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# 1 **Neogene-Quaternary post-rift tectonic reactivation of the Bohai** 2 **Bay Basin, eastern China**

3 Lei Huang, Chiyang Liu, Yingbin Wang, Junfeng Zhao, Nigel P. Mountney

4

## 5 **ABSTRACT**

6 The Bohai Bay Basin, located in the eastern China, is considered to be a Cenozoic rifted basin.  
7 The basin is atypical in terms of its Neogene-Quaternary post-rift subsidence history in that it  
8 experienced intensive tectonic reactivation, rather than the relative tectonic quiescence  
9 experienced during this stage by most rift basins. This Neogene-Quaternary tectonic  
10 reactivation arose principally in response to two tectonic events: (1) activity on a dense array  
11 of shallow faults and (2) accelerated tectonic subsidence that occurred during the post-rift  
12 stage; these two events were neither strictly temporally nor spatially equivalent. The dense  
13 array of shallow faults form a NW-SE trending belt in the central part of the basin, with  
14 displacement on them having been induced by the reactivation of older northeast- and  
15 northwest-trending basement faults and an associated substantial component of strike-slip  
16 displacement occurring after 5.3 Ma. The intense reactivation of these faults contributed to the  
17 atypical accelerated rate of post-rift tectonic subsidence of the basin, which commenced ~12  
18 Ma. However, this was not the sole cause of this accelerated tectonic subsidence: a  
19 combination of geological activity at a deep level within the crust led to the build-up of  
20 intraplate stresses and this, combined with on-going thermal subsidence, acted as additional  
21 contributory factors that drove unusually high rates of subsidence for this basin. This episode  
22 of accelerated post-rift tectonic reactivation resulted in conditions favorable for hydrocarbon  
23 accumulation.

24

25 **Keywords:** *Bohai Bay Basin, Neogene, Quaternary, tectonic reactivation, shallow faults,*  
26 *post-rift tectonic subsidence, craton destruction*

27

## 28 INTRODUCTION

29 The majority of rifted basins evolve in two main stages: the rifting stage and post-rift  
30 subsidence stage. Post-rift subsidence is typically characterized by an episode of relative  
31 tectonic quiescence characterized by significantly reduced (or zero) fault activity and  
32 relatively slow rates of thermal subsidence (e.g. Anza rift in Kenya, Bosworth and Morley,  
33 1994; the Atlantic continental margin, North Sea rift system, Bott, 1995; Sirt Basin of Libya,  
34 Abadi et al, 2008). Contrary to this usual behavior, the Bohai Bay Basin of eastern China,  
35 which evolved as a Cenozoic rift basin, experienced intense tectonic reactivation during the  
36 post-rift stage that was characterized by intense faulting and substantial rates of tectonically  
37 induced subsidence. This evolutionary characteristic of the Bohai Bay Basin has been noted  
38 previously (e.g., Hu et al, 2001; Gong and Wang, 2001; Gong, 2004a, 2004b; Hsiao et al,  
39 2004; Gong et al, 2010). However, results from these earlier studies simply recognized the  
40 two tectonic events (strong faulting and anomalously high rates of tectonic subsidence) and  
41 noted their role in determining the hydrocarbon habitat of the basin. As a consequence,  
42 several significant issues relating to these tectonic events have not previously been thoroughly  
43 examined in detail, including the documentation and analysis of the specific characteristics  
44 relating to the distribution and development of post-rift renewed faulting, the timing and  
45 location of zones of accelerated subsidence across the basin, and the relationship of one to the  
46 other.

47 The aim of this study is to undertake a thorough investigation of the spatial and temporal  
48 characteristics of the mechanisms and style of tectonic reactivation in the Bohai Bay Basin,  
49 and to account for the specific characteristics and original relationships of two significant  
50 tectonic events: strong faulting and anomalously high rates of tectonic subsidence. This is  
51 achieved through analysis of a large and varied dataset derived from an extensive program of  
52 hydrocarbon exploration in the basin. Specific objectives of this study are to: (1) critically  
53 assess current models that account for the style of Neogene-Quaternary structural deformation  
54 in the Bohai Bay Basin; (2) discuss the influence of the post-rift tectonic reactivation on the

55 structural evolution of the basin and its role in influencing hydrocarbon accumulation and  
56 habitat; (3) provide a novel case study for post-rift tectonic reactivation and evolutionary  
57 history of a rifted basin.

58

## 59 **GEOLOGICAL SETTING OF THE BOHAI BAY BASIN**

60 The Bohai Bay Basin is a major continental petroliferous basin located in eastern China;  
61 the entire basin occupies an area of ~200,000 km<sup>2</sup> (77,220 mi<sup>2</sup>), of which the offshore part  
62 covers ~73,000 km<sup>2</sup> (28,185 mi<sup>2</sup>). The basin is surrounded by uplifted Precambrian basement  
63 blocks: the Taihang Shan to its west, Yanshan to its north, Luxi to its south, and Jiaoliao to its  
64 east. Internally, the basin is characterized by several secondary structural units, such as sags  
65 and rises, giving it a 'basin-and-range' type of structural configuration (Figure 1). Previous  
66 studies have convincingly demonstrated the overall structural feature to be a rifted basin (e.g.  
67 Li, 1980; Ye et al, 1985; Yang and Xu, 2004; Zhu et al, 2009) that was locally influenced by  
68 strike-slip movement (Hu et al, 2001; Huang et al, 2012b). The evolution of the basin can be  
69 clearly divided into two stages: the Paleogene rifting stage and Neogene-Quaternary post-rift  
70 stage. The Paleogene rifting stage is characterized by extension and rifting, tilting of fault  
71 blocks, half-graben development and filling, and is additionally associated with volcanic  
72 activity. By contrast, the Neogene-Quaternary post-rift stage is characterized by the  
73 accumulation of a thick succession of relatively uniform and nearly flat-lying strata that  
74 gently thickens toward the basin center, giving rise to a steer-head basin geometry (Figure 2).

75 The fill of the Paleogene rift stage of basin evolution consists of three sedimentary  
76 sequences represented by the Kongdian, Shahejie and Dongying formations, which  
77 collectively have a total thickness of 3,000 to 7,000m (9,842-22,965 ft) and which represent a  
78 succession of non-marine, clastic strata (Figure 3). By contrast, three sedimentary sequences  
79 record the Neogene-Quaternary post-rift fill of the basin and these are represented by the  
80 Neogene Guantao and Minghuzhen formations and the Quaternary Pingyuan Formation with  
81 a total thickness of 1,000 to 5,000m (3,281-16,404 ft), each also of mostly non-marine (fluvial)

82 origin (Figure 3). The offshore part of the basin (called the Bozhong Depression), has a  
83 sedimentary fill of up to 4,000-5,000 m (13,123-16,403 ft) thick, and became a major  
84 depocenter in the basin during the post-rift stage.

85 Within the Bohai Bay Basin, three major groups of fault system are developed: NNE (or  
86 NE)-trending, NW-trending and east-west-trending. The majority of faults in these groups can  
87 be shown to have existed prior to the Cenozoic, and to have experienced repeated movement  
88 and displacement of different intensities during the Cenozoic (Li, 1980; Ye et al, 1985; Zhu et  
89 al, 2009). The NNE(or NE)-trending faults form the dominant group of structures present  
90 across the majority of the Bohai Bay Basin, and these experienced intense right-lateral motion  
91 during the Neogene-Quaternary; among them, the well-known Tan-Lu Fault Zone occupies a  
92 position close to the eastern edge of the basin and is considered to have exerted the most  
93 significant control on basin development (Klimetz, 1983; Chen and Nabelek, 1988; Allen et al,  
94 1997, 1998; Hou et al, 1998; Gong et al, 2007, 2010; Zhu et al, 2009).

95 Additional NW-trending and east-west-trending faults are developed in the offshore  
96 portion of the basin. The NW-trending faults had a component of left-lateral motion during  
97 the Neogene-Quaternary. Within this group, the Zhangjiakou-Penglai Fault Zone, which runs  
98 through Bohai Bay, is especially significant since it is a very large and active, yet hidden,  
99 basement fault that behaves in a conjugate relationship with the Tan–Lu Fault Zone in terms  
100 of the sense of slip movement. This fault zone is associated with a presently active seismic  
101 zone from which many historical and recent earthquakes have been recorded (Liu, 1987; Fu et  
102 al, 2004; Figure 1).

103

## 104 **MAIN MANIFESTATIONS OF NEOGENE-QUATERNARY TECTONIC** 105 **REACTIVATION**

106 During the Neogene-Quaternary post-rift subsidence stage, some regions in the Bohai Bay  
107 Basin experienced an anomalous tectonic evolutionary process marked by intensive tectonic  
108 reactivation, which is usually referred to as neotectonism in the existing literature (e.g. Zhu et

109 al, 2009; Gong et al, 2010). The most notable manifestations of this are two tectonic events:  
110 (1) the development of a dense network of shallow faults and (2) accelerated rates of tectonic  
111 subsidence. These two events are best known because of their significant influence on the  
112 hydrocarbon habitat of the basin.

113 Numerous angular unconformities of regional extent are recognized in the  
114 Neogene-Quaternary sequence and these developed in response to deformation associated  
115 with compressional stress and tectonic uplift, and also indicate intensive tectonic reactivation  
116 during this period. Of these unconformities, the most obvious one is located at the base of the  
117 Quaternary succession and is characterized by truncation of a broad anticline in the  
118 underlying strata; this unconformity demonstrates that an intensive regional compressive  
119 tectonic event occurred at about 2.6 Ma (Huang et al, 2012a).

120 Investigations of a major river terrace that developed in response to tectonic activity around  
121 the Bohai Bay Basin demonstrate at least 3 phases (episodes) of tectonic activity during the  
122 Quaternary in the Bohai Sea, and these occurred in the late Eopleistocene (900-400 Ka), the  
123 late to middle Pleistocene (100-80 Ka) and the late Epipleistocene (10-8 Ka) (Xu et al, 2005;  
124 Gong, 2005; Gong et al, 2007).

125 Frequent historic and recent earthquakes also demonstrate intense tectonic activity in the  
126 Bohai Bay Basin (Chen and Nabelek, 1988; Hsiao et al, 2004; Fu et al, 2004; Zhu et al, 2009).  
127 The epicentres for these earthquakes describe a distinctive pattern of distribution (Figure 4):  
128 (1) they occurred along the NNE(or NE)-trending and NW-trending basement faults, and were  
129 characterized by focal points that were notably arranged into a dense cluster in the  
130 NW-trending middle segment of the basin, corresponding to the Zhangjiakou-Penglai Fault  
131 Zone (Fu et al, 2004); (2) statistical analysis has shown that most earthquakes greater than 6.0  
132 Ms occurred in Tan-Lu Fault Zone and Zhangjiakou-Penglai Fault Zone, and most  
133 earthquakes greater than 7.0 Ms occurred at or close to the intersection of these two fault  
134 zones (Teng et al, 1997). The focal mechanism solutions for these earthquakes indicate  
135 NE-trending right lateral slip (Chen and Nabelek, 1988).

136

## 137 **NEOGENE-QUATERNARY FAULTS**

### 138 **Geometrical Characteristics and Spatial Distribution**

139 In the middle of the Offshore Bohai Sea (the Bozhong region), seismic data reveal two  
140 different sets of normal fault systems developed in Paleogene and Neogene-Quaternary strata;  
141 the sections and time slices from three-dimensional (3-D) seismic show the following  
142 characteristics for the Neogene-Quaternary faults:

143 (1) The pattern of arrangement of faults exhibits significantly greater density in  
144 Neogene-Quaternary strata compared to Paleogene strata, and the arrangement becomes  
145 progressively denser with decreasing depth. The density of the Neogene-Quaternary faults  
146 is apparently mostly controlled by the pre-existing palaeogeomorphology, being greater at  
147 the margin of the Paleogene half-grabens and grabens (Figs 5 & 6).

148 (2) Some of the Neogene-Quaternary faults were inherited from the NNE (or NE)-trending  
149 and NW-trending Paleogene or basement faults. However, many additional newly formed  
150 faults developed adjacent to these inherited faults during this time, and most of these  
151 extend downward to the top of the Dongying Formation and upward to the sea floor. The  
152 majority of these more recent faults (though not all) grew to a size where they became  
153 connected with the older, inherited faults (Figure 5).

154 (3) The pattern of arrangement of most faults gives rise to a “flower structure” in section view.  
155 This is characterized by an upward divergent pattern of faulting in Neogene-Quaternary  
156 strata and a merging of faults at depth with the basement faults (either sub-vertical  
157 strike-slip faults or listric normal faults) in Paleogene strata (Figure 5).

158 (4) Most of these faults trend either NE-SW or close to E-W, have a small size in plan-view,  
159 and are related to the large NNE (or NE)-trending and NW-trending basement faults  
160 (Figure 7).

161 (5) The distribution of faults becomes progressively denser with increasing proximity to  
162 basement faults, and most trend at an acute angle to the main basement faults, displaying

163 an *en-echelon* pattern in plan-view (Figure 6, 7).

164 Together, these characteristics indicate that the Neogene-Quaternary faults are mostly the  
165 subsidiary normal faults of NNE (or NE)-trending and NW-trending basement faults  
166 reactivated in strike-slip movement. Most of these basement faults are the boundary faults of  
167 the Paleogene half-grabens and grabens, thereby resulting in a denser arrangement of faults at  
168 the margin of the Paleogene half-grabens and grabens.

169 By contrast, the Liaodongwan region in the north of the offshore Bohai Sea has a  
170 markedly different style of Neogene-Quaternary fault development (Figure 6 and seismic line  
171 F in Figure 8): only a few faults are developed in the Neogene-Quaternary strata, far less than  
172 that in the Paleogene strata, and these become progressively more sparse with increasing  
173 proximity to the surface. Most of these Neogene-Quaternary faults were inherited from the  
174 older faults that controlled the development of Paleogene sags. More recently formed faults  
175 that can be shown not to have been inherited from the older faults are few in number, as are  
176 subsidiary faults related to the reactivation of the older faults during Neogene-Quaternary.  
177 The situation in the southern portion of the Bohai Bay Basin (the Jiyang Depression) is very  
178 similar to that in the Liaodongwan region: both regions are characterized by a relatively small  
179 number of Neogene-Quaternary faults (Figure 8). Furthermore, a similar pattern of  
180 Neogene-Quaternary fault development also exist in the western portion of the Bohai Bay  
181 Basin (the Jizhong and Huanghua Depressions) (Zhai, 1988; Editorial Committee of  
182 Petroleum Geology of Dagang Oil Field, 1991; Liang, 2001; Li et al, 2009; Ren et al, 2010).

