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1 RH: DUNN ET AL. – BLOOD PARASITE INFECTION OVER WINTER

2 ACTIVE BLOOD PARASITE INFECTION IS NOT LIMITED TO

3 THE BREEDING SEASON IN A DECLINING FARMLAND BIRD

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9 ABSTRACT: Avian blood parasites can have significant impacts on adult breeding 10 birds, but studies of parasitism outside the breeding season are rare, despite their 11 potentially important implications for host-parasite dynamics. Here we investigate 12 temporal dynamics of blood parasite infection in adult yellowhammers Emberiza 13 citrinella. We screened blood samples collected between December and April of two 14 consecutive winters using PCR. We found a high prevalence of both Haemoproteus 15 and Leucocytozoon parasites, with a mean prevalence of 50% across 2 winters. 16 Prevalence of both parasites was higher during the second, colder, winter of the 17 study. Temporal trends differed between the 2 genera, suggesting that chronic 18 Haemoproteus infections gradually disappear throughout the winter, but that 19 Leucocytozoon infections exhibit a relapse during late winter, possibly coincident 20 with reduced food availability. Our results highlight the difference in temporal 21 dynamics between 2 blood parasite genera infecting the same host population and 22 emphasise the need for accurate assessment of infection status at appropriate time 23 periods when examining impacts of, and associations with, blood parasite infection. 24 We suggest that further research should investigate the implications of over winter 25 infection for birds' physiology, behaviour and survival.

26	Blood parasites can have a pronounced effect on reproduction in many bird
27	species (Merino et al., 2000; Tomás et al., 2007; Knowles et al., 2009, 2010) and are
28	associated with reduced survival in both naïve (Warner, 1968) and co-evolved hosts
29	(Martínez-de la Puente et al., 2010; van Oers et al., 2010). Avian blood parasite
30	infection can be associated with behavioural traits such as increased exploratory
31	behaviour (Dunn et al., 2011) and morphology in terms of feather length (Rätti et al.,
32	1993), and can also have ecological associations with later arrival date for migratory
33	species (Rätti et al., 1993) and reduced survival (Martínez-de la Puente et al., 2010;
34	van Oers et al., 2010). Despite the wide ranging effects of haemoparasites during the
35	breeding season, such as reduced hatching, nestling provisioning and fledging
36	success (Merino et al., 2000; Tomás et al., 2007; Knowles et al., 2010), the potential
37	for them to affect hosts during the inter-breeding period has seldom been investigated
38	(Allander and Sundberg, 1997). Environmental stressors may amplify impacts of
39	parasite infection (Clinchy et al., 2004; Sih et al., 2004). Thus, during winter, when
40	environmental stress in temperate climates can to be high due to low temperatures,
41	increased flocking behaviour and a high requirement for scarce food resources,
42	parasites may exert an additional pressure on populations (Barrow, 1963; Valkiūnas,
43	2005).
44	Three separate genera of blood parasites commonly infect avian populations:
45	Plasmodium spp., Haemoproteus spp. and Leucocytozoon spp. The 3 genera differ in
46	their vectors and, to some extent, in their life cycles (Valkiūnas, 2005), but all 3 have
47	been associated with detrimental impacts on their hosts (e.g. Plasmodium: Van Riper
10	at al. 1086. Laugaantazaan, Dunhumu at al. 2007. Haamannataus, yan Qara at al

48 et al., 1986; Leucocytozoon: Bunbury et al., 2007; Haemoproteus: van Oers et al.,

49 2010).

