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1 **Floristic composition, species diversity and carbon storage in charcoal and agriculture**
2 **fallows and management implications in Miombo woodlands of Zambia**

3 **Felix Kanungwe Kalaba, Claire Helen Quinn, Andrew John Dougill**

4 **Abstract**

5 Globally, there are increasing demands for land use changes aimed at restoring Carbon (C)
6 and biodiversity in degraded forest ecosystems. This study provides an integrated
7 understanding of aboveground (AG) C storage, structural and floristic composition in
8 charcoal and agriculture fallows in Miombo woodland systems of Zambia. We present the
9 findings of ecological surveys; measuring tree diameters and assessing species composition
10 on twenty-four 0.25 ha plots in undisturbed woodlands, and fifty-eight plots re-growing after
11 agriculture (5-58 years) and charcoal production (5- 44 years). Undisturbed Miombo stored
12 39.6 Mg C ha⁻¹ AG, while after clearance, C stocks accumulated at 0.98 and 1.42 Mg C ha⁻¹
13 yr⁻¹ in agriculture and charcoal fallows respectively. There were no significant differences in
14 C stocks between woodlands and ≥ 20 year old fallows, implying that in terms of AG C
15 storage, woodlands sufficiently recover after 20 years. Stem densities were significantly
16 higher in charcoal than agriculture fallows but the difference decreased with fallow age.
17 Importance values (IVI) of tree species show low presence of less fire resistant tree species
18 such as *Uapaca kirkiana* in the initial regrowth of post agriculture fallows. Shannon diversity
19 indices showed high diversity in both woodlands and fallows though the Jaccard similarity
20 coefficient indicated low species similarities, suggesting that though Miombo systems
21 recover relatively fast in terms of species diversity and C storage, species composition takes
22 longer to recuperate. The findings show that agriculture and charcoal fallows hold enormous
23 management potential for emerging C-based payments for ecosystem services such as
24 through United Nations Reduction of Emissions from Deforestation and forest Degradation-

25 plus (REDD+) programme and Voluntary Carbon Market projects. Forest management
26 should consider managing fallows for C sequestration and biodiversity restoration through
27 natural succession in Miombo systems. In view of the uncertainty of species recovery, mature
28 Miombo woodlands should be conserved for continued ecosystem functioning and supply of
29 ecosystem services.

30

31 **Keywords:** Above ground Carbon; Species diversity; Fallow; Floristic composition; Miombo
32 woodland; REDD+

33 **1 Introduction**

34 Forests are one of the most important terrestrial biomes contributing immensely to carbon (C)
35 sequestration and storage, and regulating other climate related cycles (Nasi et al., 2002;
36 Gibbs et al., 2007). There is growing interest in understanding the capacity of forest
37 ecosystems to sequester and store C in developing countries (Walker et al., 2004), which is
38 fundamental in quantifying the contribution of trees to climate mitigation because they
39 indicate the amount of C that can be offset (Ditt et al., 2010). Forests have great potential to
40 provide financial resources through C-based payment for ecosystem services (PES) (Baker et
41 al., 2010), but their functions as dynamic C-pools in biogeochemical cycles is largely
42 unknown (Schongart et al., 2008). Miombo woodland is the most extensive dry forest
43 formation in Africa, with an estimated area of 2.7million km² (White, 1983; Frost, 1996), and
44 is rich in plant diversity, with about 8500 species of higher plants of which 54% are endemic
45 (Chirwa et al., 2008), making them one of the world's high-biodiversity hotspots
46 (Mittermeier et al., 2003).

47 The C cycle in Miombo and other tropical woodlands is comparatively understudied
48 (Williams et al., 2008; Bombelli et al., 2009). In southern Africa, there is relatively scarce

49 knowledge of growth rates and wood biomass in natural woodlands due to the focus on fast
50 growing exotic plantations which have been prioritized by governments (Grundy, 1995),
51 thereby making the total C stores in woodlands uncertain (Bryan et al., 2010). Understanding
52 C stores, the rates and extent to which forests recover from disturbances and how C-stores
53 change in this recovery trajectory has important implications in the emerging C-based PES
54 schemes (Mwampamba et al., 2011) which are taking centre- stage in United Nations
55 Framework Convention on Climate Change (UNFCCC) climate negotiations for the post-
56 2012 climate regime after the expiry of the Kyoto Protocol commitment period. Quantifying
57 C under different land use scenarios will help in making future land use decisions to ensure
58 optimal land use benefits (Ditt et al., 2010), hence informing forest conservation and
59 sustainable management (Schongart et al., 2008) especially in developing countries which
60 have high poverty levels, and where people's livelihoods often depend on the forest resource.
61 Slash and burn agriculture and charcoal production are the major causes of forest loss in
62 Miombo woodlands (Stromgaard, 1987; Chidumayo, 1991; Malambo et al., 2008), and have
63 been linked to huge losses of C and biodiversity of forest systems (Kotto-Same et al., 1997).
64 Vegetation structure and floristic compositional changes in forest recovery has been
65 discussed mainly in post -slash and burn agriculture abandonment sites in tropical rainforests
66 (Guariguata et al., 1997; Ferreira et al., 1999; Denslow et al., 2000), with a few studies in
67 African woodlands (Williams et al., 2008; Syampungani et al., 2010), though floristic
68 composition in regrowth sites remains contested. Some studies (e.g Stromgaard, 1985;
69 Kappelle et al., 1996; Syampungani, 2009) have reported the presence of dominant tree
70 species of old-growth on young (i.e. < 10 years-old site) slash and burn regrowth sites, while
71 others have reported absence of old-growth dominant species in regrowth of the same age
72 (Saldarriaga et al., 1988; Williams et al., 2008). Furthermore, some studies have suggested it
73 takes centuries for forest to return to primary forest species composition and argue that

74 forests may not return to their original composition after severe disturbances (Jacobs et al.,
75 1988; Meng et al., 2011).

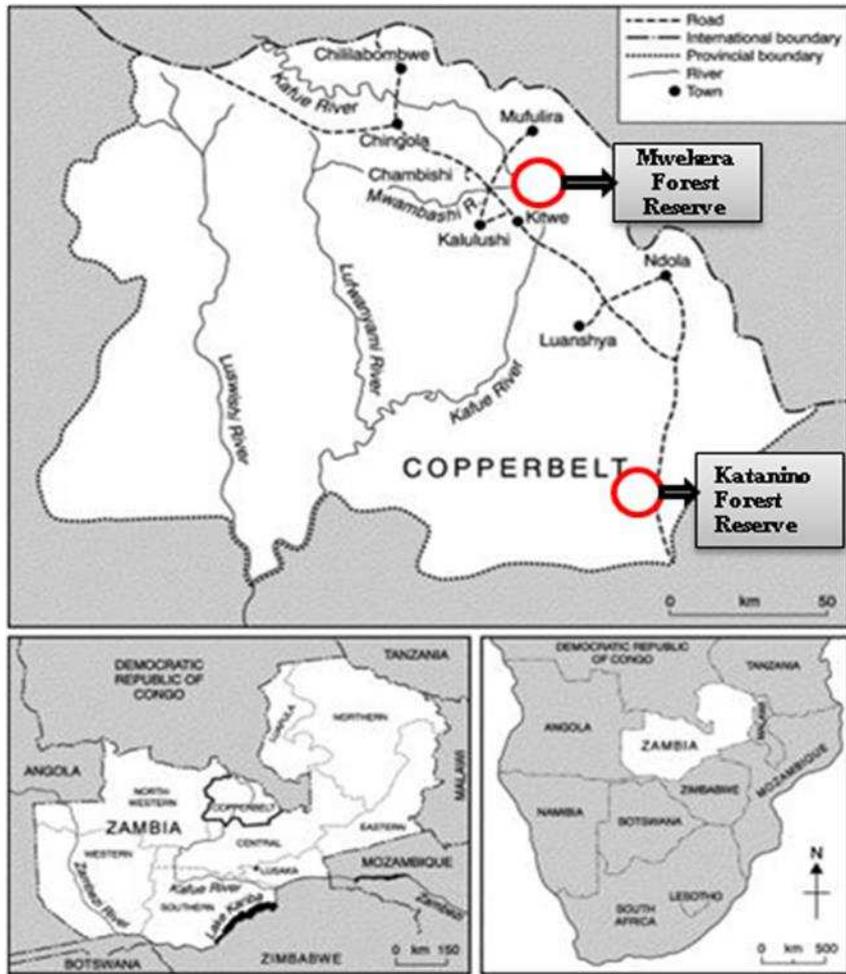
76 An integrated understanding of C storage, and the structural and floristic composition of trees
77 in succession stages, is important in understanding forest restoration processes and in
78 designing forest management strategies in different forest disturbance regimes (Gutiérrez et
79 al., 2012). The aim of this study was to quantify the aboveground (AG) C contained in
80 selected sites of the Miombo woodlands and to assess species composition and forest
81 biodiversity richness in undisturbed woodlands and regrowth sites after slash and burn and
82 charcoal abandonment at various successional stages. This is both timely and important due
83 to global interest among policy makers on C-based PES as a way of incentivizing reductions
84 in carbon loss from deforestation and degradation (Baker et al., 2010; Stringer et al., 2012).

