape, composition
196 etc.) determined. The *in situ* detection of neutral nanometre-size particles is
197 however very difficult. They are too small for optical detection and their
198 momentum is not sufficient to produce electrical pulses upon impact. A way to
199 obtain maximum information about particle properties is by direct collection
200 followed by detailed laboratory analysis. However, the sounding rocket
201 approach, which is the only practical method to carry out a sampling experiment
202 at mesospheric altitudes, is subject to critical limitations imposed by
203 aerodynamics. As nanometre size particles tend to follow the airflow around the

204 rocket payload structure rather than reaching the detector surface, their
205 sampling is a substantial experimental challenge and careful aerodynamic design
206 is of critical importance for smoke particle experiments. The interpretation of
207 the particle measurements also requires a detailed understanding of the specific
208 detector response, which is far from trivial. Basic questions are e.g. how
209 measured particle concentrations and properties are related to the particle
210 concentrations and properties in the undisturbed atmosphere.

211 Around the turn of the century, significant progress was made in the analysis of
212 aerodynamic influences on sounding rocket measurements (Gumbel et al., 1998;
213 Gumbel, 2001) and the fate of atmospheric particles approaching a rocket
214 detector (Horányi et al., 1999; 2000). Based on these results, the fundamental
215 concept of the MAGIC instrument design was to minimize the aerodynamic
216 perturbations by the use of a small sampling probe extending forward of the
217 rocket payload. By using a collection surface of 3 mm diameter, the sampling
218 probe dimension is reduced to the order of the molecular mean free path, which
219 minimizes flow effects on incident particle trajectories in the vicinity of the
220 probe. In order to characterize the sampling process, Hedin et al. (2007b)
221 performed simulations of the trajectories of nanometre-sized dust particles
222 towards the MAGIC detectors with a statistical particle motion model for the
223 conditions of the first rocket launch at Esrange in January 2005. An important
224 feature of this model is the Brownian motion of the particles due to thermal
225 collisions of the gas molecules (Hedin et al., 2007a). Figure 1 shows trajectories
226 of simulated particles of 0.4, 0.6 and 0.8 nm radii towards the MAGIC sampling
227 surface at an altitude of 80 km for the conditions of the Esrange 2005 flight (but
228 with a zero degree angle of attack). The flow is from the left and the grey scale is
229 the air number density normalized to the unperturbed free-stream conditions. It
230 can be seen here that even particles as small as 0.4 nm radius will impact on the
231 sampling surface at this altitude. As a result from this simulation, a detection
232 efficiency for the MAGIC detector as a function of altitude and particle size is
233 obtained (Fig. 2). These simulations confirm that particles of radii down to 0.75
234 nm impact on the sampling surface with an efficiency exceeding 80% over the
235 entire mesospheric altitude range of interest.

236

2.2 The MAGIC sampler

The MAGIC detector concept was developed in collaboration between the Naval Research Laboratory (NRL) in Washington D.C. and German and Swedish scientists (Gumbel et al., 2005) and four MAGIC collection instruments were built at NRL. In collaboration with the Department of Meteorology at University of Stockholm (MISU), the first flight of the MAGIC collectors took place on a rocket launched at Esrange Space Center, Sweden, in January 2005 (the MAGIC campaign). The second flight was in collaboration with the Virginia Polytechnic Institute and took place in May 2005 with a launch at Wallops Island, USA. In September 2006, August 2007 and June/July 2008 MAGIC collectors were launched as part of the German-Norwegian ECOMA project at Andøya Rocket Range, Norway. The last flight of a MAGIC collector was on the Swedish PHOCUS campaign at Esrange, Sweden, in July 2011.

As the rocket payload transits the mesosphere, the MAGIC collector sequentially extends a number of sampling probes at specific altitude windows. On the exposed face of the probes, transmission electron microscopy (TEM) grids were mounted to collect particles in a directly analysable form, thus minimizing the risk of particle loss and contamination. Up to nine sampling pins (of which at least one is used as reference, i.e. not exposed to the atmosphere) are mounted in a revolver mechanism (Fig. 3). This pin-revolver mechanism is driven by a worm gear, which allows sequential positioning of the pins with high precision under the pin exit hole of the revolver-chamber. Once in position, a chain drive mechanism is used to extend a piston, which pushes the collection pin upward through the exit hole in the top of the MAGIC instrument (Figs. 4 and 5). Particles that impact the TEM grid while extended outside of the MAGIC instrument are expected to stick by van der Waals forces. After a few seconds the pin is retracted back into its original position in the pin-revolver. A pair of permanent magnets provides the necessary coupling of the extension piston to the sampling pin, so that the sampling pin can be retracted into the revolver. The extension piston detaches from the magnet when the collection pin is completely back in its compartment in the revolver. The worm gear then drives the pin-revolving mechanism so that the next pin can be extended. In this way dust from specific

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269 altitude intervals in the mesosphere can be analysed when the TEM grids are
270 returned to Earth.

271 The pin exit hole is opened by removing a vacuum plug that is used to seal the
272 exit hole during launch. This plug mechanism is controlled by a rod, which is
273 extended through the pin-revolver mechanism's axis. This rod is driven by a
274 lead-screw that lifts the plug out of the exit hole. The plug is then rotated with
275 the revolver mechanism, until it returns to its original position at the end of the
276 sampling sequence near the apogee of the flight. At this point the plug is
277 reinserted to vacuum seal the chamber thus preventing water or other
278 contaminants from entry into the MAGIC instrument on landing.

279 Nominally the MAGIC collection system is completely self-contained, i.e. it has it
280 own power supply (rechargeable batteries), microprocessor and requires no
281 telemetry. A signal is sent from the rocket service module at a pre-set time after
282 the launch to start the sampling sequence as programmed in the microprocessor.
283 For the purpose of real time monitoring of the MAGIC instrument during flight,
284 two signals have been transmitted by telemetry, (1) pressure inside instrument
285 and (2) status signal indicating when a collection pin is in the extended position.
286 Monitoring of these two signals during flight and subsequent instrument checks
287 are performed to confirm that the instrument functioned as expected. After the
288 ECOMA campaign in 2006, the electronics were remodelled and the internal
289 power supply was removed. From then on, the MAGIC samplers were powered
290 from the rocket service module.

291 2.3 Sampling surface

292 The appropriate choice of collection surface for nanometre-size particles is
293 crucial. With regard to the anticipated laboratory analysis, the use of carbon film
294 coated TEM grids as sampling surfaces has been the primary choice. An
295 important benefit of using TEM grids as sampling surfaces, is that additional post
296 flight treatment of collected particles, such as separating and moving the
297 nanometre size particles from the sampling surfaces is not required.

298 Two experimental investigations have been made to test the sticking efficiency of
299 the TEM grids for nanometre size particles (Reissaus et al., 2006). Using a
300 molecular-beam apparatus at the University of Jena, Al_2O_3 and C particles of a

few nanometres were produced at speeds of 1 km/s. The laboratory impact experiments demonstrated that nanoparticles, both as single particles and fractal agglomerates, do stick on carbon surfaces after high velocity impacts with moderate efficiency (14%) for Al_2O_3 and moderate to high efficiency (24–83%) for C. The higher collection efficiency for C particles may be due to that they chemically bind to the C on the TEM grids. Unfortunately, the relevance of these numbers for the mesospheric MAGIC measurements remains unclear as the overall sticking probabilities determined in the laboratory may be dominated by large agglomerates of nanometre particles that were formed in the molecular beam prior to impact on the grids. As an additional finding, the experiments confirmed that at speeds of the order of 1 km/s the particles do not penetrate the carbon film on the TEM grid. These experiments were made with a 0° angle of attack, i.e. the particles impacted the surface with normal incidence. At angles of attack of 10-20° or larger, which is typical for sounding rocket experiments without attitude control, the sticking efficiency will be different (most likely smaller).