183 The arrangement of Neogene-Quaternary faults into dense networks is therefore confined  
184 to a NW-trending belt with a width of about 200 km running through the Bohai Sea from east  
185 to west (as shown in Figure 1C). The boundary between the dense and sparse arrangements of  
186 Neogene-Quaternary faults can be reliably identified via analysis of hydrocarbon exploration  
187 data in the basin. For example, the boundary between the Liaodongwan and Bozhong regions  
188 is evident from and can be constrained by the shallow faults' distribution map and the 3-D  
189 seismic time slice (Figure 6A, C); two seismic sections located on either side of the boundary

190 reveal differences in the style of Neogene-Quaternary faulting (Line D in Figure 5 versus Line  
191 F in Figure 8). The boundary between the middle and southern portions of the Bohai Bay  
192 Basin can also be identified from seismic data (Lines G and H in Figure 8).

193 Based on the above-mentioned investigations of Neogene-Quaternary faulting, the  
194 following conclusions can be reached: (1) the Bohai Bay Basin can be divided into northern,  
195 middle and southern segments (Figure 1C); (2) a dense arrangement of Neogene-Quaternary  
196 faults developed in the middle segment, whereas sparse arrangement of Neogene-Quaternary  
197 faults developed in the northern and southern segments.

198

### 199 **Evolutionary History**

200 In this study, reconstructed rates of active dip-slip faulting have been used to reveal the  
201 faults' evolutionary history. This approach assumes that differences in the thickness of  
202 syn-kinematic strata between the hangingwall and footwall of individual fault planes are  
203 related to active periods of fault slip (cf. Maloney et al, 2012); thus, for any given stratal  
204 interval, the ratio of the thickness difference between the hangingwall and footwall and  
205 duration of the interval over which the body of strata accumulated can be used as an indicator  
206 of dip-slip faulting rate (for details of the method of calculation employed, see Fig. 9C). The  
207 assumption in this method requires that time-averaged sedimentation rate is equal to or  
208 greater than the rate of fault slip during synchronous periods of deposition and fault activity  
209 (Cartwright et al, 1998; Maloney et al, 2012). Sedimentation rates in the region where the  
210 investigated faults are located have been approximately determined from the ratio of the  
211 present stratal thickness and the relating sedimentary duration via seismic data. Results show  
212 that all the Neogene-Quaternary stratal intervals considered had sedimentation rates greater  
213 than rates of fault slip ( $>25$  m/Myr for  $N_{1g}$ ,  $>60$  m/Myr for  $N_{2m}^L$ ,  $>100$  m/Myr for  $N_{2m}^U$ ,  
214 and  $>150$  m/Myr for  $Q_p$ ); the assumption made in the method is therefore reasonable for this  
215 study.

216 Rates of active dip-slip fault displacement have been calculated for individual faults and

217 average rates have additionally been calculated for groups of main faults in the southern part  
218 of the Offshore Bohai Bay Basin (Figure 9A and B). Results for individual faults show that  
219 the larger faults that controlled the development of Paleogene half-grabens had higher active  
220 rates of dip-slip during the Paleogene, whereas, for the majority of faults that did not  
221 penetrate the entire Paleogene succession, the rate of active dip-slip during the lower  
222 Paleogene was less (Fig. 5, F4 in Line B). In the post-rift stage, the majority of faults have a  
223 greater rate of fault movement during  $N_2m^u$ - $Q_p$  deposition (5.3 Ma to the present day)  
224 compared to that experienced during  $N_2m^L$ - $N_{1g}$  deposition (24.6 Ma to 5.3 Ma), and many  
225 faults apparently were not active during  $N_{1g}$  (24.6 Ma to 12 Ma) deposition (Figure 9A).  
226 Similar results relating to average active rates of fault movement also reveal more generally  
227 that the faults exhibited the highest rates of displacement during  $N_2m^u$ - $Q_p$  deposition (5.3Ma  
228 to the present day) for the whole post-rift stage (Figure 9B).

229 Analysis of 3-D seismic data indicates that the Neogene-Quaternary faults in the middle  
230 segment of the basin were mainly developed after 5.3 Ma, which is supported by the  
231 following observations (Figure 10): (1) the number of faults present in the sequence that  
232 accumulated from 5.3 Ma to the present day is more than twice the number of faults present in  
233 the sequence that accumulated from 12 Ma to 5.3 Ma (Figure 10A); (2) the sequences that  
234 accumulated from 24.6 Ma to 5.3 Ma reveal no visible difference in thickness in the stratal  
235 packages present in the hangingwall and footwall of most Neogene-Quaternary faults,  
236 suggesting no syn-depositional fault activity for this period, despite many such faults having a  
237 throw of several hundreds of meters. This indicates that these faults were effectively inactive  
238 during this period (Figure 10B); (3) a large number of faults extend upward to the sea floor,  
239 demonstrating that they remain active to the present day. Given the above-mentioned frequent  
240 occurrence of historic and recent earthquakes in the Bohai Sea, this is to be expected. Indeed,  
241 the distribution of these earthquakes and focal-mechanism solutions demonstrate the close  
242 relationship between the Neogene-Quaternary faults and the strike-slip behavior of the  
243 Tan-Lu and Zhangjiakou-Penglai fault zones (Chen and Nabelek,1988; Hsiao et al, 2004; Fu

244 et al, 2004; Zhu et al,2009).

245

## 246 **Origin and Controls**

247 The Neogene-Quaternary faults in the Bohai Bay Basin demonstrate that the  
248 NW-trending middle segment of the basin experienced intense faulting during the post-rift  
249 subsidence stage; this behavior is inconsistent with the style of evolution of typical rifted  
250 basins, which tend to be characterized by steady but generally weak faulting during the  
251 post-rift subsidence stage (Mckenzie, 1978; Ziegler and Cloetingh, 2004). Based on the  
252 geometrical characteristics and the distributions of the faults, it can be concluded that the  
253 faulting was closely associated with the NNE (or NE)-trending and NW-trending basement  
254 faults, and activity on these post-rift faults was mostly induced by the reactivation of these  
255 two major fault systems with a significant component of strike-slip movement during the  
256 Neogene and Quaternary. It is there therefore appropriate to refer to this phase of intense  
257 tectonic activity in the Bohai Bay Basin as Neogene-Quaternary tectonic reactivation.

258 The occurrence in the basin of a NW-trending belt characterized by dense, shallow faults  
259 implies that the NW-trending Zhangjiakou-Penglai Fault Zone played the most significant  
260 role. Noticeably, the NNE-trending Tan-Lu Fault Zone runs through the eastern part of the  
261 basin rather than the middle segment of the basin; the intensive faulting along this fault zone  
262 also occurred in the northern and southern segments of the basin during Neogene-Quaternary,  
263 and this was characterized by a single dominant fault that extended upward to a shallow level  
264 and even to the sea floor apparently without association to a dense network of subsidiary  
265 normal faults (Figure 6, Line F in Figure 8). Thus, it is worth discussing the likely conditions  
266 required to cause such differences in the overall spatial pattern of distribution of  
267 Neogene-Quaternary faults.

268 Analysis of the conditions required for the formation of Neogene-Quaternary faults  
269 demonstrates that both the density and pattern of these shallow faults were probably related to  
270 the thickness of Neogene-Quaternary strata. Considering this aspect, four types of behavior

271 are envisaged to account for the development of shallow faults in the Bohai Bay Basin  
272 (Figure 11):

273 *Type I:* in areas characterized by a very thick accumulation of Neogene-Quaternary strata  
274 (equating to more than 2 seconds two-way travel time on the seismic profiles), such as the  
275 central portion of Bozhong sags and other deeper sags, the shallow faults are very densely  
276 packed, most were initiated during the Neogene-Quaternary, and a small number are not  
277 connect with the older faults either directly or indirectly (Figure 5: left part of Line A, right  
278 part of Line B and Line E). In this situation, one single old fault apparently induced the  
279 initiation and development of a wider network of Neogene-Quaternary faults.

280 *Type II:* in areas characterized by a moderate thickness of accumulation of  
281 Neogene-Quaternary strata (equating to about 1 to 2 seconds two-way travel time on seismic  
282 profiles), shallow faults are arranged into a very dense pattern; most were initiated during the  
283 Neogene-Quaternary, and nearly all connect with the older faults either directly or indirectly.  
284 In this situation, one single old fault, either a sub-vertical strike-slip fault or a listric normal  
285 fault, apparently induced a narrower network of Neogene-Quaternary faults than in the first  
286 situation (compare the left part and right part of Line A in figure 5).

287 *Type III:* in areas characterized by a very thin accumulation of Neogene-Quaternary  
288 strata (equating to less than 1 second two-way travel time on seismic profiles), such as the  
289 northern and southern segment of the basin, the shallow faults that elsewhere form dense  
290 networks are very sparse, and in places only the major Paleogene faults extend upward to the  
291 shallow level and even to the sea floor (Figure 8).

292 *Type IV:* elsewhere in the areas characterized by a very thin accumulation of  
293 Neogene-Quaternary strata (equating to less than 1 second two-way travel time on seismic  
294 profiles), denser arrangements of shallow faults may occur. This type of situation only occurs  
295 in eastern part of the middle segment of the basin where the NW-trending  
296 Zhangjiakou-Penglai Fault Zone and NNE-trending Tan-Lu Fault Zone intersect (right parts  
297 of geological section XX' in Figure 2 and seismic line A in Figure 5).

298 Based on the above discussion, the origin of the dense Neogene-Quaternary faults in the  
299 middle segment of the basin arose mainly in response to two conditions: (1) the interaction  
300 between the NW-trending and NNE(or NE)-trending faults, and (2) the occurrence of a  
301 thicker developed succession of Neogene-Quaternary strata. Both of these conditions favor  
302 the occurrence of a greater number of subsidiary faults at relatively shallow levels.

303 The great majority of Neogene-Quaternary faults discussed above were induced by the  
304 reactivation of older faults; exceptions to this are a relatively small number of  
305 Neogene-Quaternary faults that were initiated by other conditions, including faults associated  
306 with igneous intrusions, as illustrated by seismic sections B and E (Figure 5).

307

### 308 **ACCELERATED TECTONIC SUBSIDENCE**

309 A second noteworthy characteristic of the Neogene-Quaternary evolution of the Bohai  
310 Bay Basin is a marked acceleration in the rate of tectonic subsidence that occurred at ~12 Ma.  
311 This event has been documented by the previous researchers (Hu et al, 2001; He and Wang,  
312 2003; Xie et al, 2007) and has been interpreted as rapid tectonic subsidence that was  
313 considered to result from the dextral movement of the Tan-Lu Fault Zone. Further analysis  
314 and interpretation of this phenomenon is provided in this study.

315 The back-stripping method (Steckler and Watts, 1978) is herein used to quantify the rate  
316 and history of tectonic subsidence in the main structural units (including rises and sags) of the  
317 Bohai Bay Basin for the Cenozoic and Quaternary. Data from 120 wells have been analyzed.  
318 A correction for compaction has been applied using porosity-depth relationships based on the  
319 observed lithologies, and by using standard mean exponential relationships, and material  
320 parameters (cf. Sclater and Christie, 1980). Input data include lithology, age and paleo-water  
321 depth. Lithologies and stratal ages have been obtained from well data; the biostratigraphy of  
322 Neogene-Quaternary strata have been studied in detail for the offshore the Bohai Sea (e.g.  
323 Deng and Li, 2008; Zhu et al, 2009), such that data pertaining to lithology and stratal ages for  
324 all investigated wells are credible. Paleo-water depths are inferred from depositional

325 environment; the water depths during Paleogene rifting stage are restricted to a narrow range  
326 of less than 50 m because most of the sediments were deposited in continental shallow  
327 lacustrine environments (Figure 3); by contrast, the water depths during Neogene-Quaternary  
328 post-rift stage are treated as zero because the great majority of the succession accumulated in  
329 a non-marine fluvial environment.

330 The wells used in this study are distributed in a non-uniform arrangement across both the  
331 rises and sags, through more are located on the rises. Despite this, because the  
332 Neogene-Quaternary strata are relatively uniform and near-flat-lying with only gradual  
333 thickening to the basin center, it can be demonstrated that the Paleogene topography exerted  
334 only a modest influence on the thickness of accumulation of the succession; thus, the pattern  
335 and history of Neogene-Quaternary subsidence revealed by the studied well data reflects the  
336 overall trends for the entire basin. Given that some wells in the basin have been drilled on  
337 Neogene structural highs, care is required to ensure that these wells do not bias results due to  
338 the occurrence of locally anomalous data; considering this aspect, data from these wells have  
339 been ignored to eliminate this risk in this study. Given that the analysis of Paleogene  
340 subsidence is not the focus of this study, the data obtained solely from wells located in sags  
341 are considered sufficient for this analysis.

342 Tectonic subsidence curves for the entire Cenozoic and Neogene-Quaternary post-rift  
343 stage are shown in Figure 12. The curves (Figure 12A) reveal an anomalous post-rift tectonic  
344 subsidence history that is characterized by a marked increase in subsidence rate. This differs  
345 significantly from the theoretical trend predicted by conventional post-rift subsidence models,  
346 which predict an exponentially decreasing rate of subsidence. This departure from the  
347 expected norm is demonstrated by comparison of subsidence curves from this study with  
348 theoretical post-rift tectonic subsidence curves arising from different stretching factors in a  
349 theoretical rift basin (cf. Baur et al, 2010) in Figure 12A.