85

86 **2 Research design and methods**

87 **2.1 Study area**

88 This case study was conducted in the Miombo woodlands of Copperbelt Province of Zambia
89 (12° 49' S to 13° 36' S and 28° 22' to 28° 42' E, and elevation of 1292 -1300m above sea
90 level). The Copperbelt province is bordered by the Democratic Republic of Congo on the
91 north and east, and lies on the central African plateau (Figure 1). It is a high rainfall area
92 (average 1200mm per annum), and experiences three weather seasons that are distinguished
93 based on rainfall and temperature, namely; hot dry (September –November), rainy season
94 (December –March) and the cold dry season (April-August) (Chidumayo, 1997).



95

96 **Fig 1: Location of study sites**

97 Source: modified from von der Heyden and New (2004)

98 In the entire Miombo eco-region, Zambia has the highest diversity of trees and is the centre

99 for endemism for *Brachystegia* tree species (Rodgers et al., 1996) which is one of the

100 Miombo's key species.

101 2.2 Site selection and data collection

102 The study sites were selected using stratified purposive sampling (Creswell, 1998). Three

103 different land use categories (i.e. treatments) were identified for Miombo woodlands; (1)

104 undisturbed Miombo, (2) Slash and burn fallows, and (3) Charcoal fallows.

105 We used analogous sites to provide insights on changes in floristic composition and carbon
 106 storage overtime. Investigating succession using analogous sites (spatial) rather than temporal
 107 chronosequence has a limitation of ensuring various stands of different ages along the
 108 identified chronosequence have similar soils, vegetation composition, climatic histories, and
 109 previously subjected to similar disturbances (Schoonmaker and McKee 1988). This challenge
 110 was addressed by conducting the study in the same sub-region agro-ecological zone and
 111 creating a criterion for sample selection in the different land-use categories (Table 1).

112

113 **Table 1: Descriptions of main characteristics of land use categories**

Category	Main characteristics
Undisturbed Miombo	<ul style="list-style-type: none"> • Not been cleared or cultivated. • No records of forest management treatments as supported by Forest Department records. • Not experienced any major human or natural disturbances.
Slash and burn fallows	<ul style="list-style-type: none"> • Abandoned after slash and burn agriculture. • Knowledge of age of fallows. • Non-mechanised tillage. • Rain fed. • No evidence of post-abandonment removal of some trees (e.g. cutting of trees for poles) • Free of agrochemicals. • Not experienced any major human or natural disturbances.
Charcoal fallows	<ul style="list-style-type: none"> • Abandoned after cutting trees for charcoal production. • Knowledge of age of fallow • No evidence of use of fallows for agriculture purposes. • No evidence of post-abandonment removal of some trees (e.g. cutting of trees for poles) • Not experienced any major human or natural disturbances.

114

115

116 Data collection was conducted from December 2011 to April 2012.

117 **2.2.1 Sampling and plot establishment**

118 Undisturbed Miombo

119 Ground inventories were done in the identified land use categories. Twenty-four 50 m x 50 m
120 (0.25 ha) plots were established in undisturbed Miombo (i.e. 16 plots in Mwekera Forest
121 Reserve and 8 in Katanino Forest Reserves). In Katanino, plots were established between
122 Bwengo village and the Katanino Forest Reserve border along a transect line perpendicular to
123 the Oposhi road junction. In Mwekera Forest Reserve, the plots were established along the
124 Mwekera Forest reserve main road from the rail line near Kamfisa Prison through the Zambia
125 Forest College to Mabote village. Plots were randomly established along the road at distances
126 of at least 100m between them to avoid overlapping.

127 Recovering Miombo

128 The vegetation survey in recovering Miombo employed double stratified random sampling.
129 The sites were first stratified according to pre-abandonment land use (i.e. slash and burn
130 agriculture or charcoal, after the criteria summarized in table 1), and then age of fallows, after
131 which plots were established at random locations within the identified age categories. Land-
132 use history and fallow age were obtained through informal interviews with local farmers,
133 charcoal producers and traditional councillors (Ba filolo). 18 respondents were interviewed
134 following a snowball sampling approach (Patton, 1990). This processes started by holding
135 discussions with the traditional authorities, asking if they knew of any member of the
136 community who had fallows. The leaders provided contact details of possible interviewees.
137 This process was iterative, as participants provided details of other possible interviewees
138 consistent with other studies in Miombo woodlands (Robertson, 1984; Walker et al., 2004;
139 Syampungani, 2009; Mwampamba et al., 2011).

140 Slash and burn recovering fallows ranged between 5-58 years. 24 plots were established with
141 4 plots in each identified age class. The ages of charcoal fallows ranged from 5-44 years, in a
142 total of 34 plots. These age ranges represented the available fallow land in the study area
143 which had undisturbed portions after abandonment. In these sites, 10 m x 20 m plots were
144 established (Chidumayo, 1997; Munishi et al., 2004). The use of smaller plots in regrowth
145 plots is due to the many species and high density of these plots which makes the use of larger
146 fixed plots time consuming (Syampungani et al., 2010). At least 4 plots were surveyed in
147 recovering Miombo for each identified fallow age. These fall within the plot numbers used in
148 similar studies (Williams et al., 2008; Syampungani, 2009).

149 **2.2.2 Field measurements**

150 In the established plots, the tree diameters were measured using a diameter tape at breast
151 height (i.e. 1.3 m above ground) (Lawton, 1978; Malimbwi et al., 1994; Ditt et al., 2010) for
152 all trees (trees defined as woody plants more than 2 m (Frost, 1996)). Trees forking below 1.3
153 m were measured and recorded separately, while those forking above 1.3m were measured at
154 breast height. Tree species were recorded for all trees within the plots using local names (with
155 the help of traditional botanists), while a botanist from Mwekera Forestry College (engaged
156 as a research assistant) and the lead authors' knowledge were also used in identifying tree
157 species. For trees that were difficult to identify, voucher specimens were taken to the Kitwe
158 Forest Research Herbarium for identification. We recorded a total of 8031 stems in the
159 sampled plots.

160 2.3 Data analysis

161 2.3.1 Floristic indices and biodiversity

162 To describe the tree species composition and vegetation structure of the plots, this study used
163 the Importance Values Index (IVI), which is a summation of the relative density, dominance
164 and frequency of species, i.e.

165 $IVI = (\text{Relative frequency} + \text{relative basal area} + \text{relative density}) / 3$ (Curtis et al., 1951).

166 The Jaccard similarity index (J) was used to estimate the species composition similarity
167 between different age classes of the two management regimes, as it is useful in determining
168 the extent of overlap of tree species between communities.

169 J was calculated using the formula: $J = A / (A + B + C)$ (Chidumayo, 1997)

170 Where A = number of species found in both age classes, B = species in age class A and not in
171 B, C = species in age class B but not in age class A.

