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24

25 **1. Introduction**

26

27 The unsaturated (vadose) zone plays a crucial role in the hydrological cycle in that it controls the
28 movement and flow of water and solutes from the ground surface. It provides a buffer in which
29 contaminants applied at the surface may be delayed and attenuated before reaching the water
30 table. It also plays an important role in reducing groundwater flooding by temporally spreading
31 the impact of storm recharge events on the water table response. The extent of this buffering
32 effect depends on the characteristics of the unsaturated zone but it is particularly difficult to
33 measure in dual porosity fractured lithologies like the Cretaceous Chalk of the UK and Europe
34 where flow and solutes can be rapidly moved through the system via preferential fracture
35 pathways or more slowly through the porous matrix. The quantification of recharge and
36 characterisation of flow and transport in the Chalk unsaturated zone is not an easy task and
37 despite several decades of study considerable uncertainty remains regarding the dominant flow
38 regime (e.g. Smith, 1970; Headworth, 1972; Wellings, 1984; Geake and Foster, 1989; Price et
39 al., 1993; Ireson et al., 2006; Lee et al., 2006; Ireson and Butler, 2011).

40

41 Uncertainty regarding the response of the deeper unsaturated zone arises partly due to difficulties
42 in quantifying drainage water leaving the base of the soil zone. The soil buffers and attenuates
43 precipitation events, dependent on the soil moisture content versus depth profile at the time of a
44 given rainfall event. Establishing the water release characteristics allows assessment of the
45 movement of water in the soil zone and can be achieved by monitoring moisture content and soil
46 tension, and through the application of numerical modelling. However, monitoring depth is

47 generally limited to the top several meters of the unsaturated zone due to difficulties related to
48 device installation and maintenance. A few studies have attempted deeper moisture content
49 monitoring in matrix flow systems; for example West and Truss (2006) installed specialist
50 borehole packer-based instrumentation to 20 m depth in the unsaturated zone of the Sherwood
51 Sandstone in Northern England. However, with fractured formations such as the Cretaceous
52 Chalk it is rarely possible to obtain meaningful in-situ measurements deep within the unsaturated
53 zone via boreholes because flow becomes concentrated in fractures or conduits which may or
54 may not be intersected by these boreholes. Hence, most previous studies have focussed on
55 inferring the nature of unsaturated zone flow processes from solute profiling in extracted cores,
56 tracer testing or numerical simulations of water table response to rainfall.

57

58 Prior to the 1970s, it was widely accepted that flow through the Chalk unsaturated zone was
59 predominately via the fracture network, which was largely supported by observations of fast
60 water table response to rainfall (Headworth, 1972). However, fast water table response is also
61 compatible with piston displacement (via pressure diffusion) of pore fluid via the Chalk matrix
62 (Price et al., 1993) and subsequent studies led workers to believe that flow through the Chalk
63 unsaturated zone was in fact predominately facilitated via the Chalks intergranular matrix. For
64 example, Smith et al., (1970) reported tritium profiles from cores following thermonuclear
65 testing that produced high tritium concentrations in rainfall during 1963-1964 and 1958-1959
66 respectively. The position of two peaks corresponding to these events was used to calculate a
67 mean downward solute transport rate of less than 0.1 mmhr^{-1} ($2.4 \times 10^{-3} \text{ md}^{-1}$) which was
68 interpreted to indicate flow predominantly through the matrix. Wellings (1984) carried out
69 artificial deuterium oxide tracer testing at a site in Hampshire (Southern England) and also

70 reported a slow downward transport rate of 0.1 mmhr^{-1} which was attributed to matrix flow.

71 However, in a re-interpretation of these data-sets, Geake and Foster (1989) and Barker and

72 Foster (1981) proposed that the apparent slow downward migration of these solute peaks was in

73 fact caused by a combination of relatively rapid downward flow via the fracture network and

74 lateral diffusion of solutes into the matrix blocks. In other words solute profiling studies are not

75 diagnostic as they could indicate either flow mechanism.

76

77 Since the study of Headworth (1972) only a handful of studies have been undertaken on the UK

78 Chalk which focus on the correlation between rainfall and in water level rise. Ireson et al., (2006)

79 analysed cumulative water table rise in comparison to effective rainfall over the 2003/2004

80 hydrological year at a site in Southern England. They reported a peak lag time between effective

81 rainfall and water table rise indicating a downward pressure pulse propagation rate of 125 mmhr^{-1}

82 (3 md^{-1}) . Although it was acknowledged that this rate of percolation could indicate preferential

83 flow in fractures, it is also compatible with pressure diffusion through the matrix (Barker, 1993).

84 However, in a subsequent study Ireson and Butler (2011) argued that preferential flow through

85 fractures was the dominant mechanism following an observation of very rapid water table

86 response indicating downwards pressure pulse propagation at 1700 mmhr^{-1} (40 md^{-1}). Lee et al.,

87 (2006) conducted a cross-correlation study of time series of rainfall and borehole water level at

88 six sites in Southern England to investigate seasonal variations in water table rise response times.

89 A one-dimensional diffusive equation was used to test if estimated response times could be

90 attributed to pressure diffusion via the matrix; although some of the slower responses could be

91 explained in this way more rapid responses were interpreted to indicate fracture flow. They also

92 found that lag time was highly dependent on the season and related to the saturation level of the

93 unsaturated zone at the time of a recharge event. In order to understand such seasonal behaviour,
94 numerical models have been applied which simulate both matrix and fracture flow in the Chalk
95 unsaturated zone (e.g. Mathias et al., 2006; Ireson et al., 2009; Ireson and Butler, 2011).
96 However, these are essentially one-dimensional, i.e. they all assume laterally uniform, vertical
97 downwards flow, and do not attempt to model the influence of highly conductive fracture
98 pathways. We believe that a much improved conceptual understanding of the nature and
99 dimensionality of flow in unsaturated Chalk is required in order to be able to accurately predict
100 water table response and solute migration rates through the unsaturated zone.

101

102 In order to develop such an understanding, here we report a fusion of field monitoring and
103 modelling techniques to characterize flow processes in unsaturated chalk. Previous work at the
104 site of the study reported here is described in Allshorn et al., (2007) who carried out qualitative
105 (Photine-CU) and quantitative (Rhodamine and Fluorescein) tracer test experiments in the
106 unsaturated zone of the Chalk. The tracers were injected into the top of the unsaturated zone and
107 recovered in a subsurface tunnel. The observed tracer travel times provided evidence of fast
108 solute transport with velocities up to 792 mmhr^{-1} (19 md^{-1}). Here we present a more
109 comprehensive study of the unsaturated zone at this site, using high temporal resolution
110 monitoring of soil zone drainage and tunnel discharge. We simultaneously monitored soil water
111 dynamics, through the measurement of continuously logged matric potential and moisture
112 content, and tunnel discharge at depths of up to 45 m below the ground surface. Drainage fluxes
113 from the soil zone were estimated from soil monitoring data using the HYDRUS code (Simunek
114 et al., 2008) for one-dimensional variably saturated media and the timing of the individual soil
115 zone drainage events are compared with corresponding discharge responses in the tunnel.

116 Extensive geological logging of exposures within the tunnel was carried out in order to identify
117 the physical characteristics of the deep unsaturated zone. The results are used to inform a
118 conceptual model of the Chalk unsaturated zone, which may form the basis of future numerical
119 modelling of its hydraulic response.

120

121 **2. Study site**

122

123 Located on agricultural land in the Yorkshire Wolds of Northern England, the study site is
124 situated approximately 15 km south-east of Market Weighton (Figure 1a). The Wolds represent
125 the unconfined NW section of the Northern Province Chalk, and consist of a network of dry
126 valleys. Annual rainfall on the Wolds is ~750 mm and there is little surface drainage as
127 topography rarely intersects the water table (Gale and Rutter, 2006).

128

129 Like most Chalk aquifers in the UK, the soil at this site is relatively thin (~0.3 m) and
130 permeable. Porosity ranges between 34 and 49% at depths down to 0.8 m (Table 1). The
131 National Soil Resources Institute (2009) classifies the soil type at this site as a silty clay loam
132 within the Andover soil series which correlates to the US soil sub-group Lithic Haprendolls, sub-
133 order Rendolls (U.S. Department of Agriculture Keys to Soil Taxonomy 2010). Figure 2 shows
134 the soil profile at the installation pit site, which can be divided in to four horizons; 0 – 0.3 m
135 (silty clay loam), 0.3 – 0.6 m (silty clay loam and highly weathered Chalk), 0.6 – 1 m (highly
136 weathered Chalk), and 1 – 1.5 m (moderately weathered Chalk). A thick (~0.1 m) discontinuous
137 flint band is present at ~0.7 m depth.

138

139 This thin soil and weathered Chalk layer (0 – 1.5 m) is underlain by a further 2 - 3 m of slightly
140 weathered Chalk which is in turn underlain by up to 95 m of unsaturated unweathered Chalk. A 2
141 km long subsurface tunnel deep within this unsaturated zone provides access to a 1250 m long
142 panel (~2 m in height) of exposed Chalk (Figure 1b). The base of the panel is situated at
143 approximately 75 mASL (meters above sea level) and, as the topographic elevation of the ground
144 surface is 90 – 130 mASL, its depth below the ground surface ranges between 30 and 45 m. The
145 water table is located at approximately ~35 mASL, which places much of the exposed Chalk
146 panel in the middle of this thick unsaturated zone.

147

148 The Chalk gently dips 1 - 2 degrees to the east at the study site. Previous geological studies
149 determined that the site is stratigraphically within the Burnham Chalk Formation (Allshorn,
150 2008) which was deposited during the Late Cretaceous. A Chalk sample from the subsurface
151 tunnel exposure (~45 m overburden) gave a porosity (determined using a helium pycnometer) of
152 19.8% and a hydraulic conductivity of $9.6 \times 10^{-3} \text{ mmhr}^{-1}$ ($2.3 \times 10^{-4} \text{ md}^{-1}$). The Chalk sequence
153 exposed in the tunnel is comprised of finely bedded laminate chalk (beds 0.02 – 0.2 m thick)
154 with massively bedded chalk (beds 0.4 – 0.8 m thick) occurring towards the eastern end of the
155 tunnel. Marl horizons, nodular and discontinuous flint layers are present throughout. Marl
156 flaserings with thin (<0.002 m) intertwining marls also occurs. Of particular interest is the
157 presence of rare paramoudra which are large cylindrical flint nodules in-filled with chalk and up
158 to 1 m in height (Brenchley, 2006).