350 To more fully describe and analyze the change in tectonic subsidence a distinction is here  
351 made between rapid tectonic subsidence and accelerated tectonic subsidence. Rapid tectonic

352 subsidence refers to a change in total tectonic subsidence in space, whereas accelerated  
353 tectonic subsidence emphasizes a change in tectonic subsidence rate over time; in this study,  
354 the former term is reflected by the total tectonic subsidence of several sedimentary intervals  
355 ( $N_g$ ,  $N_2m^L$ ,  $N_2m^U$ ,  $Q$ ) (Figure 13), whereas the latter term is reflected by the accelerated rate  
356 of tectonic subsidence relative to the  $N_1g$  tectonic subsidence rate (accelerated intensity or AI  
357 for short, Figure 14); a steepening of the slope of the tectonic subsidence curve (distance/time)  
358 for a given point demonstrates accelerated subsidence (Figure 12B). For comparison, the  
359 contour maps in Figures 12 and 13 illustrate well the difference between the two terms: the  
360 area with greater total tectonic subsidence (i.e., rapid tectonic subsidence) may not necessarily  
361 experience an increase in the accelerated intensity of tectonic subsidence. The two terms have  
362 no strict inter-relationship or dependency (potential reasons for this are discussed below).

363 From this study, the following interpretations are made regarding the  
364 Neogene-Quaternary tectonic subsidence of the Bohai Bay Basin:

365 (1) Rapid tectonic subsidence occurred distinctly in the middle segment of the basin during  
366 the Neogene-Quaternary, and was characterized by increased total tectonic subsidence. It  
367 has a regular pattern such that the total tectonic subsidence is greatest in the Bozhong  
368 region (i.e., the Bozhong depression) during each sedimentary interval but gradually  
369 decreases with distance away from the center of this region. The Liaodongwan region, for  
370 example, experienced no deposition from 5.3-2.6 Ma and consequently had a zero tectonic  
371 subsidence during this interval (Figure 13).

372 (2) From the changes in the slope of the post-rift tectonic subsidence curve (Figure 12B), the  
373 following interpretations are made. The tectonic subsidence of the later post-rift  
374 subsidence stage (12-0 Ma) in the entire Bohai Bay Basin was clearly accelerated relative  
375 to that of the early post-rift subsidence stage (24.6-12 Ma) and this change is here defined  
376 as an accelerated tectonic subsidence event. Indeed, three phases of accelerated tectonic  
377 subsidence are recognized: 12-5.3 Ma, 5.3-2.6 Ma and 2.6-0 Ma. Most of the data reveal  
378 that the accelerated intensity of the three phases increased with time, with the exception of

379 data from the Liaodongwan region, which did not experience tectonic subsidence from  
380 5.3-2.6 Ma. This phenomenon identified here is inconsistent with the theoretical  
381 understanding regarding a purely thermal subsidence history during the post-rift thermal  
382 subsidence stage of rifted basin evolution, which is characterized by an exponential  
383 reduction in the rate of subsidence over time (Mckenzie, 1978).

384 (3) Contour maps of the accelerated intensity (AI) based on analysis of well data reveal some  
385 detailed information about the nature of the accelerated tectonic subsidence (Figure 14):  
386 the entire configuration of the contours is similar with that of the contours of total tectonic  
387 subsidence in Figure 13, although it is important to note that the center of the Bozhong  
388 Depression, which has a greater total tectonic subsidence has a smaller accelerated  
389 intensity, whereas the area around the depression has a larger accelerated intensity. Also,  
390 the Liaodongwan region has a negative accelerated intensity during the period from  
391 5.3-2.6 Ma due to the zero tectonic subsidence. The overall distribution of the contours is  
392 not strictly controlled by the location of the NE-trending Tan-Lu Fault Zone and this  
393 indicates that the dextral movement of this fault zone exerted only a weak influence on the  
394 accelerated tectonic subsidence.

395 (4) The rapid tectonic subsidence in the Bozhong region is characterized by a large total  
396 tectonic subsidence that was initiated during the  $N_{1g}$  deposition following the rifting stage  
397 of the whole basin (Figure 13). This is consistent with the location of the depocenter and  
398 subsidence center of the whole Paleogene rifting basin; it may therefore reflect a natural  
399 evolutionary process of the rifted basin. By contrast, the onset of accelerated tectonic  
400 subsidence at 12Ma, which occurred in the whole basin and even in the entire East Asia  
401 continental margin (Hu et al., 2001; Ren et al, 2002; Yang et al, 2004; Xie et al, 2006),  
402 may reflect a change of regional geodynamic setting. Significantly, the occurrence of  
403 similar accelerated tectonic subsidence events in other rifted basins during their post-rift  
404 subsidence stages are usually considered to have arisen as an effect of intraplate stresses  
405 (e.g. Southern North Sea, Kooi et al.,1991; Black Sea Basin, Cloetingh et al., 2003). Thus,

406 it is conceivable, and arguably likely, that the post-rift subsidence of the Bohai Bay Basin  
407 was markedly influenced by intraplate stresses.

408 (5) The final phase of accelerated tectonic subsidence (2.6 Ma to present) was most the  
409 intense and extensive (Figure 14B), implying that it arose in response to a dynamic setting  
410 that operated over a broad region. Noticeably, this phase of accelerated tectonic  
411 subsidence was more intense in some parts of the Liaodongwan region than in the other  
412 parts of the basin. By contrast, the Bozhong region, which experienced a greater total  
413 tectonic subsidence during the Quaternary and which is characterized by a relatively thick  
414 Neogene-Quaternary sedimentary succession, experienced weaker accelerated tectonic  
415 subsidence during this period (Figure 14B).

416

## 417 **DISCUSSION**

### 418 **Relationship between the Neogene-Quaternary Faults and Accelerated Tectonic** 419 **Subsidence**

420 The enhancement of the syn-depositional normal faulting is the usual mechanism that  
421 results in accelerated tectonic subsidence; the rifting stage with intense faulting would be  
422 expected to result in a faster rate of tectonic subsidence than the post-rift stage. However,  
423 during the post-rift stage of the Bohai Bay Basin, the faulting also caused accelerated tectonic  
424 subsidence, as illustrated by the horizon-flatted seismic section in Figure 10B, for example,  
425 where the hangingwall reveals a thicker accumulated succession, thereby demonstrating  
426 syn-depositional fault activity (5.3-0 Ma). Thus, the accelerated intensity of the tectonic  
427 subsidence rate around the Bozhong Depression is greater than in the center of the depression;  
428 this may be due to the development of the network of dense faults around the depression  
429 (Figure 14).

430 A significant question is to be resolved is whether all the accelerated subsidence of the  
431 Bohai Bay Basin occurred in response to the Neogene-Quaternary faulting. If this was the  
432 case then the accelerated subsidence would likely have occurred in the hangingwall only,

433 whereas the footwall and areas where no active faulting was present would not have been  
434 subjected to accelerated subsidence. Following this reasoning, we use the  
435 Neogene-Quaternary tectonic subsidence history from two wells (Well-1 and Well-2), located  
436 on the hangingwall and footwall of a master fault, to reveal the relationship between tectonic  
437 subsidence and faulting intensity (Figure 15). The following observations are made. (1)  
438 During the N<sub>1g</sub> deposition, the master fault ceased activity, whereas the footwall (Well-2)  
439 experienced more rapid tectonic subsidence than the hangingwall (Well-1); since that time,  
440 the master fault experienced intensive activity and tectonic subsidence in the hangingwall was  
441 substantially accelerated (especially during N<sub>2m</sub><sup>u</sup> and Q); this demonstrates that the intense  
442 reactivity of the fault did indeed induce the accelerated subsidence. (2) for the same period,  
443 variation in the slopes of the two curves record that some accelerated subsidence occurred in  
444 *both* the hangingwall and the footwall; this trend implies that the accelerated subsidence is not  
445 entirely produced by the intense reactivity of the fault alone.

446 Furthermore, comparison of the distributions of the densely spaced faults and the region  
447 that experienced an accelerated intensity of tectonic subsidence (Figure 6, 14) reveals that the  
448 two tectonic events did not wholly temporally nor spatially overlap and intense reactivation of  
449 the faults was not alone responsible for generating the entire component of accelerated  
450 subsidence; there must, therefore, be additional factors that contributed to the accelerated  
451 subsidence.

452

### 453 **Geodynamics**

454 Previous studies show that the Bohai Sea is the location of the thinnest crust and  
455 lithosphere in northern China (Teng et al, 1997; Griffin et al 1998; Kusky et al, 2007; R.X.  
456 Zhu et al 2012). The broader region has been generally referred to as the site of craton  
457 destruction in the North China Craton, and is associated with widespread crustal extension  
458 and the formation of many rift basins (e.g. Wu et al., 2005; Zhai et al., 2007; Li et al, 2010; G.  
459 Zhu et al., 2012; R.X. Zhu et al., 2012; Figure.1A). Results from this study suggest that the

460 post-rift accelerated tectonic subsidence in the Bohai Bay Basin is most likely the  
461 manifestation of the reduced thickness of lithosphere, and is therefore a probable indicator of  
462 craton destruction in the North China Craton. Although the latest occurrence of craton  
463 destruction proposed previously has been early Cenozoic (Xu et al, 2009; R.X. Zhu et al.,  
464 2012), this post-rift accelerated tectonic subsidence may indicate a new phase of craton  
465 destruction in the North China Craton, with an onset age of 12 Ma. Furthermore, the  
466 widespread occurrence of a similar accelerated tectonic subsidence event, also with an onset  
467 age of 12 Ma, in the East Asia continental margin (Hu et al., 2001; Ren et al, 2002; Yang, et al,  
468 2004; Xie, et al, 2006) indicates that the geodynamics of this tectonic event may be related to  
469 the subduction of the Pacific Plate relative to the Eurasian Plate. This conclusion is consistent  
470 with recent insights into dominant geodynamic controls on craton destruction of the North  
471 China Craton (R.X. Zhu et al., 2012).

472       Recent GPS measurement and seismo-tectonic studies show that the eastward extrusion  
473 induced by India-Asia convergence is the dominant mode of block kinematics in north of  
474 China (Shen et al., 2000; Wang et al, 2001; Xie et al, 2004; Xu et al, 2008). It is therefore  
475 possible, and arguably probable, that India-Asia convergence is the driving force responsible  
476 for the widespread development of the dense networks of shallow faults after 5.3 Ma.  
477 Specifically, the far-field effect of this convergence could be responsible for the lateral  
478 movements on the massifs around the Bohai Sea Basin, which induced the intense  
479 reactivation of the basement faults and produced the high density Neogene-Quaternary faults.  
480 This intense faulting would contribute to the accelerated tectonic subsidence. The post-rift  
481 accelerated tectonic subsidence in the Bohai Bay Basin may therefore have arisen as a result  
482 of deep geological function involving intraplate stresses, combined with rift-basin thermal  
483 subsidence.

484

#### 485 **Influence of Tectonic Reactivity on Hydrocarbon Accumulation**

486       The significance of the Neogene-Quaternary post-rift tectonic reactivation in terms of

487 petroleum geology is that it produced numerous structural traps as well as directly reforming  
488 the primary pressure and fluid fields of the basin; thus, it induced the redistribution and  
489 re-accumulation of hydrocarbons. The following discussion points arise:

490 (1) The accelerated tectonic subsidence occurring after 12 Ma in the Bohai Bay Basin buried  
491 the Paleogene source rocks of the basin deeper, resulting in a higher maturity. This is  
492 especially the case for the Bozhong depression, offshore the Bohai Sea, site of the  
493 shallowest viable source rocks accumulated during Paleogene rifting stage (i.e., the  
494 source rock for the lower part of Dongying Formation). This additional subsidence  
495 enabled the source rocks to reach maturity due to the emplacement of additional  
496 overburden (Gong et al, 2010). Furthermore, as a direct result of accelerated tectonic  
497 subsidence, the offshore Bohai Sea deposited shallow, argillaceous lacustrine successions,  
498 and these likely served to enable the juxtaposition of seal and reservoir rocks required for  
499 the development of viable hydrocarbon plays (Deng and Li, 2008).

500 (2) The networks of dense Neogene-Quaternary faults provided the most important pathway  
501 for vertical hydrocarbon migration from the Paleogene sequence to the Neogene  
502 sequence. Although some previous studies argue against the function of these shallow  
503 faults as conduits for the transport of hydrocarbons (e.g., Hao et al, 2007), statistical data  
504 analysed as part of this study relating to discovered reserves in the offshore Bohai Sea  
505 demonstrate that, in the middle segment (Bozhong region) of the Offshore Bohai Sea,  
506 61% of the reserves are hosted in the Neogene sequence, suggesting that the existence of  
507 shallow faults connecting the Paleogene source rock with the Neogene reservoir rock has  
508 been critical for enabling hydrocarbon migration (Figure 16). By contrast, in the  
509 Liaodongwan region, where shallow faults are sparsely developed, shallow hydrocarbon  
510 reservoir are few in number. Thus, it is here suggested that these shallow faults played an  
511 active role in vertical hydrocarbon migration, and were the critical in enabling the  
512 charging of Neogene reservoirs in the Offshore Bohai Sea. Direct evidence to support  
513 this claim includes the occurrences of gas chimneys imaged on seismic data and gas vent

514 pits observed on the seafloor in the Offshore Bohai Sea, which indicates that faults that  
515 extend upward to the sea floor are highly efficient pathways for gas seepage to the sea  
516 floor (Deng and Li, 2008; Gong et al, 2010).

517 Furthermore, fault patterns may also influence hydrocarbon accumulation (Figure  
518 16): the Type II and Type IV fault patterns (described above) are most favorable for  
519 hydrocarbon accumulation at shallow levels since they are characterized by large  
520 numbers of Neogene subsidiary faults that connect to older faults either directly or  
521 indirectly. By contrast, the Type III fault pattern is least favorable due the presence of  
522 fewer Neogene subsidiary faults. Similarly, the Type I fault pattern in which Neogene  
523 subsidiary faults do not connect to older faults is also unfavorable for hydrocarbon  
524 accumulation at shallow levels.

525 (3) Many Neogene structural traps were formed due to the influence of the post-rift tectonic  
526 reactivation in the Bohai Sea. Such traps are mostly associated with anticlines formed in  
527 response to regional compressional stress, many of which are affected by the normal  
528 faulting and strike-slip movement. Many of these structural traps have been discussed in  
529 the previous literature (e.g., Deng and Li, 2008; Zhu et al, 2009; Gong et al, 2010).

