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# Quantifying Sahel Runoff Sensitivity to Climate Variability, Soil Moisture and Vegetation Changes Using Analytical Methods

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## Abstract

Whilst substantial efforts have been deployed to understand the “Sahel hydrological paradox”, most of the studies focused on small experimental watersheds around the central and western Sahel. To our knowledge, there is no study on this issue covering all the watersheds located within the Sahelian belt. The absence of relevant studies may be attributed to a sparsity of in situ data leading to a dearth of knowledge on the Sahel hydrology. To fill this knowledge gap, the present study leverages analytical methods and freely available geospatial datasets to understand the effects of climatic factors, soil moisture and vegetation cover changes on surface runoff in 45 watersheds located within the Sahelian belt over two decades (2000–2021). Analyses show increasing trends in annual precipitation and potential evapotranspiration (PET) in more than 80% of the watersheds. Surface runoff, soil moisture (SM), and vegetation cover measured using the normalised difference vegetation index (NDVI) also show increasing trends in all the watersheds. Multivariable linear regression (MLR) analyses reveal that precipitation, PET, SM, and NDVI contribute about 62% of surface runoff variance. Further analyses using MLR, and the partial least squares regression (PLSR) show that precipitation and NDVI are the main factors influencing surface runoff in the Sahel. Elasticity coefficients reveal that a 10% increase in precipitation, SM and NDVI may lead to about 22%, 26% and 45% increase in surface runoff respectively. In contrast, a 10% increase in PET may lead to a 61% decline in surface runoff in the Sahel. This is the first hydrological study covering all the watersheds located within the Sahelian belt with results showing that surface runoff is influenced by climate, SM and NDVI to varying degrees. Given the unique hydrological characteristics of the Sahel, a better understanding of the different factors influencing surface runoff may be crucial for enhancing climate adaptation and ecological restoration efforts in the region such as the Great Green Wall Initiative.

**Keywords** Analytical methods · Budyko framework · Elasticity concept · Geospatial data · Sahel hydrological paradox · Variable Importance in Projection

## 1 Introduction

Surface runoff is an important component of the hydrological cycle, crucially important for providing a reliable source of water for domestic consumption; food and hydropower

production; and ensuring ecosystems sustainability (Greve et al. 2018; Baggio et al. 2021). According to the Intergovernmental Panel on Climate Change (IPCC) report, climate change is going to alter hydrological conditions in many regions (Mirzabaev et al. 2022). This is especially the case in dryland regions such as the Sahel where climatic factors have substantial impact on surface runoff (Wendling et al. 2019; Gbohouni et al. 2021). Surface runoff is also strongly influenced by soil moisture, landscape characteristics and vegetation cover which all vary in space and time (Liu et al. 2017). Therefore, it is imperative to understand the relative contributions of the different factors on surface runoff as this may be crucial to enhance water management and ecological restoration efforts in dryland areas.

Different methods have been employed to investigate the effects of climate and vegetation cover changes on surface

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runoff including numerical modelling (Dembélé et al. 2022; Ebodé et al. 2022), experimental approaches involving paired catchment studies and analytical methods (Dey and Mishra 2017; Avand et al. 2021; Nkiaka and Okafor 2024). Despite the plethora of methods, hydrological models remain the most widely used approach probably because of their ability to explain physical processes to some extent (Addor and Melsen 2019). However, hydrological models require many parameters for calibration which may increase the uncertainty in the results (Nkiaka et al. 2018; Herrera et al. 2022; Wu et al. 2021). Furthermore, the application of hydrological models requires considerable input data including observed surface runoff, which is crucial for constraining the models, thus limiting their application in data-scarce regions (Nkiaka 2022). On the other hand, experimental methods involving paired catchment studies can take longer time and resources. Due to these challenges, the use of analytical methods to quantify the impact of climate variability and vegetation cover change on surface runoff is becoming increasingly popular (Hasan et al. 2018; Avand et al. 2021; Zhang et al. 2023). Analytical methods use mostly mathematical analysis based on the assumption that the basin water balance remains constant over a long period of time without significant changes in climate and basin characteristics (Dey and Mishra 2017; Zhang et al. 2023). Widely used analytical methods include the Elasticity concept (Liu et al. 2017; Tsai 2017), the Budyko framework (Gunkel and Lange 2017; Abera et al. 2019) and statistical techniques such as the partial least squares regression (PLSR) (Gebremicael et al. 2019; Zhou et al. 2021). The main advantage of analytical methods is that they do not require much input data to produce results that are useful in most hydrological applications (Hasan et al. 2018), making such methods well suited for data-scarce regions such as the Sahel (Gunkel and Lange 2017; Abera et al. 2019).

The present study focuses specifically on the Sahel region due to its high vulnerability to climate change and variability and other environmental stressors such as land degradation (Wendling et al. 2019). For example, previous studies found that the Sahel was particularly sensitive to climate variability and land degradation which led to the first “Sahel hydrological paradox”. This was characterised by a significant increase in surface runoff despite a substantial decline in annual rainfall during the mega Sahel drought that lasted from the 1970s to mid-1990s (Descroix et al. 2009; Gal et al. 2017; Yonaba et al. 2021). Recent studies in the Sahel have shown a progressive recovery in rainfall (Sanogo et al. 2015; Gbohoui et al. 2021) and a general re-greening (Kaptué et al. 2015; Souverijns et al. 2020; Jiang et al. 2022). However, the re-greening of the Sahel has not led to a decline in surface runoff as expected which has been termed the second “Sahel hydrological paradox” observed from around the year 2000 (Descroix et al. 2018; Gbohoui

et al. 2021; Yonaba et al. 2021). However, most studies reporting on these findings and wider hydrological processes in the region have focused on small experimental watersheds mostly around the central Sahel (Gal et al. 2017; Grippa et al. 2017; Wendling et al. 2019; Yonaba et al. 2021). To our knowledge, there is no study reporting on the “Sahel hydrological paradox” that covers all the watersheds located within the Sahelian belt from western to eastern Sahel. This is a key knowledge gap on the Sahelian hydrology that the present study intends to address.