50	The prevalence of patent blood parasite infection (when parasites can be
51	detected circulating in the blood, rather than dormant in tissues) varies temporally
52	(Bensch and Åkesson, 2003; Cosgrove et al., 2008), and the prevalence of all 3
53	genera tends to peak at the beginning of the breeding season in temperate climates
54	(Sundberg, 1995; Allander and Sundberg, 1997; Valkiūnas, 2005; Cosgrove et al.,
55	2008). This peak is due to relapse of existing infections as the onset of breeding
56	leads to rising levels of hormones such as corticosterone (Applegate, 1970; Valkiūnas
57	et al., 2004). In tropical and subtropical climates, patent parasite infection can be
58	found throughout the year because transmission can occur continuously; however, in
59	temperate climates transmission tends to cease outside the breeding season as
60	temperatures fall and vector activity ceases (Cosgrove et al., 2008). Plasmodium
61	infections tend to clear from the blood completely outside the non-breeding season
62	(Applegate, 1970; Cosgrove et al., 2008). However, gametocytes from chronic
63	Haemoproteus and Leucocytozoon infections can remain in the blood for many
64	months after initial infection (Valkiūnas, 2005) but relatively little is known about the
65	period for which parasites can be detected in the blood, or the impact that chronic
66	infections may have on host ecology outside the season of active parasite
67	transmission.
68	Factors outside the breeding season can also cause increased corticosterone
69	levels and may potentially induce relapses of existing parasite infections (Barrow,
70	1963; Applegate, 1970; Valkiūnas, 2005). Extreme weather conditions (Romera et
71	al., 2000), food restriction (Kitaysky et al., 2001) and poor habitat quality (Marra and

72 Holberton, 1998) can all increase corticosterone levels and these effects may occur at

any time of year, suggesting potential interactions with the dynamics of

haemoparasite infections (Valkiūnas et al., 2004). Thus, in species where other

75 stress-inducing factors, such as food shortages or habitat degradation, act outside the 76 breeding season, a stress-induced decrease in immunity might either trigger parasite 77 relapse or cause a delay in clearing parasites from the bloodstream. Multiple stress-78 inducing factors can have synergistic effects (Clinchy et al., 2004; Sih et al., 2004), 79 both physiologically (Clinchy et al., 2004) and with ecological consequences (Zanette 80 et al., 2003); thus, patent parasite infection may exacerbate the effects of food or 81 weather related stress. Levels of parasite infection might thus be higher during 82 periods of increased stress, such as during colder winters, than during milder winters. 83 Here, we investigate the temporal dynamics of blood parasite prevalence 84 during the non-breeding season in a population of Yellowhammers Emberiza 85 citrinella, a farmland bird whose downward population trend (Eaton et al., 2011) has 86 been associated with decreased over winter survival (Bradbury et al., 2000). We 87 sampled our population over 2 winters varying markedly in temperature, and we 88 describe the temporal variability of patent infection across our population.

89 MATERIALS AND METHODS

90 Study population and blood sampling

91 Work was carried out within an individually marked population of 92 Yellowhammers near Tadcaster, North Yorkshire (53°53'N, 1°15'W). Birds were 93 caught in static mist nets and whoosh nets (Redfern and Clark, 2001) at an 94 established supplementary feeding site baited sporadically with wheat and weed 95 seeds, within an experimental agroforestry block surrounded by arable farmland. 96 Two hundred and three birds were caught on 30 sampling occasions between 97 November 2007 and April 2009. Nineteen birds were caught and sampled on 2 98 occasions within this period and 3 birds were caught and sampled on 3 separate 99 occasions more than 2 mo apart.

Birds were aged and sexed by plumage (Svensson, 1992; Dunn and Wright,
2009). Blood was taken through venipuncture of the brachial vein and stored with

102 EDTA as an anticoagulant prior to freezing.

103 **DNA extraction and detection of blood parasites**

104 DNA was extracted from 30 µl of whole blood using a standard phenol-

105 chloroform extraction followed by ethanol precipitation (Sambrook et al., 1989).

106 Successful DNA extraction was confirmed by using a Nanodrop ND-1000

107 Spectrophotometer (Nanodrop Technologies Inc., Wilmington, Delaware) and

108 extracted DNA was diluted to a working concentration of $25 - 100 \text{ ng/}\mu\text{l}$.

109 Blood parasite presence or absence was determined through PCR using 2

110 established protocols. The presence of Plasmodium and Haemoproteus was

111 established using primers HaemF and HaemR2 nested within HaemNF and

112 HaemNR2 (Waldenström et al., 2004), and Leucocytozoon spp. were detected using

113 primers HaemFL and HaemR2L nested within primers HaemNFI and HaemNR3

114 (Hellgren et al., 2004). All protocols were carried out in a working volume of 25 μ l

115 containing 50 – 200 ng template DNA, 1.25 mM dNTPs, 1.5 mM MgCl₂, 0.4 µM of

116 each primer, 1 x GoTaq Flexi Buffer (Promega, Madison, Wisconsin) and 1 U GoTaq

117 Flexi (Promega); a positive control of DNA from a bird with known infection and a

118 negative control containing deionised water in place of DNA were included with each

119 PCR reaction to ensure successful amplification, and lack of contamination,

120 respectively.

