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1 **A distributed TOPMODEL for modelling impacts of**
2 **land-cover change on river flow in upland peatland**
3 **catchments**

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7

8 ***Abstract***

9 There is global concern about headwater management and associated
10 impacts on river flow. In many wet temperate zones peatlands can be found
11 covering headwater catchments. In the UK there is major concern about how
12 environmental change, driven by human interventions, has altered the
13 surface cover of headwater blanket peatlands. However, the impact of such
14 land-cover changes on river flow is poorly understood. In particular, there is
15 poor understanding of the impacts of different spatial configurations of bare
16 peat or well-vegetated, restored peat on river flow peaks in upland
17 catchments. In this paper, a physically-based, distributed and continuous
18 catchment hydrological model was developed to explore such impacts. The
19 original TOPMODEL, with its process representation being suitable for
20 blanket peat catchments, was utilized as a prototype acting as the basis for
21 the new model. The equations were downscaled from the catchment level to
22 the cell level. The runoff produced by each cell is divided into subsurface
23 flow and saturation-excess overland flow before an overland flow calculation
24 takes place. A new overland flow module with a set of detailed stochastic
25 algorithms representing overland flow routing and re-infiltration mechanisms
26 was created to simulate saturation-excess overland flow movement. The
27 new model was tested in the Trout Beck catchment of the North Pennines of
28 England and found to work well in this catchment. The influence of land
29 cover on surface roughness could be explicitly represented in the model and
30 the model was found to be sensitive to land cover.

31

32 Keywords: blanket peat, flooding, peak flow, overland flow, vegetation cover

33

34 **1 Introduction**

35 Altering vegetation cover may affect river regimes due to changes in the
36 overall water balance (inputs versus outputs) and also because of changes
37 to flowpaths for water to the river channel. Understanding the impact of
38 vegetation cover and management on the shape of storm hydrographs and
39 the magnitude of flow peaks is vital to support land management decisions
40 (Wheater and Evans, 2009).

41 Peatland landscapes are particularly sensitive to slight shifts in local
42 hydrology or chemistry in which can alter species composition and hence
43 surface cover (Bragg and Tallis, 2001). Peatlands cover around 3% of the
44 Earth's land surface (Immirzi *et al.*, 1992) and as peatlands are more likely
45 to form in regions with high precipitation excess, they often form in upland
46 areas of the temperate and boreal zones (Gallego-Sala and Prentice, 2013).
47 Large areas of the UK uplands are covered by blanket peat. Blanket
48 peatlands typically have shallow water tables (Price, 1992; Evans *et al.*,
49 1999), and hence the potential for peat to store additional fresh water and
50 act as a buffer to flooding may be very limited (Holden and Burt, 2003;
51 Holden, 2005). Thus a little rainfall can cause rapid saturation of the peat
52 and lead to the generation of saturation-excess overland flow or rapidly-
53 flowing near-surface throughflow and these flows may dominate the river
54 hydrograph during storm events (Holden and Burt, 2002). The river regime
55 of blanket peatlands tends to be very flashy with rapidly rising hydrographs,
56 high flow peaks and very little baseflow (Price, 1992; Evans *et al.*, 1999).
57 There are concerns that land management interventions in upland peatlands
58 in the UK may increase flood peaks (e.g. Parrott *et al.*, 2009; Wheater and
59 Evans, 2009; Hess *et al.*, 2010). However, given that these systems are
60 already very flashy in nature it is not clear what impacts such interventions
61 might have. There is also the related problem of the spatial distribution of
62 management interventions. As noted by Holden (2005), the same
63 intervention may both theoretically increase and decrease the flood peak in
64 the main river channel depending on how the intervention affects the timing
65 of water delivery and its synchronicity from different parts of the catchment.
66 There is therefore a need to understand such issues and assess them to
67 support environmental decision making.

68 In many areas of the UK uplands there has been a history, over at least the
69 last 60 years, of vegetation loss attributed to vegetation burning,
70 overgrazing, atmospheric pollution and other interventions (Bower, 1962;
71 Evans, 2005; Holden *et al.*, 2007b). A loss of a dense understory of

72 *Sphagnum* or the complete loss of surface vegetation altogether may lead to
73 changes in water movement over peatland surfaces. It is thought that
74 downstream discharge from peatlands might be sensitive to surface
75 vegetation cover change (Holden *et al.*, 2008; Grayson *et al.*, 2010; Ballard
76 *et al.*, 2011; Lane and Milledge, 2013). Vegetation cover and associated
77 surface roughness could be more important to flow peaks in many
78 headwater peatlands than change brought about by other management
79 interventions such as drainage for which studies have often resulted in
80 equivocal conclusions (Holden *et al.*, 2004). *Sphagnum* is associated with a
81 much greater surface roughness than bare peat and it therefore has an
82 ability to significantly slow down the velocity of water movement (Holden *et*
83 *al.*, 2008). Peatland restoration efforts are underway across many degraded
84 upland landscapes and these often seek to revegetate bare peat (Parry *et*
85 *al.*, 2014). Practitioners are very keen to understand whether such
86 revegetation has an impact on river flows (e.g. IUCN, 2011). Thus, we need
87 a tool to evaluate the impact on river flow peaks of changes to, and the
88 spatial distribution of, land cover types in headwater peatlands.

89 TOPMODEL was originally developed by Beven and Kirkby (1979), and
90 initially employed in UK small catchments (Beven *et al.*, 1984). The model is
91 considered as a set of conceptual tools which can be utilised to model the
92 hydrological processes (especially the dynamics of surface and subsurface
93 contributing areas) in a relatively simply way (Beven, 1997). TOPMODEL
94 has been treated as a standard model for hydrological analysis in many
95 parts of the world (e.g. Franks *et al.*, 1998; Lamb *et al.*, 1998; Güntner *et al.*,
96 1999; Peters *et al.*, 2003).

97 The assumptions of TOPMODEL (Beven and Kirkby, 1979) fit the case of
98 blanket peat catchments well, in which river flow is dominated by surface or
99 near-surface flow and there is a rapidly declining rate of flow in the top few
100 centimetres of the soil profile (Holden and Burt, 2002). Although there is flow
101 at depth in blanket peat, it makes negligible contribution during streamflow
102 peaks (Evans *et al.*, 1999; Holden and Burt, 2003). The model is felt to be
103 widely applicable in catchments dominated by shallow subsurface flow and
104 overland flow, and the limited number of parameters is another advantage of
105 TOPMODEL. However, the model is not spatially distributed and has only a
106 very simplified component to represent overland flow movement, so the
107 model cannot describe the impacts of different distributions of vegetation
108 cover change and their impacts on surface flow and downstream river flow.
109 Thus, while TOPMODEL is suitable for peatlands, it needs to be modified

110 into a distributed model in order to be able to simulate and test different
111 spatial configurations of land-cover change impacts on river flow.

112 The main purpose of this paper is to develop a model, based on the original
113 TOPMODEL, which can simulate the impact of land-cover change in upland
114 peat catchments on downstream hydrographs. To achieve this aim, there
115 are two major tasks for model development. First, a spatially-distributed
116 model structure is needed to identify and handle the variety of spatial
117 patterns of land cover in peatland catchments. The other prime assignment
118 is to establish an overland flow movement module which can distinguish
119 between the various influences of land cover on surface water delivery on
120 hillslopes because the majority of stream discharge during high flow events
121 in blanket peatlands is derived from surface flow (Holden and Burt, 2003).
122 We test the new distributed model with real storm events in the Trout Beck
123 catchment of the North Pennines in UK and also compare model results to
124 those from the original TOPMODEL.

125 ***2 Development of a distributed TOPMODEL***

126 TOPMODEL was a continuous lumped or semi-distributed deterministic
127 hydrological model when developed by Beven and Kirkby (1979). It is based
128 on a simple theory of hydrological similarity of points in a catchment, by
129 which the index of hydrological similarity is determined from the topographic
130 index of Kirkby (1976) and provides good computational efficiency. The
131 movement of subsurface flow was calculated together with overland flow in
132 the original version of the model due to its lumped structure. Overland flow
133 was generated for each value of the topographic index, and combined using
134 a constant overland flow velocity. Here we develop an approach for
135 distributing the model, calculating hydrological behaviour individually in
136 every cell from Digital Elevation Model (DEM) data. The TOPMODEL
137 rationale can be applied and hydrological equations downscaled from
138 catchment scale to cell scale (probably 10-1000 m²). Distribution allows
139 subsurface flow and overland flow to be separately treated, allowing different
140 delay modes, suitable for examining land cover impact on the stream
141 hydrograph. As part of this development process, a new overland flow
142 module has been established to represent the movement of surface water.

143

144 **2.1 Subsurface flow module in a distributed TOPMODEL**

145 Kirkby (1997) provided a classical approach to the rationale of TOPMODEL
146 from the continuity equation based on strictly necessary assumptions. The
147 development of our distributed subsurface flow module began from this
148 point. As seen in Fig. 1, there is a flow strip with variable width in which the
149 horizontal distance follows a curvilinear path down the line of greatest slope
150 in a catchment, and the section with the length dx can be treated as a unit
151 (i.e. calculating unit) in a distributed TOPMODEL.