159

160 The fracture network was characterised through systematic measurement of the orientation and
161 aperture of all non-strata bound fractures that cut the entire 2 m exposed panel (intersecting both

162 the floor and ceiling boundary) of the north facing wall of the tunnel exposure. In total, 128
163 fractures were logged giving an average spacing of 8.5 m with variable apertures ranging from
164 <1 mm to several centimetres. An equal area stereographic projection of fractures plotted as
165 poles to planes is shown in Figure 3. This shows that there are two distinct sets of fracture poles
166 indicating a conjugate fracture set. The mean principal orientation of the western pole series is
167 61/097 (dip/dip direction) while the mean principal orientation of the eastern set is 62/244.

168

169 Sparse pipe-like features of the order of centimetres in diameter are present along some major
170 fracture planes and fracture intersections. The largest of these features is choked with clay rich
171 sediments of probable glacial origin, suggesting that it was formed prior to the last glaciation
172 (some 11000 years ago). Other smaller open voids of 1 - 2 cm diameter also occur along
173 fractures. Where these channel ways are water bearing, they show strong inflow into the tunnel.
174 The presence of such features results in an inhomogeneous distribution of flow across the length
175 of the tunnel ceiling and walls.

176

177 **3. Field Monitoring**

178

179 In this study, we monitored soil water dynamics through the simultaneous measurement of
180 continuously logged matric potential and moisture content along with rainfall, and subsurface
181 tunnel inflows at depths of up to 45 m below the ground surface. Monitoring at 15 min intervals
182 began at the start of the 2009/2010 hydrological year (1-Oct 2009). Data from the time period 1-
183 Oct 2009 to 30-Sept 2010 are reported here. Soil sampling was also carried out to determine soil
184 porosity and is described below.

185

186 **3.1. Soil Sampling**

187

188 The study incorporated three soil sampling sites, F1, F2 and F3, located above the subsurface
189 tunnel at chainages of ~725, ~1025, ~1325 m from the tunnel's western portal respectively
190 (Figure 1b). The sampling sites were specifically selected with the aim of measuring the
191 variability in soil properties across the length of the tunnel and as such each was under a
192 different land management regime throughout the study period with F1 cropped with field peas,
193 F2, grazed permanent grassland, and F3 cropped with winter wheat.

194

195 Shallow cores of 1 m length (0.45 m diameter) were extracted from the ground surface at each of
196 the sample sites using a manual percussion drill (Cobra, Atlas-Copco UK Holdings Ltd.) and foil
197 lined window-less sampler. Following standard field soil sampling methodologies (Rowell,
198 1994), samples obtained from cores were processed and analysed for moisture content and dry
199 bulk density (DBD). Porosity was then estimated by assuming a soil particle density of 2650
200 kg/m³ for soil samples, and 2710 kg/m³, the density of calcium carbonate, for Chalk samples
201 (Rowell, 1994).

202

203 **3.2. Experimental Soil Plot**

204

205 A 1.5 x 1.5 x 1.5 m soil monitoring pit was excavated ~40 m above the subsurface tunnel and
206 was instrumented in September 2009 (see Figure 1b). The monitoring pit, situated at the same
207 location as core extraction site F3, is located approximately 1325 m from the western end of the

208 tunnel and approximately 200 m west of drip collection site D3. As depicted in Figure 4, the
209 monitoring pit was equipped with a tipping bucket rain gauge (Young Ltd, Model 52203) and
210 logging soil moisture content and tension monitoring devices.

211

212 The tensiometers (UMS Umweltanalytische Mess-Systeme GmbH, Model TS1s) were installed
213 horizontally along the southern wall of the pit at depths of 0.5, 1, 1.5 and 2 m. The moisture
214 sensors (IMKO Micro- modultechnik GmbH, Model 64/32 Trime-Pico), which are based on
215 TDR (Time-Domain-Reflectometry) technology, were installed horizontally along the northern
216 wall of the pit at depths of 0.4, 0.6 and 0.8 m. The rain gauge and devices were wired to a central
217 distribution module connected to a logger (IMKO Micro- modultechnik GmbH, Globelog
218 Logger). The logger was equipped with a GSM (Global System for Mobile) antenna, which
219 enabled remote telemetry data transmissions. After installation, the pit was backfilled such that it
220 could be sown (with winter wheat) along with the surrounding field.

221

222 **3.3. *Subsurface Installations***

223

224 The subsurface tunnel was equipped with three drip collection units labelled D1, D2 and D3,
225 located at depths of 45 m (D1 and D2) and 30 m (D3) beneath the ground surface (Figure 1b). As
226 shown in Figure 5, each unit consisted of a 2 x 2 m collection area (made of polyethylene plastic)
227 which channelled drip water through a funnel situated above a tipping bucket rain gauge (EM
228 Ltd. ARG100-DT2, developed by CEH Wallingford). The rain gauges were equipped with
229 automatic loggers (EM Ltd. DT2-R) that logged bucket tips, each tip equivalent to 0.002 litres.

230 Discharge volume per hour for each drip collection unit was then converted into equivalent
231 average discharge rate (mmhr^{-1}) over the 4 m^2 collection area.

232

233 The collection units, D1, D2 and D3, were located at 530 m, 725 m, and at 1540 m from the
234 western portal respectively (Figure 1b), and each was located at a strong inflow point. There is
235 an inhomogeneous distribution of flow into the tunnel and not all sections of the tunnel ceiling
236 discharge continuously throughout the year. Therefore care was taken to ensure drip collection
237 sites monitored points of high discharge. The atmospheric relative humidity in the tunnel during
238 winter months is 96% or greater (Allshorn, 2008) and therefore evaporation is considered
239 negligible and measured discharge rates accurately represent tunnel ceiling inflow rates.

240

241 **4. Soil Drainage Flux Modelling Methodology**

242

243 Hourly drainage fluxes during part of the 2009/2010 winter recharge season (1-December 2009
244 to 15-March 2010, 2520 hours) from 1 m depth were estimated by simulating water flow at the
245 study soil monitoring plot using the HYDRUS code (Simunek et al., 2008) for one-dimensional
246 variably saturated media. The modelled period represents the bulk of the winter recharge season,
247 slightly truncated for modelling purposes, to avoid issues associated with strongly hysteretic soil
248 moisture content versus matric potential behaviour during the seasonal wetting and drying
249 phases. HYDRUS numerically solves water flow in unsaturated media using the Richards
250 equation:

251

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[K(h) \left(\frac{\partial h}{\partial z} + 1 \right) \right] \quad (1)$$

252

253 where, h is the soil water pressure head [mm], θ is the volumetric moisture content [-], t is time
 254 [hr], z is the vertical spatial coordinate [mm] and $K(h)$ is the hydraulic conductivity as a function
 255 of pressure head [mmhr⁻¹]. Soil hydraulic properties were modelled using the Mualem - van
 256 Genuchten hydraulic model (van Genuchten, 1980; Mualem, 1976) given by equations (2) and
 257 (3) below:

258

$$\theta(h) = \begin{cases} \theta_r + \frac{\theta_s - \theta_r}{[1 + |ah|^n]^m} & h < 0 \\ \theta_s & h \geq 0 \end{cases} \quad (2)$$

where θ_s is saturated moisture content [-]; θ_r is residual moisture content [-]; K_s is saturated
 hydraulic conductivity [mmhr⁻¹]; α is an air entry parameter [mm]; n is a pore size distribution
 parameter [-]; and l is a pore connectivity parameter [-] fixed at 0.5 (Mualem, 1976), and

$$K(h) = K_s S_e^l [1 - (1 - S_e^{1/m})^m]^2 \quad (3)$$

where S_e is effective saturation given by:

$$S_e = \frac{\theta(h) - \theta_r}{\theta_s - \theta_r} \quad (4)$$

and

$$m = 1 - 1/n, \quad n > 1 \quad (5)$$

259

260 The soil hydraulic parameters θ_r , θ_s , α , n and K_s in equations (2) – (5) are independent parameters
261 assigned to each layer of the model.

262

263 Three layers have been defined in our model, each corresponding to a different horizon in the top
264 1 m of the soil plot profile (Figure 2). The top layer (silty clay loam) spans from 0 – 0.3 m depth,
265 while the second (silty clay loam and highly weathered Chalk) and third (highly weathered
266 Chalk) layers span from 0.3 – 0.6 m and 0.6 – 1 m depth, respectively. The modelled profile (1
267 m) was discretized using a uniform finite element cell length of 0.01 m (101 nodes in total). An
268 atmospheric boundary condition (dependant on rainfall, infiltration and evaporation) was used
269 for the upper boundary condition and free drainage was used for the lower boundary condition.
270 The initial conditions were set by assigning observed pressure head values at 0.5 and 1 m depth
271 (at 01:00 hr, 1-Dec 2009) to the corresponding nodes within the model, from which an initial
272 linear distribution of pressure head with depth was imposed.

273

274 Rainfall data for the model input were obtained from the rain gauge at the experimental site, and
275 where necessary, missing data (e.g. due to the rain gauge being disconnected for crop spraying)
276 was provided from the UK Meteorological Office records from the nearest weather station
277 located in Leconfield some 15 km away. Evapotranspiration, ET_p , was estimated in HYDRUS

278 using the Hargreaves equation (Jensen et al., 1997) and mean daily maximum and minimum air
279 temperatures provided by the Meteorological Office (Leconfield weather station). The
280 Hargreaves equation is expressed as:

281

$$ETp = 0.0023R_a(T_m + 17.8)\sqrt{TR} \quad (6)$$

282

283 where R_a is extraterrestrial radiation [$\text{J m}^{-2} \text{s}^{-1}$], T_m is mean daily air temperature (based on mean
284 daily minimum and maximums) [$^{\circ}\text{C}$] and TR is temperature range between minimum and
285 maximum values [$^{\circ}\text{C}$]. Extraterrestrial radiation, R_a , is computed within HYDRUS from the
286 latitude and altitude of the study site. Plant water uptake will have been close to nil over the
287 period modelled (1-Dec 2009 to 15-Mar 2010) due to the low temperatures and early crop stage
288 (crops will have been mostly dormant) and therefore no crop cover was assumed and thus the
289 ETp estimate from Equation 6 essentially represents evaporation.

290

291 The five independent soil hydraulic parameters (θ_r , θ_s , α , n and K_s) were optimized within
292 HYDRUS using an inverse technique based on the Levenberg-Marquardt nonlinear minimization
293 method (Simunek et al., 2008) to estimate model parameters by fitting to a subset of the
294 measured moisture content and matric potential data obtained from the logged soil plot
295 monitoring site. Measured moisture content data from the probes at 0.4 and 0.6 m depth and
296 matric potential from the probes at 0.5 m and 1 m depth were used. The moisture content probe
297 at 0.8 m depth was omitted from the modelling process because of the lower than expected
298 moisture content readings due to the presence of an overlying flint layer with low hydraulic
299 conductivity.

