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Constraining the N₂O₅ UV absorption cross section from spectroscopic trace gas measurements in the tropical mid-stratosphere

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Abstract. The absorption cross section of N_2O_5 , $\sigma_{N_2O_5}(\lambda, T)$, which is known from laboratory measurements with the uncertainty of a factor of 2 (Table 4-2 in (Jet Propulsion Laboratory) JPL-2011; the spread in laboratory data, however, points to an uncertainty in the range of 25 to 30%, Sander et al., 2011), was investigated by balloon-borne observations of the relevant trace gases in the tropical mid-stratosphere. The method relies on the observation of the diurnal variation of NO2 by limb scanning DOAS (differential optical absorption spectroscopy) measurements (Weidner et al., 2005; Kritten et al., 2010), supported by detailed photochemical modelling of NO_v $(NO_x (= NO + NO_2) + NO_3 + 2N_2O_5 + ClONO_2 + HO_2NO_2)$ + BrONO₂ + HNO₃) photochemistry and a non-linear least square fitting of the model result to the NO₂ observations. Simulations are initialised with O₃ measured by direct sun observations, the NOv partitioning from MIPAS-B (Michelson Interferometer for Passive Atmospheric Sounding -Balloon-borne version) observations in similar air masses at night-time, and all other relevant species from simulations of the SLIMCAT (Single Layer Isentropic Model of Chemistry And Transport) chemical transport model (CTM). Best agreement between the simulated and observed diurnal increase of NO₂ is found if the $\sigma_{N_2O_5}(\lambda, T)$ is scaled by

a factor of 1.6 ± 0.8 in the UV-C (200–260 nm) and by a factor of 0.9 ± 0.26 in the UV-B/A (260–350 nm), compared to current recommendations. As a consequence, at 30 km altitude, the N₂O₅ lifetime against photolysis becomes a factor of 0.77 shorter at solar zenith angle (SZA) of 30° than using the recommended $\sigma_{N_2O_5}(\lambda, T)$, and stays more or less constant at SZAs of 60°. Our scaled N₂O₅ photolysis frequency slightly reduces the lifetime (0.2–0.6%) of ozone in the tropical mid- and upper stratosphere, but not to an extent to be important for global ozone.

1 Introduction

The NO_x ozone loss cycle dominates catalytic ozone loss in the mid-stratosphere (25–45 km) and the ozone production via coupling with the HOx cycle below about 20 km (e.g. Brasseur and Solomon, 2005). In the future it is predicted to increase further in relevance, since the emissions of its major precursor N₂O are increasing, while emissions of other ozone-depleting substances (ODS) are decreasing (Ravishankara et al., 2009). Signs that this is already happening come from the recent analysis of ozone vertical profile time series from the SCanning Imaging Absorption spectroMeter

for Atmospheric CHartographY (SCIAMACHY) limb measurements (Gebhardt et al., 2013), since they show a negative trend of ozone in the tropics above 30 km and a positive trend below. The explanation most favoured by the authors is due to NO_x photochemistry, which catalytically destroys stratospheric ozone (e.g. Crutzen, 1970; Johnston, 1971) (see below).

A second motivation to study the photolysis frequency of N₂O₅ comes through the finding of often overpredicted N₂O₅, most strikingly found in comparison studies of MI-PAS/Envisat (environmental satellite) N₂O₅ data with predictions of a suite of photochemical transport models for the lower and middle stratosphere (p > 10 mbar) (e.g. Brühl et al., 2007; Funke et al., 2011). Unpublished SLIMCAT (Single Layer Isentropic Model of Chemistry And Transport) sensitivity runs are indicating that a more rapid photolysis of N₂O₅ (up to factor of 2) than supported by $\sigma_{N_2O_5}(\lambda, T)$ from (Jet Propulsion Laboratory) JPL-2011 (Sander et al., 2011) would largely help to close this gap.

In the context of ozone loss, most crucial is how fast major night-time NO_y species (e.g. HNO_3 , N_2O_5 , and $CIONO_2$) are recycled into ozone destroying NO_x at daytime. Catalytic ozone destruction by the NO_x photochemistry occurs through the following reactions.

$$NO + O_3 \rightarrow NO_2 + O_2 \tag{R1}$$

$$O + NO_2 \rightarrow NO + O_2 \tag{R2}$$

$$net: O + O_3 \to 2O_2 \tag{R3}$$

The primary source of stratospheric NO_x is the photolytic destruction of N_2O (Reactions R4, R5, and R6).

$$N_2O + O(^1D) \rightarrow 2 \cdot NO$$
 (R4)

$$\rightarrow N_2 + O_2 \tag{R5}$$

$$N_2O + h\nu \to N_2 + O(^1D)$$
 (R6)

 N_2O is mostly emitted by biological and combustion processes at the surface, but their N_2O emissions are not regulated by the Montreal Protocol (e.g. IPCC, 2007; WMO, 2011). Accordingly, atmospheric N_2O concentrations are presently increasing with a rate of 0.75 ppb per year (Park et al., 2012; WMO Press Release, 2013).

Four major processes determine the effect of N_2O on stratospheric ozone:

- The competition of the N₂O destruction processes Reaction (R4) leading to NO_x production (about 7% for any N₂O destroyed) and Reactions (R5) and (R6) only destroying N₂O without producing NO_x.
- 2. The sink Reactions (R7) and (R8)

$$NO + h\nu \rightarrow N(^4S) + O$$
 (R7)

followed by

$$NO + N \to N_2 + O \tag{R8}$$

are known to destroy NO_x in the middle and upper stratosphere.

3. Further, it is well known that during daytime Reaction (R1) and the photolysis of NO₂

$$NO_2 + h\nu \rightarrow NO + O$$
 (R9)

readily establish a steady state between NO and NO_2 , thus determining the amount of NO_2 available for the odd-oxygen-destroying Reaction (R2).

