

# Article

# The Performance of Pulmonary Function Tests in Predicting Systemic Sclerosis—Interstitial Lung Disease in the European Scleroderma Trial and Research Database

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**Abstract:** Background and Objectives: In SSc, ILD is a major cause of morbidity and mortality. We aimed to investigate the performance of DLCO (diffusing capacity of lung carbon monoxide) and FVC (forced vital capacity) delta change ( $\Delta$ ) and baseline values in predicting the development of SSc-ILD. Methods: Longitudinal data of DLCO, FVC, and ILD on the HRCT of SSc patients from the EUSTAR database were evaluated at baseline (t<sub>0</sub>) and after 12 (±4) (t<sub>1</sub>) and 24 (±4) (t<sub>2</sub>) months. Results: 474/17805 patients were eligible for the study (403 females); 46 (9.7%) developed ILD at t<sub>2</sub>. Positivity for anti-topoisomerase antibodies (117 patients) showed an association with ILD development at t<sub>2</sub> (p = 0.0031). Neither the mean t<sub>0</sub> to t<sub>1</sub> change ( $\Delta$ ) of DLCO nor the mean t<sub>0</sub> to t<sub>1</sub> FVC $\Delta$  predicted the appearance of ILD at t<sub>2</sub>. Investigating the possible role of baseline DLCO and FVC values in predicting ILD appearance after 24 (±4) months, we observed a moderate predictive capability of t<sub>0</sub> DLCO < 80%, stronger than that of FVC < 80%. Conclusions: We suggest that an impaired baseline DLCO may be predictive of the appearance of ILD after 2 years of follow-up. This



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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). result advances the hypothesis that a reduction in gas exchange may be considered an early sign of lung involvement. However, further rigorous studies are warranted to understand the predictive role of DLCO evaluation in the course of SSc.

Keywords: computed tomography (CT); interstitial lung disease; scleroderma; pulmonary function test

### 1. Introduction

Systemic sclerosis (SSc) is characterized by small-vessel vasculopathy, immune dysregulation, and fibroblast dysfunction leading to the fibrosis of skin and internal organs [1]. Interstitial lung disease (ILD), together with pulmonary arterial hypertension (PAH), represents the main cause of SSc-related deaths [2-4], and it occurs more frequently in the diffuse cutaneous subset (dcSSc) [5]. Despite the recent advances in SSc-ILD treatment, lung involvement represents a fearsome manifestation of the disease, and the optimal timing to start an immunosuppressive and antifibrotic treatment still remains debated [6]. Recent studies also highlighted a certain involvement of a small airway in SSc patients, which may be associated with ILD [7]. A study on a Norwegian SSc cohort reported an ILD prevalence on HRCT (high-resolution computed tomography) of 50% [8]. The clinical course and the timing of onset of ILD are unpredictable among SSc patients, and ILD may occur in the early stages, particularly in dcSSc patients [2,9–11]. Symptoms due to lung involvement, such as dyspnoea and cough, can be delayed and are not specific to ILD. Therefore, an early diagnosis, a regular follow-up [12], and the identification of predictors of evolution are crucial and eagerly awaited to improve SSc-ILD management. In this context, besides HRCT which represents the mainstay for ILD diagnosis, other non-ionizing methods of lung evaluation such as lung ultrasound are under study [13].

The evidence-based expert European consensus confirmed HRCT as the primary tool for the screening and diagnosis of SSc-ILD, with pulmonary function tests (PFTs) and clinical symptoms providing supporting evidence [14]. The baseline forced vital capacity (FVC) value has been proposed as a reliable predictor of SSc pulmonary function deterioration [15–17]. In addition, the recent functional criteria for progressive pulmonary fibrosis reported an absolute decline in FVC of  $\geq$ 5% or in diffusion of the lung for carbon oxide (DLCO)  $\geq$ 10% within 1 year of follow-up as physiological evidence of disease progression, when not explained by other causes [18]. In SSc, a change in DLCO or in DLCO per unit alveolar volume (DLCO/AV) change  $\geq 15\%$  has been proposed as a marker of poor ILD prognosis in patients with signs of ILD at enrolment in clinical trials [19]. In addition, the combination of lung fibrotic involvement greater than 30% on HRCT or between 10 and 30% fibrotic extent with a predicted FVC <70% are associated with premature mortality [20]. Indeed, in patients with extensive disease, a decline in FVC  $\geq 10\%$ or a decline in FVC of 5-9% together with a decline in DLCO > 15% predicted mortality over a 15-year follow-up period [21]. Despite the confirmed important and complementary role of HRCT and PFTs in SSc-ILD diagnosis and management, there has been controversy regarding the usefulness of the DLCO and FVC as predictors of ILD onset [22-24]. In fact, although PFTs are recommended for the early detection of ILD progression, they seem inadequate as the only screening tool for the diagnosis of ILD [25,26].

