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#### **Published paper**

Ratcliffe, L.P.D., Blanz, A., Williams, C.N., Brown, S.L. and Armes, S.P. (2014) RAFT polymerization of hydroxy-functional methacrylic monomers under heterogeneous conditions: effect of varying the core-forming block. *Polymer Chemistry*, 5 (11). 3643 - 3655. Doi: 10.1039/c4py00203b

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# RAFT polymerization of hydroxy-functional methacrylic monomers under heterogeneous conditions: effect of varying the core-forming block

Cite this: *Polym. Chem.*, 2014, 5, 3643L. P. D. Ratcliffe,<sup>a</sup> A. Blanazs,<sup>†a</sup> C. N. Williams,<sup>b</sup> S. L. Brown<sup>b</sup> and S. P. Armes<sup>\*a</sup>

Statistical copolymerization of a 1 : 1 molar ratio of a water-miscible monomer (2-hydroxyethyl methacrylate, HEMA) with a water-immiscible monomer (4-hydroxybutyl methacrylate, HBMA) has been conducted in water *via* reversible addition–fragmentation chain transfer (RAFT) polymerization using a water-soluble poly(glycerol monomethacrylate) macromolecular chain transfer agent (PGMA macro-CTA). In principle, such a hybrid formulation might be expected to be intermediate between RAFT dispersion polymerization and RAFT emulsion polymerization. Under such circumstances, it is of particular interest to examine whether both monomers are actually consumed and, if so, whether their rates of reaction are comparable. Given the water-solubility of both the PGMA macro-CTA and the free radical azo initiator, it is perhaps counter-intuitive that the *water-immiscible* HBMA is initially consumed significantly faster than the *water-miscible* HEMA, as judged by <sup>1</sup>H NMR studies of this copolymerization. However, both comonomers are eventually almost fully consumed at 70 °C. A detailed phase diagram has been constructed for this RAFT formulation that enables reproducible syntheses of various pure copolymer morphologies, including spheres, worms and vesicles. It is emphasized that utilizing a 1 : 1 HEMA/HBMA molar ratio produces a core-forming statistical copolymer block that is *isomeric* with the poly(2-hydroxypropyl methacrylate) (PHPMA) core-forming block previously synthesized *via* RAFT aqueous dispersion polymerization (see A. Blanazs *et al.*, *Macromolecules*, 2012, **45**, 5099–5107). Hence it is rather remarkable that the thermo-responsive behavior of PGMA–P(HBMA-*stat*-HEMA) statistical block copolymer worm gels differs *qualitatively* from that exhibited by PGMA–PHPMA diblock copolymer worm gels.

Received 12th February 2014  
Accepted 5th March 2014

DOI: 10.1039/c4py00203b

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## Introduction

AB diblock copolymers can self-assemble in a solvent that is selective for one of the blocks to form a wide range of morphologies, including spheres,<sup>1–3</sup> worms,<sup>4–10</sup> cylinders<sup>11</sup> and vesicles.<sup>6,12,13</sup> In principle, these nano-sized structures could be utilized as bio-delivery vehicles,<sup>14,15</sup> nano-reactors,<sup>16</sup> inorganic/organic templates,<sup>17</sup> or as polymeric surfactants.<sup>18</sup> However, their generation usually involves a post-polymerization processing step such as a solvent switch or pH switch,<sup>2</sup> or thin film rehydration<sup>19–21</sup> that is typically conducted in dilute solution (<1%). This is a severe restriction for many potential commercial applications.

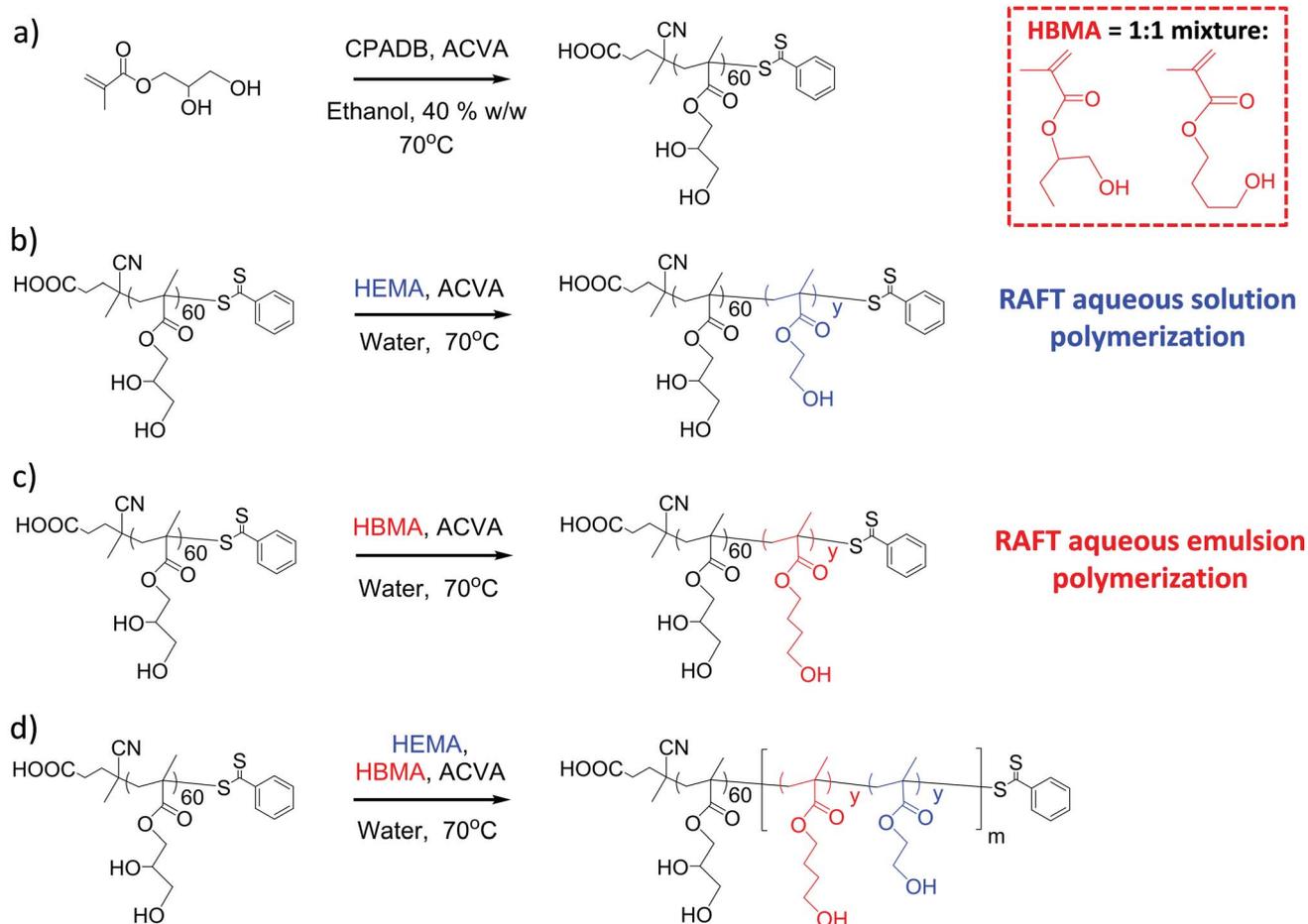
Over the last two decades, the development of living radical polymerization techniques such as nitroxide-mediated polymerization,<sup>22</sup> atom transfer radical polymerization<sup>23,24</sup> and reversible addition–fragmentation chain transfer (RAFT) polymerization<sup>25</sup> has revolutionized synthetic polymer chemistry. These radical-based chemistries have enabled the design of a remarkably wide range of *functional* polymers, including many examples of block copolymer architectures.<sup>26–28</sup> In particular, RAFT polymerization has been recently utilized in the context of polymerization-induced self-assembly (PISA) to prepare a range of colloiddally stable block copolymer nano-objects at relatively high copolymer concentrations (up to 25%).<sup>29–31</sup> PISA syntheses can be conducted under dispersion polymerization conditions and this approach has been demonstrated to be rather generic: highly efficient syntheses have been conducted in water,<sup>32–42</sup> alcoholic solvents<sup>43–54</sup> or *n*-alkanes.<sup>55–58</sup> Given its low cost, non-toxicity, non-flammability and high heat capacity, water offers particular advantages as a solvent for such RAFT PISA syntheses. However, there are relatively few vinyl monomers that meet the criterion for an aqueous dispersion