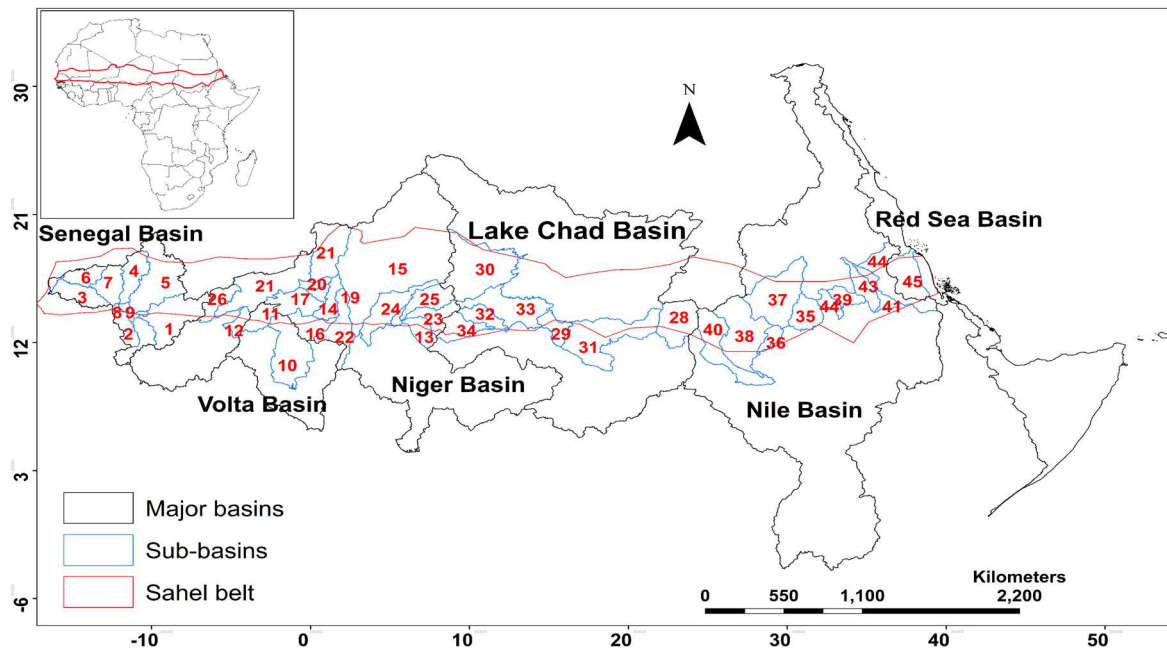
The availability of long-term and high-resolution surface runoff data derived from water resources reanalysis (WRR) such as Famine Early Warning Systems Network (FEWS NET) Land Data Assimilation System FLDAS and other geospatial data including the fifth-generation atmospheric reanalysis of the European Centre for Medium-Range Weather Forecast (ERA5), Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS) and normalised difference vegetative index (NDVI) used for monitoring vegetation cover change now makes this study feasible and extremely timely. However, the adoption of geospatial data for such studies requires careful validation (Dembélé et al. 2020a, 2020b; Nkiaka et al. 2022), to ensure that the results of the analyses are fit for purpose.

From the above, the objectives of this study are to: (1) analyse trends in annual surface runoff, climatic variables, and vegetation cover over a period of two decades (2000–2021), (2) identify the dominant climatic and environmental factors influencing surface runoff over the same period and, (3) quantify the impact of climate factors, soil moisture and vegetation cover on surface runoff across the Sahel over the same time period.

## 2 Study Area and Data

### 2.1 Study Area

Stretching from Senegal to Djibouti with a length of 8000 km, the Sahelian belt lies between latitude 12°N and 20°N and 20°W and 40°E. The estimated population living in the Sahel is about 150 million spread across parts of Burkina Faso, Chad, Eritrea, Mali, Mauritania, Niger, Nigeria, Senegal, and Sudan (Fig. 1). It is one of the largest water-limited and fragile ecosystems in the world; extremely vulnerable to the impacts of climate change and variability and prone to land degradation (Wendling et al. 2019; Mirzabaev et al. 2022). The population is mostly agrarian and depend on rain-fed agriculture and animal husbandry for livelihood, making them vulnerable to food insecurity due to erratic rainfall and poor soil quality (Jellason et al. 2021). The present study covers 45 watersheds (Table 1) nested within six major hydrological



**Fig. 1** Map of the study area showing the Sahel belt, major basins, and sub-basins. Sub-basins are numbered from left to right starting with the Senegal basin

basins including Senegal, Volta, Niger, Lake Chad, Nile, and Red Sea (Fig. 1). The sub-basins cover a total area of about 3,021,412 km<sup>2</sup> ranging in size from 4095 km<sup>2</sup> (Senegal 3) to 541,267 km<sup>2</sup> (Dallol Bosso) (Table 1). Shapefiles of the nested sub-basins were collected from HydroSHEDs which provides a seamless global coverage of consistently sized and hierarchically nested sub-basins at different scales using high-resolution Shuttle Radar Topographic Mission digital elevation model (Lehner and Grill 2013). Shapefiles from HydroSHEDs have been used extensively in several studies (Gebrechorkos et al. 2022; Odongo et al. 2023; Zhang et al. 2023).

## 2.2 Data

### 2.2.1 Climate Data

Precipitation data was obtained from CHIRPS at a spatial resolution of 0.05° × 0.05° and covers the period from 1981 to present at a daily timescale (Funk et al. 2015). CHIRPS has been validated e.g., (Abdourahmane 2021) and used in different studies in the Sahel e.g., (Elagib et al. 2021). Potential evapotranspiration (PET) data were obtained from ERA5 which is a reanalysis product providing global estimates of atmospheric and climatic variables at a spatial resolution of 0.25° from 1979 to present. ERA5 data has been used extensively for

different studies in the Sahel e.g., (Dembélé et al. 2020a, 2020b; Elagib et al. 2021; Jiang et al. 2022).

### 2.2.2 Surface Runoff, Soil Moisture and Evapotranspiration Data

Due to a lack of long-term in situ data, we employed surface runoff, soil moisture (SM), and actual evapotranspiration (ET) data from FLDAS-Noah WRR which is a custom instance of the NASA Land Information System (LIS) framework (McNally et al. 2017). FLDAS-Noah is a land surface model (LSM) and the latest version of Noah used in FLDAS has a spatial resolution of 0.1° at monthly timescale. Surface runoff, and ET data from FLDAS-Noah have been validated in several basins in West Africa (Nkiaka et al. 2022). SM data used in this study range from 0–100 cm.

### 2.2.3 Vegetation Cover Data

Normalised Difference Vegetation Index (NDVI) data were used as a proxy for vegetation cover. The data came from Moderate Resolution Imaging Spectroradiometer (MODIS) satellite MOD13A1 V6 at a temporal resolution of 16 days and at 500 m spatial resolution available since 2000 (Didan 2015). NDVI data from MODIS have been

**Table 1** Characteristics of the sub-basins located across the Sahel belt

Major Basin	Sub-basin	Number	Area (km <sup>2</sup> )
Senegal	Bakoy	1	101,792
	Faleme	2	29,880
	Ferlo	3	45,131
	Karakoro	4	47,574
	Kolinbine	5	124,013
	Senegal 1	6	30,152
	Senegal 2	7	43,436
	Senegal 3	8	4,094
	Senegal 4	9	7,086
Volta	Nakanbe	10	110,851
	Sourou	11	31,072
Niger	Bani 1	12	18,610
	Bunsuru	13	31,043
	Dallol Maouri	14	71,197
	Dallol Bosso	15	541,267
	Faga	16	39,113
	Gorouol	17	53,944
	Niger 9	18	60,907
	Niger 10	19	31,167
	Niger 11	20	11,467
	Niger 12	21	12,352
	Niger 13	22	123,166
	N' kaba	23	38,787
	Rima	24	6,585
Tarka	25	48,140	
Tchegue	26	32,872	
Tilemsi	27	87,033	
Lake Chad	Bahr Azum	28	77,960
	Bouluo	29	31,407
	Dillia	30	162,385
	Fitri	31	143,576
	Komadugu Yobe 1	32	33,318
	Komadugu Yobe 2	33	97,568
Nile	Koramas	34	43,751
	Abu Hut	35	45,971
	Al Ghallah	36	17,948
	Al Malik	37	125,049
	Bandah	38	129,875
	Blue Nile 1	39	23,014
	Gelha	40	90,900
	Nahr Atbarah 1	41	25,088
	Mereb Wenz	42	73,113
	Wadi Atshan	43	33,722
	White Nile 1	44	17,690
Red Sea	Nahr al Qash	45	66,346

used in many studies in West Africa e.g., (Zoungrana et al. 2018; Ibrahim et al. 2021; Jiang et al. 2022).

