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Paper:

Kay, P, Edwards, AC and Foulger, M (2009) *A review of the efficacy of contemporary agricultural stewardship measures for ameliorating water pollution problems of key concern to the UK water industry*. *Agricultural Systems*, 99 (2-3). 67 - 75 (9). ISSN 0308-521X

<http://dx.doi.org/10.1016/j.agsy.2008.10.006>

1 **A review of the efficacy of contemporary agricultural**
2 **stewardship measures for ameliorating water pollution**
3 **problems of key concern to the UK water industry**

4

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16

17 **Abstract**

18 The UK water industry faces a number of water quality issues which mean that
19 capital must be spent on treating raw water in order to meet regulatory standards.
20 Moreover, other policies exist that require improved water quality (e.g. the Water
21 Framework Directive) and contemporary regulation is encouraging water companies
22 to deal with the problem at source, rather than relying exclusively on 'end-of-pipe'
23 treatment solutions. Given that much of this pollution results from agricultural
24 practices, agricultural stewardship measures could offer a means of source control.
25 Although numerous schemes are available that encourage farmers to adopt
26 environmentally friendly farming practices, uncertainty exists as to the specific
27 impacts of these measures on water quality. The current study has, therefore,
28 reviewed the scientific literature to establish those agricultural stewardship measures
29 that have been proven to impact water quality for three pollutant groups of key
30 concern to the UK water industry, namely dissolved organic carbon, nutrients and
31 pesticides. It has been found that, whilst for many measures there is little or no
32 evidence for impacts on water quality, a range of stewardship practices are available
33 that have been proven to improve water quality. Their effectiveness is subject to a
34 number of factors though (e.g. soil type and pollutant chemistry) and so they should
35 be implemented on a case-by-case basis. Further research is needed to ascertain
36 more fully how contemporary agricultural stewardship measures really do impact
37 water quality.

38

39 **Keywords:** Agriculture; stewardship; water quality; dissolved organic carbon;
40 nutrients; pesticides.

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45 **1. Introduction**

46 Water may become polluted with a range of contaminants due to the use of land for
47 agriculture (e.g. Hooda et al., 2000; Lovell and Sullivan, 2006). Of these pollutants,
48 dissolved organic carbon (DOC) (Freeman et al., 2001; Holden, 2005; Wallage et al.,
49 2006), nutrients (nitrogen (N) and phosphorus (P)) (Heathwaite et al., 1996; Haygarth
50 and Jarvis, 2002; Dorioz et al., 2006) and pesticides (Environment Agency, 1999;
51 Blanchoud et al., 2007; Garrod et al., 2007) represent the most significant issues for
52 some land-owning UK water utilities due to the need to remove them from raw waters
53 to meet regulatory standards. Whilst nutrients (Brett and Benjamin, 2008) and
54 pesticides (Brack et al., 2007) also represent a direct ecological risk, DOC is
55 problematic due to the formation of carcinogenic trihalomethane compounds during
56 the chlorination process (Nieuwenhuijsen et al., 2008). Although a range of potential
57 pollutant sources exist in addition to agriculture, including rural sewage treatment
58 works, septic tanks (Ahmed et al., 2005; Gaddis et al., 2007) and amenity usage of
59 pesticides (Knapp, 2005; Lapworth and Goody, 2006), agriculture is regarded as the
60 key reason for their presence in UK waters (Defra, 2004).

61

62 The costs of treating these pollutants to meet drinking water standards is highly
63 significant to water companies and ultimately paid for by the consumer. Pretty et al.
64 (2000) estimated the costs of treating pesticides, nitrate, phosphorus (and sediment),
65 and organic carbon (and sediment) in water for drinking in the UK to be £120 M, £16
66 M, £55 M and £106 M respectively. Monitoring and advice on pesticides and
67 nutrients is estimated to cost a further £11 M per annum. In addition to drinking water
68 standards, environmental standards are also imposed by the Water Framework
69 Directive (WFD) (EC, 2000), which specifies that all waterbodies must be of good
70 chemical and ecological status (or potential) by 2015 and that the costs of any clean-
71 up should be charged to the polluter. Whilst the ecological impacts of chemicals in
72 water (Ashauer et al., 2007; Brack et al., 2007; Gilliom, 2007) are known to result in