172 To measure diversity, the Shannon index (H') was calculated for the mature undisturbed
173 forests and all the regrowth plots.

$$174 \quad H' = \sum_{i=1}^S p_i \ln p_i$$

175 Where $p_i = n_i / N$; n_i is the number of individual trees present for species i , N is the total
176 number of individuals, and S is the total number of species (Shannon, 1948; Chidumayo,
177 1997). The current study complemented the Shannon index with the Simpson index (D)
178 which is a useful index for relatively small samples (Magurran, 2004). This was important in
179 getting a better informed evidence of the biological diversity of trees, measured using two
180 different diversity indices.

181

182 2.3.2 Quantifying aboveground C

183 We used allometric equations to estimate tree biomass (Table 2). These equations are
 184 applicable to the study area owing to the climatic, edaphic, geographic and taxonomic
 185 similarities between the study area and the locations in which the equations were developed.
 186 According to Brown et al. (1989) local equations are more suitable for accessing forest
 187 biomass. Using more than one equation provided us with a good estimation of biomass.
 188 Research shows that species-specific allometric equations are not necessary to generate
 189 reliable estimates of carbon stocks in Miombo (Malimbwi et al., 1994; Gibbs et al., 2007).
 190 We restricted our biomass estimations to trees with $DBH \geq 5$ owing to the DBH ranges in
 191 which the equations were developed. This helped us to avoid error in our biomass estimates
 192 (see Chave et al., 2004). Carbon stocks in the plots were calculated by multiplying biomass
 193 by 0.5, owing to the fact that 50% of biomass is carbon (Brown et al., 1982; Williams et al.,
 194 2008; Bryan et al., 2010).

195 **Table 2: Biomass allometric equations**

Reference	Equation(s)	Source country	Notes
Chidumayo (1997)	$B=3.01D-7.48$ $B=20.02D -203.37$	Zambia	for trees <0.1 m DBH for trees >0.1 m DBH
Malimbwi et al (1994)	$B= D^{2.516} / e^{2.462}$	Tanzania	Aboveground
Brown et al (1989)	$B=34.47-8.067D + 0.659D^2$	Dry tropics	Developed in dry tropics and therefore not Miombo specific

196 Where: B is biomass; D is diameter at breast height.

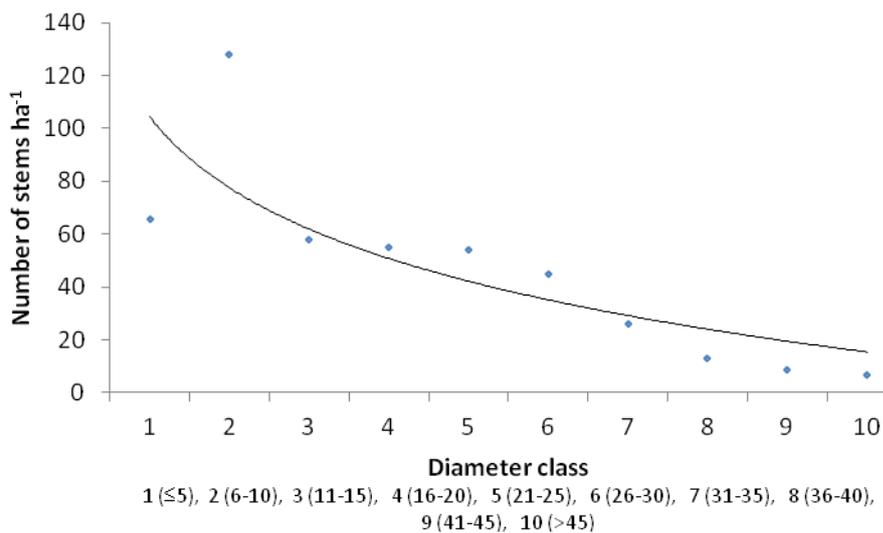
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198 **3 Results**

199 **3.1 Vegetation structure and floristic composition**

200 3.1.1 Vegetation structure

201 In mature woodlands, a total of 2,761 trees were measured over a total survey area of 6 ha.
202 The mean stand density was 592 ± 28.01 stems ha^{-1} . Stems ranged from 308-736 stems ha^{-1} .
203 The mean diameter was 16.57 ± 0.21 cm, with the majority of trees being found within the
204 smaller diameter classes, with 88.2% of stems with diameter ≤ 30 cm, thus showing an
205 reverse J –shaped size class (Figure 2). The mean basal area was estimated at 14.34 ± 0.52 m^2
206 ha^{-1} , and in the plots ranged from 10.48 to 18.8 $\text{m}^2 \text{ha}^{-1}$. The species density was 22 ± 1.2
207 species ha^{-1} , while species density ranged from 11-33 among the plots.



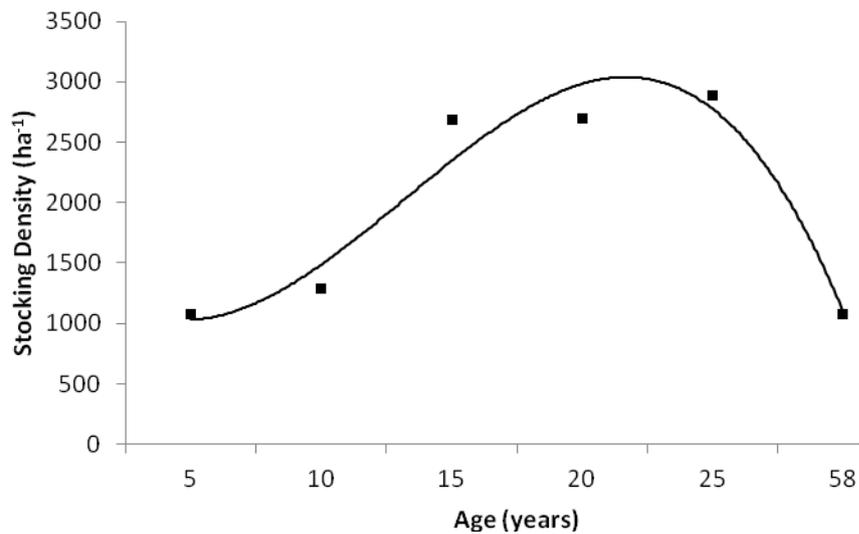
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209 **Fig 2: Diameter distribution showing reverse-J- shaped size classes**

210

211 In slash and burn Fallows, the stem stocking density at 5 years was 1,075 stems ha^{-1} . The
212 stem density steadily increased, peaking at around 20 years, after which stocking density

213 declined (Figure 2). A third-order polynomial fitted to the data explained 93% of the
214 observed variability (Figure 3).



215

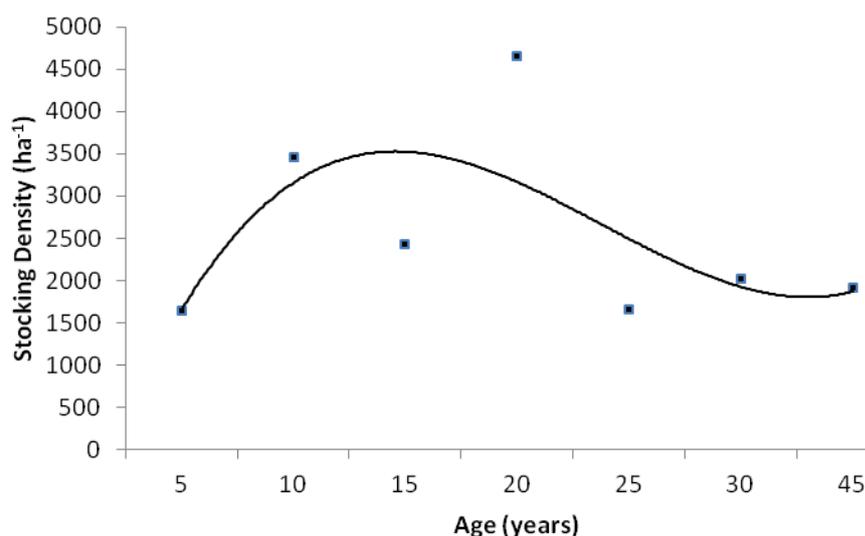
216 **Fig 3: Stocking density (stems per ha) of slash and burn fallows plotted against age of**
217 **plots**

218 Stocking density= $1595.3-1286t+823.8t^2-103.9t^3$; t is the time in years.

219

220 In charcoal fallows, the stem density at 5-6 years was 1638 ha^{-1} and reached a peak at 12-18
221 years, then later steadily declined (Figure 4). A third-order polynomial fit to the data was able
222 to explain 45% of the variability.