<sup>a</sup>Department of Chemistry, The University of Sheffield, Dainton Building, Brook Hill, Sheffield, South Yorkshire, S3 7HF, UK. E-mail: s.p.arnes@shef.ac.uk<sup>b</sup>Scott Bader Company Limited, Wollaston, Wellingborough, Northamptonshire, NN29 7RL, UK<sup>†</sup> Present address: BASF SE, GMV/P – B001, 67056 Ludwigshafen, Germany.

polymerization: the monomer should be water-miscible but the corresponding polymer must be water-insoluble. For example, An and co-workers have reported the use of either *N*-isopropyl acrylamide<sup>59</sup> or 2-methoxyethyl acrylate<sup>36</sup> as a core-forming block for the preparation of thermo-sensitive spherical nanoparticles/nanogels.<sup>38,60</sup> However, the prototypical monomer for RAFT aqueous dispersion polymerization is 2-hydroxypropyl methacrylate (HPMA).<sup>33,39–42,61–63</sup> An alternative approach is RAFT aqueous emulsion polymerization, as developed by Charleux and co-workers<sup>64–72</sup> and Hawket and co-workers.<sup>73,74</sup> In principle, such formulations are applicable to a wide range of water-immiscible vinyl monomers, such as styrene, methyl methacrylate or *n*-butyl acrylate. PISA formulations based on either RAFT aqueous dispersion polymerization or RAFT aqueous emulsion polymerization have been utilized to prepare low polydispersity block copolymers in the form of near-monodisperse spheres,<sup>32,68,69,73,75</sup> polydisperse worms,<sup>63,64</sup> polydisperse vesicles<sup>61,72,76</sup> or framboidal vesicles.<sup>77</sup> In each case, a RAFT macromolecular chain transfer agent (macro-CTA) acts as a water-soluble steric stabilizer, while the growing hydrophobic core-forming block drives *in situ* self-assembly.

In the present work, we have explored a new type of RAFT PISA formulation that involves growing a water-insoluble

statistical copolymer as the core-forming block from a water-soluble poly(glycerol monomethacrylate) (PGMA) macro-CTA. The two comonomers were deliberately selected to be *water-miscible* (2-hydroxyethyl methacrylate, HEMA) and *water-immiscible* (4-hydroxybutyl methacrylate, HBMA). For such a hybrid formulation, it was not immediately obvious whether (co)polymerization would initially proceed *via* aqueous dispersion polymerization or aqueous emulsion polymerization. Indeed, given their differing solubilities, it was also not clear whether both comonomers would be efficiently polymerized in such syntheses (see Scheme 1). The minimum HEMA/HBMA molar ratio for ensuring water miscibility (at 20% w/w solids at 70 °C) was determined to be 6.0. Aqueous *dispersion* polymerization occurs at or above this critical value. On the other hand, a 1 : 1 HEMA/HBMA molar ratio corresponds to aqueous *emulsion* polymerization conditions, since a substantial fraction of HBMA monomer remains water-immiscible. If both comonomers are fully consumed using this latter protocol, the resulting statistical copolymer is actually *isomeric* with the poly-(2-hydroxypropyl methacrylate) (PHPMA) core-forming block used in the majority of the literature examples of RAFT aqueous dispersion polymerization reported to date.<sup>33,39–42,61–63</sup> Given that the PHPMA block also confers thermo-sensitivity that leads to a



Scheme 1 (a) Synthesis conditions used to prepare a PGMA<sub>60</sub> macro-CTA, (b) PGMA<sub>60</sub>–PHEMA<sub>y</sub> diblock copolymer, (c) PGMA<sub>60</sub>–PHBMA<sub>y</sub> diblock copolymer and (d) PGMA<sub>60</sub>–P(HBMA<sub>y</sub>–HEMA<sub>y</sub>)<sub>m</sub> statistical diblock copolymer. A CTA/ACVA molar ratio of 4.0 was used in all cases.



reversible worm-to-sphere morphological transition,<sup>62,63</sup> it is clearly of interest to examine whether an *isomeric* core-forming block comprising a 1 : 1 HEMA/HBMA statistical copolymer also exhibits such thermo-sensitive behaviour.

## Experimental

### Materials

2-Hydroxypropyl methacrylate (HPMA, 97%), 4,4'-azobis(4-cyanopentanoic acid) (ACVA; V-501; 99%), 4-cyano-4-(phenyl-carbonothioylthio)pentanoic acid (CPADB) and 4-hydroxybutyl methacrylate (HBMA, 94% consisting of a 1 : 1 mixture of 4-hydroxybutyl methacrylate and 1-hydroxybutan-2-yl methacrylate) were purchased from Sigma Aldrich UK and used as received. HPLC analysis of the HPMA monomer indicated a dimethacrylate impurity of around 0.10 mol%. Glycerol monomethacrylate (GMA; 99.8%, ~0.06 mol% dimethacrylate) and 2-hydroxyethyl methacrylate (HEMA, >99% Ultra grade, ~0.10 mol% dimethacrylate) were donated by GEO Specialty Chemicals (Hythe, UK) and used without further purification. Deuterated methanol (CD<sub>3</sub>OD) was purchased from Goss Scientific (Nantwich, UK). All solvents used in this work were HPLC grade and purchased from Fisher Scientific (Loughborough, UK).

### Copolymer characterization

**<sup>1</sup>H NMR spectroscopy.** All NMR spectra were recorded using a 400 MHz Bruker Avance-400 spectrometer in CD<sub>3</sub>OD. All of the monomers used in this study (and their respective (co)polymers) could be fully dissolved in this solvent unless otherwise stated. At least 64 scans were recorded per spectrum in each case.

**Gel permeation chromatography (GPC).** Polymer molecular weights and polydispersities were determined using a DMF GPC instrument operating at 60 °C that comprised two Polymer Laboratories PL gel 5 μm Mixed C columns and one PL polar gel 5 μm guard column connected in series to a Varian 390-LC multi-detector suite (refractive index detector only) and a Varian 290-LC pump injection module. The GPC eluent was HPLC-grade DMF containing 10 mM LiBr and was filtered prior to use. The flow rate used was 1.0 mL min<sup>-1</sup> and DMSO was used as a flow-rate marker. Calibration was conducted using a series of ten near-monodisperse poly(methyl methacrylate) standards ( $M_n = 625\text{--}618\,000\text{ g mol}^{-1}$ ,  $K = 2.094 \times 10^{-3}$ ,  $\alpha = 0.642$ ). Chromatograms were analyzed using Varian Cirrus GPC software.

**Transmission electron microscopy (TEM).** Reaction mixtures were diluted at 20 °C to generate 0.20% w/w dispersions. Copper TEM grids (Agar Scientific, UK) were surface-coated in-house to yield a thin film of amorphous carbon. The grids were then plasma glow-discharged for 40 seconds to create a hydrophilic surface. Each aqueous diblock copolymer dispersion (0.20% w/w, 11 μL) was placed onto a freshly glow-discharged grid for one minute and then blotted with filter paper to remove excess solution. To stain the deposited nanoparticles, a 0.75% w/w aqueous solution of uranyl formate (9 μL) was placed *via*

micropipette on the sample-loaded grid for 20 seconds and then carefully blotted to remove excess stain. Each grid was then carefully dried using a vacuum hose. Imaging was performed at 100 kV using a Phillips CM100 instrument equipped with a Gatan 1k CCD camera.

**Dynamic light scattering (DLS).** Intensity-average hydrodynamic diameters of the dispersions were obtained by DLS using a Malvern Zetasizer NanoZS instrument. Dilute aqueous dispersions (0.20% w/w) were analyzed using disposable cuvettes, and all data were averaged over three consecutive runs.

### Synthesis and purification of PGMA<sub>60</sub> macro-CTA

A typical protocol for the synthesis of PGMA<sub>60</sub> macro-CTA is given below: to a round-bottomed flask containing CPADB RAFT agent (3.43 mmol, 0.96 g), GMA monomer (0.24 mol, 38.44 g) and anhydrous ethanol (1.28 mol, 59.4 g) was added to afford a target degree of polymerization (DP) of 70. To this, ACVA initiator (0.69 mmol, 0.19 g, CTA/ACVA molar ratio = 5.0) was added and the resulting pink solution was sparged with N<sub>2</sub> for 20 minutes, before the sealed flask was immersed into an oil bath set at 70 °C. After 2.5 h (conversion 75% as judged by <sup>1</sup>H NMR) the polymerization was quenched by immersion of the flask in an ice bath and opening it to air. The polymerization solution was then precipitated into a ten-fold excess of chloroform and washed three times in the precipitation solvent before being placed under high vacuum for three days at 40 °C. <sup>1</sup>H NMR analysis indicated a DP of 60 for this PGMA macro-CTA. Taking into account the target DP of 70 and the conversion of 75%, this suggests a CTA efficiency of 88%. DMF GPC analysis indicated  $M_n$  and  $M_w/M_n$  values of 17 000 g mol<sup>-1</sup> and 1.08, respectively.

