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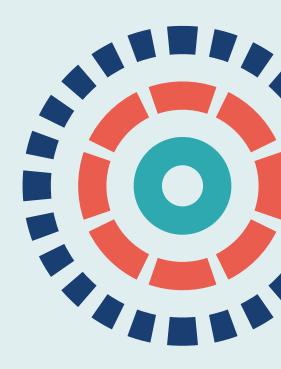


## **Programme Grants for Applied Research**

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# Improving emergency treatment for patients with acute stroke: the PEARS research programme, including the PASTA cluster RCT

Christopher I Price, Phil White, Joyce Balami, Nawaraj Bhattarai, Diarmuid Coughlan, Catherine Exley, Darren Flynn, Kristoffer Halvorsrud, Joanne Lally, Peter McMeekin, Lisa Shaw, Helen Snooks, Luke Vale, Alan Watkins and Gary A Ford



## Improving emergency treatment for patients with acute stroke: the PEARS research programme, including the PASTA cluster RCT

Christopher I Price, 1\* Phil White, 1 Joyce Balami, 2 Nawaraj Bhattarai, 1 Diarmuid Coughlan, 1 Catherine Exley, 1 Darren Flynn, 3 Kristoffer Halvorsrud, 1 Joanne Lally, 1 Peter McMeekin, 4 Lisa Shaw, 1 Helen Snooks, 5 Luke Vale, 1 Alan Watkins, 5 and Gary A Ford, 6

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## **Abstract**

## Improving emergency treatment for patients with acute stroke: the PEARS research programme, including the PASTA cluster RCT

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**Background:** Intravenous thrombolysis and intra-arterial thrombectomy are proven emergency treatments for acute ischaemic stroke, but they require rapid delivery to selected patients within specialist services. National audit data have shown that treatment provision is suboptimal.

**Objectives:** The aims were to (1) determine the content, clinical effectiveness and day 90 cost-effectiveness of an enhanced paramedic assessment designed to facilitate thrombolysis delivery in hospital and (2) model thrombectomy service configuration options with optimal activity and cost-effectiveness informed by expert and public views.

**Design:** A mixed-methods approach was employed between 2014 and 2019. Systematic reviews examined enhanced paramedic roles and thrombectomy effectiveness. Professional and service user groups developed a thrombolysis-focused Paramedic Acute Stroke Treatment Assessment, which was evaluated in a pragmatic multicentre cluster randomised controlled trial and parallel process evaluation. Clinicians, patients, carers and the public were surveyed regarding thrombectomy service configuration. A decision tree was constructed from published data to estimate thrombectomy eligibility of the UK stroke population. A matching discrete-event simulation predicted patient benefits and financial consequences from increasing the number of centres.

**Setting:** The paramedic assessment trial was hosted by three regional ambulance services (in north-east England, north-west England and Wales) serving 15 hospitals.

**Participants:** A total of 103 health-care representatives and 20 public representatives assisted in the development of the paramedic assessment. The trial enrolled 1214 stroke patients within 4 hours of symptom onset. Thrombectomy service provision was informed by a Delphi exercise with 64 stroke specialists and neuroradiologists, and surveys of 147 patients and 105 public respondents.

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**Interventions:** The paramedic assessment comprised additional pre-hospital information collection, structured hospital handover, practical assistance up to 15 minutes post handover, a pre-departure care checklist and clinician feedback.

**Main outcome measures:** The primary outcome was the proportion of patients receiving thrombolysis. Secondary outcomes included day 90 health (poor status was a modified Rankin Scale score of > 2). Economic outputs reported the number of cases treated and cost-effectiveness using quality-adjusted life-years and Great British pounds.

**Data sources:** National registry data from the Sentinel Stroke National Audit Programme and the Scottish Stroke Care Audit were used.

**Review methods:** Systematic searches of electronic bibliographies were used to identify relevant literature. Study inclusion and data extraction processes were described using Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines.

Results: The paramedic assessment trial found a clinically important but statistically non-significant reduction in thrombolysis among intervention patients, compared with standard care patients [197/500 (39.4%) vs. 319/714 (44.7%), respectively] (adjusted odds ratio 0.81, 95% confidence interval 0.61 to 1.08; p = 0.15). The rate of poor health outcomes was not significantly different, but was lower in the intervention group than in the standard care group [313/489 (64.0%) vs. 461/690 (66.8%), respectively] (adjusted odds ratio 0.86, 95% confidence interval 0.60 to 1.2; p = 0.39). There was no difference in the quality-adjusted life-years gained between the groups (0.005, 95% confidence interval -0.004 to 0.015), but total costs were significantly lower for patients in the intervention group than for those in the standard care group (-£1086, 95% confidence interval -£2236 to -£13). It has been estimated that, in the UK, 10,140-11,530 patients per year (i.e. 12% of stroke admissions) are eligible for thrombectomy. Meta-analysis of published data confirmed that thrombectomy-treated patients were significantly more likely to be functionally independent than patients receiving standard care (odds ratio 2.39, 95% confidence interval 1.88 to 3.04; n = 1841). Expert consensus and most public survey respondents favoured selective secondary transfer for accessing thrombectomy at regional neuroscience centres. The discrete-event simulation model suggested that six new English centres might generate 190 quality-adjusted life-years (95% confidence interval -6 to 399 qualityadjusted life-years) and a saving of £1,864,000 per year (95% confidence interval -£1,204,000 to £5,017,000 saving per year). The total mean thrombectomy cost up to 72 hours was £12,440, mostly attributable to the consumables. There was no significant cost difference between direct admission and secondary transfer (mean difference -£368, 95% confidence interval -£1016 to £279; p = 0.26).

**Limitations:** Evidence for paramedic assessment fidelity was limited and group allocation could not be masked. Thrombectomy surveys represented respondent views only. Simulation models assumed that populations were consistent with published meta-analyses, included limited parameters reflecting underlying data sets and did not consider the capital costs of setting up new services.

**Conclusions:** Paramedic assessment did not increase the proportion of patients receiving thrombolysis, but outcomes were consistent with improved cost-effectiveness at day 90, possibly reflecting better informed treatment decisions and/or adherence to clinical guidelines. However, the health difference was non-significant, small and short term. Approximately 12% of stroke patients are suitable for thrombectomy and widespread provision is likely to generate health and resource gains. Clinician and public views support secondary transfer to access treatment.

**Future work:** Further evaluation of emergency care pathways will determine whether or not enhanced paramedic assessment improves hospital guideline compliance. Validation of the simulation model post reconfiguration will improve precision and describe wider resource implications.

**Trial registration:** This trial is registered as ISRCTN12418919 and the systematic review protocols are registered as PROSPERO CRD42014010785 and PROSPERO CRD42015016649.

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## **List of abbreviations**

24/7 24 hours per day, 7 days		NEAS	North East Ambulance Service	
-OD	per week	NICE	National Institute for Health and	
aOR	adjusted odds ratio		Care Excellence	
AVPU	Alert, Verbal, Pain, Unresponsive	NIHR	National Institute for Health and Care Research	
BASP			National Institutes of Health	
DCNID	Physicians	NIHSS	Stroke Scale	
BSNR	British Society of Neuroradiologists	OR	odds ratio	
BWS	best-worst scaling	OxVasc	Oxford Vascular Study	
CI	confidence interval	PASTA	,	
CLAHRC	Collaboration for Leadership in Applied Health Research and Care	PASTA	Paramedic Acute Stroke Treatment Assessment	
CSC	comprehensive stroke centre	PEARS	Promoting Effective and Rapid Stroke Care	
СТ	computed tomography	PGfAR	Programme Grants for	
CTA	computed tomography		Applied Research	
	angiography	PISTE	Pragmatic Ischaemic Stroke	
DES	discrete-event simulation		Thrombectomy Evaluation	
ED	emergency department	PPI	patient and public involvement	
FAST	Face, Arm, Speech Test	PRISMA	Preferred Reporting Items	
HASU	hyperacute stroke unit		for Systematic Reviews and Meta-Analyses	
HDU	high-dependency unit	QALY	quality-adjusted life-year	
HEMS	Helicopter Emergency Medical Services	RCT	randomised controlled trial	
IAT	intra-arterial thrombectomy	SD	standard deviation	
ICU	intensive care unit	SSNAP	Sentinel Stroke National Audit	
INR	interventional neuroradiologist	T. A	Programme	
IQR	interquartile range	TIA	transient ischaemic attack	
ITEMS	Interface for Thrombectomy Economic Modelling and	TSA	trial sequential analysis	
TILITIO		TSC	Trial Steering Committee	
	_			
	outcomeS in stroke	WP	work package	
LAO	_	WP WTP	work package willingness to pay	
LAO mRS	outcomeS in stroke		· -	

## **Plain English summary**

A stroke causes severe disability, but selected patients have a better recovery when they receive emergency treatments to remove blood clots blocking arteries in the brain. These treatments are a clot-dissolving drug injection (i.e. thrombolysis), which is available at local centres, and/or surgical clot removal (i.e. thrombectomy), which is available at regional centres. National data show that the use of both treatments can be improved.

For thrombolysis, we examined whether or not ambulance paramedics could help hospital teams to recognise patients who were suitable for treatment. Paramedics, hospital staff and patients developed a new Paramedic Acute Stroke Treatment Assessment, which included a more detailed ambulance review of stroke patients, sharing this information using a structured hospital handover, staying for up to 15 minutes after handover to assist with care tasks, completing a thrombolysis checklist and seeking feedback. A randomised trial involving 1214 emergency stroke patients showed that the assessment did not increase the number of patients undergoing thrombolysis. Instead, there was a mild reduction in treatments, with slightly better recovery and lower costs for medical and social care. These results were not statistically important, but the unexpected combination suggests that the assessment might help emergency treatment decisions, especially in hospitals with less stroke specialist availability. During interviews, clinicians rated the ambulance information and handover components as the most useful.

For thrombectomy, we sought views from stroke specialists at local and regional centres about the best service design to increase the number of treatments. They recommended initial local assessment, before transfer of appropriate patients to the nearest regional centre. Although additional ambulance journeys would be required, this view was supported by the majority of patient, carer and public survey respondents. By combining published trials, it was confirmed that thrombectomy is cost-effective and suitable for one in eight stroke patients. Computer simulations examined English networks and projected affordable increases in thrombectomy if (1) there were to be six additional regional centres and (2) helicopter transfers were possible from remote hospitals.

## Scientific summary

#### **Background**

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Intravenous thrombolysis and intra-arterial thrombectomy (IAT) are effective treatments for acute ischaemic stroke when they are delivered rapidly, but the emergency clinical pathway is complex. Individual patients are carefully selected for treatment after hospital admission by a specialist review of their clinical information and brain imaging to estimate the potential benefit versus harm. National audit data have reported suboptimal delivery, especially for thrombectomy, which is a relatively new technology available in only a limited number of centres.

During emergency admission, paramedics identify suspected stroke patients, but they do not specifically assist with thrombolysis assessment. Improvements in treatment speed and volume have been reported following targeted interventions to raise the ambulance priority for suspected stroke. In other specialties, simple tools can improve the communication of key information, but no structured paramedic assessment process has been developed to optimise hospital stroke thrombolysis delivery.

As thrombectomy requires interventional neuroradiology expertise and facilities that are available in regional neuroscience centres only, most patients require rapid secondary transfer following initial local assessment. At the start of this programme, it was unclear how many UK stroke patients were suitable for IAT, what the optimal configuration of centres was and whether or not stakeholders supported the inevitable trade-off between possible health gains centrally relative to patient displacement.

#### Aims and objectives

#### Work package 1

The aim was to determine the content, effectiveness and cost-effectiveness of an enhanced Paramedic Acute Stroke Treatment Assessment (PASTA) trial to facilitate emergency stroke treatment.

The objectives were to:

- 1. develop an enhanced paramedic role for assessment of patients with acute stroke symptoms by a review of relevant literature and qualitative assessment of factors influencing the role from public and professional perspectives
- 2. examine the paramedic intervention by a cluster randomised trial of cost-effectiveness and qualitative process evaluation of professional and public experiences
- 3. report a within-trial economic evaluation of the enhanced role compared with standard care.

#### Work package 2

The aim was to determine the clinical effectiveness, costs, cost-effectiveness and affordability of delivering IAT for acute ischaemic stroke patients.

The objectives were to:

- 1. develop a conceptual model of potential care pathways for IAT patients across NHS services, including pre-hospital, secondary and tertiary care settings
- 2. convert the conceptual model into a mathematical model, identify the evidence for parameterising key decision points, and estimate outcomes
- 3. understand patient, public and relevant professional groups' views on possible IAT service designs

- 4. estimate the effectiveness, incremental cost per quality-adjusted life-year (QALY) and other outcomes from an NHS and societal perspective of a national IAT service for stroke
- 5. develop an implementation plan for IAT in English stroke services that optimises access.

#### Methods

#### Work package 1

To describe relevant evidence of enhanced paramedic assessment, an electronic search of published literature (from January 1990 to September 2016) was focused on (1) structured hospital handovers and (2) paramedic-initiated care processes post handover. The materials identified were introduced into focus groups with health-care professionals and service users to develop the PASTA intervention.

A pragmatic multicentre cluster randomised controlled trial with a parallel process evaluation examined the clinical effectiveness and cost-effectiveness of the PASTA intervention versus standard care in three UK ambulance services serving 15 hospitals (from December 2015 to July 2018). Participants were enrolled post admission if a hospital specialist confirmed that they had experienced a stroke and if the paramedic assessment started < 4 hours after onset. The primary outcome was the proportion of patients receiving thrombolysis. The secondary outcomes included the time intervals and day 90 health, with poor status defined as modified Rankin Scale (mRS) score > 2 to represent dependency or death.

A within-trial economic evaluation until day 90 calculated the incremental cost per QALY from the perspective of the NHS and Personal Social Services. Costs comprised prospectively captured resource utilisation data from ambulance services, hospital, community rehabilitation and social services. The incremental cost per QALY was calculated using non-parametric bootstrapping.

A post hoc analysis considered whether or not routine hospital specialist availability for thrombolysis decision-making had any bearing on treatment delivery and cost-effectiveness. Workforce information reported in the Sentinel Stroke National Audit Programme (SSNAP) Acute Organisational Audit 2016 was used to categorise hospitals as compliant or non-compliant with the current standard regarding provision of a specialist thrombolysis service (King's College London. *Sentinel Stroke National Audit Programme*. London: School of Population Health and Environmental Studies, King's College London; 2016. URL: www.strokeaudit.org).

To describe paramedic, hospital professional and patient experiences related to the PASTA intervention, audio-recordings of semistructured interviews were analysed thematically by two researchers independently.

#### Work package 2

Surveys were used to establish views regarding service models for IAT provision. In November 2014, clinical leads in all 24 regional neuroscience centres in England were surveyed to enable us to understand the current characteristics of their services. To achieve expert consensus about optimal configuration, a Delphi panel from the British Association of Stroke Physicians reviewed 12 possible service options between November 2015 and March 2016. Clinicians then ranked the most preferred options using a Likert scale.

To understand the public views regarding the trade-off between travel time/displacement and access to IAT treatment, an online survey for stroke patients was advertised by the Stroke Association and National Institute for Health and Care Research (NIHR) Clinical Research Network (which ran from January to May 2017). Using the outputs from surveys and service modelling, a best-worst scaling (BWS) survey was distributed to all Healthwatch services in England in June 2019 to seek the preferred options for service organisation attributes.

To explore the role of an air ambulance during secondary transfer of patients farthest from regional neuroscience centres, an online survey was sent to nine Helicopter Emergency Medical Services (HEMS) serving 'unavoidably small and remote' hospitals (NHS England definition: < 200,000 population and > 1 hour' travel from the nearest major hospital) [Advisory Committee on Resource Allocation, NHS.

Advisory Committee on Resource Allocation (ACRA) (2015) 36 – Costs of Unavoidable Smallness due to Remoteness. 2016. URL: www.england.nhs.uk/publication/advisory-committee-on-resource-allocation-acra-2015-36-costs-of-unavoidable-smallness-due-to-remoteness/ (accessed 28 September 2021)].

To build a model that reflected the latest evidence for thrombectomy effectiveness, a search strategy was applied to five electronic bibliographies and three international trial registries to identify randomised clinical trials published from January 2009 to February 2015 for data meta-analysis.

To estimate the number of UK patients eligible for IAT, regardless of geographical or service constraints, a decision tree was constructed from published trials and national registry data (SSNAP for England, Wales and Northern Ireland; and the Scottish Stroke Care Audit). Microcosting methods were applied to clinical records of individual IAT patients at five UK regional centres (2015–18). Resources used within the 72 hours following stroke were collected for direct admission and secondary transfer service models. A discrete-event simulation (DES) was constructed from the decision tree and IAT costing information to predict per-patient outcomes and financial consequences for different service configurations. Two key scenarios were modelled: (1) increasing IAT provision from 24 to 30 centres to achieve better population-level coverage and (2) secondary helicopter transfer of eligible patients from remote hospitals.

The DES was converted into a web-based application, allowing commissioners and providers to examine the potential health and economic impact of changing service configuration within their locality [the Interface for Thrombectomy Economic Modelling and outcomeS (ITEMS)].

#### **Results**

#### Work package 1

A narrative review of 36 shortlisted studies highlighted that paramedic information collection and communication skills can be enhanced. Fifteen focus groups and interviews to develop the PASTA pathway were undertaken in north-east England, north-west England and Wales (20 patients; 103 professionals). The resulting intervention comprised additional pre-hospital information collection, structured hospital handover, practical assistance up to 15 minutes post handover, a pre-departure care checklist and clinician feedback.

The PASTA trial involved 121 ambulance stations and 1540 paramedics. Out of 11,478 stroke patients screened, 1214 were enrolled (mean age 75 years; 48% of patients were female). Baseline characteristics were well matched. The PASTA paramedics took an average of 13.4 minutes longer [95% confidence interval (CI) 9.4 to 17.4 minutes; p < 0.001] than the standard care paramedics to complete patient care episodes (i.e. 'clear' a patient), mainly because of an additional 8.8 minutes spent in hospital (95% CI 6.5 to 11.0 minutes; p < 0.001). There was no significant additional time spent on scene [PASTA intervention 26.0 minutes, standard care 24.2 minutes, difference 1.61 minutes (95% CI -0.2 to 3.4 minutes; p = 0.08)]. Door-to-needle times were not significantly different for thrombolysis patients [PASTA intervention 59 minutes, standard care 54 minutes, difference 5 minutes (95% CI -1 to 11 minutes; p = 0.12)].

There was no significant difference in the proportion of patients receiving thrombolysis between the PASTA [197/500 (39.4%)] and standard care groups [319/714 (44.7%)], but there was an unexpected trend in the opposite direction [adjusted odds ratio (aOR) 0.81, 95% CI 0.61 to 1.08; p = 0.15; intracluster correlation coefficient 0.00]. Although lacking statistical significance, at day 90 there was a non-significant trend towards fewer poor outcomes (i.e. a mRS score  $\geq$  3) among intervention patients [PASTA intervention, 313/489 (64.0%); standard care, 461/690 (66.8%); aOR 0.86, 95% CI 0.60 to 1.2; p = 0.39]. There was no evidence of a QALY difference between groups in either complete-case (0.007, 95% CI -0.003 to 0.018) or imputed data (0.005, 95% CI -0.004 to 0.015). The total costs were significantly lower in the PASTA intervention group for both complete-case (-£1473, 95% CI -£2736 to -£219) and imputed data sets (-£1086, 95% CI -£2236 to -£13). Over a range of values for willingness to pay per QALY, there was a > 97.5% chance that the PASTA intervention would be considered cost-effective.

In a secondary analysis, eight hospitals (n = 506) that were not fully compliant with the national standard for specialist availability achieved a statistically significant absolute reduction in the PASTA thrombolysis rate of 9.8%, compared with standard care [99/276 (35.9%) vs. 105/230 (45.7%); unadjusted odds ratio (OR) 0.67, 95% CI 0.47 to 0.95; p = 0.03], with a significant cost reduction (-£2952, 95% CI -£4988 to -£917) and a non-significant QALY gain (0.009, 95% CI -0.008 to 0.025).

During the process evaluation, 26 interviews with intervention paramedics across the three ambulance services (north-east England, 11; north-west England, 10; Wales, 5) identified four key themes: (1) the PASTA intervention complemented their skill set and confidence; (2) hospital pre-notification contained more appropriate information than standard care; (3) the 'scripted' format for handover was viewed as the primary benefit; and (4) assisting care after handover in hospital was harder to achieve. These themes were reinforced during interviews with 25 hospital staff. Patient recruitment was discontinued after six interviews, as no participants were able to recall ambulance care details.

#### Work package 2

The survey responses from 18 neuroscience centres showed considerable service variation: one had 24 hours per day, 7 days per week IAT provision, two centres had 7-day provision during normal hours, 12 delivered IAT on weekdays and three had no regular provision. Patient selection criteria also varied. A median of 10 (interquartile range 16) stroke patients had IAT performed per centre during the previous year.

Expert consensus from 11 stroke physicians and a survey of specialist society membership (n = 64) supported a current model involving secondary transfer of patients ('drip and ship') with large artery occlusion stroke for IAT based on initial local imaging. A public survey proposing this model received 147 responses (i.e. 27 stroke survivors, 51 relatives/carers and 69 other), with the majority supporting centralised IAT provision and secondary transfer up to 30 miles. A subsequent BWS survey was completed by 105 respondents [mean age 37 years (range 18–86 years); 70% female; 18% urban, 56% suburban, 26% rural; 18% stroke survivors, 32% relatives/carers and 50% others]. The most preferred service attribute was access to greater medical expertise, and secondary transfers with travel times of up to 45 minutes to receive IAT were considered acceptable. The results of the HEMS survey showed that all nine air ambulance services were willing to provide secondary transfers for IAT, although three indicated that additional funding and/or organisational changes would be required.

The literature search identified eight randomised clinical trials eligible for the meta-analyses (n = 1841 contributing cases). Patients treated with IAT were significantly more likely to be functionally independent (i.e. a mRS score < 3) at 90 days' follow-up (OR 2.39, 95% CI 1.88 to 3.04). These results suggest that, compared with best medical care, IAT had no effect on the rate of mortality or symptomatic intracerebral haemorrhage.

An evidence-driven decision tree estimated that, in the UK, 10,140-11,530 (12%) stroke admissions would be eligible for IAT each year, with only a small proportion requiring advanced imaging. Retrospective microcosting showed that the main expenditure during IAT provision was the actual procedure, accounting for 73% (£7930) of the total 24-hour cost. The total mean cost within 72 hours was £12,440. There was no statistically significant difference in 24-hour costs between direct admissions and those admitted following secondary transfer (mean difference -£368, 95% CI -£1016 to £279; p = 0.26).