223



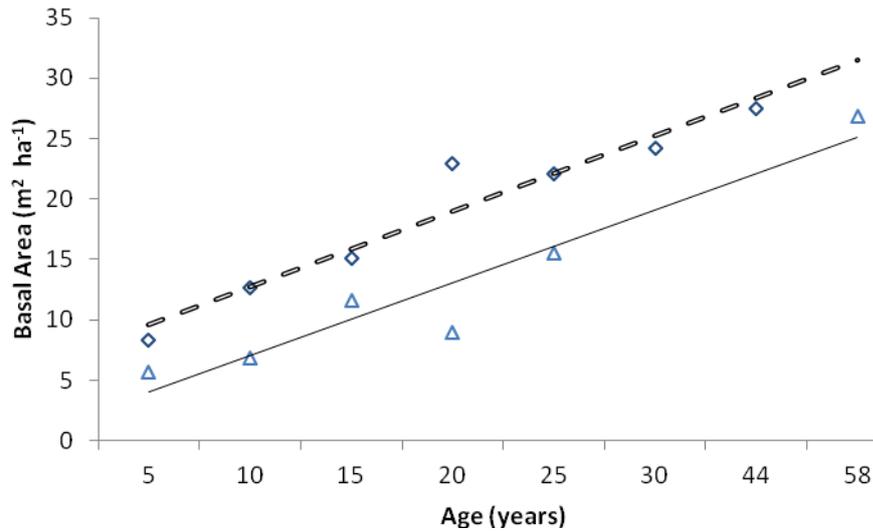
224

225 **Fig 4: Stocking density of charcoal fallows plotted against age of plots**

226 Stocking density = $-1414.9 + 3977.1t - 983.7t^2 + 68.9t^3$, where t is the age after abandonment.

227 The stocking density at 5 years after abandonment was not significantly different between
 228 slash and burn and charcoal fallows ($P > 0.05$), but later became significantly higher in
 229 charcoal fallows at 10 – 15 years. Tree density then later decreased with age for both regimes,
 230 with tree density differences narrowing as fallow age increased. The vegetation structure of
 231 fallows ≥ 20 years showed a diameter distribution with more trees in lower diameter classes,
 232 following a reverse-J shape as observed in mature woodlands.

233 Basal area for both slash and burn and charcoal regrowth sites were significantly positively
 234 correlated with time since abandonment ($r^2 = 0.93$, $P < 0.001$ and $r^2 = 0.92$, $P < 0.001$
 235 respectively) as basal area increased with age of plots (Figure 5). In slash and burn sites,
 236 basal area per hectare along the surveyed chronosequence ranged $5.6\text{--}26.8 \text{ m}^2\text{ha}^{-1}$, and
 237 increased at an average of $0.58 \text{ m}^2\text{ha}^{-1}$. In charcoal regrowth sites, basal area was higher
 238 (ranging between $8.3\text{--}27.5 \text{ m}^2 \text{ha}^{-1}$ along chronosequence of recovery), increasing at an
 239 average rate of $0.73 \text{ m}^2\text{ha}^{-1}$



240

241 **Fig 5: Basal area plotted against age of abandonment for Slash and burn (diamonds,**
 242 **and dash line) and charcoal (triangles, and solid line)**

243 Regression parameters for charcoal are $y = 3.12x + 6.49$; $r^2 = 93\%$, and slash and burn $y = 3.02x$
 244 $+ 0.99$; $r^2 = 92\%$, where y and t represent the basal area and time after abandonment
 245 respectively.

246

247 3.1.2 Floristic composition

248 The total number of species identified in the mature woodlands was 83 belonging to 53
 249 families. The original mature Miombo consisted of little understory, with layers of litter on
 250 the forest floor. In terms of IVI, the most important species in mature woodland are
 251 *Julbernardia paniculata*, *Marquesia macroura*, and *Diplorhynchus condylocarpon*. The 20
 252 most frequently occurring tree species in descending order are summarized in Table 3. These
 253 species are typical of the wet Miombo systems of this eco-region (Stromgaard, 1985; Vinya
 254 et al., 2012).

255

256 **Table 3: Tree species composition of mature Miombo woodland ranking by IVIs**

Rank	Tree species	Relative density (%)	Relative frequency %	Relative Basal area %	IVI %
1	<i>J. paniculata</i>	20	91.7	41.6	51.1
2	<i>M. macroura</i>	9.1	75	11.0	31.7
3	<i>D. condylocarpon</i>	5.3	87.5	1.0	31.3
4	<i>Parinari curatellifolia</i> Planch	2.4	83.3	3.0	29.5
5	<i>Pericopsis angolensis</i>	2.1	79.2	1.2	27.5
6	<i>Isoberlinia angolensis</i>	5.5	66.7	8.4	26.9
7	<i>Brachystegia speciformis</i>	3.5	70.8	6.2	26.8
8	<i>Pseudolachnostylis maprouneifolia</i>	2.8	75	1.0	26.3
9	<i>Monotes africanus</i>	2.5	66.7	1.1	23.4
10	<i>Brachystegia longifolia</i>	3.3	62.5	3.7	23.1
11	<i>Albizia antunesiana</i>	3	62.5	1.5	22.3
12	<i>Syzygium guineense</i>	1.7	62.5	0.3	21.5
13	<i>Ochna pulchra</i> Hook	1.6	58.3	0.2	20.1
14	<i>Phyllocosmus lemaireanus</i>	4.3	54.2	0.6	19.7
15	<i>Brachystegia boehmii</i>	3	50	6.0	19.7
16	<i>Uapaca kirkiana</i>	2.4	54.2	1.0	19.2
17	<i>Anisophyllea boehmii</i>	2.9	54.2	0.6	19.2
18	<i>Pterocarpus angolensis</i>	1.0	54.2	0.3	18.5
19	<i>Baphia bequaertii</i>	1.9	50	0.5	17.5
20	<i>Brachystegia floribunda</i>	1.5	37.5	0.5	13.2

257

258 The floristic composition of regrowth plots differed according to the pre-disturbance land

259 uses and the age of the fallows (Tables 4 and 5).

260 It was observed that in the early recovering plots (5-10 years), *D. condylocarpon* dominated

261 slash and burn followed by *I. angolensis*, *Securidaca longepedunculata*, *Bridelia micrantha*

262 and *B. bequaertii* (Table 4). Most of these species also dominated charcoal regrowth sites of

263 the same age class (Table 5) except the fruit trees *U. kirkiana* which were restricted to
 264 charcoal regrowth plots.

265

266 **Table 4: The ten most dominant species, ranked by IVI (in parenthesis) in each age**
 267 **class of abandoned slash and burn fallow, species richness, Jaccard similarity coefficient**
 268 **and diversity indices**

