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1 **PESERA-LP: A coarse-scale process-based fluvial erosion**
2 **model for topographically complex regions**

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16

17 **Abstract:**

18 The Chinese Loess Plateau, characterized by complex and fragmented
19 topography, has undergone severe soil loss over the past century. While over
20 thirty soil erosion models have been used in the region, most contemporary
21 models are either catchment-scale or event-based. There is a notable absence

22 of regional-scale models that account for erosion-relevant processes specific
23 to the Plateau. In this study, we developed a new scheme (PESERA-LP) for the
24 simulation of soil erosion processes on the Loess Plateau. The model
25 integrated advanced hydrological, vegetation, and erosion modules to enhance
26 our understanding and prediction of soil erosion dynamics on the Plateau. In
27 our scheme, the key parameter of the hydrological module was spatialized
28 based on precipitation, while the terrain factor from the Revised Universal Soil
29 Loss Equation (RUSLE) model and the erodibility factor of the Erosion
30 Productivity Impact Calculator (EPIC) model were incorporated into the erosion
31 module. Additionally, the parameters of the vegetation growth module were also
32 optimized. PESERA-LP was implemented in both equilibrium and time-series
33 modes, with a validation conducted based on field measurements. Validation of
34 runoff depth in the equilibrium mode demonstrated a Root Mean Square Error
35 (RMSE) of 0.47 mm a^{-1} and a Nash-Sutcliffe Efficiency (NSE) of 0.63, while the
36 time-series mode exhibited an RMSE of 0.25 mm m^{-1} and an NSE of 0.58. As
37 for erosion rate, RMSE and NSE were $6.04 \text{ t ha}^{-1} \text{ a}^{-1}$ and 0.89 in the equilibrium
38 mode, compared to $0.99 \text{ t ha}^{-1} \text{ m}^{-1}$ and 0.52 in the time-series mode. Sensitivity
39 analysis demonstrated that modelled runoff depth was sequentially impacted
40 by precipitation, temperature, and vegetation cover, while modelled erosion
41 rates were sequentially influenced by vegetation, precipitation, slope gradient,
42 and temperature. The equilibrium mode is suitable for assessing spatial

43 variability of average erosion rates across large areas, whereas the time-series
44 mode is preferentially used for analyzing continuous monthly erosion rates in
45 relatively small areas.

46 **Keywords:** Erosion modelling; Regional scale; Process-based model;
47 PESERA; Loess Plateau; Complex terrains.

48 **1. Introduction**

49 Accurate quantification of soil erosion over large areas is crucial for an in-depth
50 understanding of erosion processes and the development of effective control
51 strategies (Alewell et al., 2019; Borrelli et al., 2021). Soil erosion models exhibit
52 advantages for large-scale assessments compared to other research methods
53 (e.g. field monitoring, laboratory experiments etc.), particularly in evaluating
54 long-term spatial patterns of erosion rates and predicting the response of
55 erosion processes to different climate change and land-use change scenarios
56 (Borrelli et al., 2017; de Vente et al., 2013; García-Ruiz et al., 2015). Hence,
57 soil erosion models have become an increasingly vital tool since the 1980s
58 (Panagos et al., 2015).

59

60 Although various soil erosion models have been developed, most of them were
61 designed for catchment-scale and event-based simulations, focusing on
62 specific catchments (Alewell et al., 2019). Notable examples include the Water

63 Erosion Prediction Project (WEPP) (Laflen et al., 1991), the Limburg Soil
64 Erosion Model (LISEM) (De Roo et al., 1996), and the Rangeland Hydrology
65 and Erosion Model (RHEM) (Hernandez et al., 2017). However, models that
66 are suitable for regional-scale and long-term period simulations have been
67 severely lacking.

68

69 Over the past five decades, the Universal Soil Loss Equation (USLE)
70 (Wischmeier and Smith, 1978) and its modified versions have demonstrated
71 robust applicability on a continental basis and for some global assessments,
72 mainly owing to the advantages of their low input data requirement (Alewell et
73 al., 2019). Despite the commendable accuracy of the USLE-series models, their
74 empirical basis limits their ability to simulate dynamic erosion-related processes,
75 further constraining their use in scenario studies. Additionally, these models do
76 not account for agricultural practices such as crop planting and harvesting,
77 thereby restricting their utility in modeling erosion under diverse crop
78 management strategies (Alewell et al., 2019; Borrelli et al., 2021; Li et al., 2017).

79

80 In order to overcome the drawbacks of the USLE-series models, Kirkby et al.
81 (2003) developed a regional-scale process-based pan-European Soil Erosion
82 Risk Assessment (PESERA). The model integrates the interactions between
83 runoff-generation processes and vegetation growth, which were then combined

84 with an erosion module (Li et al., 2017). The model assumed that the study area
85 was composed of a cascade of slopes and did not consider the channel
86 processes, facilitating the use of the model over large areas. Therefore,
87 PESERA has presented a promising solution for large-scale erosion process
88 modelling (Esteves et al., 2012; Karamesouti et al., 2016; Li et al., 2016b; Li et
89 al., 2020). The model has been extensively applied across countries including
90 the United Kingdom (Li et al., 2016b), Turkey (Cilek, 2017), the Netherlands
91 (Wohler et al., 2021), and Greece (Karamesouti et al., 2015), and other
92 countries across Europe (Kirkby et al., 2008). Li et al. (2016a) also incorporated
93 freeze-thaw and desiccation processes into the model, significantly improving
94 its applicability in blanket peatlands. However, Li et al. (2020) demonstrated
95 that PESERA did not produce satisfactory results when applied to a complex
96 terrain environment that was particularly susceptible to erosion, although it
97 exhibited a good representation of vegetation.

98

99 The Chinese Loess Plateau has experienced the most severe soil erosion in
100 the world, with erosion rates in some regions even exceeding $30,000 \text{ t km}^{-2} \text{ a}^{-1}$
101 (Chen et al., 2007; Sun et al., 2014). The erosion processes on the plateau,
102 characterized by steep, highly varied slopes and deep gullies, are rather
103 different from those on more uniform gently sloping areas (e.g. rill and interrill
104 erosion). From our literature evaluation we concluded that, to the best of our

105 knowledge, no regional-scale process-based models have been developed for
106 the entire Loess Plateau due to the inability of existing models to adapt to the
107 complex topography and diverse erosion processes. The PESERA model, with
108 its process-based nature and capability for large-scale implementation,
109 provides a promising solution for this challenge. However, as stated above,
110 adaptations are needed for PESERA to improve its applicability to regions with
111 complex terrain.

112

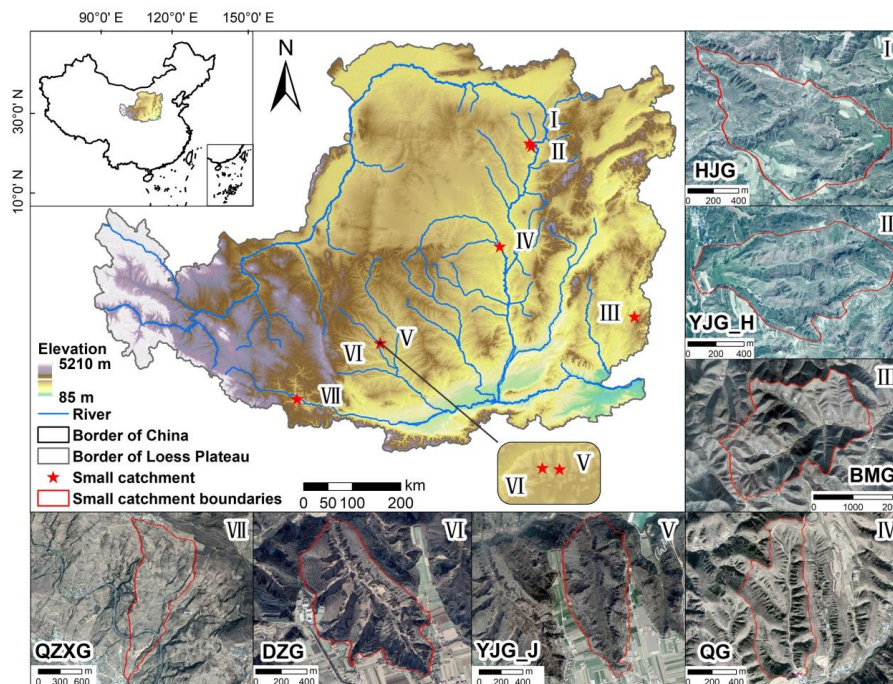
113 To address the challenges of simulating regional-scale soil erosion processes
114 in the complex terrain environment, we developed a new scheme in this study
115 - the PESERA-LP model - through heavily adapting the PESERA model. The
116 objectives were: (1) to establish a parameterization strategy for key parameters
117 in the hydrological module; (2) to improve the suitability of PESERA for complex
118 terrain through incorporating the erodibility factor from the Erosion Productivity
119 Impact Calculator (EPIC) model (Sharpley, 1990) and the topographic factor for
120 steeply sloping conditions in the erosion module; (3) to localize parameters for
121 crop growth cycles and actual-to-potential evapotranspiration ratios in the
122 vegetation growth module; and (4) to calibrate and validate the PESERA-LP
123 model with field measurements, followed by a comprehensive sensitivity
124 analysis.