152 Based on water balance, the general statement of hydrological continuity
153 can be presented as Equation 1:

154
$$a \frac{\partial j}{\partial x} - \frac{\partial D}{\partial t} = (i - j)$$

155 Equation 1

156 where i is net rainfall intensity and j is discharge per unit area (i.e. runoff
157 rate).

158 Equation 2 expresses the logarithmic assumption of the soil transmissivity
159 profile in the original TOPMODEL (Kirkby, 1997):

160
$$D = -m \cdot \ln(a_j / \Lambda q_0)$$

161 Equation 2

162 where D is soil moisture deficit; m is a scaling parameter which is assumed
163 to be invariant over the flow strip and over time and shows how quickly
164 discharge falls off with depth; a_j is discharge per unit contour width and Λ is
165 the tangent slope gradient. With $D = 0$ at soil saturation, q_0 is the discharge
166 per unit width at saturation on unit slope gradient, and it may spatially vary in
167 the catchment without violating the other assumptions. The runoff required to
168 produce local saturation can be defined here. Setting $D = 0$ in Equation 2, it
169 gives

170
$$j_* = \frac{\Lambda q_0}{a}$$

171 Equation 3

172 where j_* is discharge per unit area at saturation.

173 Substituting Equation 3 back into Equation 2, the formulation of j can be
174 written as

175
$$j = j_* \cdot \exp\left(-\frac{D}{m}\right)$$

176 Equation 4

177 where m is again the soil depth parameter.

178

179 Transforming Equation 2, we get:

180
$$\frac{\partial D}{\partial t} = -\frac{m}{j} \cdot \frac{\partial j}{\partial t}$$

181 Equation 5

182 Combining Equation 1 and Equation 5, we have:

183
$$a \frac{\partial j}{\partial x} + \frac{m}{j} \cdot \frac{\partial j}{\partial t} = (i - j) \cdot$$

184 Equation 6

185 If i and j are assumed spatially uniform in a unit, the hydrological continuity
186 within a cell can be expressed by Equation 7, and a solution can be obtained
187 as Equation 8. Equation 6 is the more general form of Equation 7 which
188 remains valid if i and j vary spatially:

189
$$\frac{dj}{dt} = \frac{j(i - j)}{m}$$

190 Equation 7

191
$$\ln\left(\frac{j}{i - j}\right) = \frac{it}{m} + C$$

192 Equation 8

193 where C is an unknown constant derived from initial conditions. At $t = 0$, $j =$
194 j_0 , substituting in Equation 8, C is solved as Equation 9:

195
$$C = \ln\left(\frac{j_0}{i - j_0}\right)$$

196 Equation 9

197 Combining Equation 9 with Equation 8 then gives Equation 10:

198
$$\ln\left(\frac{j}{i - j}\right) = \frac{it}{m} + \ln\left(\frac{j_0}{i - j_0}\right)$$

199 Equation 10

200 and, rearranging, to give the runoff j ,

201

$$j = \frac{j_0}{\frac{j_0}{i} + (1 - \frac{j_0}{i}) \cdot \exp(-\frac{it}{m})}$$

202

Equation 11

203 Equation 11 is the expression of j for a cell at time t within a time interval.

204 From Equation 4, D can be calculated by Equation 12:

205

$$D = m \cdot \ln\left(\frac{j^*}{j}\right)$$

206

Equation 12

207 Hence, if D_0 is defined as deficit at $t=t_0$ and D_1 is deficit at the end of time
208 interval, we get

209

$$D_0 = m \cdot \ln\left(\frac{j^*}{j_0}\right)$$

210

Equation 13

211

$$D_1 = m \cdot \ln\left(\frac{j^*}{j_1}\right)$$

212

Equation 14

213 where j_1 is discharge per unit area at the end of a time interval.

214

215 In terms of water balance (i.e. net rainfall plus decrease of deficit equals
216 runoff), total runoff in a time interval is expressed by Equation 15:

217

$$TF = i \cdot \Delta t + (D_1 - D_0) = m \ln\left(1 + \frac{j^*}{i} \exp\left(-\frac{D_0}{m}\right) \cdot \left(\exp\left(\frac{i \cdot \Delta t}{m}\right) - 1\right)\right)$$

218

Equation 15

219 where TF is total runoff for a cell, and Δt is the time interval.

220 However, Equation 15 should be implemented without modification only in
221 the case for which the cell is never over-saturated (i.e. overland flow is never
222 produced) in the time interval, because Equation 13 and Equation 14 (from
223 Equation 4 and previously Equation 2) are defined to express runoff below
224 the land surface and are hence only applicable for subsurface flow. In the
225 case where saturation is reached within a time interval Δt , it is assumed that
226 net rainfall intensity is constant during the time interval, and heavy enough to
227 saturate the cell and produce overland flow at some time t^* within the time
228 interval. If we suppose, at time t^* , the soil just reaches saturation (i.e. deficit

229 just equals zero and the total runoff rate is discharge at saturation, j^*), we
230 have Equation 16 from Equation 11:

231

$$j^* = \frac{j_0}{\frac{j_0}{i} + (1 - \frac{j_0}{i}) \cdot \exp(-\frac{it^*}{m})}$$

232 Equation 16

233 Solving it gives Equation 17 which is valid during the time interval, if $i > j^* > j_0$:

234

$$t^* = \frac{m}{i} \ln \left(\frac{j^*(i - j_0)}{j_0(i - j^*)} \right)$$

235 Equation 17

236 Before t^* , the cell is not at saturation, the moisture deficit is continuously
237 decreasing and there is no overland flow, so that Equation 15 is applicable.

238 Hence, substituting Equation 17 into Equation 15, the amount of subsurface
239 flow from $t = 0$ to $t = t^*$ is

240

$$SSF_1 = m \ln \left(1 + \frac{j^*}{i} \cdot \left(\frac{j^*(i - j_0)}{j_0(i - j^*)} - 1 \right) \right) = m \ln \left(\frac{i - j_0}{i - j^*} \right)$$

241 Equation 18

242 Between t^* and the end of time interval, the cell is continuously saturated
243 due to the assumed continuing rainfall at the constant rate for the time step,
244 and consequently the subsurface flow rate consistently equals j^* in this
245 period. Subsurface flow in this stage is

246

$$SSF_2 = j^* \cdot (\Delta t - t^*)$$

247 Equation 19

248 In addition to subsurface flow, the surplus net rainfall transforms to
249 saturation-excess overland flow whose amount is determined by Equation
250 20, and this is also the total overland flow within the time step:

251

$$SOF = i \cdot (\Delta t - t^*) - SSF_2 = (i - j^*) \cdot (\Delta t - t^*)$$

252 Equation 20

253 Total subsurface flow in the time interval is the sum of that in the two sub-
254 stages (shown as Equation 21):

255

$$SSF = m \ln \left(\frac{i - j_0}{i - j^*} \right) + j^* \cdot (\Delta t - t^*)$$

256 Equation 21

257 The deficit at the end of the time step is zero due to its saturation at that
258 point.

259

260 The case of a continuously saturated cell (i.e. the cell status which starts at
261 saturation and undergoes large net rainfall, so that the cell remains
262 saturated during the whole time interval) can be treated as a particular
263 situation in the saturated case as above. The subsurface flow is calculated
264 from the density of discharge at saturation through the time interval, leaving
265 the other part of runoff as overland flow.

266

267 All equations for subsurface flow in a cell, which is the base of the distributed
268 modification for TOPMODEL, have now been derived, and they will be used
269 in the module for subsurface water behaviour and overland flow generation.

270 The root zone and unsaturated zone components from the original
271 TOPMODEL are still contained within the distributed TOPMODEL. However,
272 the unsaturated zone delay is very short in peat due to high wetness and
273 shallow water table, so the impact of the unsaturated zone delay is very
274 limited for upland peatlands studied in this paper.

275

276 ***2.2 Overland flow module in a distributed TOPMODEL***

277 The runoff delay in the original version of TOPMODEL was treated via a
278 lumped method using a constant general channel flow velocity and a
279 hillslope velocity. Field measurements in blanket peat have shown that
280 overland flow may be significantly slower than assumed in the original
281 TOPMODEL (Holden *et al.*, 2008), so that overland flow re-infiltrates
282 downslope after a significant time delay. This delayed input partially violates
283 the TOPMODEL assumption of spatially uniform rainfall input. Hence it is
284 required that a delay is formed from a more explicit overland flow routine.

285

286 In order to simulate the overland flow movement and the surface cover
287 impacts upon it, an overland flow module was developed, being a new
288 component of a distributed TOPMODEL. After determining the overland flow
289 volume from every cell in the model, the overland flow module controls the
290 computation of spread and concentration of overland flow and derives the
291 time consumed in this process. Routed overland flow water can then be re-
292 involved in the hydrological calculation in down-flow slope cells (i.e. re-

293 infiltration process), providing delayed local inputs to combine with
294 subsequent rainfall in a spatially variable pattern. In the representation of
295 overland flow, the time delay is calculated separately for each cell that
296 generates or receives surface flow, providing an interactive relationship
297 between subsurface flow and overland flow. The calculation unit is grid cell
298 in order to suit the general spatial pattern of the DEM data.