300

301 The objective function within the inversion solution contained 5040 data points of equal weight
302 which comprise bi-hourly measurements from the four measurement probes selected for the
303 inversion process (i.e. 1260 data points per measurement probe). Weighting of inversion data
304 was by standard deviation and the maximum number of iterations was limited to 10. Although
305 HYDRUS allows simultaneous optimization of up to 15 parameters, we performed simultaneous
306 optimization for a maximum of six parameters to minimise issues with potential non-uniqueness
307 of the solution. Minimum and maximum limits were set for parameters, θ_r , θ_s and K_s , while no
308 limits were imposed on the empirical shape parameters α and n . The parameter range for θ_r was
309 taken from the Carsel and Parrish (1988) soil catalogue integrated in HYDRUS for sand (5%
310 Vol.) and silty clay loam (9% Vol.). The minimum parameter value limit for θ_s is based on the
311 measured porosity, 20%, of a consolidated chalk sample from the site and the upper limit was set
312 at 49% which represents the highest measured porosity value at core site F3 (Table 1). The
313 minimum value for K_s was taken from the Carsel and Parrish (1988) soil catalogue for silty clay
314 loam (0.7 mmhr^{-1} or $1.7 \times 10^{-2} \text{ md}^{-1}$) and the maximum value was set at $10,000 \text{ mmhr}^{-1}$ (240 md^{-1})
315 which is thought to be an acceptable value for weathered, high flow zones in the Chalk
316 unsaturated zone (Gale and Rutter, 2006; Allen et al, 1997; Foster and Milton, 1974).

317

318 Optimization was performed sequentially for the parameters in each layer (i.e. θ_r , layer 1; θ_s ,
319 layer 1; α , layer 1...) and repeated for each layer in order of depth. For each layer, a final
320 optimization run was performed to check on the validity of the parameter set for that layer.
321 Finally, optimization using selected parameters for all model layers (i.e. θ_r and θ_s , layer 1 – 3; α
322 and n , layer 1 – 3; K_s , layer 1 -3) was run to check on the validity of the complete final parameter

323 set. This procedure was devised because problems were encountered in obtaining an automatic
324 fit for all parameters simultaneously from initial estimates. It was found that the automatic fitting
325 facility in HYDRUS worked only when initial values were relatively close to the final solution.
326 To check that a global minimum has been reached, runs were made using variations on the initial
327 input parameters to ensure that the model consistently converges on the final data set determined
328 above.

329

330 **5. Results**

331

332 **5.1. Field Monitoring**

333

334 ***Soil Porosity***

335

336 Porosity values obtained through shallow core sampling are generally somewhat higher and more
337 variable within the top 0.4 m of the soil profile (ranging from 34 to 49%) and decrease in the
338 deeper (highly weathered chalk) horizons between 0.6 and 0.8 m (ranging from 35 to 41%), see
339 Table 1. Soil porosity values at F1 and F3 are remarkably similar (39 to 49%), while porosity is
340 generally lower (34 to 40%) at F2 which is likely due to the well structured and rooted soil
341 (typical of permanent grassland) and compaction from animal grazing. Gravimetrically measured
342 moisture content values at site F3 are consistent with those measured using the TDR
343 instrumentation (see *Soil Moisture Content* sub-section below) installed at the same location
344 (Table 1), except for the TDR probe at 0.6 m depth which was close to a discontinuous flint
345 layer. Based on these sampling results and inspection of surface soils along the line of the tunnel

346 soil properties seen at sites F1 – F3 are likely to be representative of the soils above the tunnel
347 elsewhere.

348

349 ***Soil Moisture Content***

350

351 Automatically logged TDR volumetric soil moisture content measured over the 2009/2010
352 hydrological year is presented in Figure 6b and ranges between 13 and 33% Vol. These extremes
353 were both recorded from the probe installed at 0.6 m depth. Readings from this layer showed the
354 greatest level of moisture content variability. This is possibly due to the presence of an
355 underlying flint layer that may have caused water to pond throughout the recharge season but
356 allowed drainage in the summer months. Soil moisture content was also quite variable at the
357 shallowest probe depth of 0.4 m, ranging between 15 and 29% Vol. Moisture content variability
358 is attenuated with depth and is least variable (20 - 29% Vol.) within the highly weathered Chalk
359 layer at the probe depth of 0.8 m.

360

361 For the most part, moisture content within the profile remained below 25 to 26% Vol. throughout
362 the warmer summer months during crop growth from mid-April to end-September 2010 when
363 evapotranspiration (*ET_p*) is likely to exceed rainfall. Despite the occurrence of several rainfall
364 events during October 2009, moisture content remained relatively low due to the moisture deficit
365 in the profile built up over the previous summer months. Higher moisture contents were
366 observed throughout the winter months (November 2009 – April 2010) when rainfall largely
367 exceeded *ET_p*. During this period, baseline moisture content values remained fairly constant at
368 ~27% Vol. at 0.4 and 0.8 m depth and ~30.5% Vol. at 0.6 m depth. Moisture content fluctuations

369 at the probe depth of 0.8 m are less than expected which may be explained by the presence of the
370 overlying flint layer at 0.7 m depth which reduces the impacts of precipitation and evaporation.
371 Several sharp peaks in moisture content are observed (on 15/12/09, 26/12/09, 15/01/10,
372 20/01/10, 05/02/10, 15/02/10 and 25/02/10) each of which is followed by a slow decrease. The
373 sharp peaks represent soil moisture content values approaching saturation as a result of large
374 rainfall events and the slow decreases represent soil drainage.

375

376 *Matric Potential*

377

378 Matric potential readings over the 2009/2010 hydrological year are shown in Figure 6c. The
379 matric potential rose sharply during the wetting phase (from below the probe measurement limit
380 of -8.5m, to -0.5 m H₂O), which took place from the end of October to mid-November 2009, a
381 process which spanned approximately two weeks. Measurements prior to ~Nov-1 2009 which
382 are below the probe measurement limit of -8.5 mH₂O are therefore regarded as inaccurate.
383 Following 1-Nov 2009, wetting was detected first by the top probe (0.5 m depth) and then
384 progressively with increasing depth. Matric potentials remained greater than -0.5 m H₂O
385 throughout the profile from mid-November 2009 to mid-April 2010 indicating that drainage was
386 taking place throughout this period (the winter recharge season).

387

388 Reduction in matrix potential caused by drying of the soil started to take place around mid-April
389 2010, first occurring in the top of the soil zone and gradually progressing through the profile to
390 the deepest probe at 2 m depth. Matric potentials throughout the profile dropped sharply
391 following the initial drying and neared the measurement limit of -8.5 m H₂O towards mid-May

392 2010 when the devices appear to have cavitated due to lack of sufficient water to re-fill.

393 Therefore measurements beyond mid-May 2010 are regarded as inaccurate.

394

395 *Subsurface Discharge*

396

397 Discharge rates recorded at the drip collection sites (D1, D2 and D3) in the subsurface tunnel

398 varied in both magnitude and timing over the monitoring year (as shown in Figure 6d-f).

399 Discharge rates vastly exceeded the measured hydraulic conductivity, K_s , of the Chalk matrix

400 ($9.6 \times 10^{-3} \text{ mmhr}^{-1}$) and varied from 0.32 to 3.17 (mean 1.00), 0.02 to 3.03 (mean 0.28) and zero

401 to 2.75 (mean 0.46) mmhr^{-1} at D1, D2 and D3, respectively. The mean discharge rates at each

402 drip site recorded over the high discharge period (15-Nov 2009 to 15-May 2010) were 1.42, 0.47

403 and 0.90 mmhr^{-1} at D1, D2 and D3 while mean discharge rates over the drier summer months

404 (15-May to 30-Sept 2010) were 0.68, 0.10 and 0.01 mmhr^{-1} .

405

406 Three major discharge events are observed to have taken place over the high discharge period.

407 These events are most distinct at D2 where maximum peak discharge rates of 2.00, 1.90 and 3.03

408 mmhr^{-1} were observed on 7-Dec 2009, 23-Jan 2010 and 27-Feb 2010 respectively. These events

409 were less distinct at the other sites where several smaller events were also observed. The gaps in

410 discharge rate data at D1 are due to repeated problems that were encountered with the data

411 logger.

412

413 The characteristic shape of the major peak discharge events is similar to the shape of the

414 drainage curves from the soil zone where soil moisture content rapidly rises and then slowly

415 drains back. The sharp peaks in discharge are followed by a slow decay; it generally takes ~24 hr
416 for an event to peak and several days for the discharge rate to return to baseline level or pre-peak
417 rate.

418

419 **5.2. *Modelled Soil Hydraulic Responses and Drainage Flux***

420

421 Figure 7b - d presents simulated moisture content and matric potential data plotted with observed
422 data for each of the respective measurement depths within the top 1 m of the soil plot profile
423 (moisture content at 0.4 and 0.6 m, and matric potential at 0.5 and 1 m depth) for the period of 1-
424 Dec 2009 to 15-March 2010. The model generally predicts moisture content and matric potential
425 values that are in good agreement with the observed data, although fails to match the magnitude
426 some of the extreme minima and maxima. The final model parameter sets applied to the model
427 period 1-December 2009 to 15-March 2010 (2520 hours) are presented in Table 2. A final square
428 of the correlation coefficient, r^2 , of 0.96 for the fit between model estimates of moisture content
429 and matric potential and observed moisture content and matric potential was obtained.

430

431 The HYDRUS simulated soil drainage from 1 m depth for the period of 1-Dec 2009 to 15-March
432 2010 is presented in Figure 7e. The soil drainage output flux at 1 m depth is remarkably smooth
433 in comparison to observed rainfall at the ground surface (Figure 7a) which is a good indication of
434 soil zone buffering effects. Three distinct soil drainage peaks of substantial magnitude (labelled
435 P1, P2 and P3 in Figure 7e) are estimated to have taken place at maximum rates of 2.65, 2.10 and
436 2.23 mmhr⁻¹ on 06-Dec 2009, 22-Jan and 26-Feb 2010, respectively. The duration of these

437 drainage events (based on the time intervals from peak to half peak height) was 12, 28 and 32
438 hours with cumulative drainage of 11.9, 14.72 and 21.17 mm for P1, P2 and P3, respectively.
439

440 Each of these major drainage events followed rainfall events greater than 2 mmhr^{-1} . However not
441 all rainfall events of this magnitude resulted in major drainage events. This is related to the soil
442 moisture content through the profile at the time of a given rainfall event. When the moisture
443 content throughout the profile was near saturation at the time of a major rainfall input, rapid
444 drainage ensued whereas when the moisture content throughout the profile was significantly
445 below saturation, a slower and less intense drainage ensued. The latter is clearly the case for the
446 smaller drainage events predicted to have taken place on 02, 15, 27-Dec 2009, 17-Jan 2010 and
447 17-Feb 2010 (Figure 7e), which all followed substantial rainfall input ($>1.5 \text{ mmhr}^{-1}$), but never
448 exceeded drainage rates of 0.32 mmhr^{-1} .