Other probe materials have been considered during the development of the measurement concept, most notably aerogel. While sticking probability in the aerogel matrix is close to unity, the difficulty in removing nanometre particles from the aerogel for electron microscopy analysis made the choice of this material not feasible. On 16 November 2012, a student experiment was launched on a sounding rocket from Esrange Space Center within the REXUS/BEXUS program with the objective to collect particles in the mesosphere and stratosphere. The sampling probes contained collection surfaces of three different types: standard TEM grids, glass fibre filter paper, and silicone gel (Reid et al., 2013). Results from the analysis of these surfaces are not yet available.

2.4 Measurement procedure

In the preferred payload configuration at least two MAGIC collectors are used where the instruments alternate the sampling pin extension to ensure that there are no gaps in the sampling procedure. Before flight the instruments are carefully prepared in a clean room environment. By using specific mounting tools, care is taken so that the part of the TEM grid used as collection surface is

334 not in direct contact with any other surface. On every flight, each MAGIC
335 instrument had (at least) one collection pin with a TEM grid that was only to be
336 used as a reference surface and that was therefore never extended during the
337 collection sequence. This unexposed reference TEM grid is attached and
338 removed together with the ordinary TEM grids used in the collection sequence.
339 Any contamination from the instrument itself or from TEM grid mounting and
340 removal procedures will thus be detected on this reference grid. Once the
341 sampling procedure during flight is completed the MAGIC unit closes the vacuum
342 seal and is kept under the low vacuum acquired during the time of the sampling
343 operation in the mesosphere. After the recovery of the payload, the MAGIC
344 instruments are kept under vacuum until the first examination of the TEM grids.
345 To avoid the use of additional transfer or storage containers before the first
346 examination of the reference and collection surfaces, the TEM grids can be
347 removed adjacent to the transmission electron microscope. In this way, once a
348 grid is removed from the MAGIC instrument, it can be mounted immediately in
349 the transmission electron microscope.

350 It is important to note that the small collector dimensions are a decisive
351 difference between the current approach and efforts to sample NLC particles in
352 the 1960s [e.g., Hemmenway et al., 1964a; Farlow et al., 1970]. The aerodynamic
353 conditions for these large earlier collectors a priori prevented a detection of
354 particles in the nanometre size range. In addition, the smallness of the MAGIC
355 collection system concept is a precondition for efficiently keeping sampling
356 surfaces clean and under vacuum.

357

2.5 Data analysis

359 The analysis of the MAGIC smoke samples after recovery is primarily based on
360 detailed transmission electron microscopy (TEM) studies mainly at the Materials
361 Science and Technology Division of NRL and, since 2008, at the Electron
362 Microscopy Centre (EMC) in the Department of Materials and Environmental
363 Chemistry at Stockholm University. The TEM analysis is intended to provide
364 particle numbers, sizes and shapes, while the combination of the TEM technique
365 with energy-filtered imaging and energy-dispersive x-ray spectroscopy (EDS or
366 EDX) can provide the elemental composition of the sampled particles. Imaging

367 and compositional analysis studies were performed using a JEOL JEM-2200FS
368 200-kV (NRL) and a JEOL JEM-2100F 200-kV (EMC) field emission scanning TEM
369 (STEM), with an EDX system and high-angle annular dark-field (HAADF) imaging
370 capability. The grids were imaged in conventional bright-field and HAADF modes
371 to determine particle sizes, shapes and number density.

372 Energy filtered images can be obtained by selecting only the transmitted
373 electrons that interact inelastically with the sample and thus exhibit an energy
374 loss characteristic of the elements present in the sample. The energy-filter
375 window can be tuned to a specific elemental edge so that the image intensity
376 directly reflects the concentration of the chosen element. Advantages with this
377 technique are that it is sensitive to light elements including C, N and O, and that it
378 is relatively fast. EDX can then determine the quantitative elemental and
379 molecular composition. EDX analysis utilizes the characteristic x-ray
380 fluorescence emission from the sample due to absorption of energetic electrons
381 to accurately determine the sample composition. Elements as light as boron can
382 be detected while quantitative results are obtained for carbon and heavier
383 elements. These measurements are more time intensive than energy-filtered
384 imaging, but can be used to determine the composition of the particle to less than
385 0.01 atom %, if appropriate calibration standards are used.

3. Results and discussion

3.1 First flights

388 There were two flights of MAGIC instruments in 2005. The first took place in the
389 MAGIC sounding rocket campaign at 04:37 UT (05:37 LT) on January 10 from
390 Esrange Space Center, Sweden (68°N, 21°E). On this flight three MAGIC collection
391 instruments were flown along with the Hygrosonde water vapour instrument
392 (Khaplanov et al., 1996; Lossow et al., 2008) and two charged aerosol detectors
393 (Gumbel et al., 2005; Amyx et al., 2008). As can be seen in Fig 4, the three MAGIC
394 instruments were mounted symmetrically around the centrally located
395 Hygrosonde. Continuous 30 nm carbon film coated Cu TEM grids (Fig. 5a) were
396 exposed between 59 km and the apogee of 95 km. The second flight took place
397 on May 17 from Wallops Island, Virginia (38°N, 75°W) as part of the Virginia

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398   Tech Student Sounding Rocket Project (VTSRP). One MAGIC collector was flown

399   on this flight (Fig. 6), which reached an apogee of 98 km. Three pins with ultra

400   thin 3 nm continuous carbon film on a holey carbon film (or carbon mesh) coated

401   Cu TEM grids (Fig. 5b) were exposed between 70 and 97 km (in Fig 5b, the holey

402   carbon film or carbon mesh is the dark grey net supporting the light grey ultra

403   thin continuous carbon film).

404   Technical issues associated with the collection TEM grids hampered initial

405   results from the Esrange grids. The 30 nm thick continuous carbon film resulted

406   in low contrast between the film and the collected particles. Hydrocarbon build

407   up during TEM analysis reduced the contrast even further, which consequently

408   made the analysis more difficult. In spite of this, HAADF images show particles in

409   the 1-10 nm radius size range. Figure 7 shows particles found on the Esrange

410   grids. Panels a) to d) in Fig. 7 are images of the grids exposed at altitudes

411   between 86.3 and 94.5 km, where panel a) shows a high-resolution bright-field

412   image and the other three are HAADF images. Panels a) and b) are images of the

413   same region. EDX spectra show weak signal from Si. The square patterns with

414   reduced contrast in panels c) and d) show the effect of the thin film of

415   hydrocarbons present on the grid. The reference grids do not show any of these

416   nanometre-sized particles, but their origin is not known. Larger particles were

417   seen on both exposed (panels e) and g), exposed at 90.3-94.3 and 93.3-94.5 km,

418   respectively) and reference grids (panels f) and h)) and are likely contamination.

419   The large particles shown in panels e) and f) contain Ti, Si, Na, K, Ca and Al, while

420   the particles in panel g) and h) contain Fe, Si, Al, Ca and Cr. The two on board

421   Colorado dust detectors (CDDs) indicated particles in the altitude range 79 to 85

422   km (Amyx et al., 2008) which was covered by pins three and four of each of the

423   three MAGIC samplers, as can be seen in Fig. 8.

424   For the Wallops flight a switch was made to a new type of TEM grid with a

425   carbon film thickness of only ~3 nm, about ten times thinner than the Esrange

426   grids. These new TEM grids gave a much-improved contrast, but came with the

427   disadvantage of the presence of some silica left from the manufacturing process.

428   All of the TEM grids from 2005 reveal nanometre particles, however, the imaging

429   contrast is best on the 3 nm thick regions of the Wallops flight grids shown in

430   Figs. 9 to 11. For presentation purposes of the grey-scale raw HAADF images

431 were converted to colour using the colour gradient tool in Photoshop CS. This
432 transformation retains the intensity information of the raw images, in which
433 contrast is directly proportional to variation in sample thickness and depends
434 inversely on the square of the atomic number density of the sample. Based on the
435 1% area analysed, several distinct classes of particles types could be identified.