299

300 **2.2.1 Overland flow routing algorithm**

301 The main assignment of the overland flow routing algorithm is to provide an
302 overland flow distribution for every step of simulation and to support the
303 calculation of overland flow delay. The multiple-direction flow algorithm is
304 employed as a theoretical base to represent the overland flow routing
305 procedure in the overland flow module. This routing algorithm is a multiple
306 direction flow version of the D8 (deterministic eight node) algorithm
307 (O'Callaghan and Mark, 1984) which on its own allocates all flow to the grid
308 neighbouring cell with the steepest slope after considering the slopes to all
309 eight neighbouring cells. The multiple-direction flow algorithm, however,
310 which was firstly developed by Quinn *et al.* (1991), instead allows flow
311 dispersion in hillslope routing processes. The water in a cell is split to its
312 every lower neighbour cell and the fractions of water amount are determined
313 by slope weights. The fraction of flow given to the neighbour n is given by
314 Equation 22:

315

$$Fr_n = \frac{S_n}{\sum_{i=1}^8 S_i}$$

316

Equation 22

317 where n is from 1 to 8 representing the eight directions of eight cells S_n is
318 the gradient in direction n , and Fr_n is the flow fraction in direction n . The
319 DEM data should be modified in advance with a pond-filling process in which
320 the elevation of every cell with no lower neighbour cells is increased to the
321 average value of its neighbours' elevations, since the pond water is not the
322 issue this model wants to tackle. Meanwhile, a ranking procedure based on
323 elevation value in the modified DEM map is firstly needed for all cells in the
324 catchment. The Quick Sort Algorithm (Hoare, 1962; Sedgewick, 1978) is
325 used to sort the cells in decreasing order of elevation value as a preparation
326 before the entire hydrological calculation in the model. The routing algorithm
327 is used for every cell in a time step throughout the whole period of the
328 simulation. In each time step the model algorithm runs through every cell in

329 the area, beginning with the highest cell (i.e. the peak point in the
330 catchment) and ending with the lowest one (i.e. the outlet of the basin after
331 the pond filling). This sequencing is required to ensure that all overland flow
332 produced by higher cells has been included in the calculation for lower cells
333 in the catchment during the same time step.

334

335 Within each time step the calculation for an individual cell begins by applying
336 the rainfall, together with any overland flow from upslope, to estimate the
337 infiltration, overland flow production, subsurface flow and updated local
338 saturation deficit using a local solution to Equation 1. These processes
339 themselves do not require the algorithm which then routes the overland flow,
340 part of which may remain in the source cell and part distributed over cells
341 downslope.

342

343 Two methods of routing the overland flow have been conceptualised. In the
344 first the flow is repeatedly split between all adjacent downslope cells
345 according to the distribution between alternative flow directions, setting up a
346 chain reaction which is computationally inefficient to implement and difficult
347 to parameterise. Alternatively the overland flow generated in each source
348 cell is split into a number of parcels (50-100 or more), each a realisation of
349 the total overland flow which is then followed stochastically, using the flow
350 partitions (Equation 22) as the basis for selecting a path at random from cell
351 to cell. The velocity of each parcel is calculated from the overland flow depth
352 and the local gradient at each step of the flow path, using Manning's
353 equation (Equation 25). The velocity calculated in this way is then
354 interpreted as the probability that the path will terminate within the time step
355 in each cell traversed. When all parcels have been followed to the ends of
356 their respective paths, they are combined (and weighted) to give the
357 destination distribution for all the overland flow generated in the source cell
358 at the end of the time step.

359

360 The stop condition in the routing process for a single water parcel is also
361 probabilistic. At each step along the path of an overland flow parcel, the
362 velocity, v , is calculated from Equation 25, in which the depth is the depth of
363 flow generated in the source cell and the gradient is the local gradient
364 between successive cells on the flow path. It follows that, for this step, the
365 mean travel distance in a time step δt is $v\delta t$. Applying an exponential

366 distribution which is equivalent to assuming a constant probability of
367 stopping per unit distance, the probability of stopping within one cell, of
368 dimension δx (i.e. stopping within the current cell) may be written as $P = 1 -$
369 $\exp[-\delta x/(v\delta t)]$ and the outcome determined randomly. Water parcels after the
370 routing process from a cell can stop in many downslope cells which cover a
371 relatively extensive area with various flow path distances. Therefore, this
372 leads to a consecutive and smooth distribution of overland flow travel
373 distance for a cell, which helps to smooth out rapidly fluctuating runoff
374 concentrations downslope. This stochastic algorithm has been preferred to
375 the more complex chain reaction process, and implemented within the model
376 code. Fig. 2 provides an example of the distributions of overland flow parcels
377 from three individual source cells on hillslopes after a time step (6 min) in a
378 modelling run. The source cells of overland flow were selected to
379 demonstrate the algorithm, and overland flow from each source cell is
380 separated into 10000 water parcels, each of which go through the algorithm
381 to determine a location after the current time step in the model. For the cell
382 on the top or middle of hillslopes (e.g. a and c), most parcels stop on the
383 near downstream cells of the source cell after a time step even though there
384 is a wide spread of overland flow parcels. This is because overland flow
385 velocity in these locations is quite slow. It should be noted that the green
386 cells in the figures only represent one to ten parcels (i.e. the magnitude of
387 0.01% - 0.1% of all overland flow from the source cell) so some individual
388 parcels moving to very far downstream positions from the sources can only
389 represent small probability events due to the stochastic algorithm of overland
390 flow routing. The overland flow parcels from the source cell at the bottom of
391 hillslopes (e.g. b) concentrate on cells further from the source cells after a
392 time step, as the flow paths of these parcels are at the bottom of hillslopes
393 and in river channels in which the overland flow velocity is much higher than
394 that on top and middle of hillslopes.

395

396 After running through all cells (from high to low) in the catchment, the
397 overland flow in the outlet cell is the overland flow output of the catchment in
398 the current time step. This flow includes overland flow produced in current
399 time steps in the area near the outlet and in former steps at longer distances
400 away from it. Overland flow in the hillslope cells can lead to overland flow
401 output or be part of subsurface flow output due to re-infiltration.

402

403 **2.2.2 Time delay process and its equations**

404 The time delay for water movement on hillslopes is generated by the down-
405 flow accumulation of cell to cell delays, estimated from the velocity variations
406 induced by acceleration and friction, which are themselves driven by
407 topographic factors and land surface features. The equations for delay time
408 of overland flow (or the equations for velocity of overland flow) should
409 therefore be related to surface gradient, flow depth, and land surface cover.
410 The Darcy-Weisbach equation (as Equation 23) can be utilized as an
411 expression of land surface resistance to overland flow, which provides a
412 theoretically-based way to build relationships among overland flow velocity,
413 gradient, flow depth and the friction factor in upland peatlands backed up by
414 empirical observations (Holden *et al.*, 2008):

415
$$v^2 = \frac{8g}{f} dS$$

416 Equation 23

417 where S is the surface slope and, v is the mean velocity of overland flow, d
418 is overland flow depth, g is gravitational acceleration, and f is the
419 dimensionless friction factor. f can be related to the ratio of water depth, d to
420 an effective roughness diameter, k , which can be described by an empirical
421 equation (Equation 24):

422
$$\frac{1}{\sqrt{f}} = A + 1.77 \ln\left(\frac{d}{k}\right)$$

423 Equation 24

424 where A is an empirically defined constant.

425

426 Combining Equation 23 and Equation 24, overland flow velocity will be
427 related to flow depth and slope gradient with a couple of constants but the
428 expression may be complex. From the work of Holden *et al.* (2008), when
429 we have $10 < d/k < 10000$ there is a relationship of $f^{-0.5} \sim (d/k)^{1/6}$ which is
430 consistent with Manning's equation. Thus we can simplify to:

431
$$v = k_v \cdot d^{2/3} \cdot S^{1/2}$$

432 Equation 25

433 where k_v is a suitable constant based on Equation 23 and Equation 24. This
434 is a succinct form of a velocity calculation in which water depth will be
435 obtained in every cell at every time step during model runs. Gradient can be

436 gained through an analysis of elevation data before the hydrological
437 simulation.

438

439 The algorithms describing overland flow movement have been presented in
440 this section. The new model therefore has the ability to represent land-cover
441 change impacts on overland flow in a fully distributed fashion. We now test
442 the model for an upland peatland.