A DES based on the decision tree estimated that the addition of six neuroscience centres to improve English population coverage would generate 190 QALYs (95% CI -6 to 399 QALYs) and a saving to the health-care system of £1,864,000 per year (95% CI -£1,204,000 to £5,017,000 saving per year). Over 5 years, there would be a return on capital investment of £8M. However, the modelling did not consider the capital costs of new services. By improving IAT access for patients who initially presented to remote hospitals, helicopter transfer was associated with a greater mean probability of living independently at 90 days (0.57), compared with using ground-based ambulances (0.53), and an incremental cost-effectiveness ratio over a lifetime horizon of £28,027 per QALY gained.

The DES was converted into the web-based ITEMS application, enabling a selection of local key variables, such as service configuration, rurality and procedure costs, to generate a cost-effectiveness output display (90 day mRS score and lifetime QALYs).

#### **Limitations**

It is important to recognise that the association with specialist availability found in work package (WP) 1 was a hypothesis-generating post hoc analysis, and mechanisms remain unclear for any influence on treatment decisions, health and economic outcomes. The modelling work in WP2 did not include capital expenditure and other costs associated with establishing new health-care infrastructure and cannot account for unforeseen developments in future services or technologies, and all surveys reflect views from respondents only.

#### **Conclusions**

The key for successful NHS implementation of emergency stroke treatments is to take a whole-pathway approach. A novel ambulance assessment did not improve the volume and speed of thrombolysis delivery at local hospitals, but an unexpected combination of thrombolysis, health and economic outcomes led us to consider whether a structured handover and/or multidisciplinary checklist could improve the selection of patients for thrombolysis, particularly at sites with lower levels of specialist availability. As qualitative evidence indicates clinical acceptability, implementation could be considered in stroke services with unavoidably low levels of specialist availability for thrombolysis decision-making.

A more complex pathway to provide IAT at regional centres could increase the probability of a good outcome for up to 12% of UK stroke admissions. Increasing access to IAT has strong professional and public support, even if the pathway requires secondary transfer over a significant distance following initial local assessment. Modelling work based on national registries identified changes in acute stroke service configuration that are highly likely to produce cost-saving health benefits by improving access to IAT in localities furthest from regional neuroscience centres assuming that capital costs are available (six additional sites and/or use of helicopter transfers).

Future research should consider:

- prospective evaluation of whether or not structured information collection and communication by paramedics can influence emergency clinical guideline adherence in hospital and subsequent care costs for acute stroke patients
- 2. further validation and development of the DES output, with inclusion of parameters reflecting ambulance service resources and the PASTA trial evidence
- 3. adding new parameters to a combined IAT and thrombolysis DES that might have a significant impact, such as ambulance telemedicine.

#### **Trial registration**

This trial is registered as ISRCTN12418919 and the systematic review protocols are registered as PROSPERO CRD42014010785 and PROSPERO CRD42015016649.

#### **Funding**

The project was funded by the NIHR Programme Grants for Applied Research programme and will be published in full in *Programme Grants for Applied Research*; Vol. 10, No. 4. See the NIHR Journals Library website for further project information.

## **SYNOPSIS**

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#### Content and changes during the programme

The programme had two work packages (WPs) with matching objectives.

#### Work package 1

The aim was to determine the content, clinical effectiveness and cost-effectiveness of an enhanced Paramedic Acute Stroke Treatment Assessment (PASTA) trial to facilitate emergency stroke treatment.

The objectives were to:

- 1. develop an enhanced paramedic role for assessment of patients with acute stroke symptoms by a review of relevant literature and qualitative assessment of factors influencing the role from public and professional perspectives
- 2. examine the paramedic intervention by a cluster randomised trial of cost-effectiveness and qualitative process evaluation of professional and public experiences
- 3. report a within-trial economic evaluation of the enhanced role compared with standard care.

#### Work package 2

The aim was to determine the clinical effectiveness, costs, cost-effectiveness and affordability of delivering intra-arterial therapy (IAT) for acute ischaemic stroke patients in England.

The objectives were to:

- 1. develop a conceptual model of potential care pathways for IAT patients across NHS services, including pre-hospital, secondary and tertiary care settings
- 2. convert the conceptual model into a mathematical model, identify the evidence for parameterising key decision points and estimate outcomes
- 3. understand patient, public and relevant professional groups' views on possible IAT service designs
- 4. estimate the effectiveness, incremental cost per quality-adjusted life-year (QALY) and other outcomes from an NHS and societal perspective of a national IAT service for stroke
- 5. develop an implementation plan for IAT in English stroke services that optimises access.

During the programme, there were a number of changes made in response to emerging evidence for treatment effectiveness, evolution of clinical services and challenges for trial recruitment. These are summarised below.

#### Changes to work package 1

- (a) The original proposal included a short phase to test the feasibility of delivering the intervention and data collection in a clinical setting. However, because of the logistical and training challenges created by designing and delivering a separate pilot study within part of a participating ambulance service, the objectives were incorporated into the main clinical trial as an internal pilot phase. This was approved by the Trial Steering Committee (TSC). Recruitment began in December 2015 and the pre-set pilot criteria were confirmed by the TSC as achieved in April 2016.
- (b) During the main PASTA trial phase examining the cost-effectiveness of an enhanced ambulance stroke pathway, there were delays in training sufficient numbers of intervention paramedics to achieve the planned recruitment target. In April 2017, it was agreed with the funder that the primary outcome should change from a health outcome [i.e. 3-month modified Rankin Scale (mRS) score] to a process outcome (i.e. administration of thrombolysis), as the latter measured the

- intended impact of the intervention, but required fewer patients to show a clinically important impact. The original sample size of 3640 patients was replaced by a new estimate of 1297. A major amendment was approved by NHS Ethics in October 2017.
- (c) During the parallel process evaluation, it quickly became evident that patients who had recently experience acute stroke were unable to provide views on the PASTA intervention that might inform its acceptability. After discussion with the Programme Steering Committee, it was agreed that attempts to identify patients for this purpose should cease, and notification was given to NHS Ethics.

#### Changes to work package 2

There were no significant changes to the planned model purpose or development, but the following aspects were altered in response to events outside the programme:

- (a) The systematic review of IAT effectiveness also included a trial sequential analysis to understand the impact of the most recent trials.<sup>1-3</sup>
- (b) NHS England issued guidance<sup>4</sup> for commissioners during the programme (featuring early work undertaken in the programme), which pre-empted part of the intended dissemination activity. The dissemination focus changed to providing commissioners with directly relevant information about choices for their area via an online configurable tool: Interface for Thrombectomy Economic Modelling and outcomeS in stroke (ITEMS).

These changes were agreed by the Programme Steering Committee. There were no implications for research permissions.

#### **Background**

Stroke is the single largest cause of adult disability and the third leading cause of death in England, but outcomes are significantly improved when patients are quickly admitted to specialist care for time-critical treatments and multidisciplinary care.<sup>5,6</sup> Acute stroke management across the NHS improved substantially following the publication of a National Stroke Strategy in 2007 and National Institute for Health and Care Excellence (NICE) guidance in 2008,<sup>7,8</sup> but emergency provision of the only licensed emergency drug treatment has remained variable and below aspirational targets. Known as 'intravenous thrombolysis', effective treatment requires administration of intravenous recombinant tissue plasminogen activator to selected ischaemic stroke cases within 4.5 hours of symptom onset, thereby promoting breakdown of any thrombus responsible for a sudden reduction in cerebral blood flow. Earlier treatment is more likely to reduce future dependency, but there is also a 3% risk of deterioration as a result of symptomatic intracranial haemorrhage.<sup>9</sup> As well as requiring rapid assessment, individual patients must be carefully selected based on a combination of clinical and brain-imaging information, which provides an indication of their potential to benefit from treatment.

At a service level, thrombolysis delivery is challenging because both brain imaging and specialist assessment must be rapidly available to confirm treatment eligibility, achieve optimal treatment outcomes and avoid harm. Despite wide dissemination of the National Strategy, NICE guidelines and corresponding national clinical guidelines, <sup>10</sup> and significant reorganisation of services in some regions, <sup>11,12</sup> the national audit has continued to show large variations in the rate and speed of thrombolysis delivery between services and diurnal variations within services. <sup>6,13</sup> At the start of the programme in 2014, only 11% of total stroke admissions in the NHS were being treated against an aspirational target of 20%, with a median door-to-treatment time of 54 minutes, despite a target of < 40 minutes. <sup>6</sup> This largely remained unchanged by the end of the programme in 2019, implying that further improvements are unlikely to be achieved by focusing solely on the process of care delivery within hospitals. Even if stroke patients are unsuitable for thrombolysis after rapid processing, they might still benefit from other aspects of early specialist management to avoid complications, such as intravenous blood pressure lowering or reversal of anticoagulation medication, to reduce the risk of intracerebral haematoma expansion. <sup>10</sup>

#### Ambulance stroke assessment

The NHS ambulance assessment of suspected stroke patients consists of initial symptom recognition using the Face, Arm, Speech Test (FAST),<sup>14</sup> exclusion of hypoglycaemia and urgent transfer with prenotification to the nearest hyperacute stroke unit (HASU) if onset is believed to be within 4 hours.<sup>10</sup> Local pathway variations exist according to the location of the specialist HASU, but the role of the paramedic has fundamentally remained the same for 15 years. Despite proximity to the patient and audit data showing scope for improvement in overall service delivery, the pre-hospital content of the emergency stroke pathway and related training has not been further optimised for thrombolysis decision-making. There have been reports that additional pre-hospital-phase interventions can facilitate thrombolysis treatment, including multiprofessional workforce training,<sup>15</sup> raising the service priority level for suspected stroke<sup>16</sup> and personalised feedback to paramedics about care quality.<sup>17</sup> However, studies were setting specific and/or observational, and generally described short-term improvements in thrombolysis-naive services.

In other specialties, evidence is increasing that imposing a structure on interactions within multidisciplinary teams at specific points along a clinical pathway has a major bearing on the efficiency of care delivery. Simple tools can standardise communication of key information and confirm whether or not essential tasks have been undertaken, including structured formats for paramedic handover to emergency department (ED) staff<sup>18,19</sup> and multidisciplinary care process checklists for pre- or post-care delivery.<sup>20,21</sup> Enhanced handover and team checklists might, therefore, be valuable during the specific scenario of assessment for thrombolysis eligibility, as well as improving access to other stroke treatments and organised stroke care.

In view of the potential for ambulance personnel to play a more significant role during the initial assessment of suspected stroke patients who may be suitable for thrombolysis, WP1 developed and evaluated an enhanced PASTA pathway.

#### **Intra-arterial thrombectomy**

Although clinical services were seeking effective implementation of thrombolysis provision, an evidence base was rapidly developing for a powerful additional treatment suitable for selected patients with moderate to severe ischaemic stroke as a result of large artery occlusion (LAO), known as IAT. Although thrombolysis reduces long-term disability, restoration of cerebral blood flow occurs in only 50% of patients and in only 10% with LAO,<sup>22</sup> thereby limiting its effectiveness. During IAT, an arterial catheter is guided into the cerebral circulation by a trained interventionist to extract the thrombus directly, thereby achieving greater success in restoring the blood supply. To reduce disability, this must also be performed as soon as possible, usually within 6 hours of symptom onset and following initial treatment with thrombolysis.<sup>23,24</sup>

As the IAT procedure requires interventionists and facilities currently only available at regional neuroscience centres, the clinical pathway is more complicated than thrombolysis and the majority of IAT-eligible patients require rapid secondary transfer to the centre following initial assessment at a local HASU.<sup>25,26</sup> Advanced symptom checklists for ambulance personnel have been developed in an attempt to identify patients who are more likely to have LAO for selective redirection, but, so far, these have not shown acceptable levels of accuracy for widespread clinical deployment.<sup>27,28</sup>

At the start of this programme, in 2014, there was no commissioned provision of IAT across > 120 HASUs. Critical issues were still unclear, including how many patients were suitable for IAT based on emerging trial data, the optimal configuration of HASU and IAT centres, and stakeholder preferences regarding the inevitable trade-off between possible health gains at a central site relative to additional travel distance and displacement. This information was essential for services and commissioners to prepare for IAT implementation, but required presentation in a format facilitating comparison of options in a local service context. Hence, WP2 sought to determine the clinical effectiveness, costs, cost-effectiveness and affordability of delivering IAT for acute ischaemic stroke patients.

## Work package 1

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#### **Development of the Paramedic Acute Stroke Treatment Assessment**

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#### Systematic literature review

The completed review has been published.29

The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)-compliant protocol<sup>30</sup> was registered online as PROSPERO CRD42014010785.

To develop an enhanced paramedic assessment capable of supporting hospital thrombolysis of appropriately selected stroke patients, it was first necessary to understand challenges and successes reported during pre-hospital roles for any condition with known time-sensitive outcomes (i.e. trauma, myocardial infarction and stroke).

Therefore, an electronic search of published literature (from January 1990 to September 2016) was conducted across eight bibliographic databases that focused on (1) generic or specific structured handovers between ambulance and hospital personnel and (2) paramedic-initiated care processes at handover or post handover.

A narrative review of 36 studies shortlisted at the full-text stage indicated that (1) enhanced paramedic skills might supplement handover information as there would be a greater shared understanding of what information is important for the receiving medical team; (2) structured handover tools and feedback on performance can improve clinical communication during emergency transfer of patient care; and (3) enhanced paramedic roles following arrival at hospital were limited to 'direct transportation' of patients to imaging/specialist care facilities, and there were no examples of paramedics continuing to assist with patient care after handover. No descriptions were identified for pre-hospital thrombolysis-specific information collection tools or stroke-specific handover formats, but the review provided general support for the development of an enhanced paramedic role containing these elements.

#### Stakeholder engagement

To develop an intervention that was likely to be acceptable and feasible within UK ambulance and stroke services, relevant stakeholders were formally engaged in the design process. Fifteen focus groups and interviews were undertaken over the first 12 months of the programme in north-east England, north-west England and Wales, involving patient representatives (n = 20) and health-care professionals (n = 103), comprising paramedics and ED and HASU clinicians.<sup>31</sup> Digital recordings were transcribed, anonymised and analysed using open and then focused coding with constant comparison.<sup>32,33</sup> During four iterative rounds of data collection, themes were developed to understand barriers to and facilitators of the adoption of the paramedic intervention and the developing enhanced role/PASTA pathway material was amended accordingly.

In summary, paramedics, hospital clinicians and patients welcomed the use of enhanced skills during pre-hospital stroke assessment. Paramedics believed that they were capable of undertaking more detailed clinical assessments aimed at thrombolysis eligibility, but were unsure if their experience and skills would be recognised by hospital teams. Both professional groups strongly supported the use of a

standardised handover format to enable new skills to be more effective and minimise the possibility of thrombolysis potential not being recognised by hospital triage staff receiving the patient. To encourage a joint working approach, there was general support for paramedics providing reminders about key time targets for brain imaging and treatment administration during handover.

All participants were uncertain about the feasibility of paramedics spending extra time in the hospital to assist the clinical team because of the wider implications for ambulance service response times. However, as there could be times when few hospital staff were available for initial care processes post handover, they agreed that it may be beneficial to assist with practical tasks as part of the intervention for up to 15 minutes (the standard service target interval between ambulance handover and departure). There was no system in place for paramedics to routinely receive feedback about their assessment process, but all professional groups were interested in the evidence showing that simple individual feedback could improve pre-hospital stroke care quality, 17 and were enthusiastic for this to be incorporated.

During the fourth round of interviews, there were no additional changes to the proposed PASTA pathway or concerns from public representatives, and the Programme Steering Committee agreed that WP1 should progress towards delivery of the main trial.

### The Paramedic Acute Stroke Treatment Assessment intervention

The PASTA pathway consisted of the following components (Figure 1):

- 1. Information the paramedic seeks additional information at the scene, which is routinely considered during thrombolysis treatment decisions but typically is not obtained until after hospital admission (e.g. prescription of anticoagulant medication).
- 2. Pre-notification although this is an expected component of standard care, the PASTA paramedics were specifically reminded to pre-alert the destination hospital.
- 3. Handover on arrival at the hospital, the paramedic provided a standardised handover of stroke-specific details to the hospital team, including FAST, onset time, patient alertness as measured using the Alert, Verbal, Pain, Unresponsive (AVPU) scale<sup>34</sup> and PASTA information.
- 4. Scan if the computed tomography (CT) scanner was immediately available, the paramedic assisted with patient transfer to radiology.
- 5. Assist up until 15 minutes after arrival, the paramedic undertook the following tasks as required: insertion of an intravenous cannula, obtaining the patient's weight and repetition/clarification of clinical information for the arriving stroke team members.
- 6. Checklist at 15 minutes after handover, the paramedic asked a member of the hospital team to confirm progress with key tasks (e.g. status of the scan request).
- 7. Feedback the paramedic requested feedback from a hospital clinician about the accuracy of their provisional stroke diagnosis and onset time estimation.

# Examination of the Paramedic Acute Stroke Treatment Assessment pathway intervention clinical effectiveness: a cluster randomised trial

The published study protocol<sup>35</sup> and the main study report<sup>36</sup> have been published.

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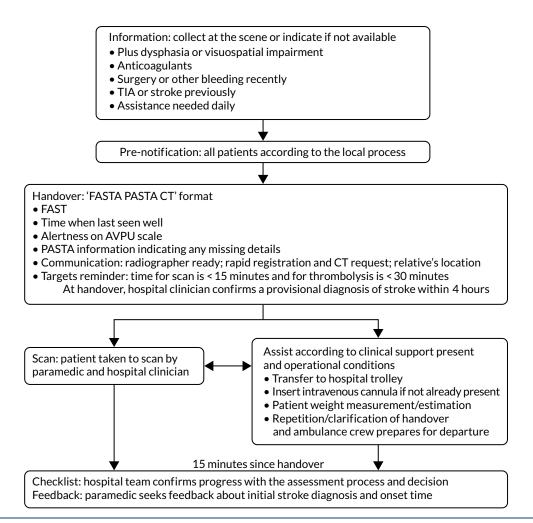


FIGURE 1 The PASTA pathway intervention. FASTA PASTA CT, Face, Arm, Speech, Time, Alertness Plus Anticoagulants Surgery TIA Assistance Communication Targets; TIA, transient ischaemic attack.

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The PASTA trial objectives were to:

- determine whether or not the PASTA pathway increased the proportion of patients receiving thrombolysis (the primary outcome)
- describe the impact of the PASTA pathway on key time intervals during delivery of care
- describe the number and subsequent diagnoses of suspected stroke patients who travelled to the
  hospital with a study paramedic but, following assessment at hospital, were not given a diagnosis of
  stroke ('stroke mimics').

# Methods

A pragmatic multicentre cluster randomised controlled trial (RCT) design was chosen to reduce contamination of standard care by the intervention and avoid potential delays in care due to individual randomisation. Ethics approval was granted by the National Research Ethics Committee North East – Newcastle and North Tyneside 1 (reference 15/NE/0309).

The study was hosted by three ambulance services (i.e. north-east England, north-west England and Wales) with similar clinical pathways for standard care that reflected national clinical guidelines. <sup>10</sup> These served 15 study hospital sites. Important acute activity and workforce characteristics are shown in *Appendix 1*, *Table 6*. Clusters were individual paramedics based within pre-randomised ambulance stations stratified by service, size and distance of station from the nearest study hospital. Paramedics who were based at stations randomised to the PASTA trial only became involved following successful completion of study-specific training (i.e. an online video and knowledge assessment). Paramedics based at standard care stations were simply informed that their clinical record entries would be supporting a study of pre-hospital assessment. Patients received the PASTA intervention or standard care according to which paramedic attended to them. Participating hospitals were not randomised and received both PASTA and standard care patients.

### **Participants**

Patients were identified and recruited after completion of the thrombolysis assessment in participating hospitals if the following criteria were met:

- they travelled to hospital with a study paramedic
- they were aged ≥ 18 years
- they received a diagnosis of stroke from a hospital specialist
- they were within 4 hours of stroke onset (onset time determined by the hospital stroke team) when assessed by the study paramedic.

#### Intervention

Trained paramedics were requested to provide the PASTA pathway intervention (see *Figure 1*) to patients who they suspected were suffering a stroke and were within 4 hours of symptom onset. Initial paramedic stroke identification processes were unchanged. A study-specific ambulance data collection form was completed to record delivery of the different PASTA components. The patients attended by paramedics who were randomised to the standard care group received routine assessment and treatment.<sup>10</sup>

## **Outcome measures**

The primary outcome was the proportion of patients receiving thrombolysis. The secondary outcomes included key time intervals during assessment and thrombolysis treatment, stroke severity 24 hours after thrombolysis [as measured on the National Institutes of Health Stroke Scale (NIHSS)],<sup>37</sup> delivery of other components of acute care, day 90 death or dependency (mRS) score<sup>38,39</sup> and complications after thrombolysis.<sup>40</sup>

#### Statistical analysis

Based on the effects reported by previous studies and our eligibility criteria, the sample size estimation considered that a change from 43% to 53% of study-eligible patients receiving thrombolysis would be clinically important. At 90% power, a 5% significance, an average cluster size (patients per paramedic) of five patients, an intracluster correlation coefficient of 0.02, an imbalance of two control patients per intervention patient (reflecting delays in the PASTA training uptake) and attrition of 1%, it was calculated that 1297 patients were required (standard care, n = 865; PASTA intervention, n = 432). However, the study protocol allowed for the final recruitment target to be kept under review and adjusted to reflect any changes in the underlying assumptions. The final required number of patients was 1149 based on a cluster size of three and a standard care-to-PASTA group imbalance of 8 : 5 patients.

Analysis was by 'treatment allocated' (i.e. the study group allocation of the station base for the attending paramedic). Imputation was used for missing NIHSS scores and day 90 mRS scores.

The primary analysis used logistic regression allowing for clustering by paramedic, with adjustment for clinically important and statistically significant covariates and factors to estimate an adjusted odds ratio (aOR) for the proportion of all patients receiving thrombolysis. The mRS was dichotomised

into 'favourable outcome' (mRS score 0-2) or 'poor outcome' (mRS score 3-6) and an aOR of a 'poor outcome' was calculated. Other comparisons used odds ratios (ORs) by logistic regression and t-tests as appropriate. Cox proportional hazard regression estimated a hazard ratio for the combined impact of the intervention on thrombolysis and time to treatment since the emergency call.

A post hoc analysis considered whether or not routine hospital specialist availability for thrombolysis decision-making had any bearing on the treatment received in each study group. Workforce information reported in the 2016 Sentinel Stroke National Audit Programme (SSNAP) Acute Organisational Audit<sup>6</sup> was used to categorise hospitals as compliant or non-compliant with the current national standard regarding hospital provision of a specialist thrombolysis service [i.e. there should be a minimum of six specialists trained in emergency stroke care providing a continuous rota without input from non-specialists, so that all treatment decisions are made by a stroke specialist (see *Appendix 1*, *Table 6*)].