436 The most common morphologies are clusters, 10 nm to several microns across,
437 comprised of aggregated particles of 0.5-2 nm in radius (Fig. 9), which in the EDX
438 spectra appear to be primarily Si and O. The HAADF images show a range of 10-
439 50% coverage of the grid film with clusters, corresponding to approximately 10^4-
440 10^5 particles μm^{-2} in the 0.5-2 nm range, and an atmospheric abundance of 10^6-
441 10^7 cm^{-3}, assuming 100% collection efficiency. The images indicate a sharp drop-
442 off in abundance for particle >2 nm. However, due to the presence of silica left
443 from the manufacturing process, it is not possible to show that these particles
444 were collected during flight.

445 Other particle morphologies occur at orders of magnitude lower abundance (see
446 Fig. 10) than the 0.5-2 nm radius particles. These include isolated 2.5-5 nm
447 radius particles, holes produced by particles that breached the support film,
448 particle rings, 25-125 nm monolithic particles, and micrometre-sized particles
449 and aggregates. The isolated 2.5 - 5 nm particles are dense spheres with varying
450 concentrations of Si, O, Ca, Al, Fe, and S, consistent with material of meteoric
451 origin. The holes (vertical arrows, Fig. 10), presumably produced by particles
452 that breached the support film, are 25-75 nm in radius and are rimmed with
453 material; possibly peeled back carbon film. The particle rings (angled arrow in
454 Fig. 10, and Fig. 11) have a diffuse circular perimeter that is sometimes studded
455 with high-density aggregates. Monolithic particles ranging from 25-125 nm in
456 radius appear to be individual mineral grains, composed e.g. of silicates and
457 magnesium aluminium oxides, and faceted surfaces consistent with an origin as
458 matrix materials from incompletely ablated micrometeorites. There are also of
459 the order of 10 particles per collection grid in the micrometre size range. These
460 are most likely contamination, as the presence of particles of this size is common
461 in the terrestrial environment, even in the clean room environment of the
462 microscope laboratory. However, some of these large particles have

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463 compositions and morphologies consistent with an origin as meteoroid
464 fragments or interplanetary dust particles (IDPs).

465 The unexpectedly diverse morphologies of the collected material present some
466 questions that have not yet been resolved. The different morphologic groups
467 must result from diverse origins and atmospheric processes. The fact that the
468 most abundant particles observed are in the 0.5-1.5 nm size range is generally
469 consistent with expectations (e.g. Hunten et al., 1980; Kalashnikova et al., 2000;
470 Bardeen et al., 2008; Megner et al., 2008b), i.e., an exponentially decreasing
471 particle abundance with increasing size. However, the absolute abundance
472 observed ($>10^6$ particles cm^{-3}) is greater than predicted by the atmospheric
473 models by one or more orders of magnitude. The clustering of the particles into
474 what appears to be porous clusters, or fluffy aggregates, was not considered in
475 the above models (e.g. Hunten et al., 1980; Kalashnikova et al., 2000; Bardeen et
476 al., 2008; Megner et al., 2008b). It is unclear what the high surface area of the
477 fluffy aggregates will do to their aerodynamic and chemical properties, e.g.,
478 settling times and surface reactivity, as this will depend on the mass of the three
479 dimensional particle and it surface area. It is possible that the clustering into
480 fluffy aggregates occurred on the sampling grids rather than being created in the
481 atmosphere.

482 The less abundant particle morphologies require separate explanation. The
483 spherical 2.5-5 nm radius particles could represent the upper end of the size
484 distribution of individual condensates of ambient ablated meteoritic materials.
485 Their larger size causes a faster settling rate and less time for incorporation into
486 aggregates, which may explain their isolated occurrence. The 75-150 nm
487 diameter holes in the 3-nm thick carbon support films may be produced by
488 dense particles rather than fluffy aggregates. These particles are possibly the
489 same type of particles as the observed 25-125 nm dense monoliths. The material
490 in the rims around the holes could be small grains adhered to the surface of the
491 monoliths that were collected on the film as the monoliths breached the film.
492 Alternatively, the material in the rims could result from deformation of the
493 carbon support film at the breach. The holes are observed on the 76.7–93.5 km
494 and 94.5–97.0 km Wallops collection grids. The rings (see Fig. 11) appear to
495 result from the evaporation of a liquid. During evaporation, the surface tension

16

496   of the liquid concentrated inclusions of sub-nm to 1.5 nm particles at the
497   perimeter, producing the diffuse ring. This phenomenon is commonly observed
498   during TEM analysis of solvent-dispersed synthetic nanoparticles. In the present
499   case, the source of the liquid is not obvious; melting of a solid particle upon
500   impact or upon return of the rocket to Earth are possibilities. No residue was
501   found on the TEM grid that would readily allow the determination of the
502   composition of the incident particle. Water ice particles are considered very
503   unlikely as atmospheric temperatures at the collection altitudes are well above
504   the ice sublimation point. In the cases for which large particulate agglomerates
505   are observed at the perimeter, it appears most likely that these agglomerates
506   were deposited by the incident particle as it liquefied, since adjacent regions of
507   the support film do not contain particles of this size.

508   It must be remembered that observations reported here stem from only a limited
509   1% study of the returned data. When additional studies were to be performed
510   after some time on the Wallops grids and lower altitude Esrange grids, the TEM
511   carbon film had broken in many places during the storage and further analysis
512   was not possible.

513

3.2 ECOMA

515   In 2006, 2007 and 2008, MAGIC was launched within the ECOMA program
516   (ECOMA = Existence and charge state of MSPs in the middle atmosphere) from
517   the North-Norwegian Andøya Rocket Range (69°N, 16°E). The ECOMA project
518   was an international research program led by the Leibniz-Institute of
519   Atmospheric Physics (IAP) in Germany and the Norwegian Defence Research
520   Establishment (FFI) in Norway and brought together scientists from Germany,
521   Norway, Sweden, Austria, and in 2008 also from the USA. The general objective
522   was the study of MSPs and their relationship to ionospheric processes
523   (Strelnikova et al., 2009; Rapp et al., 2010, 2012).

524   The main instrument on board the payloads was the ECOMA detector from IAP; a
525   classical Faraday cup detector combined with a Xenon flash-lamp for the active
526   photo-ionization/photo-detachment of MSPs and the subsequent detection of
527   resulting photoelectrons (Rapp and Strelnikova, 2009). During all three
528   campaigns, *in situ* measurements of neutral density, temperature and turbulence

529　were provided by IAP, and ionization conditions were studied in terms of
530　ionospheric composition and turbulence by the Technical University Graz,
531　Austria, and FFI. Figure 12 shows the front deck configuration (under the
532　nosecone) of the ECOMA payloads. Both the 2007 and 2008 campaigns were
533　conducted during the NLC season and the presence of ice particles was
534　monitored by on-board NLC photometers from MISU. In 2008 additional
535　detectors for charged particles were flown by Dartmouth College, USA, and the
536　University of Tromsø, Norway. The rocket-borne measurements were
537　complemented by the comprehensive ground-based instrumentation at Andøya
538　Rocket Rage, in particular the ALOMAR lidars and radars, as well as the EISCAT
539　radar facility.
540

3.2.1 ECOMA 2006 Campaign

542　The 2006 ECOMA campaign was planned to feature three launches with two
543　MAGIC detectors on each payload (Fig. 12a-b). The four MAGIC instruments were
544　jointly prepared by NRL and MISU. In an attempt to clean the grids from Si and
545　other contamination in the carbon film, the grids were treated at NRL with a
546　chemical etching using a gas mixture of CF_4 and Ar under plasma cleaning
547　conditions. After this the grids were oxidized by plasma cleaning in an
548　atmosphere of O_2 and Ar. The first payload and set of two MAGIC detectors were
549　to be refurbished and launched a second time as launch number three. The
550　ECOMA-01 payload was launched on September 8, 2006 at 22:17:00 UT (LT = UT
551　+ 2 h) and reached an apogee of 130.6 km. All instruments performed nominally
552　and the two on-board MAGIC instruments performed the sampling sequence
553　with 7 pins each covering more or less the entire altitude region from 68 to 116
554　km. The ECOMA detector measured photoelectrons from the photo-
555　ionization/photo-detachment of MSPs from 80 km altitude on the ascent
556　(Strelnikova et al., 2009). The TEM grids used on this flight were the same type of
557　TEM grids that was used on the earlier MAGIC campaign at Esrange (continuous
558　30 nm carbon film on a 200 lines/inch Cu mesh). The chemical etching of the
559　carbon film before flight also made the carbon film thinner thus improving the
560　contrast and making it possible to see smaller particles.