### RAFT synthesis of PGMA<sub>60</sub>-PHBMA<sub>75</sub> diblock copolymer

A typical protocol for the synthesis of PGMA<sub>60</sub>-PHBMA<sub>75</sub> diblock copolymer is as follows: PGMA<sub>60</sub> macro-CTA (0.200 g, 0.021 mmol) was added to a 25 mL round-bottomed flask, followed by HBMA monomer (0.247 g, 1.56 mmol), and water (4.03 g, to make a 10% w/w solution). ACVA was then added (1.06 mg, 5.10 μmol, CTA/ACVA molar ratio = 4.0) and the solution was sparged with N<sub>2</sub> for 30 minutes. The flask was sealed and immersed in an oil bath set at 70 °C. The reaction solution was magnetically stirred for 16 h to ensure complete monomer conversion and the polymerization was subsequently quenched by exposure to air and cooling the flask to 20 °C.

### RAFT synthesis of PGMA<sub>60</sub>-PHEMA<sub>75</sub> diblock copolymer

A typical protocol for the synthesis of PGMA<sub>60</sub>-PHEMA<sub>75</sub> diblock copolymer is as follows: PGMA<sub>60</sub> macro-CTA (0.220 g, 0.023 mmol) was added to a 25 mL round-bottomed flask, followed by HEMA monomer (0.223 g, 1.7 mmol) and water (4.00 g, to make a 10% w/w solution). ACVA was then added (1.55 mg, 0.0056 mmol, CTA/ACVA molar ratio = 4.0) and the solution was sparged with N<sub>2</sub> for 30 minutes. The flask was sealed and immersed in an oil bath set at 70 °C. The reaction solution was then magnetically stirred for 16 h to ensure complete monomer conversion and the polymerization was subsequently quenched by exposure to air and cooling the flask to 20 °C.



### RAFT synthesis of PGMA<sub>60</sub>-P(HBMA<sub>y</sub>-stat-PHEMA<sub>(6)y</sub>) diblock copolymer

In addition to the reactant concentrations, the targeted composition of the core-forming block (*i.e.* the initial HEMA/HBMA molar ratio) determines whether the copolymerization proceeds *via* emulsion or dispersion polymerization. Using a 1 : 1 HEMA/HBMA molar ratio results in an aqueous emulsion polymerization, as judged by visual inspection (turbid solution owing to the presence of monomer emulsion droplets). A typical protocol for the synthesis of PGMA<sub>60</sub>-P(HBMA<sub>75</sub>-stat-HEMA<sub>75</sub>) statistical diblock copolymer is as follows: PGMA<sub>60</sub> macro-CTA (0.150 g, 0.015 mmol) was added to a 25 mL round-bottomed flask, followed by HEMA monomer (0.152 g, 1.17 mmol), HBMA monomer (0.185 g, 1.17 mmol), and water (4.39 g, to produce 10% w/w total solids). ACVA was then added (1.06 mg, 0.0038 mmol, macro-CTA/ACVA molar ratio = 4.0) and the solution was sparged with N<sub>2</sub> for 30 minutes. The flask was sealed and immersed in an oil bath set at 70 °C and stirred for 16 h to ensure complete monomer conversion. The polymerization was quenched by exposure to air and cooling the flask to 20 °C.

## Results and discussion

The synthesis of sterically-stabilized diblock copolymer nanoparticles in aqueous media using a water-soluble PGMA macro-CTA requires chain extension with a suitably hydrophobic core-forming block. The HPMA monomer previously utilized for this purpose is water-soluble up to 10% w/v at 70 °C and forms a water-insoluble polymer when polymerized at this temperature. Thus HPMA is a rare example of a commodity monomer that is suitable for an aqueous dispersion polymerization formulation.<sup>32,39,41,76</sup> In the present work, two methacrylic monomers were considered as alternatives to HPMA. 2-Hydroxyethyl methacrylate (HEMA is *water-miscible* in all proportions and this monomer produces a *water-swellaible/weakly hydrophobic* homopolymer.<sup>78</sup> In contrast, 4-hydroxybutyl methacrylate (HBMA; a 1 : 1 mixture of 1-hydroxybutan-2-yl methacrylate and 4-hydroxybutyl methacrylate, see Scheme 1) is only water-miscible up to 2.0% w/v at 70 °C and produces a *water-insoluble* homopolymer. Thus, HEMA is potentially suitable for RAFT aqueous dispersion polymerization, whereas HBMA is more likely to lead to a RAFT aqueous emulsion polymerization formulation.<sup>64-72</sup> These hypotheses were confirmed by visual inspection: the initial PGMA + HEMA formulation was an optically transparent homogeneous solution, whereas the initial PGMA + HBMA formulation was turbid owing to the presence of micrometer-sized emulsion droplets.

A PGMA macro-CTA was synthesized using 4-cyano-4-(phenylcarbonothioylthio)pentanoic acid, as this commercially available RAFT agent is known to give well-controlled polymerizations for methacrylic monomers.<sup>79</sup> The reaction conditions for this PGMA macro-CTA synthesis (target DP = 70) are summarized in Scheme 1a. A CTA/initiator molar ratio of 5.0 was selected to ensure a sufficiently fast but controlled homopolymerization. The reaction was quenched after 2.5 h and <sup>1</sup>H NMR studies indicated 75% conversion. The crude macro-CTA

was subsequently purified by precipitation into chloroform and dried to afford a final DP of 60 with 88% CTA efficiency. This PGMA macro-CTA had an *M<sub>n</sub>* of 17 000 and an *M<sub>w</sub>/M<sub>n</sub>* of 1.08, as judged by DMF GPC using PMMA standards (see Fig. 1a).

The PGMA macro-CTA was subsequently chain-extended with HEMA (see Scheme 1b). All polymerizations were conducted at 10% w/w solids, unless otherwise stated. A range of DPs were targeted for the PHEMA block, with DMF GPC molecular weights shown in Fig. 1a. All polymerizations attained more than 99% conversion as judged by <sup>1</sup>H NMR and minimal macro-CTA contamination was observed in all cases, which indicated high blocking efficiencies. A high molecular weight shoulder was observed, which becomes more prominent when targeting higher PHEMA DPs. This feature is most likely due to the small amount of dimethacrylate impurity present in HEMA monomer (~0.10 mol% according to HPLC data