125 **2. Study areas and data**

126 2.1 Study areas

127 The Chinese Loess Plateau (33°41' N-41°16' N, 100°52' E-114°33' E) extends
128 over an area of 635,000 km² in north-central China (Fig. 1). This region is one
129 of the most severely eroded and ecologically fragile areas in the world (Zhang
130 and Chen, 2020). The region, comprising plateaus, hills, and mountains,
131 features a complex and fragmented topography, while elevations range from 85
132 m to 5210 m above sea level and slope gradients vary from 0% to 71% (Guan
133 et al., 2021). The topography transitions from higher northwestern regions to
134 lower southeastern areas, with the terrain predominantly comprising mountains
135 and hills in the west and flatter landscapes in the east (Li et al., 2021b). The soil
136 types of the Loess Plateau include dark loessial soils, loessial soils, and brown
137 soils, among others (<http://soil.geodata.cn>). The most dominant and widely
138 distributed soil type is the loessial soil, which is characterized by deep layers
139 and a loose texture (Yu et al., 2020). The mean annual vegetation coverage on
140 the Loess Plateau ranges from 0% to 68%, gradually increasing from northwest
141 to southeast. The vegetation is predominantly composed of grasses and shrubs
142 (Sun et al., 2014). The vegetation has been badly damaged due to prolonged
143 excessive farming, overgrazing and continuous drought, leading to severe
144 degradation on some areas (He et al., 2021). Especially in the hilly and gully

145 areas, the vegetation cover is relatively low and the protection of the soil is weak
146 (Jin et al., 2021). The plateau is within the monsoon zone, where annual
147 precipitation varies from 150 mm in the northwest to 700 mm in the southeast,
148 and mean annual temperatures range from 4.3°C in the northwest to 14.3°C in
149 the southeast (Zhao et al., 2013). The region experiences intense summer
150 precipitation that can erode unprotected land surfaces (Tang and Sui, 2022;
151 Tang et al., 2023). Since the 1970s, large conservation measures (terraces,
152 check dams, vegetation restoration) have been implemented to reduce erosion
153 intensity on the Loess Plateau (Li et al., 2017). Erosion rates on the Plateau
154 have thus been dramatically decreased. However, large areas are still at a
155 severe risk of erosion, particularly given the frequent occurrence of intensive
156 rainstorms in the last decade (Li et al., 2022).



157

158 **Fig.1** Overview of the study site, including elevation of the Loess Plateau and satellite

159 images for the seven small-scale catchments used for the development and validation of
160 PESERA-LP. The catchments are labeled as follows: I. Huangjiagou (HJG), II.
161 Yangjiagou_Huangfuchuan (YJG_H), III. Baimagou (BMG), IV. Qiaogou (QG), V.
162 Yangjiagou_Jinghe (YJG_J), VI. Dongzhuanggou (DZG), and VII. Qiaozixigou (QZXG).

163 2.2 Data Collection and Preprocessing

164 2.2.1 Field data for model development

165 We conducted a comprehensive literature search on China's National
166 Knowledge Infrastructure (CNKI) and the Web of Science (WOS) based on the
167 key words of 'Loess Plateau', 'small catchment', 'runoff' and 'soil erosion'. We
168 focused on studies on the Loess Plateau since 2000, mainly because of the
169 availability of vegetation coverage data (e.g. Moderate Resolution Imaging
170 Spectroradiometer (MODIS)) that were crucial for model development and
171 implementation. As a result, 165 papers were obtained. They were then
172 screened to collect runoff depth and erosion rate data.

173

174 Several criteria were set in terms of selecting relevant data for model
175 development and validation: (1) relatively small catchments (< 6 km²) located
176 on the Loess Plateau were preferred; (2) no anthropogenic interventions such
177 as reservoirs or silt dams were found in the small catchments; (3) the monitoring
178 information for the small catchment contained, or can be converted to, runoff

179 and sediment related data. Relatively small catchments with limited
180 interventions were selected in order to ensure that the measured data at
181 catchment outlets were representative of erosion rates. Based on these criteria,
182 37 annual datasets from seven typical small catchments were obtained.
183 Additionally, twelve monthly datasets spanning one year for one of these
184 catchments were also included (Table 1). The catchments (Fig. 1) included
185 Huangjiagou (HJG), Yangjiagou_Huangfuchuan (YJG_H), Qiaogou (QG),
186 Dongzhuanggou (DZG), Yangjiagou_Jinghe (YJG_J), Qiaozixigou (QZXG),
187 and Baimagou (BMG). The dataset contained measured runoff depths and
188 erosion rates from different locations and dates on the Loess Plateau. The data
189 were sourced from gauging stations in experimental catchments/watersheds.
190 Quality control measures were applied during data processing, including
191 consistency checks and outlier detection (Table 1). Among the datasets, 80 %
192 were used for model development, while the remaining 20% were used for
193 model validation. Specifically, 29 annual datasets were used for model
194 construction and calibration, while the remaining eight annual datasets, along
195 with the monthly data described above, were used for model validation.

Table 1 Basic information for the small catchments used for model development and validation

Name	Location	Area	Soil type	Vegetation coverage (%)	Precipitation range (mm/a)	Slope gradient range (%)	Data period	Validation time	Type	Quality	Source
HJG	39°23'53"–39°24'36"N 111°0'40"–111°1'47"E	1.04 km ²	Dark loessial soils	10.44-23.61	239.0-646.9	0.62-20.86	2001 - 2012	2004, 2007	Annual	Q1 ^a	(Li, 2016)
YJG_H	39°20'44"–39°21'14"N 111°3'31"–111°4'30"E	0.69 km ²	Dark loessial soils	20.40-21.70	286.9-559.9	1.47-14.82	2007 - 2010	2009	Annual	Q1	(Zhao et al., 2017)
QG	37°29'36"–37°30'16"N 110°17'23"–110°17'48"E	0.45 km ²	Loessial soils	24.13-27.56	375.4-398.2	0.96-18.67	2000-2005 average, 2000-2008 average	2000-2008 average	Annual	Q2 ^b	(Liu et al., 2022; Wang, 2017)
DZG	35°41'20"–35°42'09"N 107°32'23"–107°33'14"E	1.15 km ²	Loessial soils	20.40-21.70	391.3-614.5	0.20-31.94	2005 - 2010	2007	Annual	Q1	(Guo, 2022)
YJG_J	35°41'14"–35°42'11"N 107°33'03"–107°33'38"E	0.87 km ²	Loessial soils	54.12-59.95	391.3-577.1	0.10-33.02	2006 - 2009	2007	Annual	Q1	(Guo, 2022)
QZXG	34°36'19"–34°37'29"N 105°42'18"–105°42'56"E	1.09 km ²	Loessial soils	30.60-45.02	462.5-867.2	6.11-27.06	2001, 2003, 2004	2003	Annual	Q2	(Chen, 2008)
BMG	36°07'29"–36°09'6"N 113°20'30"–113°22'56"E	5.76 km ²	Brown soils	58.13-68.19	421.8-687.2	0.32-27.70	2009 - 2014	2012	Annual	Q1	(Gao, 2017)
QZXG	34°36'19"–34°37'29"N 105°42'18"–105°42'56"E	1.09 km ²	Loessial soils	-	-	6.11-27.06	2003.1 - 2003.12	2003.1 - 2003.12	Monthly	Q2	(Chen, 2008)

^a Q1: Data estimated from figures in papers. When data were presented only in graphical form, we employed the GetData Graph Digitizer software to derive estimates.

^b Q2: Data directly extracted from papers. This represents data points that were explicitly provided in text or tables.

198 2.2.2 Input data for PESERA

199 In this study, all model runs were performed with a spatial resolution of 100 m,
200 which was similar to the length of slopes on the Loess Plateau. The PESERA
201 model required 128 layers of input data (Kirkby et al., 2003; Li et al., 2016b),
202 which were categorized into meteorological data, land use / cover data, soil
203 data and topographic data. The daily value dataset (V 3.0) of China's surface
204 climate data from China Meteorological Administration (CMA)
205 (<https://data.cma.cn/>) was used to obtain meteorological data. A total of 212
206 stations were selected from the dataset in and around the Loess Plateau,
207 including data on maximum temperature, minimum temperature, mean
208 temperature, precipitation, wind speed, sunshine hours, and relative humidity.
209 In addition, Potential Evapotranspiration (PET) was estimated using Penman's
210 formula (Valiantzas, 2013) based on maximum temperature, minimum
211 temperature, daily mean temperature, wind speed and direction, sunshine
212 duration and relative humidity data. Finally, these data were fitted and
213 interpolated into 100 m resolution raster data by applying the ANUSPLIN
214 software package with the partial thin-plate smooth spline method, which has
215 been widely used in related fields (Hutchinson, 1992).