561 The ECOMA-02 payload was launched nine days later on September 17, 2006 at
562 21:06:45 UT and reached an apogee of 130.3 km. The two on-board MAGIC
563 instruments sampled the atmosphere from 68 to 120 km altitude using the same
564 type of grids as was used on the Wallops Island launch in 2005 (ultra thin carbon
565 film on a holey carbon support film and Cu mesh). Unfortunately, this payload
566 was lost to the bottom of the sea due to a malfunction of the payload recovery
567 system, and consequently two MAGIC collectors were lost and analysis of these
568 grids was not possible. This malfunction led to the premature termination of the
569 2006 campaign to investigate the failure and hence, no third launch was carried
570 out.

571 The ECOMA-01 MAGIC sampling grids all suffered from heavy contamination. In
572 addition to the film-like hydrocarbon contamination that was seen on the grids
573 from the 2005 MAGIC campaign there were hydrocarbon blobs: dark, opaque,
574 roundish blobs and lighter, irregularly shaped blobs with central dark spot (Fig.
575 13a-b). Also "snow flake" shaped hydrocarbon contamination was seen (Fig.
576 13c). The EDX data of the hydrocarbon contamination showed abundance of C
577 and F which is consistent with the lubrication used on the O-rings in the MAGIC
578 housing. So the source of the thick hydrocarbon contamination was most likely
579 vaporising of the O-ring lubricant and melting of the O-rings near the pin exit
580 hole during re-entry into the denser atmosphere. On ECOMA-01 the MAGIC
581 samplers were mounted in a less protected manner than in the two flights in
582 2005 and, hence, where more susceptible to air frictional heating during re-entry
583 (see Fig. 12a-b).

584 Although the hydrocarbon contamination made EDX difficult, abundant spherical
585 particles with radii in the 0.5-5 nm size range were also found on the ECOMA-01
586 MAGIC grids. The most abundant type was Fe-rich particles composed of Fe and
587 Cr with some Ni and Mo. Cr, Ni and Mo are common components of stainless
588 steel, but Mo has a very low abundance in meteoritic material. Also the Cr
589 content (~10-20%) was much higher than what is found in chondrites, or
590 expected in meteoric smoke particles, but consistent with stainless steel. This
591 indicates that these particles are stainless steel and thus most likely
592 contamination. As opposed to the hydrocarbon contamination, the source of the
593 stainless steel particles has never been identified. Several EDX measurements

19

594 were performed of the composition of suspect parts used in the MAGIC sampling
595 unit and the ECOMA detector, but none of the parts contained Mo. The
596 conclusion was that the stainless steel particles do not come from the MAGIC
597 units, nor from the ECOMA detector, but must come from somewhere on the
598 rocket. A possible explanation was the presence of nanosize steel spheres in a
599 lubricant applied in the payload's nosecone separation system. It is also possible
600 that the rocket motor passed the payload after separation due to aerodynamic
601 effects or afterburning. This has happened in some cases in the past, which
602 means that the motor ends up upstream of the payload and all kinds of debris
603 will reach the payload. The first MAGIC grid was exposed between 68 and 73 km
604 while the motor was separated later, at an altitude of 75 km. The second and
605 third TEM grids of that same MAGIC instrument was exposed after the
606 separation (between altitudes 78 and 86 km, and 89 and 93 km, respectively)
607 show at least an order of magnitude more particles per unit area than what is
608 seen on the first grid (see Fig. 14). The second MAGIC instrument exposed its
609 first TEM grid between 78 and 82 km and has the same amount of particles as
610 the subsequent second and third grids. This is consistent with the idea that the
611 contamination could come from the motor. However, even though the grid
612 exposed before motor separation shows much less particles, there were still
613 stainless steel particles with Mo on this grid. Also the reference grids show
614 abundant nanometre sized stainless steel particles containing Mo. The top ring of
615 the front deck of the ECOMA payload (onto which the nosecone is mounted, see
616 Fig. 12a) is made of stainless steel that does contain Mo. It is however not known
617 what process would produce nanometre-sized particles from this surface. If the
618 particles were exclusively from the atmosphere, and assuming a 100% sticking
619 efficiency, the amount of particles on the first, second and third grids of the
620 second MAGIC sampler would correspond to an atmospheric number density of
621 $\sim 1.5 \times 10^4$ cm^{-3} for particles of radius 1 nm and larger (a factor of about two
622 orders of magnitude smaller than for the Wallops Island flight). The second most
623 abundant type of particles was larger in size ($\sim$50-100 nm radii) and contained
624 Ca and S with K and/or Na (or possibly Zn). The source of these is not known. No
625 signs were found of ring structures or holes in the film similar to what was seen
626 on the Wallops Island flight.

20

627 After the 2006 ECOMA campaign, O-rings and lubricant were changed to more

628 heat resistant types. Also an extension to the MAGIC instrument was added to

629 protect the pin exit hole and the volume around it from the possible airflow

630 through the ECOMA detector (see Fig. 12c). These extensions also served as heat

631 shields of the upper MAGIC housing during re-entry.

632

3.2.2 ECOMA 2007 Campaign

633

634 Similar to the 2006 ECOMA campaign, the 2007 campaign was planned to feature

635 three launches with two MAGIC detectors on each payload. On August 3, 2007 at

636 23:22:00 UT the ECOMA-03 payload was launched and reached an apogee of 126

637 km. Unfortunately, also this payload was lost to the bottom of the sea due to a

638 malfunction of the payload recovery system. The decision was made to terminate

639 the campaign prematurely to investigate the malfunction, and return in 2008.

640 Now all four MAGIC collectors originally manufactured at NRL had been lost.

641 Thanks to the efforts of NRL, MISU and DLR Moraba, two new MAGIC samplers

642 could be built mainly from spare parts.

643

3.2.3 ECOMA 2008 Campaign

644

645 MAGIC particle samplers were flown on three payloads during the ECOMA

646 campaign in June/July 2008. One MAGIC instrument for each rocket payload was

647 jointly prepared by NRL and Stockholm University, again with the first payload

648 and MAGIC collector to be refurbished and launched a second time. The three

649 launches (ECOMA-04, -05 and -06) took place on June 30 at 13:22 UT, July 7 at

650 21:24 UT, and July 12 at 10:46 UT, respectively (LT = UT + 2h). The payloads

651 performed nominally and were successfully recovered by boat after the water

652 landing. The scientific conditions for all flights were interesting. All three went

653 into NLC ice particle layers as confirmed by the on-board photometers from

654 Stockholm University. For the first and third flights, sampling was performed

655 below (one grid), within (one grid) and above (two grids) the NLC on each flight.

656 On the second flight, the opening of the extension of the first pin was delayed for

657 some unknown reason and the sampling started inside the NLC. Two additional

658 pins in each MAGIC collector were prepared with grids and used as reference (i.e.

659 not extended). Strong polar mesosphere summer echoes (PMSE) were present

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during the first and third flight and completely absent during the second. The ECOMA detector reported the presence of particles above 77 km for ECOMA-04, above 81 km for ECOMA-05, and above 70 km for ECOMA-06 (Rapp et al., 2010). Above 90-95 km the ECOMA photoelectron measurement is most likely contaminated by photoelectrons from the photo-ionisation of NO (Rapp and Strelnikova, 2009; Rapp et al., 2012).