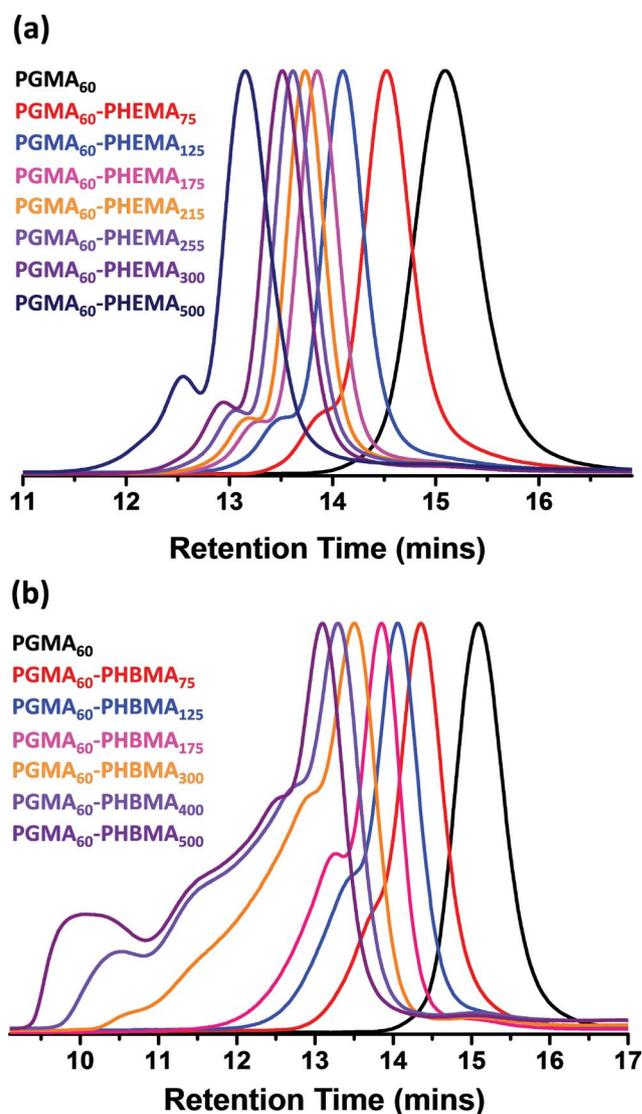


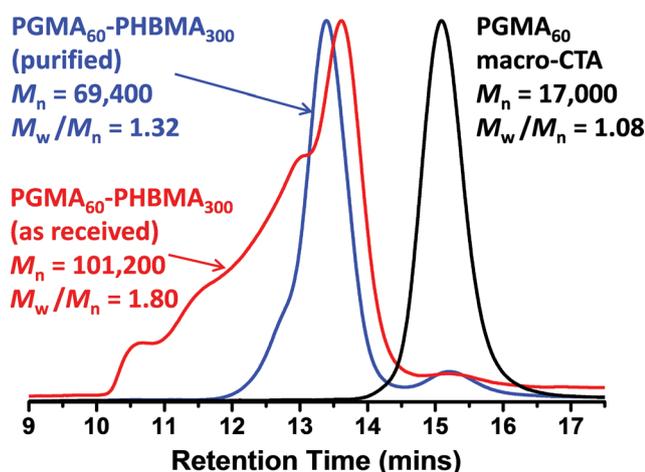
Fig. 1 DMF GPC traces obtained for a series of (a) PGMA<sub>60</sub>-PHEMA<sub>y</sub> diblock copolymers and (b) PGMA<sub>60</sub>-PHBMA<sub>y</sub> diblock copolymers synthesized at 10% w/w solids at 70 °C in aqueous media. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases.



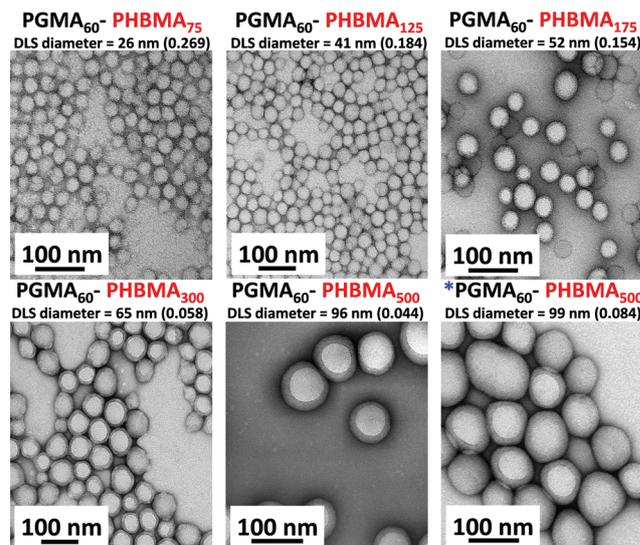
provided by the manufacturer).<sup>80,81</sup> However, some degree of termination by combination under monomer-starved conditions cannot be excluded. The PGMA-PHEMA diblock copolymer molecular weight increased linearly with target DP and all copolymers had relatively low polydispersities ( $M_w/M_n \leq 1.28$  for PHEMA target DPs up to 500; see Table 1). However, DLS and TEM studies suggested that self-assembly did not occur in any of these syntheses. Phase separation was observed at a target PHEMA DP of greater than or equal to 215, indicating colloiddally unstable dispersions at room temperature. It was concluded

**Table 1** Molecular weights and polydispersities obtained from DMF GPC data (calibrated with near-monodisperse PMMA standards) for a series of PGMA<sub>60</sub>-PHEMA<sub>y</sub> diblock copolymers and PGMA<sub>60</sub>-PHBMA<sub>y</sub> diblock copolymers synthesized at 10% w/w total solids at 70 °C. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. All polymerizations proceeded to high (>99%) conversion as judged by <sup>1</sup>H NMR spectroscopy

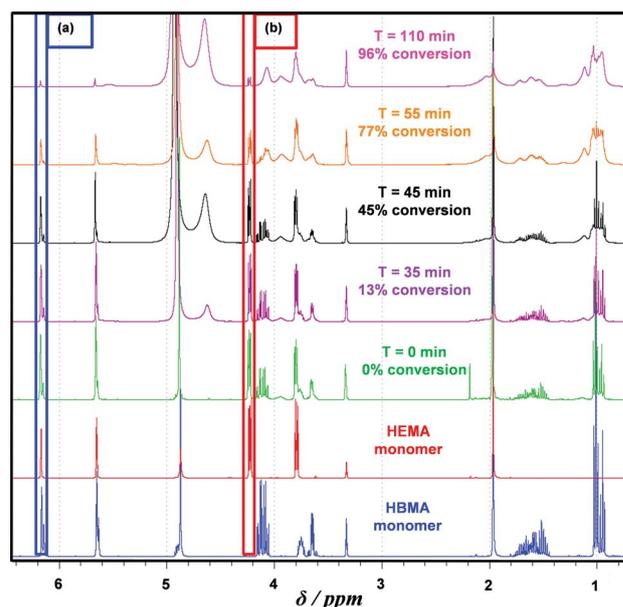
Entry no.	Targeted block composition	$M_n$ (g mol <sup>-1</sup> )	$M_w/M_n$
1	PGMA <sub>60</sub>	17 000	1.08
2	PGMA <sub>60</sub> -PHEMA <sub>75</sub>	26 800	1.15
3	PGMA <sub>60</sub> -PHEMA <sub>125</sub>	39 600	1.11
4	PGMA <sub>60</sub> -PHEMA <sub>175</sub>	47 700	1.12
5	PGMA <sub>60</sub> -PHEMA <sub>215</sub>	53 600	1.13
6	PGMA <sub>60</sub> -PHEMA <sub>255</sub>	59 000	1.16
7	PGMA <sub>60</sub> -PHEMA <sub>300</sub>	65 900	1.17
8	PGMA <sub>60</sub> -PHEMA <sub>500</sub>	91 300	1.28
9	PGMA <sub>60</sub> -PHBMA <sub>75</sub>	33 000	1.16
10	PGMA <sub>60</sub> -PHBMA <sub>125</sub>	44 600	1.25
11	PGMA <sub>60</sub> -PHBMA <sub>175</sub>	57 000	1.32
12	PGMA <sub>60</sub> -PHBMA <sub>300</sub>	87 000	2.27
13	PGMA <sub>60</sub> -PHBMA <sub>400</sub>	115 300	4.65
14	PGMA <sub>60</sub> -PHBMA <sub>500</sub>	146 000	9.50



**Fig. 2** DMF GPC curves obtained for PGMA<sub>60</sub>-PHBMA<sub>300</sub> diblock copolymers synthesized at 10% w/w solids at 70 °C *via* RAFT aqueous emulsion polymerization using HBMA monomer (as received) and the same batch of monomer after purification *via* column chromatography to remove dimethacrylate impurities. A macro-CTA/ACVA molar ratio of 4.0 was used in both cases.



**Fig. 3** TEM images obtained for PGMA<sub>60</sub>-PHBMA<sub>y</sub> diblock copolymers prepared at 10% w/w solids at 70 °C, unless otherwise stated. Target diblock compositions, DLS intensity-average diameters and polydispersities are indicated for each dispersion. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. Final HBMA conversions exceeded 99% for all polymerizations.



**Fig. 4** <sup>1</sup>H NMR spectra recorded at various reaction times for a PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) diblock copolymer synthesized *via* RAFT statistical copolymerization of HBMA and HEMA at 10% w/w solids at 70 °C using a macro-CTA/ACVA molar ratio of 4.0. Conversions were calculated by monitoring the relative reduction of the vinyl proton signal (a) at 6.18 ppm compared to the (co)polymer signals at 3.55–4.25 ppm, which represents disappearance of both vinyl monomers. Consumption of HEMA monomer alone can be determined by monitoring the relative reduction of signal (b) at 4.22 ppm compared to the same (co)polymer signals.



that PHEMA is an unsuitable core-forming block for successful RAFT aqueous dispersion polymerization, since it is not sufficiently hydrophobic to induce effective *in situ* self-assembly.