4. The fourth major factor controlling the ozone depletion potential of NO_x , and thus of N_2O , are reactions leading to the so-called NO_y reservoir species ($NO_y = NO_x + NO_3 + 2N_2O_5 + ClONO_2 + HO_2NO_2 + BrONO_2$ + HNO_3) of which all except HNO_3 are important for the diurnal variation of NO_x , and thus NO_x -mediated ozone loss. Among them N_2O_5 is most important in the tropical mid-stratosphere (as shown in Fig. 1). First NO_3 has to be formed by the reaction

$$NO_2 + O_3 \rightarrow NO_3$$
 (R10)

then N_2O_5 can be formed by the termolecular reaction

$$NO_2 + NO_3 + M \rightarrow N_2O_5 + M \tag{R11}$$

and destroyed by thermal decomposition (Reaction R12), photolysis (Reaction R13) and the heterogeneous (Reaction R14), where aerosols are abundant, i.e. in the lower stratosphere (Brasseur and Solomon, 2005).

$$N_2O_5 + M \longrightarrow NO_2 + NO_3 + M$$
 (R12)

$$N_2O_5 + h\nu \rightarrow NO_2 + NO_3$$
 (R13)

$$N_2O_5 + H_2O_{aq} \rightarrow 2HNO_3$$
 (R14)

Reaction (R13) is particularly relevant for the daytime increase of NO_x in the tropical mid-stratosphere, since the product of photolysis rate $J_{N_2O_5}$ and concentration of N₂O₅ is the largest among the reservoir species under the given conditions (see Fig. 1). The $J_{N_2O_5}$ is determined by the actinic flux F(λ), the absorption cross section of $J_{N_2O_5}$, $\sigma_{N_2O_5}(\lambda, T)$ and the quantum yield for photo-dissociation $\phi(\lambda)$ into the channels:

$$N_2O_5 + h\nu \rightarrow NO_2 + NO_3$$
 (R15)

$$\rightarrow NO_3 + NO + O(^{3}P)$$
 (R16)

$$\rightarrow NO_3 + NO_2 *$$
 (R17)

(Brasseur and Solomon, 2005). The photolyis rate $J_{N_2O_5}$ in each channel is then given by

$$J_{N_2O_5} = \int F(\lambda) \cdot \sigma_{N_2O_5}(\lambda, T) \cdot \phi(\lambda) \cdot d\lambda.$$
 (1)

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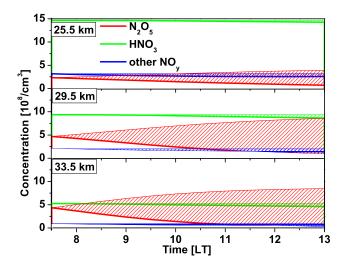


Figure 1. Temporal evolution of N_2O_5 (red), HNO₃ (green) and the sum of other NO_y species (ClONO₂ + HO₂NO₂ + BrONO₂, blue) for different altitudes of the tropical mid-stratosphere (5.1° S, 43.6° W). The lower boundary of the striped area denotes the temporal evolution of the particular gas, and the striped area shows the total amount of NO_x , which is released by photolysis from the considered NO_y species. Note that the release from N₂O₅ is double due to two N atoms.

The slightly temperature-dependent $\sigma_{N_2O_5}(\lambda, T)$ decreases from the extreme UV-C ($\lambda = 200 \text{ nm}$) to the visible spectral range ($\lambda = 400 \text{ nm}$) over 8 to 9 orders of magnitude (Fig. 2). On the other hand, the actinic flux $F(\lambda)$ strongly increases with wavelength resulting in two distinct maxima of the actinic spectrum in the mid- and upper stratosphere (i.e. in the UV-C and UV-B) (Fig. 3), of which the shape depends on the solar zenith angle (SZA). The short-wavelength maximum of the actinic spectrum is located around $\lambda = 220 \text{ nm}$ and the long-wavelength maximum between $\lambda = 280 \text{ and } 320 \text{ nm}$.

In the laboratory, $\sigma_{N_2O_5}(\lambda, T)$ was first measured by Jones and Wulf (1937) and later by many others (see Sect. 2.2). Current estimates of $\sigma_{N_2O_5}(\lambda, T)$ together with its uncertainties (a factor of 2) are reported in the JPL-2011 compendium (Table 4-2). However, to our knowledge $\sigma_{N_2O_5}(\lambda, T)$ has never been inferred from field observations.

Here balloon-borne measurements in the tropical midstratosphere of the diurnal variation of NO₂ (Kritten et al., 2010) are exploited to infer $\sigma_{N_2O_5}(\lambda, T)$ under stratospheric conditions. For the interpretation of the measured data with respect to $\sigma_{N_2O_5}(\lambda, T)$, a 1-D photochemical model is used. The model is initialised and further constrained by trace gas observations (O₃, and a suite of NO_y species) taken within the so-called tropical pipe over north-eastern Brazil during summer 2005. Further, an optimal estimation approach is used to minimise the quadratric difference of the measured from modelled concentration field of NO₂ as a function of time and height. For this purpose $\sigma_{N_2O_5}(\lambda, T)$ is scaled by two free parameters (denoted by s_1 and s_2) in the extreme

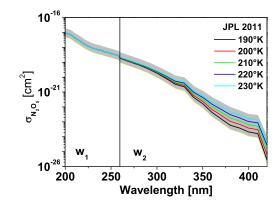


Figure 2. Absorption cross section and uncertainty of $\sigma_{N_2O_5}(\lambda, T)$ as recommended by JPL 2011 (Sander et al., 2011) (grey area). For this study, $\sigma_{N_2O_5}(\lambda, T)$ is divided into two wavelength regions, w_1 and w_2 . No temperature dependence of $\sigma_{N_2O_5}(\lambda, T)$ is reported for w_1 , the *T* dependence for w_2 is indicated by different colours.

UV-C (200–258 nm) (denoted by w_1 wavelength range), and UV-B/A spectral range (260–420 nm) (denoted by w_2 wavelength range).

The paper is organised as follows. In Sect. 2 the tools used in the study are described, including the NO₂ measurements, some details of the NO_y photochemical modelling as well as the mathematics to retrieve $\sigma_{N_2O_5}(\lambda, T)$. Section 3 reports on the results and discusses uncertainties. Section 4 addresses the implication of our findings for stratospheric N₂O₅ and global ozone. Section 5 concludes the study.