### 3 Methods

#### 3.1 Gridded Data Aggregation

CHIRPS, ERA5, FLDAS-Noah and NDVI data were aggregated to annual timescale to correspond to the timescale of our analyses and downloaded at their respective native spatial resolutions using the Climate Engine research App [<https://app.climateengine.com>] (Huntington et al. 2017). Climate engine is an open data platform used for accessing, processing, visualizing, and analysing earth observation datasets via a simple web connection, thereby overcoming the computational burden of big data, and providing the ability to customise data download (Huntington et al. 2017). Sub-basins shapefiles may be uploaded to Climate Engine directly from a computer folder or using the Google Earth Engine interface.

#### 3.2 Annual Surface Runoff Coefficient

Annual runoff coefficient defined as the ratio of annual surface runoff to precipitation was estimated using the following equation:

$$C = Q/P \quad (1)$$

where  $C$  is the runoff coefficient,  $Q$  is surface runoff and  $P$  is precipitation.

#### 3.3 Trend and Correlation Analyses

The non-parametric Mann–Kendall (Mann 1945; Kendall 1970) test was used for trend analysis and significance while and Sen's slope estimator (Sen 1968) was used to quantify trend magnitude from 2000 to 2021. The Mann–Kendall trend test and Sen slope estimators are widely used to analyse trends and magnitudes in hydroclimatic timeseries. Trend analyses were conducted at the 5% significance level.

#### 3.4 Factors Influencing Surface Runoff

Different statistical techniques are used to establish the relationship between the dependent variable (surface runoff) and the independent variables (precipitation, PET, SM and NDVI) including multivariable linear regression (MLR) and

partial least squares regression (PLSR). Different statistical techniques are used to explore the strength and complement the weaknesses of each technique. The advantage of using PLSR is that it can mitigate the effects of multi-collinearity and interactions among variables and can also maximise the covariance between two hydrological quantities (Kaushik et al. 2023).

MLR analysis was used to establish the relationship between the rate of change in surface runoff (dependent variable) and the rate of change in independent variables in order to determine which of the independent variables exerts stronger influence on surface runoff. To achieve this, we first standardized the variables by dividing the variable anomalies by the standard deviation of that variable. The relationship between the variables was then estimated using multivariable regression statistics at 5% significance level using Eq. (2). The relative contribution of the rate of change in each independent variable to the rate of change in runoff was estimated from the multivariate regression coefficients using Eq. (3).

$$Y = aX_1 + bX_2 + cX_3 + dX_4 \tag{2}$$

$$r_x = \frac{|a|}{|a| + |b| + |c| + |d|} \tag{3}$$

where  $Y$  is the standardized dependent variable (runoff),  $X_1, X_2, X_3,$  and  $X_4$  are standardized independent variables for precipitation, PET, SM and NDVI;  $r_x$  is the relative sensitivity of surface runoff to these factors while  $a, b, c,$  &  $d$  are the regression coefficients.

Lastly, variable importance in projection (VIP) from PLSR was also used to evaluate the influence of each independent variable on dependent variable considering the collinearity among the variables. To achieve this, the independent variables data matrices are statistically rotated such that only relevant PLSR components are used in predicting the change in the dependent variable (Kaushik et al. 2023). The VIP has been used in different studies e.g., to understand the influence of climate and environmental variables on ET in China (Wu et al. 2023), the impact of land use change on streamflow in Ethiopia (Gebremicael et al. 2019) and the impact of soil moisture-atmosphere feedbacks in mitigating declining water availability in global drylands (Zhou et al. 2021). VIP scores from PLSR analysis are estimated using following equation:

$$VIP = \sqrt{\frac{\sum_{f=1}^F W_{jf}^2 \cdot SSY_f \cdot J}{SSY_{total} \cdot J}} \tag{4}$$

where  $W_{jf}$  is the weight value for  $j$  variable and  $f$  component, and  $SSY_f$  is the sum of squares of explained variance for the  $f^{th}$  component and  $J$  number of independent variables in the

data matrix.  $SSY_{total}$  is the total sum of squares explained of the dependent variable, and  $F$  is the total number of components.  $W_{jf}^2$  is the importance of each  $j^{th}$  variable in  $f^{th}$  component,  $VIP$  is a measure of the global contribution of  $j$  variable in the PLSR model. As a rule of thumb,  $VIP > 1$  is statistically significant to explain the dependent variables as used in different studies (Gebremicael et al. 2019; Wu et al. 2023).

### 3.5 Elasticity Concept

The elasticity concept is used to quantify the effects of climatic factors, SM and NDVI on surface runoff. The elasticity concept is useful for quantifying how a relative change in one variable affects the other variable (Tan et al. 2020). The extensive use of the elasticity concept in hydrology may be attributed to its clear physical meaning and simple formulation (Sankarasubramanian et al. 2001; Khan et al. 2022). Since its original formulation, several other elasticity models have been proposed. For example, Sankarasubramanian et al. (2001) introduced the non-parametric model based on observed long-term hydrometeorological data, Zheng et al. (2009) introduced the least square elasticity model and the multivariable double logarithm and multivariable transformation analyses models were proposed by (Tsai 2017). The least squares elasticity model proposed by Zheng et al. (2009) was adopted in this study because it can overcome the problem associated with small sample size. The model is expressed as:

$$\epsilon = \frac{\bar{X}}{\bar{Q}} \cdot \frac{\sum (X_i - \bar{X})(Q_i - \bar{Q})}{\sum (X_i - \bar{X})^2} = \rho_{X,Q} \cdot C_Q / C_X \tag{5}$$

where  $Q$  is the annual surface runoff and  $X$  represent the annual climatic or environmental variable (precipitation, PET, SM and NDVI) and  $\bar{X}$  and  $\bar{Q}$  represent the multiyear annual mean climatic/environmental variable and surface runoff values respectively.  $\rho_{X,Q}$  is the correlation coefficient between the climatic variable and surface runoff and  $C_X$  and  $C_Q$  are the coefficients of variation of climatic variable and surface runoff, respectively.