216

217 Land-use data were obtained from the Resource and Environment Science and

218 Data Centre (RESDC) and were available every five years. Vegetation cover
219 was calculated based on MODIS NDVI data provided by the National
220 Aeronautics and Space Administration (NASA). For crops, the information was
221 obtained from the statistical yearbook published by the National Bureau of
222 Statistics (NBS) (<http://www.stats.gov.cn/>), and the tillage data were provided
223 by the official websites of the regional agricultural bureaus. Soil attribute data
224 were taken from the China Soil Attribute Dataset (CSAD) developed by Beijing
225 Normal University (Shangguan et al., 2013), which was specifically used for
226 land surface modelling studies. The terrain data were adopted from the SRTM
227 (Shuttle Radar Topography Mission) data product (<https://srtm.csi.cgiar.org/>)
228 with a 90 m resolution. All of the above data were resampled to 100 m resolution
229 for modelling run consistency.

230 **3. Overview and adaptations to PESERA**

231 3.1 Original PESERA model

232 PESERA, operating on a monthly basis, is composed of a vegetation growth
233 module, hydrological module and erosion module, taking account of the
234 interaction among the processes. In addition, PESERA is capable of operating
235 under different climate change and land-use change scenarios. A brief
236 introduction to PESERA is given below, while a more detailed description can
237 be found in Kirkby et al. (2008) and Li et al. (2016b).

238 3.1.1 Hydrological module

239 The hydrological module is based on the hydrological balance, which separates
240 precipitation (Pre) into the four main hydrological components of overland flow,
241 subsurface flow, changes in soil moisture and evapotranspiration (ET)
242 (Berberoglu et al., 2020). Surface flow (R_o), the dominant driver of soil erosion,
243 is generated under two key hydrological conditions: when precipitation intensity
244 surpasses the soil infiltration capacity and when excess overland flow results
245 from soil saturation. R_o is quantitatively expressed as:

$$246 \quad R_o = P(Pre - \mu) \quad (1)$$

247 where, μ is the runoff depth threshold (mm), being the lesser of the available
248 near-surface water storage depending on soil texture and the sub-surface
249 saturation deficit. P is the proportion of precipitation exceeding the runoff
250 depth threshold that is converted to surface runoff.

251

252 Subsurface flow and changes in soil moisture are dynamically simulated by
253 TOPMODEL (Beven and Kirkby, 1979), using topographic data, soil parameters,
254 and climatic data. Subsurface flow mainly affects the water infiltration process,
255 while changes in soil moisture reflect the accumulation and flow of water in the
256 soil after precipitation. Soil moisture content is also an important basis for
257 vegetation growth and surface runoff. ET, including plant transpiration and soil
258 evaporation, is calculated from soil water content, root-depth ratio and potential

259 evapotranspiration. ET drives plant growth and soil organic matter changes,
260 while the dynamics of vegetation and organic matter control soil water storage.
261 Furthermore, PESERA also considers the effects of snow and permafrost on
262 hydrological processes in cold climates, increasing the adaptability to extreme
263 climatic conditions.

264 3.1.2 Vegetation growth module

265 The vegetation module is closely coupled with the hydrological module, jointly
266 influencing the soil erosion process. The module estimates gross primary
267 productivity, vegetation coverage, and soil organic matter based on a biomass
268 carbon balance. Gross primary productivity is estimated as a proportion of the
269 actual transpiration from the plant, and then offset by respiration, which
270 increases exponentially with temperature and proportional to vegetation
271 biomass. Leaf fall fraction is a decreasing function of biomass, and, for
272 deciduous plants, extra leaf fall is achieved at a rate that increases with
273 temperature if respiration is greater than gross primary productivity. Soil organic
274 matter increases with leaf fall, and decomposes at a rate increasing with
275 temperature. Cover converges on an equilibrium value, which is defined as the
276 ratio of plant transpiration to PET, at a rate that is larger where biomass is small.
277 The module also takes account of the harvesting of crops through their effects
278 on vegetation coverage and biomass, as well as changes in water use

279 efficiency throughout the crop growth cycle.

280

281 The vegetation growth module is deeply coupled with the runoff production
282 module. Firstly, vegetation growth is supported by plant transpiration that is
283 derived based on the soil moisture deficit. Vegetation growth also directly
284 affects runoff depth through precipitation interception and transpiration, both of
285 which are quantified based on the biomass of the vegetation. Concurrently, the
286 model accommodates vegetation root systems that enhance soil structure and
287 the accumulation of leaf litter that boosts soil organic matter. These processes
288 alter the soil's physical and hydrological properties, affecting the infiltration
289 capacity and organic matter content of the soil, thereby indirectly affecting runoff
290 production. In addition, vegetation growth also affects erosion processes
291 through adjusting soil erodibility.

292 3.1.3 Soil erosion module

293 In the erosion module, soil erosion rate (SE) is defined as the average rate at
294 which sediment is transported to the bottom of a slope, provided there is an
295 adequate supply of sediment. The runoff depth (R_o) is the primary driver of soil
296 erosion rate in the model, which is estimated in conjunction with soil erodibility
297 and topographic features,

$$298 \quad SE = R_o^2 TE \quad (2)$$

299 where T is the surface roughness (m), defined as the standard deviation of
300 elevation within a specified area. E is the soil erodibility, which is weighted by
301 the erodibility of bare ground and vegetated areas, with the soil erodibility of
302 vegetated areas in the model being 10% of that of bare ground:

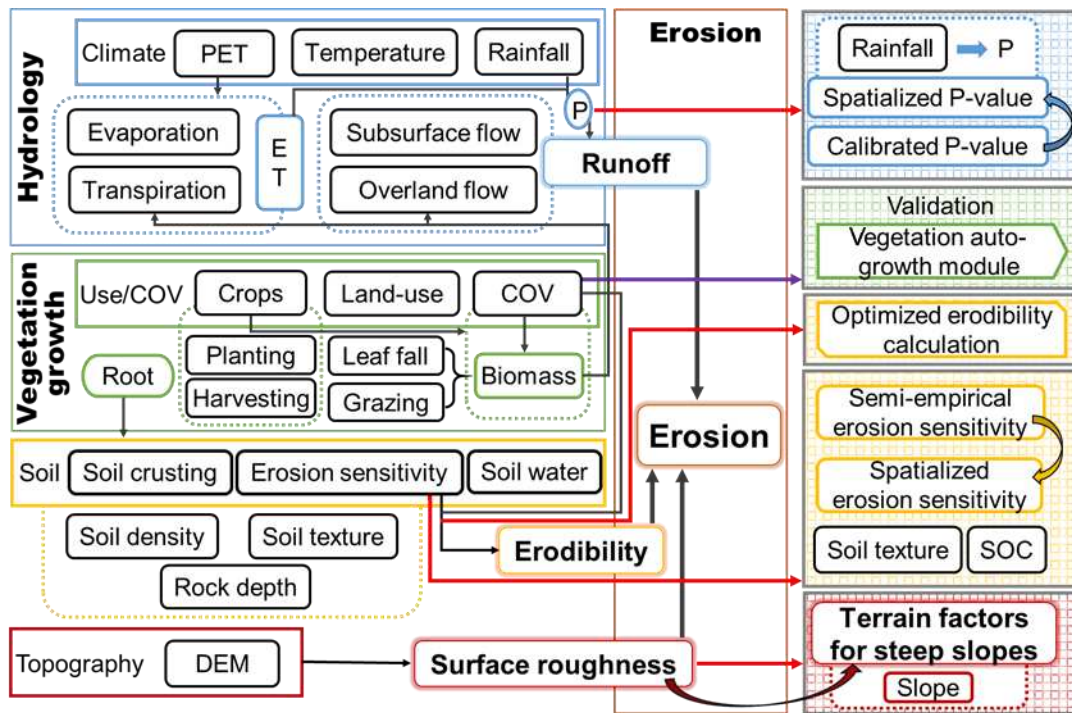
$$303 \quad E = E_b(1 - COV) + 0.1E_bCOV \quad (3)$$

304 where E_b is the soil erodibility of bare ground, indicating the erosion potential
305 of various soil types under standardized conditions. COV represents
306 vegetation cover. The values of E_b are normally assigned with reference to the
307 Pedotransfer rule (Le Bissonnais et al., 2005).

308 3.2 Adaptations to PESERA

309 To apply PESERA on the Loess Plateau, the following key challenges were
310 faced: (i) on the Loess Plateau, the geographic features are highly spatially
311 heterogeneous, such that a single value of a key parameter cannot support a
312 satisfactory simulation of hydrological processes; (ii) the topography and soil
313 erodibility factors in the original model were developed based on environmental
314 conditions for Europe, which may not be applicable to some other regions; (iii)
315 localization of parameters in the vegetation growth module may be needed as
316 they have not yet been calibrated for the plateau. To overcome these
317 challenges, several improvements were incorporated, including the
318 spatialization of a key hydrological parameter, adaptation of the erosion module

319 to more complex topographic and soil conditions, and localization of vegetation
 320 growth parameters. In line with these improvements, a new scheme of PESERA
 321 for the Loess Plateau (PESERA for Loess Plateau, PESERA-LP) was thus
 322 proposed (Fig. 2).



323
 324 **Fig.2** The framework of PESERA-LP. Boxes without shaded background represent the
 325 components directly inherited from the original PESERA model, while boxes with a shaded
 326 background indicate the adapted hydrology and erosion modules and the validation of the
 327 vegetation growth module in PESERA-LP.