## Results

At 62 PASTA stations, 453 of 817 (55%) paramedics completed training. At 59 standard care stations, 700 of 723 (97%) paramedics agreed to assist. Between 10 December 2015 and 31 July 2018, 11,478 stroke patients travelling by ambulance were screened, 1391 fulfilled the eligibility criteria and were approached, and 1214 patients were enrolled. Of these, 500 were assessed by 242 PASTA paramedics (2.1 patients per paramedic) and 714 were assessed by 355 standard care paramedics (2.0 patients per paramedic). The follow-up is shown as per Consolidated Standards of Reporting Trials (CONSORT) reporting recommendations in *Figure 2*. Primary outcome data were available for all patients.

Demographic and clinical characteristics were very similar in the two study groups for all patients (see *Appendix 1*, *Table 7*). The mean age was 74.7 [standard deviation (SD) 13.2] years, women comprised 48% of the patient group and the median/mean admission NIHSS score was 9.0/11.4. *Appendix 1*, *Table 8*, shows the demographics and clinical characteristics according to the study group and receipt of thrombolysis.

The PASTA paramedics took an average of 13.4 minutes longer [95% confidence interval (CI) 9.4 to 17.4 minutes longer; p < 0.001] than the standard care paramedics to complete patient care episodes (i.e. 'clear' a patient), mainly because an additional 8.8 minutes was spent in the hospital (95% CI 6.5 to 11.0 additional minutes; p < 0.001). There was no significant difference between the groups for paramedic time spent on scene (PASTA intervention, 26.0 minutes; standard care, 24.2 minutes; difference 1.61 minutes, 95% CI -0.2 to 3.4; p = 0.08). There was no evidence of other differences between time intervals (see *Appendix 1*, *Table 9*).

There was no significant difference in the proportion of patients who received thrombolysis (*Table 1*) in the PASTA [197/500 (39.4%)] and standard care groups [319/714 (44.7%)], but there was a possible trend in the opposite direction to that of the anticipated intervention effect (aOR 0.81, 95% CI 0.61 to 1.08; p = 0.15; intracluster correlation coefficient 0.00). Among thrombolysis-treated patients, a PASTA paramedic assessment to assign a patient to thrombolysis was longer by an average of 8.5 minutes (95% CI 2.1 to 13.9 minutes longer; p = 0.01) than that of a standard care paramedic. The Cox regression analysis of time from the 999 call to treatment for the PASTA intervention group compared with standard care group reported an adjusted hazard ratio of 0.85 (95% CI 0.71 to 1.02; coefficient -0.17; p = 0.07), indicating that thrombolysis in the PASTA intervention group was less likely at any time point after the start of the emergency care pathway. After thrombolysis, there were no significant differences evident between groups for reduction in stroke severity or any treatment complication, but the number of events was small (see *Table 1*). No evidence for significant differences was observed for other individual acute care processes delivered to all patients (see *Appendix 1, Table 10*).

At day 90, there was no significant difference between groups for mortality [the PASTA intervention, 140/499 (28.1%); standard care, 199/712 (27.9%); OR 1.00 (95% CI 0.78 to 1.30); p = 0.97)]. Figure 3 shows the distribution of mRS score values at day 90. Although the CIs were wide enough to include

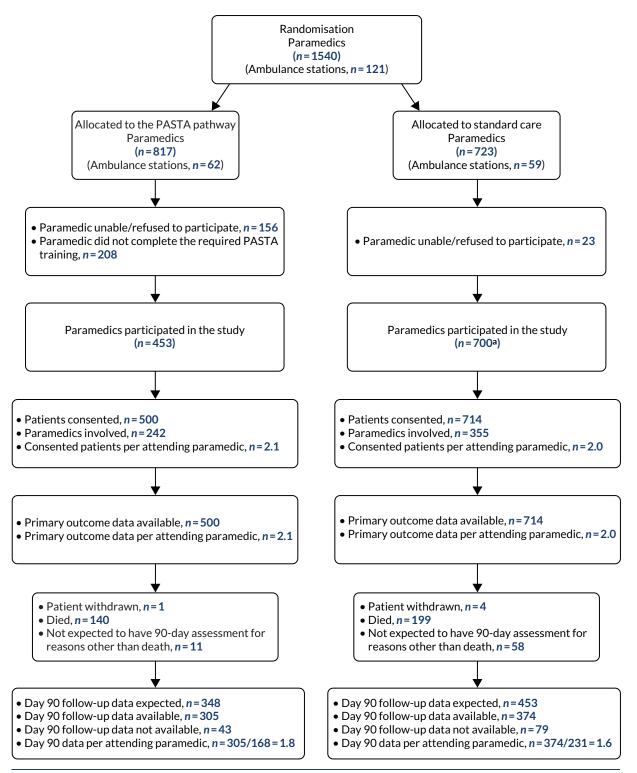


FIGURE 2 Trial profile. a, Eight paramedics allocated to standard care completed the PASTA training during the study.

clinically important differences favouring either group, there was an unexpected non-significant trend towards fewer poor outcomes (i.e. a mRS score  $\geq$  3) at day 90 among the PASTA intervention patients [PASTA intervention, 313/489 (64.0%); standard care, 461/690 (66.8%); aOR 0.86 (95% CI 0.60 to 1.2); p = 0.39], which was also seen among those who received thrombolysis [PASTA intervention, 108/193 (56.0%); standard care, 191/312 (61.2%); aOR 0.78 (95% CI 0.47 to 1.30); p = 0.34].

TABLE 1 Thrombolysis treatment

	Group		Analysis	
	PASTA			
	intervention	Standard care	Unadjusted OR	Adjusted OR
Thrombolysis treatment, n/	N (%)			
All patients	197/500 (39.4)	319/714 (44.7)	0.81 (95% CI 0.64 to 1.02; p = 0.07)	0.81 (95% CI 0.61 to 1.08; p = 0.15)
Ischaemic stroke only	196°/409 (47.9)	319/607 (52.6)	0.83 (95% CI 0.65 to 1.07; p = 0.15)	0.84 (95% CI 0.60 to 1.17; p = 0.30)
Times	N = 197	N = 319	Difference in mean PASTA minus standard care	
Onset to treatment time (	minutes)			
Mean (SD)	154.4 (55.3)	149.9 (51.7)	4.47 (95% CI -4.97 to 13.93; <i>p</i> = 0.35)	-
Median (IQR)	146 (110-194)	137 (110-190)		-
Paramedic assessment to treatment time (minutes)	N = 194	N = 315		
Mean (SD)	98.1 (37.6)	89.6 (31.1)	8.50 (95% CI 2.10 to 14.80; <i>p</i> = 0.01)	-
Median (IQR)	90 (72-114)	86 (68-107)		-
Hospital arrival to treatment time (minutes)	N = 176	N = 286		
Mean (SD)	58.9 (33.4)	54.2 (26.9)	4.69 (95% CI -1.20 to 10.55; <i>p</i> = 0.12)	-
Median (IQR)	48.5 (35-75)	48.5 (36-65)		-
Stroke severity (NIHSS)				
After treatment (24–48 hours)	N = 193	N = 307		
Mean (SD)	8.5 (9.0)	9.6 (9.3)	-1.12 (95% CI -2.7 to 0.54; p = 0.19)	-
Median (IQR)	5 (1-14)	6 (2-15)		-
Reduction after treatment	:			
Mean (SD)	3.7 (6.5)	2.8 (7.2)	0.90 (95% CI -0.35 to 2.2; p = 0.16)	-
Median (IQR)	4 (0-7)	3 (0-7)		-
Complications, n (%)	N = 196	N = 319		
Symptomatic intracranial haemorrhage	4 (2.0)	10 (3.1)	0.64 (95% CI 0.20 to 2.10; p = 0.46)	-
Extracranial haemorrhage	6 (3.1)	6 (1.9)	1.65 (95% CI 0.52 to 5.20; p = 0.39)	-
Angiooedema	2 (1.0)	7 (2.2)	0.46 (95% CI 0.10 to 2.24; p = 0.32)	-
Other complication	2 (1.0)	1 (0.3)	3.30 (95% CI 0.30 to 36.40; p = 0.56)	-
Any complication	13 (6.6)	24 (7.5)	0.87 (95% CI 0.43 to 1.76; p = 0.70)	-

# IQR, interquartile range.

a This value is 196, not 197, because one patient with subtle haemorrhagic stroke that was not initially identified on the admission CT received thrombolysis.

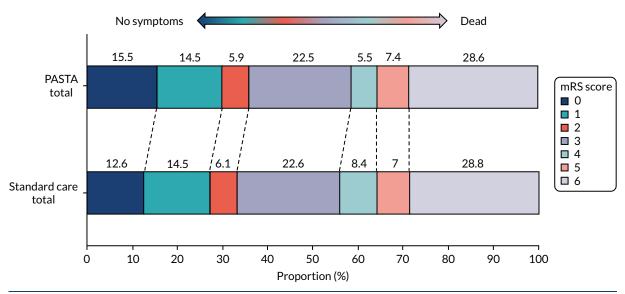


FIGURE 3 Distribution of day 90 mRS scores for all patients.

Similar day 90 mRS score distributions for thrombolysis and non-thrombolysis groups are shown in *Appendix* 1, *Figures* 14 and 15. The serious adverse events were recorded from 81 PASTA patients (16%; total of 94 events) and 136 standard care patients (19%; total of 161 events). None of the serious adverse events had a causal link to the study intervention.

Eight hospitals were not fully compliant with the national standard for local specialist availability (see *Appendix 1*, *Table 6*). In the post hoc analysis, these non-compliant services showed a statistically significant 9.8% absolute reduction in the PASTA thrombolysis treatment rate compared with the standard care thrombolysis treatment rate [PASTA intervention, 99/276 (35.9%); standard care, 105/230 (45.7%); unadjusted OR 0.67 (95% CI 0.47 to 0.95); p = 0.03], whereas there was no difference at the seven compliant hospitals [PASTA intervention, 98/224 (43.8%); standard care, 214/484 (44.2%); unadjusted OR 0.98 (95% CI 0.71 to 1.35); p = 0.91].

Study-specific ambulance data collection forms recording delivery of the PASTA pathway were located for 227 out of 500 (45.5%) intervention patients. Use of the structured handover was recorded for 59.0% and use of the individual components of the checklist ranged from 57.3% to 90.3%. Full data are shown in *Appendix 1*, *Table 11*.

Although patients with symptoms mimicking stroke were not enrolled in the trial, hospital research support staff recorded that 1596 such patients were transferred by a study paramedic. The most common non-stroke diagnoses were transient ischaemic attack (TIA) (34.5%), headache/neurological (13.1%), epilepsy/seizure (10.3%), infection/sepsis (9.0%), syncope/circulation (6.1%), functional (4.1%), brain imaging diagnosis [e.g. tumour (3.5%)] and metabolic disturbances (3.3%).

#### Discussion

This multisite pragmatic trial showed that a paramedic-initiated thrombolysis-focused emergency stroke assessment that extended beyond hospital handover did not increase thrombolysis rates. Instead, there was a trend towards less thrombolysis administration. Although there was a longer initial paramedic assessment process, it is unlikely that the PASTA intervention resulted in patients simply 'timing out' of treatment as this was, proportionally, a minor extension of the whole emergency pathway, and the Cox regression analysis indicated that intervention thrombolysis was probably less likely at any time point since the emergency call.

It may be surprising that these results show that the PASTA pathway did not improve thrombolysis delivery when simpler pre-hospital interventions have increased treatment rates (e.g. raising the ambulance priority level for suspected stroke)<sup>16</sup> and reduced hospital treatment delays (e.g. pre-notification),<sup>41</sup> but the service

context of each report is likely to be relevant. Previously, additional thrombolysis activity was observed at four out of six US centres following a multilevel intervention comprising public awareness activities, a paramedic symptom checklist and competitive benchmarking. The two unchanged centres had high baseline treatment rates and may have already achieved optimal performance. A similar ceiling effect may explain the lack of effect among the PASTA sites, which were already established thrombolysis providers. A multisite Scandinavian trial randomised 942 suspected stroke/TIA patients to a higher and standard response level after multidisciplinary training. The study reported a thrombolysis rate of 24%, compared with 10% among controls. Like the PASTA intervention, there was no significant change in door-to-needle time, suggesting that delays following admission relate to logistical factors such as scan capacity, image reporting and specialist availability.

Despite the intervention group showing a surprising trend towards fewer thrombolysis treatments, outcomes were not adversely affected and there was a counter-intuitive trend towards better health. If indicative of a genuine effect, one possible hypothesis is an influence on case selection, that is structured communication of directly relevant and timely information by the PASTA paramedics might increase clinician confidence about withholding treatment when there is borderline benefit, higher than average risk or uncertainty about key details, such as onset time. The post hoc analysis showed that intervention group thrombolysis was significantly less likely across services with specialist availability below the level recommended by national guidelines.6 Relatively inexperienced clinicians under time pressure may tend towards overtreatment rather than undertreatment of borderline cases, which could be moderated by the PASTA handover and/or checklist, whereas services with greater specialist continuity may already apply a more systematic approach to case selection. Being an unexpected finding, we had not collected the required data describing clinical and radiological quality of individual treatment decisions to confirm this hypothesis. However, previous ED studies have reported that, typically, less than half of pertinent items of information are shared during standard handover of mixed patient groups,<sup>42</sup> with significant variation due to the level of experience of the clinicians involved.<sup>18,43</sup> The relevance and clarity of handover can be improved by introduction of simple generic formats<sup>19,29</sup> while multidisciplinary team checklists make care safer through clarification of information and reinforcement of important standards.<sup>20,21</sup>

The distribution of mimic conditions observed was typical of previous ambulance studies and clinical reports,<sup>44</sup> providing reassurance that the suspected stroke cohort underpinning the trial was representative of the wider clinical population.

# **Examination of the Paramedic Acute Stroke Treatment Assessment pathway intervention cost-effectiveness**

The main study report has been published.<sup>45</sup>

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A within-trial health economic analysis estimated the cost-effectiveness of the PASTA intervention, compared with standard care, over 90 days of follow-up. As the trial post hoc analysis showed that thrombolysis varied according to specialist availability, a sensitivity analysis was undertaken to determine if costs and cost-effectiveness varied by site-level specialist availability. The economic evaluation was reported following best practice guidelines conforming to the Consolidated Health Economic Evaluation Reporting Standards (CHEERS).

### Methods

Outcomes were QALYs and cost per participant reported in 2017/18 Great British pounds from an NHS and social service perspective. QALYs were based on health utility scores generated from mapping discharge and day 90 mRS scores to EuroQol-5 Dimensions, three-level version, values.<sup>46</sup> These were converted into QALYs using the area under the curve method, controlling for pre-stroke disability, age and sex. Costs were derived from prospectively captured resource utilisation data, including paramedic time, acute medical treatments, bed-days, post-discharge rehabilitation, social services involvement (paid carers at home and in social care settings) and hospital re-admissions. Standard unit costs were used in calculations.<sup>47,48</sup> As the time horizon was 90 days, discounting of costs and outcomes was not required.

A complete-case data set and an imputed data set were analysed. Missing cost and utility data were imputed using predictive mean matching within the multiple imputation generated by chained equations. Generalised linear model regressions with gamma family link function estimated marginal costs while controlling for age, sex and pre-stroke disability clustered by site.<sup>49</sup> Stochastic sensitivity analysis used non-parametric bootstrapping to quantify and explore the impact of statistical imprecision surrounding the point estimates of costs, QALYs and cost-effectiveness. The likelihood that the PASTA intervention would be cost-effective, compared with standard care, was reported over a range of willingness-to-pay (WTP) values.

#### Results

The unadjusted differences for complete-case mean mRS scores, utility, QALYs and total cost estimates between the PASTA intervention and standard care are shown in *Appendix 2*, *Table 12*. Over the 90-day follow-up period, there was no evidence of QALY differences between groups in either complete-case (0.007, 95% CI -0.003 to 0.018) or imputed data (0.005, 95% CI -0.004 to 0.015) (*Table 2*). There were lower total costs in the PASTA intervention group for both complete-case (-£1473, 95% CI -£2736 to -£219) and imputed data sets (-£1086, 95% CI -£2236 to -£13).

TABLE 2 Base-case cost-effectiveness analysis

	Data, mean (95% CI)			
	Complete case		Imputation	
Outcome measure	Standard care	PASTA intervention	Standard care	PASTA intervention
QALYs	0.100 (0.093 to 0.108)	0.108 (0.099 to 0.116)	0.104 (0.097 to 0.110)	0.109 (0.102 to 0.117)
ΔQALY	0.007 (-0.003 to 0.018)		0.005 (-0.004 to 0.015)	)
Total costs (£)	13,103 (12,292 to 14,019)	11,630 (10,702 to 12,586)	13,106 (12,421 to 13,904)	12,019 (11,223 to 12,865)
ΔTotal costs (£)	-1473 (-2736 to -219)		-1086 (-2236 to -13)	
ICER (ΔCost/ΔQALY)	Dominant		Dominant	
Probability of being cost-effective at £20,000 WTP for a QALY (%)	1	99	1.9	98.1
Probability of being cost-effective at £30,000 WTP for a QALY (%)	0.3	99.7	1.7	98.3

ICER, incremental cost-effectiveness ratio.

Detailed descriptive costs from the complete-case data set are shown in *Appendix 2*, *Table 13*. Although there was a greater mean cost for paramedic training time and longer patient episode duration in the PASTA intervention group than in the standard care group, there were savings from less use of thrombolysis medication, reductions in length of hospital stay and reductions in the duration of rehabilitation and provision of social care support. The costs for other acute stroke treatments were slightly higher among the PASTA patients.

A plot of bootstrapped differences in mean costs and QALYs for the imputed data set showed that for most iterations (91.3%), the PASTA intervention was less costly and more effective than standard care (*Figure 4*).

Over the range of values for society's WTP for 1 QALY, there was a > 97.5% chance that the PASTA intervention group would be considered cost-effective (see *Appendix 2*, *Figure 16*).

The post hoc economic sensitivity analysis results are shown in *Appendix 2, Table 14*. The seven compliant hospitals where there was no evidence of a thrombolysis rate difference between the PASTA and standard care groups showed no evidence of a difference in QALYs (0.005, 95% CI –0.008 to 0.018) or costs (–£423, 95% CI –£2220 to £1362). The eight non-compliant hospitals that together had a thrombolysis reduction in the PASTA intervention group provided no evidence of a difference in QALYs (0.009, 95% CI –0.008 to 0.025), but costs were lower for the PASTA patients than for standard care patients (–£2952, 95% CI –£4988 to –£917)]. Cost-effectiveness planes for the compliant and non-compliant hospitals are shown in *Appendix 2, Figures 17* and 18.

### Discussion

Although the paramedic-led thrombolysis-focused emergency stroke assessment used in the PASTA trial did not increase thrombolysis rates, the economic evaluation showed a cost saving associated with the PASTA intervention group. The QALY differences were, on average, small and there was no evidence of differences, but, overall, there was a very high chance that the PASTA intervention would be cost-effective across all WTP threshold values.

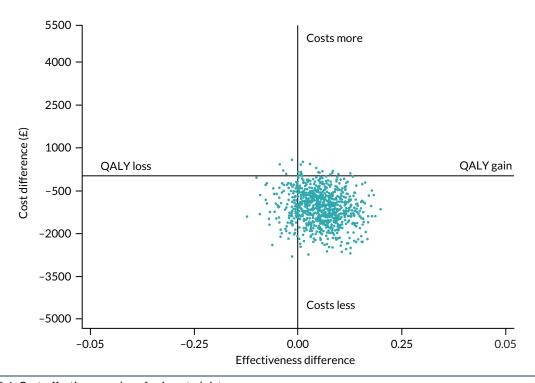


FIGURE 4 Cost-effectiveness plane for imputed data.

Although it is not surprising that fewer thrombolysis treatments resulted in lower costs, it was unexpected to observe the PASTA intervention group savings in other aspects of care, including length of hospital stay, rehabilitation and social care. Although these data require cautious interpretation, patients with better health following emergency assessment would require lower costs for each of these resources in turn.<sup>50</sup> However, it is important to acknowledge that the health difference was non-significant, small and short term.

Although the economic results are not intuitive, previous triallists have proposed that costs of care can be more sensitive indicators of all consequences (expected and unexpected) of a complex intervention in a pragmatic trial than a pre-chosen primary end point that focuses on one anticipated impact only.<sup>51</sup> This proposal is consistent with the idea that the PASTA intervention could have a mixed effect on patient care.

In the post hoc analysis, a reduction in costs up until 90 days was particularly evident for the PASTA patients across services with specialist availability below the level recommended by national guidelines. This is consistent with the earlier hypothesis (see *Examination of the Paramedic Acute Stroke Treatment Assessment pathway intervention clinical effectiveness: a cluster randomised trial, Discussion*) that relative inexperience normally leads to overtreatment rather than undertreatment of borderline cases by non-specialists. Better clinical acumen would not only save costs by preventing futile thrombolysis, but could also avoid a longer length of stay associated with essential monitoring after treatment and rehabilitation following harmful complications.

# Impact of the Paramedic Acute Stroke Treatment Assessment intervention on ambulance response times

During funding of the programme and development of the PASTA intervention (see *Development of the Paramedic Acute Stroke Treatment Assessment, Stakeholder engagement*), concerns were raised by reviewers and ambulance personnel regarding any negative impact from the additional time that intervention paramedics could spend in the hospital post handover, rather than becoming 'clear' again for another emergency call. To identify any effect on ambulance service responsiveness as a result of the PASTA intervention delivery, we undertook a retrospective observational study of the North East Ambulance Service (NEAS)'s emergency performance in parallel with the PASTA trial.

## Methods

The NEAS was selected because all hospitals within the boundary of the service were trial sites, and the likelihood of finding any effect would, therefore, be higher than in regions with only partial coverage (i.e. north-west England and Wales). Until October 2017, for each patient consented to the trial, NEAS provided audit compliance information regarding any 'Red 1' (suspected cardiac arrest requiring an 8-minute response) and 'Red 2' calls (any other serious emergency requiring an 8-minute response time) occurring in the service 1 hour before the trial patient was attended by a study paramedic, and hourly afterwards for the next 3 hours. This allowed examination of whether or not the PASTA intervention had a wider impact on the service response by comparing, between the PASTA and standard groups, whether or not national audit targets for any parallel Red 1 and Red 2 calls were achieved (i.e. an appropriate ambulance vehicle arrived for those patients within 8 minutes of the 999 call). It was not possible to continue this evaluation after October 2017 as national ambulance metrics changed and data were no longer available.