73 additional economic losses, these cannot be calculated at present due to a lack of
74 information (Pretty et al., 2000).
75
76 Agricultural pollutants can be treated to meet drinking water standards using
77 engineered solutions, although as the costs can be significant, in both economic and
78 environmental terms, control of these pollutants at source is desirable and a range of
79 management techniques are available that aim to achieve this. These include
80 measures that seek to reduce inputs of pollutants to catchment systems (e.g.
81 reduced usage of chemicals), those that reduce the transport of pollutants from
82 agricultural land (e.g. improved soil management) and others that aim to capture and
83 degrade pollutants that have been transported towards waterbodies (e.g. buffer
84 zones and wetlands). For a number of years, agri-environment schemes have been
85 available to land managers in order that these measures can, theoretically, be
86 implemented without compromising the financial viability of farm businesses.
87 Recently (since 2005), agricultural stewardship has been pursued with renewed
88 vigour due to the importance of controlling agricultural pollution and a number of
89 highly significant policy developments have taken place, particularly Common
90 Agricultural Policy (CAP) reform (Defra, 2005a) and the development of new
91 agricultural stewardship schemes; Entry Level Stewardship (ELS) (Defra, 2005b) and
92 Higher Level Stewardship (HLS) (Defra 2005c). These new policies that aim to
93 control agricultural pollution offer opportunities for water companies to encourage
94 implementation of measures on the ground that could reduce water pollution and,
95 thus, result in capital and operational expenditure savings. At present, however,
96 understanding of the impacts of these land management measures on water quality
97 is uncertain. Whilst some recent work has been undertaken (Parry et al., 2006; Cuttle
98 et al., 2007) this has not covered DOC and has only discussed pesticide pollution to
99 a limited extent. Moreover, empirical evidence has not been thoroughly reviewed and
100 modelling has been relied upon to determine some likely impacts on water quality. If

101 water companies are to build these land management measures into their business
102 plans then a sound knowledge of their impacts is urgently needed. The current
103 review summarises peer-reviewed literature in order to develop a state-of-the-art
104 understanding of the effects of contemporary agricultural stewardship measures on
105 water pollution by DOC, nutrients and pesticides. This information could be used in
106 the business planning of water companies and by other interested parties, such as
107 Government and its agencies, as well as to guide future research in this area.

108

109 **2. Dissolved organic carbon/water colour**

110 Only catchments dominated by organic soils will generate DOC levels significant to
111 the water industry (Holden et al., 2007a) and so it is only stewardship measures for
112 moorlands that offer water companies an option for reducing DOC. Limited moorland
113 options actually exist in current stewardship schemes and even less data are
114 available to indicate their efficacy for improving water quality.

115

116 Some work has shown grip blocking to significantly (by up to 70 %) reduce DOC
117 concentrations in some cases (Wallage et al., 2006; Armstrong et al., 2008) (Table
118 1). This could therefore offer water companies that take raw water from the uplands a
119 means of controlling this significant problem. Many moorland areas in the UK have
120 been drained (gripped), particularly during the 1960's and 70's, to increase
121 agricultural productivity (Robinson and Armstrong, 1988). Damming these drains
122 raises the water table, slows peat degradation and reduces the transport of DOC
123 (and therefore water colour) off-site (Holden et al., 2007a; Worrall et al., 2007).

124 Effects on the composition of the DOC are uncertain with Wallage et al. (2006)
125 reporting more colour per unit carbon, indicating an increase in humic substances,
126 but Armstrong et al. (2008) showing more easily treated colour. Grip blocking may
127 not always result in decreased DOC/colour contamination however. In some cases
128 DOC may increase after blocking (Worrall et al., 2007) and in others the peat may

129 not necessarily recover its original physical and chemical properties (Freeman et al.,
130 2001; Holden et al., 2006; Wallage et al, 2006; Holden et al., 2007b).
131
132 Further research is needed if water companies are to be able to pursue other
133 catchment management measures available in stewardship schemes with the
134 expectation of reducing DOC contamination of streams. Holden et al. (2007a)
135 comment that virtually nothing is known about the impacts of moorland burning on
136 water quality and soil hydrology, although a number of papers have alluded to the fact
137 that increased burning will lead to higher levels of water colour (Mitchell and
138 McDonald, 1995; Garnett et al., 2000). A study at Moorhouse in the northern
139 Pennines showed that severe burning reduced the water holding capacity of the soil
140 and created a more flashy hydrograph (Robinson, 1985), factors that could increase
141 the generation and delivery of DOC to surface waters. Burning also leads to
142 increases in the amount of heather that is present and this has subsequently been
143 shown to increase the density of soil pipes, which move runoff from soils to streams,
144 lower the water table and increase the generation and flux of colour to surface waters
145 (Holden, 2005). Data describing the impacts of livestock grazing on water colour are
146 almost entirely lacking from the literature, although one study found there to be no
147 significant difference between soil water colour in grazed and ungrazed plots (Worrall
148 et al., 2007).