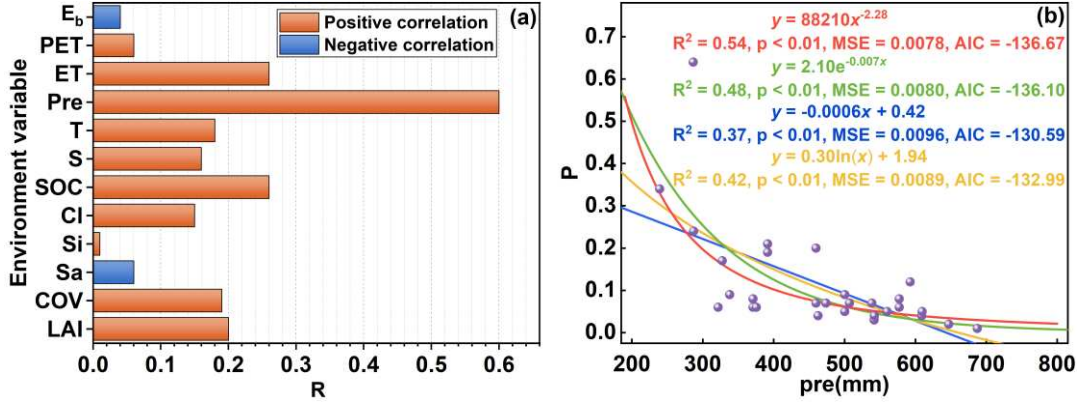
328 3.2.1 Localization of the hydrological module

329 In the hydrological module, P is a crucial parameter (Equation 1). Precise
 330 calibration of P is critical for modeling accuracy. However, a constant P value
 331 may not yield the desired simulation results at different locations within the

332 Loess Plateau. Therefore, it was necessary to spatialize P to reflect regional
333 discrepancies. A model for P value estimation was constructed to account for
334 the spatial variation of P through analyzing the correlation between P and an
335 array of spatial environmental factors.

336

337 Specifically, the PESERA model was utilized to simulate the runoff depth that
338 corresponded temporally and spatially with the measured data in the
339 constructed database. The P values were accurately calibrated by comparing
340 the simulation results with measured data. Following the calibration, a
341 correlation analysis was undertaken between these P values and a range of
342 environmental variables, including topographic factor (S), T, leaf area index
343 (LAI), COV, sand (Sa), silt (Si), clay (Cl), soil organic carbon (SOC), E_b ,
344 precipitation (Pre), ET, and PET. Results demonstrated that among the
345 environmental variables, the correlation coefficient (R) between precipitation
346 and P values was the highest (0.60), exhibiting a statistically significant
347 positive correlation ($p < 0.01$) (Fig. 3). Four functional models were established
348 including linear, exponential, logarithmic, and power functions. Coefficient of
349 determination (R^2), mean square error (MSE) and Akaike informativeness
350 criterion (AIC) were employed to evaluate the efficiency of the models.



351

352 **Fig.3** Construction of P value estimation models, including the correlation coefficient of P

353 with environmental variables, including S, T, LAI, COV, Sa, Si, Cl, SOC, E_b, Pre, ET, PET

354 (a) and four potential models (linear, exponential, logarithmic, and power) for deriving P

355 values (b).

356

357 The R^2 quantifies how effectively the model captures the variability in the data,

358 with values closer to 1 indicating superior explanatory power (Equation 4). The

359 MSE assesses the discrepancy between the model predicted values and the

360 actual observed values, with lower MSE values indicating higher accuracy

361 (Equation 5). The AIC evaluates the trade-off between model complexity and fit

362 quality, with lower AIC values implying that the model maintains good

363 explanatory power while avoiding overfitting (Equation 6).

364
$$R^2 = 1 - \frac{\sum_{i=1}^n (O_i - \hat{O}_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (4)$$

365
$$MSE = \frac{1}{n} \sum_{i=1}^n (O_i - \hat{O}_i)^2 \quad (5)$$

366
$$AIC = 2k - 2 \ln(L) \quad (6)$$

367 where O_i is the optimal P-value obtained through calibration, \hat{O}_i is the
368 predicted value estimated through the established models, k represents the
369 number of parameters in the model, and L is the likelihood function, which
370 estimates how likely it is that the model would have produced the observed data.

371

372 The comprehensive assessment of these indicators demonstrated that the
373 power function model outperformed the others (Fig. 3). The R^2 value of the
374 power function model was the highest at 0.54 while its MSE value was the
375 lowest at 0.0078, indicating that the model exerted the strongest ability to
376 explain the data variability and superior prediction accuracy. In addition, the AIC
377 value of the power function model was -136.67, which was lower than that of
378 other models, suggesting that the model achieved the best fitting effect while
379 maintaining lower model complexity. Therefore, the power function model was
380 chosen to implement the spatialization of the P -value with the specific formula:

381
$$P = 88210pre^{-2.28} \quad (R^2 = 0.54, p < 0.01) \quad (7)$$

382 3.2.2 Adaptations to the erosion module

383 The Loess Plateau is characterized by deep gullies and steep-sloping areas,
384 which presents difficulties for the use of PESERA, as demonstrated in Li et al.
385 (2020). Therefore, it was necessary to incorporate the expression of steep
386 slopes in the model. Moreover, the assignment of E_b (Equation 3) was based

387 on the pedotransfer rules established for European soils in the original erosion
388 module, which was less practical and appropriate for the Loess Plateau. To
389 address the challenges posed by the complex topography and erodibility
390 derivation, we integrated the slope factor from the RUSLE model and the soil
391 erodibility factor from the EPIC model (Sharpley, 1990) into the PESERA model
392 to modify the T and E in the original model (Equations 2 and 3).

393

394 With regard to the sloping factor, Liu et al. (1994) adapted the terrain calculation
395 formula established by McCool et al. (1987), thus significantly improving its
396 suitability for the complex terrain environment. The formula described the
397 relationship between soil loss and slope gradient on steep slopes exceeding
398 18%, integrating field data from the Loess Plateau. The formula used the sine
399 of the slope angle as a key variable, which is physically representative of
400 erosion processes. Therefore, the terrain factors were integrated into the
401 PESERA model, with the specific formula being as follows:

$$402 \quad \begin{cases} S = 10.8\sin\theta - 0.03 & 0 < \theta < 9\% \\ S = 16.8\sin\theta - 0.5 & 9\% \leq \theta \leq 18\% \\ S = 21.91\sin\theta - 0.96 & 18\% < \theta \end{cases} \quad (8)$$

403 where θ is the slope.

404

405 The soil erodibility factor, quantified by the EPIC model, has been used and
406 validated across a diverse range of geographical regions and soil types (Li et

407 al., 2022; Sun et al., 2014; Zhang et al., 2020). The methodology
 408 comprehensively reflected soil erodibility by incorporating key influencing
 409 parameters including soil texture, organic matter content, and soil saturation.

410 The K_{EPIC} calculation is detailed as follows:

$$411 \quad K_{EPIC} = \left\{ 0.2 + 0.3 \exp \left[0.0256 S_a \left(1 - \frac{S_i}{100} \right) \right] \right\} \left(\frac{S_i}{Cl + S_i} \right)^{0.3} \left(1 - \frac{0.25C}{C + \exp(3.72 - 2.95C)} \right) \\
 \left(1 - \frac{0.7SN}{SN + \exp(-5.51 + 22.9SN)} \right) \quad (9)$$

412 where C is the soil organic carbon content (%), Sa is the sand content (%), Si
 413 is the silt content (%), Cl is the clay content (%), and SN is the soil saturation,
 414 defined as $SN = 1 - Sa/100$.

415

416 Furthermore, in order to improve the ability to simulate the impact of vegetation
 417 on soil erodibility, the formula for erodibility was redefined as $K = f(COV, K_{EPIC})$.

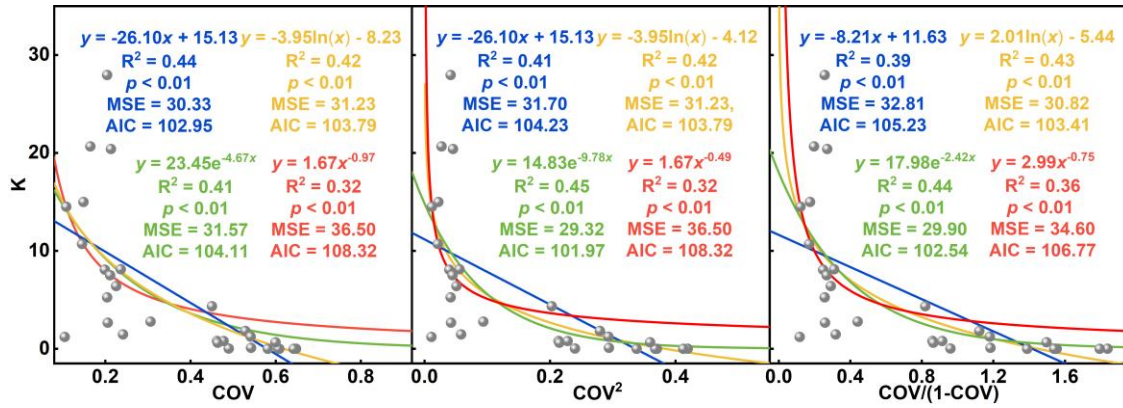
418 The K value was determined in terms of Equation 2, based on measured
 419 erosion rates and runoff depths, along with the S factors. Three vegetation
 420 expressions, COV , COV^2 , and $\frac{COV}{1-COV}$ were evaluated to select the most

421 effective form. These variants represented the basic vegetated cover,
 422 enhanced effects for higher coverage, and the ratio of vegetated areas to

423 unvegetated areas, respectively. Functions were constructed using linear,
 424 exponential, logarithmic, and power functions to establish relationships

425 between the various expressions for COV and K. The validity of these
 426 functions was assessed using R^2 , MSE and AIC metrics. The results (Fig. 4)

427 demonstrated that these functions revealed a relatively low correlation with soil
 428 erodibility, with a highest R^2 of 0.45.