### 3.6 Budyko Framework

The Budyko framework (Budyko 1974) is used to understand how climatic factors and catchment characteristics affect the partitioning of precipitation into runoff and evapotranspiration. The framework is based on the long-term water balance and assumes that water and energy are the dominant factors that control how precipitation is partitioned between surface runoff and ET over a long time period. Under hydrological steady state conditions, the partitioning

of precipitation into surface runoff and ET in watersheds is assumed to follow the Budyko curve. If watersheds are assumed to follow the Budyko curve, it is possible to account for reasons why some watersheds deviate from their Budyko curve (Wamucii et al. 2021). Watershed deviation from the Budyko curve may be due to changes in climatic conditions characterized by changes in evaporative and aridity indices which may translate to vertical and horizontal shifts respectively within the Budyko space (Gbohoui et al. 2021).

Several analytical equations of the Budyko curve are available in the literature. This study adopts the one parameter Fu's equation which has been applied extensively in Africa (Li et al. 2013; Abera et al. 2019; Wamucii et al. 2021). In addition, a sensitivity analysis carried out in the Nakanbe basin using different Budyko models showed that the Fu model was suitable in dryland areas (Gbohoui et al. 2021). Moreover, unlike the non-parametric Budyko model that is applicable to large spatial domains and at longer timescales (> 10,000, > 1 year), parametric models are applicable to a wide range of temporal and spatial scales (Donohue et al. 2007). The Fu's equation relates the aridity index (PET/P) (x-axis) to the evaporative index (ET/P) (y-axis) using a dimensionless empirical parameter ( $\omega$ ) that determines the shape of the curve and also accounts for the impact of landscape characteristics such as soil, topography, vegetation and climate variability on water and energy balance (Li et al. 2013). The Fu's equation is given as:

$$\frac{ET}{P} = 1 + \frac{PET}{P} - \left[ 1 + \left( \frac{PET}{P} \right)^\omega \right]^{\frac{1}{\omega}} \quad (6)$$

where  $P$ ,  $ET$ , and  $PET$  are precipitation, actual evapotranspiration, and potential evapotranspiration respectively. Despite its wide application in hydrology, the Budyko framework has been criticized for not being reflective of the dynamic behaviour of individual watersheds (Reaver et al. 2022).

Different techniques have been used to estimate the  $\omega$  parameter. For example, Li et al. (2013), Abera et al. (2019) and Gbohoui et al. (2021) adopted a curve fitting procedure that minimizes the mean square error between the simulated and observed evaporative index. Other studies e.g., Creed et al. (2014) adopted fixed values to represent different land cover classes. This study adopts the curve fitting technique due to its ability to minimize the mean square error between the simulated and observed evaporative index. As such, the values of the  $\omega$  parameter in each watershed are estimated by forcing the Budyko-type model of Fu (1981) with values of  $P$ ,  $PET$ , and  $ET$  over the period 2000–2021. The curve fitting procedure was implemented using the following objective function:

$$obj = \min \sum \left[ \frac{ET}{P} - \left[ 1 + \frac{PET}{P} - \left[ 1 + \left( \frac{PET}{P} \right)^\omega \right]^{\frac{1}{\omega}} \right] \right]^2 \quad (7)$$

To establish the relationship between landscape characteristics and vegetation cover, a scatterplot was created between the NDVI values and the optimized  $\omega$  for all the watersheds and a linear regression model fitted between the two variables.

Lastly, the  $\omega$  values obtained were compared with Eqs. (8) developed by Li et al. (2013) for global river basins and Eq. (9) developed by Gbohoui et al. (2021) for several nested watersheds in the Nakanbe river basin located slightly to the south of the Sahel belt.

$$\omega = 2.36M + 1.16 \quad (8)$$

$$\omega = 6.27M + 0.98 \quad (9)$$

## 4 Results

### 4.1 Spatial Variability in Annual Hydroclimatic and Vegetation Variables

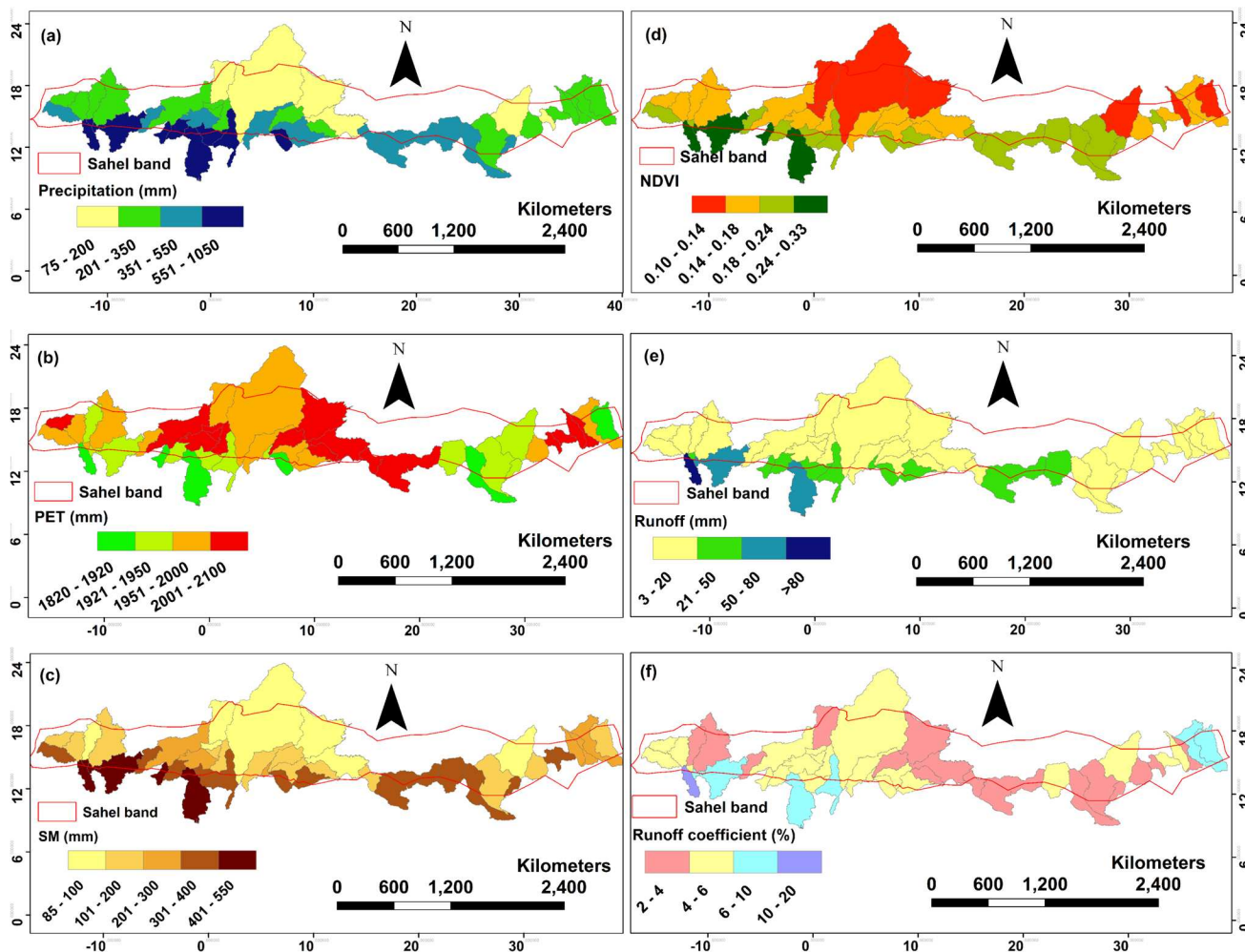
Analyses show strong spatial heterogeneities for annual precipitation across the different sub-basins varying from 1021 mm/year in the Faleme sub-basin (Senegal basin) to 75 mm/year in the Dillia sub-basin Lake Chad Basin (LCB) (Fig. 2a).