149

150 **3. Nutrients**

151 In comparison to DOC/water colour, water companies may select from a much wider
152 range of agricultural stewardship options which may reduce pollution of waterbodies
153 by nutrients. A number of these would require that utilities work with farmers to
154 reduce inputs of fertilisers into catchment systems. Limiting nitrogen additions to crop
155 requirements (Lord and Mitchell, 1998; Coelho et al., 2006, 2007) or quantities
156 specified in Nitrate Vulnerable Zone (NVZ) regulations (Vertés et al., 1997; Lord et

157 al., 1999; Hanegraaf and den Boer, 2003) have been found to reduce water pollution
158 substantially (Table 1). Nitrate losses have been reduced to 10 kg ha⁻¹ (Goulding et
159 al., 2000) and leaching to groundwater (1 m depth) by 57 % using this mechanism
160 (Lord and Mitchell, 1998). Whilst impacts on nitrogen compounds have been
161 desirable, phosphorus concentrations in runoff will be affected to a much lesser
162 extent due to their build-up in soils however (Stålnacke et al., 2003, 2004). It has,
163 therefore, been suggested that 10 years would be needed to see a reduction in
164 dissolved phosphorus whilst a number of decades would be required in order to
165 observe a decline in particulate-associated phosphorus concentrations reaching
166 waters (Withers et al., 2001; Haygarth et al., 2002). In some case, reductions in
167 nutrient losses to water have been negligible, however, due to soil type, crop and
168 prevailing hydrological conditions (Dukes and Evans, 2006; Harmel et al., 2006; de
169 Ruijter et al., 2007), leading some workers (Macgregor and Warren, 2006; Schröder
170 et al., 2007) to be sceptical of the benefits of these measures as many farmers claim
171 already to be applying nitrogen below specified limits and yet water pollution is still
172 occurring.

173

174 Other measures aim to reduce nutrient concentrations in water not by reducing inputs
175 to catchments but by changing the way in which they are applied. The injection of
176 slurry, rather than broadcast spreading, has resulted in reductions of 93, 82 and 94
177 % of dissolved reactive P (DRP), total P (TP) and algal-available P (AAP) in runoff
178 (Daverede et al., 2004). Moreover, nutrient losses from poultry litter were reduced by
179 80-95 % (Pote et al., 2003) whilst incorporation of inorganic fertilizers has been found
180 to reduce nutrient losses to the water environment to background levels (Pote et al.,
181 2006). Where tile drains are present losses may be greater though (Coelho et al.,
182 2007), highlighting that implementation of stewardship measures needs to be carried
183 out on a site-specific basis. Other fertiliser-specific measures are available for
184 implementation (i.e. not allowing runoff from in-field manure heaps, not applying

185 organic fertilisers when the soil is saturated and not applying manure within 10 m of a
186 surface water and within 50 m of a borehole) although these demonstrate the dearth
187 of scientific evidence for the impacts of many measures on water quality.

188

189 Some specific soil management measures have also been proven to be effective at
190 reducing nutrient pollution. Planting a green cover crop is one of the single most
191 effective ways of decreasing the risk of nitrate leaching (Shepherd et al., 1996) and,
192 in general, cover crops lead to a 50 % reduction compared to a winter-sown cereal
193 (Goss et al., 1988; Shepherd et al., 1993; Lord et al., 1999). Good establishment
194 before the start of drainage is key to getting the most from a cover crop and uptake of
195 N can actually range between 10-150 kg ha⁻¹ (Fielder and Peel, 1992; Shepherd,
196 1999).

197

198 Ensuring a rough soil surface by ploughing or discing is another soil management
199 measure which can have a useful, but variable, impact on nutrient transport (Angle et
200 al., 1993; Rasmussen, 1999; Benham et al., 2007). The transport of soluble P in
201 surface runoff may be reduced by a factor of 2-3 compared to an untilled surface
202 (Zeimen et al., 2006) although some workers have found that nitrate leaching is
203 unaffected (Stoddard et al., 2005) due to site-specific factors (Rasmussen, 1999).

204 Farmers may also be able to help water companies by working fields along the
205 contour and Withers et al. (2006) found no significant differences in runoff quantity,
206 sediment and total P concentrations where tramlines ran across-slope compared to
207 areas without tramlines. Schonning et al. (1995) also compared the effects of the
208 direction of drilling (winter wheat) on runoff, soil loss and total P for two sandy Danish
209 soils. Reductions of 9 %, 13 %, and 12 % (Site 1) and 19 %, 58 %, and 57 % (Site 2)
210 were reported for runoff volume, suspended solids and total P losses respectively.

211 Even if the direction of traffic is unaltered, conservation tillage techniques can have
212 significant impacts on nutrient losses to water. Mean losses in surface runoff were