429

430 **Fig.4** Comparative analysis of functional relationships between vegetation cover

431 expressions (COV , COV^2 , and $\frac{COV}{1-COV}$) and soil erodibility factor (K) using linear,

432 exponential, logarithmic, and power models

433

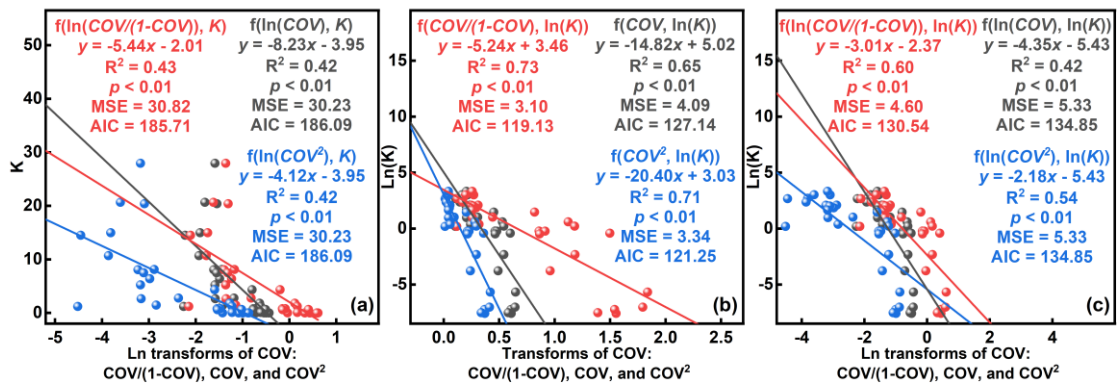
434 Logarithmic transformations were applied to the independent variables,

435 dependent variables and both of them separately to reduce the variance of data

436 and diminish the effect of extreme values. Subsequently, linear regressions

437 were employed to achieve the fitted models, which were then evaluated to

438 achieve the best model.



439

440 **Fig.5** Linear regression analysis of logarithmically transformed vegetation cover
 441 expressions (COV , COV^2 , and $\frac{COV}{1-COV}$) and K , including linear regression of logarithmically
 442 transformed vegetation cover expressions and K (a), vegetation cover expressions and
 443 logarithmically transformed K (b), and logarithmically transformed vegetation cover
 444 expressions and logarithmically transformed K (c).

445

446 The analysis indicated (Fig. 5) that the logarithmic transformation of the
 447 dependent variable, in conjunction with the $\frac{COV}{1-COV}$ expression for vegetation
 448 cover, yielded the most effective fit, achieving an R^2 of 0.73, an MSE of 3.1, and
 449 an AIC of 119.13. Based on these outcomes, the $\frac{COV}{1-COV}$ was chosen to
 450 represent the impact of vegetation on K . The constructed relationship was as
 451 follows:

$$452 \quad \ln K = -5.2375 * \frac{COV}{1 - COV} + 3.4592 \quad (10)$$

453 which was equivalent to:

$$454 \quad K = 31.79 * e^{-5.24(\frac{COV}{1-COV})} \quad (11)$$

455

456 Equation 11 illustrated that K varied with COV , reflecting the influence of
 457 vegetation coverage on soil erodibility. Additionally, K_{EPIC} represented the
 458 erodibility of bare soil, which was dependent on soil texture and organic carbon
 459 content. Theoretically, K equaled K_{EPIC} when vegetation cover was absent (0%
 460 COV). To integrate these factors, K was defined as:

461
$$K = f(K_{EPIC}) * f\left(\frac{COV}{1 - COV}\right) \quad (12)$$

462 Based on Equations 11 and 12, $f\left(\frac{COV}{1 - COV}\right)$ was expressed as:

463
$$f\left(\frac{COV}{1 - COV}\right) = e^{-5.24\left(\frac{COV}{1 - COV}\right)} \quad (13)$$

464 The function $f\left(\frac{COV}{1 - COV}\right)$, which ranged from 0-1, quantified the impact of
 465 vegetation cover. Specifically, as vegetation cover approached 100%, the result
 466 of the equation was close to zero, indicating minimal soil erodibility. Conversely,
 467 at 0% vegetation cover, the function equaled 1, reflecting maximal soil erodibility.

468

469 Furthermore, we assumed that:

470
$$f(K_{EPIC}) = A + K_{EPIC} \quad (14)$$

471 where A is a constant representing the baseline erodibility, which varies
 472 according to different regions. In terms of Equation 11, the average erodibility
 473 for bare soil of the Loess Plateau was 31.79, while an average K_{EPIC} value for
 474 the region was calculated as 0.08. Consequently, the baseline value was
 475 deemed to be 31.71.

476
$$f(K_{EPIC}) = 31.79 - 0.08 + K_{EPIC} = 31.71 + K_{EPIC} \quad (15)$$

477

478 Therefore, the function $f(K_{EPIC})$ reflected a combination of baseline erosion
 479 levels and specific soil characteristics, indicating the erodibility under various
 480 soil conditions. Finally, a refined model was established to address both
 481 inherent soil characteristics and effects of vegetation:

482
$$K = (31.71 + K_{EPIC}) * e^{-5.24\left(\frac{cov}{1-cov}\right)}$$
 (16)

483 3.2.3 Vegetation growth module parameterization

484 In the vegetation growth module, vegetation cover data are obtained through
 485 two methods: remote sensing and vegetation growth simulations. Remote
 486 sensing data, providing real-time, visual information, are suitable for erosion
 487 rate simulations during periods with available satellite data. Conversely,
 488 vegetation growth simulations are applied to historical period simulations and
 489 future scenario analyses in the absence of remote sensing data. However, the
 490 crop parameters used in the original vegetation growth model were not entirely
 491 suitable for the Loess Plateau. Thus, the parameters were localized to improve
 492 the applicability of the module.

493 **Table 2** Growth cycle of dominant arable crop (months)

Dominant arable crop	Spring cereal	Winter cereal	Maize	Root crop	Oilseed
Growth cycle	4	9	4	6	5

494 In the study, crop growth cycles and evapotranspiration ratios (ET/PET) for
 495 different crops were adjusted. Crop growth cycles were used in the model to
 496 calculate crop planting and harvesting times, which were determined based on
 497 data published on the official website of the Department of Agriculture, China
 498 (<https://ywglmh.moa.gov.cn/>) (Table 2). The ET/PET ratio, a critical parameter
 499 for calculating vegetation evapotranspiration, was derived through spatial
 500 analysis methods that combined MODIS evapotranspiration data products with

501 land-use data (Table 3). These adjustments ensured that the model parameters
 502 were more closely aligned with actual vegetation growth conditions, thereby
 503 improving the applicability and accuracy of the model in historical simulations
 504 and future scenario projections.

505 **Table 3** Monthly ET/PET ratios for individual crops on the Loess Plateau

Crop	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Spring cereal	0.53	0.27	0.08	0.05	0.08	0.14	0.30	0.33	0.23	0.18	0.32	0.54
Winter cereal	0.52	0.35	0.15	0.14	0.16	0.16	0.24	0.33	0.35	0.29	0.37	0.51
Maize	0.61	0.35	0.12	0.06	0.07	0.11	0.19	0.28	0.22	0.23	0.36	0.62
Root crops	0.75	0.55	0.37	0.10	0.19	0.26	0.19	0.31	0.40	0.40	0.66	0.69
Oilseeds	0.50	0.41	0.24	0.17	0.17	0.23	0.34	0.34	0.32	0.27	0.38	0.51

506 3.2.4 Implementation of PESERA-LP

507 The PESERA-LP model adheres to the operational framework of the original
 508 PESERA model, providing both equilibrium and time series modes. In the
 509 equilibrium mode, the model uses multi-year average monthly data as inputs
 510 and, through an iterative process, reaches equilibrium to output average
 511 erosion rates as simulation results. The mode is primarily utilized for long-term
 512 assessment and extensive spatial analysis of soil erosion rates.

513

514 In contrast, the time-series mode requires detailed monthly data inputs to
 515 generate continuous monthly outputs, primarily focusing on the temporal
 516 dynamics of erosion rates and capturing extreme erosion events within the
 517 study period. However, the large data processing requirement associated with

518 the mode may become a limiting factor when applied to scenarios with high
519 spatial resolution and extensive scales. Consequently, the selection of an
520 appropriate mode is based on the study objectives, data availability and
521 computational capabilities needed before applying the PESERA-LP model.

522

523 As with the original PESERA, PESERA-LP also required 128 input layers.
524 However, the terrain factor and soil erodibility in PESERA-LP were derived
525 differently from the original PESERA (Equations 8 and 16).