PET also varies strongly across the sub-basins ranging from 1800 to 2100 mm/year, while SM follows the same pattern as annual precipitation (Fig. 2b, c). Annual NDVI equally follows a similar pattern as precipitation with sub-basins in the southern portion of the Sahel belt where precipitation is higher showing higher NDVI values. Annual runoff in most sub-basins lies between 3 and 20 mm/year and only exceeds 80 mm/year in one sub-basin located in the Senegal basin (Fig. 2e). Annual runoff coefficient also shows strong spatial variability across the Sahel (Fig. 2f).

### 4.2 Trends in Annual Hydroclimatic and Vegetation Conditions

Annual precipitation shows statistically significant increasing trends in more than 80% of the watersheds, however, there are a few watersheds located in the Senegal and Niger basins with statistically significant decreasing trends (Fig. 3a).

Trends in annual PET also show mixed results with both statistically significant increasing and decreasing trends



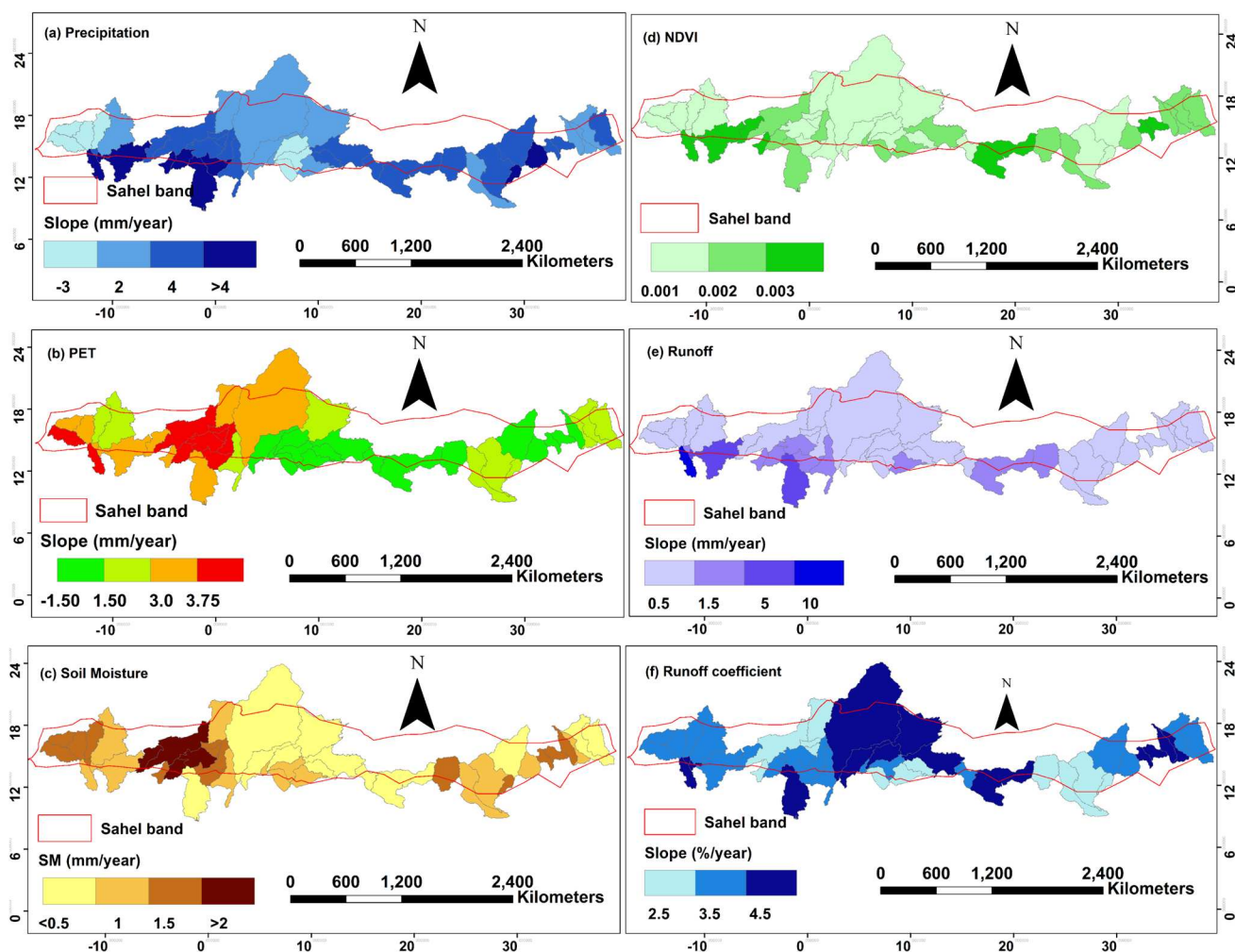
**Fig. 2** Spatial variability in mean annual **a** precipitation, **b** PET, **c** soil moisture, **d** NDVI, **e** runoff and **f** runoff coefficient over the period (2000–2021)

across the study area. Higher trend magnitudes ( $> 3$  mm/year) occur mostly around the central and western Sahel while most watersheds in the eastern Sahel (Lake Chad and Nile basins) show decreasing trends in annual PET ( $- 1.5$  mm/year) (Fig. 3b). Analyses also show statistically significant increasing trends in SM around the central, western and parts of the eastern Sahel (Fig. 3c). Annual NDVI also show statistically increasing trends across the study area with most sub-basins in the south displaying higher trend magnitudes. Statistically significant trends in annual runoff are also observed across the study area with Faleme sub-basin in the Senegal basin showing the highest magnitude in annual runoff ( $> 10$  mm/year) (Fig. 3e). There are also statistically significant increasing trends in annual surface runoff coefficient across all sub-basins ranging from 2 to 4.5% per year. However, trend magnitudes vary across the study area with most nested sub-basins in the

Lake Chad Basin showing the highest magnitude in annual runoff coefficient ( $> 4.5\%$  per year) (Fig. 3f).