2 Measurements, photochemical modelling and $\sigma_{N_2O_5}(\lambda, T)$ retrieval

This section describes our approach to constrain $\sigma_{N_2O_5}(\lambda, T)$ from the NO₂ measurements in the tropical mid-stratosphere (Kritten et al., 2010) (Sect. 2.1). Further the photochemical modelling including its initialisation by information gained from additional trace gas measurements in the tropical stratosphere and from simulations of the SLIMCAT chemical transport model (CTM) (Sect. 2.2) is described. Finally, the retrieval of the scaling parameters of $\sigma_{N_2O_5}(\lambda, T)$ for two wavelength intervals w_1 and w_2 (Sect. 2.3) is discussed.

2.1 Measurements

For this study, time-dependent profiles of stratospheric NO_2 are used, which were measured over north-eastern Brazil (5.1° S, 43.6° W) on 30 June 2005 (see Fig. 8 in Kritten et al., 2010). During the balloon flight, 187 limb spectra were recorded during 17 limb sequences, which covers 5:35 h from local sunrise (07:30 LT) into the early afternoon (13:05 LT). The measured limb spectra were analyzed for differential slant column densities (DSCDs) using the DOAS (differential optical absorption spectroscopy) technique (e.g. Weidner

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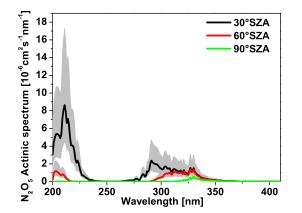


Figure 3. Actinic spectrum of N₂O₅ (i.e. the product of $\sigma_{N_2O_5}(\lambda, T)$ (at 230 K) and modelled actinic fluxes) for an overhead ozone column of 283 Dobson units, and different SZAs at 30.5 km.

et al., 2005; Kreycy et al., 2013; Platt and Stutz, 2008). Prior to the inversion of the measured DSCDs into an NO2 concentration height and time field, the radiative transfer for each measurement is modelled using the radiative transfer model (RTM) McArtim (Deutschmann et al., 2011). Further, the RT calculations are validated against measured limb radiances and inferred O₄ and O₃. The measured array of NO₂ DSCDs is then projected into a NO₂ concentration vs. height and time field of equal altitude $(h_i = 0.5 \text{ km})$ and time spacing $(t_i = 30 \text{ min})$, using the optimal estimation technique (e.g. Rodgers, 2000; Kritten et al., 2010). Due to decay of the NO_y reservoir species during daytime, at 33 km where the NO₂ profile peaks, NO₂ increases by roughly 60% during our observation. Our analysis is limited to $SZAs \le 85^{\circ}$ in order to reduce effects due to refraction which is not properly accounted for in the RTM McArtim (Deutschmann et al., 2011).

Major errors and shortcomings of our NO₂ measurements are the remaining pitch and azimuth angle oscillations of the balloon platform (see Fig. 6 in Kritten et al., 2010). While the former lead to a vertical jitter in the field of view, resulting in an up to $\pm 10\%$ uncertainty in the inferred NO₂ concentrations, the azimuth angle oscillations are more difficult to deal with. The latter is mainly due to the importance of polarisation (which is not yet considered in our RTM) of the scattered light from stratospheric limb measurements in the UV-visible wavelength range, mainly if during the course of the measurements the azimuthal scattering angle changes. Therefore, in order to remove any systematic errors due to the movement of the balloon gondola, the inferred NO2 field is re-normalised using the inferred field of O_3 . This is justified, by the constant O₃ concentration during the course of the measurement, and the similar optical depths at the wavelengths considered in the spectral analysis of O₃ and NO₂. For this purpose a weighting matrix is derived by dividing the retrieved O_3 concentration for each altitude (h_i) and time (t_i) step by the mean O₃ concentration of the whole balloon flight.

$$[\text{NO}_{2,\text{corr}}]_{i,j} = [\text{NO}_{2,\text{retr}}]_{i,j} \cdot \frac{[\text{O}_{3,\text{mean}}]_i}{[\text{O}_{3,\text{retr}}]_{i,j}}$$
(2)

It is found that the re-normalisation alters the NO_2 field by 15% at most. Further on in the study, the re-normalised NO_2 field (shown in the lower panel of Fig. 4) is used, rather than the field inferred in Kritten et al. (2010) (see Fig. 8 therein).

2.2 Modelling of the NO_y photochemical system

Since, for the present study, the calculation of the photolysis rates and the photochemical evolution is crucial, both deserve to be addressed. The photolysis rates are calculated by a module adopted from the SLIMCAT 3-D CTM (Bösch et al., 2003; Chipperfield, 2006) with updated molecular absorption cross sections for N₂O₅ and HNO₃ (Sander et al., 2011). First, the actinic fluxes are calculated as recommended by Lary and Pyle (1991) and stored in a look-up table. Then, in order to scale the actinic fluxes to the actual atmospheric conditions, the calculated photolysis rates are interpolated to the actual pressure, temperature, SZA and overhead ozone. For the latter an ozone profile is used, which was measured by balloon-borne solar occultation observations a few days prior to the NO₂ measurements (Butz et al., 2006). $J_{N_2O_5}$ skylight radiances were already validated in previous studies of our group (e.g. Bösch et al., 2003; Deutschmann et al., 2011).

For calculation of $J_{N_2O_5}$, the $\sigma_{N_2O_5}(\lambda, T)$ based on the recommendation of the JPL-2011 compendium (as shown in Fig. 2) is used (Sander et al., 2011). $\sigma_{N_2O_5}(\lambda, T)$ ranges over about 9 orders of magnitude (from about 10^{-17} cm² at 200 nm to 10^{-26} cm² at 450 nm). The temperature dependence of $\sigma_{N_2O_5}(\lambda, T)$ is derived according to Harwood et al. (1993). This only becomes significant at wavelengths greater than 280 nm, where $\sigma_{N_2O_5}(\lambda, T)$ decreases (decreasing with an increasing T-dependence for larger wavelengths).

The study of Oh et al. (1986) indicates that, besides NO₃, the primary photolysis products of N₂O₅ are a wavelengthdependent mixture of NO₂, NO₂^{*} and NO + O, where NO₂^{*} represents NO₂ in an excited electronic state (see Reactions R15, R16 and R17). The measured quantum yield for the NO₃ production is close to unity. Since all product channels finally lead to the production of NO_x through fast reactions (within several 10th of seconds), and the equilibrium between NO and NO₂ is rapidly established in the sunlit stratosphere, the N₂O₅ photolysis is the time limiting factor for the NO₂ production. The combined uncertainties of the absorption cross section and quantum yield cause $\sigma_{N_2O_5}$ to be uncertain by a factor of 2 (Table 4-2 in JPL-2011, Sander et al., 2011).