449

450 In Figure 8 we present the modelled SMC curve for layer 2 (0.3 to 0.6 m depth) alongside data
451 from the tensiometer at 0.4m depth and the moisture probe at 0.5m depth, collected during the
452 model period of 1-Dec 2009 to 15-Mar 2010. Data corresponding to the three soil drainage peak
453 events P1, P2 and P3, identified in Figure 7e, are highlighted. Data describe three distinct trends;
454 i) a relatively horizontal band, falling below the modelled SMC curve, corresponding to data
455 from December 2009, where the measured moisture content does not vary much with matric
456 potential (it mainly falls between 26.5 and 27.5 % Vol.), ii) a band corresponding to the
457 remainder of the modelling period, where there is a strong relationship between moisture content
458 and matric potential, which is described well by the modelled SMC curve and iii) a series of
459 'wetting loops' which plot above the main data cloud and the SMC curve, i.e. relatively high

460 moisture contents for a given matric potential (the temporal progression of these loops is
461 indicated using arrows). Two of these wetting loops correspond to the peak drainage events P2
462 and P3; two smaller loops are visible corresponding to more minor wetting events which took
463 place on 16 and 24- Feb 2010. These wetting loops are only a few hours in duration, and are
464 likely to represent an artefact of the instrumentation; the rise in matric potential on rapid wetting
465 takes a few hours to penetrate the porous ceramic tips of the tensiometers, so their response lags
466 behind that of the moisture probes. The lack of variation in moisture content seen during the first
467 month of the modelling period (Dec 2009), despite the wide fluctuations in matric potential,
468 suggests the development of heterogeneous flow pathways in the upper 0.5m – i.e. the
469 tensiometer intersected a wetting pathway, whereas the moisture probe which is 1.5 m away
470 laterally, did not. Later in the modelling period (Jan 2010 onwards) both probes respond
471 together, which indicates that more homogeneous flow conditions had developed. Within this
472 main band representing Jan – Mar 2010, the vertical spread in the data of around 0.5 % Vol.
473 moisture content reflects hysteresis. This relatively small degree of hysteresis is comparable to
474 those seen at similar depths in the Chalk presented in Ireson et al., (2006), and allows the
475 behaviour during this period to be adequately represented by a single SMC curve.

476

477 ***5.3. Comparison of Estimated Soil Drainage and Subsurface Discharge***

478

479 Here we compared the HYDRUS simulated drainage flux from 1 m depth to the subsurface
480 tunnel discharge rates at D1, D2 and D3 over the modelled winter recharge season (1-Dec 2009
481 to 15-Mar 2010). Both the magnitude of the cumulative discharge and the timing and shape of

482 peak events (P1, P2 and P3) are examined and differences in responses are linked to factors such
483 as unsaturated thickness and fracture spacing.

484

485 ***Geological Features***

486

487 The total number of logged fractures (non-stratabound fractures which extend beyond the height
488 of the exposed tunnel panel) within 100 m on either side of each drip collection site was used to
489 calculate the mean fracture spacing around each site. Average fracture spacing is least near D1
490 (4.6 m) followed by D3 (7.1 m) while D2 has the greatest fracture spacing (9.2 m). Fracture
491 spacing at D2 and D3 are close to the average value and that at D1 is around half the average
492 spacing for the entire tunnel (8.5 m). Stratigraphic logging of visible strata exposed in the tunnel
493 walls was used to identify the main sedimentary features present in 10 m sections of chalk above
494 each drip collector. The main features present above D1 include six continuous flint bands, two
495 marl bands and two layers showing marl flaser structure while three continuous flint bands and
496 eight marl bands are present above D2. It was not possible to log the features above D3 due to
497 lack of exposure of the relevant stratigraphy. As previously reported the total unsaturated chalk
498 thickness above D1 and D2 is 45 m, and 30 m above D3 (see Figure 1b).

499

500 ***Hydraulic Responses***

501

502 Simulated cumulative drainage from the soil zone (mm) and observed cumulative subsurface
503 discharge (mm) values reported over the modelled recharge season (1-Dec 2009 to 15-March
504 2010) are presented in Table 3. The cumulative discharge at D1 is 28 times greater than the

505 estimated cumulative drainage from the soil zone while that at D2 is 10 times and that at D3 is
506 20 times greater. The fact that tunnel discharges are much greater than the soil drainage estimate
507 indicates a large degree of flow focussing, i.e. convergent flow in the unsaturated zone of the
508 Chalk. Differences between the cumulative discharges at the different drip sites imply variations
509 in surface catchment area that feed each drip collector (see Table 3).

510

511 To compare the hydraulic responses of drip collectors D1, D2 and D3 in the tunnel to the
512 individual peak soil drainage events P1, P2 and P3, details of the data presented in Figure 7 at the
513 times of the soil drainage events is re-plotted in Figure 9 and a summary of key statistics relating
514 to each response is presented in Table 4. The time lag between the peak event in the soil drainage
515 signal and in the tunnel was the least for D3 (between 11 and 18 hours) where the thickness of
516 unsaturated chalk is least (30m). Larger time lags of between 18 and 27 hours at D2 and between
517 99 and 125 hours at D1 occur where the unsaturated chalk thickness is greatest at 45m (Figure 9
518 and Table 4).

519

520 In all cases peak breadths observed at the drip sites are greater than those estimated for soil
521 drainage at 1 m depth. The mean duration of a peak discharge event (characterised as time from
522 peak discharge rate to half that rate) was the longest at D1 (376 hr) followed by D3 (125 hr) and
523 the shortest at D2 (50 hr) while all peak soil drainage event durations were between 12 and 32 hr.
524 The ratios of peak discharge event duration at each subsurface drip site to that of the
525 corresponding soil drainage peak events are shown in Table 4. The greatest ratio is observed at
526 D1 where a peak discharge event lasted 188 times longer than the peak soil drainage event. At

527 D3 peak discharge events lasted an average of 48 times longer and D2 showed the smallest ratio
528 at an average of 20 times longer than the corresponding soil drainage event.

529

530 A complete analysis of the hydraulic response at D1 to soil drainage events was not possible due
531 to gaps in the data caused by logger malfunction. However, the available data suggests that
532 behaviour at this site is significantly different to the others. As shown in Figure 9 and Table 4,
533 there is a much longer delay (lag) in the hydraulic response at D1 where the cumulative
534 discharge and peak discharge event duration far exceed those at the other two sites. This may be
535 related to the relatively close fracture spacing observed at this site compared to other sites.

536

537 **6. Discussion**

538

539 In this study we have developed a quantitative method for assessing flow in the Chalk
540 unsaturated zone through a fusion of intensive field monitoring and numerical modelling of the
541 soil zone. Comparison of hydraulic responses observed at tunnel drip monitoring sites deep
542 within the Chalk unsaturated zone with the soil zone drainage response is used to develop a
543 better understanding of lithological controls on the nature of flow processes in a thick
544 unsaturated zone within the fractured Chalk.

545

546 The estimated rates of soil drainage entering the top of the Chalk unsaturated zone and the
547 observed discharge rates in the deep subsurface at drip monitoring sites vastly exceed the
548 measured K_s of the Chalk matrix over the entire recharge season and hence observed discharge at
549 this site is inferred to be largely facilitated via the fracture network. Further, lag times between

550 peak soil drainage events (at 1 m depth) and peak discharge events in the tunnel at 30 to 45 m
551 depth (Table 4) varied between 11 and 125 hours (~0.5 – 5 days). Following Ireson et al., (2006)
552 the characteristic time equation for pressure diffusion through the Chalk matrix (Barker, 1993)
553 is:

554

$$t_{cb} = b^2 S_s / K_s \quad (7)$$

555

556 where t_{cb} is characteristic time for pressure diffusion through a matrix block [d^{-1}], b is the matrix
557 block width [m], and S_s is the specific storage [m^{-1}]. In our case b represents the thickness of the
558 unsaturated zone above a given tunnel discharge monitoring site (30 or 45 m), S_s is assumed to
559 be the minimum reported in Allen et al., (1997) for the Upper Chalk in Northern England ($0.66 \times$
560 $10^{-5} m^{-1}$) and K_s taken as the measured value from a core sample at the site ($2.3 \times 10^{-4} md^{-1}$).
561 These input parameters yield a characteristic time of 25 and 58 days through 30 and 45 m of
562 unsaturated chalk, respectively. Such values are much greater than the observed lag times
563 between peak soil drainage events and the corresponding peak discharge events at the monitoring
564 sites in the tunnel of 0.5 to 5 days. We infer from these calculated characteristic times that
565 pressure diffusion of recharge pulses through the deep unsaturated zone resulting from peak soil
566 drainage events could not have been transmitted via the matrix and were therefore facilitated
567 through the fracture network. Although we cannot discount matrix flow altogether, its relative
568 contribution during the winter recharge period is therefore thought to be minimal. Summer
569 discharge, when there is no input to the system from the soil zone because of a moisture deficit,
570 is seen most strongly at drip collectors D1 and D2 (see Figure 6d and e) and is thought to
571 represent drainage of stored water within the unsaturated zone. Discharge ceased at D3 from 12-

572 June 2010 to the end of the hydrological year (30-Sept 2010), but continued at the other two sites
573 (D1 and D2) where the thickness of unsaturated chalk is greater (Figure 6d-f). The calculated
574 characteristic time for diffusion (25 days at D3 and 58 days at D1 and D2) suggests that
575 discharge up to ~1-May 2010 (at D3) and ~1-June 2010 (at D1 and D2) may have originated
576 partially from the matrix resulting from the last drainage event from the soil zone which occurred
577 ~1-Apr 2010. Continued discharge following these dates is likely to have been largely derived
578 from poorly connected fractures and or small apertures on fracture surfaces. The Chalk matrix
579 itself has pore throats (typically less than 1 μm) that are far too small to allow drainage when
580 there is no input into the system from the surface (Price et al., 1976).