530 (4) Theoretically, hydrocarbon accumulation is dominantly controlled by the latest tectonic  
531 event. Therefore, the Neogene-Quaternary tectonic reactivation in the Bohai Bay Basin  
532 was the critical tectonic event for hydrocarbon accumulation in the region. The peak  
533 accumulation of hydrocarbons in the offshore Bohai Sea occurred after 5.3 Ma (Gong et  
534 al, 2010), and undoubtedly this was a direct result of this tectonic event: the accelerated  
535 tectonic subsidence after 12 Ma enabled the generation of abundant hydrocarbons and  
536 the associated faulting facilitated their migration to shallow reservoirs. The intensity and  
537 long-lived duration of tectonic activity produced a large number of shallow structural  
538 closures, and also reformed the primary pressure and fluid fields required to drive  
539 migration and charge reservoirs. Pre-existing older reservoirs were also deformed as a  
540 consequence of this process, resulting in further hydrocarbon migration from the deeper

541 reservoirs through the networks of dense, shallow faults to further contribute to the  
542 re-charging of the new closures.

543

## 544 **CONCLUSIONS**

545 The Bohai Bay Basin underwent intense post-rift tectonic reactivation, which was  
546 characterized by the development of a dense network of shallow faults and an anomalously  
547 high rate of post-rift tectonic subsidence. The following new insights regarding the structural  
548 deformation experienced in the Bohai Bay Basin during the Neogene-Quaternary have arisen  
549 as an outcome of this study:

550 (1) The dense network of shallow faults is located solely in a NW-trending belt of the  
551 basin where the Zhangjiakou-Penglai Fault Zone was developed. Activity on most of these  
552 faults, which developed mainly after 5.3 Ma, was induced by the reactivation of NNE (or  
553 NE)-trending and NW-trending basement faults, which themselves experienced a substantial  
554 component of strike-slip movement during the Neogene and Quaternary. The origin and  
555 pattern of the dense network of faults arose in response to two conditions: interaction in the  
556 zone of intersection between the NW-trending and NNE (or NE)-trending faults, and the  
557 occurrence of a thick succession of Neogene and Quaternary strata. The accelerated rate of  
558 post-rift tectonic subsidence was distributed across the whole Bohai Bay Basin, and was  
559 initiated by 12 Ma; it evolved in three phases (12-5.3 Ma, 5.3-2.6 Ma and 2.6-0 Ma) with each  
560 phase characterized by an increased rate of tectonic subsidence and a greater intensity of  
561 tectonic subsidence at the margin of the Bozhong Depression.

562 (2) Fault reactivation acted as a contributory factor that further enhanced the rate of  
563 post-rift subsidence, especially around the Bozhong Depression. However, inconsistencies in  
564 the timing and spatial location of the faulting and accelerated subsidence demonstrates that  
565 the intense faulting was not the sole cause of the accelerated tectonic subsidence. The post-rift  
566 accelerated subsidence may indicate a new phase of craton destruction of the North China  
567 Craton, possibly related to the build-up of intraplate stresses at great depth combined with the

568 effects of rift-basin thermal subsidence. Results from this study imply a weak link between  
569 the anomalously high rate of tectonic subsidence and the dextral motion of the Tan-Lu Fault  
570 Zone, which is contrary to most previous suggestions.

571 (3) This case study demonstrates that post-rift tectonic reactivation results in conditions  
572 that are favorable to hydrocarbon accumulation in a rift-basin setting. Post-rift tectonic  
573 reactivation in the Neogene-Quaternary resulted in the generation of a unique hydrocarbon  
574 habitat in the Bohai Bay Basin, whereby hydrocarbon formation, migration and accumulation  
575 within reservoirs occurred at a late and super-late stage, such that abundant shallow reservoirs  
576 exist in the NW-trending middle segment where the dense network of shallow faults that  
577 connect to the older faults (either directly or indirectly) are developed.

578 (4) This study provides a detailed case study for an unusual style of post-rift tectonic  
579 reactivation within a rift-basin setting. It indicates that structural deformation associated with  
580 post-rift tectonic reactivation has a different expression to that which occurred during the  
581 syn-rift stage: it is less intense overall, yet arose in response to a complex and dynamic  
582 formative mechanism. This post-rift structural deformation may comprise two parts: one  
583 requires the reactivation of the syn-rift structural elements (i.e., fault development), whereas  
584 the other develops in a manner unrelated to syn-rift structural deformation (i.e., anomalous  
585 tectonic subsidence).

586

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## 786 **FIGURE CAPTIONS**

787 Figure 1. (A) Regional location and (B) simplified structure of the Cenozoic Bohai Bay Basin.  
788 (C) Location of seismic and well data used in this study.

789

790 Figure 2. Geological section through the Bohai Bay Basin. See Figure 1(C) for location.

791

792 Figure 3. General stratigraphy of the offshore Bohai Bay Basin showing major tectonic and  
793 depositional events. Lacustrine source rocks are concentrated in the Dongying and Shahejie  
794 formations. Form. = Formation; RPW depth = relative paleowater depth. This stratigraphy is  
795 representative of all wells analyzed in this study.

796

797 Figure 4. Epicenter distribution of earthquakes ( $M_s \geq 5.0$ ) in Bohai Bay Basin and adjacent  
798 areas for the period 1500 to 1999 (modified from Fu et al, 2004). The focal mechanism  
799 solutions are from Hsiao et al (2004).

800

801 Figure 5. Non-interpreted and interpreted seismic sections through the middle of the Offshore  
802 Bohai Sea showing a network of denser faults developed in Neogene-Quaternary strata. Note  
803 the presence of an anticline below the Quaternary sequence in seismic line A, indicating an  
804 intensive regional compressive tectonic event occurring at about 2.6 Ma. See Figure 1(C) for  
805 location.

806

807 Figure 6. The planimetric distribution of the faults at the bottom of Pliocene sequence (A,  
808 about 5.3 Ma) and Oligocene sequence (B, about 38 Ma), offshore the Bohai Sea. Map C is  
809 the 3-D seismic coherency time slice (800ms) within the Neogene sequence of the area  
810 marked in Map A.

811

812 Figure 7. 3-D coherency seismic time slices (600 ms) within the Neogene sequence showing  
813 the distribution of Neogene faults in the Offshore Bohai Sea (A). (B)-(E) show details of  
814 some local areas that contrast the style of development of Paleogene and Neogene faults.  
815 Time slices from 1000 ms and 2500 ms from within the Paleogene sequence and basement are

816 presented. The *en-echelon* pattern of the subsidiary faults indicates the strike-slip movement  
817 of the basement faults during Neogene-Quaternary. See Figure 1(C) for location.

818

819 Figure 8. Non-interpreted and interpreted seismic sections showing different styles of  
820 development of Neogene faults. See Figure 1C for location. See the text for further  
821 explanation.

822

823 Figure 9. (A) Rates of active dip-slip faulting from some of the main faults indicated by black  
824 lines on the seismic sections shown in Figures 5 and 10; the number behind the gray columns  
825 are the rates of active dip-slip of the faults (m/Myr); F1-F6 are marked on the seismic sections;  
826 note that for the analysis of F3, two parallel faults were treated as a whole. (B) Average rates  
827 of active dip-slip faulting of the main faults in the southern part of the Offshore Bohai Bay  
828 Basin. (C) Explanation of the methodology used for the calculation of the active rate of  
829 dip-slip faulting.

830

831 Figure 10. Seismic sections demonstrating that the Neogene-Quaternary faults were mainly  
832 developed after 5.3 Ma. (A) Two seismic sections show that most of the faults were developed  
833 in the  $N_2m^u$  and Q sequences (5.3-0 Ma). (B) Seismic Line B in Figure 5 without fault  
834 interpretation, and horizon-flatted sections from the base of the 5.3 Ma horizon and the 12 Ma  
835 horizon. Note the variety of the shaded areas between the hangingwall and footwall that show  
836 that notable differences in accumulated thicknesses caused by syn-depositional faulting  
837 between the hangingwall and footwall only occurred in the  $N_2m^u$  and Q sequences (5.3-0 Ma).  
838 See Figure 5 for fault interpretation of Line B. See Figure 1C for location.

839

840 Figure 11. Models describing the style of development of shallow faults in the Bohai Bay  
841 Basin. See the text for further explanation.

842

843 Figure 12. Back-stripping analysis of tectonic subsidence (m) using data from wells in the  
844 Bohai Bay Basin during the whole Cenozoic (A, 65-0 Ma) and Neogene-Quaternary post-rift  
845 stage (B, 24.6-0 Ma). The broad gray curves in (A) show the theoretical post-rift tectonic  
846 subsidence with different stretching factors for a standard rift basin (from a uniform Mckenzie  
847 model, modified from Baur et al, 2010). The curves reveal the marked anomalous post-rift  
848 tectonic subsidence history of the Bohai Bay Basin (characterized by increasing subsidence  
849 rate instead of the exponentially decreasing subsidence rate). See Figure 1C for well locations.  
850 All wells shown in Figure 1C are used in (B), whereas only those indicated as black dots in  
851 circle are used in (A).

852  
853 Figure 13 Contour maps of tectonic subsidence (m) of (A) Quaternary, 2.6-0 Ma; (B)  $N_2m^u$ ,  
854 5.3-2.6 Ma; (C)  $N_2m^L$ , 12-5.3 Ma; (D)  $N_{1g}$ , 24.6-12 Ma; (E) Neogene and Quaternary, 24.6-0  
855 Ma. For comparison, the main faults at the bottom of the  $N_{1g}$  (indicated as red lines) are  
856 superimposed; location of analyzed wells are indicated by black dots.

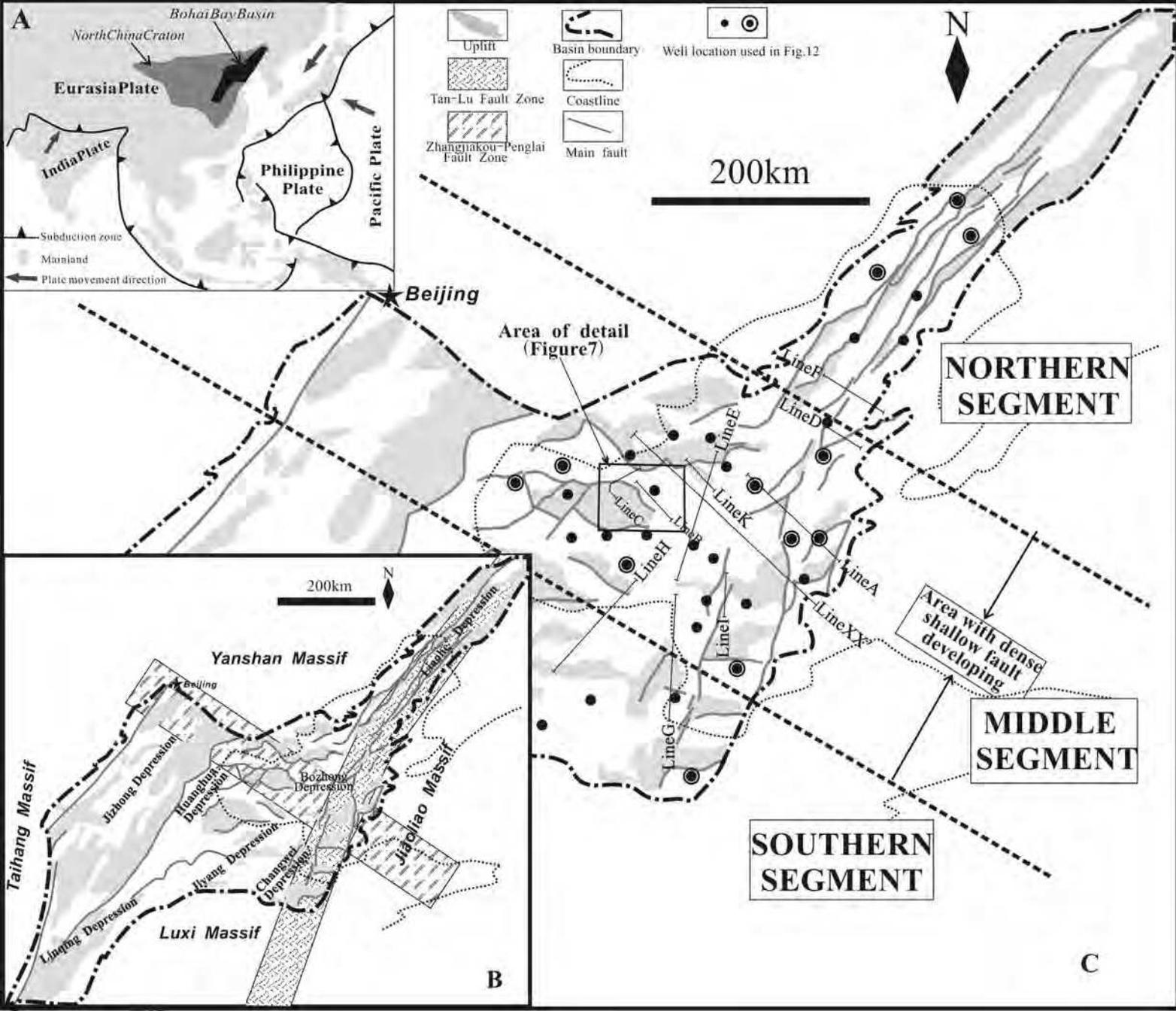
857  
858 Figure 14. Contour maps of (A) tectonic subsidence rate (m/Myr) during  $N_{1g}$  deposition  
859 (24.6-12 Ma), and the accelerated intensity of the tectonic subsidence rate relative to the  $N_{1g}$   
860 tectonic subsidence rate during (B) Quaternary, 2.6-0 Ma; (C)  $N_2m^u$ , 5.3-2.6 Ma; (D)  $N_2m^L$ ,  
861 12-5.3 Ma. The numbers in maps B, C, D are the accelerated intensity of the tectonic  
862 subsidence rate relative to the early post-rift tectonic subsidence rate, and are obtained by the  
863 equation  $AI = (R_i - R_N) / R_N$ , where AI is the accelerated intensity,  $R_i$  and  $R_N$  are the tectonic  
864 subsidence rates during the corresponding period (Quaternary,  $N_2m^u$ ,  $N_2m^L$ ) and the episode  
865 of  $N_{1g}$  deposition (24.6-12 Ma), respectively; location of the analyzed well is indicated by the  
866 black dot.