PCR protocols were identical for detection of both parasite genera. First round reactions consisted of a denaturation step of 94 C for 3 min followed by 20 cycles of 94 C for 30 sec, 50 C for 30 sec and 72 C for 45 sec, with a terminal extension step of 72 C for 10 min; the protocol for second round reactions contained 35 cycles but

otherwise consisted of an identical thermal profile. PCR protocols were carried out
on a GeneAmp PCR System 9700 (Applied Biosystems). Non-target DNA can be
amplified with nested PCR methods (Szöllösi et al., 2008), so a subsample of 38
positive samples from 34 birds were sequenced using an ABI sequencer at the Core
Genomic Facility, Sheffield University (Sheffield, South Yorkshire, UK). Identity of
parasites was confirmed by comparison with sequences in GenBank using the NCBIBLAST database (Altschul et al., 1997).

132 Blood smears were created from a subset of positive samples (n=44) and 133 examined under an oil immersion x 100 magnification lens. Haemoproteus infection 134 intensity was assessed at the same time as white blood cell (WBC) differentials 135 (results reported in Dunn et al., 2013) and thus was assessed from the number of 136 microscope fields required to find 100 WBCs (mean \pm 1 SE: 1242 \pm 150 microscope 137 fields; 236927 ± 29595 erythrocytes). We then assessed the number of intracellular 138 parasites in non-distorted erythrocytes to establish Haemoproteus infection intensity 139 and standardised this measure to reflect the number of parasites per 10,000 140 erythrocytes. We did not assess Leucocytozoon infection intensity. 141 **Statistical analyses**

142 All analyses were carried out in R version 3.0.2 for Mac (R Core 143 Development Team, 2009). Where 2 or more data points existed from the same 144 individual, 1 was selected at random and retained, and the rest deleted to avoid 145 pseudoreplication. To ensure this retained sample was representative, we repeated 146 this three times to ensure results were consistent between datasets. To examine 147 factors influencing variation in parasitism, we used 2 general linear models (for each 148 parasite genus separately) with binomial error structures and infection status as the 149 response variable. The fixed factors we examined were year, day (as a continuous

150 variable where Nov 1 = 1, allowing for linear and quadratic relationships), age and 151 sex, as well as two-way interactions between year and day to allow for the possibility 152 of year-dependent relationships with day: we examined all possible candidate models 153 using the 'dredge' function in the 'MuMIn' library (Barton, 2012) and ranked models 154 using second-order Akaike's Information Criteria (AICc). AICc measures the 155 relative goodness of fit of a model and takes into account the number of variables 156 within each model, penalising models for the addition of variables, thus selecting for 157 a model with the maximum goodness of fit and retaining the minimum number of 158 explanatory variables (Burnham and Anderson, 2002). Where more than 1 candidate 159 model had delta AIC<2, we averaged these models to provide parameter estimates 160 adjusted for shrinkage according to the number of top models within which each term 161 was found (Burnham and Anderson, 2002).

162 **RESULTS**

163 Parasite prevalence and identity

164 Two hundred and twenty-five blood samples from 203 birds were screened 165 for the presence of Plasmodium spp. and Haemoproteus spp. Using the protocol of 166 Waldenström et al. (2004), 105 of 225 samples (47 %) tested positive for 167 Plasmodium spp. and Haemoproteus spp. A subset of 195 samples was selected at 168 random and tested using the protocol of Hellgren et al. (2004) for detection of 169 Leucocytozoon spp., and 52 of 195 samples (27 %) contained parasites. Of the 52 170 birds testing positive for Leucocytozoon spp., only 7 were not infected by 171 Haemoproteus spp. giving an overall parasite prevalence of 50 %. Co-infection by 172 both Haemoproteus spp. and Leucocytozoon spp. occurred more frequently than expected by chance (χ^2_1 =44.0, p<0.001): 51 % of birds infected by Haemoproteus 173

spp. were also infected by Leucocytozoon spp., whereas 89% birds infected by

175 Leucocytozoon spp. were also infected by Haemoproteus spp.

176 Thirty-eight parasite sequences were obtained from 34 infected birds. Of

- 177 these, 1 sequence was identified as a novel Leucocytozoon lineage, designated
- 178 EMCIT01 (Genbank accession number JQ346795), and the remainder were identified
- as Haemoproteus lineages DUNNO01 and EMRUT01 (Genbank accession numbers
- 180 DQ991080 and EF380192). The Leucocytozoon lineage was amplified using
- 181 Hellgren et al. (2004) and all the Haemoproteus lineages were amplified using
- 182 Waldenström et al. (2004).
- 183 Median infection intensity of Haemoproteus spp. in a random subsample of