213 reduced by 63, 67, 46 and 49 % for total nitrogen, total Kjeldahl nitrogen, ammonia
214 and nitrate respectively whilst reductions for total phosphorus and orthophosphate
215 were 73 and 17 % (Benham et al., 2007). Winter N losses from drained plots at
216 Brimstone Farm averaged 24 % less from land that had been direct drilled instead of
217 ploughed (Goss et al., 1988). A comparison of concentrations of sediment and P in
218 runoff from the Greensand and Chalk soils showed them to be consistently lower
219 when the soil was minimally tilled rather than ploughed (Withers et al., 2007), with the
220 benefits of reduced cultivation being attributed to better surface cover and a firmer
221 surface for tractor wheelings. Impacts of reduced tillage on soil macroporosity (which
222 has significant implications for nutrient transport) have been noted, with Schjonning
223 and Rasmussen (2000) demonstrating a smaller volume of macropores in the top 20
224 cm of soil compared to a ploughed treatment. Johnson and Smith (1996) also found
225 that shallow cultivation, rather than ploughing, decreased N leaching by 44 kg N ha⁻¹
226 over a five-year period but that the difference between cultivation types diminished
227 over time. Conversely, some research has shown that minimum tillage can actually
228 increase nutrient pollution. Carter (1998) reviewed a large number of studies carried
229 out on a range of soil types and found that, whilst the technique was effective in
230 reducing particulate associated P in 31 % of studies, no effect occurred in 8 % and
231 increased P loss actually resulted in 23 % of cases. The same study also showed
232 that conservation tillage increased leaching volumes and nitrate loss to groundwater.
233 Whilst some work has shown that direct drilling decreases soil macroporosity, other
234 studies (Shipitalo et al., 2000; Petersen et al., 2001) reported that the most effective
235 way of reducing macroporosity was intensive cultivation (i.e. ploughing) and that
236 conservation tillage increases transport through macropores, partially attributable to
237 the increased activity of earthworms (Edwards and Lofty, 1982). The build up of
238 nutrients as a consequence of surface applications and limited mixing associated
239 with reduced cultivation has been reported (Rasmussen, 1999), particularly in
240 grassland soils (Haygarth and Jarvis, 1999)

241

242 A number of livestock management techniques have been proven to reduce nutrient
243 pollution. A significant relationship has been reported between grazing intensity and
244 N losses to water (Huging et al., 1995) and, under extensively managed pasture, N
245 leaching losses were reduced by 69 %. Limiting overgrazing through careful
246 management can, therefore, have significant benefits for the water environment.
247 More heavily grazed fields usually receive higher levels of fertiliser, however, and it
248 can be hard to separate these two factors (Cuttle et al., 2004). It is also possible that
249 nutrient losses could still be significant from pasture where overgrazing is not
250 occurring but where stocking densities remain high. Similarly, limiting soil poaching
251 by grazing of saturated soils and not locating supplementary feeding sites on poorly
252 drained areas can significantly improve runoff quality. Using exclusion cages, Kurz et
253 al. (2006) demonstrated the effect of cattle on soil physical properties and nutrient
254 losses in overland flow. Grazed areas were characterised by 57–83 % lower
255 macroporosity, 8–17 % higher bulk density and 27–50 % higher resistance to
256 penetration than areas from which the cattle were excluded. Increased
257 concentrations of total N, organic P and potassium (K) were measured in surface
258 runoff from the grazed areas. Other workers have reported high P losses in land
259 drainage that could only be attributed to heavy winter sheep grazing, with
260 concentrations in drain waters reaching up to 20 mg P l⁻¹ and nearly a third of the
261 total annual P loss occurring during one month immediately after the sheep had been
262 grazing the study site (Jordan and Smith, 1985). In another study, the effect of
263 different grazing pressures on P export in surface runoff generated after artificial
264 rainfall events resulted in 2, 7.6 and 291 mg total P m⁻² loss for ungrazed, lightly
265 grazed (4 stock ha⁻¹) and heavily grazed land (>15 stock ha⁻¹), respectively
266 (Heathwaite and Johnes, 1996).

267

268 In some instances water companies may be able to encourage farmers to take
269 certain actions through the provision of capital grants. Unpublished research by Kay
270 et al. in the Ingbirchworth catchment in South Yorkshire (one of Defra's Associate
271 Catchment Sensitive Farming pilot projects) has indicated that farmers would be
272 much more likely to install fencing to exclude livestock from watercourses if
273 supported financially. Parkyn et al. (2003) reported that streams in New Zealand
274 within fenced-off areas showed rapid improvements in visual water clarity and
275 channel stability, although nutrient and faecal contamination responses were actually
276 variable and significant changes in macroinvertebrate populations were not apparent.
277 Soluble reactive phosphorus decreased by up to 33 % in some streams but was
278 found to increase by up to 20 % in others. Similarly, total N decreased by up to 40 %
279 in some fenced-off streams but increased by up to 31 % in others. More positively,
280 when a fenced-off area of 335 m length and 10-16 m width was created to stop dairy
281 cattle entering a North Carolina stream, total organic nitrogen, Kjeldahl nitrogen and
282 total phosphorus were reduced by 33, 78 and 76 % respectively (Line, 2003).
283 Further encouragement can be provided, particularly on tenanted land, to provide
284 water troughs so that cattle do not have to drink from streams (Sheffield et al., 1997).
285 In this study total phosphorus concentrations were reduced by 54 %, whilst total
286 nitrogen concentrations fell by 81 %.