443

444 ***3 Study site***

445 The Trout Beck catchment (54°41' N, 2°23' W) is situated at Moor House
446 National Nature Reserve (also a World Biosphere Reserve) in the North
447 Pennine region of northern England, covering an area of 11.4 km² (see Fig.
448 3). It is one of the headwaters of the River Tees, with an elevation ranging
449 from 842 m to 533 m AOD. Hourly river flow and weather data from 1993 to
450 2009 was obtained for the site. Around 90% of the catchment is covered by
451 blanket peat with a typical depth of 1-2 m (Evans *et al.*, 1999). The peat
452 suffered widespread erosion in the 1950s, but large areas have re-vegetated
453 with *Sphagnum* and *Eriophorum* since then (Grayson *et al.*, 2010). A
454 *Calluna-Eriophorum* association dominates the vegetation cover of the
455 catchment, and in areas above 630 m AOD, *Eriophorum* alone becomes
456 dominant (Evans *et al.*, 1999).

457

458 The climate of the catchment is classified as sub-arctic oceanic (Manley,
459 1942), with an annual average temperature (1931–2006) of 5.3 °C (Holden
460 and Rose, 2011), and a mean annual rainfall of 2012 mm (records from
461 1951 to 1980 and 1991 to 2006) (Holden and Rose, 2011). 43% of the
462 annual precipitation falls between April to September (Grayson *et al.*, 2010).

463

464 ***4 Model calibration and validation***

465 ***4.1 Method and model setting***

466 The GLUE method of Beven and Binley (1992) rejects the concept of an
467 optimum or best parameter set for a system, and all parameter sets are
468 assumed to have an equal likelihood of being acceptable estimators of the

469 system. The existence of multiple behavioural parameter sets is a generic
470 modelling problem in the face of uncertainty (Cameron *et al.*, 1999). From a
471 specified parameter space, many parameter sets are picked using Monte
472 Carlo simulation, evaluated by likelihood measures and some are rejected
473 as non-behavioural after the assessment. This framework is now widely
474 used to estimate uncertainty and evaluate results in hydrological modelling
475 (e.g. Freer *et al.*, 1996; Aronica *et al.*, 2002).

476

477 For the distributed TOPMODEL, the number of simulation runs for calibration
478 and validation must be limited, because the distributed model can have a
479 long run time. Thus, the three crucial parameters of m , K , and k_v in the
480 distributed TOPMODEL were only taken into account in the test process. m
481 is the active depth for subsurface flow; K is a 'notional' hydraulic conductivity
482 of soil in the model ($K \times m$ is the transmissivity); k_v is the velocity parameter
483 of overland flow. Due to the shortage of field observations of these
484 parameters, they were assumed to be homogeneous throughout the
485 catchment for the purposes of the test.

486

487 The experience from other TOPMODEL applications (Beven, 1997; Kirkby,
488 1997) can be used to narrow the parameter space and so restrict the
489 number of calibration runs needed. In order to avoid uneven distribution of
490 parameter sets in parameter space caused by such a limited number of
491 runs, the parameter sets were scanned systematically in the parameter
492 space (as shown in Table 1), giving 90 sets of parameters for calibration.

493

494 Comparing the simulated hydrographs with the observed one, the Nash-
495 Sutcliffe efficiency (the measure of likelihood) of each simulation result was
496 calculated. The top 20% of simulated hydrographs with the highest efficiency
497 are then used to compose an envelope band of hydrographs which is
498 compared to the observed runoff through the calibration period. These top
499 20% parameter sets were picked to run the model through the validation
500 period. The same top 20% of parameter sets were then used to created
501 envelope bands of the validation storm and compared to the observed
502 hydrograph of the validation period.

503

504 To avoid freezing and melting problems and the lower reliability of winter
505 precipitation records due to snowfall, rainfall events for model calibration and
506 validation were selected from summer-half years (from 1993 to 2009). Fig. 4
507 summarises the yearly maximum hourly summer rainfall. A one-week period
508 commencing from 16th August 2004 (105 mm total rainfall) has been chosen
509 as a suitable period for calibration. It includes a storm event with 19.4 mm
510 precipitation in one hour which represents an approximately 10-year return
511 period estimated from the empirical frequency of events (Fig. 4). Another wet
512 week near to the calibration period is selected as the validation period
513 commencing from 8th August 2004 (128 mm total rainfall). The Penman-
514 Monteith equation is employed to estimate potential evapotranspiration
515 during the calibration and validation periods. It is assumed that actual
516 evapotranspiration is equal to potential evapotranspiration, as peat soil is
517 very wet and there is plentiful water for evapotranspiration in the catchment.

518

519 ***4.2 Results of calibration and validation***

520 For the flow calibration, the Nash-Sutcliffe efficiency for each simulation run
521 was computed to measure the likelihood, and the top 20% hydrograph band
522 is plotted in Fig. 5. The top 20% of parameter sets in the calibration were run
523 in the model during the validation period and the band of resulting
524 hydrographs is illustrated in Fig. 6. The two hydrograph bands of calibration
525 and validation span most of the observed hydrographs in the two periods.
526 The Nash-Sutcliffe efficiency for the single best-fitted hydrograph, the upper
527 boundary of the band, and the lower boundary of the band were calculated
528 to represent the model performance during both the calibration and
529 validation periods (Table 2). The model performance was satisfactory since
530 the Nash-Sutcliffe efficiency of the top 20% simulations in the calibration is
531 over 0.78, and that in validation is more than 0.64. This test result
532 demonstrates that the distributed TOPMODEL can simulate runoff well for
533 the Trout Beck catchment.

534

535 ***4.3 Comparison of the distributed TOPMODEL and the original*** 536 ***TOPMODEL***

537 To compare the distributed TOPMODEL to the original TOPMODEL, the
538 same modified GLUE procedure was applied to the Trout Beck catchment
539 data with the same storm events for calibration and validation, applying the
540 original version of TOPMODEL. The physical means of m and K were the

541 same as those in the distributed TOPMODEL. V is the uniform velocity of
542 runoff. All three parameters are homogenous for the catchment due to the
543 lumped configuration of the original TOPMODEL. Parameter ranges of m
544 and K are kept from the test in the distributed TOPMODEL, and Table 3
545 shows the parameter space for the original TOPMODEL, in which there are
546 90 parameter sets.

547

548 The hydrograph band constituted from the top 20% efficiency results for the
549 calibration runs is illustrated in Fig. 7. Using these top 20% parameter sets
550 the hydrograph band was produced from validation runs (Fig. 8) and Table 4
551 shows the Nash-Sutcliffe efficiency of the hydrograph bands in the
552 calibration and validation.

553 Comparing the test results of the distributed TOPMODEL and the original
554 TOPMODEL, the calibration hydrograph bands are quite similar for the two
555 models. The Nash-Sutcliffe efficiency of the highest fitted hydrograph and
556 the upper boundary in the results of the original TOPMODEL is slightly better
557 than the distributed model. However, for the validation results, the
558 hydrograph band of the distributed TOPMODEL envelopes more parts of the
559 observed hydrograph. The Nash-Sutcliffe efficiency of all three
560 representative curves for the band of the distributed TOPMODEL is distinctly
561 better than that for the original TOPMODEL. The two envelopes in the
562 calibration and validation periods for the distributed TOPMODEL bracket
563 50.0% and 68.5% of the observations respectively (Fig. 5 and Fig. 6), and
564 for the original TOPMODEL they are 37.6% and 42.8% (Fig. 7 and Fig. 8).
565 This comparison implies that the new distributed TOPMODEL performed
566 better than the original version in this catchment, and the distributed
567 configuration and the new overland flow module seem to improve the
568 model's ability to predict river flow. Clearly this is in addition to the benefits
569 developed including the spatial distribution and the fact that users of the new
570 model can also determine overland flow volumes and velocities across any
571 point in the catchment for each time step used.

572

573 However, the cost of the distributed model is time of model runs. The
574 simulation of the distributed TOPMODEL takes about 20 min per run (a
575 simulation week) using an Intel i7 Processor (4 core 2.0 GHz), while the
576 original one takes less than 2 seconds for a run. In the distributed
577 TOPMODEL, actual running time consumed for an individual modelling time

578 step mainly depends on the overland flow contributing area which is related
579 to the rainfall amount in the current time step and the overland flow
580 contributing area formed in the previous time steps. A larger contributing
581 area means that more cells are under calculation for the overland flow
582 routing and re-infiltration in the overland flow module, and that, for an
583 individual cell in the contributing area, the overland flow route has a greater
584 chance of being extended. These distributed overland flow calculations are
585 more time-consuming than the subsurface flow calculation.

586

587 In terms of the key parameters used in the two models, the transmissivity
588 (T_0) has a large difference between models. The range of transmissivity in
589 the original TOPMODEL is $100 - 300 \text{ m}^2 \text{ hr}^{-1}$ while it is $0.3 - 5.4 \text{ m}^2 \text{ hr}^{-1}$ in
590 the distributed TOPMODEL. As indicated by Wigmosta and Lettenmaier
591 (1999), effective transmissivity values predicted by the original TOPMODEL
592 are higher than the simulated result given by a subsurface flow kinematic
593 wave solution. On the other hand, higher transmissivity leads to more
594 subsurface flow. Around 80% of runoff is subsurface flow in the calibration
595 period in the simulations of the original TOPMODEL, and this proportion of
596 subsurface flow seems to be too high for blanket peatland catchments, in
597 which peak runoff should be dominated by surface or very near-surface flow
598 (Holden and Burt, 2002). However, this situation is different for the
599 distributed TOPMODEL. Only about 20% of runoff is subsurface flow during
600 the calibration period in the simulations of the distributed TOPMODEL. Thus,
601 it may be inferred that the development of the distributed TOPMODEL
602 improves the physical meanings of the model with respect to blanket peat
603 headwaters.