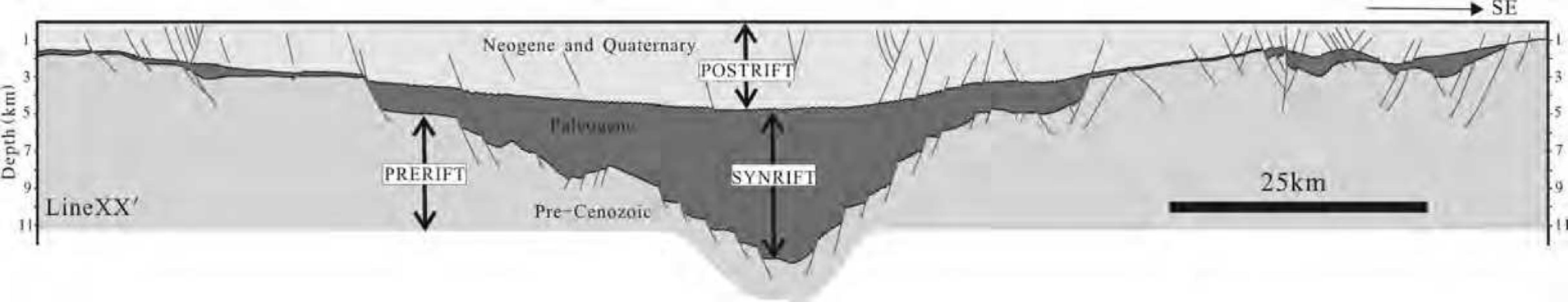
867  
868 Figure 15. (A) Seismic section across the boundary fault of a Paleogene half-graben  
869 (indicated as black line), which underwent intensive reactivation during the

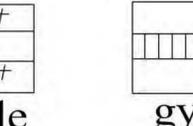
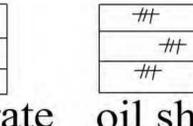
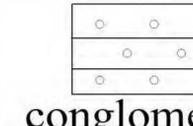
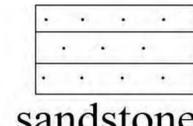
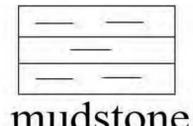
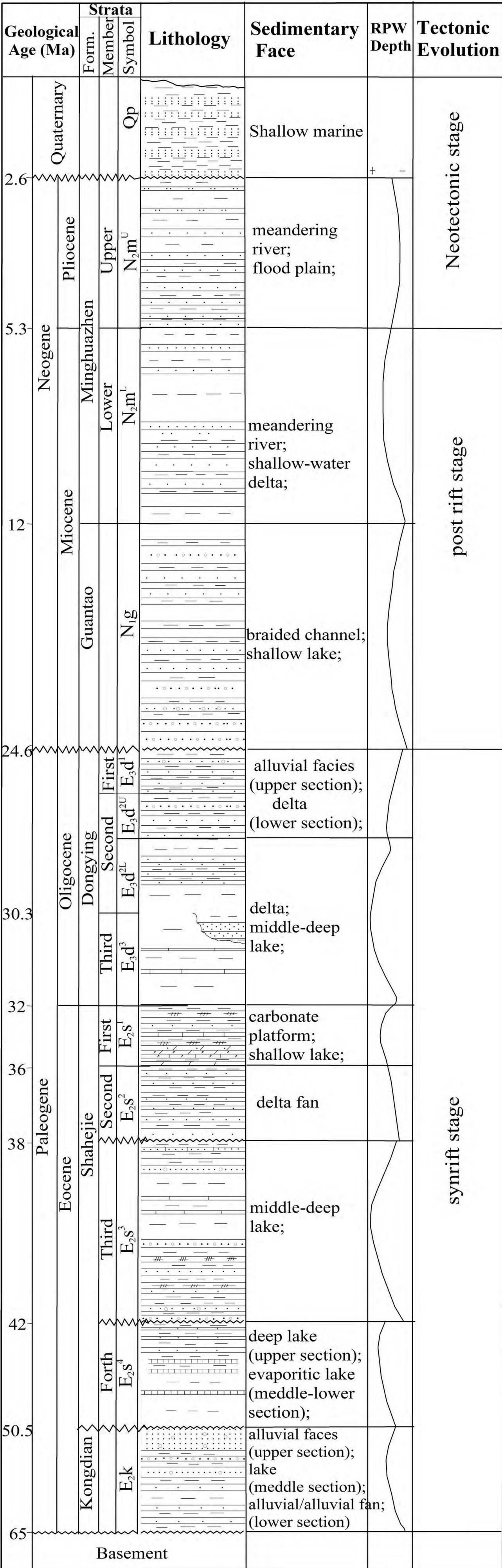
870 Neogene–Quaternary to produce many Neogene-Quaternary faults; (B) the active rate of  
871 tectonic subsidence (m/Myr) of this master fault. (C) tectonic subsidence curves of two wells  
872 located on the hangingwall and footwall of this master fault, respectively. They indicate the  
873 relationship between fault reactivation and accelerated subsidence during the  
874 Neogene-Quaternary. See the text for further explanation.

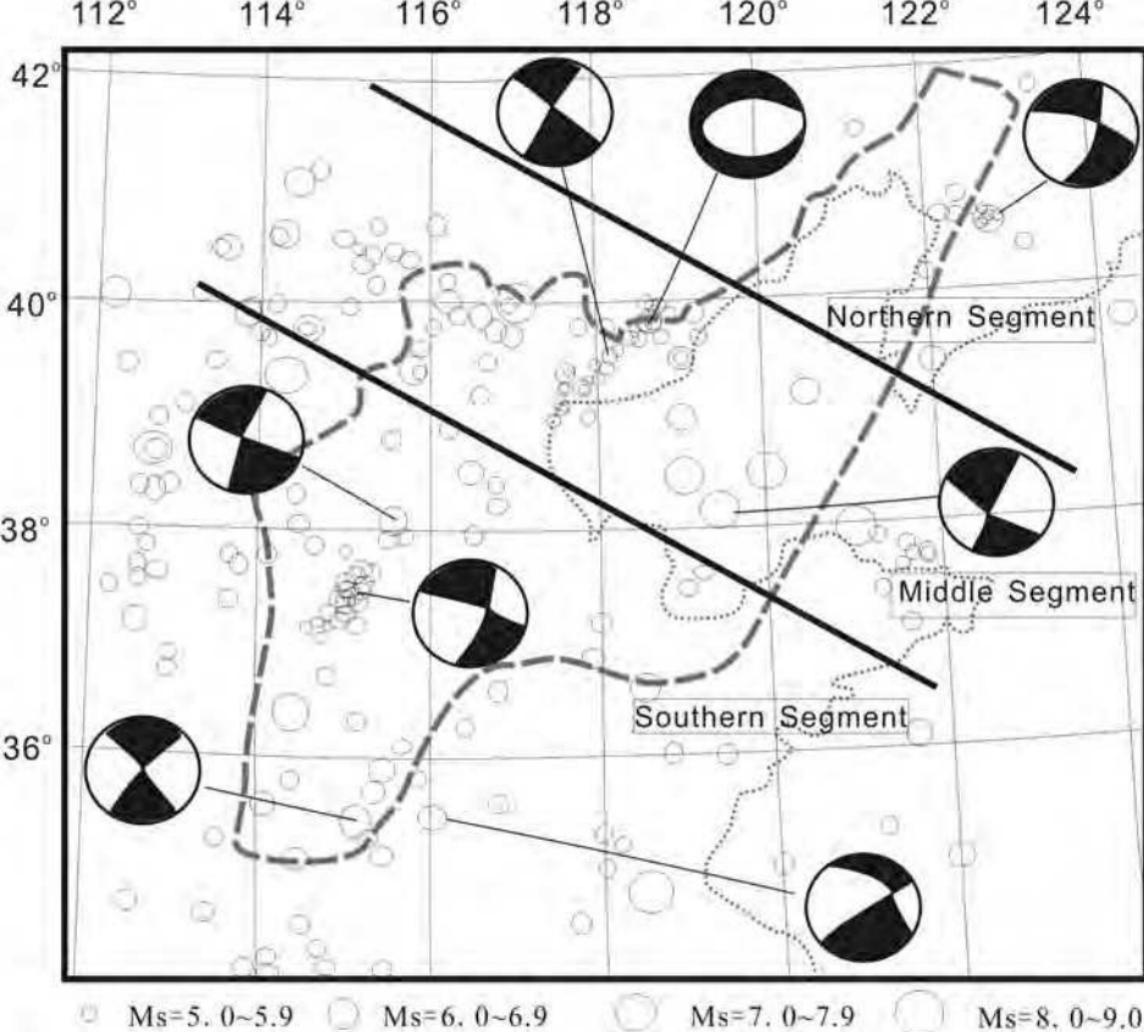
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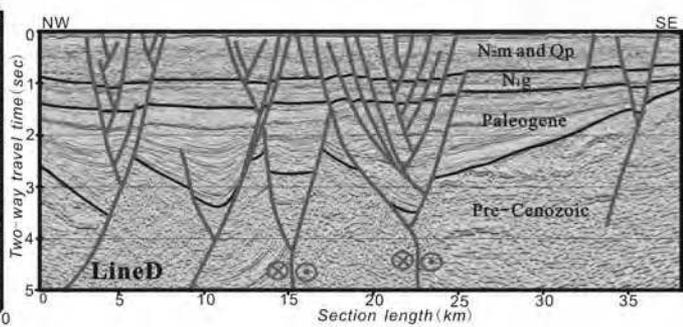
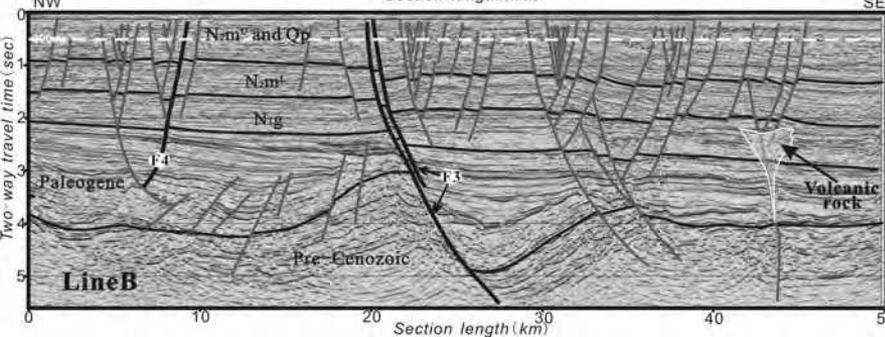
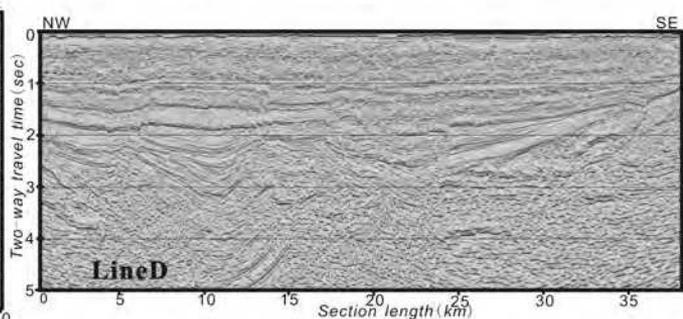
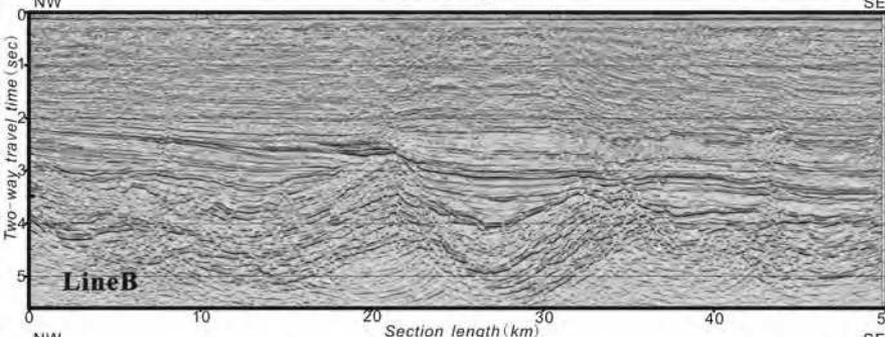
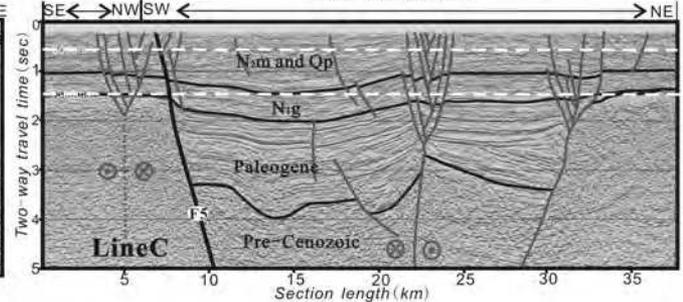
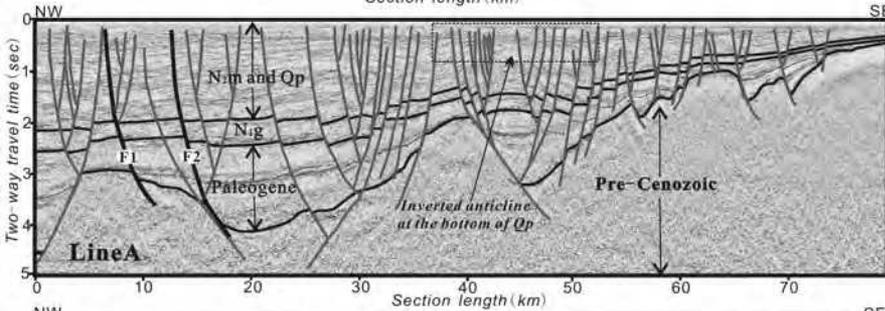
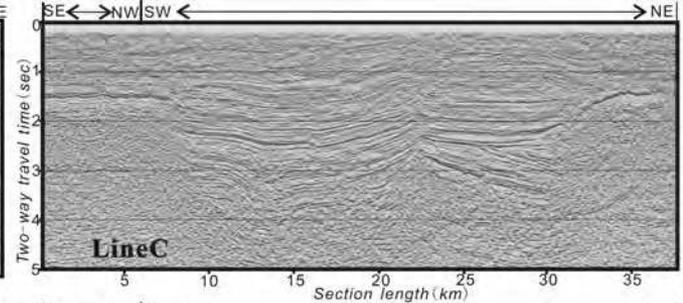
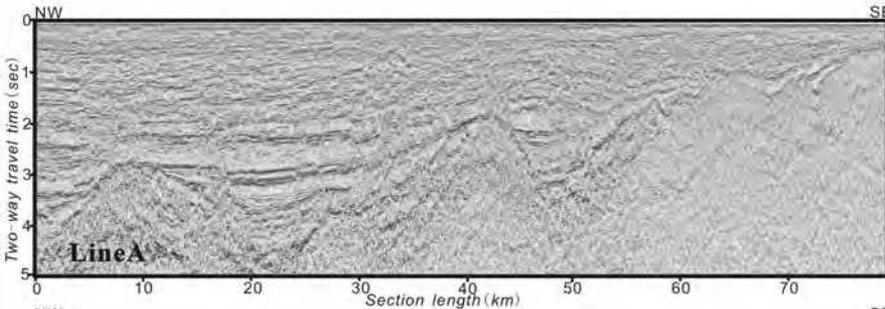
876 Figure 16. Schematic-cross-section of Neogene reservoirs in the Offshore Bohai Sea showing  
877 the relationship between hydrocarbon accumulation and Neogene-Quaternary faulting. In the  
878 area with sparse Neogene-Quaternary faults, there are no Neogene reservoirs; by contrast, in  
879 the area characterized by a network of dense Neogene-Quaternary faults, many Neogene  
880 reservoirs are documented and these are usually closely related to old (Paleogene) faults that  
881 have been continuously active and their Neogene subsidiary faults, which connect to the  
882 Paleogene faults either directly or indirectly. Type II and IV fault patterns are most favorable  
883 for hydrocarbon accumulation at shallow levels, whereas the Type III fault pattern is least  
884 favorable; the Type I fault pattern, in which Neogene subsidiary faults do not connect to the  
885 older faults, is also unfavorable for hydrocarbon accumulation at shallow levels.