Rank	5 year	10 years	15 years	20 years	25 years	58 years
1	<i>D. condylocarpon</i> (42.0)	<i>I. angolensis</i> (40.1)	<i>B. longifolia</i> (53.4)	<i>I. angolensis</i> (58.0)	<i>J. paniculata</i> (39.2)	<i>J. paniculata</i> (46.1)
2	<i>I. angolensis</i> (38.8)	<i>O. pulchra</i> (40.0)	<i>J. paniculata</i> (44.4)	<i>B. boehmii</i> (38.5)	<i>I. angolensis</i> (37.7)	<i>I. angolensis</i> (41.7)
3	<i>S. longepedunculata</i> (36.3)	<i>B. bequaertii</i> (39.2)	<i>B. speciformis</i> (38.4)	<i>O. pulchra</i> (38.2)	<i>Swartzia madagascariensis</i> (37.3)	<i>B. floribunda</i> (37.9)
4	<i>B. micrantha</i> (36.1)	<i>D. condylocarpon</i> (39.0)	<i>Uapaca nitida</i> (36.5)	<i>J. paniculata</i> (24.9)	<i>B. bequaertii</i> (37.1)	<i>P. lemaireanus</i> (37.7)
5	<i>B. bequaertii</i> (34.2)	<i>P. curatellifolia</i> (37.3)	<i>O. pulchra</i> (36.1)	<i>Strychnos spinosa</i> . (23.9)	<i>Dichrostachys cinerea</i> . (36.9)	<i>S. madagascariensis</i> (36.2)
6	<i>A. boehmii</i> (31.2)	<i>J. paniculata</i> (32.7)	<i>A. antunesiana</i> (35.8)	<i>S. cocculoides</i> (23.2)	<i>B. boehmii</i> (36.3)	<i>S. guineense</i> (35.3)
7	<i>A. antunesiana</i> (28.4)	<i>B. floribunda</i> (30.0)	<i>Strychnos cocculoides</i> (33.9)	<i>Vitex doniana</i> (12.6)	<i>B. floribunda</i> (30.3)	<i>Lanea discolour</i> (35.3)
8	<i>B. floribunda</i> (28.2)	<i>B. speciformis</i> (27.0)	<i>Strychnos pungens</i> (26.8)	<i>U. kirkiana</i> (12.6)	<i>P. maprouneifolia</i> (27.8)	<i>A. antunesiana</i> (28.4)
9	<i>P. lemaireanus</i> (28.2)	<i>A. antunesiana</i> (19.3)	<i>P. angolensis</i>	<i>S. guineense</i> (12.6)	<i>Hymenocardia acida</i>	<i>O. pulchra</i> (27.6)

			(20.6)		(27.3)	
10	Ekebergia benguelensis (27.0)	S. longepedunculata (19.0)	M. africanus (18.1)	D. condylocarpon (12.2)	S. guineense (27.6)	B. boehmii (27.0)
Species richness	19.5 ± 1.2	16.3 ± 1.9	14.8 ± 1.5	10.0 ± 3.7	19.5 ± 1.2	23.0 ± 0.41
J	0.35	0.36	0.26	0.19	0.32	0.37
H	2.1	2.4	2.5	2.1	2.6	2.8
D	0.80	0.87	0.89	0.83	0.90	0.92

269 Where; J = Jaccard similarity coefficient, H = Shannon index and D = Simpson diversity
270 index.

271

272 At 15 years after slash and burn abandonment, the tree canopy was open and consisted of a
273 high proportion of light demanding species (e.g. Uapaca, Strychnos, and Albizia spp). Some
274 of the Miombo dominant trees species such as J. paniculata were present while others (such
275 as D. condylocarpon, P. curatellifolia) had few individuals. After 20 years, the forest
276 canopies closed up, with most species found in mature woodland becoming dominant.

277 In all charcoal fallows we observed high IVI for fire intolerant species such as A. antunesiana
278 and U. kirkiana, while some Miombo defining species (e.g. J. paniculata, I. angolensis, B.
279 floribunda) were observed in the first 5 years and throughout the chronosequence (Table 5).

280 **Table 5: The ten most dominant species, ranked by IVI (in parenthesis) in each age**
281 **class of abandoned charcoal fallow, species richness, Jaccard similarity coefficient and**
282 **diversity indices**

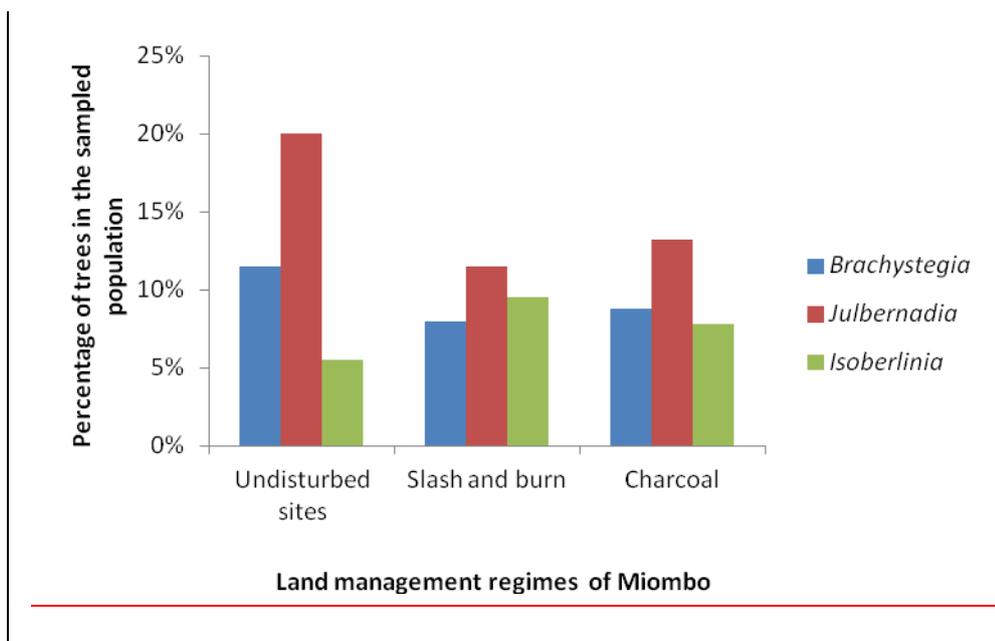
Rank	5 year	10 years	15 years	20 years	25 years	30 years	44 years
1	B. floribunda (42.5)	D. condylocarp on (55.0)	B. boehmii (50.6)	U. kirkiana (42.8)	A. antunesiana (48.2)	M. macroura (51.9)	I. angolensis (43.0)

2	I. angolensis (41.2)	U. kirkiana (48.6)	O. pulchra (41.0)	J. paniculata (42.6)	B. boehmii (41.3)	U. kirkiana (43.5)	B. boehmii (40.6)
3	A. boehmii (39.8)	B. boehmii (41.0)	P. curatellifolia (38.7)	I. angolensis (41.9)	J. paniculata (39.5)	J. paniculata (41.3)	J. paniculata (38.0)
4	J. paniculata (39.3)	S. guineense (39.6)	J. paniculata (38.4)	O. pulchra (37.7)	B. floribunda (37.1)	I. angolensis (38.7)	P. angolensis (36.1)
5	A. antunesiana (34.6)	I. angolensis (39.2)	D. condylocarpon (35.7)	A. antunesiana (36.8)	P. lemaireanus (34.5)	B. boehmii (29.4)	B. floribunda (36.0)
6	P. lemaireanus (36.5)	B. bequaertii (37.6)	Diospyros batocana (34.1)	B. boehmii (36.5)	I. angolensis (28.4)	P. curatellifolia (19.5)	Uapaca benguelensis (35.8)
7	S. madagascariensis (28.2)	P. curatellifolia (38.3)	A. antunesiana (25.4)	A. boehmii (36.3)	D. condylocarpon (27.9)	A. antunesiana (19.0)	P. maprouneifolia (34.2)
8	S. guineense (28.1)	B. floribunda (36.6)	P. maprouneifolia (25.2)	B. speciformis (35.9)	D. cinerea (26.7)	P. angolensis (19.0)	Albizia antunesiana (34.2)
9	U. kirkiana (26.8)	P. lemaireanus (36.2)	M. africana (25.0)	M. africanus (35.0)	P. maprouneifolia (26.4)	O. pulchra (18.7)	P. lemaireanus (28.8)
10	B. speciformis (26.7)	M. africana (35.5)	Brachystegia manga (24.3)	P. lemaireanus (34.9)	A. boehmii (26.1)	Dalbergia nitidula (18.4)	U. kirkiana (27.5)
Species richness	17.3 ± 2.1	23.3 ± 0.9	15.0 ± 1.2	27.0 ± 1.8	20.5 ± 1.2	18.8 ± 2.2	20.8 ± 0.9
J	0.33	0.45	0.26	0.44	0.39	0.26	0.33
H	2.0	2.4	2.3	2.5	2.6	2.6	2.7
D	0.78	0.88	0.87	0.89	0.90	0.89	0.91

283 Where; J = Jaccard similarity coefficient, H = Shannon index and D = Simpson diversity
284 index.

285

286 The Jaccard similarity coefficient for comparing species composition between slash and burn
287 regrowth sites and mature woodlands ranged from 0.19 to 0.37, and was highest in the oldest
288 regrowth site (Table 4). In charcoal regrowth sites, the Jaccard coefficient ranged from a
289 minimum of 0.26 to a maximum of 0.44 (Table 5). The study revealed that there was a
290 relatively higher similarity with mature woodlands in charcoal (0.35 ± 0.03) than slash and
291 burn regrowth sites (0.31 ± 0.03), though the difference was not statistically significant (t
292 $=1.04$, $P = 0.32$). A comparison of the dominant leguminous tree genera in mature woodlands
293 and the oldest regrowth sites is summarized in Figure 6.