The rather more hydrophobic HBMA monomer was also homopolymerized using the PGMA macro-CTA. As previously mentioned, the relatively low water solubility of this monomer ensured that such syntheses were conducted under RAFT aqueous emulsion polymerization conditions (see chain extension Scheme 1c). Again, high conversions were attained (>99% as judged by  $^1\text{H}$  NMR) and only a rather small fraction of PGMA macro-CTA remained unreacted according to DMF GPC studies (see Fig. 1). Much higher polydispersities were observed for this formulation compared to the PHEMA homopolymerizations, particularly when targeting higher PHBMA DPs (Table 1). However, this does not actually mean that RAFT control has been lost in such syntheses: the HBMA monomer contains a relatively high level of dimethacrylate impurity, which inevitably leads to some degree of branching/cross-linking.<sup>61,80,81</sup>

This hypothesis was confirmed by purifying a batch of HBMA monomer *via* column chromatography (using silica as a stationary phase). As expected, RAFT aqueous emulsion polymerizations conducted using this purified monomer produced substantially lower polydispersities (see Fig. 2).

In contrast to the PGMA-PHEMA formulation, DLS studies of the diluted PGMA-PHBMA dispersions confirmed successful particle formation (see Fig. 3). The onset of self-assembly appears to correspond to a DP of approximately 75 for the core-forming PHBMA block, which produces spheres with a mean hydrodynamic diameter of 26 nm (polydispersity, PDI = 0.269). Larger particles were obtained when higher PHBMA DPs were targeted, up to a diameter of 95 nm (PDI = 0.044) for PGMA<sub>60</sub>-PHBMA<sub>500</sub>. When the solids concentration was increased to 25% w/w, almost no change in size was observed (99 nm diameter, PDI = 0.084) compared to the equivalent block copolymer synthesized at 10% w/w solids. Sphere diameters estimated from TEM images were consistent with DLS

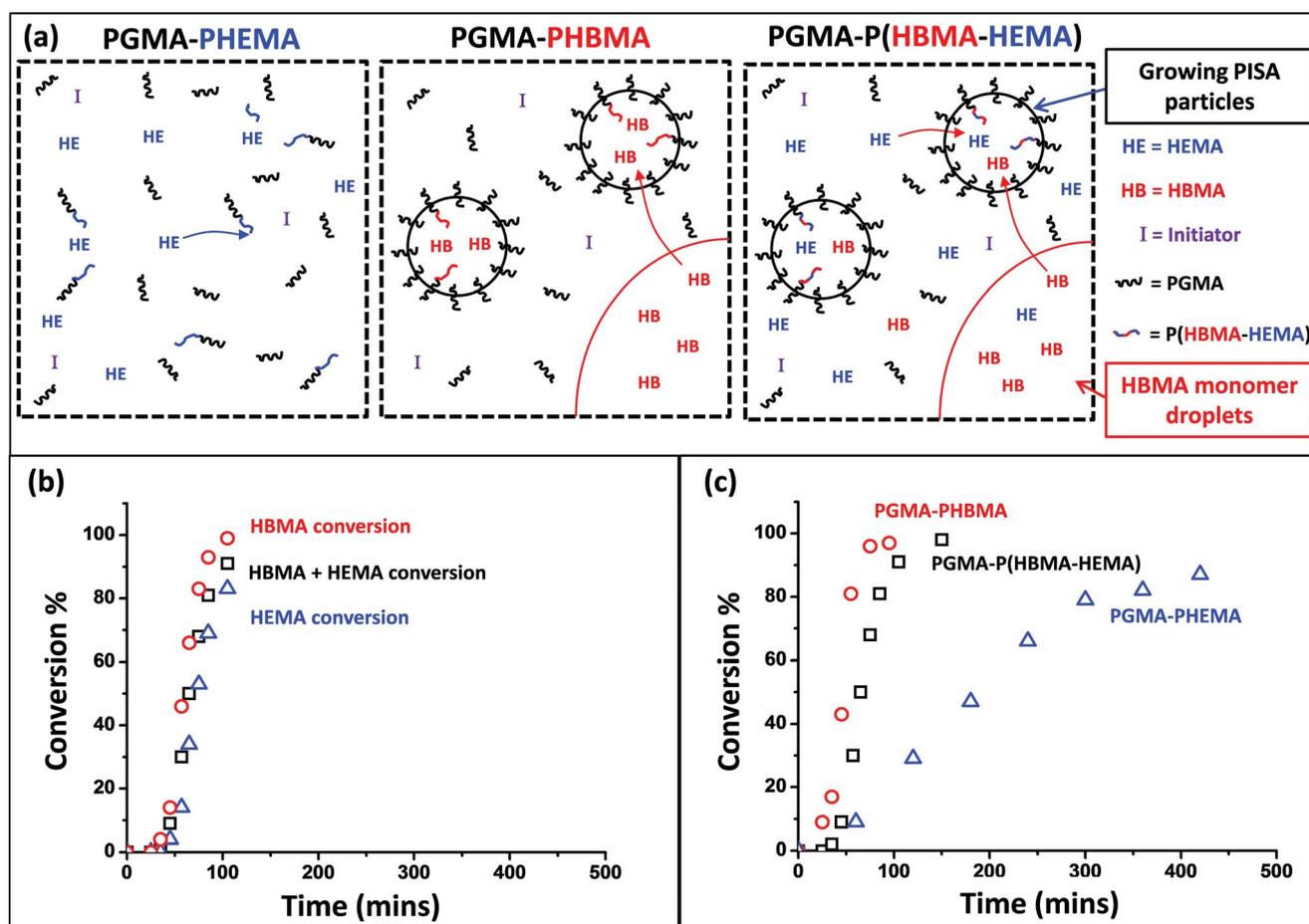


Fig. 5 (a) Schematic representation of the initial spatial location of the HEMA and HBMA comonomers in the RAFT synthesis of PGMA<sub>60</sub>-P(HBMA<sub>y</sub>-HEMA<sub>y</sub>) diblock copolymer nanoparticles. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. Monomer conversion vs. time curves (as judged by  $^1\text{H}$  NMR) for HBMA (red circles), (HBMA + HEMA) (black squares) and HEMA (blue triangles). (b) Overall comonomer conversion data obtained for PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) at 10% w/w solids at 70 °C and the *individual* comonomer conversions for the statistical copolymerization of HEMA and HBMA. (c) Comparison of the conversion vs. time curves obtained for the same PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) synthesis, with PGMA<sub>60</sub>-PHBMA<sub>536</sub> prepared by RAFT emulsion homopolymerization of HBMA at 10.8% w/w solids and PGMA<sub>60</sub>-PHEMA<sub>536</sub> prepared by RAFT solution polymerization of HEMA at 9.2% w/w solids at 70 °C. In these latter two formulations, the % w/w monomer concentration has been adjusted to maintain the same *molar* concentration.



measurements (see Fig. 3). The polymerizing reaction mixtures became increasingly turbid up to a PHBMA DP of 300, at which point they appeared milky-white (almost opaque). The final copolymer dispersions were colloiddally stable, although small amounts of sediment could be observed when targeting higher PHBMA DPs. It also became more difficult to dissolve the final diblock copolymers in either methanol or DMF above a PHBMA DP of 300. This is most likely because of the higher degree of cross-linking indicated by GPC analysis (see Fig. 2). There was also no change in the spherical particle morphology observed for these dispersions, which is in striking contrast to the corresponding PGMA-PHPMA formulation.<sup>33,61,63</sup>

It was postulated that other diblock copolymer morphologies might be accessed (*e.g.* worms and vesicles) by using a statistical mixture of PHBMA and PHEMA as the core-forming block in order to balance their respective hydrophobic/hydrophilic character. As HEMA contains one CH<sub>2</sub> unit less than HPMA and HBMA contains an extra CH<sub>2</sub> unit, a 1 : 1 molar ratio should produce a core-forming block that was essentially isomeric to PHPMA, providing that both comonomers were fully consumed. However, this also meant that the copolymerizations would be conducted under essentially emulsion conditions (*i.e.* in the presence of monomer droplets).