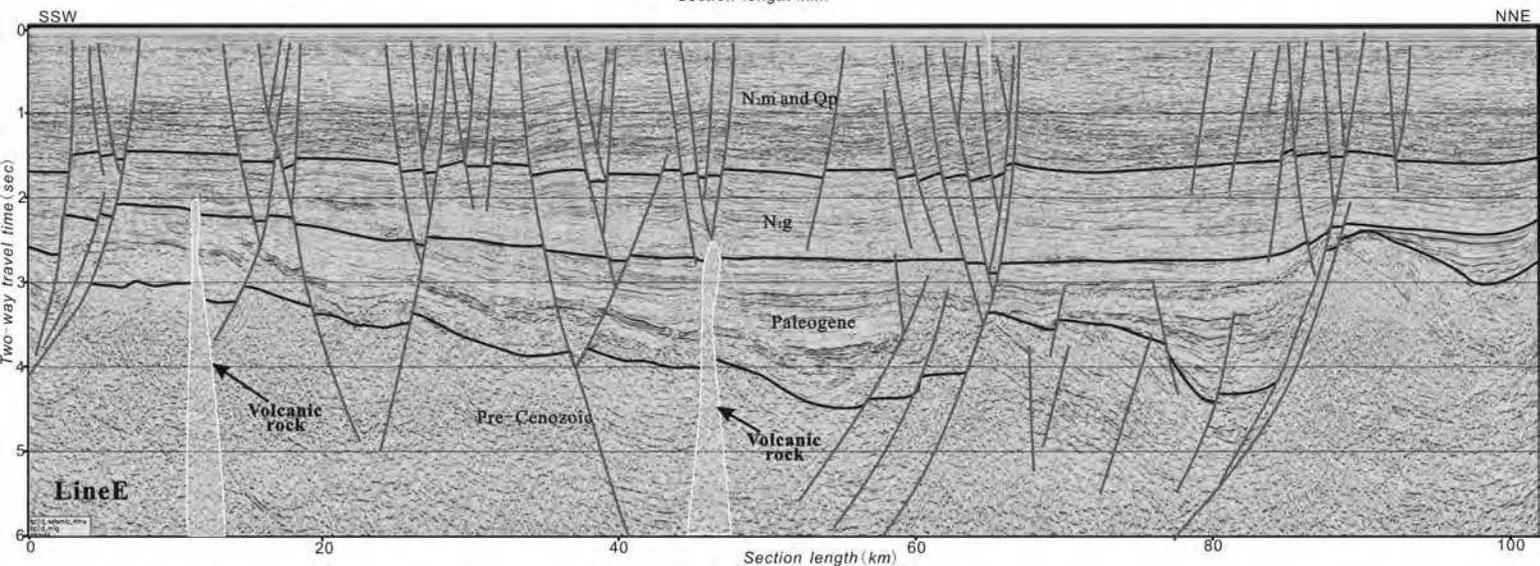
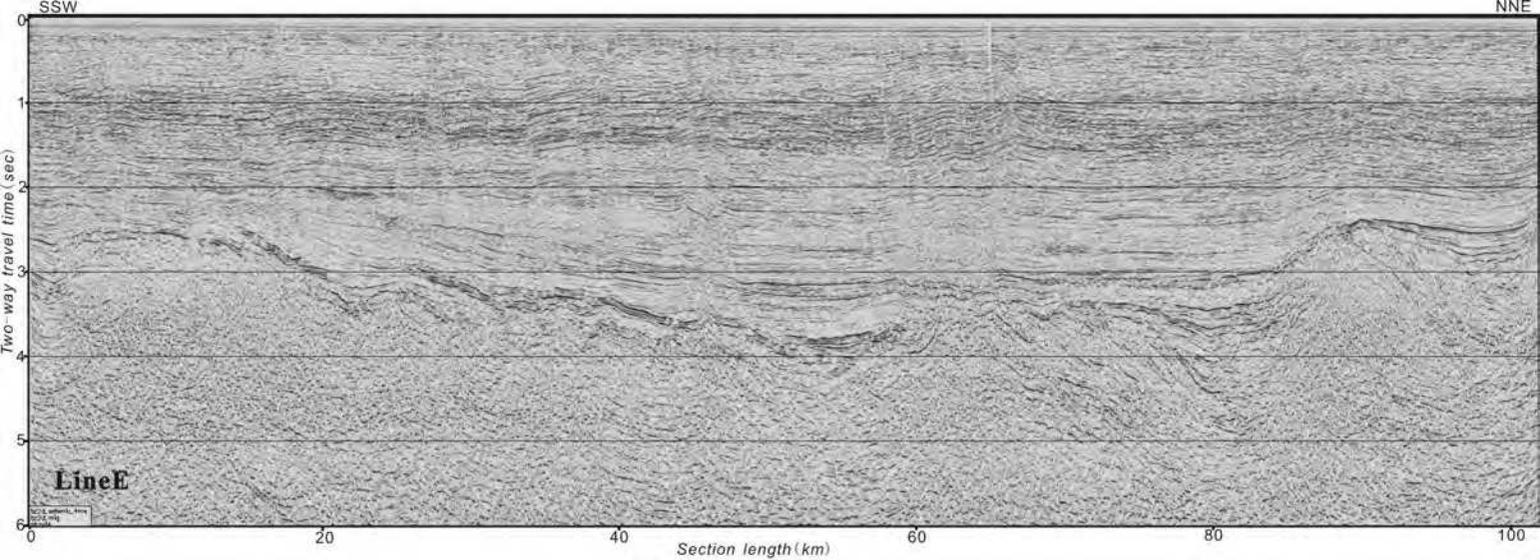


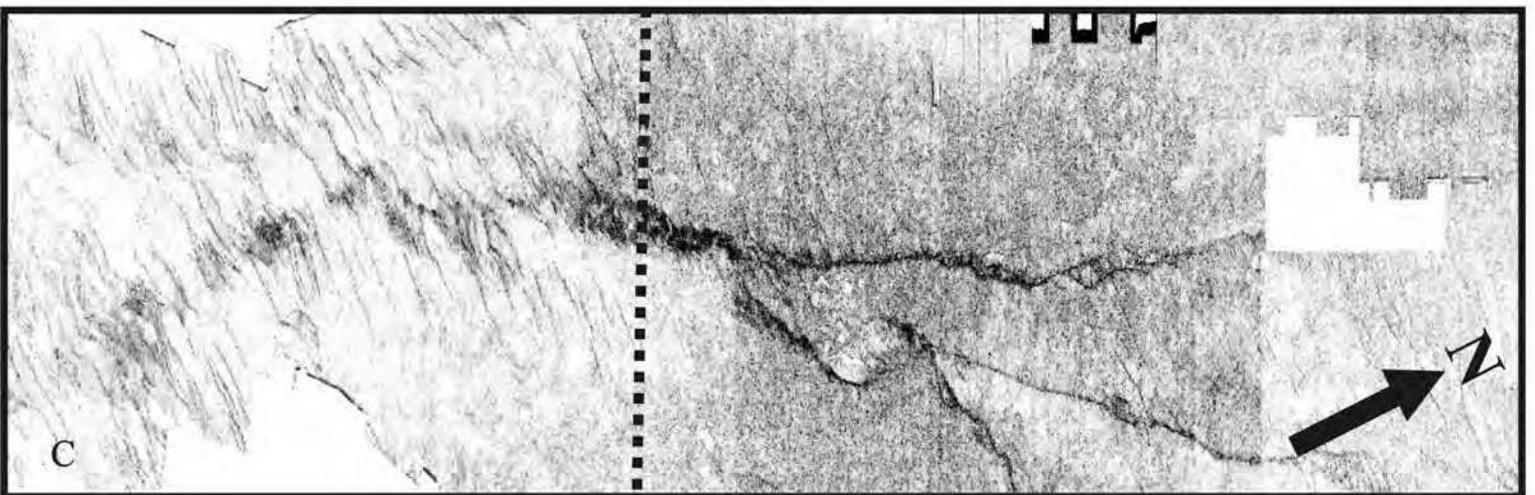
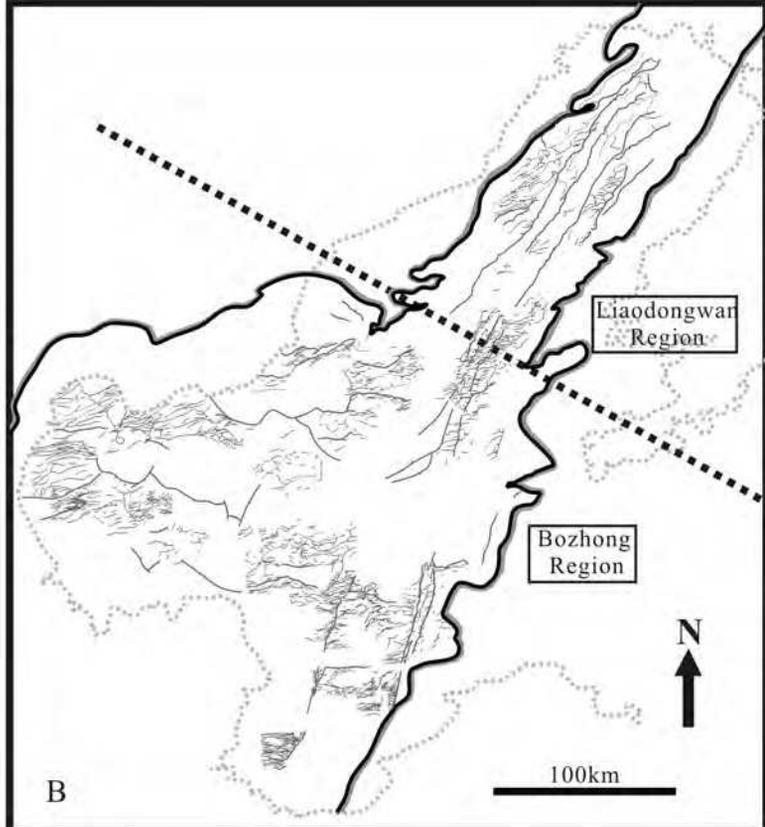
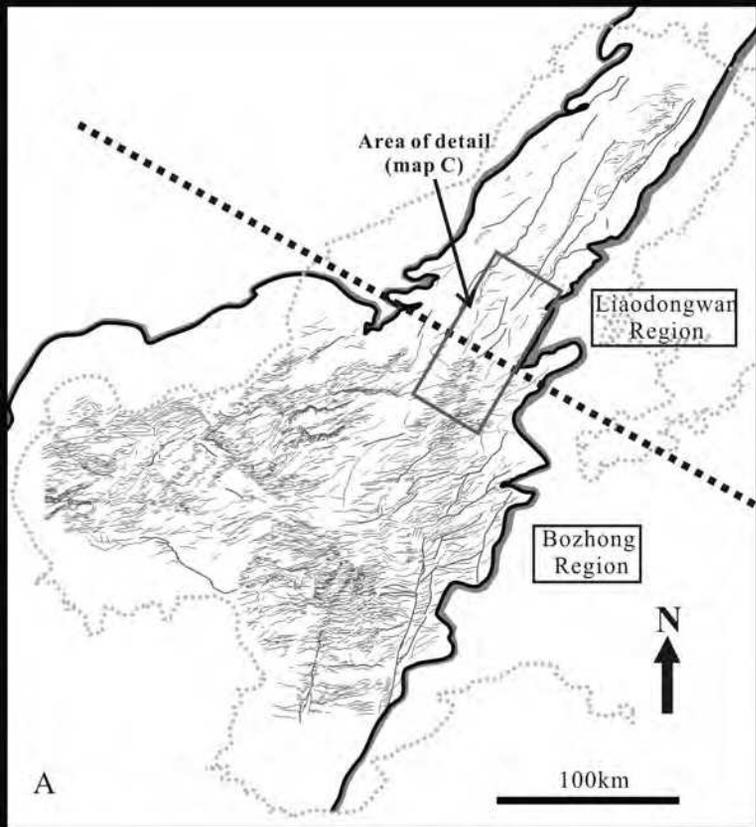