294

295 **Fig 6: Distribution of dominant leguminous genera in undisturbed site and oldest**
296 **regrowth sites**

297 3.2 Diversity of tree species

298 The results of this study show that species richness in regrowth sites in the two management
299 regimes was significantly different from mature woodlands ($F= 4.65$, $P = 0.01$), as

300 undisturbed mature sites had higher species richness. There was however no significant
301 differences between slash and burn and charcoal regrowth sites ($t = -0.18$, $P = 0.86$, equal
302 variances assumed) though generally charcoal regrowth sites had more species (17.9 ± 6.5
303 and 17.6 ± 4.9 respectively). There was a significant positive correlation between species
304 richness and age of abandonment in slash and burn regrowth ($P < 0.05$), and not in charcoal
305 plots. There were no statistically significant differences in mean species richness between
306 regrowth sites of slash and burn and charcoal of 20 years and above and mature woodlands (F
307 $= 1.48$, $P = 0.24$). Species diversity as measured by the Shannon index (H') in slash and burn
308 plots ranged from 2.1 to 2.8 as diversity increased along the chronosequence (Table 4). In
309 charcoal regrowth plots, H' values ranged from 2 to 2.7 with diversity increasing with age
310 (Table 5). In mature woodlands, the mean H' was 2.8 ± 0.1 , while the Simpson index (D) was
311 0.92. Species diversity was not significantly different between mature woodlands and the
312 sampled regrowth sites ($F = 0.61$, $P = 0.55$). The Simpson index of diversity further confirmed
313 the diversity of regrowth with ranges of 0.8-0.92 and 0.78-0.91 in slash and burn and
314 charcoal sites respectively.

315 **3.3 Aboveground C storage**

316 Using the mean of 3 allometric equations, in the mature woodlands, the estimated C was 39.6
317 ± 1.5 Mg C ha⁻¹, ranging from 28.7 to 52.8 Mg C ha⁻¹. Results from the slash and burn
318 fallows showed that along the chronosequence of recovery, carbon storage ranged from 5.4
319 ± 1.1 Mg C ha⁻¹ at between 5-6 years, to 61.7 ± 18.1 Mg C ha⁻¹ in trees that were
320 approximately 58 years old. Using a weighted mean of the three equations, carbon
321 accumulation was estimated to be 0.98 Mg C ha⁻¹ year⁻¹. The range was from 0.84 to 1.21 Mg
322 C ha⁻¹ year⁻¹. The recovery trajectory of charcoal fallows contained 10.5 ± 2.7 Mg C ha⁻¹ at
323 the age of 5 years, and the storage was estimated at 64.3 ± 10.1 Mg C ha⁻¹ in the oldest plots
324 (44 year old plots). The average accumulation of C was estimated to be 1.42 Mg C ha⁻¹ year⁻¹.

325 The sequestration rate was highest in the initial regeneration phase (up to 2.1 Mg C ha⁻¹ in the
 326 first 5 years), and lowest in the oldest plots i.e. over 25 years (0.89 Mg C ha⁻¹ year⁻¹).

327 Comparing C storage in slash and burn and charcoal fallows, the results show that in the first
 328 5 years, C storage was higher in charcoal than slash and burn plots, though not significantly
 329 different (t =-1.76, P =0.16). The study found that at 10 years after abandonment, charcoal
 330 fallows had statistically significant higher C storage (19.2 ± 2.6 Mg C ha⁻¹) than slash and
 331 burn regrowth (9.6 ± 2.0 Mg C ha⁻¹)(t= -3.23, P= 0.02). Statistically significant differences in
 332 carbon storage were also observed at 15-16 years, while there were no significant differences
 333 in C storage between the two management regimes after 20 years (Table 6).

334

335 **Table 6: Comparisons of carbon stocks between slash & burn and charcoal regrowth at**
 336 **different age classes of abandoned fallows**

Age of Plot	Mean C stocks		t-value	P Value
	Slash and burn	Charcoal		
5 years	5.4 ± 1.1	10.5 ± 2.7	-1.76	0.16
10 years	9.6 ± 2.0	19.2 ± 2.6	-3.23	0.018*
15 years	15.7 ± 2.4	24.1 ± 1.7	-2.63	0.046*
20 years	22.0 ± 7.6	32.9 ± 3.7	-1.30	0.24
25 years	26.5 ± 3.9	44.9 ± 17.6	-1.019	0.35
30 years		51.9 ± 11.8	X	X
44 years		64.3 ± 10.1	X	X
~58 years	61.7 ± 18.1		X	X

337 *Significant at 0.05

338 X: t was not computed as at least one of the management regimes did not have plots
 339 corresponding with the age.

340

341 A one-way ANOVA showed that there were no statistically significant differences ($F = 2.22$,
342 $P = 0.12$) in C estimates between mature woodlands and regrowth stands ≥ 20 for both slash
343 and burn and charcoal fallows. Carbon estimates in regrowth stands were positively and
344 significantly correlated with the age of fallow ($P < 0.001$).

345

346 **4 Discussion**

347 **4.1 Vegetation structure and floristic composition**

348 4.1.1 Vegetation structure

349 In mature woodlands, the inverse J-shaped size classes showing more trees in the smaller size
350 classes is an indicator of a steady and expanding population, which according to Peters
351 (1994) is a self-maintaining population, in which young trees will eventually replace the older
352 trees. Other studies within the Miombo have reported a similar size class distribution
353 (Chidumayo, 1997; Munishi et al., 2008; Shirima et al., 2011). In this size class profile,
354 young trees continue to regenerate under the canopies of more mature trees indicating that
355 they are shade tolerant, as well as resistant to fire (Peters, 1994). When the forest canopy
356 closes, some seedlings are stunted as some Miombo species require high light intensities for
357 growth (Chidumayo et al., 1996). The diameter distribution obtained at 25 years old
358 regrowth and older suggests that the Miombo has the capacity to achieve its mature
359 vegetation structure after 25 years of abandonment. Our findings add to previous Miombo
360 ecological assessments by demonstrating that Miombo systems return to primary forest
361 characteristics within 2-3 decades of fallow after being degraded through either charcoal and
362 agriculture production. This finding is similar to observations by Chazdon (2003) in slash and
363 burn regrowth sites in tropical rainforests.

364 The basal area obtained in charcoal fallows was higher than the slash and burn fallows. This
365 can be attributed to the fact that after charcoal production, most Miombo trees grow from
366 coppices, and thus grow faster than on slash and burn sites where trees are sometimes
367 uprooted in land preparation, reducing future sources of propagules. Furthermore, the fire in
368 slash and burn agriculture has the potential to kill the roots and substantially reduce the seed
369 bank, thereby slowing plant succession after abandonment (Ferreira et al., 1999). The stem
370 density per hectare declines with age of regrowth due to inter-shoot competition (Chidumayo,
371 1988b; Chidumayo, 1988a). The basal area annual increment obtained in this study compares
372 with that of other studies on regrowth forests within the Miombo eco-region (Stromgaard,
373 1985, Williams et al., 2008).

374 4.1.2 Floristic composition

375 The Miombo floristic structure changed at various stages in the chronosequence. The
376 vegetation composition of regrowth sites suggests that pre-disturbance land use affects the
377 vegetation composition in recovery. After disturbances, increases in sunlight reaching the
378 forest floor due to removal of canopies during tree cutting provides favourable germinating
379 conditions and thus triggering regeneration of light demanding species (Peters, 1994). The
380 tree species that grow earlier are those whose seeds are available in the soil before
381 disturbance or the sprouting of the cut adults (Connell et al., 1977).