#### Results

Between December 2015 and October 2017 (i.e. for 23 months), in the 1 hour before and 3 hours after any enrolled trial patient being attended by a study paramedic, there were a total of 831 and 2533 Red 1 calls, and 12,155 and 38,708 Red 2 calls, respectively (see *Appendix 3, Tables 15* and 16). The average compliance across all patients was 68.7% for Red 1 and 61.1% for Red 2. As shown in *Figures 5* and 6, after removal of hours when Red calls did not occur, there were no significant differences between the PASTA and standard care groups in the proportion of compliant Red 1 or Red 2 calls achieved by the service at any hourly time point in relation to an enrolled patient being attended by a study paramedic.

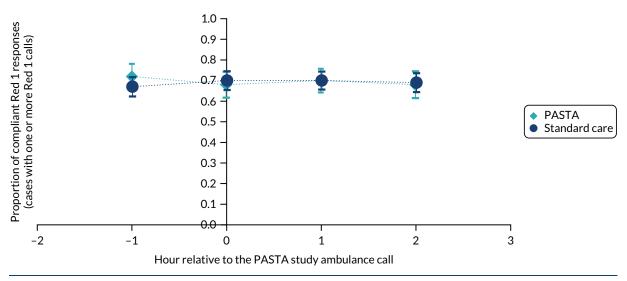


FIGURE 5 Proportion of compliant Red 1 ambulance responses in the 1 hour before and 3 hours after a study patient was attended by a paramedic. The dark blue circles show the standard care and the light blue diamonds show the PASTA study groups.

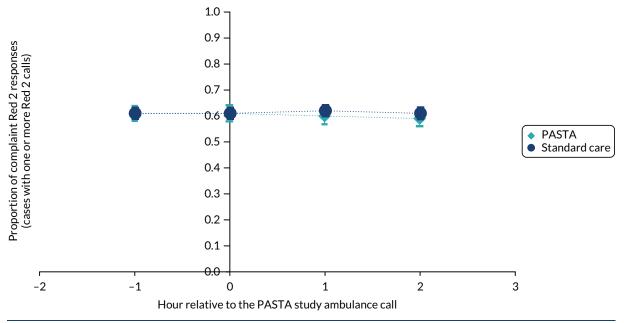


FIGURE 6 Proportion of compliant Red 2 ambulance responses in the 1 hour before and 3 hours after a study patient was attended by a paramedic. The dark blue circles show the standard care and the light blue diamonds show the PASTA study groups.

# Discussion

The results provide reassurance that, despite engaging paramedics for an average of 13.4 minutes longer with trial patients, the PASTA intervention did not cause decompensation of the ambulance service's ability to respond to its highest priority calls. This is not surprising as this time interval fell within the 15 minutes' tolerance for ambulance departure after hospital handover, and suspected stroke is a relatively small proportion (approximately 3%) of ambulance service activity, so should not create a service-wide capacity issue. It is important to recognise that these data reflect calls related to patients who were consented to the trial and not all suspected stroke patients who were attended by study paramedics, but the results support the ongoing hosting of stroke research by ambulance services.

# **Process evaluation of the Paramedic Acute Stroke Treatment Assessment intervention**

The main study report has been published.<sup>52</sup>

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There were three groups involved in a process evaluation that intended to describe the acceptability and feasibility of the PASTA pathway in the clinical setting: intervention paramedics, hospital clinicians and intervention group patients.

### Methods

Participants were invited to semistructured interviews (in person or over the telephone). Hospital research support staff sought medically stable patients who were attended by a NEAS intervention paramedic within the last 7 days. Interviews were audio-recorded, anonymised and transcribed. Data collection and analysis was an iterative process, following the combined principles of the constant comparative<sup>32</sup> and thematic analysis.<sup>33</sup> Two researchers coded transcripts independently, which were then compared and further analysed during data sessions. Approval was given by the National Health Service Research Ethics Committee Newcastle and North Tyneside (reference 15/NE/0309).

# **Results: intervention paramedics**

In total, 26 interviews were conducted across the three ambulance services (north-east England, n = 11; north-west England, n = 10; and Wales, n = 5). Participants' length of service ranged from 14 months to 27 years, with qualifications up to postgraduate degree level.

Iterative data analysis identified four key themes, which reflected paramedics' experiences at different stages of the intervention care pathway:

- 1. Enhanced assessment at scene paramedics reported that the PASTA intervention complemented their skill set and confidence, and the trial training allowed them to feel well prepared. Illustrative quotations are shown in *Appendix 4*, *Box 1*.
- The pre-alert to the hospital when local standard care pathways permitted conveyance of additional patient details, pre-notification contained more detailed and appropriate information as a result of the PASTA enhanced assessment. Illustrative quotations are shown in Appendix 4, Box 2.
- 3. Handover to hospital team the standard 'scripted' format for handover of thrombolysis-specific information was viewed as the primary benefit of the PASTA pathway. Paramedics felt more confident during communication with the hospital team, and felt that they were less likely to forget important details. Illustrative quotations are shown in *Appendix 4*, *Box 3*.
- 4. Assisting in the hospital and feedback owing to traditional professional boundaries, paramedics found these aspects harder to achieve, although feedback from the clinical team was valued when available. Illustrative quotations are shown in *Appendix 4*, *Box 4*.

# Results: hospital clinicians

Seven focus groups and one telephone interview were conducted across the three study regions. A total of 25 staff participated, including stroke specialist nurses, ED nurses and stroke consultants.

The following main themes were identified, also reflecting key stages of the pathway:

- 1. Enhanced paramedic role hospital staff perceived that paramedics generally seemed to enjoy the role and receiving feedback (see *Appendix 4*, *Box 5*: quotations 1 and 2). They reported that there were not as many paramedics trained as they had expected.
- 2. Handover value staff who had directly experienced the handover viewed it positively. They reported that the PASTA paramedics required fewer prompts from the stroke or ED team to get the details they needed, and the information was provided in the appropriate order (see *Appendix 4*, *Box 5*: quotations 3 and 4).
- 3. Post-handover uncertainty in a few settings, it was valued that the PASTA paramedics assisted with practical tasks after handover, such as taking the patient for urgent brain imaging, as this freed up the hospital staff to prepare for the possibility of thrombolysis treatment (see *Appendix 4*, *Box 5*: quotation 5). However, this assistance was not always required by the hospital staff or consistently offered by the paramedics, who may have found it difficult to initiate (see *Appendix 4*, *Box 5*: quotation 6). Even if extra assistance was not needed, feedback to paramedics was viewed positively (see *Appendix 4*, *Box 5*: quotation 7).

# **Results: intervention patients**

Six patients (five male and one female) were interviewed, after which a decision was made by the TSC to stop further patient recruitment because of the limited information being obtained about the PASTA intervention. Some patients could not remember any specific details, and those who could provide an account of their admission to hospital could not recall any specific details of paramedic actions. This may reflect the nature of acute stroke on perception or memory and the emotional state of patients at the time, but it is also likely that patients had no prior knowledge of paramedic care processes that would have enabled them to identify any actions that were related to the intervention.

### Discussion

Even though there was overlap with existing practice, participating paramedics and hospital staff valued the structure of the PASTA pathway. The pathway was considered to enhance paramedic confidence and shared care, although there was less value post handover because help was not always required and traditional boundaries were harder to overcome.

Strong support was expressed for the structured patient assessment and corresponding information handover. A review of 12 studies examining structured patient assessment frameworks found evidence of improved documentation,<sup>53</sup> but no examples from pre-hospital care. The general need to improve emergency handover has been highlighted by a review of 21 studies, which identified concerns about communication in the chaotic ED environment, exacerbated by a lack of time and resources.<sup>18</sup> More recently, there have been reports in support of structured generic handovers<sup>19</sup> and a version for trauma care,<sup>54–56</sup> but there have been no previous descriptions of a stroke-specific handover.<sup>29</sup>

On completion of the PASTA pathway checklist, paramedics welcomed the opportunity to receive immediate feedback, which hospital staff were happy to provide. Previous interviews with Canadian paramedics also found positive perceptions of feedback, but this was described as informal and opportunistic.<sup>57</sup> Feedback to individual paramedics has been shown to encourage adherence to standard stroke care assessment, but was not in given real time and did not allow clarification by the recipient.<sup>17</sup>

# Work package 2

DOI: 10.3310/TZTY9915

# Baseline characteristics of intra-arterial thrombectomy service provision in England

A full report has been published and parts of this section have been reproduced from the report.<sup>58</sup> Flynn D, Ford GA, McMeekin PJ, White P. *International Journal of Stroke* (volume 11, issue 8), pp. NP85–85, copyright © 2016 by World Stroke Organization. Reprinted by permission of SAGE Publications.

Results from randomised trials demonstrating that rapid IAT treatment reduced dependency for selected stroke patients became available in 2015<sup>23,24</sup> and led to interventional procedure guidance being issued by NICE in March 2016,<sup>59</sup> but very few UK hospitals were able to provide this service. Most treatments were opportunistic in the absence of clearly defined clinical pathways, and there was no information about how interventional neuroradiologists (INRs) performing the procedure were interpreting the evidence. Understanding baseline service provision and patient selection processes was an essential first step towards modelling optimal NHS service provision.

#### Methods

In November/December 2014, a survey was sent to clinical leads in all 24 regional INR services in England to obtain data on current provision of IAT, IAT patient selection and diagnostic imaging criteria, and centre opinions on future IAT service provision.

#### Results

Eighteen centres (75%) responded that provided INR services to a population of  $\approx$  43 million. No centres reported delivery by non-INRs. Ten centres (56%) had formal IAT protocols and six (33%) had protocols for interhospital transfers. A median of 10 [interquartile range (IQR) 16] stroke patients underwent IAT per centre during the previous year. One centre had 24 hours per day, 7 days per week (24/7) IAT provision, two centres had 7-day provision during normal hours, 12 delivered IAT on weekdays and three had no regular provision at all. There was substantial variation in the patient selection criteria and protocols for provision of IAT (*Table 3*). For future IAT services, there was clear support for centralisation of provision into large HASUs at neuroscience centres (89%) with 'drip and ship' interhospital transfers across a formal network (94%).

# **Conclusions**

Most centres in England in 2014 were limited to weekday ad hoc provision of IAT. There was considerable variation across centres in imaging and patient selection for IAT, but the responses to questions about the organisation of future service provision for IAT in England showed a degree of consensus.

# Updated estimates of certainty for intra-arterial thrombectomy effectiveness and safety

The full systematic review has been published and parts of this section have been reproduced from the review.<sup>60</sup> Flynn D, Francis R, Halvorsrud K, Gonzalo-Almorox E, Craig D, Robalino S, *et al. European Stroke Journal* (volume 2, issue 4), pp. 308–18, copyright © 2017 by European Stroke Organization. Reprinted by permission of SAGE Publications.

The PRISMA-compliant protocol<sup>61</sup> was registered online as PROSPERO CRD42015016649.

Soon after the programme started, several meta-analyses of IAT RCTs were published,<sup>23,24,62,63</sup> each of which had taken a slightly different approach, but all found that IAT is an effective treatment. The most robust of these was the academic collaborative triallists (the HERMES group) individual patient record

TABLE 3 Summary data for patient selection criteria, anaesthetic technique and primary IAT strategy from English services in 2014

Question	Number of patients (%)
Time window for INR accepting anterior circulation stroke for IAT	
< 4.5 hours	13 (72)
4.5 to < 6 hours	3 (17)
> 6 hours	0 (0)
Not time based	2 (12)
Patient category considered for IAT	
Perform IAT only when thrombolysis contraindicated	1 (6)
Perform IAT only in accordance with the 2013 NICE guidelines	7 (39)
Will perform IAT outside 2013 NICE criteria	8 (44)
No response	2 (11)
Referral source	
Stroke physician/neurologist (own hospital)	8 (44)
Stroke physician/neurologist (all hospitals)	8 (44)
Referrals from wider range of specialties/grades	1 (6)
No response	1 (6)
Evidence for arterial occlusion before accepting the patient	
Accept patients with clinically/plain CT-suspected LAO	8 (44)
Only accept patients for IAT where LAO is confirmed by CT angiography/MRA	8 (44)
No response	2 (11)
Anaesthetic technique	
General anaesthesia	4 (22)
Conscious sedation with or without general anaesthesia	10 (55)
No response	4 (22)
Local primary IAT therapeutic strategy	
'Stentriever' device alone	8 (44)
'Stentriever' device with balloon guide catheter	3 (17)
Direct aspiration alone	1 (6)
Varies between operators	5 (28)
No response	1 (6)

MRA, magnetic resonance angiography.

#### Notes

The 2013 NICE guidelines are no longer available, but are described within the 2016 NICE guidelines.<sup>59</sup> Reproduced with permission from Flynn *et al.*<sup>58</sup> Flynn D, Ford GA, McMeekin P, White P, *International Journal of Stroke* (volume 11, issue 8), pp. NP83–5, copyright © 2016 by SAGE Publications Ltd. Reprinted by permission of SAGE Publications Ltd.

meta-analysis.<sup>23</sup> However, the evidence base to define the safety and effectiveness of IAT had expanded by  $\approx$  45%, with THERAPY (The Randomized, Concurrent Controlled Trial to Assess the Penumbra System's Safety and Effectiveness in the Treatment of Acute Stroke),<sup>1</sup> THRACE (The Contribution of Intra-arterial Thrombectomy in Acute Ischemic Stroke in Patients Treated With Intravenous Thrombolysis)<sup>2</sup> and PISTE (Pragmatic Ischaemic Stroke Thrombectomy Evaluation)<sup>3</sup> trials all reporting later in 2016. Therefore, an updated evidence synthesis was warranted. A systematic review and meta-analysis with trial sequential analysis (TSA) was conducted to understand the impact of trials reporting in 2016 on the magnitude/ certainty of the estimates for effectiveness and safety of IAT.

In parallel with this systematic review looking at efficacy and safety, a detailed narrative review was undertaken of IAT complications.<sup>64</sup>

#### Methods

The search strategy is shown in *Appendix 5*. Random-effects models were conducted of RCTs comparing IAT with/without adjuvant thrombolysis against thrombolysis and other forms of best medical care in the treatment of acute ischaemic stroke. The TSA established the strength of the evidence derived from the meta-analyses.

## Results

Patients treated with IAT were significantly more likely to be functionally independent (mRS score of 0–2) at 90 days' follow-up (OR 2.39, 95% CI 1.88 to 3.04). As shown in *Figure 7*, the impact of the three 2016 trials<sup>1–3</sup> was a slightly decreased pooled effect size, but increased certainty of the mid-point estimate (OR 2.07, 95% CI 1.70 to 2.51).

Intra-arterial thrombectomy, compared with best medical care, did not show any effect on mortality or symptomatic intracerebral haemorrhage at 90-days' follow-up. Results of the TSA satisfied the criterion for 'sufficient evidence' on effectiveness; however, uncertainty remains as to whether IAT is associated with lower mortality or increased risk of symptomatic intracerebral haemorrhage.

#### **Conclusions**

The expanded evidence base for IAT yielded a more precise assessment of effectiveness, but uncertainty remained as to the net effect of IAT on mortality and symptomatic intracerebral haemorrhage.

# **Expert consensus on preferred implementation option for intra-arterial thrombectomy services**

A full description is available in Halvorsrud *et al.*<sup>70</sup> Parts of this text have been reproduced with permission from Halvorsrud *et al.*<sup>70</sup> This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The Creative Commons Public Domain Dedication waiver (https://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated. The text below includes minor additions and formatting changes to the original text.

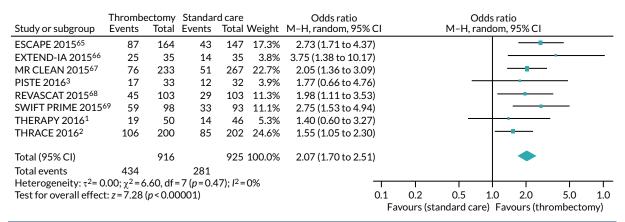


FIGURE 7 Forest plot for functional independence. M–H, Mantel–Haenszel. Reproduced with permission from Flynn et al.60 Flynn D, Francis R, Halvorsrud K, Gonzalo-Almoroz E, Craig D, Robalino S, et al., European Stroke Journal (Volume 2, Issue 4), pp. 308–18, copyright © 2017 by SAGE Publications Ltd. Reprinted by permission of SAGE Publications Ltd.

Although there was evidence supporting IAT therapeutically, there was no consensus on how it should be made available within clinical services. The aim was to establish consensus on options for future organisation of IAT services, based on agreement among physicians with clinical expertise in LAO stroke management.

#### Methods

A survey questionnaire was developed with 12 options (propositions) for future organisation of thrombectomy services in England (see *Appendix 6*, *Box 6*). The British Association of Stroke Physicians (BASP) facilitated recruitment of panellists to provide representative ratings of options (by location and experience). Consensus was defined as  $\geq 75\%$  of ratings for each option falling within three categories (i.e. approve, quite strongly approve or very strongly approve) on a seven-point Likert scale. Wider BASP membership and members of the British Society of Neuroradiologists (BSNR) then ranked those propositions on a seven-point Likert scale, reaching consensus following two initial assessment rounds. Data was collected from November 2015 to March 2016, and participation was pseudo-anonymous.

#### Results

Eleven respondents completed two rounds.<sup>71</sup> Three options achieved consensus:

- 1. selective transfer to nearest neuroscience centre for INR-delivered IAT (100% approve)
- 2. local imaging then transfer to nearest neuroscience centre for INR-delivered IAT (91% approve)
- 3. local imaging then transfer to nearest neuroscience centre for advanced imaging and INR-delivered IAT (82% approve).

Subsequently, the wider group of BASP and BSNR members (n = 64) assigned the highest approval ranking for transferring LAO stroke patients to the nearest neuroscience centre for thrombectomy based on the results of local CT/computed tomography angiography (CTA) (option 2).

#### **Conclusions**

The Delphi exercise by clinical and imaging stroke experts in England established consensus on a 'simple' imaging-driven option, which advocates the secondary transfer of patients ('drip and ship') with LAO stroke for thrombectomy based on local CT/CTA alone.

# Patient and public preferences on attributes of intra-arterial thrombectomy service organisation

To integrate the opinions of stroke survivors/relatives/carers and the public into the outputs of a health economic model for IAT in England, their preferences were elicited regarding attributes of IAT service provision, including thresholds for additional travel time in the context of this time-critical emergency.

# Methods

The research programme patient and public involvement (PPI) representative facilitated recruitment of 14 stroke survivors and their relatives/carers from a stroke PPI panel to engage in an iterative process to develop an inclusive and accessible 'aphasia-friendly' survey. Interactive meetings were convened to obtain feedback on an (1) initial draft of the survey and (2) updated version with graphics and textual presentation that adhered to guidance on developing resources for people with aphasia.<sup>72</sup> Subsequent testing was undertaken with 10 stroke survivors/carers and local PPI panels. The resulting anonymous survey was hosted and advertised on the Stroke Association website<sup>73</sup> (from January 2017 to May 2017) with information on IAT, including the time sensitive nature of outcomes, limited number of specialist facilities and delays that can occur during secondary transfer from a local stroke unit.

#### Results

Responses were received from 147 individuals [mean age 49 (SD 16) years; 61% female]: 27 stroke survivors (18%), 51 relatives/carers of stroke survivors (35%) and 69 other members of the public (47%). The majority of stroke survivors were male and the majority of the other groups were female. Respondents were spread across England (50% were resident in north-east England). Full details of respondents are in *Appendix 7*, *Table 17*. The survey results are show in *Table 4*. Differences in proportions for each survey item as a function of participant type were not statistically significant (p > 0.05), indicating that experience of stroke did not influence responses.

# **Conclusions**

In agreement with expert views favouring centralised provision of IAT with secondary transfer as appropriate, 97% of respondents would accept hospital transfer and 75% would be prepared to travel up to 30 miles to access IAT.

# **Establishing the number of stroke patients eligible for intra-arterial thrombectomy**

A full report has been published.74

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To model optimal national service configurations, it was necessary to first estimate the proportion of UK stroke patients eligible for IAT, as this had not previously been established at a population level.

TABLE 4 Summary statistics of responses to critical attributes of IAT service provision

Question	Number of respondents (%)			
Thrombectomy can be delivered only at specialist centres. Would you agree to be transferred from your local hospital to such a centre to undergo thrombectomy? $(N = 142)$				
Yes	138 (97)			
No	4 (3)			
How long would you be prepared to travel via an emerg	gency (999) ambulance for thrombectomy? ( $N = 145$ )			
1: up to 20 miles/29 minutes	36 (25)			
2: up to 30 miles/41 minutes	46 (32)			
3: up to 40 miles/53 minutes	17 (12)			
4: up to 50 miles/65 minutes	46 (32)			
How long would you be prepared to stay at the specialist centre for thrombectomy before you are returned to your local centre/hospital? ( $N = 144$ )				
24 hours	8 (6)			
48 hours	26 (18)			
> 48 hours	110 (76)			
Should a thrombectomy service be made available in your local stroke unit, even if this meant that thrombectomy would be carried out by a less experienced stroke team? $(N = 144)$				
Yes	33 (23)			
No	57 (40)			
Uncertain	54 (38)			

# Methods

Using national registry data from the SSNAP for England, Wales and Northern Ireland,<sup>6</sup> adjusted for Scotland using data from the Scottish Stroke Care Audit,<sup>75</sup> a decision tree with 14 nodes (A–N) was constructed from published trials<sup>74</sup> depicting eligibility for IAT, regardless of geographical or service constraints. An updated version in 2018<sup>76</sup> included two new reports describing IAT efficacy among late presenters.<sup>77,78</sup>

#### Results

The updated decision tree is presented in *Figure*  $8.^{74}$  The eligible population includes (1) the total UK population, even if currently geographically inaccessible; (2) patients with a confirmed infarct, excluding patients with unconfirmed status ( $\approx$  2%); and (3) patients with basilar artery occlusions eligible for treatment. Patients in the large, lower blue-shaded box are selected by advanced imaging. It was originally estimated that between 9620 and 10,920 UK stroke patients would be eligible for treatment. The revised estimate based on new evidence was that an additional 490 early-presenting and 205 late-presenting patients would be eligible for IAT (i.e. between 10,140 and 11,530 patients). The largest increase was a result of new evidence of IAT benefit for patients with Alberta Stroke Program Early Computed Tomography Score (ASPECTS) of  $\geq$  5,80 with a smaller contribution from the treatment of patients presenting between 12 and 24 hours.<sup>77,78</sup>

#### **Conclusion**

Up to 12% (11,530/95,500) of UK stroke admissions are eligible for IAT based on treatment criteria from randomised clinical trials.

# **Helicopter Emergency Medical Services survey**

While undertaking the survey of INR centres (see *Baseline characteristics of intra-arterial thrombectomy service provision in England*), it became apparent that some parts of the population live remotely from a hospital able to provide IAT. However, many hospitals are served by the air ambulance network, which could provide an approach to improve access to treatment. A survey of Helicopter Emergency Medical Services (HEMS) was undertaken to parameterise a health economic model for the cost-effectiveness of HEMS compared with ground-based ambulances providing secondary transfer of stroke patients for IAT.