287

288 The installation of 'edge of field' measures (i.e. buffer zones and wetlands) could
289 potentially offer significant water quality gains to water companies. A number of
290 management issues need to be considered for buffer zones as Table 2 shows that
291 their effectiveness for reducing concentrations of nutrients in surface waters is very
292 variable and actual operational efficiency will be highly season and location specific.
293 Important factors include soil properties, climate, vegetation cover, physical
294 dimensions, sediment characteristics and the presence of underdrainage (Barling
295 and Moore, 1994; Tate and Nader, 2000). Unfortunately, the maximum delivery

296 period of nutrients (i.e. winter) (Uusi-Kämppä et al., 2000) overlaps with the least
297 efficient period for many buffer zones due to a combination of high local water tables,
298 reduced infiltration capacities and poor plant growth/cover. The highest rates of
299 suspended solids deposition (and therefore particulate associated phosphorus) occur
300 in the upper part of the buffer strip, and retention rates decline with increasing width
301 when expressed as an amount per unit area (i.e. $\text{g m}^{-2} \text{y}^{-1}$). Poor filtering efficiency of
302 the finest material may be an issue however (Le Bissonnais et al., 2004; Owens et
303 al., 2007), especially because this represents the most reactive and preferentially
304 enriched soil fraction (Syversen and Borch, 2005).

305

306 Recommended widths range from 3-200 m (Castelle et al., 1994) although 5-15 m is
307 most common and Haycock and Burt (1993) reported that the majority of nitrogen
308 capture occurred in the first 5-8 m. Long-term management is a key issue - Dorioz et
309 al. (2006) state that the retention of phosphorus is unlikely to be sustained and that
310 dissolved phosphorus release from the buffer zone will increase. Lovell and Sullivan
311 (2006) note a host of more wide-ranging limitations of buffer zones for treating
312 nutrients in runoff, including a lack of catchment-scale research, a need for more
313 clearly defined and targeted goals, a lack of cooperation between scientific
314 disciplines and agencies, an absence of accountability from landowners for
315 investment in buffers, as well as limited attention to the aesthetic quality of buffers. It
316 is perhaps somewhat surprising that such a recent review is still raising what are
317 rather basic issues.

318

319 Wetlands have often been shown to be very effective at removing nutrients from
320 runoff (Table 2), although operational efficiencies again vary seasonally and with
321 time. For example, seasonal removal percentages of nitrate by a wetland were 100,
322 35, 55 and 96 % of the autumn, winter, spring and summer loads respectively, with a
323 total removal of 55 % (Larson et al., 2000). Generally, the efficiency of wetland

324 systems is reduced during high flow periods when retention times are shorter
325 Koskiaho et al. (2003). Whilst there are other examples which appear to operate well
326 (Jansson et al., 1998; Koskiaho et al., 2003), there are also others which do not
327 (Wedding, 2000; Braskerud, 2002). The ratio wetland:catchment area is often used
328 as an indicator of retention capacity and whilst wetland size is recommended to be 1-
329 5 % of the contributing catchment (Kadlec et al., 2000), many ponds and constructed
330 wetlands are often <0.3 % (Braskerud, 2002). These authors argue that, unlike buffer
331 strips, wetlands are more effective at retaining the finer clay-sized material with the
332 mean annual retention of suspended solids being 57-71 %. Despite the fact that
333 much information is available on the impacts of some stewardship measures for
334 nutrients, none is available for many.

335

336 **4. Pesticides**

337 A wide range of measures exists within contemporary agricultural stewardship
338 schemes that seek to reduce pesticide pollution by limiting their input into catchment
339 systems. Some of these have been proven to have very significant impacts (50-100
340 % reduction in concentrations in runoff and surface waters) (Table 1), including not
341 spraying when surface runoff is likely to be generated or enter land drains (Barnes
342 and Kalita, 2001; CPA and AIC, 2004). Measures to reduce spray drift can also be
343 highly effective at reducing pesticide pollution of water bodies and it has been shown
344 that drift can be reduced by between 20 and 50 % using core-tipped rather than flat
345 nozzles (de Snoo and de Wit, 1998) whilst band spraying may reduce drift by 90 %
346 (van der Zande et al., 2001). Windbreaks (e.g. miscanthus) can also reduce drift
347 significantly; a wind-break that was 0.5 m above the crop (sugar beet) reduced drift
348 by 80 % and when this height was raised to 1 m then drift was further reduced to 90
349 %. Moreover, biobeds offer a very effective means of combating pesticide pollution
350 by degrading residues in waste and washings by over 98 % in some instances (Fogg
351 et al., 2004; Spliid et al., 2006). In contrast, taking measures to reduce reliance on

352 pesticides would seem to have a negligible effect on water pollution. Of the limited
353 evidence that is available (Pacini et al., 2003; Hole et al., 2005) losses from farms
354 with reduced inputs appear to be similar to those from conventional farms. Sheep dip
355 pollution may be combated by disposing of spent sheep dip to land or farming
356 organically. The effectiveness of the first measure will depend on the physico-
357 chemical properties of the compounds used and the characteristics of the land
358 disposed to (Grant et al., 2002; Cooke et al., 2004; Levot, 2007). Appropriate siting of
359 dip disposal areas is, therefore, critical and detections of sheep dips in watercourses
360 have previously been attributed to poor siting (Virtue and Clayton, 1997). No studies
361 have quantified the impacts of organic sheep farming on pesticide pollution of the
362 water environment to date. A further input reduction measure available to water
363 companies is reversion of arable land to grassland which has been shown to reduce
364 pesticide application to land generally (Herzog et al., 2006).