382 This study shows that in early regrowth, after slash and burn, fire tolerant species e.g. *D.*
383 *condylocarpon*, *B. bequaertii*, *I. angolensis*, *J. paniculata*, *B. boehmii* and *B. floribunda* were
384 dominant (see Strang, 1974; Lawton, 1978). These findings are consistent with the findings
385 of Peters (1994) and Stromgaard (1984) who reported dominance of fire and drought tolerant
386 species in the early stages of recovery after slash and burn agriculture. Our findings show a
387 high concentration of less fire-resistance species (such as *B. speciformis*, *S. guineense*, and *U.*

388 *kirkiana*) in early charcoal regrowth sites. These species' successful establishment in early
389 stages under slash and burn regrowth sites is hampered by fire (Orwa et al., 2009), though
390 fire can be later used for management after establishment. High-intensity fires and
391 subsequent high soil temperatures during slash and burn causes mortality of plant propagules
392 of fire susceptible tree species (Beadle, 1940) which affects the rate of post-fire
393 recolonisation. At about 15 years regrowth, sites were still associated with light demanding
394 pioneer species growing in open canopies (such as *U. kirkiana*, *O. pulchra*, and *A.*
395 *antunesiana*) which is the case until the canopy begins to close after 25 years. These trees are
396 eventually replaced by species which are also dominant in mature woodlands (e.g. *I.*
397 *angolensis*, *J. paniculata* and *Brachystegia* spp). Our study shows varying diameters of key
398 Miombo species in regrowth sites of different ages with higher proportions observed in
399 charcoal sites. Our findings contradict the findings of Williams et al (2008) in Mozambique
400 who did not find any Miombo defining species in regrowth from slash and burn among the
401 top five dominant species in all the re-growing plots sampled. The difference may be partly
402 attributed to responses of Miombo species being different between wet and drier regions, or
403 the differences in proximity of regrowth sites to mature Miombo woodland which was further
404 from the plots measured in their Mozambique study. The changes in species dominance along
405 the chronosequence may be explained by the fact that tree species such as *D. condylocarpon*,
406 *B. Bequaertii* dominate in initial Miombo recovery after slash and burn due to their rapid
407 dispersal ability and fire tolerance, and occupy the 'empty area' (Strang, 1974; Lawton,
408 1978). In the middle stages of recovery, reduction in incidences of fire enhances growth
409 conditions for less-fire resistant and light demanding species such as *Uapaca* spp and *Albizia*
410 spp. These species are shade intolerant and cannot continue to grow under their own shade
411 (Stromgaard, 1987). They start reducing with the age of the forest stand (Connell et al., 1977;
412 Saldarriaga et al., 1988) as dominant Miombo species increase thus explaining the changes in

413 species dominance. Initial stages of charcoal regrowth sites are dominated by a mixture of
414 fire-tolerant and less tolerable species, while the presence of key Miombo woodland species
415 in early recovery stages can be attributed to regeneration from stumps shoots and root suckers
416 (Chidumayo, 1997; Stromgaard, 1985).

417

418 **4.2 Diversity, species composition and ecosystem functioning**

419 Both the Simpson diversity index and the Shannon index show that the Miombo woodlands
420 have high biodiversity. Our Shannon index results (2.8) show a high diversity as Shannon
421 index values greater than 2 is indicative of medium to high diversity (Barbour et al., 1987).
422 Our study results further shows a higher diversity than other studies in the Miombo region
423 such as in Tanzania where Shannon indices of 1.05 and 1.25 were obtained (Shirima et al.,
424 2011), and from Mozambique's Miombo (Williams et al., 2008), but similar to diversity (2.7)
425 in the landscapes of the west Usarambara (Munishi et al., 2008) probably due to the
426 comparable rainfall gradients. These results corroborate that within the Miombo region, our
427 study region is biologically diverse at tree species level and could be important for various
428 biogeochemical cycles since diversity often is indicative of better ecosystem
429 functioning/productivity (Barbour et al., 1987). Once land is abandoned after slash and burn
430 and charcoal production, tree species diversity remains high in Miombo once the woodland is
431 left to recover without subjecting it to further disturbances. Slash and burn agriculture has
432 been linked to extensive losses of biodiversity (Chidumayo, 1987; Kotto-Same et al., 1997).
433 Our findings show that in recovery, biodiversity is comparable with mature woodlands. This
434 study has however shown a low similarity in floristic composition of oldest (both charcoal
435 and slash and burn plots, though slightly higher in charcoal fallows) and mature woodlands.
436 Our results therefore show that 58 and 44 years after abandonment for slash and burn and

437 charcoal respectively, the floristic composition is still different from mature woodlands. In
438 their study on species composition after slash and burn agriculture in the Amazon, Ferreira
439 and Prance (1999) suggested that 40 years of re-growth was not sufficient for the species
440 composition of re-growth sites to equal that of primary forests, while in Indonesia, low
441 species similarities were observed between primary forests and 55 year-old secondary forest
442 (Brearley et al., 2004). According to Jacobs et al. (1988), the return to primary forest species
443 composition takes centuries and they warned that as the fallow age increases, regrowth sites
444 closely resemble primary forests to the extent that only a detailed examination of species
445 composition can reveal the dissimilarities.

446 Miombo dominant species have tree-specific fungi symbiotic relationships (mycorrhizal
447 associations) and termite symbiotic associations important for ecosystem functioning and
448 producing non wood forest products such as indigenous mushrooms that cannot be
449 domesticated (Hogberg, 1982; Munyanziza, 1996), which are important for livelihoods.
450 Further, since Miombo soils are nutrient poor (Trapnell et al., 1976) mycorrhizal associations
451 are needed for effective nutrient uptake and retention, which are important for growth
452 (Hogberg, 1982), and ultimately enhancing productivity of the ecosystem, and other complex
453 relationships among organisms within the Miombo. Changes in tree species composition have
454 the potential to affect the ecological functioning of ecosystems altering nutrient recycling and
455 an array of ensuing ecosystem benefits (Chapin et al., 2000). These changes though often
456 gradual, may eventually cause irreversible large species shifts (see Figueiredo et al., 2011)
457 and affect the resilience and resistance of ecosystems to environmental change (Chapin et
458 al., 2000).

459 4.3 C-stocks and changes in the recovery trajectory

460 Carbon storage in mature Miombo woodland estimated in this study ($39.6 \pm 1.5 \text{ Mg C ha}^{-1}$) is
461 higher than that reported in Tanzania's Miombo by Shirima et al., (2011), and Munishi et al.,
462 (2010), i.e. $23.3 \text{ Mg C ha}^{-1}$ and $19.1 \text{ Mg C ha}^{-1}$ respectively. The differences observed with
463 studies in Tanzania may be attributed to human disturbances. Although their studies were
464 conducted in the forest reserve, neither targeted undisturbed or intact plots. Further, the
465 studies measured diameters ≥ 10 (Shirima et al., 2011) and ≥ 6 cm (Munishi et al., 2010)
466 which may have an impact on the measured C storage as some trees are excluded from the
467 measurement. The results from this study are higher than estimates for Mozambique i.e.
468 $19.0 \pm 8.0 \text{ Mg C ha}^{-1}$ (Williams et al., 2008) which has drier Miombo than Copperbelt
469 Zambia. Our estimated carbon storage is lower than estimates from tropical rainforests of
470 Africa i.e. 202 Mg C ha^{-1} and over 350 Mg C ha^{-1} (Lewis et al., 2009, Munishi and Shear
471 2004). The C storage in the Miombo is likely to be higher than estimated as the allometric
472 equations developed for the Miombo use a diameter of about 5 cm, and relatively more trees
473 are found with $\text{DBH} > 5$ cm.