Figure 3 displays the actinic spectrum relevant for the photolysis of N_2O_5 at stratospheric conditions. It shows that two distinct wavelength regions are important for the photolysis

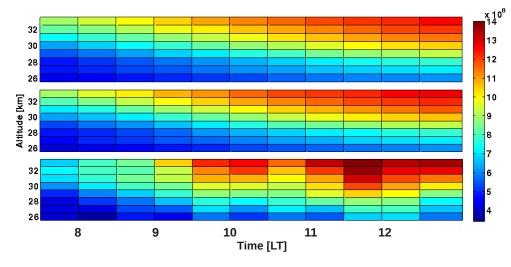


Figure 4. Temporal evolution of the NO₂ concentration in the tropical mid-stratosphere (5.1° S, 43.6° W) for 13 June 2005, using the JPL-2011 recommendation for $\sigma_{N_2O_5}(\lambda, T)$ i.e. $s_1 = 1.0$ and $s_2 = 1.0$ (upper panel). Middle panel: same as in the upper panel, but with the inferred $s_1 = 1.6$ and $s_2 = 0.9$. Lower panel: measured NO₂. Units are given in molec cm⁻³.

of N₂O₅, the region w_1 (200–258 nm) and the region w_2 (260–420 nm). While $\sigma_{N_2O_5}(\lambda, T)$ decreases over the whole wavelength region, the actinic flux modulates the actinic spectrum, mainly due to the absorption by O₂ and O₃. The minimum between the two maxima is caused by the combined effects of the O₃ absorption in the Hartley band, and the O₂ absorption in the Herzberg band. Thus, overhead O₂ and O₃ are crucial for the photolysis of N₂O₅ in the UV-C. The relative importance of each maximum depends on altitude and SZA. Therefore, if overhead ozone and total air mass is held fixed, observations at different altitudes and SZAs may provide independent information on the contribution of each wavelength region to $J_{N_2O_5}(\lambda, T)$ is temperature independent and in w_2 temperature dependent (Fig. 2).

The temporal evolution of the NO_y species is modelled on 11 height levels (located between 655 K potential temperature (25.5 km) and 1099 K (35.5 km)) using the 1-D chemistry model LABMOS, which is an updated version of the model used by Bösch et al. (2003). It includes all relevant photochemical reactions of oxygen, nitrogen, hydrogen, chlorine and bromine species according to kinetic and thermochemical data compiled in Sander et al. (2011). The model was integrated for 10 days using the commercial FAC-SIMILE software (FACSIMILE software). All modelled data shown are taken from the 10th day of the respective model run, in order to allow for spin-up of the NO_y partitioning.

The model is initialised for most species using the output of SLIMCAT simulations (Chipperfield, 2006) (run-ID 336) for 5° N, 43° E at 02:00 LT on 17 June 2005, except for NO_y species and O₃. For initialisation of the NO_y species HNO₃, N₂O₅, ClONO₂, HO₂NO₂ and BrONO₂, the measurements of the MIPAS-B (Michelson Interferometer for

Passive Atmospheric Sounding – Balloon-borne version) instrument are taken, which were recorded 17 days prior to the mini-DOAS NO₂ measurements (e.g. Wetzel et al., 2002 and unpublished data). The model is further constrained by ozone measured by solar occultation measurements taken from the LPMA/DOAS payload (limb profile monitor of the atmosphere/differential optical absorption spectroscopy) 13 days prior to the NO₂ measurements.

Small mismatches in measured and modelled total NO_y are again removed by re-normalisation with the normalization factor (nf), defined by the ratio of the measured and modelled concentrations (NO_y) for the different NO_y species (Wiegele et al., 2009):

$$nf = NO_{y,MIPAS-B}/NO_{y,SLIMCAT},$$
(3)

such that the NO_y partitioning given by SLIMCAT is preserved, but the total amount is constrained to the NO_y measured by the MIPAS-B instrument. Depending on the altitude, the normalisation factor ranges between 1 and 1.2. Figure 1 provides an overview on how the different NO_y species contribute to daytime NO_x production at different altitudes. Here the lower boundary of the striped area denotes the temporal evolution of the particular gas, and the striped area shows the total amount of NO_x, which is released by photolysis from the considered NO_y species. The dominant role of N₂O₅ destruction (red) for NO_x formation by Reaction (R11), and Reaction (R12) in the tropical midstratosphere at daytime is evident. In contrast, all the other NO_y species including HNO₃ contribute to less than 10 % to the NO_x increase during daytime.

2.3 Retrieval of $\sigma_{N_2O_5}(\lambda, T)$

If the model was complete (i.e. there was no missing chemistry), a comparison of measurements and model should provide information about the accuracy of the model and its components. We refer to the model output as NO_{2calc}, and the measured NO₂ as NO_{2meas}. However, "calculated" concentrations are not totally independent from the measurements, since O₃ is relevant for the calculation of the actinic fluxes (see Sect. 2.2) and the partitioning of NO_y contributes to NO_{2calc}. Figure 4 shows NO_{2calc} and NO_{2meas} in the upper and lower panel, respectively. In the following we describe how the parameters s_1 and s_2 are retrieved from the measured and modelled field of NO₂. They are defined by

$$s_1 \cdot \sigma_{N_2O_5}(200 - 258 \,\mathrm{nm})_{\mathrm{lab}} = \sigma_{N_2O_5}(200 - 258 \,\mathrm{nm})_{\mathrm{ret}}$$
 (4)

$$s_2 \cdot \sigma_{N_2O_5}(260 - 350 \,\mathrm{nm})_{\text{lab}} = \sigma_{N_2O_5}(260 - 350 \,\mathrm{nm})_{\text{ret}},$$
 (5)

where the subscripts lab and ret denote the laboratory measured and retrieved $\sigma_{N_2O_5}$, respectively. The measurement vector y is given by the subsequently measured NO₂ concentrations for h_i and t_j (Sect. 2.1)

$$\mathbf{y}_{i,j} = \mathrm{NO}_2(h_i, t_j) \tag{6}$$

and the state x is defined by the scaling factors s_1 and s_2 .