Firstly, the relative rates at which the individual comonomers became incorporated into the statistical copolymer core-forming block were studied. A PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) diblock copolymer was targeted and the statistical copolymerization of HBMA with HEMA was conducted at 70 °C and periodically sampled over several hours for <sup>1</sup>H NMR spectroscopy studies. Dilution of the aqueous reaction solution using CD<sub>3</sub>OD led to dissolution of all components. The *total* comonomer conversion (98% within 2.5 h) was readily determined by monitoring the disappearance of one of the vinyl signals at 6.17 ppm. This was assigned to the single proton that is *cis* to the methacrylic ester for both HEMA and HBMA monomers and is labelled (a) in Fig. 4. Consumption of HEMA monomer alone was monitored by following the disappearance of signal (b), which is assigned to the two oxyethylene protons next to the ester group. The total comonomer conversion and this HEMA conversion were used to calculate the HBMA conversion by difference. The initial spatial location of the HEMA and HBMA comonomers in this copolymerization is shown schematically in Fig. 5a.

Inspecting the conversion *vs.* time plot shown in Fig. 5b (and the corresponding first-order rate plot in Fig. 6a), HBMA initially reacts significantly faster than HEMA (*e.g.* 14% *vs.* 4% conversion after 45 min). However, these two comonomers are essentially fully consumed over the course of the copolymerization. This indicates that the copolymer core-forming block ultimately comprises approximately the same comonomer composition as that originally targeted. Given that HEMA is fully water-miscible, and hence located in the same aqueous phase as the macro-CTA and the water-soluble initiator, it is perhaps counter-intuitive that this monomer is actually consumed more slowly than the largely water-immiscible HBMA in the initial stages. On the other hand, Charleux and co-workers have reported relatively fast rates of polymerization for

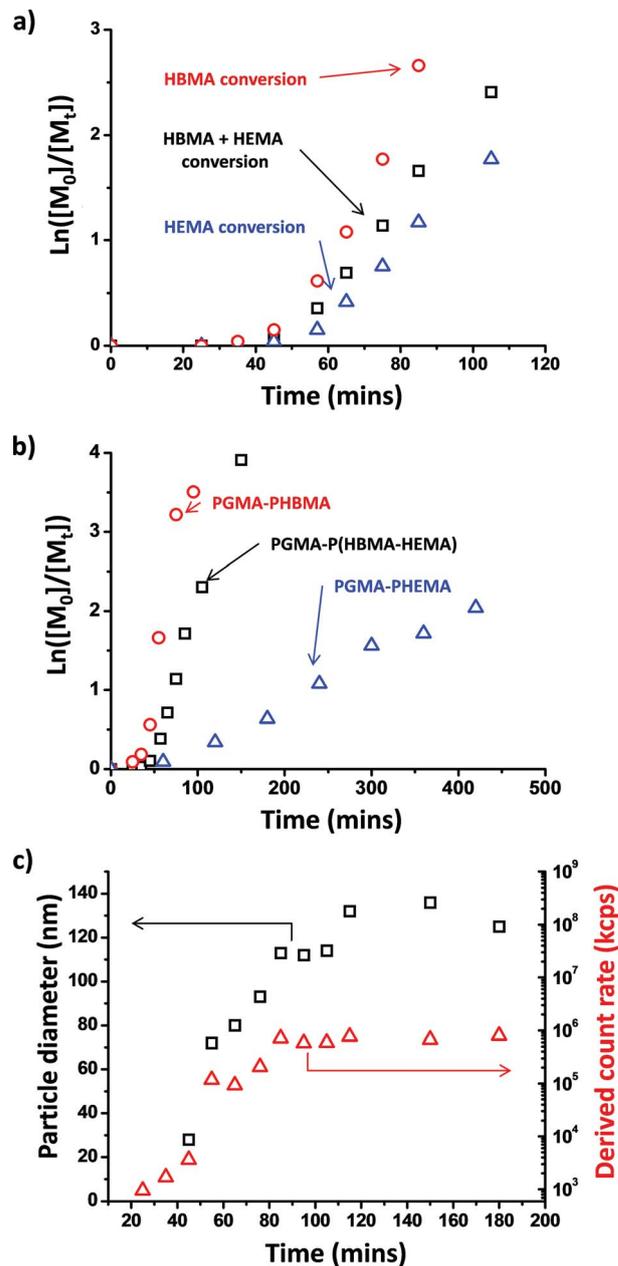


Fig. 6 Semi-logarithmic plot of monomer conversion *vs.* time determined by <sup>1</sup>H NMR analysis of periodically-sampled aqueous reaction mixtures at 70 °C using a macro-CTA/ACVA molar ratio of 4.0 for: (a) overall rate for the RAFT statistical copolymerization of a 1 : 1 HBMA/HEMA molar ratio when targeting PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) at 10% w/w solids (black squares), and the corresponding *individual* rates of polymerization of HBMA (red circles) and HEMA (blue triangles) determined for the same copolymerization. (b) Overall rate of RAFT statistical copolymerization for the same PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) formulation compared to the overall rates for the RAFT emulsion homopolymerization of HBMA (targeting PGMA<sub>60</sub>-PHBMA<sub>536</sub> at 10.8% w/w solids) and RAFT solution homopolymerization of HEMA (targeting PGMA<sub>60</sub>-PHEMA<sub>536</sub> at 9.2% w/w total solids). (c) Evolution of intensity-average particle diameter (black squares) and count rate (kcps, red triangles) over time for a periodically-sampled PGMA<sub>60</sub>-P(HBMA<sub>268</sub>-HEMA<sub>268</sub>) statistical copolymerization prepared at 10% w/w solids, as determined by DLS studies. The onset of micellar nucleation was observed at approximately 45 min, and was accompanied by a pronounced increase in the scattered light intensity.





HBMA monomer contains a significantly higher level of dimethacrylate cross-linker compared to HEMA monomer. This is reflected in the copolymer polydispersities, which increase from 1.15 for PGMA<sub>60</sub>-P(HBMA<sub>50</sub>-HEMA<sub>50</sub>) up to 1.49 for PGMA<sub>60</sub>-P(HBMA<sub>150</sub>-HEMA<sub>150</sub>). Moreover, these polydispersities are much lower than those obtained for the equivalent PGMA<sub>60</sub>-PHBMA<sub>2y</sub> diblock copolymers (see Fig. 1 and Table 1). In all cases high conversions (>99%) were achieved within 16 h, as judged by <sup>1</sup>H NMR spectroscopy. This copolymer series produced a range of nano-objects, as judged by TEM and DLS studies (see Fig. 8).

The PGMA<sub>60</sub>-P(HBMA<sub>50</sub>-HEMA<sub>50</sub>) statistical diblock copolymer dispersion was only weakly turbid and exhibited a purely spherical morphology. A mixed phase of spheres and worms was observed for PGMA<sub>60</sub>-P(HBMA<sub>75</sub>-HEMA<sub>75</sub>), which was also slightly more viscous than the other samples. PGMA<sub>60</sub>-P(HBMA<sub>85</sub>-HEMA<sub>85</sub>) and PGMA<sub>60</sub>-P(HBMA<sub>115</sub>-HEMA<sub>115</sub>) also contained worms as well as spheres, but PGMA<sub>60</sub>-P(HBMA<sub>115</sub>-HEMA<sub>115</sub>) contained larger spheres/vesicles. Mostly larger vesicular nano-objects were obtained for PGMA<sub>60</sub>-P(HBMA<sub>125</sub>-HEMA<sub>125</sub>) diblock copolymer, with very few worms.