184 birds (subsample selected based on the quality of the blood smear and blind to the

brightness of the PCR band) confirmed as infected through PCR (n=44) was 0.38

186 parasites per 10,000 erythrocytes (range 0 – 7.03 parasites per 10,000 erythrocytes).

187 Parasites were detected in 24 of the 44 smears.

188 Associations with host and environmental variables

189 Day and year strongly influenced the prevalence of both Haemoproteus and

190 Leucocytozoon, being retained in all top models for each parasite genus (Table I).

191 Confidence intervals for day (both linear and quadratic terms) and year did not

192 overlap zero for either parasite genus (Table II), suggesting a strong, non-linear,

193 influence of day on parasite prevalence, as well as a higher prevalence of both

194 parasite genera during the second year of the study (Figures 1 & 2). Haemoproteus

195 prevalence increased from 39% in 2007/08 to 66% in 2008/09, whereas

- 196 Leucocytozoon prevalence increased from 20% in the winter of 2007/08 to 50% in
- 197 2008/09. While both interaction terms were retained in each averaged final model,
- 198 confidence intervals for all interaction terms spanned zero, suggesting no differences

199 in temporal variation in prevalence between years. During both years, Haemoproteus

200 prevalence declined gradually throughout the winter, although predicted values

suggest a slight increase towards the end of February (day 120; Figure 1).

202 Leucocytozoon prevalence declined gradually until early-February (day 100) and then

203 increased markedly (Figure 2). Predicted prevalence of either parasite did not

approached zero in either year.

205 Host age was retained in only 2 of the 5 models examining Haemoproteus

206 prevalence and 1 of the 7 models examining Leucocytozoon prevalence (Table I), and

207 confidence intervals overlapped zero for both models (Table II). Similarly, host sex

208 was retained in only 1 of the 5 models examining Haemoproteus prevalence, and 3 of

209 the 7 models examining Leucocytozoon prevalence (Table I), and confidence

210 intervals overlapped zero for both models (Table II), so neither variable is considered211 further

211 further.

212 **DISCUSSION**

213 We found an overall parasite prevalence of 50 % in our population during the

214 non-breeding season over 2 yr, which was, on average, low compared to a previous

215 prevalence of 70 % in breeding yellowhammers (Sundberg, 1995). However,

216 Haemoproteus prevalence approached 70 % overall during 2008. Typically,

217 haemoparasite infections relapse at the beginning of the breeding season, when

218 circulating corticosterone levels increase (Sundberg, 1995; Cosgrove et al., 2008).