365

366 A range of measures are available that may reduce pesticide transport to
367 watercourses through improved soil management and it is well documented that
368 higher levels of organic matter encourage sorption of certain pesticides and reduce
369 their mobility (Ding et al., 2002; Hernandez-Soriano et al., 2007). Other factors are
370 also important though, including the properties of a substance, the clay content of the
371 soil, the pH of the soil solution, and the coverage of ion exchange sites (Delle Site,
372 2001; Beulke and Brown, 2006). Facilitated transport due to an increase in the DOC
373 and colloidal content of soil water may actually lead to the increased mobility of
374 pesticides however (Worrall et al., 1995; Li et al., 2005). Organic amendments may
375 also alter the pH of the soil solution and, therefore, the degradation rate of pesticide
376 residues, the degradation rate of carbofuran being reduced for example (Worrall et
377 al., 2001). Whilst previous studies have shown that conservation tillage reduces
378 runoff generation and soil erosion, the fate of pesticides is less certain (Uri, 1998;
379 Rose and Carter, 2003; Ghidry et al., 2005). Although overall delivery to waterbodies

380 will be reduced by at least an order of magnitude due to runoff production and
381 sediment transport being lower than in conventional production systems, pesticide
382 concentrations will be higher in both the aqueous and particulate phases under
383 minimum-tillage due to the smaller quantities of runoff in which residues will be
384 present. This may not be a significant issue at the catchment scale, however, as
385 pesticides will be diluted in streams and if mass losses from land are actually lower
386 under minimum-tillage then stream concentrations may be lower (Kenimer et al.,
387 1987; Tebrügge and Düring, 1999; Shipitalo and Owens, 2006). The build up of soil
388 macropores in no-till systems may be problematic though and increase pesticide
389 losses (Smith and Chambers, 1993; Tebrügge and Düring, 1999; Holland, 2004).
390 Ensuring the presence of a rough soil surface will limit the mobility of pesticides in the
391 environment as a finer soil tilth increases a soil's water holding capacity and, thus,
392 reduces runoff production and pesticide movement (Brown et al., 1999; Hyer et al.,
393 2001). Tillage of the soil surface by discing or ploughing will also disrupt macropores
394 in the soil and so reduce pesticide transport by encouraging the transfer of solutes
395 from macropores to micropores (Jarvis et al., 1994) and reducing the connectivity of
396 desiccation cracks with land drains (Kay et al., 2004). Current agricultural
397 stewardship schemes are likely to do little to reduce pesticide transport to
398 waterbodies via this mechanism, however, as tillage is only encouraged following
399 harvest. Whilst this practice may be useful for reducing soil erosion and transport of
400 nutrients in the post-harvest period when soils are relatively bare, pesticide
401 application will take place at different times prior to this cultivation. It is well known
402 that the most significant pesticide transport usually occurs in the first period of runoff
403 generation after application, before much time has elapsed for degradation to take
404 place and sites available for chemical sorption in the soil may be saturated (Ng and
405 Clegg, 1997; Kamra et al., 1999; Zehe and Flüßler, 2001). In order to have a
406 significant impact on pesticide transport, tillage would have to be carried out
407 repeatedly whilst the crop was growing and pesticides were being applied.

408

409 As for nutrients, buffer zones and wetlands can have a significant impact on the
410 environmental fate of pesticides although it is generally accepted that only a limited
411 amount of empirical research has been carried out (Harris and Forster, 1997;
412 Andreoli and Tellarini, 2000; Kleijn et al., 2001). In the context of many of the
413 measures advised under agricultural stewardship schemes, however, a considerable
414 body of research is actually available and a number of studies have highlighted the
415 importance of buffer strips as a management technique for limiting surface water
416 pollution by pesticides (Klöppel et al., 1997; Patty et al., 1997; Dabrowski et al.,
417 2002). Specific changes in pesticide mass losses and concentrations due to the
418 creation of buffer zones are shown in Tables 3 and 4 respectively. Strongly sorbed
419 compounds have been found to require a buffer zone of only several metres to be
420 trapped, with greater width having little additional effect. For hydrophilic compounds a
421 more linear relationship has been reported, where greater width increases the
422 chances of the pesticide being retained and degraded (Krutz et al., 2005). Those
423 studies reported in Tables 3 and 4 have generally employed buffer zones of 5-20 m.
424 Other work has addressed the issue of buffer zone size by comparing this to
425 catchment area and Arora et al. (2003) found that small buffer zones (30:1 ratio
426 between drainage area and buffer strip) were just as effective as larger ones (15:1
427 ratio). Of key importance to the water industry is the fact that research that has been
428 carried out to-date is of limited use in determining the effectiveness of buffer zones
429 from improving water quality at the catchment scale (and therefore treatment works).
430 Although some studies have investigated the fate of pesticides in wetland systems
431 this subject area is not understood as well as for nutrients and sediment (Schulz and
432 Peall, 2001). Some studies have shown that wetlands reduce mass losses of
433 pesticides by 25-100 % (Table 5). The size of a wetland relative to the catchment
434 from which it is receiving runoff is a key issue when considering the use of wetlands
435 for treatment of pesticide residues in runoff. Constructed wetlands on farms covering