581

582 The results of this study suggest that flow at depth within the unsaturated zone is focussed in a
583 few fractures. This can be seen from the magnitude of cumulative tunnel discharge at each of the
584 monitoring sites which vastly exceeded the estimated soil drainage at 1 m depth (Table 3). We
585 infer from this that the cumulative discharge recovered at the tunnel monitoring sites represents
586 surface catchment areas of between 10 m^2 and 28 m^2 (Table 3). Results of tracer tests presented
587 by Allshorn (2008) also indicated a lateral component to unsaturated zone flow, consistent with
588 flow convergence between the surface and the tunnel. In Allshorn's studies, tracer breakthrough
589 was observed in the tunnel at ~38 m below and at a horizontal distance of 50 m from the point of
590 injection at the surface. This suggests that in some cases flow may be focused from a larger
591 catchment area than that estimated here, or alternatively, that catchment areas may have elongate
592 rather than square shapes. These results are broadly consistent with the observed fracture dip in
593 the tunnel exposure (mean dip of 60° with a range of dip directions). If a fracture is continuous
594 from the tunnel to the ground surface through an unsaturated thickness of 45 m, this fracture

595 would intersect the ground surface with a horizontal displacement of ~26 m from its starting
596 point in the tunnel. The flow convergence observed in this study and in that of Allshorn (2008) is
597 likely to result from flow along fractures with this dip. Flow is likely to be distributed evenly
598 near the ground surface and become progressively focussed with depth which explains the
599 observed highly inhomogeneous distribution of flow entering the tunnel.

600

601 Recently Ireson and Butler (2011) looked at the occurrence of rapid bypass flow in fractures
602 within the unsaturated zone of the Southern Chalk of the Pang and Lambourn Catchments. They
603 showed that a small number (some 3%) of rainfall events give rise to bypass flow through the
604 fracture system which causes a significant response of the water table on time scales of less than
605 1 day. They also modelled the unsaturated zone response using a 1D dual continua approach
606 which indicated that bypass flow is enhanced by relatively low matrix hydraulic conductivities of
607 around 0.02 mmhr^{-1} ($5 \times 10^{-4} \text{ md}^{-1}$) and low fracture porosities of around 0.1%. Where matrix
608 hydraulic conductivity is high ($>0.08 \text{ mmhr}^{-1}$), their model indicated that fracture flow is never
609 strongly activated while for high fracture porosities ($>0.1\%$ with a fracture spacing of 0.65 m)
610 the saturation levels within fractures never reach levels that allow rapid bypass flow. This
611 prompted them to suggest that at depths of around 15 m only 1 in 10 fractures may be
612 contributing to bypass flow which reduces the effective fracture porosity from 1% to 0.1%. This
613 focussing of flow is broadly consistent with the extent of flow convergence observed in our
614 study where the tunnel discharges exceed estimated soil drainage rates by factors of 10 to 28.
615 Similar observations in other rock types are reported in the literature, an example being water
616 inflow into a tunnel in unsaturated fractured Gneisses at Stripa, Sweden where around 35% of
617 the flow is delivered by only 2% of the wall area and 70% of the wall area contributed no flow at

618 all (Newman, 1987). Likewise, Bodvarsson et al., (2003) investigated flow focussing under
619 unsaturated conditions in fractured tuffs using a modelling approach which suggested that flow
620 became organized into discrete flow pathways at depth with flow rates around six times the
621 infiltration rate, broadly consistent with our findings. Other modelling studies of fracture
622 pathway development in soluble rocks such as limestones (Hanna and Rajaram 1998, Bloomfield
623 et al., 2005) found that once a preferred flow pathway develops near the infiltration boundary, it
624 is highly persistent with distance in the direction of flow and dissolution simply serves to
625 enhance the existing structure. In their studies, this leads to the development of large pipe-like
626 features similar to those observed in the tunnel at our site.

627

628 The results of the modelling studies described above suggest that the flow convergence observed
629 in this study is likely to reflect the presence of dominant high aperture flow pathways that
630 probably originate within the top 15 m of the unsaturated zone and extend to the water table.
631 This is supported by the presence of the observed water bearing pipe-like features and open
632 voids in the tunnel walls which suggests that dissolution has led to the development of sparse
633 preferential flow pathways within the chalk unsaturated zone which are persistent to the level of
634 the tunnel and were probably established many thousands of years ago. In Figure 10 we present a
635 conceptualization of flow convergence through 50 m of the unsaturated zone in the Chalk
636 containing conjugate fractures (with 60° dips) during recharge season conditions. This figure
637 shows flow in many closely spaced fractures in the top 5 m, with a zone of flow convergence
638 from 5 – 15 m where major fracture flow pathways are developed. Flow in these fracture
639 pathways creates solutionally enlarged pipe-like features and voids that dominate flow, as
640 observed in the tunnel at 30 – 45 m depth. This conceptualization illustrates how flow becomes

641 focussed in progressively fewer fractures with increasing depth and explains other observations
642 such as the observed horizontal displacement of water between the surface and tunnel in tracer
643 tests (Allshorn, 2008) and our estimates of catchment area from a comparison of soil drainage
644 rates and monitored discharge in the tunnel.

645

646 The matrix hydraulic conductivity of the Yorkshire Chalk ranges from $1-33 \times 10^{-4} \text{ mmhr}^{-1}$ (Bell
647 et al., 1999) which is significantly lower (by a minimum of an order of magnitude) than the
648 maximum matrix hydraulic conductivity found to permit the development of focussed fracture
649 flow in the study by Ireson and Butler (2011). This matrix hydraulic conductivity is therefore
650 consistent with the existence of such preferential flow pathways in the Chalks at our site. Once
651 established, such features would dominate flow during high recharge events facilitating rapid
652 bypass flow through the unsaturated zone to the water table. The location of high discharges in
653 the tunnel are thought likely to represent places where the tunnel has intersected such pre-
654 existing high flow pathways rather than resulting from the presence of the tunnel itself. The
655 observation that flow rates vary widely along the length of the tunnel and that the highest inflows
656 are restricted to small areas of the tunnel ceiling and walls is consistent with this interpretation.
657 Within the tunnel there is some degree of correlation between high fracture intensity and the
658 highest inflow rates, longest lags and drainage times following peak events, illustrated
659 particularly by the drip site D1. It is not known if the zones of high fracture intensity seen in the
660 tunnel extend to the surface but such variations in fracture intensity would have provided the
661 initial heterogeneities that triggered the development of a network of enhanced flow pathways
662 resulting in flow focussing.

663

664 7. Conclusion

665

666 In this study we presented high resolution time series matric potential and moisture content data
667 from the soil zone coupled with discharge monitoring data from deep within the Chalk
668 unsaturated zone at a rural site in Northern England. Intensive field data collection and
669 geological logging was carried out at our site over the 2009/2010 hydrological year. Field data
670 were used to inform the development of a HYDRUS 1D soil water model which was used to
671 estimate drainage fluxes from the soil zone at 1 m depth. Discharge points (drip sites) in a tunnel
672 located between 30 and 45 m below ground surface were monitored in order to quantify the
673 effect of the Chalk unsaturated zone on the modelled soil drainage signal and to identify flow
674 mechanisms and their lithological controls. Identification of these flow mechanisms is important
675 for predicting future trends in water quality and quantity in fractured Chalk aquifers. The main
676 findings of this study can be summarised as follows:

677

678 a) As with most aquifer systems, the soil zone controls recharge to the Chalk unsaturated
679 zone and acts as a buffer to rainfall input by absorbing and slowly releasing it either
680 downwards as recharge or upwards as evapotranspiration. Our soil monitoring and
681 HYDRUS modelling show how the magnitude of a given recharge event from the soil
682 zone is dependent on the intensity of a given rainfall event, and more importantly, the soil
683 moisture content at the time of the event. During the modelled recharge period (1-Dec
684 2009 to 15-Mar 2010) only rainfall events $>2 \text{ mmhr}^{-1}$ produced significant soil drainage
685 peaks.

- 686 b) Winter recharge through the Chalk unsaturated zone below the soil is largely facilitated
687 via a network of non-stratabound joints, observed in the tunnel. Estimated drainage
688 leaving the soil zone and measured discharge entering the subsurface tunnel greatly
689 exceed the rate at which the chalk matrix can transmit water. In addition, the rapid
690 responses to soil drainage seen in the tunnel (between 0.5 and 5 days) suggest flow via
691 fractures.
- 692 c) The cumulative discharge at the subsurface tunnel drip sites over the recharge season was
693 between 10 and 28 times greater than the cumulative soil drainage input at the surface,
694 indicating that flow pathways converge resulting in flow focussing at depth in the
695 unsaturated zone. This is consistent with the inhomogeneous distribution of flow entering
696 the tunnel. Flow convergence is thought to occur dominantly in the top 15 m of the
697 unsaturated zone and leads to the development of solutionally enlarged pipe-like features
698 and voids that dominate flow, as observed in the tunnel at 30 – 45 m depth.
- 699 d) The results of this study have major implications for contaminant transport and
700 groundwater flooding. The existence of highly focussed fracture flow between the soil
701 surface and the inflow sites in the subsurface tunnel suggests that contaminants from the
702 surface could rapidly reach the water table even through thick unsaturated zones of the
703 Chalk. Soil drainage events in response to high rainfall during the recharge season could
704 therefore result in the rapid onset of water table rise and lead to groundwater flooding.
705 The improved understanding of the short time scale flow processes and the nature of
706 fracture controlled flow in the unsaturated zone of the Chalk resulting from this study
707 will help to better inform future simulations and predictions of such phenomena.

708

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710

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722

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815

816 **Figure Captions**

817

818 Figure 1. a) The Chalk outcrop in England and location of the field site; b) Cross-section of the
819 field site indicating the location of the subsurface tunnel drip monitoring, soil core sampling,
820 soil zone monitoring sites. The base of the Chalk is at approximately zero mASL at this location.

821

822 Figure 2. Soil profile pit at soil coring site F3 (soil monitoring installation pit).

823

824 Figure 3. Equal area stereographic projection of fracture poles showing a conjugate fracture set
825 and the mean principal orientation of each set (GEOrient 9.4.0., Holcombe (2008)) based on 128
826 non-stratabound fracture orientations measured in the subsurface tunnel exposure.

827

828 Figure 4. Soil monitoring site above the subsurface tunnel indicating the installation design and
829 position of soil monitoring probes.

830

831 Figure 5. Subsurface tunnel drip monitoring unit.

832

833 Figure 6. Time series rainfall (a) moisture content (b) and matric potential (c) at the soil plot
834 monitoring site along with subsurface discharge at tunnel drip collector D1 (d) D2 (e) and D3 (f)
835 over the 2009/2010 hydrological year (01-Oct 2009 to 30-Sep 2010).

836

837 Figure 7. Times series rainfall and ETp (a), HYDRUS simulated moisture content along with
838 observed data (b), HYDRUS simulated matric potential along with observed data (c and d),
839 HYDRUS simulated soil drainage at 1 m depth (e) and subsurface discharge at D1, D2 and D3
840 (f) over the winter recharge season simulation period (01-Dec 2009 to 15-Mar 2010).