### 4.3 Using Statistical Methods to Identify the Factors Influencing Surface Runoff

Results of the statistical analyses are available in Table 2. Analyses using MLR show that the independent variables contribute about 62% of the observed variance in surface runoff with relative contributions (weight) of 62%, 17%, 2% and 19% respectively for precipitation, PET, SM and NDVI (Table 2). On the other hand, statistical analyses using PLSR show that precipitation and NDVI are the dominant factors influencing surface runoff given that their respective VIP scores are both greater than 1.0 (Table 2).



**Fig. 3** Trends in annual **a** precipitation, **b** PET, **c** soil moisture, **d** NDVI, **e** runoff and **f** runoff coefficient over 2000–2021

**Table 2** Results of analyses for change in annual runoff

Variable	MLR		PLSR		VIP
	Relative weight	Variance explained (%)	Variance explained (%)		
Precipitation	0.29	61.66	38.68	1.31	
PET	0.08	16.79	22.85	0.67	
SM	0.01	2.03	8.11	0.63	
NDVI	0.09	19.52	30.36	1.21	

#### 4.4 Quantifying the Impact of Climate Variability and vegetation Cover on Surface Runoff Using the Elasticity Concept

The elasticity coefficients are used to indicate how a change in an independent variable will result to a corresponding change in the dependent variable. The mean elasticity

coefficients of the independent variables (precipitation, PET, SM and NDVI) to annual surface runoff change over the period 2000–2021 are shown in Fig. 4. Analyses show that an increase in precipitation, SM and NDVI will lead to a corresponding increase in surface runoff across all the watersheds as shown by their mean positive elasticity coefficients (Fig. 4a–d). On the contrary, an increase in PET will lead to a decline in surface runoff in most watersheds as shown by its mean negative elasticity coefficient (Fig. 4b). However, PET influences surface runoff to a greater extent than precipitation due to its higher mean elasticity coefficient (–6.07). The elasticity coefficients of the independent variables are in the range 1.10 to 4.80, –19.80 to 24.21, 0.11 to 8.25 and 0.02 to 8.38 with mean elasticities of 2.19, –6.07, 2.61, and 4.54 for precipitation, PET, SM and NDVI respectively. This suggests that a 10% increase in precipitation, SM and NDVI will increase surface runoff by approximately 22%, 26% and 45% respectively while 10% increase in PET will decrease surface runoff by about 61%.

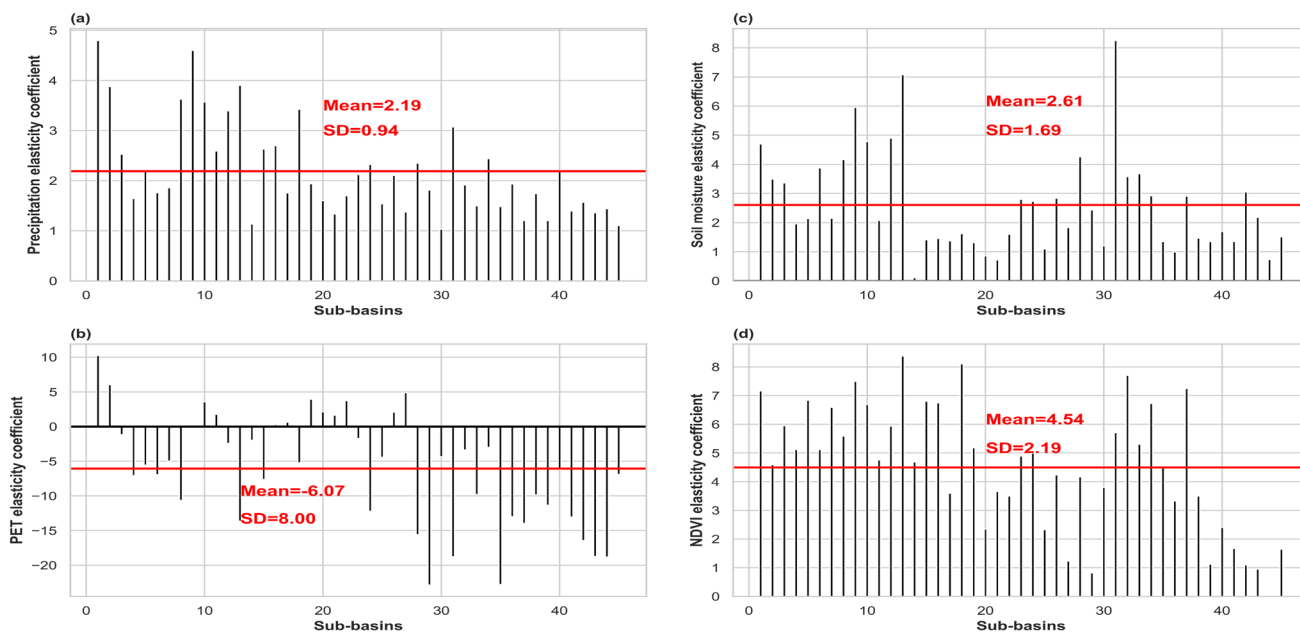


Fig. 4 Distribution of elasticity coefficients of runoff across the different watershed **a** precipitation, **b** PET, **c** soil moisture, and **d** NDVI. Each vertical line represents a sub-basin, the red horizontal line is the mean value for all the watershed and SD: standard deviation