The TEM grids used for these flights were the 30 nm continuous carbon film on Cu support grids also used earlier. Also this time the grids were pre-treated at NRL to reduce Si and other contaminants and to improve the contrast by making them thinner. The grids were then characterised at Stockholm University before launch to determine the quality of the carbon film, the number of particles and their chemical composition. This analysis was made in the four central squares of each grid and in one grid that is covered by the Cu masks (Fig. 15a). The grid square covered by the Cu mask was used as an on-grid reference area. This characterisation was then used to rank the quality of the TEM grids and to determine which ones should be mounted in the MAGIC collector. The same analysis was then also made after the flights. The set of six TEM grids from the ECOMA-04 flight was analysed at Stockholm University, while the two sets from the ECOMA-05 and -06 flights were directly shipped back to NRL for analysis.

During the pre-flight analysis, the only elements that could be observed in the carbon film above the detection limit were C (film and some flakes), O, F, Si (particles smaller than 5 nm) and Cu. F and possibly O originate from the plasma cleaning process, while Si is still some contamination left from the grid manufacturing process also after the plasma cleaning. The Cu signal originates from the fluorescence of the surrounding support grid and possibly from deposition onto the film by the plasma cleaning process. In STEM-HAADF mode small particles could be observed that by spot measurements give EDX spectra that locally indicate high concentrations of the elements Na, Al, Mg, Si, Cr, Mn, Fe and Ni. After the launch the same characterisation was performed on the ECOMA-04 grids at Stockholm University. Table 1 summarizes the elemental composition analysis of the TEM grids before and after launch. The conclusions from this characterisation are that the grids survived almost intact with no serious damage to the carbon film, and that the overall chemical composition of

22

693 the carbon film was the same, with the exception of an increase of Cu and a
694 reduction of O (highlighted with red squares in Table 1). There were no signs of
695 hydrocarbon contamination or stainless steel particles on any of the grids.
696 Hence, the change of O-ring lubricant and the addition of the extension as a
697 protection and heat shield after the ECOMA-01 launch helped. However, no
698 flight-sampled nanometre-sized particles could be identified.

699 The increase of Cu on the carbon film is significant, and it increases with altitude.
700 However, the highest concentrations are observed on the reference grids that
701 were not exposed. The increase in Cu content is seen as an addition of small sub-
702 nanometre to nanometre particles onto the carbon film. Except on the first grid,
703 the oxygen content of the carbon film decreases significantly with exposure
704 altitude, including the reference grids. The concentrations of the other elements
705 were more or less constant. A large number of STEM-HAADF images of the
706 carbon film were recorded with the aim to calculate the number of collected
707 particles and their sizes. The general observation was that with increasing Cu
708 content the number of particles also increased. This causes a problem in
709 identifying smoke particles by EDX as the noise from the Cu contamination
710 drains the signal from the other elements.

711 On the grid that was exposed when the payload passed through the NLC layer,
712 large spherical agglomerates (radii ~0.5 - 0.8 μm) were found after the flight.
713 Energy filtered imaging (Fig. 16) shows that they contain Na, S, Si and O with
714 some Cl, K, Ca, Mg, Cr, Mn and Fe in both the centre and outer parts of the
715 agglomerated material. The number of such agglomerates was estimated to
716 about four in each of the four central squares. Similar agglomerates were,
717 however, also found on the reference square under the Cu mask (Fig. 17a). The
718 Cu mask was not mounted completely flush to the TEM grid, so there is a
719 possibility for particles to enter in the space between them and end up on a grid
720 square under the mask. More careful studies showed appearance of this type of
721 particles also on the two unexposed reference grids (Fig. 17b-c). It is possible
722 that, even if they were not observed before flight, they could have been there
723 from the beginning. These agglomerates are very similar in shape and size to the
724 patterns seen on the surfaces exposed to NLC in the 1960s (Hemenway et al.,
725 1964b; Skrivanek and Soberman, 1964). If these agglomerates are NLC particles

726 from the 1 km thick ice layer as seen by the on-board NLC photometer, the

727 number density derived from the second grid is 0.5 cm^{-3} (if the sticking efficiency

728 is 100 %). This is a factor of about two orders of magnitude smaller than for

729 typical NLCs (e.g. von Cossart et al., 1999; Baumgarten et al., 2008).

730 The grids from ECOMA-05 and -06 that were shipped to NRL were not in the

731 same good condition when they arrived as the grids that were analysed at

732 Stockholm University. There were many places were the carbon film had

733 ruptured, and this most likely happened during transport. An attempt was made

734 to calculate the number of nanometre sized particles and their size distribution

735 on the ECOMA-06 grids. The results are summarised in Table 2 and from this it

736 can be seen that the particles on all grids are almost identical, with similar mean

737 radius and spread. The atmospheric particle number densities calculated

738 assuming 100% sticking efficiency are several orders of magnitude higher than

739 what is expected from models for particles of this size and for polar summer

740 conditions (Megner et al., 2008a). This all suggests that these particles are in fact

741 contamination.

742

743 **3.3 PHOCUS**

744 The last flight of a MAGIC sampler was on the PHOCUS sounding rocket launched

745 from Esrange Space Centre, Sweden, on July 21, 2011, at 07:00:53 UTC into a

746 strong NLC. PHOCUS, which is an acronym for Particles, Hydrogen and Oxygen

747 Chemistry in the Upper Summer mesosphere, was a Swedish sounding rocket

748 project led by MISU with contributions from Norway, Germany, Austria and the

749 USA (Gumbel et al., 2013; Havnes et al., 2013; Hedin et al., 2013; Sternovsky et

750 al., 2013). In this context, particle species comprise ice particles, smoke particles

751 of meteoric origin, and possibly other background particles formed by

752 conversion from trace gases. Important questions concerned both the properties

753 of particle layers and their interaction with various phenomena in the

754 mesosphere and lower thermosphere. This includes the relationship between

755 smoke and ice, ice particle nucleation and evolution, and the possible influence of

756 these particle species on chemistry. Both the German/Norwegian ECOMA project

757 and PHOCUS aimed at the characterization of smoke and ice particles and their

758 interactions. While ECOMA concentrated on interactions with ionospheric

24

759　processes, PHOCUS concentrated on interactions with neutral O_x/HO_x chemistry.

760　The configuration of the front deck with the nosecone removed is shown in Fig.

761　18. The rocket measurements were complemented by ground-based

762　instrumentation at Esrange (the ESRAD MST radar and the Esrange lidar), the

763　EISCAT facility, the MORRO radar near Tromsø, and the MAARSY radar on

764　Andøya.

765　One MAGIC sampler was prepared at Stockholm University with ultra thin

766　carbon film on a holey carbon support film and 400 lines/inch Cu mesh. This

767　time, only one grid was exposed in the altitude region were MSPs and ice

768　particles are expected to be present. In addition, seven reference pins of two

769　different types were prepared. Four pins were prepared in the same way as the

770　exposed grid, i.e. a TEM grid with a Cu mask of 1 mm diameter aperture, while

771　three pins were prepared with a Cu cap that completely covered the TEM grid

772　(see Fig. 19). If the completely covered grids were to show particles after flight

773　they would be contamination deposited on the grids in the clean room when

774　mounting or removing the grids, or when being transferred to the TEM. As with

775　the ECOMA 2008 campaign, a detailed characterization of the grids was made

776　before flight (in the five squares as shown in Fig. 15a). No plasma cleaning was

777　done this time. The characterization showed that these grids were very much

778　cleaner compared to grids flown in earlier campaigns. The pre-flight EDX spectra

779　of the grids showed C from the carbon film, Cu from the copper support mesh

780　and a small Si signal from the detector itself, but no signal from the transition

781　metals Cr, Mn, Fe or Ni that were seen earlier. A small amount of NaCl particles

782　were seen which would be readily identified after flight as belonging to the grid

783　from the beginning.

784　At the time of launch the Esrange lidar indicated the presence of a strong NLC

785　layer and the Esrange MST radar, which had been monitoring the development of

786　the PMSE during the morning hours, showed a narrowing and lowering of the

787　PMSE layer indicating an aged ice layer with large particles. During flight the

788　three on board NLC photometers detected a strong but thin NLC layer between

789　81 and 82 km altitude (Gumbel et al., 2013). The three different detectors for

790　charged particles report a narrow structured layer between 81 and 82 km

791　altitude (Havnes et al., 2013; Sternovsky et al., 2013). This does not mean that

792 there were no particles below or above this layer, but that they were too small to

793 reach the detectors due to aerodynamics.