436 1 % of the catchment area have reduced pesticide concentrations reaching water
437 bodies to non-toxic levels through sorption and degradation in the wetland
438 (Braskerud and Haarstad, 2003). Some studies have indicated that pesticides are
439 totally degraded in wetland systems rather than simply stored as analyses of
440 sediments have proved to be negative (Chapman, 2003). Despite much positive
441 data, other studies have found that wetlands do not offer an effective way of stripping
442 pesticides from runoff. High concentrations of atrazine, metolachlor and
443 chlorpyriphos (2.5, 0.25, and 1 mg l⁻¹ respectively) were not degraded at all in one
444 particular study (Mazanti et al., 2003), although at lower concentrations (2, 0.2, and
445 0.1 mg l⁻¹) some loss was observed, with detection of degradation products showing
446 that breakdown of the compounds was occurring rather than sorption alone. The
447 structure of a pesticide is important in determining whether it will be effectively
448 removed from water in a wetland system; structures based on nitrogen compounds
449 being degraded most effectively (Fogg et al., undated).

450

451 **5. Conclusion**

452 The current project has sought to elucidate those agricultural stewardship measures
453 that can be implemented in river catchments with reasonable certainty, based on
454 scientific findings, that improvements in water quality will result, focussing on
455 pollutants of key concern to the UK water industry, namely, dissolved organic carbon,
456 nutrients and pesticides. Whilst those measures detailed in Table 1 have been
457 proven to improve water quality the success of all of these will be site specific due to
458 factors such as soil type, hydrology and pollutant chemistry and so measures should
459 be implemented on a case-by-case basis. Moreover, there is a dearth of information
460 quantifying the impacts of many stewardship measures on water quality, which is
461 perhaps not surprising given that many were developed for terrestrial ecology gain
462 rather than from a water quality perspective. It is highly pertinent to note that no
463 studies have been undertaken to date that have quantified the impact of agricultural

464 stewardship measures at the catchment scale, those that have been carried out have
465 focussed on the plot and individual field scale, and further research in this area is,
466 therefore, urgently needed. It is likely to be important to implement a range of
467 measures throughout an entire catchment (dependant upon farming practices in the
468 catchment) in order that benefits are not negated by areas where new management
469 techniques have not been pursued (Kay et al., 2005). A further pertinent point to be
470 considered when implementing stewardship measures in a catchment is that
471 farmers/land managers have to be given responsibility for implementing certain
472 measures (e.g. controls on N application rates and timing) and it is, therefore,
473 essential that they are adequately trained and can be relied upon to carry out the
474 task effectively. Moreover, research that quantifies the impacts of agricultural
475 stewardship on farm incomes is largely lacking and is urgently needed if farmers/land
476 managers are to be convinced that environmental stewardship represents business
477 sense. Overall, despite significant attention from many stakeholders, there is a
478 striking lack of scientific evidence to underpin the use of agri-environment measures
479 for water quality management. This may limit their usage by businesses, such as the
480 water industry, which are required to make steadfast decisions based on sound
481 economics.

482

483 **Acknowledgements**

484 The work reported in this paper was funded by Yorkshire Water Services Ltd under
485 the company's Strategic Research Partnership.

486

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1083 **Table 1.** Stewardship measures available in contemporary agri-environment
 1084 schemes that have been proven to reduce water pollution by dissolved organic
 1085 carbon, nutrients and pesticides.

Pollutant	Measures scientifically proven to improve water quality
Dissolved organic carbon/water colour	Block grips and gullies
Nutrients	Limit nutrient application to crop requirements Limit total N from manures to 170 kg ha ⁻¹ yr ⁻¹ (arable) and 250 kg ha ⁻¹ yr ⁻¹ (grassland) Arable reversion to grassland Inject slurry or incorporate soon after application Do not apply dirty water to high-risk areas Ensure soil is bare for a minimum of time Traffic fields across slope Use direct drilling Avoid poaching Limit overgrazing Limit livestock access to watercourses Buffer zones Wetlands
Pesticides	Do not apply when land is frozen, saturated or rain is forecast in next 3 days Do not apply when pesticides may enter land drains Reduce spray drift Use a biobed Dispose of spent sheep dip to land

Arable reversion to grassland

Increase and maintain soil organic matter

Ensure soil is bare for a minimum length of time

Use direct drilling

Buffer zones

Wetlands

1086 **Table 2.** Nutrient removal efficiencies for buffer zones and wetlands.