604

605 A scenario was employed to demonstrate the difference between simulated
606 soil moisture deficit for the two models. The example shown in Fig. 9 was
607 run for 100 time steps with a rainfall input which consists of a 1-hour storm
608 with 20 mm hr^{-1} rate at time step 11. A parameter set having high efficiency
609 (over 0.8) in the calibration and validation was chosen to run the distributed
610 TOPMODEL and the same values of K (hydraulic conductivity) and m
611 (scaling parameter) were also used in the original TOPMODEL for
612 comparison. The overland flow velocity parameter (V) of the original
613 TOPMODEL was optimized to match the resulting hydrograph with that of
614 the distributed TOPMODEL. The simulation runs of the two models start with
615 a same outlet flow value from which the moisture deficit distribution at the

616 first modelling time step is derived for both runs of the two models. Fig. 9
617 shows the resulting hydrographs of the two models for this scenario.

618

619 At the time step 10 (just before the rainfall input), the values of soil moisture
620 deficit and their spatial distributions for the two models are quite similar (as
621 shown in Fig. 10 (a) and (d)). This is because both of the models applied the
622 same mechanism of subsurface flow simulation even though the distributed
623 structure is adopted in the new model. At the time step just after the rainfall
624 event (time step 12), the soil moisture deficit within the simulation of the
625 distributed TOPMODEL is lower than that within the original TOPMODEL
626 (see Fig. 10 (b) and (e)). Hence there are wetter hillslopes in the distributed
627 TOPMODEL than those in the original one due to the re-infiltration
628 mechanism. After rainfall, the soil moisture deficit of the two simulations both
629 decrease step by step after the storm. Fig. 10 (c) and (f) illustrate that the
630 moisture deficit of the distributed model simulation is still larger than that
631 within the original model at time step 40 but the difference between them is
632 less than that in the earlier time step just after the rainfall.

633

634 From the above scenario modelling, we can show that re-infiltration
635 mechanisms in the distributed TOPMODEL decrease soil moisture deficit
636 after storm events as more surface water infiltrates into soil during the
637 process of overland flow movement on hillslopes. At the same time, overland
638 flow delay on hillslopes in the model provides more 'opportunities' to
639 overland flow for re-infiltration. These changes in the distributed
640 TOPMODEL should result in improvements to peak flow modelling. Güntner
641 *et al.* (1999), compared simulated runoff components from the original
642 TOPMODEL and those derived from hydrograph separation by tracer
643 investigations and field observations during two storm events in a German
644 mountainous forested basin (Brugga basin, 40 km²). The modelled
645 saturation-excess overland flow reached peaks earlier than the real
646 saturation-excess overland flow, and the peak contributions of simulated
647 saturation-excess overland flow were larger than those of measured event
648 water. Their work highlighted a deficiency of the original TOPMODEL but our
649 distributed version of TOPMODEL makes significant improvements through
650 the overland flow delay and re-infiltration mechanisms. The overland flow
651 routing process in the new model provides a reasonable delay of overland
652 flow movement on hillslopes and makes a part of saturation-excess overland
653 flow contribute to the recession part of peak hydrographs. This accords with

654 the findings of Güntner *et al.* (1999), in which the exchange between surface
655 and subsurface water and the flow source and path was recommended for
656 future catchment hydrological modelling.

657

658 ***5 Model sensitivity to land-cover change and future*** 659 ***applications***

660 The new model was designed to describe the land cover impact on overland
661 flow movement, so it should be sensitive to varying land cover types. Three
662 scenarios, representing bare peat, *Eriophorum* cover and *Sphagnum* cover
663 (which are three typical land cover types in the Trout Beck catchment)
664 respectively covering the whole catchment, were performed as preliminary
665 test of the model sensitivity to land-cover change. The parameter set used in
666 the *Eriophorum* scenario was picked up in the calibration and validation
667 procedure (the efficiency of the set is over 0.8), in which the land cover was
668 assumed to be uniform over the catchment, as the Trout Beck catchment is
669 primarily dominated by *Eriophorum* (Evans *et al.*, 1999). The other two
670 scenarios used the same parameters in the set except for the overland flow
671 velocity parameter. Using the empirical research of Holden *et al.* (2008) the
672 overland flow velocity parameter in bare peat is five times greater than for
673 *Eriophorum*, while the overland flow velocity parameter in *Sphagnum* is half
674 that of *Eriophorum*. A one hour rainfall pulse with a uniform rate of 20 mm hr⁻¹
675 (i.e. 2 mm per 6-min), which is similar to the one in 10-year summer rainfall
676 event (Fig. 4) was the precipitation input in model runs used for the
677 sensitivity test. The modelling time step was set as 0.1 hr (6 min) to examine
678 differences in flow peak time and magnitude between cover type scenarios.

679

680 The outlet hydrographs of the three scenarios are illustrated in Fig. 11,
681 indicating that there are large differences among the results. If we
682 considered the *Eriophorum* scenario as a standard, the bare peat scenario
683 produces a 46.3 % higher and a 5-step earlier peak than the *Eriophorum*
684 scenario while the *Sphagnum* one gives a 40.3 % lower and 6-step later
685 peak than the standard one. This implies that the model is sensitive to the
686 overland flow velocity parameter which is associated with land cover type.

687

688 **6 Conclusions**

689 This paper has developed novel work to transform the traditional
690 TOPMODEL into a distributed differential model, retaining classical key
691 ideas on runoff production but focusing on land-cover change impacts on
692 overland flow velocities leading to river flow hydrographs. The new model is
693 totally distributed with a computational unit of a grid cell. In the new
694 distributed model, the impacts of land-cover change on in-situ water
695 movement and downstream river flow can both be represented and
696 simulated by this model improvement. At the same time, the distributed
697 model has another crucial advantage in that it can represent the spatial
698 variability of precipitation for rainfall-runoff simulations. The spatial variability
699 of rainfall can greatly affect the timing and shape of peak flow hydrographs
700 (Wilson *et al.*, 1979; Syed *et al.*, 2003), no matter which scale of catchment
701 is being investigated (e.g. 4-5 ha. Faures *et al.*, 1995). On the other hand,
702 rainfall variability in space can also produce problems in calibrating
703 hydrological models (Arnaud *et al.*, 2002). Distributed hydrological models
704 allow the distribution pattern of rainfall input to be provided in the model.
705 This means every cell can be assigned rainfall inputs for every time step,
706 which is a disaggregated way to describe the spatial and temporal variability
707 of rainfall. Thus, the model can utilise more accurate precipitation data (e.g.
708 from rainfall radar) to decrease the negative influence of rainfall spatial
709 variability on flow modelling. Of course the availability of distributed rainfall
710 data is a practical problem for many upland sites but it is thought that such
711 data availability will improve over time and thus the model is ready and fit-
712 for-purpose for future flow modelling in upland systems.

713

714 A new module, with a series of distributed algorithms representing water
715 routing and velocity, models the movement of overland flow and the surface
716 cover impact on overland flow. After the overland flow routing process, a
717 water parcel stops at a downstream cell in which it is treated as input water
718 for the cell and may infiltrate into the soil to contribute to subsurface flow
719 produced in this cell or it may add to further overland flow production
720 associated with changes in flow depth for the cell for the given time step.
721 This mechanism reflects the real process of overland flow generation on
722 hillslopes and may be influenced by land cover. Land-cover change
723 decreases or increases transportation time for overland flow and thus
724 decreases or increases the opportunity for the infiltration of overland flow.
725 This interactive hillslope overland flow-infiltration mechanism represented in

726 the distributed TOPMODEL is rarely considered in catchment hydrological
727 models. A similar mechanism can only be found in a few hydrological
728 modelling studies such as the work of Wang *et al.* (2011) by which the
729 mechanism was used in the model for rainfall-runoff simulations for a macro-
730 scale ($> 10000 \text{ km}^2$) catchment with a resolution of 1-km grid cells or rather
731 larger than the hillslope scale overland flow process. At the same time as
732 providing this significant new advance which could have wide applicability,
733 there is only one key parameter (k_v the parameter of overland flow velocity)
734 which has been added for overland flow compared to the constant overland
735 flow velocity parameter in the original TOPMODEL, limiting the possibilities
736 of over-parameterization (Perrin *et al.*, 2001). The small number of
737 parameters required to run the model is an obvious benefit for the
738 application of the model, and makes it easier to calibrate and validate in
739 practice.