794 The TEM analysis after the flight showed no new particles on either of the two

795 types of reference grids, i.e. no contamination of the grids occurred during

796 handling or during flight. Detailed analysis of the exposed grid reveals no new

797 particles either. This grid was exposed between 77.3 and 91 km altitude, i.e. from

798 well below until high above the NLC ice particle layer at 81-82 km detected by

799 the three charge impact detectors and the NLC photometers. Typical NLC particle

800 number densities are around 100 cm^{-3} (e.g. von Cossart et al., 1999; Baumgarten

801 et al., 2008) which means that, after passing through the 1 km NLC layer, there

802 would be 0.1 ice particle impacts per square micrometre, or 160 impacts in each

803 Cu grid square on the exposed TEM grid. The charged particle detectors estimate

804 that there were one to two orders of magnitude more particles in the NLC layer,

805 which means there would have been 1-10 particle impacts per square

806 micrometre on the grid. The TEM investigations of the exposed grid show no

807 evidence of particle impact or contamination (no sub-nanometre or nanometre

808 sized particles, no holes in the C film, no monoliths or 25-200 nm radius

809 particles, no ring-like structures or agglomerated particles) and no hydrocarbon

810 contamination that were seen on earlier flights. Since particles were present in

811 the altitude region sampled, as shown by the charge impact detectors, and must

812 have impacted on the sampling grid, it must be concluded that the particles have

813 bounced off and did not stick to the surface. For this flight it has thus been shown

814 that no contamination was introduced during handling of the grids or during

815 flight and that the sticking efficiencies for meteoric smoke and ice particles are

816 most likely very small.

4. Summary and conclusion

817

818 The MAGIC meteoric smoke particle sampler was developed and built to allow

819 for the first time MSPs to be sampled in the upper mesosphere and lower

820 thermosphere and returned to the ground for detailed laboratory investigations.

821 MAGIC samplers have been flown and successfully recovered on seven payloads.

822 After all flights, except for PHOCUS, particles of different sizes, shapes and

823   composition were found. Particles of sizes and elemental composition similar to

824   what can be expected for MSPs were found but due to challenges with large

825   amounts of different types of contamination (particles and hydrocarbon film and

826   drops) and large uncertainties in the sticking efficiency of the particles on the

827   sampling surfaces, no conclusive results have been obtained. Table 3 summarises

828   all successfully recovered flights and gives approximate sampling altitudes for

829   each grid. The 2005 and 2006 launch campaigns were conducted during times

830   when mesospheric ice particles were absent, i.e. outside polar summer

831   conditions, while the 2008 and 2011 campaigns were conducted during peak

832   NLC season with confirmed presence of ice particles. Models of the distribution

833   of MSPs show that the particle concentration should be very much reduced in the

834   polar summer mesosphere due to the general circulation of the atmosphere (e.g.

835   Megner et al., 2008a). This would suggest that much more nanometre sized

836   particles should be found on the grids from the non-summer flights compared to

837   the summer flights. This is also what is seen, i.e. more particles on the grids from

838   the non-summer flights. However, the amounts of particles on the non-summer

839   flights are much too high (several orders of magnitude), even when a sticking

840   efficiency of the particles on the carbon film of 100% is assumed, suggesting that

841   a majority of them are contamination. The ECOMA-01 grids show heavy

842   contamination from hydrocarbons and stainless steel particles and it is difficult

843   to draw conclusions about possible other particles as they drown in the signal

844   from the contamination. For the two summer campaigns no in-flight sampled

845   nanometre sized particles were discovered on the grids. The ECOMA-05 and -06

846   grids were damaged during transport back to NRL, and particles found on the

847   ECOMA-06 grids are most likely contamination. Analysis of the ECOMA-04 grids

848   shows the presence of large spherical agglomerates ($\sim$0.5 – 0.8 μm radii) on the

849   grid that was exposed during passage of the NLC layer, but also on the two

850   reference grids (Figs 16 and 17). Such agglomerates have not been seen on any

851   of the other flights of the MAGIC samplers, but show similarities to attempts to

852   sample NLC particles in the 1960's. On the Wallops Island flight, holes in the

853   ultra-thin C film (Fig. 10) and ring-like structures were seen (Fig. 11) that have

854   not been seen on any of the other flights. Analysis of the PHOCUS grid shows no

855   evidence of in-flight sampled particles of any size, neither on the exposed grid

856 nor on any of the reference grids. This suggests that the sticking efficiencies for

857 both pure MSPs and MSPs contained in ice particles are very small. The lack of

858 in-flight sampled nanometre sized particles on the summer flights also suggests

859 that the improvements made to minimize the contamination had an effect and

860 that the sampling process and handling of the samples has become cleaner.

861 As stressed earlier, the sticking efficiency for MSPs (pure and contained in ice

862 particles) on the sampling surface is a major uncertainty. A recent study was

863 made with C and Al_2O_3 particles impacting on surfaces of C, Au and grease

864 (Reissaus et al., 2006). From this, a sticking efficiency for Al_2O_3 particles on C of

865 ~14% was found (relative to a grease surface, for which a sticking efficiency of

866 100% for Al_2O_3 particles was assumed). The handling of such small particles is

867 very difficult and the associated uncertainties of the properties of the impacting

868 particles are large. It is also difficult to say how applicable this study is to actual

869 rocket-borne sampling in the mesosphere. Studies have been made earlier on the

870 capture and rebound of small particles upon impact with surfaces (e.g. Dahneke,

871 1971; Tsai et al., 1990), although at lower velocities, showing significant particle

872 bounce.

873 The *in situ* sampling of MSPs remains an extremely challenging endeavour.

874 Detailed studies are needed with different sampling surface types and a reliable

875 source of MSP analogues under conditions relevant for mesospheric sampling

876 from a sounding rocket (e.g. different velocities and angles of attack). Similar

877 studies should be performed for dirty ice particles in the 30 to 100 nm radius

878 size range. This is not only important for future instruments, but also to validate

879 the MAGIC results. To collect as much MSPs as possible and keep the instrument

880 simple, a single pin with a TEM grid should be exposed throughout the

881 mesospheric dust layer, as was attempted on the PHOCUS payload. Then, after

882 that successful sampling of MSPs has been demonstrated, the altitude

883 distribution should be investigated. The rocket flight must be designed to

884 maximize the probability of collecting MSPs. To minimize the risk of the particles

885 bouncing off the surface, the apogee should be within the layer so that the

886 sampling surface has a low relative velocity with respect to the dust and the

887 payload should preferably be attitude controlled to maintain a small angle of

888 attack. Different sampling surface materials should be investigated carefully. The

889 use of a soft material as the collection surface, such as e.g. aerogel, was
890 considered at the early stages of the MAGIC design work. It was however felt at
891 that time that the granularity of the aerogel was too coarse for the nanometre
892 sized MSPs and that the preparation of such a sample for the TEM following a
893 flight would introduce contaminants that would be difficult to distinguish from
894 the MSPs. Therefore it was decided to use the cleaner solution of the TEM grids.
895 The MAGIC project was originally designed during a time when very little was
896 known quantitatively on smoke particles in the mesosphere. Since then, major
897 progress has been achieved by remote sensing (Hervig et al., 2009; 2012),
898 rocket-borne measurements of charged particles (Rapp et al., 2010; 2012), radar
899 studies (Strelnikova et al., 2007; Rapp et al., 2007), and numerical modelling
900 (Megner et al., 2008b; Bardeen et al., 2008). Nevertheless, direct *in situ* sampling
901 of MSPs is still highly desirable. Only direct *in situ* sampling can presumably
902 provide final evidence about particle composition, size and shape. Knowledge
903 about these microphysical properties continues to be a key to understanding
904 both the evolution and the interaction of meteoric material in the mesosphere.
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Figure 1. Simulated particle trajectories of (a) 0.4, (b) 0.6 and (c) 0.8 nm radius particles around the MAGIC sampling surface at 80 km altitude (from Hedin et al., 2007b). The grey scale is the air number density normalized to the unperturbed free-stream conditions.