219 Outside the breeding season in temperate environments, Plasmodium infections tend

to disappear from circulating blood rapidly, with over winter prevalence at 0 %

221 (Cosgrove et al., 2008). Less is known about the seasonal dynamics of

Haemoproteus and Leucocytozoon in passerine hosts, although a previous study of

223 Haemoproteus in breeding yellowhammers found a pre-breeding relapse during late

224 April and throughout May, and suggested that infections may last beyond the 225 breeding season albeit at low intensities, although to our knowledge this was not 226 subsequently investigated (Sundberg, 1995; Allander and Sundberg, 1997). Previous 227 studies of haemoparasites in other systems have found various prevalences of 228 infection outside the breeding season: for example, Barnard and Bair (1986) found 229 Leucocytozoon in 16.5 % of 50 bird species, mostly passerines, examined between 230 December and March in Vermont, although no Haemoproteus infections were found 231 between November and April despite the presence of suitable hosts; Haemoproteus 232 prevalence peaked at ~33 % during September. Barnard et al. (2010) found a 42 % 233 Leucocytozoon prevalence during both summer and winter in rusty blackbirds 234 Euphagus carolinus, and found no Haemoproteus infections in breeding rusty 235 blackbirds compared to infections in 3 % of wintering birds. Deviche et al. (2010) 236 found an over winter dip in prevalence of Leucocytozoon fringillinarum infection in 237 white-winged crossbills Loxia leucoptera, but found this parasite genus was absent 238 from sampled birds for only a short period during January, suggesting that the early 239 breeding season of the crossbill initiated a pre-breeding relapse in March and April. 240 Conversely, for Haemoproteus fringillae, this study found parasites were absent from 241 circulating blood between January and April, suggesting that a seasonal relapse in 242 May was indicative of novel infections following an increase in vector activity 243 (Deviche et al., 2010), a pattern also found by Schrader et al. (2003) in red-bellied 244 woodpeckers Melanerpes carolinus, where Haemoproteus prevalence was 0 % in 245 January and February but peaked at 80 % in July. All 4 of these studies used blood 246 smears alone for detection of haemoparasite infections (Barnard and Bair, 1986; 247 Schrader et al., 2003; Barnard et al., 2010; Deviche et al., 2010), suggesting that 248 actual prevalence may be higher because blood smears can be relatively insensitive

249 compared to PCR for detecting low intensity infections: for example, Fallon and 250 Ricklefs (2008) found 35 % of PCR-detected Haemoproteus infections to not be 251 detected on blood smears. Although PCR cannot distinguish between infective and 252 non-infective stages (Valkiūnas et al., 2011), Haemoproteus species only cast 253 infective gametocytes in the bloodstream and are not found in the blood as non-254 infective asexual stages (Pérez-Tris and Bensch, 2005; Valkiūnas, 2005), and thus 255 any detection of Haemoproteus in the bloodstream indicates an active infection. 256 Interestingly, co-infection by multiple parasites was not random, with birds 257 infected by Leucocytozoon 23.1 times more likely to be infected by Haemoproteus 258 than those not infected by Leucocytozoon. Initial infection may be correlated: whilst 259 Haemoproteus and Leucocytozoon are transmitted by different vectors (from the 260 families Ceratopogonidae and Hippoboscidae, and the family Simuliidae 261 respectively; Valkiūnas, 2005), both vectors may be more abundant in wet areas (e.g. 262 Wood et al., 2007). Individual behaviour may make individuals more likely to 263 encounter vectors: for example, female great tits infected by haemoparasites were 264 more exploratory than uninfected individuals, suggesting a behavioural pre-265 disposition to infection (Dunn et al., 2011). 266 Examination of temporal trends in infection prevalence in our data suggests 267 different patterns for Haemoproteus and Leucocytozoon infections. Leucocytozoon 268 infections show a gradual decline in prevalence until early-February, after which 269 prevalence starts to increase markedly, unlike Haemoproteus infections which appear 270 to increase slightly towards the end of February. This suggests that, for both genera, 271 we were seeing a gradual decline in patent infections as parasites were cleared from 272 the bloodstream, albeit over a lengthy period. This is supported by our infection 273 intensity data for Haemoproteus that showed levels in our population to be relatively

274	low compared to other passerine-Haemoproteus spp. systems (e.g. Bensch et al.,
275	2000: 40-790 parasites per 10,000 RBCs; Fallon and Ricklefs, 2008: means of 6.4
276	and 12.1 parasites per 10,000 RBCs in the West Indies and Missouri Ozarks
277	respectively; Asghar et al., 2011: 90 parasites per 10,000 RBCs) during the summer
278	months. Unfortunately we cannot make direct comparisons with breeding season
279	infection intensity in our study species because, to our knowledge, these data are only
280	presented in Allander and Sundberg (1997) who measured parasites per 100
281	microscope fields, and did not standardise measurements by erythrocyte abundance,
282	and we did not sample individuals during the breeding season. Future work could
283	test this by collecting more extensive infection intensity data and establishing
284	whether a decline in infection intensity occurs concurrent with reduced prevalence,
285	expected if the pattern we observe is due to declining chronic infections.
286	After early-February, Leucocytozoon infection prevalence increases markedly,
287	and Haemoproteus infection prevalence increases slightly from the end of February.
288	This suggests a relapse of existing infection rather than a decline in chronic infection.
289	Transmission of all 3 blood parasite genera in temperate regions is thought to be
290	negligible throughout the winter due to a cessation of vector activity (Cosgrove et al.,
291	2008; but see also Klei and DeGiusti, 1975 for high over winter vector activity).
292	However, parasites remain dormant in host tissues (Valkiūnas, 2005) and are
293	activated by stress hormones, usually at the onset of breeding, when relapses occur
294	and parasites can be found circulating in the blood (Applegate, 1971; Allander and
295	Sundberg, 1997). Yellowhammers start breeding relatively late, with mean first egg
296	date on 29 May and the earliest broods being initiated in early May (Bradbury et al.,
297	2000). Our latest sampling point during both years was 23 April, and thus there is
298	minimal overlap between our data collection and the onset of the breeding season,