841

842 Figure 8. Observed soil moisture characteristic (SMC) curve along with the HYDRUS simulated
843 curve at 0.45 m depth.

844

845 Figure 9. HYDRUS simulated drainage flux from 1 m depth at the soil plot monitoring site along
846 with subsurface discharge at D1, D2 and D3. a) Peak P1 and response at D2 and D3; b) Peak P1
847 and response at D1; c) Peak P2 and response at D1 and D2; d) Peak P2 and response at D1; e)
848 Peak P3 and response at D2 and D3; and f) Peak P3 and response at D1.

849

850 Figure 10. Conceptualization of recharge season flow convergence in the Chalk unsaturated zone
851 via solutionally enlarged fracture pathways showing the development of major convergence in the
852 top 15 m.

853

854 **Tables**

Table 1. Moisture content, dry bulk density and porosity values from soil zone core samples												
Core extraction site:		F1 –field peas			F2 –grazed perm. grassland			F3 –winter wheat				
Sample date:		03.2010			11.2010			03.2010				
Type	Sample Depth (m)	MC (% Vol)	DBD (kg/m ³)	Por. (%)	MC (% Vol)	DBD (kg/m ³)	Por. (%)	TDR (%)	MC (% Vol)	DBD (kg/m ³)	Por. (%)	
Soil	0 – 0.25	18.7	1360	49	31.8	1590	40	-	29.7	1340	49	
Soil	0.25 – 0.40	17.8	1460	45	24.7	1750	34	27 †	29†	1420†	47†	
Chalk	0.60	31.2	1660	39	35.6	1770	35	30	23	1660	39	
Chalk	0.80	19	1610	41	-	-	-	27.5	26.5	1640	39	

855

856 MC = moisture content, DBD = dry bulk density, Por. = porosity, TDR = Logged TDR probe

857 measurement at F3 –measurement at 0.25 - 0.4 m depth in table is TDR point measurement at 0.4

858 m, †09.2010.

859

Table 2. Final soil hydraulic input parameters for HYDRUS simulated drainage flux

Layer	classification	Depth (m)	θ_r (% Vol)	θ_s (% Vol)	α (mm)	n	K_s (mmhr ⁻¹)
1	Silty clay loam	0.0 - 0.3	5	45	0.001	3.08	420
2	Silty clay loam and highly weathered chalk	0.3 – 0.6	5	29	0.016	1.04	720
3	Highly weathered chalk	0.6 – 1.0	9	35	0.191	1.05	8130

860

861

Table 3. Cumulative soil drainage estimate and tunnel discharge over the winter recharge season (1 December 2009 - 15 March 2010 (2520 hrs))					
Input	Cumulative rainfall: 206 mm				
	Cumulative soil drainage estimate (1 m depth) 168 mm				
Subsurface Discharge	Location	Depth (m)	Fracture spacing (m)	Cumulative discharge (mm)	Estimated catchment area (m ²)
	D1	45 m	4.6	4648‡	28
	D2	45 m	9.2	1643	10
	D3	30 m	7.1	3348	20

862

863 ‡ Corrected from measured cumulative total (3254 mm) which was missing 758 hrs data

864

Table 4. Mean hydraulic response times at D1, D2 & D3 to peak soil drainage events (P1, P2 and P3)				
Hydraulic response	P1 – P3	D1	D2	D3
Mean peak lag time (hr)	-	112 (4.6 days) (range: 99 – 125)	23 (<1 day) (range: 18 – 27)	15 (<1 day) (range: 11 – 18)
Mean event duration (hr)	24 (1 day) (range: 12-32)	376 (15.6 days) (based on 1 event)	50 (~2 days) (range: 34 – 64)	125 (~5 days) (range: 96 – 166)
Mean extension ratio	-	188 (based on 1 event)	20 (range: 4 – 32)	48 (range: 14 – 83)