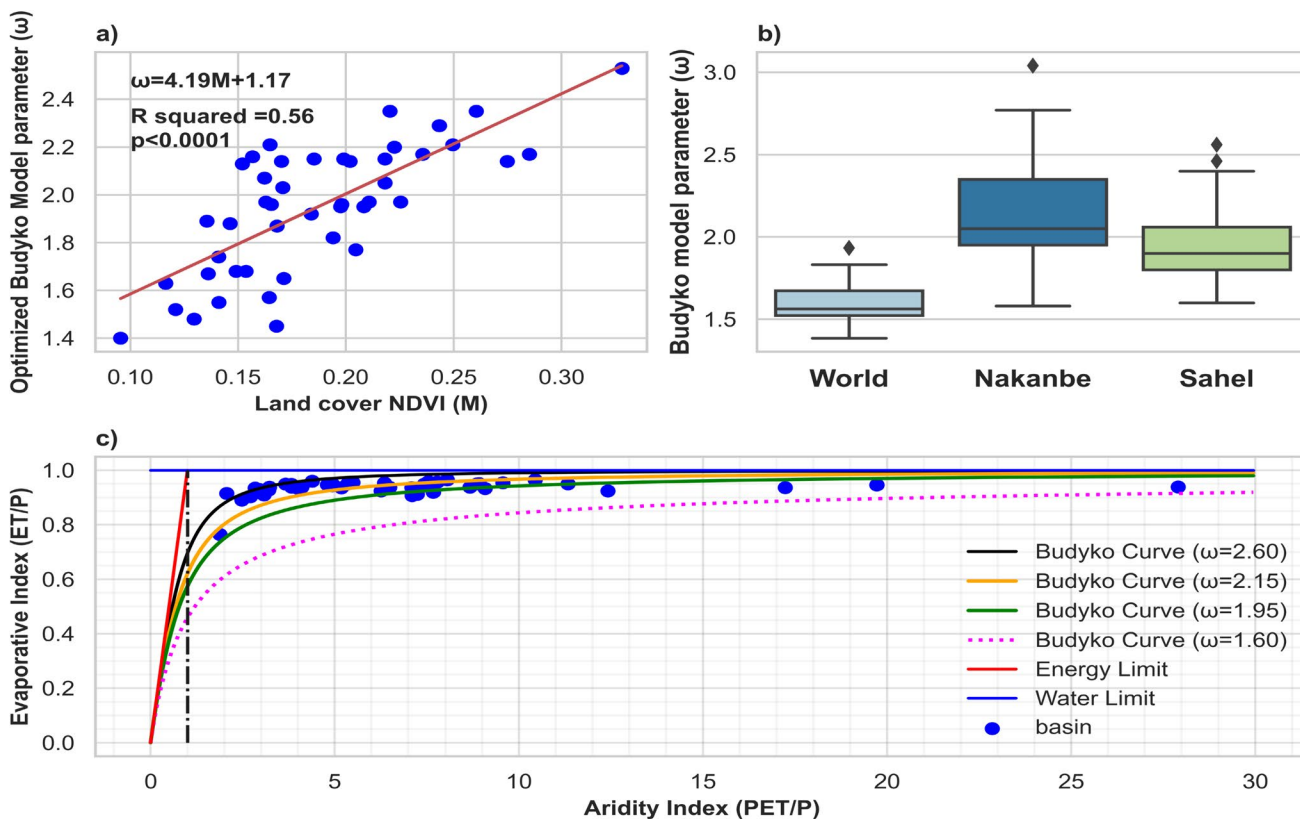


Fig. 5 **a** relationship between optimized Fu model parameter ( $\omega$ ) and land cover conditions (M), **b** boxplot showing optimized Fu model parameter ( $\omega$ ) and values obtained from Eq. (8), (9) & (10) and **c** partitioning of precipitation into runoff and ET within the Budyko space

using the one parameter Fu model. (Each blue circle in the figure represents a watershed). The red line in Fig. 5a represents the linear regression between  $\omega$  and M

## 4.5 The Budyko Framework

Specific values of  $\omega$  for all the 45 watersheds are obtained using a curve fitting procedure in Eq. (7). Figure 5a shows that long-term mean land cover conditions (M) correlates moderately ( $R^2 = 0.56$ ,  $p\text{-value} < 0.0001$ ) with the optimized  $\omega$  values at the 5% significance level. The relationship between M and the  $\omega$  values is a linear regression equation in Fig. 5a given as:

$$\omega = 4.19 \times M + 1.17 \quad (10)$$

Next,  $\omega$  values are estimated for all the watersheds by substituting long-term mean land cover conditions (M) in Eqs. (8), (9) & (10). Figure 5b, shows that the optimized  $\omega$  values obtained in this study using Eq. (10) are slightly higher than those obtained using the Eq. (8) for global basins but lower than those obtained from Eq. (9) in the Nakanbe basin located slightly to the south of the Sahelian belt.

Figure 5c shows the partitioning of precipitation into runoff and ET within the Budyko space for the period 2000–2021. All watersheds are mapped into high aridity space with  $AI > 2$  (Fig. 5c), which reflects the characteristics of the Sahel as an arid environment. It can also be observed using a mean  $\omega$  value of 1.95 obtained in this study, it is possible to capture the partitioning of precipitation into runoff and ET in most watersheds including those mapped into both high and low aridity and evaporative indices space (Fig. 5c).

## 5 Discussion

Generally, analyses show that the different watersheds exhibit trends of varying magnitudes over the study period (200–2021).

### 5.1 Hydroclimatic Trends

The spatial heterogeneity in precipitation across the Sahel may be attributed to several factors such as the seasonal migration of the intertropical convergence zone (ITCZ) which is partly responsible for rainfall distribution in the Sahel (Lebel and Ali 2009). Precipitation distribution across the Sahel is also partly controlled by mesoscale convective systems (Taylor et al. 2017; Vizy and Cook 2022). Increasing trends in climatic variables follow similar patterns obtained in previous studies across the Sahel e.g., precipitation (Sanogo et al. 2015; Gbohoui et al. 2021) and PET (Ndiaye et al. 2020). Increasing trends in vegetation cover are also consistent with results from several studies (Kaptué et al. 2015; Souverijns et al. 2020; Jiang et al. 2022). This may be attributed to increasing precipitation patterns

in the region. Results of trend analysis are consistent with those of other studies showing increasing trends in runoff coefficient and runoff volumes despite increasing trends in vegetation cover (Descroix et al. 2018; Gbohoui et al. 2021; Yonaba et al. 2021).

Increasing runoff volumes have several implications on livelihoods in the Sahel such as increasing flooding risk which could lead to health risk, water, and food security. For example, recent studies have reported an increasing trend in flood incidences across the region (Tazen et al. 2019; Elagib et al. 2021; Miller et al. 2022). Flooding may also increase the risk of vector borne diseases such as Malaria and Chikungunya (Jambou et al. 2022; Thomas et al. 2022). It may also contribute to reduce agricultural yield due to water stagnation in cultivated fields, thereby exacerbating food insecurity (Daku et al. 2022). Future research may seek to investigate how and to what extent ecological restoration efforts through afforestation such as the great green wall may affect flood peaks in the Sahel.

### 5.2 Factors Influencing Surface Runoff

MLR and PLSR both show that there is a strong coupled relationship between surface runoff, precipitation, and vegetation cover in the Sahel. Whilst increasing vegetation cover was expected to reduce surface runoff volumes as reported in several studies (Buechel et al. 2022; Liu et al. 2022; Ricciardi et al. 2022), the two variables are increasing concurrently in the Sahel, and this has been termed the second “Sahel hydrological paradox”. The second “Sahel hydrological paradox” has still been attributed to the extensive land degradation that occurred during the mega drought from the 1970s to the mid-1990s, reducing soil water holding capacity and root depth while increasing soil crusting, thereby reducing soil infiltration capacity and enhancing surface runoff generation (Descroix et al. 2018). Other studies attribute increasing surface runoff to changes in soil properties and the non-recovery of tiger bush in some areas (Dardel et al. 2014; Gal et al. 2017). Descroix et al. (2018) argues that the current re-greening of the Sahel may not have reached a minimum threshold that can off-set extensive land degradation to enhance infiltration and reduce surface runoff, hence the continuous increase in surface runoff. Even though substantial research has been conducted to explain the “Sahel hydrological paradox”, its causes are still strongly debated e.g., (Descroix et al. 2018; Yonaba et al. 2023). As such, additional research is needed to shed more light on the “Sahel hydrological paradox”.