Figure 2. The effective relative cross section of the MAGIC sampling surface for (a) various particle sizes as a function of altitude and (b) various altitudes as a function of particle size (from Hedin et al., 2007b).

Figure 3. (a) Instrument sketch of the collection mechanism inside the MAGIC instrument. **(b)** Close-up photo of the revolver with 9 collection pins. **(c)** The MAGIC collector unit with extended sampling pin. The cylindrical section houses the revolver with eight collection pins and one reference pin. The lower rectangular section houses the collection and extension mechanism. The included 50 cm scale indicates the size of the MAGIC instrument. **(d)** Close-up photo of the 3 mm diameter TEM grid mounted with Cu cap on top of an extended sampling pin.

Figure 4. The top of the MAGIC sounding rocket payload launched from Esrange in January 2005. A sampling pin is extended from one of the three MAGIC sampling units surrounding the Hygrosonde instrument (Khaplanov et al., 1996; Lossow et al, 2008a) in the centre.

Figure 5. a) The centre of the 30 nm thick continuous carbon film (grey) on 200 lines/ inch copper mesh (black) TEM grid used in the Esrange flight. b) Centre of the 3 nm ultra thin carbon film (light grey) on holey carbon film (or carbon mesh, dark grey net) and 400 lines/inch copper mesh (black) TEM grid used in the Wallops Island flight.

Figure 6. A MAGIC sampling pin extended through the forward bulkhead in front of the VTSRP payload launched at Wallops Island in 2005.

Figure 7. a) High-resolution bright-field TEM image of the grid exposed between 93.3 and 94.5 km, while **b)** is a high-angle annular dark-field (HAADF) image of that same area, showing particles in the 1-5 nm radius size range. Panels **c)** and **d)** are HAADF images of the collection grid exposed between 86.3 and 89.3 km showing particles in the 2-10 nm radius size range. The square patterns show the effect of the thin film of hydrocarbon present on the grid. Panel **e)** shows a large particle from the grid exposed between 90.3 and 94.3 km containing Ti, Si, Na, K, Ca, and Al and panel **f)** shows a particle with similar composition and morphology found on one of the unexposed reference grids. Panel **g)** shows a particle found on the grid exposed between 93.3 and 94.5 km and contain Fe, Si Al, Ca and Cr, while panel **h)** show a particle of similar composition and morphology found on one of the reference grids.

Figure 8. Measurement of mesospheric particles by the Colorado dust detectors (CDDs) on board the MAGIC sounding rocket (Amyx et al., 2008). The vertical lines indicate where MAGIC detectors sampled the atmosphere in the altitude range where the CDDs indicated presence of particles (e.g. M1G3 indicates grid 3 on MAGIC sampler 1 and M3G4 indicates grid 4 on MAGIC sampler 3 etc.).

Figure 9. High-angle annular dark-field (HAADF) image of atmospheric nanoparticles. The colour values in the image represent scattering intensity, which is a function of material thickness and composition. The yellow area is a 30 nm thick region of the supporting holey carbon collection film; the red areas are particles 0.5 to 2 nm in radius; and the blue areas are bare regions of the 3 nm ultra thin portions of collection film. The particles form what appears to be a fractal aggregate, which varies in density. The large red spot is a densely packed region of the 0.5 to 2 nm particles.

Figure 10. High-angle annular dark-field (HAADF) image of representative particle morphologies. As in Fig. 9, the 30 nm thick holey carbon support mesh appears yellow, and bare regions of the ultra thin 3 nm carbon film are blue. Particles range from red to yellow in appearance, depending on thickness and

compositions. Particle clusters are distributed across the film. The two angle brackets define a region of reduced intensity where prior observation at higher magnification resulted in the loss of an unknown sub-nm material that is readily vaporized under the electron beam. The vertical arrows indicate holes in the film, rimmed with concentrated material. The diagonal arrow indicates a particle ring, which appears to result from the concentration of particles around the perimeter of an evaporated liquid droplet.

Figure 11. High-angle annular dark-field (HAADF) image of a particle ring. The ring has a diffuse boundary of concentrated sub-nm material and is studded with dense aggregates of 0.5 to 2 nm radius particles.

Figure 12. a) The top section of the ECOMA payload for mesospheric particle studies with booms deployed. The ECOMA particle detector from IAP is in the centre and the MAGIC particle sampler from MISU/NRL in the foreground. Other instruments are temperature probes from IAP (left, right) and ionospheric instrumentation from the Technical University Graz, Austria (booms). **b)** Top section with the two MAGIC samplers visible and one half of the nosecone attached. For the 2008 campaign one MAGIC sampler was replaced by a mass dummy, and the two IAP temperature probes were replaced by the particle detectors from University of Tromsø, Norway and Dartmouth College, USA. **c)** Photo showing the extension added in the ECOMA 2007 and 2008 campaigns to protect the MAGIC pin exit hole and the volume around it from the possible airflow through the ECOMA detector and from the high temperatures during re-entry.

Figure 13. The different kinds of hydrocarbon contamination seen on the TEM grids in MAGIC sampler #1 on ECOMA-01. Low resolution TEM image showing **a)** lighter, irregularly shaped hydrocarbon blobs with central dark spot on grid 1, and **b)** darker, opaque, roundish hydrocarbon blobs on grid 7. **c)** Higher resolution HAADF image showing "snow flake" type hydrocarbon contamination on grid 2 with large amounts of nanometre sized particles with composition typical to stainless steel.

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Figure 14. High-angle annular dark-field (HAADF) images of areas on ECOMA-01 grids 1, 2, 3 and 7 exposed to the atmosphere at 68.4-73.2 km, 78.1-86.2 km, 88.9-92.7 km, and 111.0-115.8 km altitude, respectively. Grids 2 and 3 show at least one order of magnitude more sub-10 nm particles per unit area than grids 1 and 7. EDX spectra show that the particles have a composition similar to stainless steel.

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Figure 15. (a) Sketch of the TEM grid with aperture showing the 4 sampling squares in the centre and the on-grid reference square under the Cu mask, that was analysed both before and after flight. (b) Sketch of the sampling head on the collection pin with Cu mask, or grid aperture (grid apertures were not used for the two flights in 2005). (c) Photo of the copper grid cap, Cu mask (grid aperture), TEM grid, and the fully assembled sampling pin.

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Figure 16. a) TEM image of an agglomerated particle found on the grid exposed to the NLC during the flight of ECOMA-04 from Andøya Rocket Range in June 2008. Panels **b)** to **n)** are energy-filtered images showing the signal from **b)** S, **c)** O, **d)** Na, **e)** Cu, **f)** Si, **g)** Mn, **h)** Mg, **i)** F, **j)** Cr, **k)** K, **l)** Fe, **m)** Cl, and **n)** Ca.

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Figure 17. TEM images of agglomerated particles found **a)** on the on-grid reference square under the mask on the grid exposed to the NLC, and **b)** and **c)** on the reference grid that was not exposed to the atmosphere.

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Figure 18. The top deck configuration of the PHOCUS sounding rocket payload launched from Esrange Space Center in July 2011 with the MAGIC particle sampler circled. Other instruments are the positive ion probe, electron probe, and the Faraday rotation experiment (the four booms, Technical University Graz); the IR airglow photometers and NLC photometers (the four black cylinders, MISU); the two detectors for charged particles (University of Tromsø (right) and IAP (left)); and the forward-looking water vapour radiometer in the centre (Chalmers University of Technology).

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1464 **Figure 19.** The two types of sampling pins prepared for the PHOCUS sounding
1465 rocket. Panel **a)** shows the normal open type used for four of the reference grids
1466 and the exposed grid, and **b)** shows the covered type used for three reference
1467 grids where the TEM grid is completely covered by a Cu cap.

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1471 **Table 1.** ECOMA-04 composition analysis before and after flight. Numbers given
1472 are the atomic %. The colour code is as follows: white marks signals below the
1473 detection limit both before and after flight; green marks elements that did not
1474 change; blue marks elements that were reduced after flight; and red marks
1475 elements that were increased after flight. The red squares mark the elements
1476 where there was a significant change.