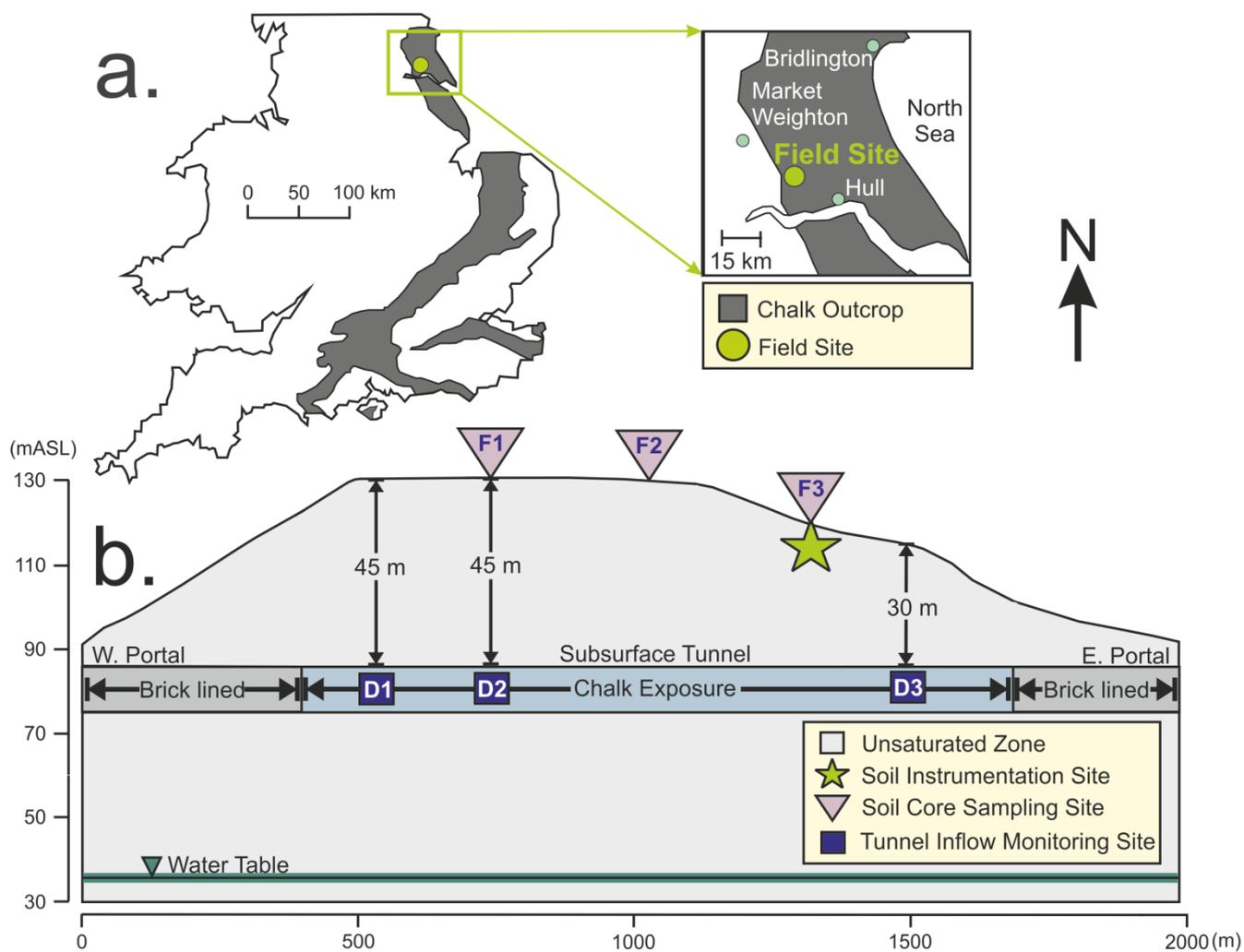


Fig. 1

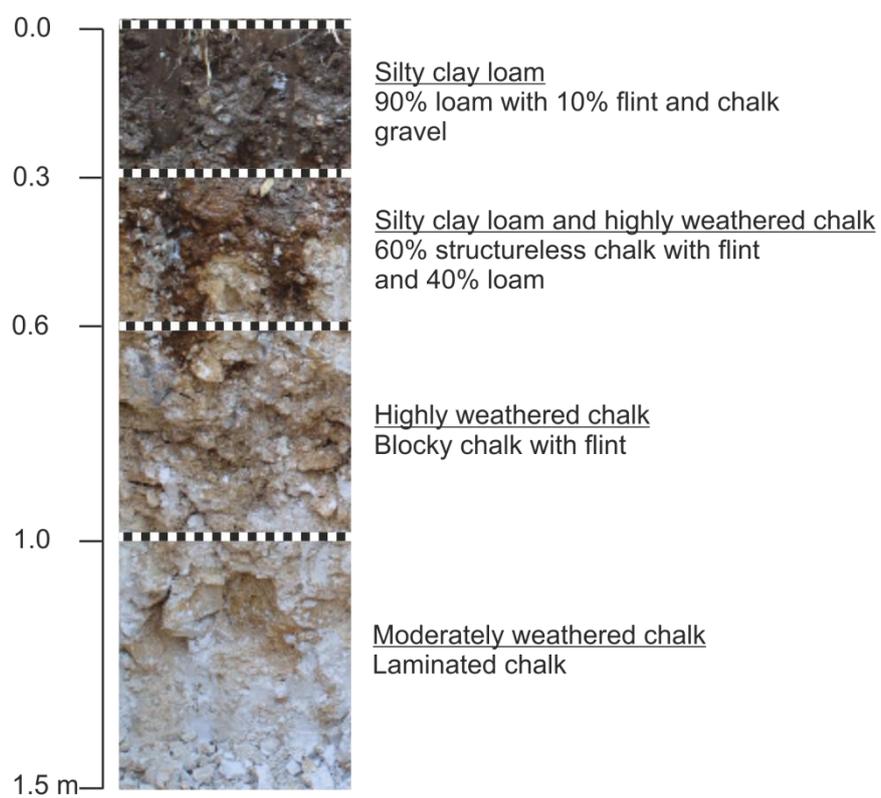


Fig. 2

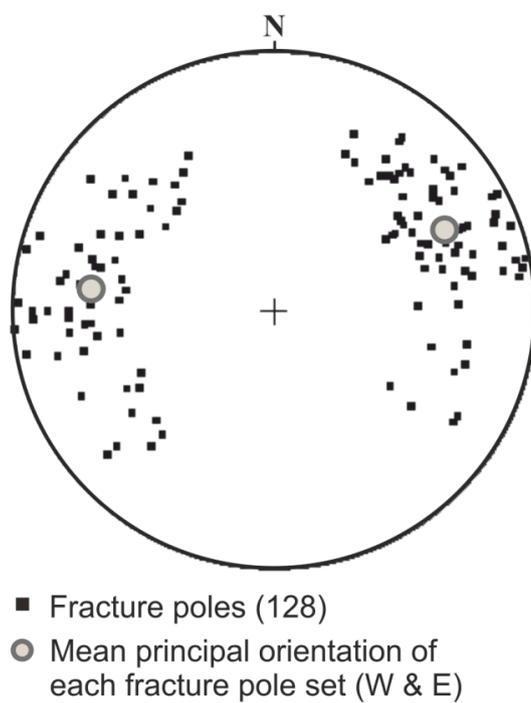


Fig. 3

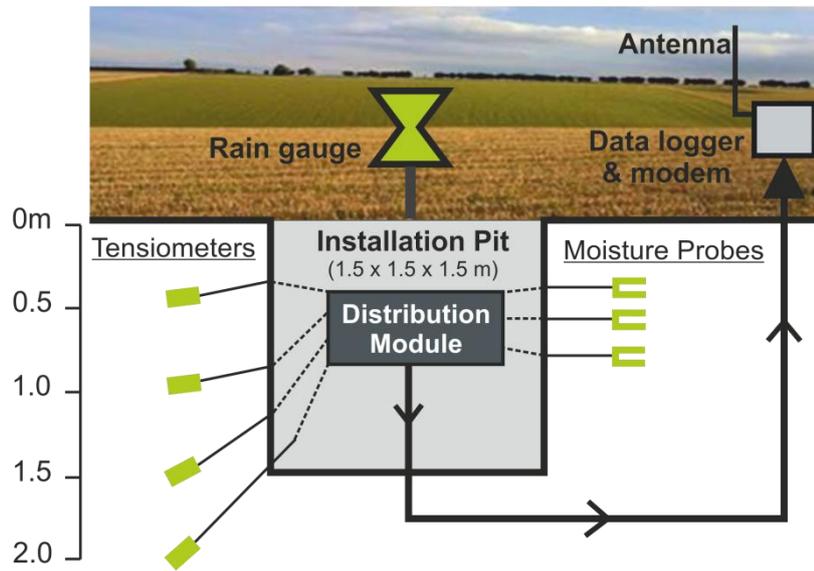


Fig. 4

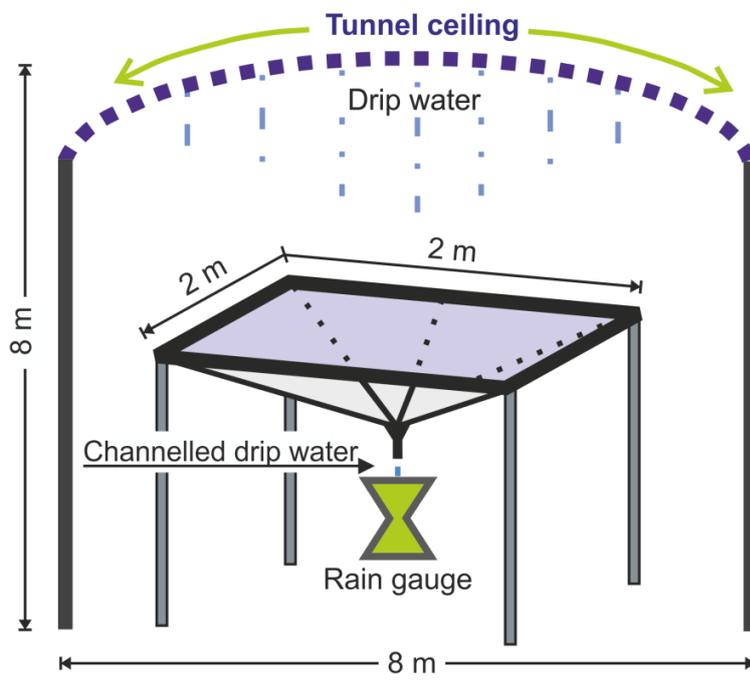


Fig. 5