740

741 The model was found to be very sensitive to land cover type. Therefore it
742 can be used in future studies which test different spatially-distributed
743 scenarios of land-cover change which upland peatland managers are
744 concerned about. These concerns may include removal of vegetation (e.g.
745 by erosion processes, pollution, overgrazing) or good revegetation of peat
746 with sedges such as *Eriophorum* or mosses such as *Sphagnum*. It should be
747 possible to conduct experiments with the model to test for optimum
748 locations, concentrations and sizes of land-cover change in order to reduce
749 flood peaks.

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754

755 ***References***

756

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- 915
- 916

917 **Tables**

918

919 Table 1. Parameter space for the model calibration.

Parameter	Parameter ranges		
	Lower value	Upper value	Increment
m (m)	0.003	0.018	0.003
k_v	10	50	10
K (m hr ⁻¹)	100	300	100

920

921

922 Table 2. Nash-Sutcliffe efficiency of the hydrograph band in the calibration
923 and validation

Hydrograph	Calibration efficiency	Validation efficiency
Highest fitted hydrograph	0.851	0.833
Upper boundary	0.833	0.778
Lower boundary	0.785	0.644

924

925

926 Table 3. Parameter space for the calibration of the original TOPMODEL.

Parameter	Parameter ranges		
	Lower value	Upper value	Increment
m (m)	0.003	0.018	0.003
V (m hr ⁻¹)	800	1600	200
T_o (m ² hr ⁻¹)	100	300	100

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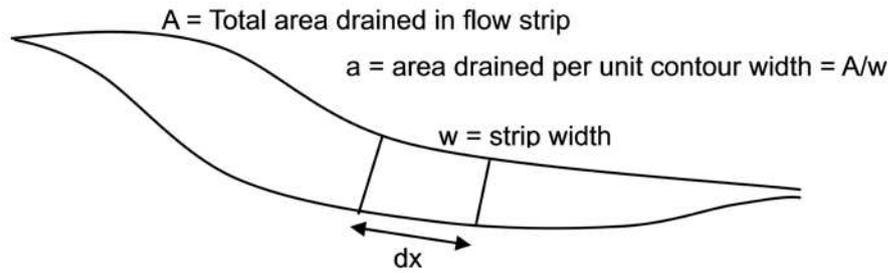
929

930 Table 4. Nash-Sutcliffe efficiency of the hydrograph band in the calibration
931 and validation for the original TOPMODEL.

Hydrograph	Calibration efficiency	Validation efficiency
Highest fitted hydrograph	0.860	0.797
Upper boundary	0.853	0.772
Lower boundary	0.683	0.533

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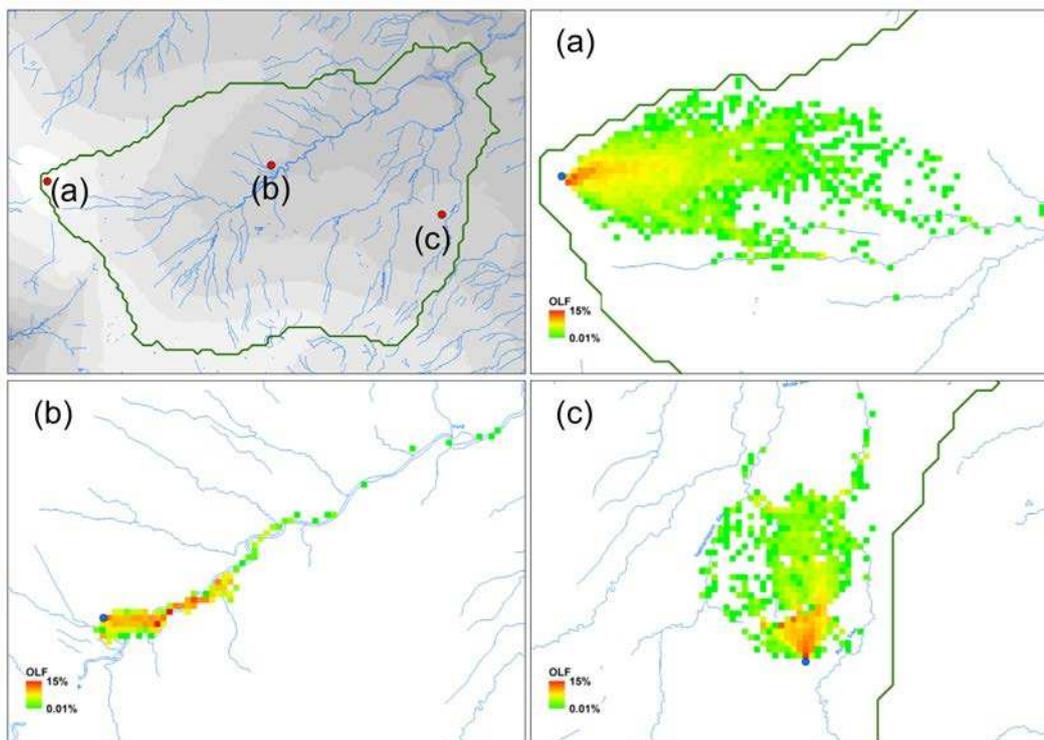
933 **Figure list**



934

935 Fig. 1. Definition sketch for flow strip (after Kirkby, 1997). x is horizontal
936 distance.

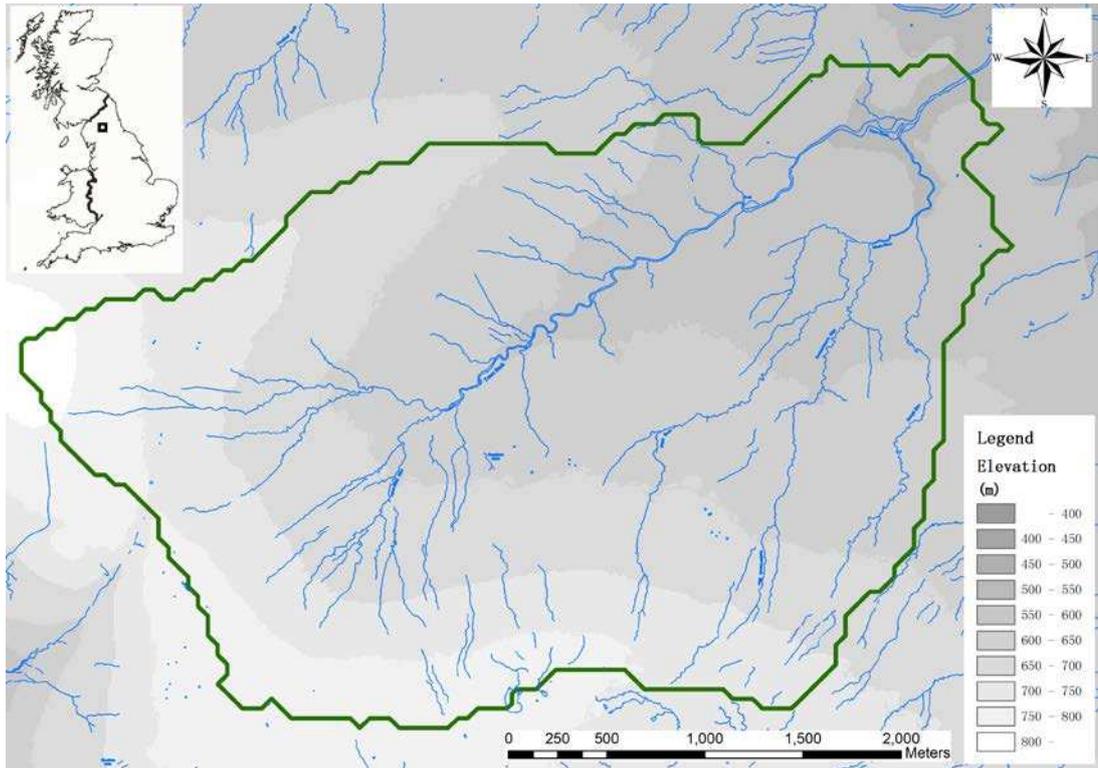
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938

939 Fig. 2. The distributions of water parcels from three individual source cells
940 (a, b and c) on hillslopes after a time step. (The scale of overland flow
941 percentage in the legend is logarithmic).

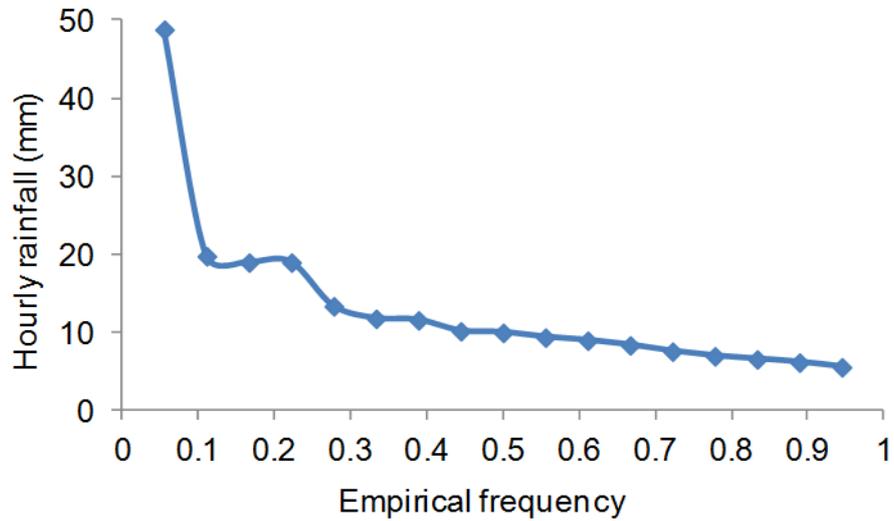
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944 Fig. 3. Location and map of the Trout Beck catchment.