suggesting that this increase in parasite prevalence in our population is independent
of breeding-induced relapse. We suggest 3 possibilities for this apparent relapse of
infection.

Firstly, host immunity can be lowered during the winter (Hasselquist et al.,
1999; Møller et al., 2003; Hasselquist, 2007), which may allow a relapse of existing
infections because reduced immune function is often associated with increased
parasite prevalence (Ots and Hörak, 1998; Barnard et al., 2010). However, a multispecies study including yellowhammers provided little evidence for a reduction in
winter immunity in this species, although the sample size was small (Møller et al.,
2003).

309 The second possibility is that a reduction in over winter food availability may 310 trigger a relapse of infection through increased circulating corticosterone levels: 311 whilst our population was sampled at a supplementary feeding site, this was baited 312 only sporadically and thus cannot be considered a reliable source of food. A 313 reduction in over winter food availability has been linked to population declines in 314 many farmland bird species, including yellowhammers (Peach et al., 1999; Robinson 315 and Sutherland, 1999; Bradbury et al., 2000) and food availability in the wider 316 countryside is thought to be insufficient from February onwards (Siriwardena et al., 317 2008). Corticosterone levels can increase at times of low food availability (Kitaysky 318 et al., 2001; Clinchy et al., 2004) and poor weather (Romera et al., 2000), and 319 increased corticosterone levels have been experimentally linked to both an increased 320 parasite prevalence and an increased intensity of infection (Applegate, 1970). It 321 seems plausible, and temporally relevant, that a reduced food supply may either 322 induce relapses of haemoparasite infection or delay clearance of gametocytes from the host blood stream. This possibility is supported by the higher prevalence of both 323

324 parasites during the colder winter of 2008/09 than the relatively mild winter of 325 2007/08. The potential implications of food-stress influencing the temporal dynamics 326 of parasites, and subsequent implications for survival require further exploration. 327 A third explanation, although one that appears unlikely, is that the infections 328 we observe result from active transmission of parasites resulting in novel infections. 329 Little is known of the ecology of vectors in our population, so we cannot discount 330 continuing vector transmission during the winter months; indeed, where vectors are 331 present, over winter transmission has been recorded (Klei and DeGiusti, 1975). 332 However, if this were the case then we would expect parasite prevalence to be higher 333 during a warmer winter when more vectors would be likely to survive, whereas in 334 fact we found prevalence to be higher during the colder winter of 2008/09, also 335 coincidental with lower bird numbers despite similar sampling effort. This instead 336 supports the idea of a higher incidence of stress-induced relapse in birds that survived 337 the early cold spell during the autumn of 2008 (National Climate Information Centre, 338 2008), which is likely to have caused high mortality resulting also in the lower 339 number of birds caught during this winter.

340 The high prevalence of over winter infection found in our study population 341 suggests a previously overlooked and potentially important role of haemoparasites 342 during the non-breeding season, possibly initiated, for Leucocytozoon, by food stress 343 in our population. The implications of this previously over-looked period of active 344 blood parasite infection for both host and parasite life-histories and population 345 dynamics need further investigation. Further understanding the dynamics of 346 Haemoproteus and Leucocytozoon infection emphasises the importance of long-term 347 studies of host-parasite systems that allow repeated sampling of individuals through 348 time.

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350

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FIGURE 1. The prevalence of Haemoproteus infection varied over time and between
years. Points show raw data; lines are those predicted from the final models (Table
I).

528

529 FIGURE 2. The prevalence of Leucocytozoon infection varied over time and

530 between years. Points show raw data; lines are those predicted from the final models

531 (Table I).

532