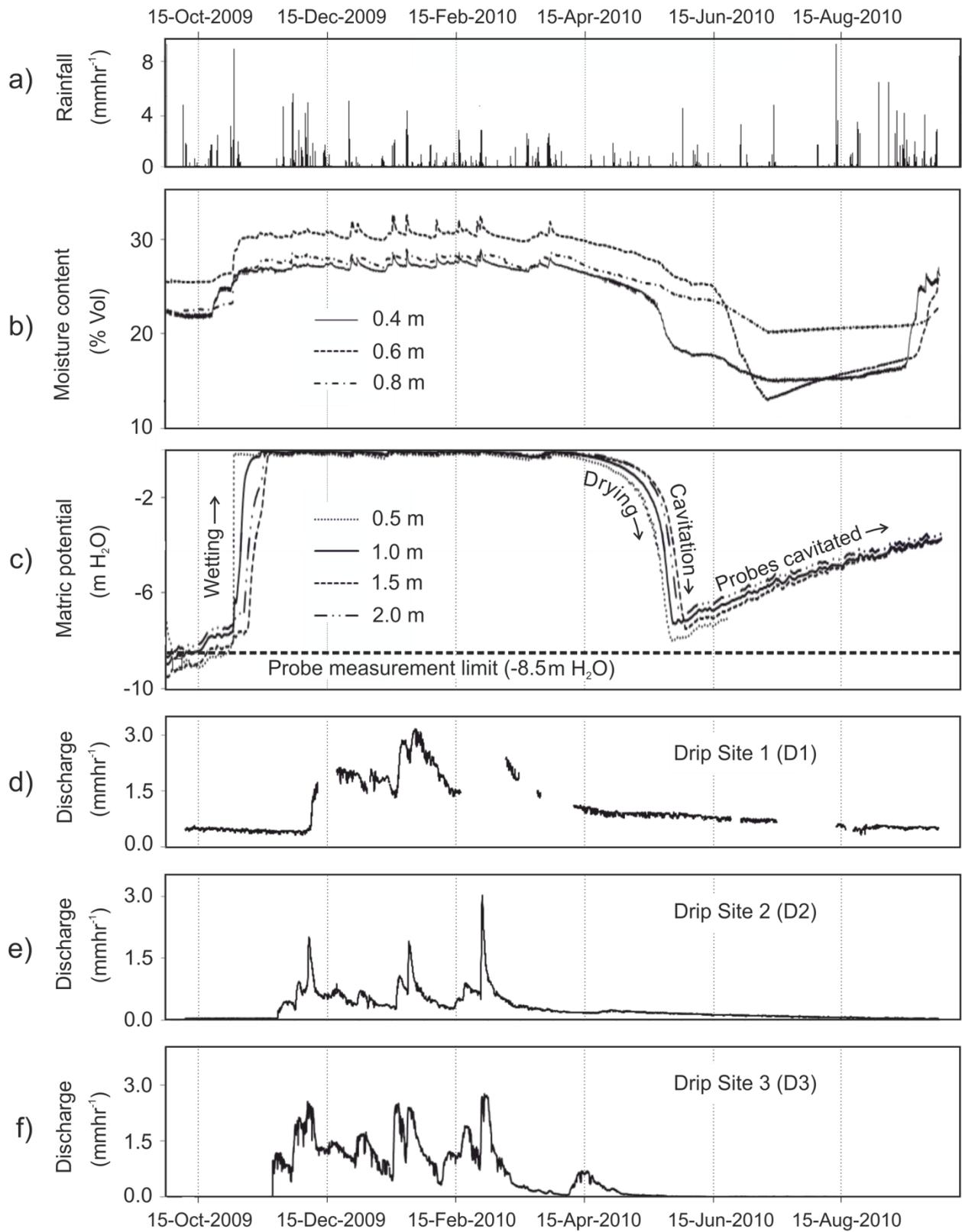


Fig. 6

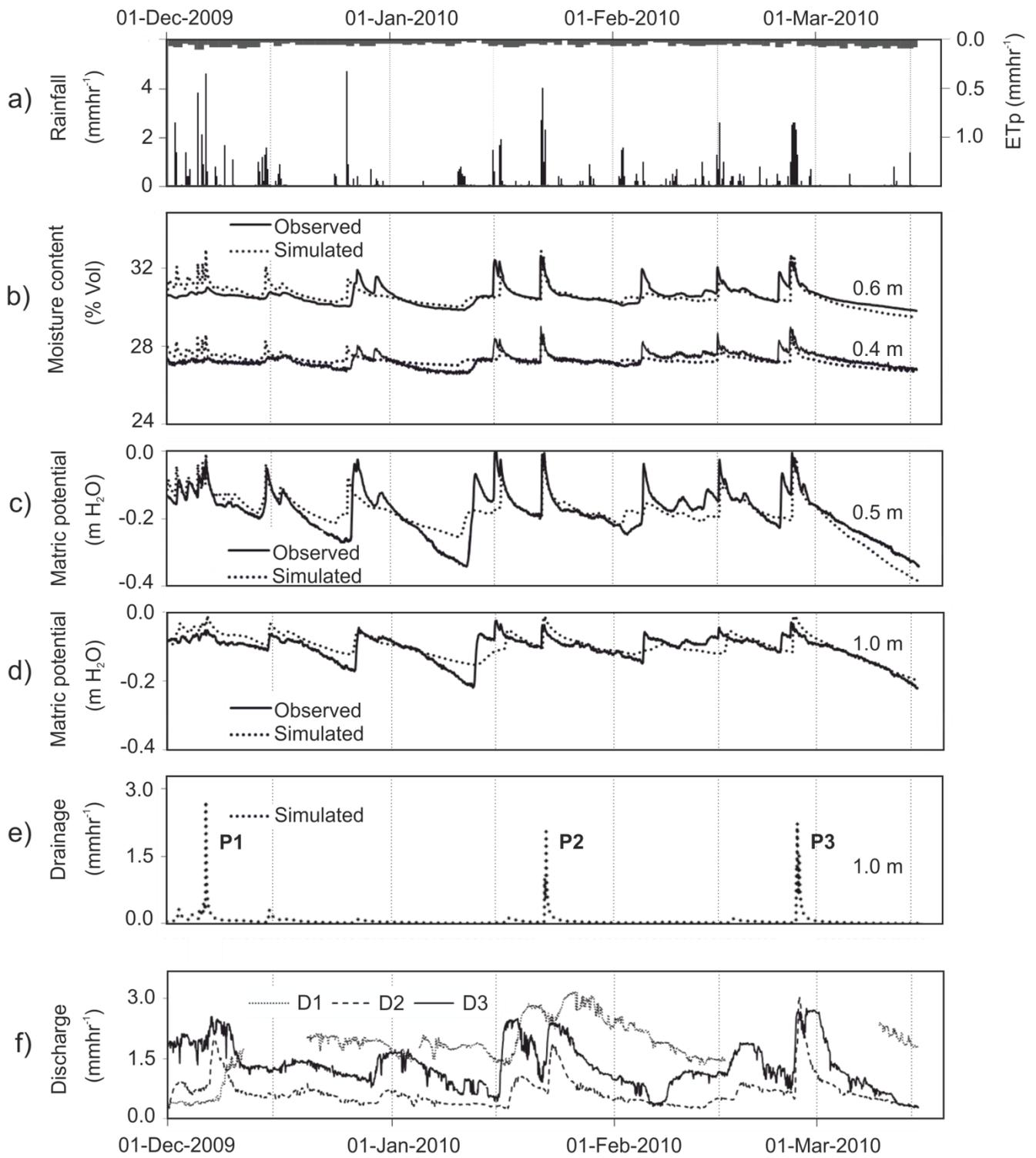


Fig. 7

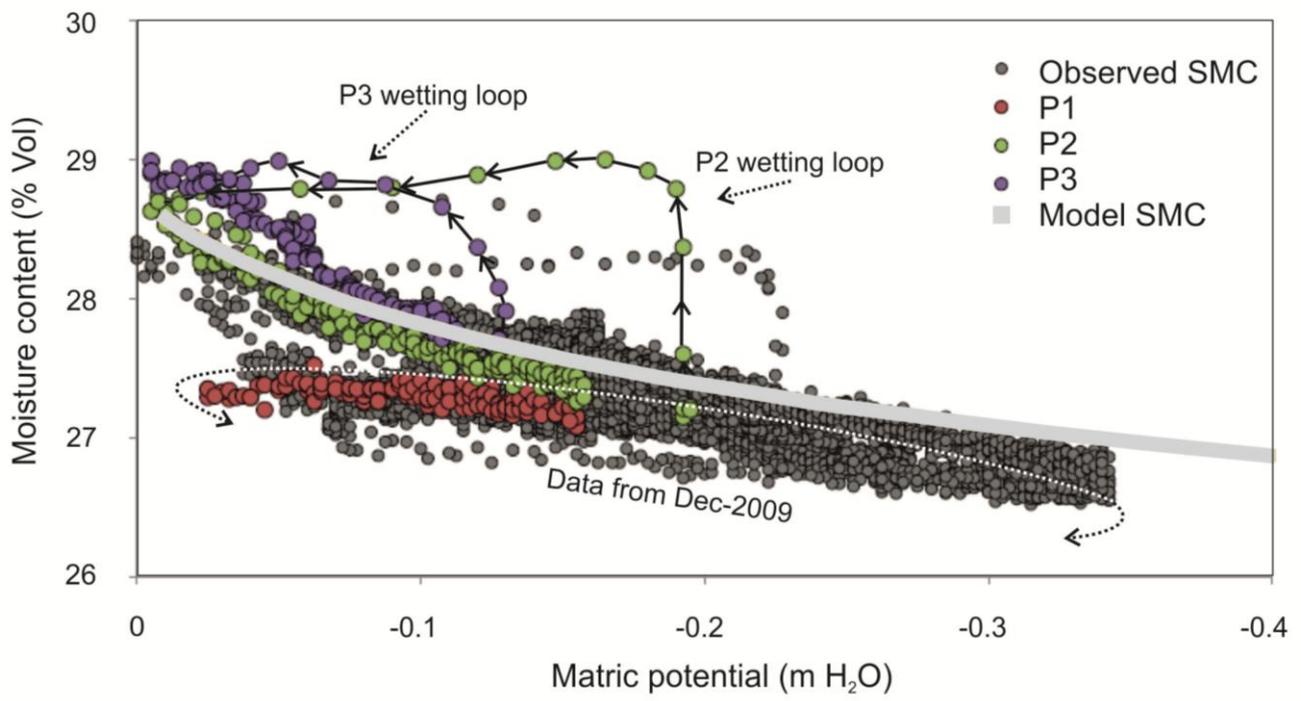


Fig. 8

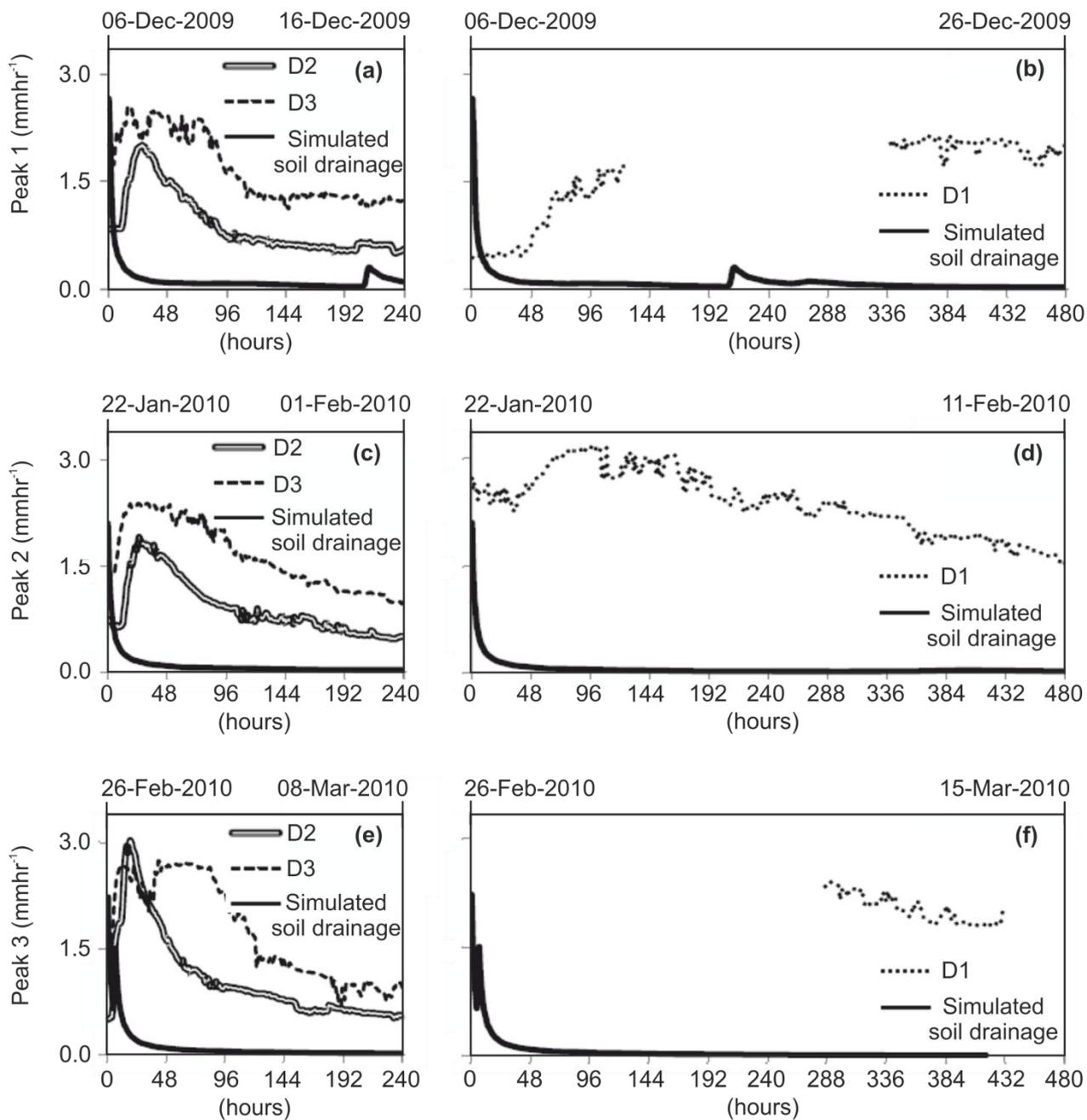


Fig. 9

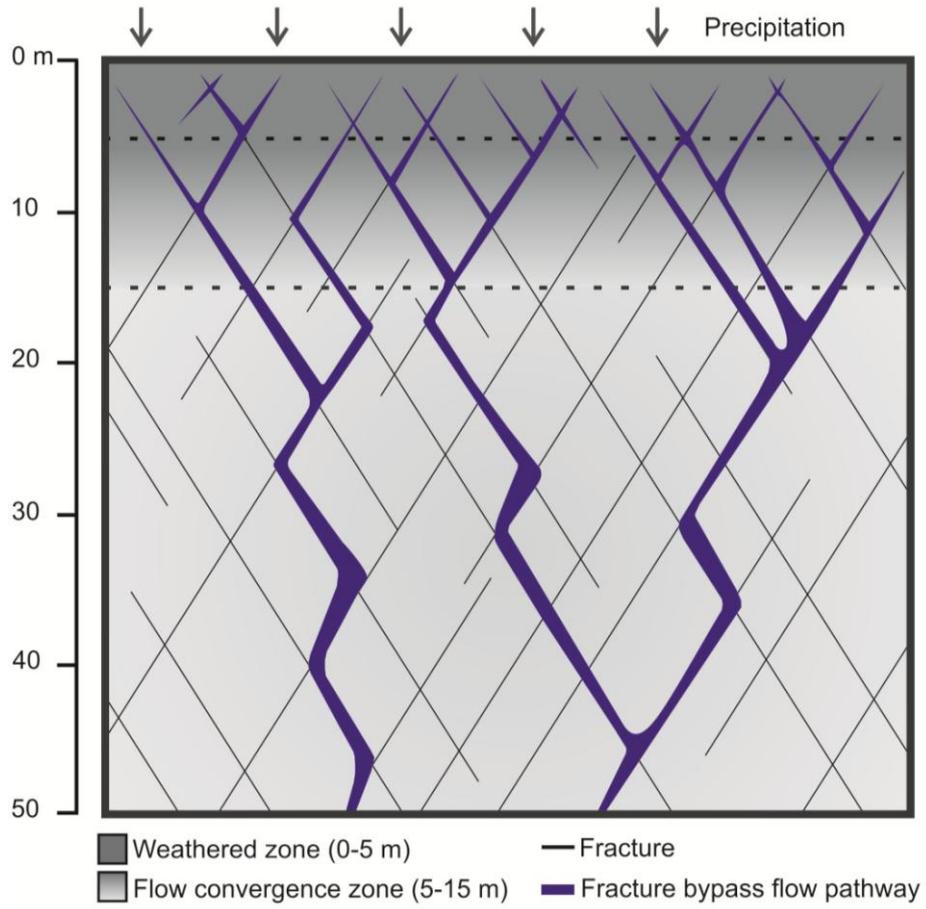


Fig. 10