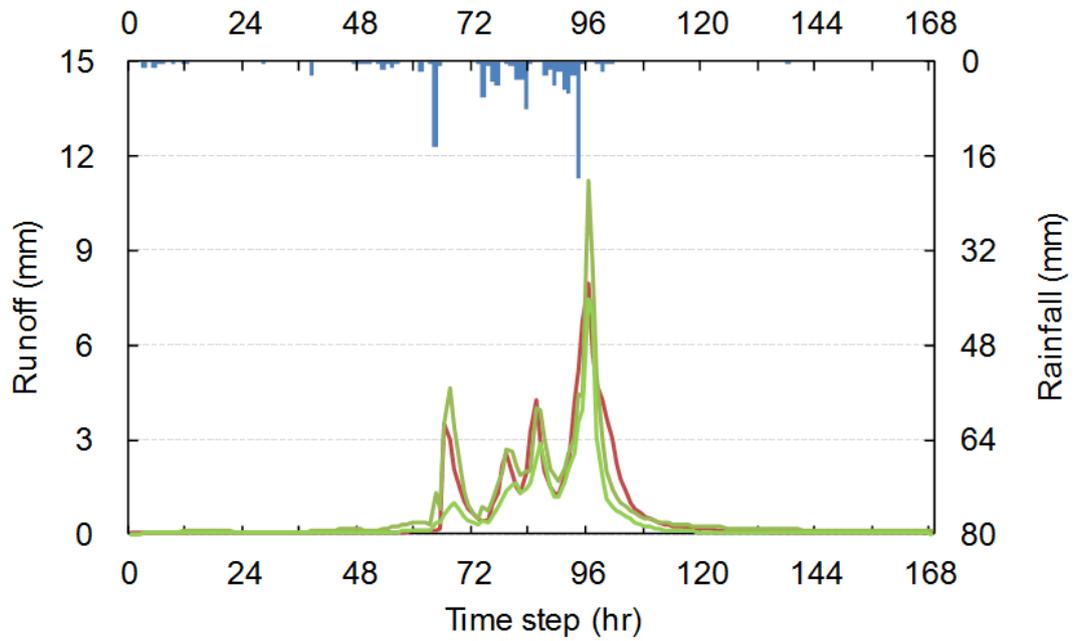
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946