$$\boldsymbol{x}_k = \boldsymbol{s}_k \tag{7}$$

The sensitivity of the NO₂ field to s_1 and s_2 can be quantitatively expressed by a weighting function or Jacobian matrix of derivatives of $[NO_2]_{i,j}$ with respect to the parameters s_1 and s_2 , i.e. $\partial [NO_2]_{i,j}/\partial s_k$. For a given NO₂ profile with the indices *i* for a given altitude h_i and *j* for a given time t_j (Fig. 5), the Jacobian with respect to s_1 and s_2 reads

$$K_{i,j,k} = \frac{\partial \mathbf{y}_{i,j}}{\partial \mathbf{x}_k}.$$
(8)

The forward model F(x) = y is constructed from the photochemical model as described in Sect. 2.2

The inferred sensitivity (i.e. Jacobians $K_{i,j,k}$) for s_1 and s_2 is shown in Fig. 5. For s_1 it is a factor of 6 lower than for s_2 , but both peak around 28 to 35 km altitude, i.e. where the measurements are taken. The maximum sensitivity $(\partial [NO_2]_{i,i} / \partial s_k)$ is more extended in time for s_2 than for s_1 , since in the UV-C (w_1) the spectral actinic flux is more dependent on SZA as compared to the UV-B/A wavelength range (w_2). Furthermore $\partial [NO_2]_{i,i} / \partial s_1$ peaks at higher altitudes as compared to $\partial [NO_2]_{i,i} / \partial s_2$, since attenuation in the UV-C occurs at smaller overhead air masses as compared to the UV-B/A wavelength range. For the purpose of a retrieval of s_1 and s_2 , the matrix with elements $y_{i,i}$ is transformed into a 1-dimensional vector y, that contains the NO₂ profiles for subsequent time steps t_i . Similarly, the kernel $K_{i,j,k}$ is transformed into a 2-dimensional matrix K. In order to provide enough information on the state and to make the inversion

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mathematically feasible, we need an additional constraint. Since an a priori x_a and a priori covariance (it's error matrix) is available and well defined through the JPL recommendations, we chose the optimal estimation technique (Rodgers, 2000) to estimate s_1 and s_2 . Here, the retrieved state \hat{x} is constructed from both, prior information and the measurements, each weighted by the covariances S_a and S_{ϵ} , respectively. Adopting the JPL recommendations as priori constraints, the a priori scaling factors s_k are unity and the associated a priori covariance matrix is diagonal with values of 2 in the diagonal.

The relationship between measurement and state is examined by the cost function defined by

$$\chi^{2} = (\hat{\boldsymbol{x}} - \boldsymbol{x}_{a}) \mathbf{S}_{a}^{-1} (\hat{\boldsymbol{x}} - \boldsymbol{x}_{a})^{T} + (\boldsymbol{y}_{\text{mod}} - \boldsymbol{y}_{\text{meas}}) \mathbf{S}_{\epsilon}^{-1} (\boldsymbol{y}_{\text{mod}} - \boldsymbol{y}_{\text{meas}})^{T}.$$
(9)

Since the measurement and the state do not linearly map, the state x is iteratively retrieved from (the subscript n now denotes the *n*th step)

$$\hat{\boldsymbol{x}}_{n+1} = \boldsymbol{x}_n + (\mathbf{S}_a^{-1} + \mathbf{K}_n^T \mathbf{S}_{\epsilon}^{-1} \mathbf{K}_n)^{-1} \\ \cdot [\mathbf{K}_n^T \mathbf{S}_{\epsilon}^{-1} (\boldsymbol{y} - F(\boldsymbol{x}_n)) - \mathbf{S}_a^{-1} (\boldsymbol{x}_n - \boldsymbol{x}_a)],$$
(10)

where \mathbf{K}_n is derived before each iteration for the current state \mathbf{x}_n . As the starting state we choose $\mathbf{x}_0 = \mathbf{x}_a$. The diagonal elements of the measurement covariance \mathbf{S}_{ϵ} represent the squared error of the retrieved NO₂ measurements. For convergence criteria a certain step size from iteration *n* to *n* + 1 is defined (Rodgers, 2000)

$$\sqrt{(x_{n+1} - x_n)^2} \le 0.04,\tag{11}$$

which is about one order of magnitude smaller than the expected noise error of the solution, as derived in Sect. 3.1.

3 Results and discussion

Figure 6 shows χ^2 in the parameter space s_1 and s_2 , where the minimum region ($\chi^2 = 30$) is located at around $s_1 = 1.2$ to 2.6 and $s_2 = 0.7$ to 1.1. After 10 iterations the global minimum is found at $s_1 = 1.6$ and $s_2 = 0.9$, i.e. our retrieval indicates a $\sigma_{N_2O_5}(\lambda, T)$ a factor 1.6 larger in the UV-C spectral range and a factor 0.9 smaller in the UV-B/A spectral range as compared to the recommended value in JPL-2011, with retrieval uncertainties of ± 0.80 and ± 0.26 for s_1 and s_2 , respectively (see next section). Figure 6 shows the inferred result in the s_2 - s_1 space together with their uncertainties, and the recommended JPL-2011 values. Figure 7 shows the resulting $\sigma_{N_2O_5}$.

Figure 4 shows the modelled (unscaled s_1 and s_2 in the upper panel, scaled s_1 and s_2 in the middle panel) and the measured diurnal NO₂ concentration (lower panel). Since there is still some discrepancy in the diurnal behaviour of the forward modelled state and the measurement, in the next section

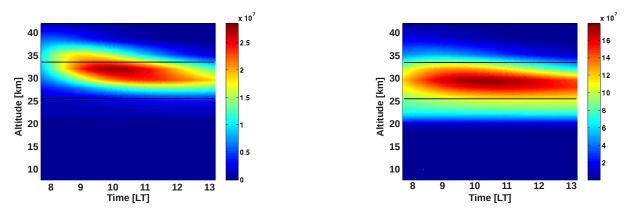


Figure 5. Sensitivity of the NO₂ concentration in our model to the scaling factors s_1 (left panel) and s_2 (right panel) on the absorption cross section of N₂O₅. Units are given in 10⁷ molec cm⁻³. The altitude region used for the analysis is marked by black lines.