### Methods

An online survey was sent to the clinical leads of nine HEMS serving 'unavoidably small and remote' hospitals (NHS England definition: < 200,000 population and > 1 hour of travel from nearest major hospital)<sup>81</sup> (Figure 9).

The survey gathered data on the number of helicopters, time in operation, number of hospitals served, average travel times by air, fit for purpose helipads, availability (09.00 to 17.00, 24/7 or other) and conditions under which flight is permitted.

## Results

Responses were received from all nine HEMS (Table 5).

All HEMS were willing to provide secondary transfers for IAT. HEMS operated a median of 14 hours per day, with extensions to operational hours being planned in two HEMS. The median response time from notification to take-off was 4 minutes and the cost per mission was £2750 (range £2500–3500). Most HEMS (eight of the nine) were Instrument Flight Rules-approved<sup>82</sup> for flying in low-visibility conditions. To deliver transfer for IAT robustly, three of the nine HEMS indicated additional funding and/or organisational changes would be required.

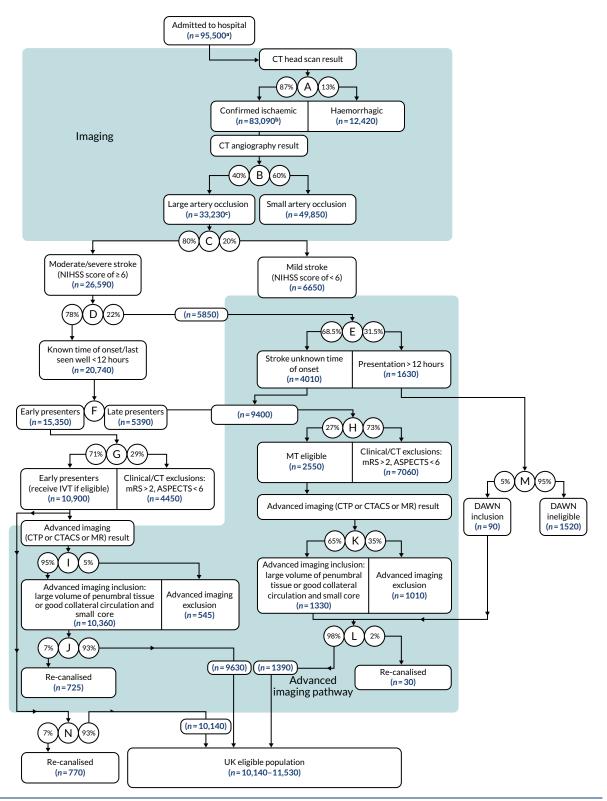


FIGURE 8 Updated estimate of the number of stroke patients eligible for IAT. ASPECTS, Alberta Stroke Program Early Computed Tomography Score; CTP, computed tomography perfusion; CTACS, computed tomography angiography collateral scoring; DAWN, DWI or CTP Assessment with Clinical Mismatch in the Triage of Wake-Up and Late Presenting Strokes Undergoing Neutrointervention with Trevo; IVT, intravenous thrombolysis; MR, magnetic resonance; MT, mechanical thrombectomy. a, Total UK population, including those deemed to be geographically inaccessible; b, confirmed infarcts, excluding  $\approx 2\%$  of patients whose status is unconfirmed. Note that totals between levels in the tree may differ slightly due to decimal rounding. Reproduced with permission from McMeekin *et al.* His is an Open Access article distributed in accordance with the terms of the Creative Commons Attribution (CC BY 4.0) license, which permits others to distribute, remix, adapt and build upon this work, for commercial use, provided the original work is properly cited. See: https://creativecommons.org/licenses/by/4.0/. The figure includes minor additions and formatting changes to the original figure.

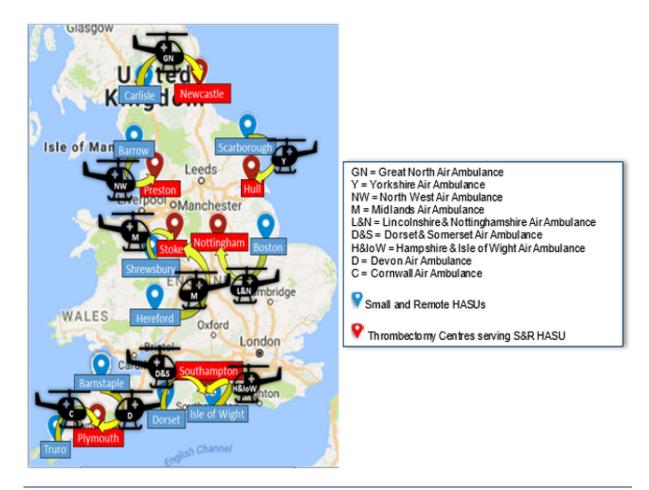


FIGURE 9 Unavoidably small and remote hospitals in England with HEMS. Reproduced with permission from Google Maps (Google Inc., Mountain View, CA, USA). Map data © 2021 Google GeoBasis-DE/BKG (© 2009).

TABLE 5 Characteristics of HEMS serving unavoidably small and remote hospitals in England

Characteristic	Median	Range	IQR
Annual number of stroke transfers	2.5	11	5.5
Number of helicopters	2	1-3	1.5
Operational hours (per day)	14	7	6.5
Response time (minutes)	4	3	1.5
Cost per mission (£)	2750	750	375

# Microcosting study to establish true cost of intra-arterial thrombectomy

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To estimate cost-effectiveness of national implementation, it was first necessary to establish the cost of providing IAT within the first 72 hours since stroke onset and to explore resource and costs variations across UK centres.

# Methods

DOI: 10.3310/TZTY9915

Microcosting methods were used to enable a precise assessment of the costs of IAT from an NHS perspective. Data were derived from the five nearest neuroscience centres from 2015 to 2018. The resources used and the costs were collected on patients admitted by secondary transfer (i.e. 'drip and ship' patients) and directly to centres (i.e. mothership patients).

### Results

Data were abstracted directly from clinical records of 310 patients treated with IAT. The mean total per-patient cost of providing IAT and inpatient care within 24 hours of stroke onset was £10,846. The main driver of cost was IAT procedure costs, accounting for 73% (i.e. £7930) of the total 24-hour cost. Total mean cost within 72 hours of stroke onset was £12,440. Costs were higher for patients treated under general anaesthesia than for those treated under local anaesthesia, with a mean difference of £1070 (95% CI £381 to £1759; p = 0.003); the admission to an intensive care unit (ICU)/high-dependency unit (HDU) mean difference was £8210 (95% CI £4833 to £11,588; p < 0001). There was no statistically significant difference in 24-hour costs between 'mothership' and secondary 'drip and ship' patients: mean difference -£368 (95% CI -£1016 to £279; p = 0.26).

### **Conclusions**

The major factors contributing to the costs of IAT for stroke include consumables and staff for the intervention, the use of general anaesthesia and admission of patients to ICU/HDU, and inter-hospital transport and repatriation. These findings may inform the reimbursement, provision and strategic planning of stroke services.

# Estimating the effectiveness and cost-effectiveness of establishing intra-arterial thrombectomy: a discrete-event simulation

The full report for modelling study one has been published,84 as has the full report for modelling study two.85

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The evidence that IAT for acute ischaemic stroke is both a highly effective and cost-effective treatment is unequivocal. Less certain is how NHS providers might maximise the benefits of IAT and how services should be extended across the NHS beyond its currently low level of provision. To support decision-makers, we developed an economic modelling framework to estimate the health and financial consequences of alternative models of service provision. In keeping with best practice, 86 the model included uncertainty and discounting, but capital expenditure and other costs associated with establishing new health-care infrastructure were not included.

### Model structure

There were two stages incorporated in to the model: (1) short-term predictions of the mRS at 90 days based on characteristics of stroke patients at presentation and (2) long-term, lifetime projections based on 90-day mRS patient characteristics. Resource consequences (financial costs) and health outcomes were derived from morbidity (mRS) and mortality. The 'code base' of the discrete-event simulation (DES) originates from a previous Programme Grants for Applied Research (PGfAR) [Development and Assessment of Services for Hyper-acute Stroke (DASH); RP-PG-0606-1241], which involved building a model to examine the consequences of pre-hospital redirection of patients eligible for thrombolysis.<sup>87</sup> It was extended to include the secondary transfer of patients eligible for mechanical thrombectomy.

The DES was iterated 2000 times for each person in the population who would be affected by the change in service configuration. The mean outcomes were aggregated to estimate the marginal effects before and after proposed service change.

### **Key assumptions**

The model assumes that the patients included in our simulation had the same properties as those included in the HERMES analysis of time to treatment.<sup>23</sup> Although we varied the age of patients within our simulation, this had an effect on post 90-day survival and deterioration only.

#### Data sources

Short-term outcomes (up to 270 minutes) for patients treated with IAT were defined by mRS using results of the HERMES meta-analysis,<sup>23</sup> which identified the relationship between time to treatment and groin puncture.

Long-term mortality in the model was derived from the Oxford Vascular Study (OxVasc) study,<sup>88</sup> data from the Lothian stroke register<sup>89</sup> about the increased mortality associated with stroke survivors and repeated random draws from national life tables<sup>90</sup> for patients alive at censor. Using a knotted spline regression technique to extrapolate survival in each simulation run generated a different set of extrapolated parametric survival curves for each iteration of the simulation, from which the time to death could be calculated from the uniform randomly drawn probability of death.<sup>84,91</sup> To allow for improvements in survival since the Lothian Stroke Register<sup>88</sup> data were collected, we applied a reduction in mortality of 25% from year 6 onwards.<sup>84,92</sup> The simulation was implemented in the R statistical package (The R Foundation for Statistical Computing, Vienna, Austria).

# Results

Two scenarios were modelled with the DES framework, reflecting current issues facing commissioners of IAT services in England. The first considered the effectiveness and cost-effectiveness of increasing current IAT provision from 24 to 30 centres, and the second considered the effectiveness and cost-effectiveness of secondary transfer of eligible patients from remote hospitals to current IAT centres.

# Modelling study one: increasing the numbers of centres providing intra-arterial thrombectomy

To achieve the objectives of the National Commissioning Policy for IAT in a geographically equitable way would require the creation of new centres. Collaborating with colleagues from the National Institute for Health and Care Research (NIHR) Collaboration for Leadership in Applied Health Research and Care (CLAHRC) South West Peninsula, it had been previously ascertained that the optimal number of neuroscience/comprehensive stroke centres (CSCs) providing endovascular thrombectomy (IAT) in England would be 30 (net six new centres), subject to geographical and service level constraints.<sup>93</sup> The DES was used to estimate the relative effectiveness and cost-effectiveness of increasing the number of centres from current provision at 24 centres to 30 centres. Using the parameter values (see *Appendix 8*, *Table 18*, the DES estimated effectiveness and lifetime cost-effectiveness (from a payer perspective) for 1 year of incidence of stroke in England.

Of the estimated 80,800 patients admitted to hospital in England with acute stroke per year, 21,740 were modelled to have their acute care affected by the proposed reconfiguration. The median time to treatment for eligible early presenters (< 270 minutes since onset) would reduce from a median of 195 (IQR 155–249) minutes to 165 (IQR 105–224) minutes. The model predicted that the reconfiguration would mean an additional 33 independent patients (mRS 0–1), and 30 fewer dependent patients dying (mRS 3–6) per year. The addition of six centres generates 190 QALYs (95% CI –6 to 399 QALYs) and results in savings to the health-care system of £1,864,000 per year (95% CI –£1,204,000 to £5,017,000 per year). The estimated budget impact was a saving of £980,000 in year 1 and £7.07M across years 2–5. We concluded that changes in acute stroke service configuration would produce clinical and cost impacts that were highly likely to result in cost saving. Over 5 years, there would be a return on capital investment of £8M, but it is important to acknowledge that capital expenditure, workforce expansion and other infrastructure costs are not included and are likely to be substantial.

# Modelling study two: using helicopters to transfer eligible patients from geographically remote hospitals

Although England faces fewer geographical challenges to the provision of acute and emergency care than other parts of the UK, there are populations for whom transfer times from their local hospital to a major centre may compromise care. NHS England defines an 'unavoidably small and remote' hospital as one serving a population of < 200,000 people who are domiciled > 60 minutes' travel by road from the nearest (major acute) hospital.<sup>81</sup> We aimed to estimate the marginal cost-effectiveness of secondary transfer by air ambulance compared with ground-based ambulances for patients attending such a hospital.

Ten hospitals in England were identified that served a combined population of two million, including 512,875 domiciled in remote locations. It was calculated that up to 501 early-presenting stroke patients per annum would benefit from secondary transfer to IAT using an air ambulance compared with using ground-based ambulances. The mean probability of living independently at 90 days increased when using an air ambulance (0.57) compared with using ground-based ambulances (0.53). Using an air ambulance as a secondary transportation strategy that enabled patients to receive IAT 60 minutes earlier resulted in greater QALYs (0.14) over a lifetime horizon, but was more costly (£3785). The incremental cost-effectiveness ratio was £28,027 per QALY gained.

# **Discussion**

As with all models reporting ordinal outcome measures, the DES under-reports gains because small improvements as a result of speedier treatment are not counted. However, the DES was used successfully to model two important issues facing commissioners considering how to meet the goals of the National Commissioning Policy for IAT. Both scenarios modelled showed a small benefit from increasing provision and speeding up access to treatment.

# Patient, carer and public preferences

It is important that public views are included during modelling and commissioning decisions for the provision of a new service. A survey approach established the preferences and trade-offs related to localised versus centralised IAT services from the perspective of stroke survivors, their relatives/carers and the public.

### Methods

Best-worst scaling (BWS) is a preference elicitation technique where respondents select their 'best' (most preferred) and 'worst' (least preferred) items across a range of subsets. A co-design process involving stroke survivors and their relatives/carers established the form and content of the BWS survey (maximising readability and accessibility), informed by best evidence on presentation of probabilities (numerical and graphical)<sup>94</sup> and design of information for people with aphasia.<sup>72</sup> Online testing was conducted with stroke survivors/carers and researchers with expertise in choice experiments.

The BWS survey was hosted on the Stroke Association website, and a link to the survey was distributed to all Healthwatch services in England and in the June 2019 NHS 'In Touch' newsletter.

Respondents stated their best and worst preferred options for four attributes (with two levels each – derived from the survey in *Patient and public preferences on attributes of intra-arterial thrombectomy service organisation*) in part 1 (i.e. service organisation), and three attributes (with two levels each) in part 2 (i.e. modelled outcomes for 24 vs. 30 IAT centres). Examples are shown in *Appendix 9*, *Figures 19* and 20. Individual respondents were required to answer 2 sets of 8 (total of 16) BWS questions. The BWS responses were transformed into standardised scores with 95% CIs [ranging from –1 to +1, which represented the salience (best or worst) of attribute levels].

## Results

One hundred and five respondents fully completed the survey [mean age 37 (range 18–86) years; 70% female; 47% from north-east England; 18% urban, 56% suburban, 26% rural]. The respondent types were as follows: stroke survivors, 18% (10% with aphasia); relative or carer of a stroke survivor, 32%; and members of the public, 50%.

Standardised scores for service organisation (*Figure 10*) showed that experienced medical teams (rather than a local service) and local services with less experienced medical teams were the most and least preferred attributes, respectively. Secondary transfer (present or not) was associated with positive (best) preferences. Length of stay of < 48 hours was associated with negative (worst) preferences. Travel times of > 45 minutes were associated with negative preferences.

Standardised scores for modelled outcomes (*Figure 11*) showed that maximal effectiveness (30 centres) and costs (both levels) were the most and least preferred attributes, respectively.

Equity was associated with positive preferences, but the differences between levels were marginal. There were no statistically significant differences between standardised scores stratified in terms of stroke survivor/relative status compared with the public for service organisation or outcomes.

#### **Conclusions**

Greater expertise/experience of medical teams (compared with local services with less experienced teams) was the most preferred service organisation attribute. Secondary transfers with travel times of up to 45 minutes are acceptable to stroke patients/carers and the public. Respondents preferred effectiveness over equity (and both were valued more than costs).

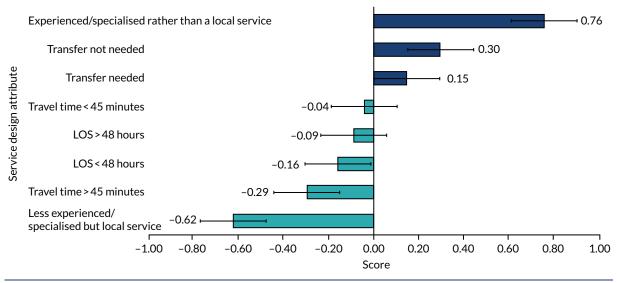


FIGURE 10 Standardised scores for service design attributes. Note that overlapping 95% CIs indicate that the difference is not significant. LOS, length of stay.

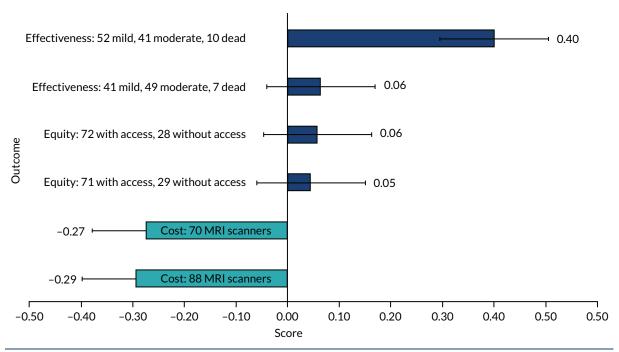


FIGURE 11 Standardised scores for outcomes. Note that overlapping 95% CIs indicate that the difference is not significant. MRI, magnetic resonance imaging.

# A commissioning decision support tool: the Interface for Thrombectomy Economic Modelling and outcomeS in stroke

To assist commissioners and other stakeholders in understanding the health, financial and equity (proximity to treatment) implications of alternative geographical locations of CSCs (where IAT is available) and primary stroke centres (thrombolysis but no IAT available), a web platform was created using the model described in *Estimating the effectiveness and cost-effectiveness of establishing intra-arterial thrombectomy: a discrete-event simulation*.

### Methods

The Interface for Thrombectomy Economic Modelling and outcomeS in stroke is a web-based application based on the R statistical package. Like the DES, the ITEMS software repeatedly models individual patient lifetimes based on random draws from the probabilities of relevant events occurring. The conceptual process for running the DES and saving the outputs is shown in *Appendix 10, Figure 21*.

The input is by a graphical interface, which restricts the parameters that can be altered in any simulation, but allows the user to try a large combination of values for the main factors determining service configuration and performance (*Figure 12*). Owing to expert and public preferences for secondary transportation to receive IAT if appropriate, ITEMS allows users to specify the initial distribution of the population sampled from 'urban', 'suburban' or 'rural', and considers uncertainty around inputs resulting from changes in journey times.

The output displays a cost-effectiveness plane, and estimates marginal costs and health outcomes (either as individual mRS scores at 90 days or as lifetime QALYs). In addition to the DES described previously, ITEMS presents outputs that describe how individual patients might be affected by mean changes in outcomes on a cost-effectiveness plane (*Figure 13*). By trading off complexity for performance, ITEMS enables commissioners and other stakeholders to undertake a rapid review of alternative regional IAT service configurations, including economic impact.

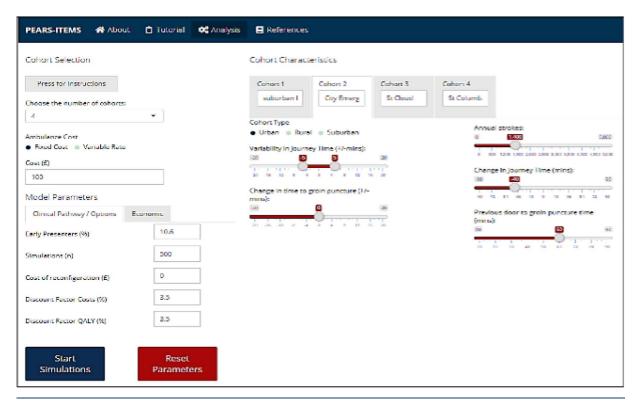


FIGURE 12 The ITEMS online user interface.

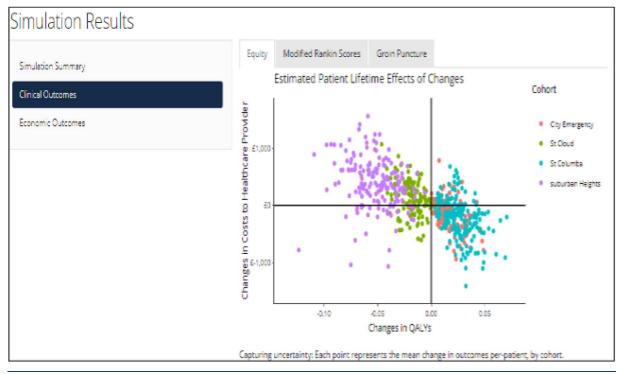


FIGURE 13 The ITEMS interface output display.

# Patient and public involvement and engagement

ndividual public representatives were integrated into programme committees as follows:

- Peter Dodds (stroke survivor; co-applicant and Programme Steering Committee member)
- Bill Laing (stroke survivor; PASTA TSC member)
- Melissa Roberts (carer; PASTA TSC member)
- David Burgess (carer; WP2 group member).

They attended project management meetings and contributed to the design and interpretation of all aspects of the work. They facilitated recruitment of participants for the public engagement work to design the PASTA intervention (see *Work package 1*, *Development of the Paramedic Acute Stroke Treatment Assessment*), preferences for IAT provision (see *Work package 2*, *Patient and public preferences on attributes of intra-arterial thrombectomy service organisation*) and BWS survey (see *Work package 2*, *Patient, carer and public preferences*). There was a strong connection to the regional NIHR Clinical Research Network North-East Stroke Patient and Carer Research Panel, which provided views on the priority order of research questions, public materials and information sheets to be used in the programme. These individual representatives and groups are acknowledged in the programme publications. 31,36,83-85,95 In addition, David Burgess is a co-author on several WP2 outputs 33-85,95 and shared the results at several public stakeholder groups including the National Stroke Assembly. Feedback from public dissemination activities was fed back into the WP2 objectives, including the development of the ITEMS online tool.

Across the programme, there was comprehensive engagement with patients, carers and relevant public stakeholders through the research designs employed. Twenty patient representatives assisted with the development of the PASTA intervention in an iterative co-production process in groups with hospital and ambulance practitioners. This increased the probability that the content of the enhanced paramedic role and stroke care pathway would be acceptable to patients and the purpose of the intervention was meaningful. Over 147 members of the public responded to our questionnaire on stroke service configuration to ensure that their views were incorporated into modelling options, and 105 members of the public participated in the BWS exercise, which was developed out of the information and learning from the preceding survey. A public summary of results was distributed to participants who provided contact details. The findings were important as they demonstrated broad agreement between the views of professionals and stroke survivors, their carers and the wider public on critical attributes related to thrombectomy service organisation.