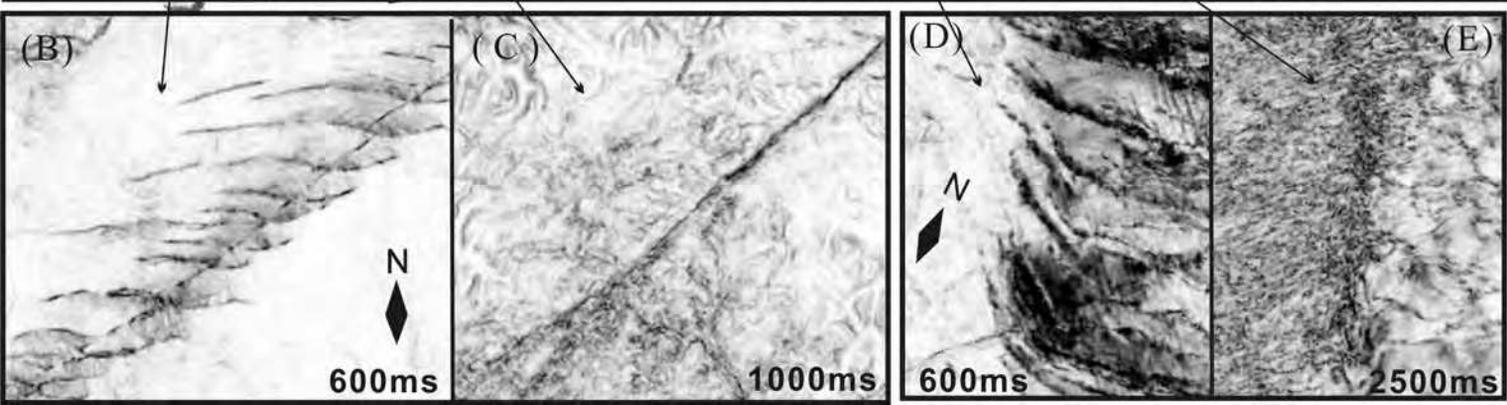
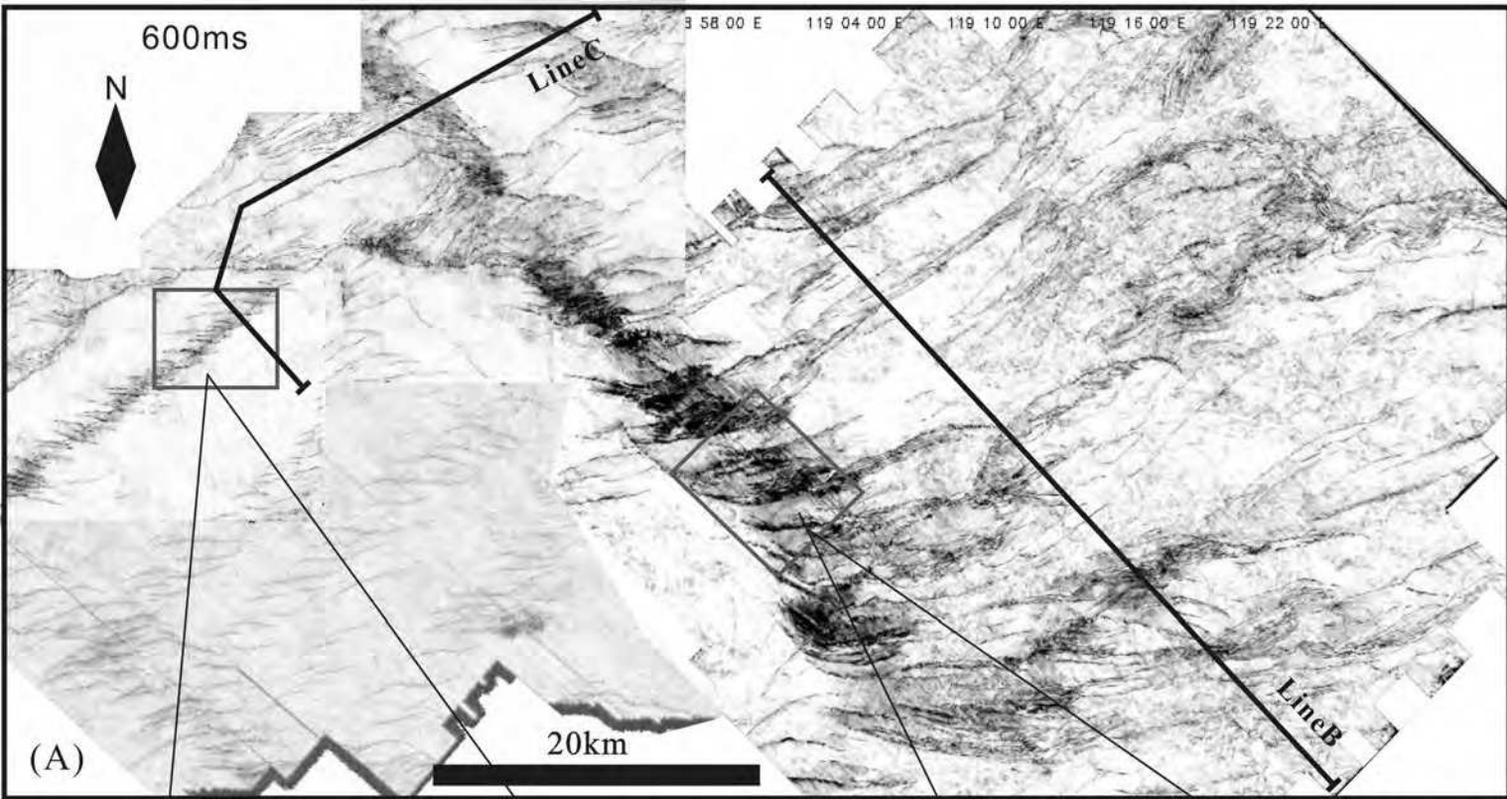


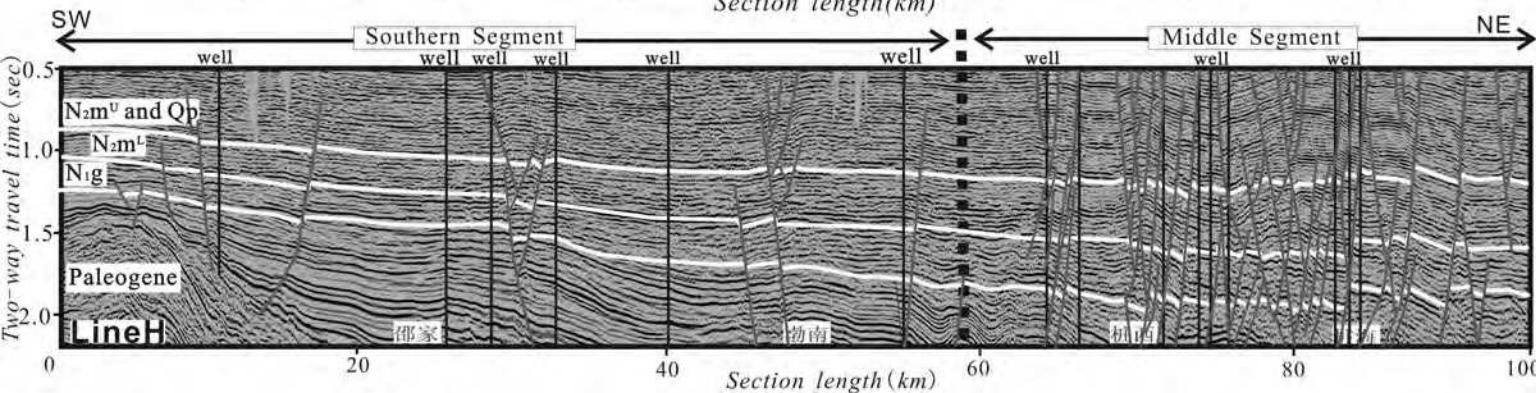
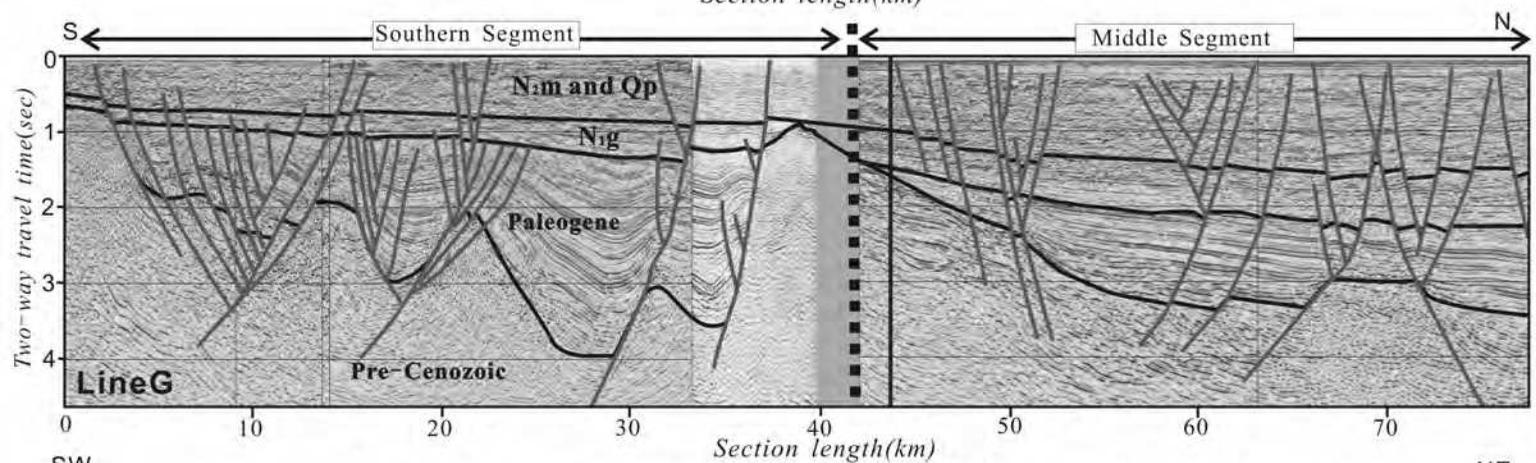
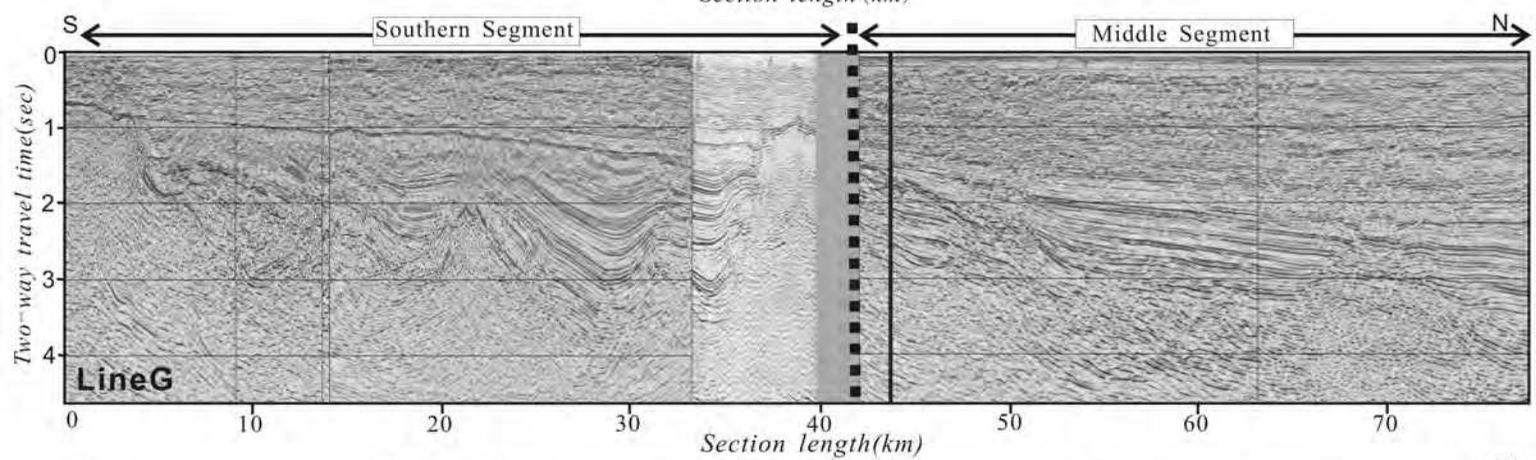
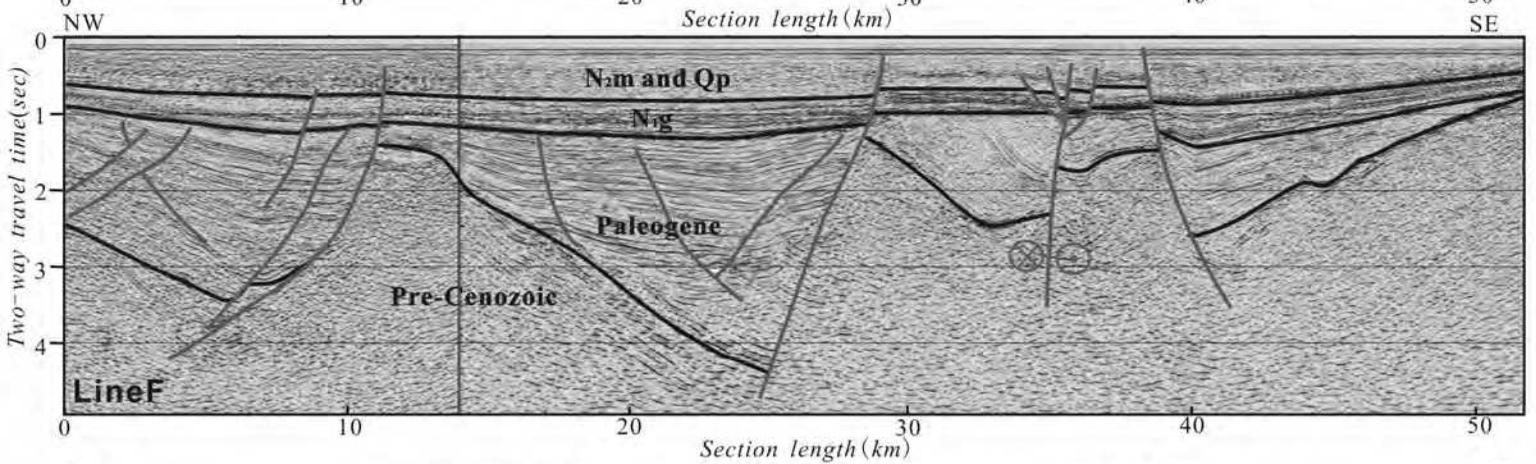
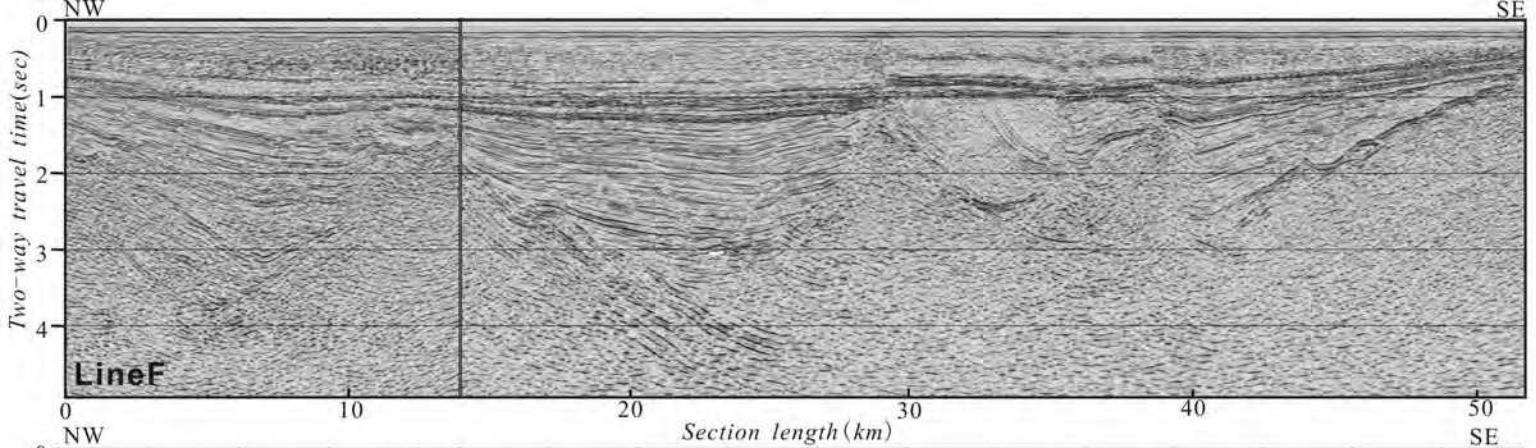