526 3.2.5 Validation of PESERA-LP

527 Modelling results of PESERA-LP were compared with measured data to
528 validate the model performance and to assess the applicability of the model on
529 the Loess Plateau. The model results were evaluated using R^2 , root mean
530 square error (RMSE) and Nash-Sutcliffe efficiency coefficient (NSE) to
531 quantitatively evaluate the model simulation accuracy in this study. The RMSE
532 and NSE were derived as follows and R^2 was calculated using Equation 4:

$$533 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (S_i - O_i)^2}{n}} \quad (18)$$

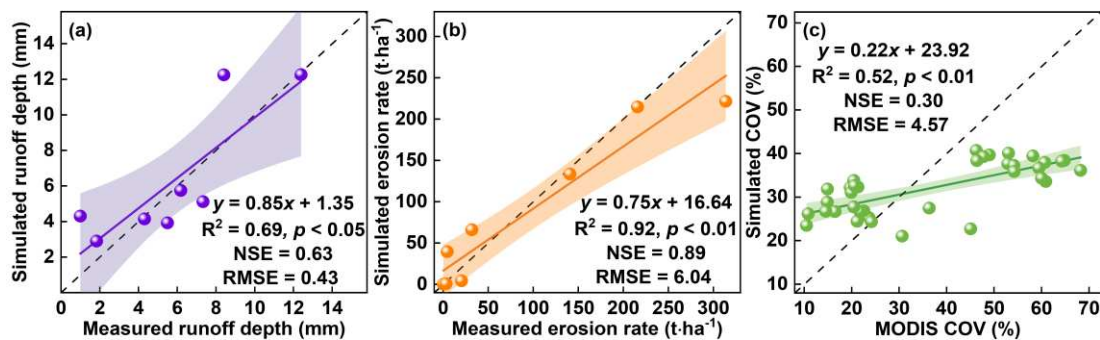
$$534 \quad NSE = 1 - \frac{\sum_{i=1}^n (O_i - S_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (19)$$

535 where: O_i is measured values, S_i is simulated values, and \bar{O} is the average
536 of observed values.

537 **4. Model validation results**

538 4.1 Equilibrium mode

539 The validation of the equilibrium mode of PESERA-LP was based on annual
540 runoff and sediment datasets from the small catchments as well as annual
541 MODIS vegetation cover data. Runoff depth modelling results exhibited an
542 RMSE of 0.47 mm a⁻¹ and an NSE of 0.63 (Fig. 6a). Similarly, the erosion
543 module revealed a relatively high modelling precision, with an RMSE of 6.04 t
544 ha⁻¹ a⁻¹ and an NSE of 0.89 (Fig. 6b). Modelled vegetation coverage of the
545 localized vegetation growth module revealed a significant correlation with
546 MODIS coverage, with an RMSE of 4.57% a⁻¹ and an NSE of 0.30 (Fig. 6c).



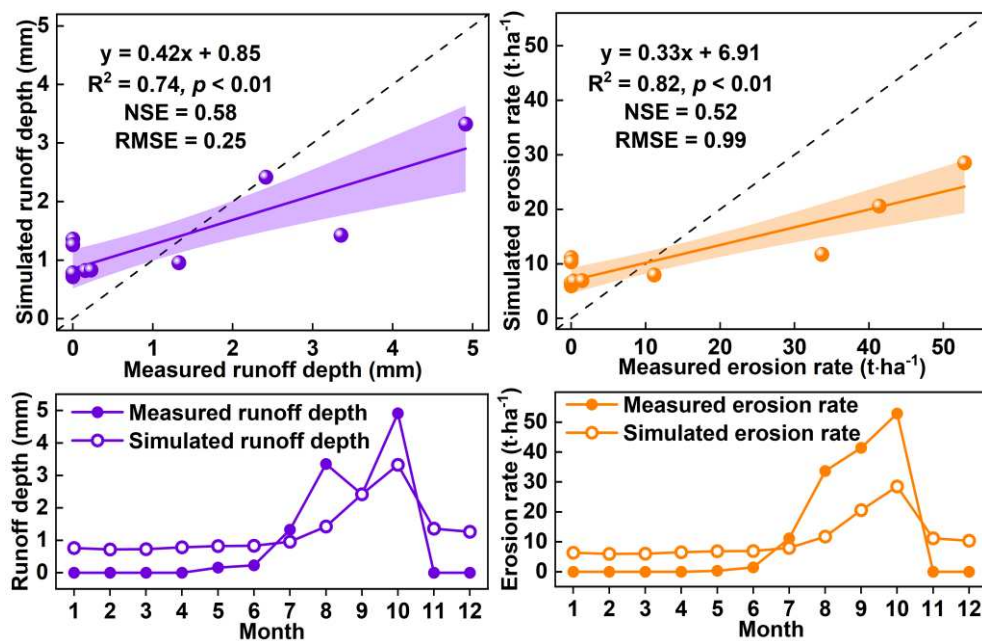
547

548 **Fig.6** Validation of the equilibrium mode of PESERA-LP, including validation results for
549 modelled runoff depth (a), modelled erosion rates (b) and modelled vegetation coverage
550 (c).

551 4.2 Time-series mode

552 Monthly runoff depth and erosion rate data from the QZXG in 2003 were
553 employed to evaluate the effectiveness of the hydrological and erosion modules.

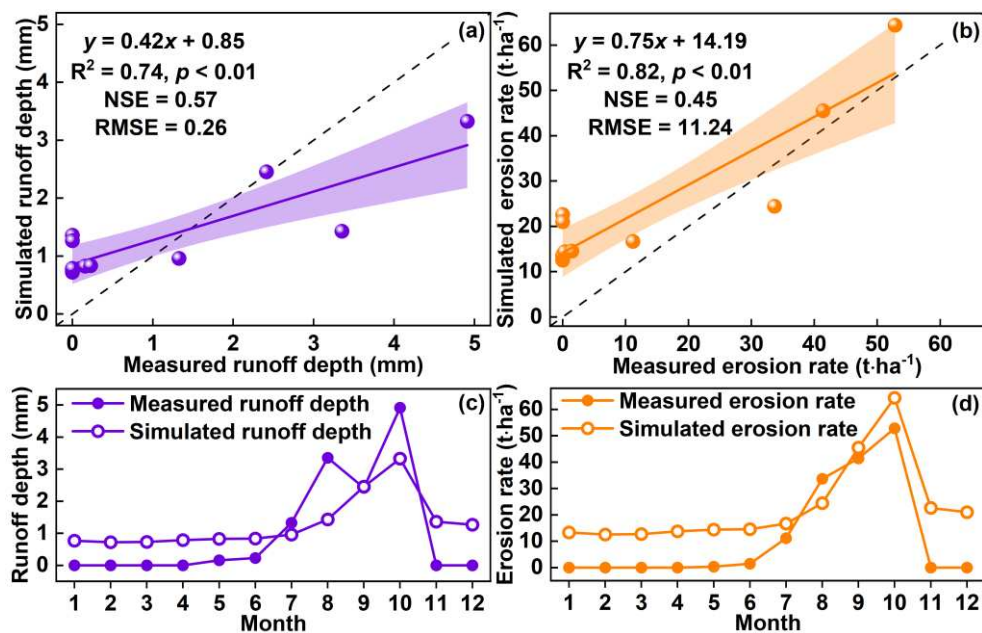
554 Given the relatively small size of the QZXG area, a direct comparison of
 555 modelled monthly vegetation coverage and the relatively low resolution of
 556 MODIS results may result in considerable uncertainties. An indirect validation
 557 was conducted. Vegetation cover derived by the vegetation growth module was
 558 used to drive PESERA-LP, whilst the derived runoff depth and erosion rates
 559 were compared with field measurements.



560
 561 **Fig.7** Validation of runoff depth and erosion rates modelled by the time-series mode of
 562 PESERA-LP with vegetation coverage derived from MODIS dataset, including scatter plots
 563 and line graphs for runoff depths and erosion rates validation.

564
 565 The validation results showed that the model reliably simulated both runoff
 566 depths and erosion rates for the time-series mode. Specifically, the model
 567 exhibited a RMSE of 0.25 mm m⁻¹ and an NSE of 0.58 for runoff depth

568 simulations, while for erosion rate simulations the validation results indicated
 569 an RMSE of 0.99 t ha⁻¹ m⁻¹ and an NSE of 0.52 (Fig. 7). A comparison of monthly
 570 simulation results with field measurements indicated that the model tended to
 571 overestimate lower values and underestimate higher ones for both runoff depth
 572 and erosion rate. Notably, during January to April and November to December,
 573 the model predicted non-zero values for both runoff depths and erosion rates,
 574 despite zero measurements being recorded during these periods. The peak
 575 values for both simulated runoff depths and erosion rates occurred in October,
 576 aligning with the seasonal variations observed in the actual data.