474 In regrowth sites, charcoal abandoned sites had higher C storage than slash and burn
475 agriculture sites. This may be attributed to higher regeneration rates on charcoal sites as trees
476 grow from coppices which are new shoots emerging from stumps of cut trees. The ability of
477 the Miombo species to regenerate from coppices has been reported (Boaler et al., 1966; Guy,
478 1981; Chisha-Kasumu et al., 2007). Miombo species' main regeneration is through coppice
479 regrowth and root suckers as opposed to seeds (Trapnell, 1959; Strang, 1974). Regeneration
480 after slash and burn agriculture from coppices may be reduced as some plants may be
481 uprooted or die due to injuries sustained during cultivation (Strang, 1974; Syampungani,
482 2009). The high regeneration in charcoal regrowth increases C storage rapidly after
483 abandonment, until after 20 years when C storage differences between the two management

484 regimes decrease with increasing fallow period and is not significantly different. In a study in
485 northern Zambia on fresh biomass of 16 year-old regrowth, Stromgaard (1985) found
486 biomass in regrowth vegetation cleared, burned and cultivated was less than half when
487 compared to trees that were cut without land being cultivated (i.e. 15.8 and 48.3 t ha⁻¹
488 respectively). Recovery of forests is slow after disturbances that affect soil and
489 aboveground vegetation (Chazdon, 2003). Cultivation using hand hoes has the potential to
490 disturb the soil structure. This may partially explain why carbon accumulation was higher in
491 charcoal regrowth plots than slash and burn agriculture. Furthermore, seedlings may have
492 been left during charcoal production, therefore increasing C storage rapidly.

493 The changes in C storage observed in the recovery trajectory of both management regimes in
494 this study provide empirical evidence of the importance of the Miombo in carbon
495 sequestration. The sequestration rates obtained in this study in slash and burn regrowth sites
496 are comparable with those obtained by other studies (Stromgaard, 1985; Kotto-Same et al.,
497 1997; Williams et al., 2008) i.e. 0.7, 0.98 and 0.98 Mg ha⁻¹ year⁻¹ respectively. This
498 accumulative evidence, as demonstrated by this study, suggests that tropical woodlands
499 sequester vast amounts of carbon in their various eco-regions spreading across different
500 countries, even with different topographic and edaphic characteristics.

501 Our study showed higher C storage in the oldest recovery sites (both slash and burn and
502 charcoal) than mature woodlands, though differences were not significant. These results
503 correspond with those from an earlier study on forest chronosequences in Panama which
504 found biomass to reach its peak after 70 years of disturbance, and declining after 100 years to
505 reach the old-growth value (Denslow et al., 2000). We suggest this trend to be applicable to
506 Miombo as demonstrated by our findings. The lack of significant differences in C storage
507 between older regrowth (≥ 20 year-old) and undisturbed mature woodlands, shows empirical
508 evidence that after abandonment (whether after slash and burn or charcoal production), 20

509 years is sufficient for C storage to attain that of undisturbed woodland. It should be noted that
510 the extent of disturbances may affect recovery, and therefore results from the study must be
511 understood within the context of small-scale farmers, who do not use highly mechanised
512 equipment which has the potential to heavily impact on soil structure (Chazdon, 2003),
513 therefore extending the recovery period.

514

515 **4.4 Implications of Miombo recovery for REDD+**

516 The recovery of Miombo C stocks means fallows of slash and burn agriculture and charcoal
517 production have the potential to be managed sustainably under REDD+ to ensure degraded
518 forests recover their lost carbon stocks and biodiversity and restore the flow of various
519 ecosystem services. This has the potential to generate income for local communities through
520 the sale of carbon credits, subsequently diversifying their livelihood strategies beyond their
521 use of traditional non-timber forest products. In the past, little attention has been paid to
522 reversing forest degradation through restoration (Sasaki et al., 2011). The Kyoto Protocol's
523 narrow focus on afforestation (establishing forests on land that has not previously been
524 forested) and reforestation (planting trees on land that was previously a forest) excluded
525 natural restoration. The post-Kyoto negotiations according to the Copenhagen accord of 2009
526 adopted at the 15th Conference of the Parties (COP 15) and subsequent meetings (Cancun
527 and Durban COP 16 and 17 respectively) have opened a window of opportunity for forest
528 restoration under improved forest management to enhance carbon sinks, conserving
529 biodiversity and improving livelihoods. Forest restoration has a significant role to play in
530 global climate change mitigation and supporting livelihoods (Sasaki et al., 2011). In the
531 management of Miombo under the REDD+ initiative (for which Zambia is a pilot country), it
532 is important that rather than only focusing on avoided deforestation, forest restoration

533 management must be considered. In Miombo woodlands, promotion of mosaic restoration is
534 ideal for small-scale farmers and charcoal producers since patches of forests are subjected to
535 different uses. Mosaic restoration is suitable for areas with considerable differences in land
536 use (such as agriculture, charcoal, human settlements, grazing) (IUCN, 2011), and
537 populations that are between 10-100 persons/Km² (WRI, 2011), which are common in rural
538 areas of Miombo. This will help degraded forests to recover their lost carbon stocks,
539 biodiversity and provide an array of benefits to people, both as goods or other ecosystem
540 services (Sasaki et al., 2011). Regrowth vegetation is important in offsetting GHG emissions
541 from agriculture and other industries, and conserving biodiversity of native flora (Dwyer et
542 al., 2009). Natural regeneration offers a suitable way to restore biodiversity habitats (Kim,
543 2004). Despite the observed uncertainties on the time required for Miombo biodiversity to
544 recuperate after disturbances, regrowth under natural regeneration produces species that are
545 adapted to local conditions and provides suitable habitat for local fauna (Bowen et al., 2007).
546 Further, local people have realised the use of these species and so they are capable of
547 providing more benefits to local people than forest plantations. Management of fallows for
548 extended periods of time will allow local people to generate carbon credits through managing
549 fallows, and further provides an opportunity to restore forest biodiversity which underpins
550 many rural livelihood strategies. There is need for investment into Miombo recovery through
551 local communities' participation, long-term political commitment and provision of long-term
552 financial incentives for fallow management under any Post-Kyoto agreement. Lack of
553 investment funds hampers restoration efforts (IUCN, 2011). To support forest restoration,
554 appropriate national policies, institutional arrangements and local participation are needed
555 (Sasaki et al., 2011). Once adopted under REDD+, managing fallows will be cost effective
556 when compared to conventional planting, but it comes with the challenge of monitoring the
557 management of the fallows.

558 5 Conclusions

559 Findings of this study have shown that the Miombo is a substantial AG C store. Once mature
560 Miombo woodland are cleared, aboveground C stocks are reduced by 39.6 Mg C ha⁻¹, and
561 after abandonment and subsequent recovery through natural succession, vegetation C
562 accumulates at rates of 0.98 and 1.42 Mg C ha⁻¹ for agriculture and charcoal land uses
563 respectively, with accumulation increasing rapidly in the first 15 post-abandonment years.
564 After 20 years, the C storage in regrowth sites shows no significant difference compared with
565 mature woodlands. Miombo woodlands are able to achieve mature vegetation structure
566 (DBH, basal area) after 20 years of abandonment. Charcoal production and slash and burn
567 agriculture have the potential to be considered in emerging C markets, where incentives are
568 given to local people to manage fallows to increase carbon storage and restore other
569 ecosystem services. These land uses hold an enormous management potential which remains
570 neglected in current forest management strategies.

571 Although 20 years is sufficient for the forest structure of re-growing Miombo to resemble
572 mature woodlands, this time is not sufficient for the floristic composition to recuperate.
573 Caution therefore must be taken in the interpretation of diversity indices in developing
574 management strategies. It must be ensured that attention is paid to actual species composition
575 and the presence of Miombo dominant species. In view of the unclear time required for the
576 floristic composition of regrowth to recuperate to mature woodland there is need to conserve
577 the existing mature Miombo for various ecological and socio-economic benefits.

578 The results provided in this study are important in providing insights into the scope and
579 nature of REDD+ initiatives in Zambia and more broadly in global drylands, providing
580 empirical evidence on C storage and how C and biodiversity changes after disturbances from
581 the main drivers of forest loss in tropical drylands. The results can guide policy makers for

582 understanding carbon changes in forests and biodiversity and in developing policy
583 interventions on which the emerging initiatives of C payments are to be based.

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