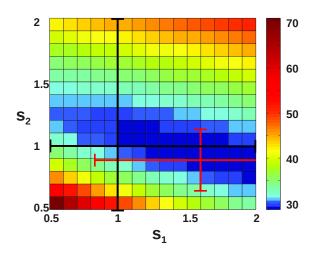


Figure 6. χ^2 as a function of s_1 and s_2 , as given in Eq. (9). The inferred global minimum is shown in red together with the total error due to noise error of the retrieval. The corresponding JPL-2011 values are given in black.

we investigate to what extent the errors and uncertainties of the measurement, as well as errors and uncertainties of the forward model, propagate into the inferred s_1 and s_2 values (see Table 1 for an overview on the errors and uncertainties).

3.1 Retrieval uncertainties

The measurement error (S_{noise}) of NO₂ has already been discussed by Kritten et al. (2010). According to Rodgers (2000), the propagation of the measurement noise into in the retrieval noise can be quantified by

$$\mathbf{S}_{\text{noise}} = (\mathbf{K}^T \, \mathbf{S}_{\epsilon}^{-1} \, \mathbf{K})^{-1}. \tag{12}$$

which leads to 1σ errors of ± 0.80 and ± 0.26 for s_1 and s_2 , respectively.

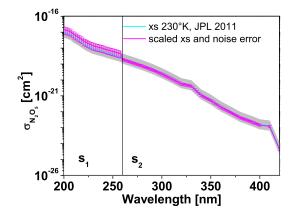


Figure 7. $\sigma_{N_2O_5}(\lambda, T)$ measured in the laboratory (JPL-2011, shown in light blue) and inferred in the present study (shown in pink).

3.2 Uncertainties in the forward model

We now discuss errors and uncertainties of s_1 and s_2 due to uncertainties in the forward model parameters, which are input parameters that influence the state but are not retrieved (Rodgers, 2000). Since the model is not linear in the forward model parameters, additional local retrievals of s_1 and s_2 at both the lower and upper boundary of the uncertainty range of these parameters are required. The difference in the retrieved s_1 and s_2 compared to the undisturbed case is taken as a measure for the uncertainty. The uncertainties are not symmetrical, hence in each case an upper and a lower range of uncertainty is given. The forward model parameters in this study are input parameters to the chemical model. Among the many input parameters (i.e. model initialisation parameters as well as kinetic and thermochemical data), only those parameters which arguably exert the most influence on the results are considered. For model initialisation, these are total NO_v and O_3 , and the absorption cross section of NO_2 . We

Table 1. Uncertainties in the retrieval of s_1 and s_2 .

	Retrieved value	Noise	NO _y initialisation	O ₃ initialisation	$\sigma_{ m NO_2}$	$k_{\rm NO+O_3}$	Total
$\frac{s_1}{s_2}$	1.6 0.9	$\pm 0.80 \\ \pm 0.26$	+0.5,-0.3 +0.1,-0.2	+0.3,-0.40 +0.3,-0.2	+0.5,-0.6 +0.3,-0.3	+0.6, -0.9 +0.6, -0.3	+1.26,-1.44 +0.79,-0.57

also discuss the uncertainty in the actinic flux going into the calculation of $J_{N_2O_5}$. The following results are obtained:

- 1. Initialisation of NO_y: as outlined above (Sect. 2.2), the total amount of NO_y is initialised from MIPAS-B measurements. The accuracy of the MIPAS-B NO_y measurements above 20 km ranges between 4 and 7%. When initialising the model with NO_y at the lower boundary of the uncertainty range, the retrieval gives $s_1 = 2.1$ and $s_2 = 1.0$. When initialising the model with NO_y at the upper boundary of the uncertainty range, the retrieval gives $s_1 = 1.3$ and $s_2 = 0.7$. Accordingly, the uncertainties due to initialisation of NO_y are $\Delta s_1 = +0.5/-0.3$ and $\Delta s_2 = +0.1/-0.2$.
- 2. Initialisation of O₃: as outlined above (Sect. 2.2), the O₃ profile is initialised from direct sun DOAS observations. The accuracy of these measurements above 20 km ranges between 5 and 29 %. When initialising the model with O₃ at the lower boundary of the uncertainty range, the retrieval gives $s_1 = 1.3$ and $s_2 = 0.7$. When initialising the model with O₃ at the upper boundary of the uncertainty range, the retrieval gives $s_1 = 1.9$ and $s_2 = 1.2$. Accordingly, the uncertainties due to initialisation of O₃ are $\Delta s_1 = +0.3/-0.4$ and $\Delta s_2 = +0.3/-0.2$.
- 3. Absorption cross section of NO₂ (see Reaction R9): the combined uncertainty of the NO₂ absorption cross section and the quantum yield is a factor of 1.2 (Sander et al., 2011). When calculating the photolysis rates of NO₂ at the lower boundary of the uncertainty range of $\sigma_{N_2O_5}(\lambda, T)$, the retrieval gives $s_1 = 1.1$ and $s_2 = 0.6$. When calculating the photolysis rates of NO₂ at the upper boundary of the uncertainty range of $\sigma_{NO_2}(\lambda, T)$, the retrieval gives $s_1 = 2.2$ and $s_2 = 1.2$. Accordingly, the uncertainties due to $\sigma_{NO_2}(\lambda, T)$ are $\Delta s_1 = +0.6/-0.6$ and $\Delta s_2 = +0.3/-0.3$. Evidently, despite its relatively small uncertainty, uncertainties in $\sigma_{NO_2}(\lambda, T)$ directly propagate into the modelled NO₂ concentration.
- 4. The rate constant k_{NO+O_3} (see Reaction R1): the uncertainty of the rate constant k_{NO+O_3} is temperaturedependent (Sander et al., 2011) and varies at temperatures relevant for this study between a factor of 1.30 and 1.42. When implementing a rate constant k_{NO+O_3} at the lower boundary of the uncertainty range, the retrieval gives $s_1 = 2.1$ and $s_2 = 1.5$. When implementing

a rate constant k_{NO+O_3} at the upper boundary of the uncertainty range, the retrieval gives $s_1 = 0.7$ and $s_2 = 0.6$. Accordingly, the uncertainties due to the rate constant k_{NO+O_3} are $\Delta s_1 = +0.5/-0.9$ and $\Delta s_2 = +0.6/-0.3$.