The 10% w/w copolymer dispersions became noticeably more turbid when targeting longer core-forming blocks up to PGMA<sub>60</sub>-P(HBMA<sub>125</sub>-HEMA<sub>125</sub>), which corresponds to a vesicular morphology (see TEM images in Fig. 8). These vesicles are smaller and less polydisperse in size than those reported by Blanazs *et al.*<sup>33,61</sup> for PGMA-PPHMA diblock copolymers. For example, targeting a core-forming DP of 125 resulted in a DLS intensity-average diameter of 89 nm with a

PDI of 0.078. When the target DP was increased to 150, the particle diameter was 115 nm (PDI = 0.067). Finally, for a DP of 175 the particle diameter was 101 nm (PDI = 0.05). These DLS values are in reasonable agreement with the particle diameters observed by TEM. Further characterization using small-angle X-ray scattering (SAXS) to confirm the vesicular morphology is planned for future studies. When these copolymerizations were conducted at higher copolymer concentrations, comparable molecular weight data was obtained for copolymers of the same target core DP (compare Fig. 7 with Table 2). However, the range of nano-objects observed by TEM were more akin to those previously reported for the prototypical PGMA-PPHMA formulation.<sup>33,61</sup> In particular, much larger vesicles were obtained compared to those formed at 10% w/w solids, which leads to the characteristic 'buckling' of the vesicle membrane under the ultrahigh vacuum conditions required for TEM studies. A detailed phase diagram was subsequently constructed (see Fig. 9) using a large batch of the PGMA<sub>60</sub> macro-CTA, which has similar generic features to those previously reported by our group.<sup>33</sup> Well-defined spheres and polydisperse vesicles can be obtained at all copolymer concentrations investigated by targeting either a relatively short or a relatively long core-forming P(HBMA<sub>y</sub>-HEMA<sub>y</sub>) block, respectively. It is emphasized that this phase diagram contains some kinetically-trapped morphologies (*e.g.* spheres formed at 10% w/w solids) as well as equilibrium morphologies (*e.g.* vesicles at 20% w/w solids).<sup>33</sup> Nevertheless, it serves as an important 'road map' for the reproducible synthesis of pure copolymer morphologies.

**Table 2** Molecular weights and polydispersities obtained from DMF GPC analysis (calibrated with near-monodisperse PMMA standards) of a series of PGMA<sub>60</sub>-P(HBMA<sub>y</sub>-HEMA<sub>y</sub>) diblock copolymers prepared at various concentrations at 70 °C in aqueous solution by RAFT statistical copolymerization of HBMA and HEMA at a 1 : 1 molar ratio. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. All polymerizations proceeded to high (>99%) conversion, as judged by <sup>1</sup>H NMR spectroscopy

Entry no.	Solids content % w/w	Targeted block composition	$M_n$ (g mol <sup>-1</sup> )	$M_w/M_n$
1	12.5	PGMA <sub>60</sub> -P(HBMA <sub>95</sub> -HEMA <sub>95</sub> )	62 000	1.33
2	13.5	PGMA <sub>60</sub> -P(HBMA <sub>95</sub> -HEMA <sub>95</sub> )	64 900	1.29
3	17.5	PGMA <sub>60</sub> -P(HBMA <sub>85</sub> -HEMA <sub>85</sub> )	57 600	1.31
4	15	PGMA <sub>60</sub> -P(HBMA <sub>40</sub> -HEMA <sub>40</sub> )	33 000	1.13
5	15	PGMA <sub>60</sub> -P(HBMA <sub>50</sub> -HEMA <sub>50</sub> )	37 000	1.14
6	15	PGMA <sub>60</sub> -P(HBMA <sub>65</sub> -HEMA <sub>65</sub> )	41 300	1.18
7	15	PGMA <sub>60</sub> -P(HBMA <sub>75</sub> -HEMA <sub>75</sub> )	47 000	1.18
8	15	PGMA <sub>60</sub> -P(HBMA <sub>90</sub> -HEMA <sub>90</sub> )	50 600	1.28
9	15	PGMA <sub>60</sub> -P(HBMA <sub>100</sub> -HEMA <sub>100</sub> )	57 500	1.27
10	15	PGMA <sub>60</sub> -P(HBMA <sub>110</sub> -HEMA <sub>110</sub> )	59 100	1.39
11	15	PGMA <sub>60</sub> -P(HBMA <sub>125</sub> -HEMA <sub>125</sub> )	66 500	1.38
12	15	PGMA <sub>60</sub> -P(HBMA <sub>150</sub> -HEMA <sub>150</sub> )	77 000	1.46
13	20	PGMA <sub>60</sub> -P(HBMA <sub>40</sub> -HEMA <sub>40</sub> )	32 200	1.13
14	20	PGMA <sub>60</sub> -P(HBMA <sub>50</sub> -HEMA <sub>50</sub> )	36 600	1.15
15	20	PGMA <sub>60</sub> -P(HBMA <sub>60</sub> -HEMA <sub>60</sub> )	40 300	1.16
16	20	PGMA <sub>60</sub> -P(HBMA <sub>70</sub> -HEMA <sub>70</sub> )	44 900	1.19
17	20	PGMA <sub>60</sub> -P(HBMA <sub>80</sub> -HEMA <sub>80</sub> )	47 200	1.26
18	20	PGMA <sub>60</sub> -P(HBMA <sub>90</sub> -HEMA <sub>90</sub> )	50 900	1.26
19	20	PGMA <sub>60</sub> -P(HBMA <sub>100</sub> -HEMA <sub>100</sub> )	57 300	1.26
20	20	PGMA <sub>60</sub> -P(HBMA <sub>110</sub> -HEMA <sub>110</sub> )	55 400	1.38
21	20	PGMA <sub>60</sub> -P(HBMA <sub>125</sub> -HEMA <sub>125</sub> )	66 400	1.37
22	20	PGMA <sub>60</sub> -P(HBMA <sub>150</sub> -HEMA <sub>150</sub> )	75 600	1.42



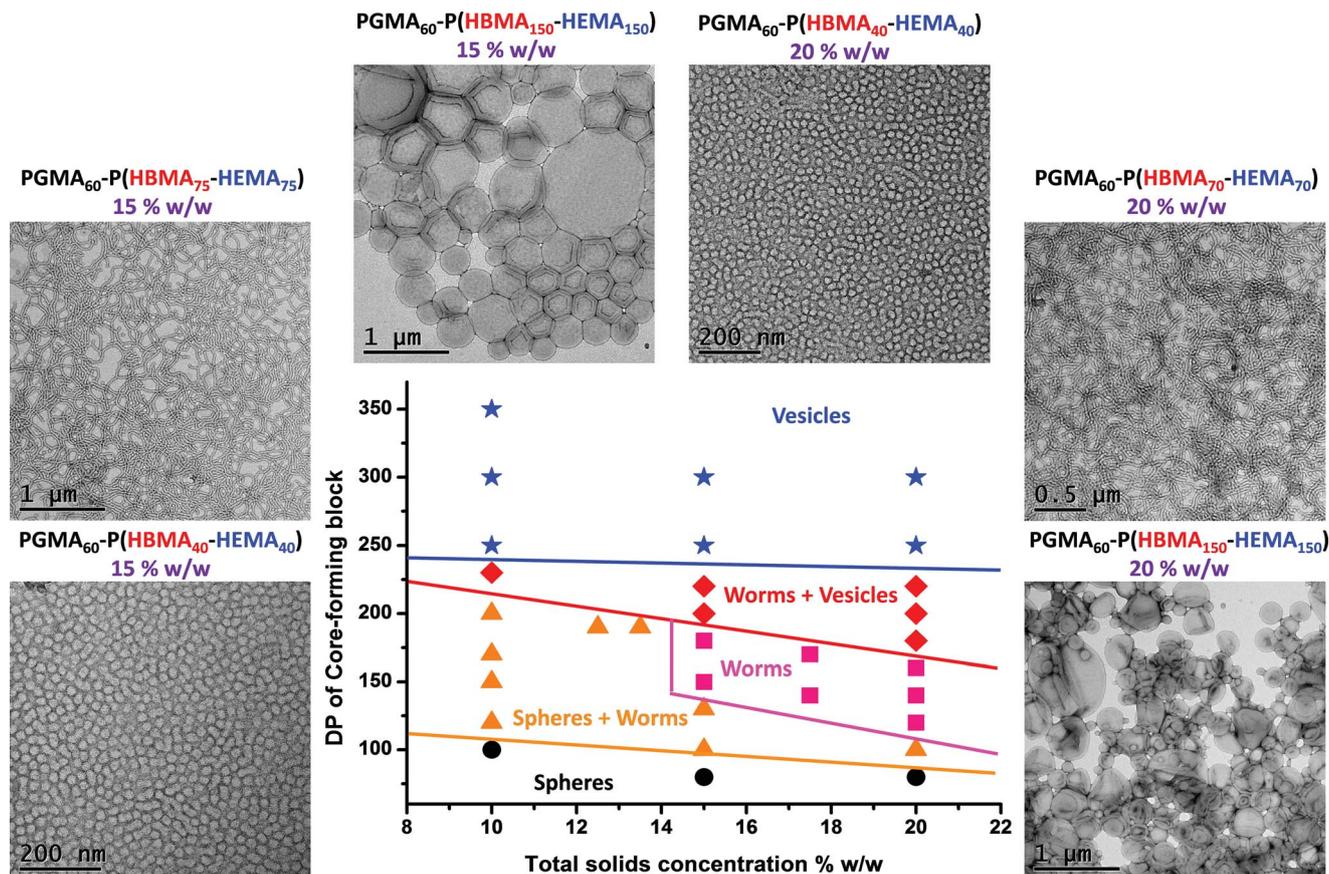


Fig. 9 Phase diagram constructed for  $\text{PGMA}_{60}\text{-P}(\text{HBMA}_y\text{-HEMA}_y)$  statistical diblock copolymers prepared at  $70^\circ\text{C}$  by RAFT copolymerization of HBMA and HEMA at a 1 : 1 molar ratio. A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. Selected TEM images are shown for specific diblock compositions and copolymer concentrations, confirming that pure sphere, worm and vesicle morphologies can be obtained under appropriate conditions.