947 Fig. 4. Observed frequency of hourly rainfall intensities of yearly maximum
948 from 1993 to 2009.

949

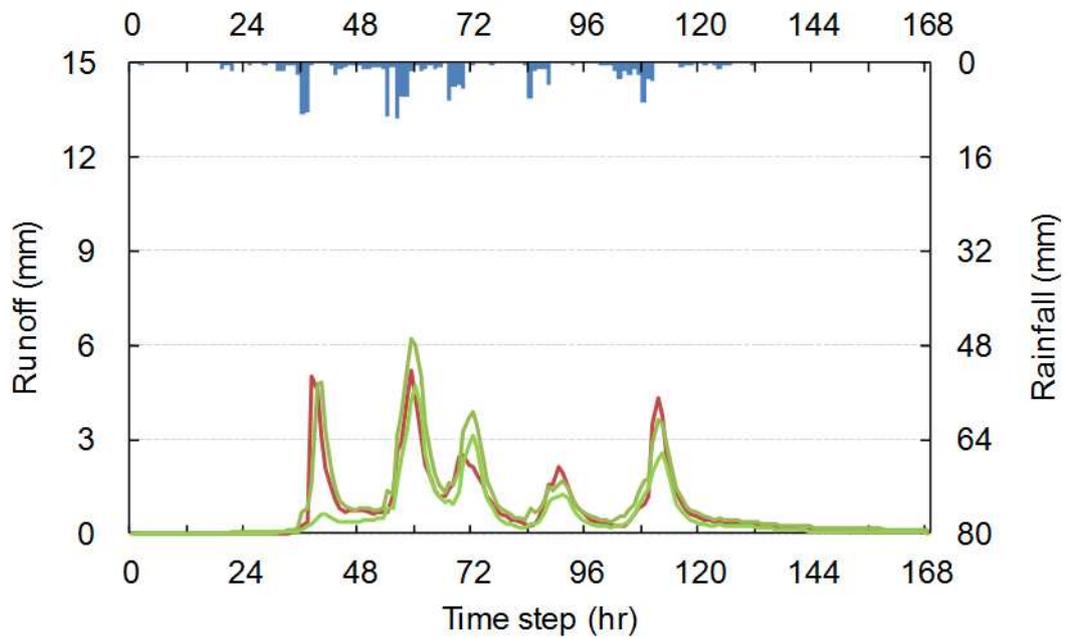


■ Rainfall — Observed runoff — Boundary of hydrograph envelope

950

951 Fig. 5. Comparison of the observed runoff and the top 20% simulation
952 hydrograph band in the calibration period.

953

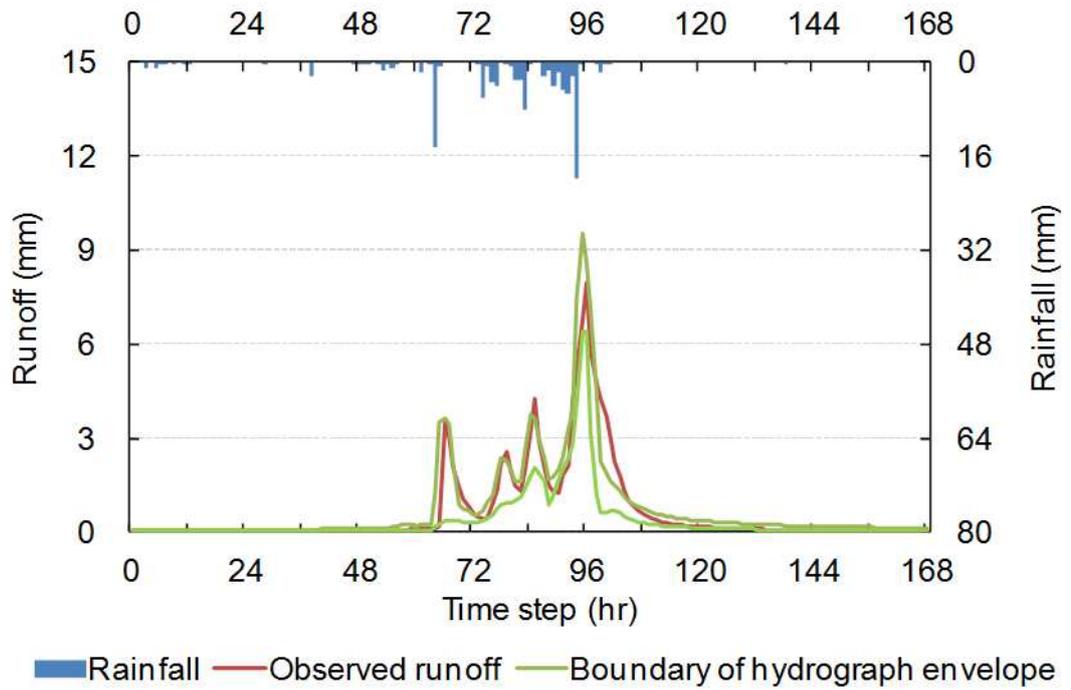


■ Rainfall — Observed runoff — Boundary of hydrograph envelope

954

955 Fig. 6. Comparison of the observed runoff and the hydrograph band in the
956 validation period.

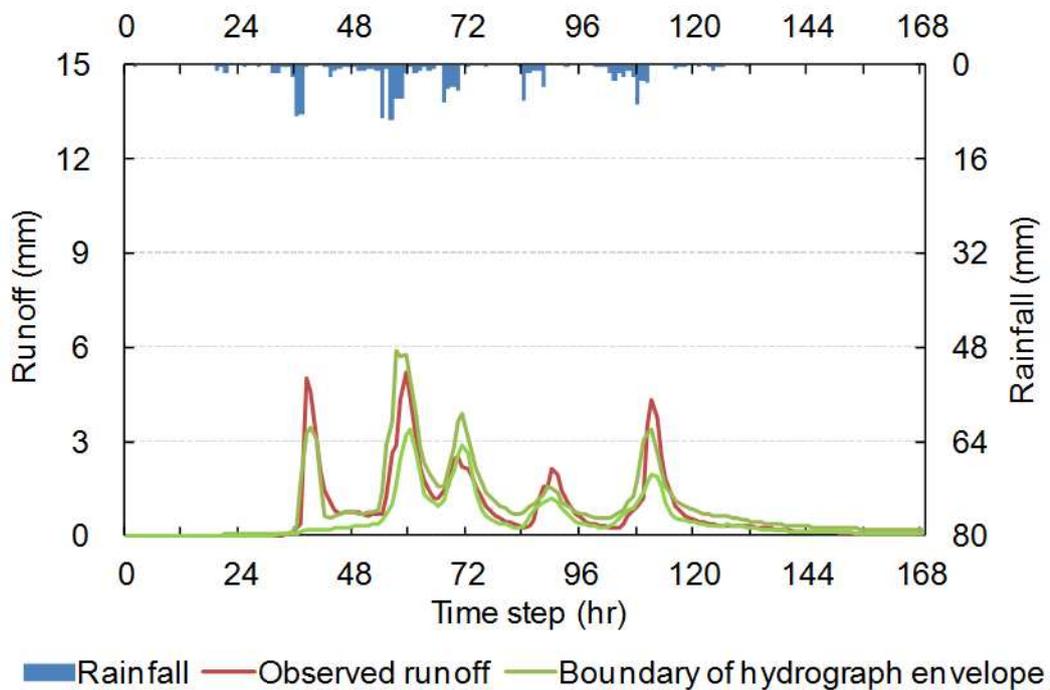
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959 Fig. 7. Comparison of the observed runoff and the top 20% simulation
960 hydrograph band in calibration period for the original TOPMODEL.

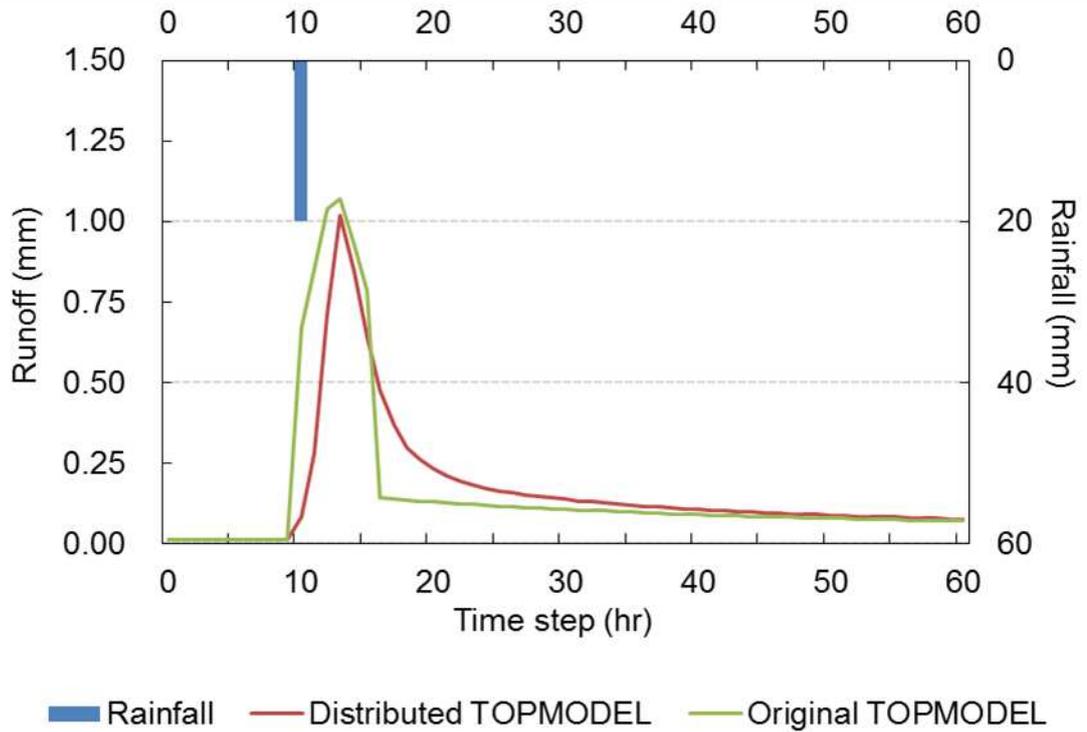
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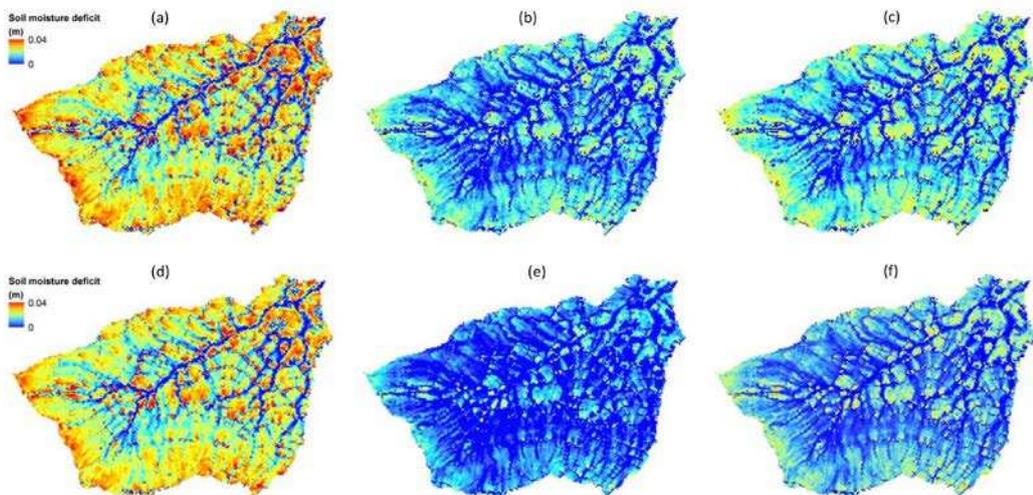
963 Fig. 8. Comparison of the observed runoff and the hydrograph band in
964 validation period for the original TOPMODEL.

965



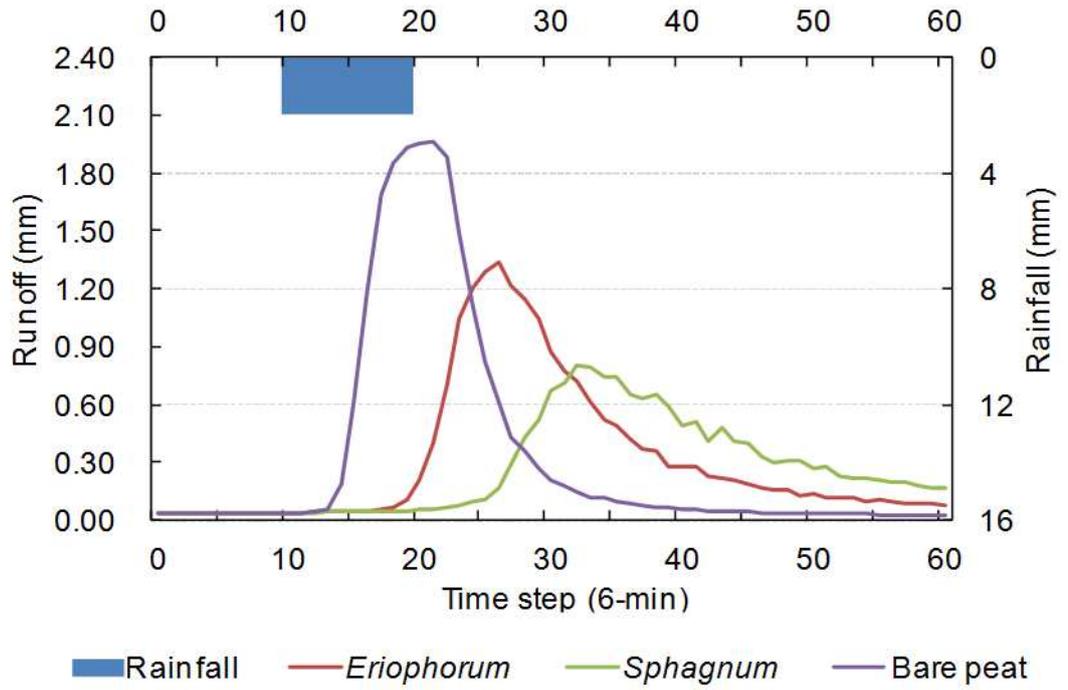
966

967 Fig. 9. Hydrographs of the scenario of soil moisture deficit comparison for the
968 distributed TOPMODEL and the original TOPMODEL.



969

970 Fig. 10. Distribution of soil moisture deficit in the scenario modelling; (a), (b)
971 and (c) represent the simulated distribution of soil moisture deficit at
972 time step 10, 12 and 40 respectively from the original TOPMODEL,
973 while (d), (e) and (f) represent the simulated distribution of soil moisture
974 deficit at time step 10, 12 and 40 respectively from the distributed
975 TOPMODEL.



976

977 Fig. 11. Modelling responses of three typical vegetation covers under a 1-
978 hour 20-mm rainfall event for the test of model sensitivity.