- 5. Actinic flux and $J_{N_2O_5}$: another uncertainty in the calculated photolysis rates is due to uncertainties of the calculated actinic flux. In the mid- and upper stratosphere, uncertainties of the actinic flux are primarily due to the contribution of the direct solar irradiance rather than the contribution of scattered radiation from above or even from below (due to the absorption of ozone below 315 nm), for the altitude of interest (e.g. Madronich et al., 1985; Madronich, 1987; Bösch et al., 2003). Scattering from below by clouds is not considered, because we could find no evidence of clouds through a comparison of modelled and measured limb radiances. Therefore, we assume major uncertainties in the spectral actinic flux relevant for $J_{N_2O_5}$ are primarily due to (a) the solar activity-dependent irradiance being particularly variable in the UV-C and UV-B spectral ranges, (b) overhead ozone and (c) overhead O₂.
- a. In the UV-C and UV-A/B spectral ranges the spectral irradiance is known to be largely correlated with the solar activity (Foukal et al., 2006). Our measurements were conducted during the declining phase of solar cycle 23 in June 2005 with total solar sunspot numbers being around 30 (i.e. about 25 % of the maximum sunspot number of cycle 23 seen in 2001). Therefore, it is rather likely that the solar radiation in the UV-C and UV-A was not particularly elevated during our measurement (Foukal et al., 2006). Further, during balloon flights conducted in 1996 and 1997, we measured the actinic flux relevant for photolysis of NO2 in a similar descending phase solar cycle 22. No significant departure of the measured and modelled actinic fluxes was found (Bösch et al., 2003). Also, during three balloon flights conducted in 2003 and 2004, we measured the spectral solar irradiance. For the 325-370 nm spectral range, reasonable agreement was found between our irradiance and the measurements of SIM (Secondary ion mass), SCIA-MACHY (Scanning Imaging Absorption Spectrometer for Atmospheric Chartography), SOLSPEC (SOLar SPECtrum measurement) and MODTRAN (MODerate resolution atmospheric TRANsmission) 3.7 (within < 5%), indicating no abnormal increase in the spectral

irradiance in the UV-A in 2003 and 2004 when the sunspot numbers were larger than in 2005 (Gurlit et al., 2005). In addition, recent solar irradiance measurements claim that from solar maximum to minimum, solar radiation in the extreme UV may decrease four to six times more than predicted by models (Haigh et al., 2010). Accordingly, we see no reason to assume that radiation in the UV-C was particularly elevated during our measurements, at least not in an amount to explain an increased s_1 value.

- b. Ozone in the mid- and upper stratosphere used to scale the actinic flux was measured on several occasions during the same campaign. For our simulation overhead ozone measured at high accuracy by solar occultation spectroscopy is used (e.g. Butz et al., 2006; Dorf et al., 2008).
- c. Further the total overhead air mass and thus O_2 is determined by in situ measured pressures and temperatures, and any significant departure would have been detected through a curved rather than straight line in a Langley plot of measured slant column amount of ozone (or BrO) vs. total air mass (e.g. see Fig. 2 in Dorf et al., 2008).

Finally, the impact of a potentially too coarse wavelength grid (1 nm) in calculation of $J_{N_2O_5}$ (Eq. 1) should be very small because of the lack of differential structures in $\sigma_{N_2O_5}(\lambda, T)$ (Trentmann et al., 2003). In conclusion, the error in the calculated $J_{N_2O_5}$ due to uncertainties of the calculated actinic flux is assumed to be negligible.

The individual uncertainties and errors of our study on $\sigma_{N_2O_5}(\lambda, T)$ are summarised in Table 1. In order to estimate a total error, we added positive and negative deviations separately in quadrature, even though we are aware that a Monte Carlo estimation would be more adequate, we used this approximation due to high computational costs of a Monte Carlo approach. The individual noise and forward model parameter errors add to a total error of +1.26 to -1.44 for s_1 and +0.79 to -0.57 for s_2 .

4 Implications

Now we relate our results concerning $\sigma_{N_2O_5}(\lambda, T)$ to earlier discrepancies of measured and modelled N₂O₅ in the stratosphere and assess possible implications on global ozone. Previous inter-comparisons of measured and modelled N₂O₅ in the stratosphere reveal the following:

a. The studies of Brühl et al. (2007) and Funke et al. (2011) also addressed inter-comparisons of N_2O_5 measured by MIPAS/Envisat. In particular for the retrieval from the IMK/IAA (Institut für Meteorologie und Klimaforschung and Instituto de Astrofísica de Andalucía), Brühl et al. (2007) stated a problem to reproduce the observed night-time partitioning between N_2O_5 and NO_2 in the middle stratosphere using the recommended set of reaction coefficients and photolysis data, while for the Halloween solar proton event in late October 2003, the inter-comparison of measurements and model resulted in much larger modelled than measured N_2O_5 , except for the KASIMA model (Funke et al., 2011).

- b. At the same time, Wolff et al. (2008) stated a low bias (-0.25 ppb) of ACE-FTS N₂O₅ relative to the MIPAS IMK/IAA retrieval for the middle stratosphere around 30 km.
- c. Moreover, in a recent study, Wetzel et al. (2012) found slightly larger measured MIPAS-B N₂O₅ concentrations than those modelled by EMAC (ECHAM/MESSy Atmospheric Chemistry) (e.g. Jöckel et al., 2005) for the mid-stratosphere (22–34 km) at high latitudes during mid-winter, i.e. SZA > 86°.

More recent sensitivity studies using SLIMCAT (not shown) indicate that in order to reconcile modelled and measured N_2O_5 for the studies mentioned in (a), the N_2O_5 photolysis frequency would need to be increased by at least a factor of 1.5 to 2 in the UV-B/A. However an increase in UV-B/A photolysis of N_2O_5 by a factor of 1.5 to 2 would deteriorate the existing agreement between N_2O_5 concentrations measured by ACE-FTS and simulated by SLIMCAT for sunrise and sunset (finding b), but at the same time it would potentially increase the discrepancy found in the study of Wetzel et al. (2012). Finally, such an increase is not supported by the result of our study.