577

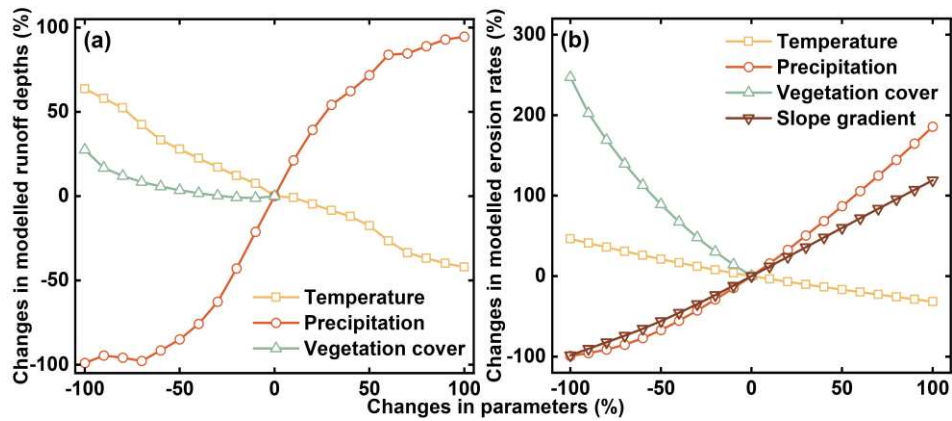
578 **Fig.8** Validation of the PESERA-LP time-series mode with vegetation coverage generated
 579 by vegetation growth module, including scatter plots and line graphs comparing simulated
 580 runoff depths and erosion rates against measured data from January to December 2003

581

582 The indirect validation results (Fig. 8) revealed an RMSE of 0.26 mm m^{-1} for the
583 runoff depth and $11.24 \text{ t ha}^{-1} \text{ m}^{-1}$ for the erosion rate, with NSE values of 0.57
584 and 0.45, respectively. Modelling accuracy was generally comparable with the
585 results based on vegetation derived from MODIS datasets, although the
586 precision of erosion rate simulations slightly decreased. This demonstrated that
587 the modelling accuracy for the time-series mode of PESERA-LP for vegetation
588 was acceptable.

589 4.3 Sensitivity analysis

590 Sensitivity of PESERA-LP to crucial input variables, including precipitation,
591 temperature, topography and vegetation coverage, was investigated, taking the
592 conditions of HJG from 2001 to 2012 as a benchmark, which included a mean
593 annual precipitation of 403 mm, mean annual temperature of 8.71°C , mean
594 slope gradient of 7.98° and mean vegetation cover of 17.49%. The benchmark
595 value of parameters was adjusted separately to assess their impacts on
596 modelling results. The precipitation, temperature and slope gradient varied from
597 -100% to +100%, while vegetation cover varied from -100% to 0% at 10%
598 increments.



599

600 **Fig.9** Sensitivity analysis of PESERA-LP to key environmental parameters at HJG, 2001-
 601 2012: sensitivity of runoff depths to changes in vegetation cover, precipitation, and
 602 temperature (a); sensitivity of erosion rates to changes in slope gradient, vegetation cover,
 603 precipitation, and temperature (b).

604

605 Results showed that modelled runoff depth decreased with increased
 606 temperature and vegetation coverage. Modelled erosion rates increased with
 607 rising precipitation and slope gradient and reduced with elevated temperature
 608 and vegetation coverage. Notably, runoff depth was highly sensitive to changes
 609 in precipitation; for instance, a 1.5-fold increase in precipitation significantly
 610 amplified the modeled runoff depth by approximately 1.72-fold. Furthermore,
 611 although temperature changes did impact the simulation outcomes, their
 612 impacts on soil erosion rates were low compared to precipitation. Vegetation
 613 cover exerted the lowest impact on modelled runoff production, compared to
 614 precipitation and temperature. However, vegetation coverage exerted the
 615 highest impact on modelled erosion rates, followed by precipitation, slope, and

616 temperature. Notably, reductions in vegetation did not only increase runoff
617 depths but also exacerbated erosion rates. In extreme cases where vegetation
618 was entirely absent, erosion rates were modelled to rise to nearly three times
619 that of the benchmark.

620 **5. Discussion**

621 5.1 Advantages of the adapted modelling approach

622 PESERA-LP represents the first regional-scale process-based erosion model
623 developed for the Loess Plateau. A comprehensive validation of the runoff
624 module, erosion module and vegetation growth module showed that PESERA-
625 LP had a high robustness for simulating both runoff depth and erosion rate on
626 the Loess Plateau. The adapted model was able to reasonably simulate and
627 predict both long-term average and continuous monthly erosion rates,
628 demonstrating its capability for dynamic soil erosion scenario simulations.
629 PESERA-LP is able to operate under different climate change and land-use
630 change scenarios, providing an effective tool for assisting in the allocation of
631 resources for soil and water resource conservation, which is crucial for the
632 Loess Plateau (Jian et al., 2024; Zhai et al., 2023).

633

634 In our study, the critical parameter (P in Equation 1) of the hydrological module
635 of PESERA was directly linked with precipitation, facilitating the large-scale

636 application of the model. On the Loess Plateau, precipitation is almost the only
637 source for soil moisture (Jia et al., 2017), which implies that the intensity and /,
638 or amounts of precipitation, should be important factors for the efficiency of
639 precipitation converted to runoff, thereby implying that our results are
640 reasonable. In addition, our scheme was not only beneficial for the use of
641 PESERA over the Loess Plateau, but also provided a valuable reference for the
642 calibration of other large-scale models over areas with highly varying
643 environmental conditions.

644

645 Previous studies have demonstrated the limitations of the original PESERA to
646 simulate erosion rates on steep slopes (Li et al., 2020). Topography is the
647 primary factor affecting soil erosion rates on the Loess Plateau, especially on
648 steep slopes exceeding 25 degrees, where erosion is the most serious (Sun et
649 al., 2014; Xin et al., 2008). PESERA-LP has incorporated the adapted S factor
650 of RUSLE that takes account of steeply sloping conditions. The model has been
651 validated in typical small catchments of the plateau, showing a strong
652 robustness and improved sensitivity to topography. In the original PESERA
653 model, E_b was determined by classifying soils based on their particle size and
654 assigning values to each category. However, the classification method exhibited
655 considerable uncertainty when applied to wider regions, often requiring expert
656 assessment to recalibrate E_b values, thus limiting the transferability of the

657 model. In our study, we incorporated the erodibility of the EPIC model (K_{EPIC}),
658 which can be quantitatively determined based on soil organic carbon and
659 particle size data, largely improving the applicability of the model.

660

661 Vegetation is a major influence on erosion rates of the Loess Plateau (Durán
662 Zuazo and Rodríguez Pleguezuelo, 2008; Zhang et al., 2022; Zhao et al.,
663 2022a). The relationship between COV and K was optimized through a
664 comparative analysis. The formula $\frac{COV}{1-COV}$ expressed the effect of COV on K,
665 effectively capturing the threshold and saturation effects of ecological
666 processes (Gao et al., 2023; Schmidt et al., 2018; Zhu et al., 2021). The
667 relationship between COV and soil erosion follows an exponential form (Elwell
668 and Stocking, 1976; Nunes et al., 2011). The exponential function effectively
669 captured the accelerated changes that occur in natural ecosystems
670 approaching threshold levels (Osterkamp et al., 2012), illustrating the nonlinear
671 relationship between COV and K (May, 1976). In this case, PESERA-LP is able
672 to reproduce real-world situations, where an increase of vegetation cover from
673 none to a moderate level significantly reduces soil erosion rates while the
674 protective effect of vegetation gradually decreases once the cover reaches a
675 critical threshold (Wang et al., 2016).

676 5.2 Comparative evaluation of model accuracy

677 Li et al. (2020) validated the original PESERA in the HJG and YJG_H
 678 catchments and found relative errors (RE) of 73.42% and 65.15% for modelled
 679 erosion rates (Table 4), which was considerably higher than that achieved in
 680 our study for PESERA-LP (13.98%,14.18%). An apparent decrease in the
 681 relative errors directly demonstrates the effectiveness of our modifications to
 682 PESERA.

683 **Table 4** Comparison of PESERA-LP and the model performance of previous studies in
 684 the Loess Plateau

Source	Model	Small catchment	Period	RMSE	NSE	RE	RMSE (PESERA-LP)	NSE (PESERA-LP)	RE (PESERA-LP)
(Li et al., 2020)	PESERA	HJG, YJG_H	2001-2011 average	-	-	73.42 65.15	-	-	13.98 14.18
(Li et al., 2021a)	USLE	YJG_H, YJG_J, BM, HJG, DZG	2005,2010	29.76	0.20	-	10.01	0.73	-
(Li et al., 2022)	RUSLE	YJG_H, YJG_J, QG, BM, DZG, QZXG, HJG	2001-2014	33.91	0.16	-	7.26	0.56	-

685
 686 USLE (Li et al., 2021a) and RUSLE (Li et al., 2022) have been the primary tools
 687 applied at large scales across the entire Loess Plateau. An accuracy
 688 comparison of our study with USLE / RUSLE results, in terms of RMSE and
 689 NSE demonstrated that PESERA-LP surpassed these models in simulating

690 erosion rates, as detailed in Table 4. Notably, unlike the empirically-based
691 USLE and RUSLE, PESERA-LP is capable of simulating runoff generation,
692 erosion rates and vegetation growth, providing more detailed insights into the
693 underlying processes.