Considering that the independent variables explained only about 62% of total variance in surface runoff, this suggest that there may be other factors such as topography

and other physical attributes of the watersheds exerting additional influence on surface runoff. Therefore, more studies are needed to identify other factors influencing surface runoff in the Sahel. Whilst previous studies investigating the relationship between climate and vegetation cover on surface runoff in the Sahel are limited to a few experimental watersheds around the central Sahel, the present study covers the whole Sahelian belt from western to eastern Sahel thereby providing additional evidence on the second “Sahel hydrological paradox”.

### 5.3 Quantifying Surface Runoff Change

The elasticity concept was used to understand how a change in each independent variable will affect the dependent variable. Results from analyses suggest that PET has a greater influence on surface runoff, followed by vegetation cover (NDVI), SM and precipitation in descending order. However, an increase in NDVI has a higher mean positive elasticity coefficient than precipitation and soil moisture. This suggests that the same amount of increase in NDVI may lead to a two-fold increase in surface runoff while the same amount of increase in precipitation and SM will only lead to a one-fold increase in surface runoff. On the other hand, the same amount of increase in PET may lead to a three-fold decline in surface runoff. Results from our analyses are consistent with those from a previous study in the region (Yonaba et al. 2021). A recent study covering the global domain has also shown that an increase in PET has a strong negative effect on annual runoff (Zhang et al. 2023). The elasticity coefficients suggest that a 10% increase in precipitation, SM and NDVI will increase runoff by a combine total of 93% while a 10% increase in PET will reduce runoff by 61%.

### 5.4 Climate-Catchment Interaction

In this study, change in environmental factor is analysed using the  $\omega$  parameter in the Fu equation. The Fu equation was used to reveal how the interaction between climate and catchment characteristics influence the partitioning of precipitation into surface runoff and ET. Analyses show that all the watersheds were mapped into the high aridity space within the Budyko curve which reflects the characteristics of the Sahel as an arid region. As such, ET in the Sahel may only be limited by water supply than by energy. The mean  $\omega$  value obtained in the Sahel is 1.95 which is less than the default Budyko-Fu parameter ( $\omega=2.6$ ). The difference between  $\omega$  values obtained in the Sahel and the default value may be attributed to differences in watershed sizes considering that watershed characteristics play a key role in the partitioning of precipitation in smaller watersheds while climate play a greater role in larger watersheds (Kingston

et al. 2020). It could also be due to the climatology of the different study areas in both studies.

Next, we compare the value of the regression coefficient obtained in this study (4.19) with the value obtained in global basins (2.36). The results suggest that for the same change in  $M$ , the  $\omega$  in Sahel will vary by two-folds from that estimated by Eq. 8 for global basins. On the contrary for the same change in  $M$ , the  $\omega$  in the Sahel will vary by about 67% (33% less) than that estimated by Eq. 9 in the Nakanbe basin. The difference between the value obtained in this study and the Nakanbe basin may be attributed to higher NDVI values (mean NDVI=0.26) in the Nakanbe basin compared to the Sahel (mean NDVI=0.19). Analyses also show a statistically significant relationship between land cover conditions ( $M$ ) and the optimized  $\omega$  parameter which represents both landscape characteristics and climate conditions which is consistent with results from another study in the region (Gbohoui et al. 2021). This suggests that increasing vegetation cover may lead to a corresponding positive change in landscape characteristics in the Sahel. Understanding how vegetation cover influences landscape characteristics may be critical for adopting environmental management policies such as ecological restoration.

Generally, results from analytical methods conducted in this study are comparable to results obtained using hydrological models in the Sahel (Gal et al. 2017; Descroix et al. 2018; Wendling et al. 2019; Yonaba et al. 2021). This therefore suggests that analytical methods are a promising approach for understanding the effects of climatic factors and vegetation cover change on surface hydrology in data-scarce regions.

### 5.5 Limitations of the Study

We wish to highlight that even though the data used in this study was obtained from different sources, all the datasets have been validated and used extensively in other studies in the Sahel. Despite their extensive validation and use in the region, we acknowledge that the data are not exempt from inherent biases which may influence our results. We also wish to highlight that using surface runoff data generated from other WRR models may not yield the same results due to the differences in model parameters and input data used in generating the surface fluxes. Furthermore, the Budyko model which is used in this study assumes that the watersheds are under hydrological steady state conditions over the study period. This implies that the partitioning of precipitation into surface runoff and ET is not influenced by changes in groundwater storage and other catchment characteristics which may not be true. In fact, several studies e.g., (Condon and Maxwell 2017; Mianabadi et al. 2020; Reaver et al. 2022) have shown that the dynamic nature of watersheds including changes in water storage

and inter-basin groundwater flow and changes in catchment characteristics may lead to shifts in the Budyko relationships. We consider these issues as some of the flaws in our study and therefore, wish to remind readers to interpret our results with caution.

## 6 Conclusions

The aim of this study was to quantify the impact of climatic factors, SM and vegetation cover change on surface runoff in 45 nested watersheds in the Sahel over a period of two decades 2000–2021 using analytical methods (elasticity concept, the Budyko framework and empirical statistics) along with geospatial data. Analyses using MLR and PLSR show that precipitation and NDVI are the dominant factors influencing surface runoff in the Sahel. Mean elasticity coefficients for precipitation, PET, SM and NDVI are 2.19, −6.07, 2.61, and 4.54 respectively. This suggests that a 10% increase in precipitation, SM and NDVI will increase runoff by a combined total of 93% while a 10% increase in PET will reduce runoff by about 61%. Analyses further reveal statistically significant ( $R^2=0.56$ ,  $p\text{-value} < 0.0001$ ) relationship between land cover conditions (M) and optimized  $\omega$  parameter representing landscape characteristics and climate variability. The partitioning of precipitation into runoff and ET using the Fu equation shows that the  $\omega$  parameter value (1.95) obtained in this study can capture the long-term water and energy balance in most watersheds compared to the default parameter (2.6) from the original Budyko-Fu model. Results from this study using analytical methods are comparable to modelling results in the region. This suggests that analytical methods may be used for assessing the effects of climatic factors, SM, and vegetation cover change on surface runoff in data-scarce regions. The present study improves our understanding of the climate-vegetation-runoff system in the Sahel and may be used to support climate adaptation and ecological restoration efforts in the Sahel such as the Great Green Wall Initiative.

## 7 Open Research

All data used to perform the analyses reported in this paper are freely available for download through the Climate Engine Research App at <https://app.climateengine.com>. (Last accessed: 18th October 2023 using a customized users' account).

Shapefiles for the major basins and their nested sub-basins are available from [www.hydrosheds.org/hydrosheds-v2](http://www.hydrosheds.org/hydrosheds-v2).

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## Declarations

**Conflict of Interest** The authors declare that they have no conflict of interest.

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