# Reflections

The main objectives were achieved in both WP1 and WP2, but, as described in *Content and changes during the programme*, some changes were required in response to factors that were difficult to predict.

# Work package 1

# Objective 1

We did not find any previous published studies of enhanced paramedic roles in the context of time-critical care provision to address the main aim of the systematic review,<sup>29</sup> but reports of simple innovations (e.g. a generic structure for handover formats) provided useful material for discussion with a wide range of stakeholders to develop the PASTA intervention. Service and public engagement at this stage was essential for the success of the trial.

# Objective 2

As described in *Content and changes during the programme*, owing to slow uptake of the intervention training, the primary outcome was changed to the proportion of patients receiving thrombolysis, which required far fewer patients to show an important effect than a health outcome would have required. Future ambulance trials could plan timelines that reflect the challenge of pre-hospital research training and choose a primary outcome that will provide direct evidence of the intervention effect. In the trial process evaluation, we had not anticipated that patients within 7 days of stroke would be unable to provide views about the intervention, and future studies may have to consider whether or not another approach (e.g. video data) should be used to address this question. The use of ambulance audit data to show the impact of the PASTA intervention on response targets was a novel approach that could be used in other trials to guide future implantation decisions.

## **Objective 3**

The PASTA trial generated an unexpected cost-effectiveness result, which has raised questions about the quality of standard care decisions for thrombolysis in sites with lower levels of specialist availability. However, as this outcome had not been anticipated and there were limited research delivery resources, we did not collect data from individual patient records to prove this hypothesis and masking of outcome assessors was not possible.

# Work package 2

# Objectives 1, 2 and 4

There was very productive engagement with collaborators outside the programme (notably the NIHR CLAHRC South West Peninsula) to co-ordinate development of a high-impact model of IAT cost-effectiveness. This used existing data sets and a DES model developed in our previous PGfAR study,<sup>87</sup> but it was adapted to respond to the changing landscape of IAT evidence and service provision.

# Objective 3

The incorporation of public views into the modelling was a novel approach, particularly the use of BWS. Although online data capture was the only realistic method and we hoped for a larger number of responses, these were consistent enough to provide confidence in the development of pathway configurations.

# Objective 5

It was beyond the remit of the programme to formulate an IAT implementation plan across the NHS, but outputs fed directly into NHS Commissioning Guidance 2018<sup>4</sup> and *The NHS Long Term Plan*.<sup>96</sup> However, the programme lead, WP2 lead and an external collaborator (Professor Martin James) developed and published online an implementation guide aimed at commissioners and stroke care providers.<sup>25</sup> We have also developed an online tool aimed at health-care commissioners/providers (i.e. ITEMS) to facilitate commissioning decisions by providing readily adjustable inputs of key variables to suit the local context.

# Implications for practice

The PASTA trial provides evidence that incremental improvements in thrombolysis delivery are unlikely to be achieved through isolated use of more sophisticated pre-hospital assessments. It is more likely that large scale co-ordinated approaches across service boundaries will achieve a step change in performance.

The unexpected combination of thrombolysis, health and economic outcomes observed among PASTA patients led us to consider whether structured handover of additional information and/or a multidisciplinary checklist could improve the selection of patients for thrombolysis, particularly in hospitals with lower levels of specialist availability. As no harm was observed through the PASTA intervention, implementation should be considered in stroke services where there is an unavoidably low level of specialist availability for thrombolysis decision-making.

The PASTA trial process evaluation suggested that ongoing assistance with patient care by paramedics post handover did not make a significant difference to emergency stroke treatment. Although this was valued personally by some paramedic interviewees and the extra time did not cause decompensation of ambulance service response times, it was the hardest part of the pathway to initiate and there was little hospital enthusiasm because only limited actions were possible. However, both paramedics and hospital staff supported provision of feedback, which could be readily incorporated into the exchange of information during a structured handover.

Modelling outputs from WP2 informed widely disseminated recommendations for services and clinicians produced by NHS England, $^4$  NICE $^{97}$  and Oxford Academic Health Science Network. $^{25}$  These strongly support the evidence that IAT should be routinely delivered, and that the largest increase in provision in the short term will be through a 'drip and ship' service configuration. An increase in IAT provider centres could enable more efficient delivery of treatment, and, for the most remote populations, air ambulance transport appears to be a viable approach, although three of the nine HEMS indicated that additional funding and/or organisational changes would be required. Although clinical effectiveness was considered paramount, where travel time would be > 45 minutes, participants in our BWS study were willing to forego some health benefits to access a less expert service locally. Commissioning decisions should probably, therefore, not be made solely based on changes to maximise health gains from IAT.

# **Limitations**

All findings must be considered in the context of potential limitations related to the research process itself. The most important ones are summarised below.

# Work package 1

- Owing to the nature of the emergency pathway developed, specific training was required for the intervention paramedics, but uptake was approximately 55% (i.e. 453/817). This self-selection may have influenced intervention delivery and the views expressed during the process evaluation. It was not possible within the remit of the programme to explore any implications for interpretation of the results or to understand the reasons for the reluctance to train.
- Challenging operational conditions impeded objective confirmation of intervention fidelity and approximately half of the study ambulance data forms were not returned, despite efforts to encourage completion. It is unclear whether these forms were completed and accidentally lost within clinical services or not completed, and reasons for the possibility of them not being completed have not been explored.
- As the PASTA intervention sought to directly influence clinician behaviour, it was not possible to
  mask group allocation. However, the lack of imbalance in baseline characteristics makes selection
  bias an unlikely explanation for the results.
- It is important to recognise that the post hoc association found between the PASTA intervention
  and specialist availability was hypothesis-generating and mechanisms remain unclear for any
  influence on treatment decisions, health and economic outcomes.
- The main limitation of the economic analysis is that utility values were estimated using published algorithms for mapping mRS scores on to the EuroQol-5 Dimensions, rather than being based on responses collected directly from participants. Although the QALY difference found was small and, therefore, potentially prone to measurement error, the value reflects the entire trial population, whereas only a proportion of patients received thrombolysis, which is a treatment that benefits or harms only a proportion of those who are treated.
- It was not possible to obtain views from patients receiving the PASTA pathway intervention; however, the WP2 surveys strongly indicated that rapid specialist care is valued from the start of the emergency stroke pathway.

# Work package 2

- Delphi exercises and surveys reflect the views of the individuals involved only. In particular, only 150 respondents completed the BWS survey, although it is reassuring that the responses agreed with the earlier simpler survey of 103 volunteers.
- Simulation models assumed that populations were consistent with published meta-analyses,<sup>23,24</sup> included limited parameters reflecting underlying data sets and did not consider capital costs for setting up new services. Consequently, the results may not be completely replicated post implementation.
- The modelling work also cannot account for unforeseen developments in future services or technologies. For example, currently, there are few published data about how IAT treatment effect varies for older patients, which could influence future stakeholder views about changes to the emergency stroke pathway.

## **Overall conclusions**

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ptimal provision of emergency stroke care is challenging because of the multiprofessional involvement, complex clinical pathways and limited time windows for effective treatment, but further gains are possible by improving the delivery of thrombolysis and by implementing the routine provision of IAT. The Promoting Effective And Rapid Stroke Care (PEARS) programme has increased our understanding of how care can be improved both within and across services in ways that are likely to be acceptable to professionals, services and the public.

In 2018/19, IAT was performed on only 1.4% of stroke admissions nationally.6 Although rates continue to increase annually, the provision of IAT lags significantly behind thrombolysis, with marked regional variations. The key for successful NHS implementation of IAT is to take a whole-pathway approach, including ambulance call, initial assessment, initial thrombolysis, imaging, transfer for IAT procedure as appropriate and subsequent repatriation to local rehabilitation services if required. Across the programme, we addressed the optimal means of delivering stroke reperfusion therapies and improving patient outcomes and/or improving cost-effectiveness of services from initial paramedic contact and a thrombolysis treatment decision (WP1) through to selection, routes and transfer mechanisms for IAT (WP2). It has recently been suggested that paramedics could also include thrombectomy eligibility in their routine assessment, 98 and there is at least one ongoing trial of paramedic-initiated identification of patients with LAO symptoms, 99 both of which could lead to pre-hospital redirection to a CSC. If this were to happen on a large scale, with corresponding movement of specialist resources to hubs to match the growth in central activity, the PASTA intervention might be valuable in the remaining peripheral smaller HASUs. These would then receive only smaller volumes of patients suitable for thrombolysis, but the enhanced paramedic information collection and communication could help to promote good-quality clinical decisions, despite a reduction in local expertise.

Work package 1 highlighted the importance of evaluating a complex intervention (an enhanced paramedic assessment) using a pragmatic RCT design, as the result was not consistent with the previous positive evaluations of pre-hospital stroke interventions. 15,16,41 As the PASTA pathway was associated with lower thrombolysis rates but more dominant cost-effectiveness at hospitals with less specialist availability, the structured information collection and communication components of the intervention may have led to better-informed non-specialist treatment decisions. This is a novel hypothesis regarding clinician behaviour under specific circumstances and requires further evaluation, but is consistent with wider evidence that care delivery is improved by structured handovers and checklists. As most IAT patients also initially receive thrombolysis, the PASTA intervention has direct relevance to the delivery of this more complex emergency care pathway defined during WP2. As IAT becomes more available, it would be logical to evaluate whether or not further enhancement of pre-hospital information collection and communication can also facilitate this treatment decision, especially in the absence of a portable diagnostic test.

As might be expected from earlier publications, <sup>23,24,62,63</sup> the WP2 systematic review and TSA<sup>60</sup> confirmed that IAT for acute ischaemic stroke is both a highly effective and safe treatment for up to 12% of UK stroke admissions, <sup>74</sup> which is also unequivocally cost-effective. <sup>84</sup> The magnitude of UK costs per treatment is similar to that described in other settings with greater volumes. These findings have had a significant impact on NHS England policy and commissioning guidance, with initial implementation at existing regional neuroscience/CSCs. When we modelled an increase from 24 to 30 CSCs to provide more equitable access to IAT, there was a return on capital investment of £8M over 5 years because of the additional volume and speed of treatment. In the absence of an acceptable pre-hospital selective redirection protocol or technology, a mixed model of Stroke Units and Thrombectomy Centres (with 'drip and ship' transfers when required) remains the only viable option for thrombectomy delivery currently. For the furthest (primary) HASU from the CSC, air ambulance secondary transfer for thrombectomy provides a viable cost-effective option if a 45-minute reduction in journey time is

#### **OVERALL CONCLUSIONS**

achieved compared with ground-based ambulance transport. Public views generally showed support for scenarios where additional travel time was needed to access emergency stroke treatments.

To our knowledge, ITEMS is the first online economic stroke modelling tool designed to support commissioning decisions. Being web based, it will be updated as new evidence and data emerge to promote maximal cost-effectiveness under different geographical and system conditions. Presentation of configurable service options based on region-specific information will promote changes to help realise the *The NHS Long Term Plan*<sup>96</sup> goal of a 10-fold increase in the proportion of patients who receive IAT by 2022.

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### **Recommendations for research**

here are a number of research questions generated by the PASTA trial because of the unexpected combination of primary and secondary outcomes:

- To explain the cost-effectiveness results, we have proposed a novel mechanism whereby clinicians
  used additional pre-hospital information to moderate their treatment threshold during difficult
  decisions, but proving this would require a different study design, confirming guideline-compliant
  treatment for individual patients.
- We also considered whether or not the paramedic's pre-departure checklist may have generally reinforced adherence to acute care guidelines. This approach has been adopted in other clinical settings and could be further assessed as an additional quality improvement tool for stroke and other emergency conditions.
- Future studies could consider the value of immediate and structured paramedic feedback to improve performance of specific actions during pre-hospital assessment.
- A wider aim would be to understand the reasons why a significant proportion of paramedics randomised to the intervention group did not engage with study training.

As more information becomes available from trials and audit reports about how IAT effectiveness and efficiency varies between patient groups and service configurations, the WP2 DES/ITEMS model can be further improved by:

- retrospective validation of the model output after a planned service reconfiguration, which would help to define limits of uncertainty for cost-effectiveness
- building in ambulance parameters that reflect resource availability and a potential redirection bias towards CSCs
- feedback from stakeholders using the ITEMS interface to understand barriers to and facilitators of wider implementation
- adding new parameters that might have a significant impact on pathways, such as the introduction
  of a new point-of-care diagnostic for LAO.

The programme outputs reflect the multilevel interactions between health-care monitoring systems, service configurations, clinician behaviours and evidence for specific treatments. These require mapping and parallel evaluation to maximise our understanding of the real-world consequences following introduction of complex interventions. It will be crucial that all future research in this area and any resulting care recommendations consider the whole emergency stroke pathway and the wider population of suspected stroke patients, so that specific gains do not inadvertently lead to a clinical or economic disadvantage that is unacceptable to services or patients.

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#### **Contributions of others**

Gary A Ford, Christopher I Price, Phil White, Luke Vale, Peter McMeekin, Helen Snooks, Ian Russell (retired), Catherine Exley, Pippa Tyrrell (retired), Paul Fell and Peter Dodd were responsible for the original proposal and for securing funding.

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#### Work package 1

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#### Work package 2

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Nawaraj Bhattarai (https://orcid.org/0000-0002-1894-2499) assisted with the economic analysis design, interpretation and reporting for the PASTA trial.

**Diarmuid Coughlan (https://orcid.org/0000-0002-5348-3750)** assisted with the design, analysis and reporting of the helicopter survey, public survey and economics modelling in WP2.

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**Joanne Lally (https://orcid.org/0000-0002-8468-1397)** assisted with the design, data analysis, interpretation and reporting of the process evaluation during the PASTA trial.

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**Lisa Shaw (https://orcid.org/0000-0002-3435-9519)** co-designed the PASTA trial, prepared the protocol, developed the intervention, led the trial delivery team, provided trial reports, and assisted with data verification and interpretation.

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Gary A Ford (https://orcid.org/0000-0001-8719-4968) was lead applicant for the PEARS programme and contributed to the design, analysis and reporting of all studies.

All authors have provided substantial contributions to the conception and design of the PEARS programme and interpretation of data, and had input into drafting the report and/or revising it critically for important intellectual content. All authors have given final approval of the version to be published.

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#### **Data-sharing statement**

All data requests should be submitted to the corresponding author for consideration. Access to anonymised data may be granted following review.

#### **Patient data**

This work uses data provided by patients and collected by the NHS as part of their care and support. Using patient data is vital to improve health and care for everyone. There is huge potential to make better use of information from people's patient records, to understand more about disease, develop new treatments, monitor safety, and plan NHS services. Patient data should be kept safe and secure, to protect everyone's privacy, and it's important that there are safeguards to make sure that it is stored and used responsibly. Everyone should be able to find out about how patient data are used. #datasaveslives You can find out more about the background to this citation here: https://understandingpatientdata.org.uk/data-citation.

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**Appendix 1** Additional results for Work package 1, Examination of the Paramedic Acute Stroke Treatment Assessment pathway intervention clinical effectiveness: a cluster randomised trial

his appendix includes additional information linked to the results of the PASTA clinical efficacy trial.

TABLE 6 Participating hospital site characteristics

Ambulance service	Hospital site	Average annual stroke admissions (April 2016–March 2018)	Average annual thrombolysis rate (%) (April 2016–March 2018)	Interventional neuroradiology on site	Telemedicine available for use in acute care	Number of consultants on thrombolysis rota	Percentage of stroke specialists on thrombolysis rota	National Clinical Guideline-compliant for specialist thrombolysis provision
1	Α	197	13	No	No	11	0	No
1	В	252	17	No	Yes	12	0	No
1	С	594	16	Yes	Yes	13	100	Yes
2	D	500	10	No	Yes	3	66	No
2	E	625	9	Yes	Yes	3	100	No
2	F	688	9	No	Yes	4	0	No
2	G	1081	10	No	Yes	7	100	Yes
2	Н	1120	12	No	Yes	7	86	No
2	1	2073	9	Yes	No	13	100	Yes
3	J	608	13	No	Yes	6	66	No
3	K	656	11	No	Yes	6	100	Yes
3	L	749	12	No	Yes	7	100	Yes
3	М	817	14	No	Yes	5	80	No
3	N	911	14	Yes	No	6	100	Yes
3	0	1001	12	No	Yes	7	100	Yes

Hospital sites are listed by regional ambulance service according to increasing admission volume.

Average annual stroke admissions and thrombolysis rates are taken from SSNAP clinical audit reports. 100

Service description data reflect hospital characteristics on 1 July 2016. These are taken from the 2016 SSNAP acute organisational audit report, which included all acute stroke services in England, Wales and Northern Ireland.

The National Clinical Guidelines for Stroke<sup>102</sup> recommend that thrombolysis active services should be supported by a continuous specialist rota comprising a minimum of six physicians with training in emergency stroke assessment.

TABLE 7 Demographics and baseline clinical characteristics

	Group	
Characteristic	PASTA intervention (N = 500)	Standard care (N = 714)
Gender, n (%)		
Male	259 (51.8)	365 (51.1)
Female	41 (48.2)	349 (48.9)
Age (years)		
Median (IQR)	76.5 (68.0–84.0)	77.0 (67.8-84.0)
Pre-stroke mRS score, n (%)	N = 494	N = 708
0	233 (47.2)	341 (48.2)
1	78 (15.8)	126 (17.8)
2	65 (13.2)	79 (11.2)
3	66 (13.4)	97 (13.7)
4	42 (8.5)	47 (6.6)
5	10 (2.0)	18 (2.5)
0-2	376 (76.1)	546 (77.1)
3–5	118 (23.9)	162 (22.9)
Stroke severity at admission (NIHSS score)	N = 499	N = 710
Median (IQR)	8 (4-17)	9 (4-19)
Mean (SD)	11.1 (8.7)	11.5 (8.5)
Results of the first brain imaging, n (%)	N = 499	N = 714
Infarction	409 (82.0)	607 (85.0)
Primary intracerebral haemorrhage	90 (18.0)	106 (14.8)
Other	0	1 (0.1)

TABLE 8 Demographics and clinical characteristics according to study group and receipt of intravenous thrombolysis

	Group	Group				
	PASTA interve	ntion	Standard care			
Characteristic	Thrombolysed (N = 197)	Not thrombolysed (N = 303)	Thrombolysed (N = 319)	Not thrombolysed (N = 395)		
Gender, n (%)						
Male	110 (55.8)	149 (49.2)	167 (52.4)	198 (50.1)		
Female	87 (44.2)	154 (50.8)	152 (47.6)	197 (49.9)		
Age (years)						
Median (IQR)	75 (63-82)	78 (70-85)	5 (64-82)	79 (70-85)		
Pre-stroke mRS score, n (%)	N = 195	N = 299	N = 318	N = 390		
0	117 (60.0)	116 (38.8)	184 (57.9)	157 (40.3)		
1	26 (13.3)	52 (17.4)	55 (17.3)	71 (18.2)		
2	24 (12.3)	41 (13.7)	35 (11.0)	44 (11.3)		
3	18 (9.2)	48 (16.1)	31 (9.7)	66 (16.9)		
				continued		

TABLE 8 Demographics and clinical characteristics according to study group and receipt of intravenous thrombolysis (continued)

	Group			
	PASTA interve	ntion	Standard care	
Characteristic	Thrombolysed (N = 197)	Not thrombolysed (N = 303)	Thrombolysed (N = 319)	Not thrombolysed (N = 395)
4	10 (5.1)	32 (10.7)	12 (3.8)	35 (9.0)
5	0	10 (3.3)	1 (0.3)	17 (4.4)
0-2	167 (85.6)	209 (69.9)	274 (86.2)	272 (69.7)
3–5	28 (14.4)	90 (30.1)	44 (13.8)	118 (30.3)
Stroke severity at admission (NIHSS score)	N = 197	N = 302	N = 318	N = 392
Median (IQR)	11 (6-19)	7 (3-17)	11 (6-18)	7 (3-19)
Mean (SD)	12.3 (7.5)	10.4 (9.4)	12.4 (7.4)	10.8 (9.3)
Results of the first brain imaging, $n$ (%)	N = 197	N = 302	N = 319	N = 395
Infarction	196° (99.5)	213 (70.5)	319 (100)	288 (72.9)
Primary intracerebral haemorrhage	1 (0.5)	89 (29.5)	0	106 (26.8)
Other	0	0	0	1 (0.3)
Blood pressure on admission	N = 196	N = 301	N = 317	N = 395
Systolic				
Median (IQR)	152 (132-169)	162 (140-185)	151 (136-168)	158 (138-182)
Mean (SD)	151.0 (25.4)	163 (33)	152.7 (25.9)	161.0 (32.0)
Diastolic				
Median (IQR)	82 (70-94)	86 (74-99)	80 (71-89)	85 (72-96)
Mean (SD)	82.4 (17.4)	86.9 (19.8)	81.2 (16.6)	85.7 (19.1)
Blood glucose on admission	N = 190	N = 288	N = 303	N = 379
Median (IQR)	6.7 (5.7-7.8)	6.7 (5.7-7.9)	6.5 (5.7-7.8)	6.7 (5.7-8.4)
Mean (SD)	7.2 (2.6)	7.5 (2.9)	7.1 (2.3)	7.7 (3.8)
Anticoagulation on admission, n (%)	N = 197	N = 302	N = 319	N = 394
Warfarin	6 (3.0)	36 (11.9)	11 (3.4)	40 (10.1)
Apixaban	0	9 (3.0)	0	24 (6.1)
Rivaroxaban	1 (0.5)	11 (3.6)	3 (0.9)	19 (4.8)
Dabigatran	1 (0.5)	2 (0.7)	1 (0.3)	1 (0.3)
Location of first hospital assessment, $n$ (%)	N = 197	N = 303	N = 319	N = 395
Accident and emergency department	135 (68.5)	213 (70.3)	213 (66.8)	312 (79.0)
CT scan room	9 (4.6)	15 (5.0)	16 (5.0)	10 (2.5)
Acute stroke unit	43 (21.8)	53 (17.5)	82 (25.7)	55 (13.9)
Critical care (ICU, HDU, CCU)	4 (2.0)	0	0	1 (0.3)
Medical admissions unit	6 (3.0)	21 (6.9)	8 (2.5)	17 (4.3)
Unknown	0	0	0	0
Other	0	1 (0.3)	0	0

CCU, critical care unit.

a This value is 196, not 197, because one patient with subtle haemorrhagic stroke that was not initially identified on the admission CT received thrombolysis.