Pollutant	Effect of buffer zone	Reference	Effect of wetland	Reference
Total nitrogen	23 % reduction	McKergrow et al., 2003	5-50 % reduction	Alström et al., 2000
	75-94 % reduction	Heathwaite et al., 1998	19-100 % reduction	Jansson et al., 1998
	10 % decrease – 217 % increase	Borin et al., 2005	3-15 % reduction	Braskerud, 2002
	47-100 % reduction	Dorioz et al., 2006	7 % increase – 40 % decrease	Koskiaho et al., 2003
Nitrate	50-100 % reduction	Haycock and Burt, 1993	8 % increase – 38 % decrease	Koskiaho et al., 2003
	No impact (due to macropore flow)	Leeds-Harrison et al., 1999	28 % reduction	Kovacic et al., 2006
	9 % decrease – 232 % increase	Borin et al., 2005	35–100 % reduction	Larson et al., 2000
	95 % reduction	Hefting and De Klein, 1998		
Total phosphorus	6 % reduction	McKergrow et al., 2003	6 % increase – 72 % decrease	Koskiaho et al., 2003
	10-98 % reduction	Heathwaite et al., 1998	53 % reduction	Kovacic et al., 2006

	0-97 % reduction	Uusi-Kämppa et al., 2000		
	31 % reduction	Abu-Zreig et al., 2003		
	60-80 % reduction	Vallières, 2005		
	8-97 % reduction	Dorioz et al., 2006		
	27 % decrease – 41 % increase	Borin et al., 2005		
Soluble phosphorus	16 % reduction	Vaananen et al., 2006	<10 % reduction	Braskerud, 2002
	61 % increase	McKergrow et al., 2003	12-31% reduction	Wedding, 2000
Soluble phosphorus	Effect of buffer zone	Reference	Effect of wetland	Reference
cont.				
	17 % decrease – 475 % increase	Borin et al., 2005	33 % increase – 33 % decrease	Koskiaho et al., 2003
	0-30 % decrease	Dorioz et al., 2006		

1087 **Table 3.** The effect of buffer zones on mass losses of pesticides to waterbodies.

Pesticide	Effect of buffer zone	Reference
Atrazine	30 % reduction	Barnes and Kalita, 2001
	83-99 % reduction	Patty et al., 1997
	57-93 % reduction	Popov et al., 2006
Fenpropimorph	71 % reduction	Syversen and Bechmann, 2004
	34 % reduction	Syversen, 2005
Glyphosate	39 % reduction	Syversen and Bechmann, 2004
	48 % reduction	Syversen, 2005
Isoproturon	87 % reduction	Benoit et al., 2000
Lindane	76-100 % reduction	Patty et al., 1997
Metolachlor	40-85 % reduction	Popov et al., 2006
Propiconazole	63 % reduction	Syversen and Bechmann, 2004
	85 % reduction	Syversen, 2005

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1090 **Table 4.** Changes in pesticide concentrations in runoff due to the creation of buffer
1091 zones.

Pesticide	Effect of buffer zone	Reference
Atrazine	53 % reduction	Arora et al., 2003
	25-49 % reduction	Popov et al., 2006
Chlorpyrifos	83 % reduction	Arora et al., 2003
Metolachlor	54 % reduction	Arora et al., 2003
	30-61 % reduction	Popov et al., 2006

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1094 **Table 5.** Changes in mass losses of pesticides to surface waters due to the
 1095 construction of wetlands.

Pesticide	Effect of wetland	Reference
Atrazine	25-95 % reduction	Stearman et al., 2003
Azinphosmethyl	77-93 % reduction	Shulz and Peall, 2001
Carbaryl	43 % reduction	Chapman, 2003
Chlorpyrifos	100 % reduction	Shulz and Peall, 2001
	100 % reduction	Chapman, 2003
	47-65 % reduction	Moore et al., 2002
Diazinon	85 % reduction	Chapman, 2003
Dimethoate	100 % reduction	Chapman, 2003
Endosulphan	100 % reduction	Shulz and Peall, 2001
Metolachlor	82 % reduction	Stearman et al., 2003
Simazine	77 % reduction	Stearman et al., 2003

1096 **Captions**

1097

1098 **Table 1**

1099 Stewardship measures available in contemporary agri-environment schemes that
1100 have been proven to reduce water pollution by dissolved organic carbon, nutrients
1101 and pesticides.

1102

1103 **Table 2**

1104 Nutrient removal efficiencies for buffer zones and wetlands.

1105

1106 **Table 3**

1107 The effect of buffer zones on mass losses of pesticides to waterbodies.

1108

1109 **Table 4**

1110 Changes in pesticide concentrations in runoff due to the creation of buffer zones.

1111

1112 **Table 5**

1113 Changes in mass losses of pesticides to surface waters due to the construction of
1114 wetlands.

1115