In contrast, a pure worm phase is only accessible at higher copolymer concentrations, although it is significantly broader (encompassing around 50–60 monomer repeat units at 20% w/w) than the worm phase identified for the  $\text{PGMA}\text{-PHPMA}$  formulation.<sup>62,63</sup> Copolymers that lie within the pure worm phase (and also some of those located in the surrounding mixed phases) form soft, free-standing gels (as judged by a tube inversion test) of varying turbidity at  $20^\circ\text{C}$ .  $\text{PGMA}\text{-PHPMA}$  diblock copolymer gels exhibit thermo-responsive behavior, with reversible degelation occurring on cooling to around  $5\text{--}10^\circ\text{C}$  because of a worm-to-sphere transition associated with a subtle increase in the degree of hydration of the core-forming PHPMA block, see Fig. 10a.<sup>63</sup> Remarkably, these new  $\text{PGMA}_{60}\text{-P}(\text{HBMA}_y\text{-HEMA}_y)$  copolymer worm gels exhibit qualitatively different thermo-sensitivity, see Fig. 10b and 10c.

For example, a  $\text{PGMA}_{60}\text{-P}(\text{HEMA}_{80}\text{-HBMA}_{80})$  copolymer at 15% w/w solids forms a free-standing gel at  $20^\circ\text{C}$  and remains in this state on cooling to  $4^\circ\text{C}$  for 1 h, see Fig. 10b. Given that PHPMA is isomeric with a 1 : 1 HBMA/HEMA statistical block of the same mean DP, this non-responsive behavior is wholly unexpected. Moreover, a  $\text{PGMA}_{60}\text{-P}(\text{HEMA}_{50}\text{-HBMA}_{50})$  copolymer at the same concentration is a viscous fluid at  $20^\circ\text{C}$ , but becomes a free-standing gel on cooling to  $4^\circ\text{C}$  for 1 h, see

Fig. 10c. This behavior is entirely complementary to that shown in Fig. 10a. Thus it appears that a range of gelation behavior (both non-responsive and thermo-responsive) can be obtained for these new statistical block copolymers depending on their precise block compositions. Accordingly, detailed rheological studies of these new copolymer worm gels have been undertaken, which will be reported elsewhere in due course.

## Conclusions

A prototypical  $\text{PGMA}\text{-PHPMA}$  RAFT aqueous dispersion polymerization formulation has been extended by varying the nature of the core-forming block. Replacing PHPMA block with PHEMA did not result in particle formation, since the latter block has insufficient hydrophobic character to drive *in situ* self-assembly. In contrast, targeting a PHBMA core-forming block allowed the synthesis of well-defined spherical micelles of tunable size *via* RAFT aqueous emulsion polymerization. However, this formulation does not allow the production of worm-like or vesicular morphologies and the diblock copolymer chains exhibit relatively high polydispersities because the HBMA monomer contains a dimethacrylate impurity. A 1 : 1 HEMA/HBMA molar ratio was subsequently used to produce a



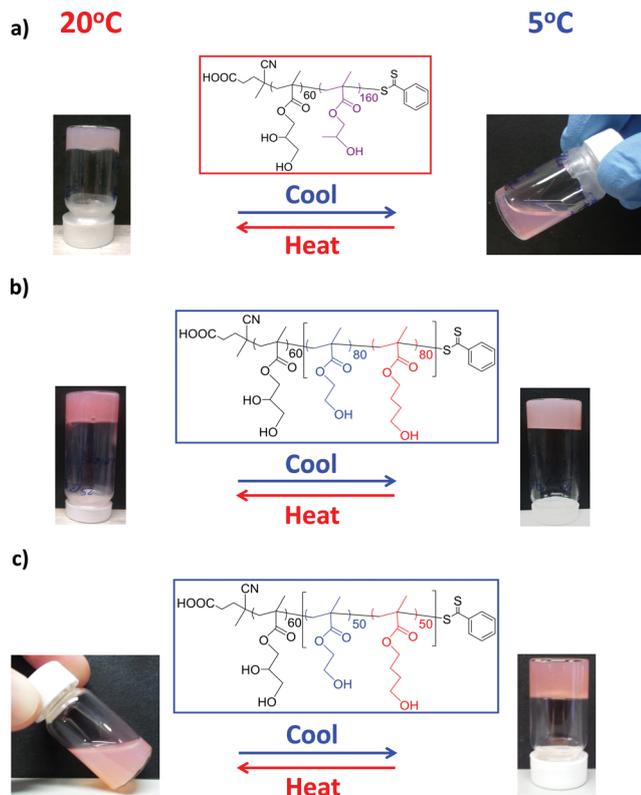


Fig. 10 Thermo-responsive behavior observed for (a) PGMA<sub>60</sub>-PHPMA<sub>160</sub>, (b) PGMA<sub>60</sub>-P(HBMA<sub>80</sub>-HEMA<sub>80</sub>) and (c) PGMA<sub>60</sub>-P(HBMA<sub>50</sub>-HEMA<sub>50</sub>) copolymer worm gels prepared at 70 °C and 15% w/w solids by RAFT (co)polymerization (the latter copolymer worms were prepared as described by Verber *et al.*,<sup>62</sup> except that 2-cyano-2-propyl dithiobenzoate (CPDB) was used as a RAFT CTA in this example). A macro-CTA/ACVA molar ratio of 4.0 was used in all cases. The digital photographs indicate the thermo-reversible behavior that is observed on cooling to 5 °C for 1 h.

range of diblock copolymer nano-objects *via* a RAFT aqueous emulsion PISA formulation. Such a statistical copolymer core-forming block is actually *isomeric* with the previously reported PHBMA core-forming block.<sup>32</sup> The statistical copolymerization proceeded rapidly (98% comonomer conversion within 2.5 h at 70 °C). Closer analysis *via* <sup>1</sup>H NMR spectroscopy indicated that HBMA is consumed faster than HEMA in the early stages of the copolymerization. Thus, to a first approximation, once nucleation occurs the initial reaction mainly involves RAFT aqueous emulsion polymerization of the water-immiscible HBMA. The HEMA then becomes partitioned into the growing water-insoluble cores and is efficiently copolymerized along with the remaining HBMA. These observations are somewhat counter-intuitive given that the relatively unreactive HEMA monomer is co-located in the aqueous phase with the water-soluble PGMA macro-CTA and azo initiator. However, it is certainly consistent with the observation that the RAFT aqueous solution homopolymerization of HEMA proceeds much more slowly than the RAFT aqueous emulsion homopolymerization of HBMA. The resulting PGMA<sub>60</sub>-P(HBMA<sub>y</sub>-stat-HEMA<sub>y</sub>) diblock copolymers had relatively low polydispersities compared to the corresponding PHBMA core-forming block and this new RAFT PISA

formulation allowed access to the full range of copolymer morphologies (spheres, worms and vesicles), as judged by TEM and DLS studies. A detailed phase diagram was constructed by systematic variation of the copolymer concentration and the mean degree of polymerization of the core-forming block. Pure sphere, worm and vesicle phases were obtained for syntheses conducted at higher copolymer concentrations. In particular, the thermo-sensitive gelation behavior of PGMA<sub>60</sub>-P(HBMA<sub>y</sub>-stat-HEMA<sub>y</sub>) worms differs *qualitatively* from that previously reported for the *isomeric* PGMA-PHPMA diblock copolymer worm formulation.

## Acknowledgements

We thank EPSRC and the Scott Bader company for funding a PhD studentship for LPDR. Scott Bader is also thanked for permission to publish this work. SPA acknowledges receipt of a five-year ERC *Advanced Investigator* grant (PISA 320372).

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