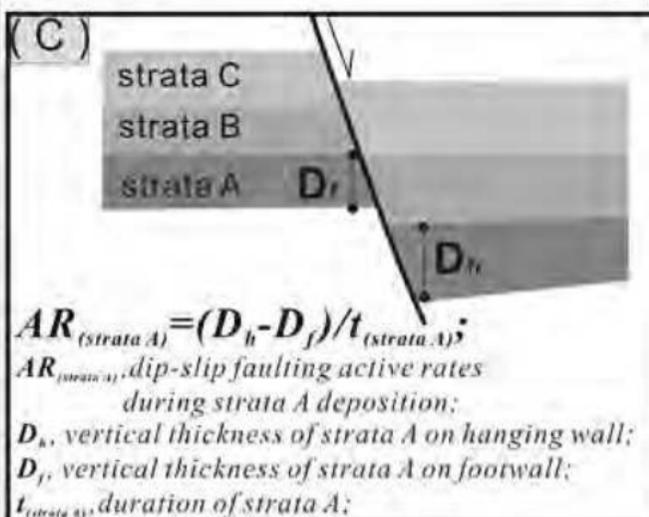
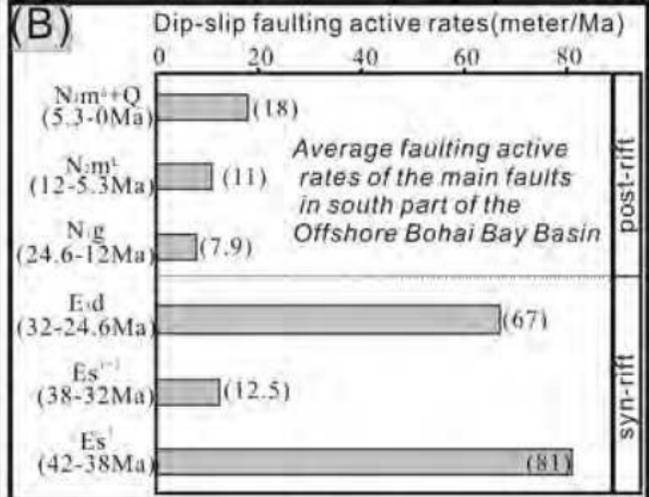
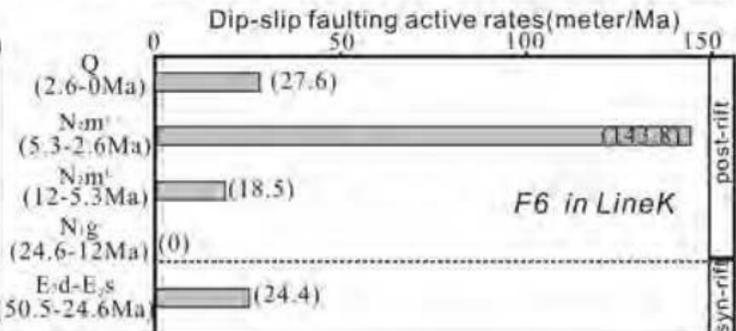
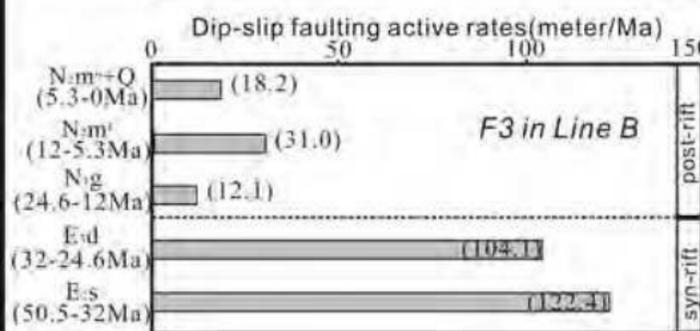
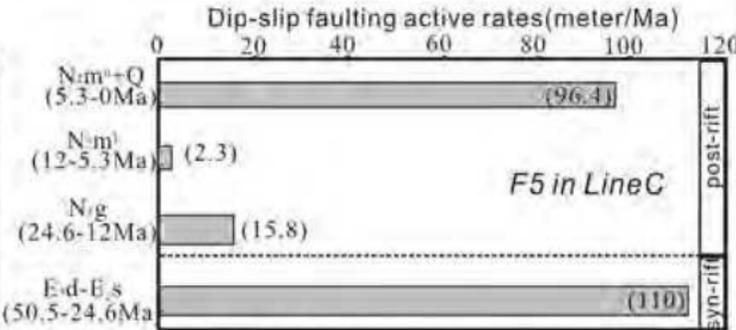
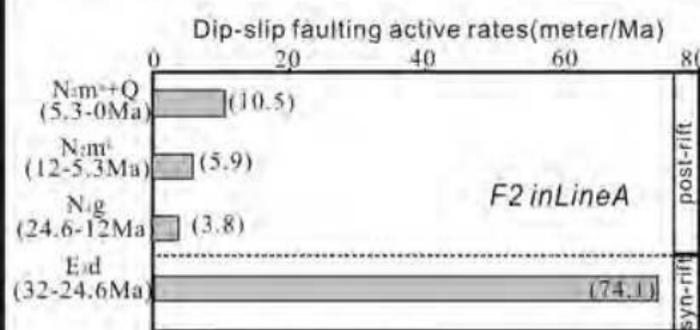
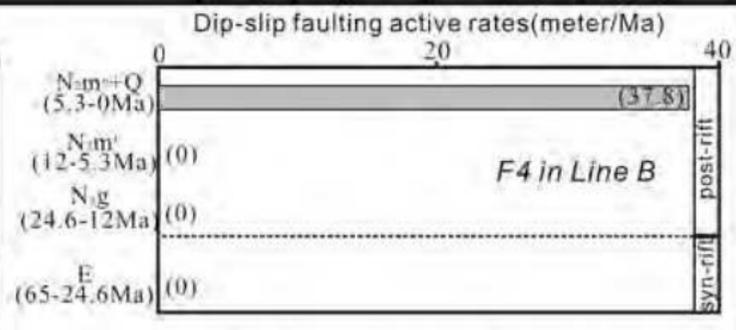
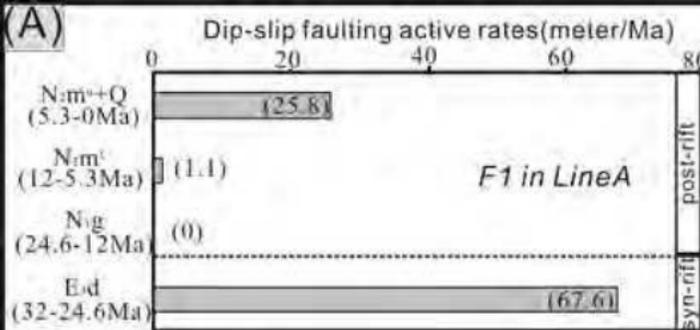


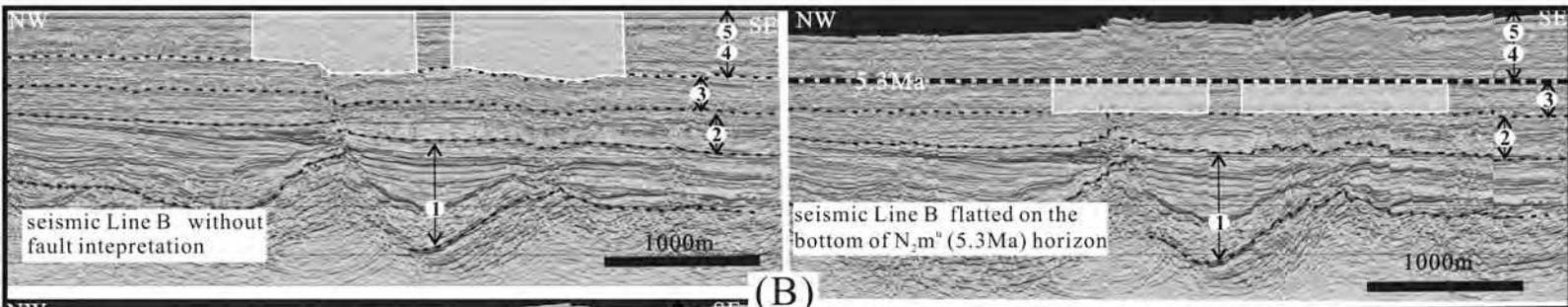
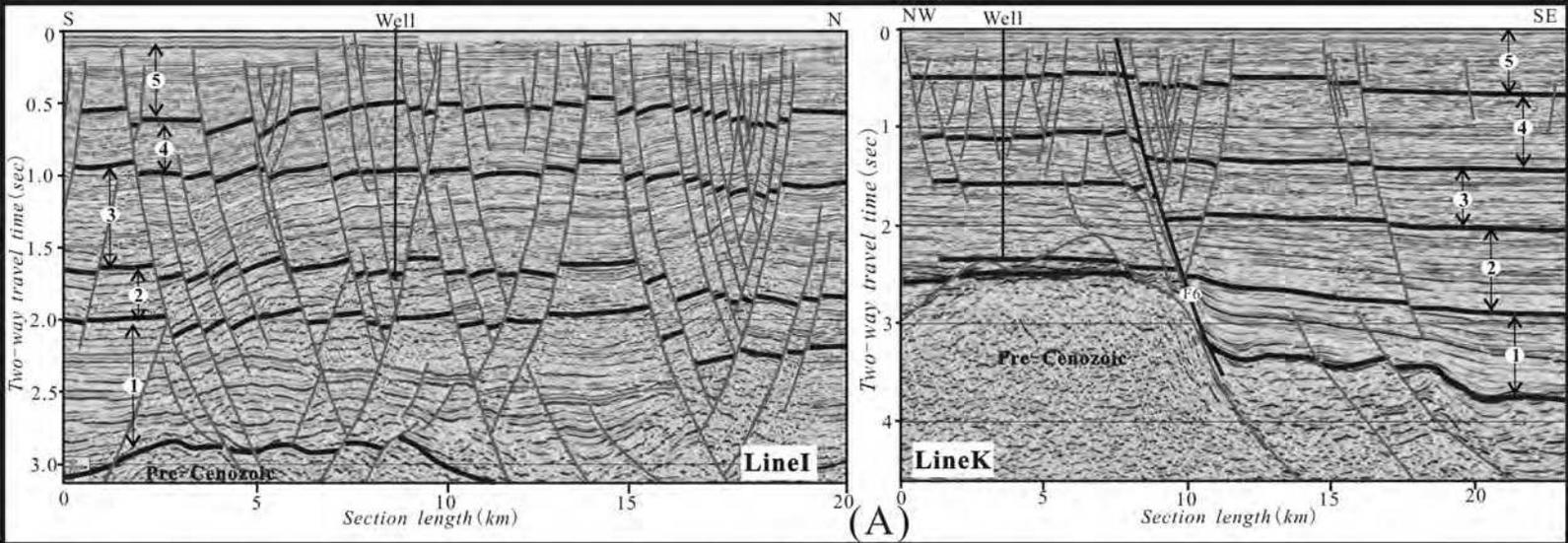












Symbols:

- 1 Paleogene (65~24.6Ma);
- 2 Early Miocene ( $N_1g$ , 24.6~12Ma);
- 3 Middle and Late Miocene ( $N_2m^L$ , 12~5.3Ma);
- 4 Pliocene ( $N_2m^u$ , 5.3~2.6Ma);
- 5 Quaternary (Q, 2.6~0Ma)