TABLE 9 Key ambulance time intervals

	Group		Difference in mean	
Time interval	PASTA intervention	Standard care	(PASTA intervention minus standard care) (95% CI)	p-value
Stroke onset to 999 call (minutes)	n = 454	n = 623	-3.35 (-9.50 to 2.80)	0.28
Mean (SD)	47.4 (51.7)	50.8 (49.7)		
Median (IQR)	26 (9-67.0)	32 (12-76.0)		
999 call to paramedic assessment (minutes)	n = 479	n = 681	0.75 (-1.98 to 3.50)	0.59
Mean (SD)	28.5 (23.3)	27.7 (23.3)		
Median (IQR)	22 (14-36)	20 (14-34)		
Paramedic assessment to leave scene (minutes)	n = 451	n = 615	1.61 (-0.20 to 3.42)	0.08
Mean (SD)	26.0 (15.5)	24.4 (14.4)		
Median (IQR)	24 (15-34)	22 (14-31)		
Leave scene to hospital admission (minutes)	n = 441	n = 626	0.08 (-1.14 to 1.30)	0.90
Mean (SD)	16.4 (9.2)	16.3 (10.6)		
Median (IQR)	14 (10-20)	14 (9-20)		
Hospital admission to paramedic clear (minutes)	n = 445	n = 616	8.80 (6.50 to 11.04)	< 0.001
Mean (SD)	39.9 (20.2)	31.1 (15.6)		
Median (IQR)	36 (27-50)	29 (20-38)		
Total 999 call to paramedic clear (minutes)	n = 474	n = 666	13.43 (9.42 to 17.44)	< 0.001
Mean (SD)	108.8 (36.3)	95.3 (30.5)		
Median (IQR)	102 (85-123)	90 (76-110)		

TABLE 10 Other acute care in hospital and key hospital time intervals

	Group		
Care component	PASTA intervention	Standard care	Comparison
Acute care, n/N (%)			OR (95% CI; p-value)
Referral for intra-arterial treatment	13/499 (2.6)	18/714 (2.5)	1.03 (0.50 to 2.13; $p = 0.93$ )
Transfer for intra-arterial treatment	12/498 (2.4)	15/713 (2.1)	1.15 (0.53 to 2.5; $p = 0.72$ )
Referral for neurosurgical assessment	45/499 (9.0)	46/714 (6.4)	1.4 (0.94 to 2.21; $p = 0.09$ )
Transfer for neurosurgical assessment	4/499 (0.8)	9/713 (1.3)	0.63 (0.19 to 2.1; $p = 0.44$ )
Intravenous blood pressure lowering pre thrombolysis	27/197 (13.7)	39/319 (12.2)	1.14 (0.67 to 1.93; p = 0.63)
Intravenous blood pressure control in haemorrhagic stroke	39/90 (43.3)	47/106 (44.3)	0.96 (0.55 to 1.79; p = 0.89)
Reversal of abnormal coagulation for haemorrhagic stroke	13/90 (14.4)	16/106 (15.1)	0.95 (0.43 to 2.1; $p = 0.90$ )
Received one or more of the above interventions	133/500 (22.6)	143/714 (20.0)	1.16 (0.88 to 1.54; <i>p</i> = 0.28)
Time (minutes)			Difference in mean PASTA minus standard care (95% CI; p-value)
Paramedic assessment to brain imaging time	N = 490	N = 700	3.60 (-9.5 to 16.71; $p = 0.59$ )
Mean (SD)	88.9 (127.7)	85.4 (102.4)	
Median (IQR)	65 (50-89)	66 (50-90)	
Hospital arrival to brain imaging time	N = 450	N = 648	-0.61 (-14.05 to 12.84; p = 0.93)
Mean (SD)	47.0 (123.8)	47.6 (102.4)	
Median (IQR)	23 (16-40)	26 (15-45)	

TABLE 11 Full details recorded about PASTA pathway delivery

Forms received	Participants (N = 227), n (%)
PASTA information 1: speech and vision How is speech?	
Normal	31 (13.7)
Slurred	79 (34.8)
With word finding difficulties	41 (18.1)
Slurred with word finding difficulties	63 (27.8)
Missing	13 (5.7)
Is the speech issue new?	
Yes	10 (4.4)
No	171 (75.3)
Unknown	8 (3.5)
Missing	38 (16.7)

TABLE 11 Full details recorded about PASTA pathway delivery (continued)

Forms received	Participants (N = 227), n (%)
Known to have visual problems?	
Yes	44 (19.4)
No	133 (58.6)
Unknown	36 (15.9)
Missing	14 (6.2)
How is vision?	
Patient can see both left and right sides	104 (45.8)
Patient can see left side only	10 (4.4)
Patient can see right side only	18 (7.9)
Patient unable to see both left and right sides	4 (1.8)
Patient unable to understand instructions	65 (28.6)
Missing	26 (11.5)
PASTA information 2: anticoagulants Warfarin	
Yes	23 (10.1)
No	179 (78.9)
Unknown	9 (4.0)
Missing	16 (7.0)
Apixaban	
Yes	4 (1.8)
No	195 (85.9)
Unknown	10 (4.4)
Missing	18 (7.9)
Rivaroxaban	
Yes	5 (2.2)
No	192 (84.6)
Unknown	11 (4.8)
Missing	19 (8.4)
Dabigatran	
Yes	2 (0.9)
No	195 (85.9)
Unknown	11 (4.8)
Missing	19 (8.4)
Takes unknown anticoagulant	
Yes	15 (6.6)
No	117 (51.5)
Unknown	0
Missing	95 (41.9)
	continued

TABLE 11 Full details recorded about PASTA pathway delivery (continued)

Forms received	Participants (N = 227), n (%)
	(N = 227), II (76)
PASTA information 3: surgery Had surgery or bleeding in last 3 months?	
Yes	18 (7.9)
No	186 (81.9)
Unknown	8 (3.5)
Missing	15 (6.6)
If yes, number of weeks ago	
Minimum	0
Maximum	17
Median	4
IQR (Q1-Q3)	6 (2-8)
Mean	5.1
SD	4.2
Missing	1 (5.6)
PASTA information 4: previous TIA/stroke Previous TIA	
Yes	49 (21.6)
No	152 (67.0)
Unknown	13 (5.7)
Missing	13 (5.7)
Previous stroke	
Yes	37 (16.3)
No	167 (73.6)
Unknown	10 (4.4)
Missing	13 (5.7)
Previous haemorrhage	
Yes	6 (2.6)
No	185 (81.5)
Unknown	11 (4.8)
Missing	25 (11.0)
PASTA information 5: assistance Walking	
Yes	26 (11.5)
No	196 (86.3)
Unknown	2 (0.9)
Missing	3 (1.3)
Eating	
Yes	8 (3.5)
No	211 (93.0)
Unknown	5 (2.2)
Missing	3 (1.3)

TABLE 11 Full details recorded about PASTA pathway delivery (continued)

	Darticinante
Forms received	Participants (N = 227), n (%)
Pre-notification	
Accident and emergency	
Not attempted	84 (37.0)
Unsuccessful	0
Successful	81 (35.7)
Missing	62 (27.3)
Stroke team	
Not attempted	92 (40.5)
Unsuccessful	4 (1.8)
Successful	61 (26.9)
Missing	70 (30.8)
Via dispatch/control	
Not attempted	41 (18.1)
Unsuccessful	0
Successful	129 (56.8)
Missing	57 (25.1)
Total successful pre-notification recorded	219 (96.5)
Pathway deviation	
Yes	2 (0.9)
No	214 (94.3)
Missing	11 (4.8)
Handover	
Did the handover use FASTA PASTA CT format?	
Yes	134 (59.0)
No	8 (3.5)
Missing	85 (37.4)
Paramedic actions	
Transfer directly to scan	
Yes	43 (18.9)
No	160 (70.5)
Missing	24 (10.6)
Transfer to scan from accident and emergency/stroke unit	
Yes	101 (44.5)
No	73 (32.2)
Missing	53 (23.3)
Intravenous cannula before admission	
Yes	124 (54.6)
No	97 (42.7)
Missing	6 (2.6)
	continued

TABLE 11 Full details recorded about PASTA pathway delivery (continued)

Forms received	Participants (N = 227), n (%)
Intravenous cannula after admission	
Yes	53 (23.3)
No	114 (50.2)
Missing	60 (26.4)
Weight measurement/estimation	
Yes	69 (30.4)
No	121 (53.3)
Missing	37 (16.3)
Clarification/repetition of handover	
Yes	190 (83.7)
No	15 (6.6)
Missing	22 (9.7)
<b>Checklist</b> Brain scan requested	
Yes	184 (81.1)
No	14 (6.2)
Missing	29 (12.8)
Stroke team aware	
Yes	192 (84.6)
No	9 (4.0)
Missing	26 (11.5)
Stroke team reviewed	
Yes	167 (73.6)
No	27 (11.9)
Missing	33 (14.5)
Patient medical history known	
Yes	201 (88.5)
No	4 (1.8)
Missing	22 (9.7)
Patient medication known	
Yes	190 (83.7)
No	14 (6.2)
Missing	23 (10.1)
Blood clotting test requested	
Not relevant	40 (17.6)
Yes	73 (32.2)
No	17 (7.5)
Missing	97 (42.7)

TABLE 11 Full details recorded about PASTA pathway delivery (continued)

Forms received	Participants (N = 227), n (%)
Feedback	
Feedback received?	
Yes	156 (68.7)
No	44 (19.4)
Missing	27 (11.9)
If yes	
Diagnosis feedback	
Yes	138 (88.5)
No	14 (9.0)
Missing	4 (2.6)
Onset time feedback	
Yes	113 (72.4)
No	24 (15.4)
Missing	19 (12.2)
Other feedback	
Yes	43 (27.6)
No	56 (35.9)
Missing	57 (36.5)
Did the paramedic leave the patient early (i.e. $\cdot$	< 15 minutes since handover)
Yes: assistance no longer needed	43 (18.9)
Yes: at control request	4 (1.8)
No	166 (73.1)
Missing	14 (6.2)
FASTA PASTA CT, Face, Arm, Speech, Time, A Surgery TIA Assistance Communication Targ	

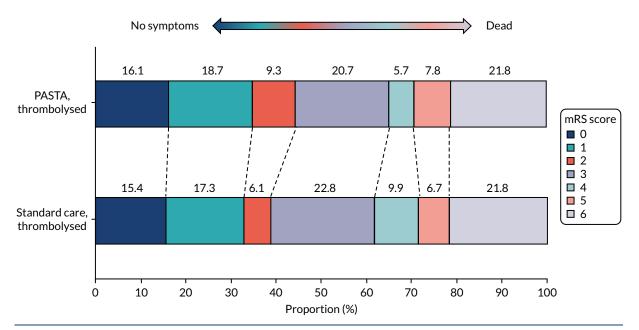


FIGURE 14 Distribution of mRS scores at day 90 for patients who received intravenous thrombolysis.

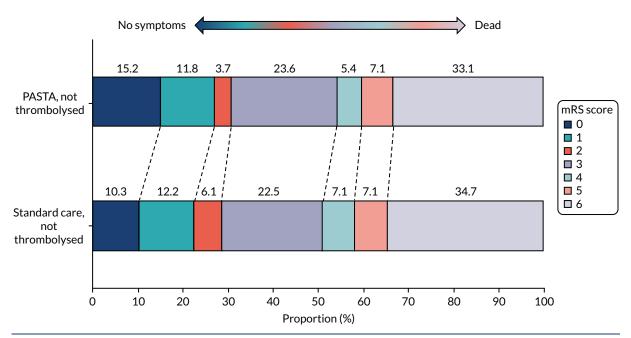


FIGURE 15 Distribution of mRS scores at day 90 for patients who did not receive intravenous thrombolysis.

## **Appendix 2** Additional information and results for Work package 1, Examination of the Paramedic Acute Stroke Treatment Assessment pathway intervention cost-effectiveness

his appendix includes additional information linked to the results of the PASTA cost-effectiveness evaluation.

TABLE 12 Unadjusted complete-cases differences in mean mRS scores, utility, QALY and total cost estimates between trial groups

		Group			
Time point	Measure	PASTA intervention	Standard care	Difference	95% CI
Baseline	mRS score	1.263 (n = 494)	1.205 (n = 690)	0.058	-0.109 to 0.226
	Utility score	0.760 (n = 494)	0.768 (n = 708)	-0.008	-0.036 to 0.02
90 days	mRS score	3.245 (n = 489)	3.359 (n = 690)	-0.114	-0.366 to 0.138
	Utility score	0.438 (n = 489)	0.421 (n = 690)	0.017	-0.0274 to 0.0615
	QALYs	0.109 (n = 489)	0.104 (n = 690)	0.005	-0.006 to 0.0157
	Total cost (£)	11,809 (n = 398)	13,217 (n = 562)	-1408	-2695 to -121

TABLE 13 Descriptive costs for complete case

	Group									
	PASTA in	PASTA intervention				Standard care				
		Cost (£)					Cost (£)			
Cost (£)	Obs. (n)	Mean	SD	Minimum	Maximum	Obs. (n)	Mean (£)	SD	Minimum	Maximum
Paramedic intervention training	500	3.20	0.00	3.20	3.20	714	0.00	0.00	0.00	0.00
Paramedic time per admission	433	302.40	135.40	106.80	2160.90	612	255.20	87.80	8.20	649.10
Hospital length of stay	474	6685.40	8148.80	456.00	29,920.00	664	7122.20	8431.30	456.00	29,920.00
Acute brain imaging	499	88.40	3.20	88.20	138.00	714	88.30	2.60	88.20	138.00
Intravenous thrombolysis	500	2234.00	2773.30	0.00	5670.00	714	2533.20	2820.90	0.00	5670.00
Intra-arterial treatments	499	178.80	1192.10	0.00	8111.00	713	147.90	1086.00	0.00	8111.00
Other acute stroke treatments	500	53.50	188.90	0.00	1336.00	714	45.00	178.60	0.00	1336.00
Early supported discharge care	470	325.00	797.30	0.00	3288.00	662	541.40	993.20	0.00	3288.00
Community rehabilitation care	469	706.40	1247.80	0.00	3288.00	662	654.10	1214.60	0.00	2906.00
Paid carer visits to private residence	468	244.50	737.60	0.00	3444.50	657	211.30	682.10	0.00	3444.60
Residence in a care home	476	616.40	2487.20	0.00	14,817.60	664	882.00	2914.60	0.00	14,653.00
Hospital re-admission	468	570.50	2080.10	0.00	22,061.00	659	562.50	1956.82	0.00	16,456.00
Total for complete-case data	398	11,808.90	9603.08	654.20	41,476.70	562	13,217.00	10,290.96	692.10	47,627.20
Difference in mean total costs (PASTA intervention minus standard care)	-1408.10	(95% CI -269	5.30 to -120.	.90)						

Obs., observed cases.

Unit costs were obtained from (1) NHS Reference Costs in the financial year  $2016/17^{103}$  for ambulance provision (£247), paramedic training time (£26), brain imaging (CT costing £85.56 and magnetic resonance imaging costing £138), thrombolysis (£1341.59), acute stroke unit days (£758.24), other inpatient days (£213.44 to £446 according to setting) and early support discharge team visits (£53–96 according to a therapist); (2) NICE's 2018 Stroke (Update) Evidence Review D: Thrombectomy  $^{104}$  for thrombectomy (£8111); (3) Curtis and Burns<sup>47</sup> for community rehabilitation referrals (£2906.49), care home days (£158), salaried carer visits (£26–260 according to mRS score) and general practice visits (£38); and (4) production of intervention training materials (£6000).

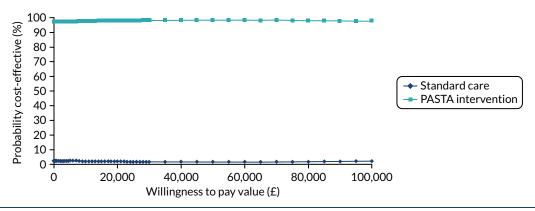


FIGURE 16 Cost-effectiveness acceptability curve for imputed data.

TABLE 14 The QALYs and costs for patients at thrombolysis-compliant and non-thrombolysis-compliant hospitals

	Hospitals compliant with thrombolysis rota guidelines, mean (95% CI)		Hospitals not compliant with thrombolysis rota guidelines, mean (95% CI)			
Outcome	PASTA intervention	Standard care	PASTA intervention	Standard care		
QALYs	0.098 (0.089 to 0.107)	0.103 (0.091 to 0.115)	0.103 (0.090 to 0.115)	0.112 (0.100 to 0.124)		
$\Delta QALY$	0.005 (-0.008 to 0.018)		0.009 (-0.008 to 0.025)			
Total costs (£)	12,542 (11,528 to 13,603)	12,119 (10,629 to 13,512)	14,213 (12,747 to 15,713)	11,262 (10,053 to 12,579)		
ΔTotal costs (£)	-423 (-2220 to 1362)		-2952 (-4988 to -917)			

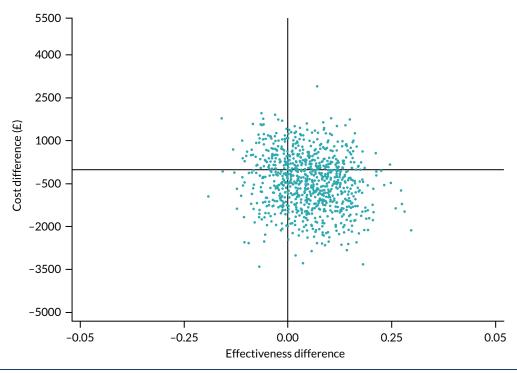


FIGURE 17 Cost-effectiveness plane for compliant hospitals (n = 7).

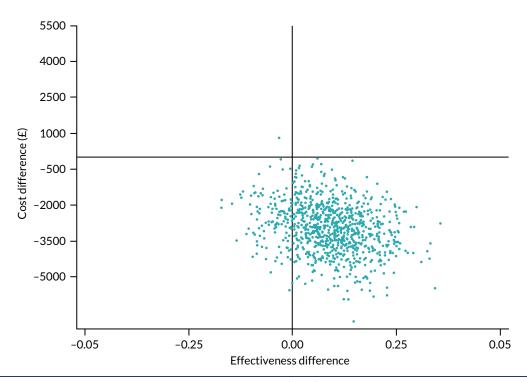


FIGURE 18 Cost-effectiveness plane for non-compliant hospitals (n = 8).

# **Appendix 3** Additional information and results for Work package 1, Impact of the Paramedic Acute Stroke Treatment Assessment intervention on ambulance response times

Tables 15 and 16 show the Red 1 and Red 2 ambulance response times for the PASTA and standard care groups.

TABLE 15 Red 1 ambulance response times

	Hour relative to the study ambulance call								
	1 hour before		0-1 hours after		1-2 hours after		2-3 hours after		
Call metric	PASTA intervention	Standard care	PASTA intervention	Standard care	PASTA intervention	Standard care	PASTA intervention	Standard care	
Number of Red 2	L calls per stud	y patient							
Mean	1.60	1.85	1.67	1.77	1.94	1.81	1.67	1.70	
SD	1.41	1.40	1.38	1.33	1.73	1.43	1.36	1.37	
Minimum	0	0	0	0	0	0	0	0	
Maximum	6	9	6	6	9	8	6	7	
Total	275	569	287	544	333	558	288	523	
Compliant Red 1	responses								
Number	195	384	198	378	228	377	196	365	
Percentage of total	70.9	67.5	70.0	69.5	68.5	67.6	60.1	69.8	
Proportion of compliant Red 1 responses in cases with at least one Red 1 call									
Mean	0.72	0.67	0.68	0.70	0.70	0.70	0.68	0.69	
SD	0.35	0.38	0.36	0.37	0.34	0.35	0.39	0.36	

TABLE 16 Red 2 ambulance response times

	Hour relative to the study ambulance call							
	1 hour before		0-1 hours after		1- 2 hours after		2-3 hours after	
Call metric	PASTA intervention	Standard care	PASTA intervention	Standard care	PASTA intervention	Standard care	PASTA intervention	Standard care
Number of Red 2	2 calls per stud	y patient						
Mean	26.7	25.7	27.0	27.0	27.4	26.5	27.1	26.7
SD	8.5	7.4	12.1	7.5	21.7	13.9	17.9	16.5
Minimum	0	6	0	7	0	5	0	7
Maximum	51	41	147	88	291	241	237	285
Total	4241	7914	4651	8325	4707	8164	4653	8208
Compliant Red 2	? responses							
Number	2592	4795	2837	5073	2847	5078	2824	5044
Percentage of total	61.1	60.6	61.0	60.9	60.5	62.2	60.7	61.5
Proportion of compliant Red 2 responses in cases with at least one Red 2 call								
Mean	0.61	0.61	0.61	0.61	0.60	0.62	0.59	0.61
SD	0.15	0.15	0.16	0.15	0.16	0.15	0.15	0.16

### **Appendix 4** Additional information and results for Work package 1, Process evaluation of the Paramedic Acute Stroke Treatment Assessment intervention

BOX 1 Illustrative quotations from intervention paramedics regarding enhanced assessment at scene

### **Quotation 1**

And to be honest, it's [PASTA] probably stuff that we would have recorded, well I would have recorded anyway, but not necessarily in the right order. So I liked the structured approach, and I found it easy enough. It's no different to what we would be doing with the patient. It's just more structured and more organised, and more reportable.

P8

### **Quotation 2**

There is very little difference from how I've always assessed a stroke. The visual things are different; getting them to follow your finger and things like that are a bit different. I wouldn't usually be ... I would be asking people their medical history and their medication, but I wouldn't specifically be looking at whether they're usually self-mobile and feed themselves. I wouldn't be putting the surgical history and stuff in my handover and that.

P12

### **Quotation 3**

We didn't really do any visual disturbances or tests like cognition, and we didn't differentiate between slurred speech and word finding difficulties. We knew it was important to figure out whether patients were on anti-coagulants or not.

P20

### **Quotation 4**

I think it's probably just helped to refine it and help it a little bit more, and give that focus to have a clear direction with your questioning. But I think having actual phrases that kind of nail it down quite succinctly... The checking of peripheral vision was not something that I'd ever really specifically checked for... But I think being aware, now, that it can specifically alter the field of view, and they may be having difficulty with vision at the peripheries was not only enlightening, but also a useful tool to bring into the history taking and observation.

P10

### **Quotation 5**

I found that, as a sort of a memory aid, a tool, it helped to focus my history. I think it does really quite effectively help bringing a paramedic on-scene time down, which, at the end of the day, will then result in a faster onset to CT time then, won't it, in itself.

Ρ7

BOX 2 Illustrative quotations from intervention paramedics regarding pre-alert to hospital

### **Quotation 1**

Normally the hospitals, when I ring them and say that I'm part of the PASTA trial, are really, really good. Yes, I'm more confident when I ring them as well because I know more stuff now so I can answer questions easier, if that makes any sense.

P10

### **Quotation 2**

I have to say, they were, on the whole, very good. I think every time I've pre-alerted, there has either been somebody from the stroke unit in A&E [accident and emergency] waiting for me or they've arrived very quickly. So that's been good.