	Grid 1	Grid 2	Grid 3	Grid 4	Grid 5 (ref)	Grid 6 (ref)
Element	Before - After	Before - After	Before - After	Before - After	Before - After	Before - After
C	96.0 – 96.0	96.5 – 96.2	95.4 – 95.9	96.0 – 95.7	95.8 – 92.3	96.0 – 96.6
O	3.5 – 3.5	2.5 – 2.4	4.0 – 2.4	3.4 – 2.8	3.4 – 2.2	3.5 – 0.78
F	0.5 – 0.3	0.06 – 0.03	0.03 – 0.05	0.05 – 0.15	0.07 – 3.6	0.15 – 0.05
Na	<0.01 -<0.01	0.01 -<0.01	<0.01 - 0.02	<0.01 -<0.01	<0.01 -0.02	N.A. - 0.04
Mg	<0.01 - <0.01	0.01 - 0.02	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	N.A. - <0.01
Al	0.01 - <0.01	0.05 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	0.01 - <0.01
Si	0.02 - 0.02	0.04 - <0.01	0.03 - 0.07	0.02 - 0.02	0.01 - <0.01	0.03 - 0.04
S	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - 0.01	0.03 - <0.01
Cl	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	N.A. - 0.01
K	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - 0.01	<0.01 - <0.01	<0.01 - <0.01
Ca	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	N.A. - <0.01
Ti	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	<0.01 - <0.01	N.A. - <0.01
Cr	0.01 - 0.02	<0.01 - 0.01	0.01 - <0.01	0.01 - 0.01	0.01 - <0.01	0.01 - <0.01
Mn	0.02 - 0.02	<0.01 - <0.01	<0.01 - <0.01	0.01 - 0.01	0.02 - <0.01	<0.01 - <0.01
Fe	0.01 - 0.02	<0.01 - <0.01	0.02 - <0.01	0.02 - 0.03	0.02 - <0.01	0.05 - 0.01
Ni	<0.01 - <0.01	<0.01 - 0.01	<0.01 - 0.01	<0.01 - <0.01	<0.01 - 0.01	N.A. - <0.01
Cu	0.35 - 0.70	0.80 -1.31	0.48 - 1.50	0.48 – 1.24	0.64 - 1.63	0.60 - 2.33

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1481 **Table 2.** The amount of particles found on the ECOMA-06 MAGIC grids. The
1482 mesospheric particle density is calculated assuming a sticking efficiency of 100
1483 %. The numbers in parenthesis after the mean radius are the minimum and
1484 maximum radii (or spread) of the measured particle size distribution.

Grid	Particles on grid (μm^{-2})	Mean radius (nm)	Sampled altitude (km)	Particle density (cm^{-3})
1	76.3	4.51 (2.5 - 9)	65.5 – 70 (4.5 km)	1.7×10^4
2	13.0	4.51 (2.5 - 9.8)	75 – 87 (12 km)	1.1×10^3
3	23.2	4.93 (3.3 - 9.8)	90 – 94 (4 km)	5.8×10^3
4	14.5	4.13 (2.5 - 9.8)	96.5 – 100.5 (4 km)	3.6×10^3
5	11.0	4.55 (2.5 - 12.7)	Reference	-
6	31.4	4.13 (2-12.7)	Reference	-

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Table 3. Summary of all successfully recovered MAGIC flights.

Campaign	MAGIC Campaign 2005			VTSRP 2005	ECOMA Campaign in 2006 & 2008					PHOCUS 2011
	M-1	M-2	M-3		E01-1	E01-2	E04	E05	E06	
Time	10 January -05 04:37 UT			17 May-05	8 September -06 22:17 UT		30 June-08 13:22 UT	7 July-08 21:24 UT	12 July-08 10:46 UT	21 Jul-11 07:01 UT
Location	Esrange Space Center, Sweden, 67.9°N			Wallops I., USA, 38°N	ECOMA campaigns, Andøya Rocket Range, Norway, 69.3°N					Esrange S. C., Sweden
Apogee	95.1 km			97.9 km	130.6 km		125.3 km	125.4 km	123.1 km	107.7 km
Grid 1	59.1 - 65.4 km	61.2 - 67.4 km	63.1 - 69.1 km	-	68.4 - 73.2 km	78.3 - 82.7 km	66.5 - 71 km	84.5 - 88.0 km	65.5 - 70 km	Ref., open
Grid 2	67.8 - 73.3 km	69.4 - 74.7 km	71.0 - 76.2 km	Ref.	78.1 - 86.2 km	85.5 - 89.6 km	76 - 88.5 km	Ref.	75 - 87 km	Ref., covered
Grid 3	75.2 - 79.8 km	76.5 - 81.0 km	77.8 - 82.1 km	70.3 - 74.5 km	88.9 - 92.7 km	92.2 – 96.0 km	91 - 95.5 km	92.5 - 102.5 km	90 - 94 km	Ref., open
Grid 4	81.3 - 84.9 km	82.4 - 85.9 km	83.5 - 86.8 km	76.7 - 93.5 km	95.2 - 98.8 km	98.3 - 101.8 km	98 - 102 km	104.5 - 107.5 km	96.5 - 100.5 km	77.3 - 91.0 km
Grid 5	86.3 - 89.3 km	87.1 - 89.9 km	87.9 - 90.6 km	94.5 - 97.0 km	101 - 104.3 km	104.0 - 107.1 km	Ref.	Ref.	Ref.	Ref., covered
Grid 6	90.3 - 92.4 km	90.8 - 92.8 km	91.4 - 93.2 km	Ref.	106.3 - 109.3 km	109.1 - 111.9 km	Ref.	111.0 - 113.5 km	Ref.	Ref., open
Grid 7	93.0 - 94.3 km	93.3 - 94.5 km	93.7 - 94.7 km	Ref.	111.0 - 115.8 km	113.6 - 116.1 km	-	-	-	Ref., open
Grid 8	Ref.	Ref.	Ref.	-	Ref.	Ref.	-	-	-	Ref., covered
Grid 9	Ref.	Ref.	-	-	Ref.	Ref.	-	-	-	-
HCC[1]	79-85 km			-	80-90 km		77-95 km	81-95 km	70-95 km	81-82 km
NLC	No, outside NLC season			No, outside NLC season and region	No, outside NLC season		Yes 82-83 km	Yes[2] 82-85 km	Yes 81-85 km	Yes 81-82 km
	Non-NLC conditions, too warm for ice particles to form						Confirmed presence of ice by the on board NLC photometers			
Grid type	30 nm continuous carbon film on 200 lines/inch copper mesh			Ultra-thin C on holey C & 400 lines/in. Cu mesh	30 nm continuous C on 200 lines/in. Cu mesh, plasma cleaned (etched)		30 nm continuous C film on 200 lines/in. Cu mesh, plasma cleaned (etched)			Ultra-thin C on holey C & 400 lines/in. Cu mesh
Com- ments	Hydrocarbon film and particle contamination. Low contrast due to thick C film.			Particle cont. (too many particles)	Thick hydrocarbon film and drops, and lots of stainless steel particle contamination		Agglom. particles, no new nm particles	Particle contamination, C film heavily damaged, probably during transport		No cont., no in-flight sampled particles of any size

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1490   [1] HCC = heavy charge carriers. Indicates if charged particles were detected by

1491   other instruments and in what altitude region.

1492   [2] Presence of NLC but confirmed absence of PMSE.

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Figure

Figure

a

b

50 μm

20 μm

Figure

a   50 nm

b   50 nm

c   100 nm

d   100 nm

e   500 nm

f   500 nm

g   50 nm

h   100 nm

Figure

100 nm

a

b

c

a

b

c

20 μm

20 μm

2 μm

Figure

Figure

a

b

TEM GRID
SAMPLING SURFACE

GRID APERTURE

GRID
CAP

COLLECTION
PIN

c

Figure

Figure