694 5.3 Model sensitivity analysis

695 The sensitivity analysis of PESERA-LP indicated that increased precipitation
696 resulted in increased modelled runoff depth and soil erosion rates, which is
697 consistent with the conclusions obtained from the application of the PESERA
698 model in Turkey by Berberoglu et al. (2020). Modelled runoff production
699 increased rapidly with precipitation, with the changing rate being relatively low
700 at the highest and lowest range of precipitation. Such a phenomenon may be
701 attributed to the notion that precipitation tends to infiltrate when the soil is dry,
702 leading to low surface runoff production (Ma et al., 2022). As precipitation
703 continues to increase, the soil gradually reaches saturation, leading to a
704 decrease in infiltration rate. Consequently, a larger proportion of the
705 precipitation is converted into surface runoff, substantially increasing the
706 volume of runoff. As precipitation continues at a rate above the infiltration
707 capacity of the soil, a relatively stable surface runoff production ensues (Miao
708 et al., 2020).

709

710 Sensitivity analysis also demonstrated that increased vegetation coverage
711 effectively reduced runoff depth and erosion rates, underscoring the critical role
712 of vegetation in protecting soil erosion and water resources. Vegetation
713 effectively intercepts precipitation through its cover and root structures,
714 mitigating the direct impact of raindrops on the soil and thereby reducing the
715 effects of splash erosion (Shi et al., 2022). Furthermore, the root systems of
716 plants enhance the shear strength of soil and improve its permeability (Zhang
717 et al., 2019), which reduces runoff depths and soil erosion rates (Gyssels et al.,
718 2005).

719

720 In addition, sensitivity analyses also demonstrated that modelled erosion rates
721 were rather sensitive to changes in slope gradients. Compared to the original
722 PESERA, PESERA-LP exhibited enhanced sensitivity to changes in slope
723 gradients. Given a benchmark slope gradient of 7.89, erosion rates predicted
724 by the original PESERA increased by approximately 76% when the slope
725 gradient doubled (Li et al., 2020), whereas PESERA-LP indicated an erosion
726 rate increase of about 119%. This further confirms the feasibility of model
727 adaptations compared to the original PESERA, better reflecting the role that
728 topography plays in Loess Plateau erosion.

729

730 In contrast, temperature changes had a minor effect on modelled runoff depth

731 and modelled erosion rates, affecting runoff depth indirectly through changes in
732 evapotranspiration. As temperatures rise, evapotranspiration generally
733 increases, leading to a reduction in soil moisture and a subsequent decrease
734 in surface runoff (Trenberth, 1999; Zhou et al., 2024). Additionally, temperature
735 variations indirectly affect vegetation cover by altering plant growth conditions,
736 which further affects erosion processes (Mondal and Mishra, 2024).

737

738 We also found that the sensitivity of soil erosion to vegetation cover was
739 markedly greater than that to slope. This finding aligns with results from the
740 analyses by Zhao et al. (2016) and Zhao et al. (2022b), based on field data
741 from watersheds and plots in China. Those studies found that the correlation
742 between vegetation cover and soil erosion was stronger than that with slope
743 gradient. Meanwhile, it should be noted that the impacts of vegetation cover
744 and slope gradient on soil erosion exhibited complex interactions, with
745 sensitivity varying under diverse environmental conditions. Specifically, in
746 regions with a higher vegetation cover, the sensitivity of soil erosion to slope
747 gradient was relatively lower; conversely, in areas with a lower vegetation cover,
748 the influence of slope gradient variations on soil erosion was more pronounced
749 (He et al., 2023; Sun et al., 2021).

750

751 5.4 Limitations of the modelling approach

752 Our modelling approach is subject to several limitations. Firstly, although the
753 vegetation growth module is able to simulate vegetation growth, the module is
754 insufficiently parameterized to cover the full range of functional vegetation types,
755 and is limited by absence or inadequate representation of some processes (e.g.
756 fires) (Kirkby et al., 2008). This constrained the accuracy of the module.
757 Therefore, when high-resolution remote sensing imagery is available, it is
758 preferred in order to enhance the accuracy of modelling. The vegetation growth
759 module, calibrated to global biomass data (Kirkby and Neale, 1987), is capable
760 of dynamically simulating changes in vegetation cover while accounting for the
761 characteristic stabilization of vegetation growth over time. However, the model
762 lacks the capacity to capture the intricate dynamic processes in vegetation
763 growth, including the effects of soil nutrients, moisture, and climatic conditions,
764 which are not adequately reflected in the module. Consequently, the accuracy
765 of vegetation cover simulation is relatively low in small-scale watershed
766 validation. Future research could integrate soil and climatic conditions to
767 optimize the model, thereby enhancing the simulation accuracy of dynamic
768 changes in vegetation cover.

769

770 Secondly, in the model, individual storms are integrated over the frequency
771 distribution of storms, which is substituted by the daily rainfall distribution. The

772 model estimates runoff production based on monthly average soil moisture,
773 which exhibits seasonal variations (Kirkby et al., 2008). This simplification
774 weakens the ability of PESERA to fully capture the dynamic impacts of
775 consecutive extreme rainfall events occurring over short periods. While this
776 may result in some loss of information, it effectively balances model accuracy
777 with computational efficiency. In semi-arid regions such as the Loess Plateau,
778 where soils typically remain dry between significant rainfall events (Shi et al.,
779 2011), the cumulative effects of consecutive rainfall events on runoff are
780 minimal. As a result, the use of monthly average soil moisture to estimate runoff
781 dynamics is not significantly compromised, minimizing the impact of information
782 loss. Therefore, although the model has limitations in simulating the impact of
783 high-intensity storms on soil erosion, particularly over short timescales, it
784 remains suitable for regional-scale erosion simulation, especially in regions
785 where soil moisture dynamics show a relatively weak response to short-term
786 extreme events.

787

788 Thirdly, the digital elevation model (DEM) used for PESERA-LP has a resolution
789 of 100 meters, derived from the 2000 NASA SRTM data. Although the resolution
790 is sufficient for simulating soil erosion at a regional scale, it may not fully capture
791 micro-topographic variations that could influence soil erosion (Chidi et al., 2021).
792 Future research could be undertaken using time-series topographic data that

793 reflect dynamic changes in topographic features (e.g. those derived using
794 Synthetic Aperture Radar) to improve the accuracy of erosion predictions. In
795 addition, PESERA-LP has the potential for application over wider regions.
796 However, the applicability of PESERA-LP to other places outside the Loess
797 Plateau requires further research and validation, given that the derivation
798 method for key parameters of PESERA-LP (e.g. K in Equation 16) was
799 developed specifically for the Loess Plateau environment.

800

801 Additionally, although we endeavored to collect datasets from different times
802 and locations that met the criteria for the validation of the PESERA-LP model,
803 the data used for model development were still limited, particularly by the
804 absence of monthly and up-to-date measurements. Although validation results
805 demonstrated the reliability of PESERA-PEAT, the precision of simulations
806 within the time-series mode has been constrained. Underestimations of peak
807 runoff depths and erosion rates in the time-series mode may stem from model
808 development and calibration, which primarily relied on annual data rather than
809 incorporating monthly measurements. Despite data from very recent years
810 being unavailable, the data used in this study are representative and sufficiently
811 reliable for the scope of the research. Nonetheless, the incorporation of more
812 up-to-date data is desirable. In the future, more effort is required to collect field
813 data for further validation and improvement of PESERA-LP.

814

815 Datasets included the effects of mass movements on steep slopes. However, a
816 separate measurement for mass movement was rather rare, making an explicit
817 integration of mass movement processes impossible. Remote sensing
818 technologies, such as unmanned aerial vehicle (UAV) light detection and
819 ranging (LiDAR) and structure from motion (SfM) photogrammetry, allow rapid
820 monitoring of soil erosion (e.g. mass movement) over relatively large areas (Li
821 et al., 2024; Li et al., 2023), providing a promising way of explicitly incorporating
822 mass movement processes. In addition, the established model currently does
823 not include routing algorithms, limiting its use for modelling sediment transport
824 over landscapes. In the future, sediment transport algorithms should also be
825 incorporated into the model to improve the capability of process description in
826 the model.

827 **6. Conclusions**

828 In this study, the PESERA-LP model was developed as a new scheme
829 specifically tailored for topographically complex regions. In PESERA-LP, the
830 crucial parameter of the hydrological module was spatialized through its relation
831 with precipitation. A slope factor for steeply-sloping conditions was incorporated
832 to account for complex terrain. Erodibility was refined through integrating the
833 erodibility factor of the EPIC model and a reasonable expression of impacts of

834 vegetation coverage. The hydrological, erosion and vegetation growth modules
835 of the PESERA-LP model were validated based on field measurements in the
836 equilibrium and time series modes, respectively, demonstrating that the model
837 was applicable across the Loess Plateau. PESERA-LP, when operated in
838 equilibrium mode, was adept at assessing the long-term impacts of erosion
839 across vast areas with high spatial resolution. In time-series mode, it was
840 appropriate for evaluating continuous monthly erosion risks and the effects of
841 extreme events such as heavy rainfall and droughts. The development of
842 PESERA-LP provides a good reference for use and adaptation of a regional-
843 scale, process-based erosion model for other parts of the world. In the future,
844 further effort should be made to incorporate more erosion processes in the
845 model (e.g. mass movement, sediment transport). More field measurements
846 should also be collected through various methods to facilitate refinement of the
847 model.

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