P6

### **Quotation 3**

But the trouble was that half the nurses you spoke to on the phone when you rang them to say, were just, basically they wouldn't have a clue what you were talking about. It is becoming more apparent that there are some who do know about it. So you do get a better response from them. But some of them will try to cut you off in the middle of a sentence and say, 'well I'm not interested in that, are they on anti-coagulants?' Well if you let me work through it, you'll know. That's on the pre-alert.

P18

BOX 3 Illustrative quotations from intervention paramedics regarding handover to hospital team

### **Quotation 1**

I found quite beneficial because it's quite structured ... Just having that in front of you, it's much easier to fill that in on the way to hospital, and have that ready, then that gives you the structured handover and everything's there.

P17

### **Quotation 2**

When you do the handover as a PASTA handover it's very ... I don't know the terms ... I like doing it and you get all the information across, and I've had positive feedback every time I've asked about it. I feel like I give a lot of information very quickly, a lot more so than I used to when you'd pre-alert for a stroke. I think it's a bit of both really. Because you are handing over in a specific way, like I say, in my handover I wouldn't usually say about surgical history for a stroke, but I can see why that's relevant. Whereas before it was just purely what the symptoms are and when it started, that was it.

P12

### **Quotation 3**

To be honest, from my point of view, it made me feel more competent. I think it might be my imagination, but I think it makes us look a little bit more professional, if that makes sense.

P19

BOX 3 Illustrative quotations from intervention paramedics regarding handover to hospital team (continued)

### **Quotation 4**

I think the system does work well, and I think it could be applied to many things. I mean, the structured handover means that you don't forget anything, you don't miss anything out, and could be applied to every handover, really, in some respects. To have that structure would make it easier and actually, probably, would make it less daunting, when you're coming to do a particular, like, the PASTA one. If you're using that structure all the time, sort of thing, you just add the extra bits in that are relevant to stroke. So I did really like that. I think it helps.

Р8

### **Quotation 5**

Well as I said, the very first one they weren't even aware of, as I say, the sister had heard of it but didn't really know anything about it. So I spent most of my time there actually explaining what it was. She said 'It's good but then there's no way they'll ever meet the 15-minute CT time target'. Yes, so the difficulty is that you know all about the trial and you're trying to hand it over to people that don't necessarily know or understand the full picture.

P18

### **Quotation 6**

Just having the knowledge, people not aware of it, just too busy to consider it – I think just because of the nature of things and how busy it is, there was often only a nurse to take handover, you know, not handing over to a doctor. I never handed over to anybody from the stroke team, even with the appropriate pre-alerts and everything else. That never happened. In fact, I never saw a stroke team coming down to a patient while I was there. I never actually handed over to a doctor or a nurse who knew about it. So that's quite tricky.

P14

### **Quotation 7**

But then the, sort of, having a bit of an extra hand in the ED, I didn't really feel all that comfortable with that, really. I felt a bit like I was getting in the way, really, more than anything, with the ED nurse being there, and then the specialist nurse. I felt like I wasn't really able to add very much, other than getting in the way.

Р7

### **Quotation 8**

Into the main stroke unit at night, and I handed over in the PASTA way. The whole team was stood there so I handed over to a nurse and the doctor at the same time. They just went, 'That's a fantastic handover. That's everything we need to know'. But we have a lot of issues at our local stroke unit, as I say, it isn't 24 hour, but, depending who's on duty in there, they won't see us until we've been triaged by the triage nurse, which, if we're third ambulance in the queue, we're triaged third in the queue and we could be there half an hour before we're physically getting in and being able to do the PASTA handover to the team, at which point we've missed out on everything.

P2

BOX 4 Illustrative quotations from intervention paramedics regarding assisting in hospital and feedback

### **Quotation 1**

No. That's never happened [helped in hospital]. No, it hasn't. I have never gone that far. I've never needed to no. Yes. They have got health-care assistants in there. They have got a stroke nurse. They have got a stroke doctor. It is taken out of my hands pretty much straightaway, especially at this hospital.

P15

### **Quotation 2**

They're not going to be expecting us to then wait around, go to the scan with them and all that kind of thing. Whether it's just that they don't know about it as well and they aren't expecting that to happen. I didn't say it to them. I probably just didn't feel it appropriate, to be honest and that probably says more about me in terms of not wanting to assume that that would be appropriate to do. It's something worth thinking about though, the next time we go in.

P23

### **Quotation 3**

Again, I've had no issues there. I would say, as a minimum, I've probably stayed 15 minutes with each patient I've taken in. Partly out of wanting to stay with your patient when you've built up that relationship. Partly because I've wanted to get a bit more experience, because obviously, when someone comes down from the stroke unit, they're carrying out their assessment, which overlaps, slightly, with ours. But obviously, they have a wider range of assessment, and I've picked up certain little things.

P6

### **Quotation 4**

So I wasn't involved with anything; putting a cannula in, going round to CT, none of that happened whilst I was there. She did go to CT, but a porter came and took her – so I think it could have gone a lot better, but I think it was the stroke team not being aware of what was going on. So they weren't too familiar with it.

РЗ

### **Quotation 5**

I would be very happy to wait to know the outcome, but the pressure on ambulance staff is basically so hard that, honestly, they will ask me why I am waiting and I don't do it, then I think that I try to wait sometimes, this is the reason why I'm trying to be quick in hospital. By waiting in the CT scan, of course, is satisfying my curiosity, and from the other half it's not beneficial for the patients because I have provided all the information I know and it's not beneficial, so at expense of ambulance service.

P16

### **Quotation 6**

Yes. Our controller is aware of the PASTA trial and, obviously, we're going to be delayed further in hospital because with the way the ambulance service go now, they want us to clear as quickly as possible so we're available for the next job. So, we make our controller aware. We fill in the form and we get feedback, good feedback, from the doctors. We ask them, and we usually get the result of the scan and everything else, which is really good, because it's always interesting to follow up a patient anyway.

P4

BOX 4 Illustrative quotations from intervention paramedics regarding assisting in hospital and feedback (continued)

### **Quotation 7**

I got feedback on the consultant's initial feelings as to where it was going to go, but no feedback following on from the CT scan, because I was away by then. That everything that was done was right, and our diagnosis, they confirmed that they probably were having a stroke and they were rushing them off to CT, just confirming our diagnosis really, and that we had brought them into the department appropriately.

P17

### BOX 5 Illustrative quotations from hospital clinicians

### **Quotation 1**

I think they quite like that role. It gives them a little bit more credibility as well. Yes, and they've been with the patient for the last, sort of, however long, since they've picked the patient up, so they've built that rapport and they can take them over. But, we've got a good rapport with the crews, so when they are here on the unit we do have a good rapport here.

FG7: stroke nurse

### **Quotation 2**

They seem very interested. They always like to know whether they are . . . You know, what the CT shows and whether we are going to treat it as a stroke or a mimic, or whatever.

FG7: stroke nurse

### **Quotation 3**

Very informative, he had everything down. He could tell me exactly about onset time, past medical history, drugs, his written documentation, the examination, his FAST score, I thought was very good.

FG1: stroke nurse

### **Quotation 4**

They were very comprehensive actually. It was an A-to-Z handover. They knew what they were talking about. They were highlighting specific parts at the handover like onset times and symptoms as well. You didn't have to fish for the handover. It was, like, offered.

FG3: stroke nurse

### **Quotation 5**

The time of transfer and the fact that the paramedics are escorting them down to CT as well, which means that one of our staff is not following them through, the medication has already been drawn up and they're waiting for that result . . . so that time is saved as well.

FG7: AE nurse male

BOX 5 Illustrative quotations from hospital clinicians (continued)

### **Quotation 6**

It's not a case of we're not listening to them, but we do that for each other anyway, because conditions change.

FG3: stroke nurse

### **Quotation 7**

But some of them do stay because they're filling out their paperwork charting them and then at the end when they're about to leave they usually say... 'So can I just ask, was that right? Was the onset time right?' As if they're curious to know as well, because obviously then they can judge whether they're doing it right or not.

FG1: stroke nurse

## **Appendix 5** Additional information for Work package 2, Updated estimates of certainty for intra-arterial thrombectomy effectiveness and safety

elow is the MEDLINE search strategy for the systematic review describing intra-arterial mechanical thrombectomy stent retrievers and aspiration devices in the treatment of acute ischaemic stroke.<sup>60</sup>

Flynn D, Francis R, Halvorsrud K, Gonzalo-Almorox E, Craig D, Robalino S, et al. European Stroke Journal (volume 2, issue 4), pp. 308–18, copyright © 2017 by European Stroke Organization. Reprinted by permission of SAGE Publications.

- 1. exp brain ischemia/ or exp stroke/ or exp brain infarction/
- 2. exp "Intracranial Embolism and Thrombosis"/
- 3. (isch?emi\$ adj6 (stroke\$ or apoplexy\$ or cerebral vasc\$ or cerebrovasc\$ or cva)).ti,ab.
- 4. ((brain or cerebr\$ or cerebell\$ or vertebrobasil\$ or hemispher\$ or intracran\$ or intracerebral or infratentorial or supratentorial or middle cerebr\$ or mca\$ or anterior circulation) adj5 (isch?emi\$ or infarct\$ or thrombo\$ or emboli\$ or occlu\$ or hypoxi\$ or accident?)).ti,ab.
- 5. stroke.ti,ab.
- 6. or/1-5
- 7. infusions, intra-arterial/ or injections, intra-arterial/
- 8. (Intra?arterial or intra arterial).tw.
- 9. (thrombol\* or embolus or thrombus or endovascular device or thromboaspiration or embolectom\* or thrombectom\* or recanali?ation).ti,ab.
- 10. ((clot or thrombus or thrombi or embol\$) adj5 (aspirat\$ or remov\$ or retriev\$ or fragmentation or retract\$ or extract\$ or obliterat\$ or dispers\$)).ti,ab.
- 11. Thrombolytic therapy/ or exp plasminogen activators/ or "Intracranial Embolism and Thrombosis"/ dt or thrombosis/dt
- 12. (tPA or t-PA or rtPA or rt-PA or plasminogen or alteplase or urokinase or reteplase or tenecteplase or streptokinase).ti,ab.
- 13. ("standard treatment?" or balloon\*).ti,ab.
- 14. ((retrieval orextraction) adj5 device\$).ti,ab.
- 15. endovascular procedures/ or radiography, interventional/ or radiology, interventional/ or stents/ or catheters, indwelling/ or thrombosis/su or "Intracranial Embolism and Thrombosis"/su
- 16. or/7-15
- 17. (mRS or rankin).tw.
- 18. (NIHSS or "National Institutes of Health Stroke Scale?" or "NIH Stroke Scale?" or "NIH Stroke Score?").tw.
- 19. "Functional Independen\* Measure\*".tw.
- 20. "Oxford Handicap Scale?".tw.
- 21. ("Barthel Index" or "Barthel score?").tw.
- 22. (EuroQoL\* or EQ-5D or EQ5D).tw.
- 23. HRQoL.tw.
- 24. "quality of life".tw.
- 25. ss-qol\*.tw.
- 26. "stroke impact scale?".tw.
- 27. "Stroke-specific Quality of Life".tw.
- 28. "glasgow outcome scale?".tw.
- 29. Treatment outcome/ or "quality of life"/

- 30. glasgow outcome scale/
- 31. ("clinical effectiveness" or safety).tw.
- 32. "Outcome Assessment (Health Care)"/
- 33. or/17-32
- 34. 6 and 16 and 33
- 35. ("clinical trial" or "clinical trial, phase i" or "clinical trial, phase ii" or clinical trial, phase iii or clinical trial, phase iv or controlled clinical trial or "multicenter study" or "randomized controlled trial").pt. or double-blind method/ or clinical trials as topic/ or clinical trials, phase i as topic/ or clinical trials, phase ii as topic/ or clinical trials, phase ii as topic/ or clinical trials, phase iii as topic/ or clinical trials as topic/ or randomized controlled trials as topic/ or early termination of clinical trials as topic/ or multicenter studies as topic/ or ((randomi?ed adj7 trial\*) or (controlled adj3 trial\*) or (clinical adj2 trial\*) or ((single or doubl\* or tripl\* or treb\*) and (blind\* or mask\*))).ti,ab.

  36. cohort studies/ or longitudinal studies/ or follow-up studies/ or prospective studies/ or retrospective.ti,ab. or retrospective.ti,ab.
- 37. or/35-36 38. 34 and 37
- 39. (cardiac or coronary or myocardi\* or aorta or aortic).ti,ab.
- 40. 38 not 39
- 41. limit 40 to humans.

### **Appendix 6** Additional information for Work package 2, Expert consensus on preferred implementation option for intra-arterial thrombectomy services

BOX 6 Delphi options (propositions) for thrombectomy service provision<sup>70</sup>

- 1. Any local provider 'ad hoc'
  - Any physician with some intra-arterial catheter skills delivers IAT as best they can when they can.
     There is no level 1 evidence (obtained from at least one properly designed and conducted RCT) for this option.
- 2. Any local provider delivers IAT on a formal rota
  - Interventional radiologists would likely be at the core of this option. There is no level 1 evidence for this option.
- 3. Transfer to nearest primary coronary percutaneous intervention unit and cardiology manage
  - There is no level 1 evidence for this option.
- 4. Transfer to nearest primary coronary percutaneous intervention unit and shared care with stroke physicians
  - Where a primary coronary percutaneous intervention unit and an acute stroke unit are geographically close enough to allow this to be feasible.
- 5. Ambulance bypass for all acute stroke patients of known time onset to comprehensive stroke unit where advanced imaging and 'expert intra-arterial thrombectomy' are available 24/7
  - According to data from SSNAP, 70% of acute stroke patients have known time onset and 60% of those reach hospital within 4 hours = 42%.
    - o 12% in SSNAP are haemorrhage not ischaemic strokes.
- 6. Local CT and transfer all patients with a NIHSS score ≥ 10 to the nearest neuroscience centre for interventional neuroradiologist delivered 'expert thrombectomy'
  - This option is sometimes called a 'drip and ship' approach.
  - The neuroscience centre team might include interventional neuroradiology trained/mentored interventional radiologists or cardiologists to facilitate a 24/7 service.
- 7. Local CT/CTA then transfer all large artery occlusive stroke patients to nearest neuroscience centre for interventional neuroradiologist delivered 'expert thrombectomy'
  - 37% of all stroke patients arrive at hospital within 4 hours with ischaemic stroke of known onset time. ≈ 50% of patients have large artery occlusive strokes. So IAT *currently* potentially applies to almost 20% of acute disabling ischaemic strokes.
  - Adjunctive IAT approach is proven (level 1 evidence) to increase mRS 0-2 by 12% to 14% with benefit across the Rankin scale of shift to reduced disability.

BOX 6 Delphi options (propositions) for thrombectomy service provision (continued)

- 8. Local advanced imaging then selective transfer to nearest neuroscience centre for 'expert thrombectomy'
  - Selective brain tissue viability assessment approach to IAT is proven (level 1 evidence) to increase mRS 0-2 by 24% to 31% with benefit across the Rankin scale of shift to reduced disability.
  - All RCT results are based on expert interpretation of advanced imaging as triage for IAT
  - This option is a less time critical approach.
- 9. Local CT/CTA then transfer large artery occlusive stroke patients to nearest neuroscience centre for advanced imaging and 'expert thrombectomy'
- 10. Advanced imaging performed locally but interpreted centrally by neuroradiology then selective transfer to nearest neuroscience centre for 'expert thrombectomy'
- 11. Selective transfer to nearest on call neuroscience centre for 'expert thrombectomy'
  - This entails networking of interventional neuroradiology units to deliver 24/7 cover sooner with some longer transfer times, but does mean the efficacy data from RCTs can be applied (underpinned by data for UK centres from the PISTE trial).
- 12. Interventional neuroradiologist and necessary support team on standby in neuroscience centre they transfer to patient's hospital to deliver expert IAT when large arterial occlusion stroke is confirmed
  - This is provided by very few places worldwide.
  - This model of provision is clearly very expensive.

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## **Appendix 7** Additional results for Work package 2, Patient and public preferences on attributes of intra-arterial thrombectomy service organisation

TABLE 17 Summary of respondents to the PPI thrombectomy survey

Characteristic	Overall	Stroke survivor	Relative/friend or carer of stroke survivor	Other member of the public
Age (years)	N = 146	N = 27	N = 51	N = 67
Median (IQR)	49 (16)	61 (14)	47 (15)	46 (16)
Range	18-86	27-83	18-84	20-86
Gender, n (%)	N = 147	N = 27	N = 50	N = 69
Male	57 (39)	19 (70)	16 (32)	22 (32)
Female	90 (61)	8 (30)	34 (68)	47 (68)
Region, n (%)	N = 147	N = 27	N = 51	N = 68
North-east England	74 (50)	10 (37)	29 (57)	34 (49)
North-west England	6 (4)	O (O)	2 (4)	4 (6)
Yorkshire and the Humber	1 (1)	0 (0)	1 (2)	0 (0)
East Midlands	6 (4)	3 (11)	2 (4)	1 (2)
West Midlands	22 (15)	1 (4)	4 (8)	17 (25)
East of England	7 (5)	4 (15)	2 (4)	1 (2)
London	9 (6)	3 (11)	4 (8)	2 (3)
South East	17 (12)	5 (19)	5 (10)	7 (10)
South West	5 (3)	1 (4)	2 (4)	2 (3)

# **Appendix 8** Additional results for Work package 2, Estimating the effectiveness and cost-effectiveness of establishing intra-arterial thrombectomy: a discrete-event simulation

**TABLE 18** Model parameter values

Dawanatan	Mean and uncertainty;	Saures
Parameter	distribution and parameters	Source
Cost of EVT (£)	9116 (2519); gamma(554.86,16.42)	Balami et al. <sup>95</sup>
Cost of category A ambulance per minute (£)	6.86	Curtis and Burns <sup>47</sup>
Survival (years) following stroke at age 70 years, r	median (IQR)	
mRS 0	8.4 (4.7-14.1)	Estimated from DES <sup>a</sup>
mRS 1	7.9 (4.3–13.2)	
mRS 2	7.2 (3.8–12.3)	
mRS 3	3.7 (1.4-7.0)	
mRS 4	2.7 (0.92–5.8)	
mRS 5	1.3 (0.42-3.6)	
mRS 6	NA	
Utility parameters, interval; beta		
mRS 0	0.95, 0.08; beta(48.4,2.55)	Dijkland et al. <sup>105</sup>
mRS 1	0.93, 0.13; beta(128.04,9.64)	
mRS 2	0.83, 0.21; beta(222.24,45.52)	
mRS 3	0.62, 0.27; beta(173.70,106.46)	
mRS 4	0.42, 0.28; beta(173.15,239.11)	
mRS 5	0.11, 0.28; beta(6.07,49.12)	
mRS 6	0	
Cost year 1 (£)		
mRS 0	6620	Dewilde et al. <sup>106</sup>
mRS 1	11,196	
mRS 2	18,929	
mRS 3	35,771	
mRS 4	60,118	
mRS 5	60,458	
mRS 6	0	
		continued

TABLE 18 Model parameter values (continued)

Parameter	Mean and uncertainty; distribution and parameters	Source
Yearly cost thereafter (£)		
mRS 0	2122	Dewilde et al. <sup>106</sup>
mRS 1	2836	
mRS 2	4722	
mRS 3	12,291	
mRS 4	30,750	
mRS 5	28,853	
mRS 6	0	
Proportion of all strokes presenting early with LAO and NIHSS score $\geq$ 6 (%)	10.6 (SD 0.1)	McMeekin et al. <sup>74</sup>
Monthly probability of deterioration before year 6 <sup>b</sup>		Rothwell et al. <sup>107</sup>
0	0.006	
1	0.004	
2	0.002	
3	0.001	
4, 5	Not applicable – substitute with mortality	

EVT, endovascular therapy; NA, not applicable.

a Estimated from modelled mortality based on OxVasc,88 UK lifetables90 and the Lothian Stroke Register.88

b Two or more point increase in mRS score.

### **Appendix 9** Additional results for Work package 2, Patient, carer and public preferences

### **Service organisation**



### 1. Secondary Transfer

- 1a. Needed
- 1b. No needed

### 2. Expertise

- 2a. Less experience / less specialised but local service
- 2b. Experienced / specialised rather than a local service

### 3. Length of stay (LOS)

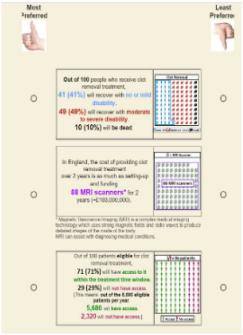
- 3a. Less than 48 hours
- 3b. Greater than 48 hours

### 4. Travel time

- 4a. Less than 45 minutes
- 4b. Greater than 45 minutes

FIGURE 19 Attributes and levels used in the BWS survey: service organisation.

### **Modelling outcomes**



### 1. Clinical Effectiveness

- 1a. 41% recover with no or mild disability: 49% will recover with moderate/severe disability: 10% dead
- 52% recover with no or mild disability: 41% will recover with moderate/severe disability: 7% dead

### Cost

- 2a. Cost = 88 MRI scanners
- 2b. Cost = 70 MRI scanners

### 3. Equity

- 3a. 71% will have access to it within the treatment time window; 29% will not have access
- 3b. 72% will have access to it within the treatment time window; 28% will not have access

FIGURE 20 Attributes and levels used in the BWS survey: modelling outcomes.

## **Appendix 10** Additional results for Work package 2, A commissioning decision support tool: the Interface for Thrombectomy Economic Modelling and outcomeS in stroke

To reduce the computational burden, ITEMS requires that patients similarly affected by reconfiguration are grouped into cohorts. It then compares outcomes in two alternative scenarios to estimate the marginal effects required by a health economic model.

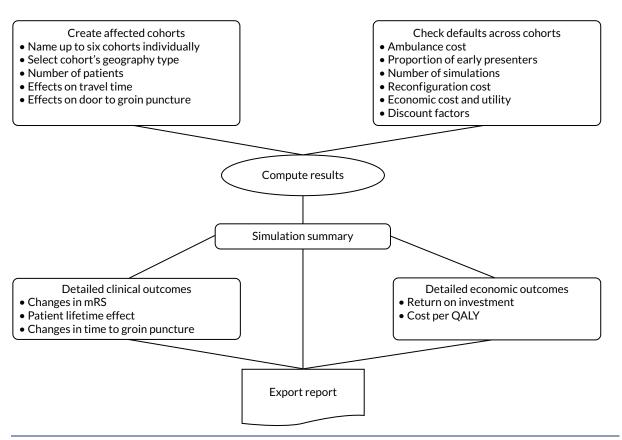


FIGURE 21 Conceptual model of ITEMS.

### EME HSDR HTA PGfAR PHR

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