A possible increase of $\sigma_{N_2O_5}(\lambda, T)$ in the UV-C spectral range as indicated by the present study would not resolve the discrepancies found in (a), mainly since below 10 mbar the UV-C radiation rapidly contributes less and less to the N₂O₅ photolysis as the altitude becomes lower. At the same time, it may not modify finding (b), and at best it would only slightly improve the mismatch found in (c).

Therefore, it is rather likely that the mismatch of the MI-PAS/Envisat N₂O₅ with the various model predictions is not due to an incorrect $\sigma_{N_2O_5}(\lambda, T)$ used in the models, but due to an other reason.

In order to assess the consequences of our finding on global ozone, sensitivity simulations for the modified $\sigma_{N_2O_5}(\lambda, T)$ were performed using the SLIMCAT 3-D CTM (e.g. Chipperfield, 1999, 2006). The model was run at a horizontal resolution of $5.6^{\circ} \times 5.6^{\circ}$ with 32 levels between the surface and ~ 60 km. The model was forced using European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim data and used the model's standard stratospheric chemistry scheme. A first run (run R) used JPL-2011 photochemical data. A second run (N) was identical to run R but used the scaled values for $\sigma_{N_2O_5}$ derived in this work.

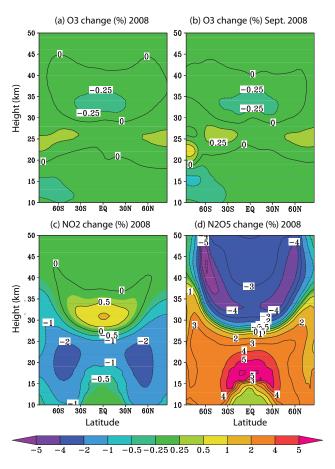


Figure 8. Difference in zonal mean fields (%) for 2008 from the run of the SLIMCAT 3-D CTM with scaled $J_{N_2O_5}$ (run N) minus the run with recommended JPL-2011 kinetics (run R) for (a) annual mean O₃, (b) September mean O₃, (c) annual mean NO₂ and (d) annual mean N₂O₅. Note irregular contour interval.

Run N was initialised from run R in July 2007 and integrated for 2 years. Output from 2008 was used for this exercise.

Figure 8 shows the percentage change in annual mean O_3 , NO2 and N2O5 between runs N and R for 2008, along with the O_3 change in September. N_2O_5 decreases above 30 km by up to 4 % in the annual (24 h) mean due to the increased photolysis at short wavelengths. Below 30 km (35 km near the poles) N_2O_5 increases by up to 5% due to the slower photolysis at longer wavelengths. The change in NO₂ shows an opposite pattern reflecting the changing partitioning between NO_x and N₂O₅. Overall, the impact of the change in $\sigma_{N_2O_5}$ on ozone is small. In the mid-stratosphere (30–35 km) the increased NO_x, which is the main sink of odd oxygen in this region, leads to an O₃ decrease of about 0.25 % with the scaled $\sigma_{N_2O_5}$. Below 30 km the ozone response changes sign, consistent with the NO_x change, but it is still only around 0.25 %. In September, O₃ loss inside the polar vortex is suppressed slightly (-0.6% change).

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5 Summary and conclusion

For the first time the absorption cross section of N₂O₅ $\sigma_{N_2O_5}(\lambda, T)$ is constrained from measurements of the diurnal increase in NO₂ in the tropical mid-stratosphere. It is found that in the UV-C (200–260 nm), $\sigma_{N_2O_5}(\lambda, T)$ may be larger by a factor of 1.6 ± 0.8 , and in the UVB/A (260–350 nm) smaller by a factor of 0.9 ± 0.26 as compared to the JPL-2011 recommendations. Additional uncertainties of our study are due to uncertainties of the forward model parameters, which are estimated to be up to +0.6 to -0.9 in the UV-C and up to +0.6 to -0.3 in the UV-B/A. A total error is estimated to be +1.26 to -1.44 in the UV-C and +0.79 to -0.57 in the UV-B/A. The outcome of our study could be improved if other NO_v species (e.g. by MIPAS-B) were simultaneously measured. This was unfortunately not possible in a second attempt to simultaneously operate the MIPAS-B and mini-DOAS instrument in a joint deployment over north-eastern Brazil 2008 due to technical constraints.

Overall, above 30 km N₂O₅ concentrations decrease by 4 % in the annual (24 h) mean due to the increased photolysis at short wavelengths, when using the retrieved $\sigma_{N_2O_5}(\lambda, T)$. Below 30 km (35 km near the poles) N_2O_5 increases by up to 5% due to the slower photolysis at longer wavelengths. For this altitude region, in some cases lower measured than modelled N₂O₅ have been reported for the late morning lower stratosphere (e.g. in yet unpublished comparison studies of SLIMCAT simulations with MIPAS/Envisat N2O5 measurements), which could be reconciled increasing $\sigma_{N_2O_5}(\lambda, T)$ in the UV-B/A wavelength range by up to a factor of 2 compared to the JPL-2011 recommendations (e.g. as indicated by recent SLIMCAT runs). Increasing the N_2O_5 photolysis in the UV-C according to our finding is not enough to eliminate the stated mismatch of measured and modelled N2O5 for altitudes above 30 km. Therefore firm conclusions on a larger UV-C N₂O₅ absorption cross section as indicated by our study can not be drawn from previous measurement vs. model inter-comparison studies.

Further a sensitivity test using our revised vs. the JPL-2011 recommended $\sigma_{N_2O_5}(\lambda, T)$ show little effects on global ozone. Above 30 km, where UV-C light is strongly contributing to the N₂O₅ photolysis, the increase in $\sigma_{N_2O_5}(\lambda, T)$ $(1.6 \pm 0.8$ in the w_1 wavelength region) leads to an increase in NO₂ and through Reaction (R2) to 0.2 % less ozone at most. Below 30 km, where N₂O₅ photolysis due to UVB/A light is more important, our smaller $\sigma_{N_2O_5}(\lambda, T)$ (0.9 ± 0.26 in the w_2 wavelength region) leads to slightly more ozone, i.e. 0.2 % in the mid-latitude stratosphere, and as much as 0.6 % in the 20–25 km region over the Antartica in spring.

Finally, our approach could also be used to retrieve more and other parameters from simultaneous atmospheric measurements of the relevant NO_y species, including a tighter assessment of the absorption cross section of NO_2 .

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