The aim of our study was to investigate the role of DLCO and FVC in predicting the development of ILD by HRCT after a follow-up of 2 years, using the prospectively collected, longitudinal European Scleroderma Trial and Research (EUSTAR) database. The primary endpoint of this study was to evaluate the efficiency of the DLCO (DLCO $\Delta$ ) and FVC (FVC $\Delta$ ) delta change over 12 months from baseline to predict the subsequent ILD onset at 24 months. As secondary endpoints, the study evaluated and compared the clinical characteristics of patients with and without ILD at the end of observation, including the predictive capability of baseline DLCO and FVC values. We evaluated SSc patients from the EUSTAR database who satisfied the 1980 American Rheumatology Association (ARA) and/or the 2013 American College of Rheumatology/European League Against Rheumatism (ACR/EULAR) classification criteria for SSc [27,28]. Each EUSTAR centre received approval by the local ethics committee, and written informed consent was locally acquired for registered patients. Patients with available longitudinal data of DLCO, FVC, and HRCT at baseline (t<sub>0</sub>) and after 12 (±4) (t<sub>1</sub>) and 24 (±4) (t<sub>2</sub>) months were eligible for the study, but only patients with a negative HRCT at t0 and t<sub>1</sub> were included.

HRCT was defined as negative when no signs of ILD were evident according to the local radiology evaluation, as entered in the database. Exclusion criteria included the following: an absence of PFTs and HRCT at  $t_0$ ,  $t_1$ , and  $t_2$ , juvenile disease onset, age < 18 years, the presence of ILD on HRCT at  $t_0$  or  $t_1$ , a lack of follow-up visit, and a diagnosis of PH anytime during the study period (as entered in the database or a value of mPAP  $\geq$  25 mmHg).

The DLCO $\Delta$  (change from t<sub>0</sub> to t<sub>1</sub>) and FVC $\Delta$  (change from t<sub>0</sub> to t<sub>1</sub>) for the prediction of ILD appearance at t<sub>2</sub> were calculated. We considered DLCO > 80% and FVC > 80% as normal values [29].

## Statistical Analysis

Mean  $\pm$  SDs were reported for continuous variables, while absolute and relative frequencies for each category were reported for categorical or dichotomous variables.

To evaluate differences between continuous variables, Student's *t*-test, *t*-test with Satterthwaite adaptation, or Mann–Whitney Test were used, according to the results of Shapiro–Wilk's test for normality distribution and Bartlett's test for homoskedasticity.

To evaluate association between categorical variables, the chi-square test or Fisher exact test were used according to the frequencies in table cells. To assess the association between binary outcomes and risk factors, a logistic regression model was used. For each risk factor OR, 95% confidence intervals (CI95%) and *p*-values were reported. In addition, the predictive capability of each risk factor was represented by area under the ROC curve (AUROC) and its CI95% (considering the predictive capability to be scarce for an AUROC of 0.5–0.6; moderate for 0.6–0.7; good for 0.7–0.8; high for 0.8–0.9; and excellent for 0.9–1).

# 3. Results

# 3.1. Study Population

On 30 April 2020, among 17.805 SSc patients in the EUSTAR database, 527 patients had complete longitudinal data on PFTs and HRCT at  $t_0$ ,  $t_1$ , and  $t_2$ . Out of 527 patients, 53 were excluded for developing PH during the observation period, leading to the analysis of 474 patients from 39 EUSTAR centres (Figure 1). As expected, we noted a high female predominance (403 females, 85%), 220 (48.0%) patients were anticentromere (ACA)-positive, and 117 (25.4%) anti-topoisomerase I (Topo-I)-positive. Among the skin disease subsets, the limited cutaneous one (lcScc) was the most frequent (58.3%) followed by the dcSSc (26%), and 11.9% of patients presented only sclerodactyly. Regarding the capillaroscopic evaluation, the active scleroderma pattern was the most frequent (44.6%). The demographic and clinical characteristics are summarized in Table 1.

Among the enrolled population, 46 (9.7%) developed HRCT signs of ILD at t<sub>2</sub>.

The comparison of patients with and without ILD at  $t_2$  showed some different features at baseline (Table 2). Positivity for Topo-I antibodies was associated with ILD development (16.7% vs. 7.8%, p = 0.0031), contrarily to the positivity for ACA antibodies, which was negatively associated (4.4% vs. 14.4%, p = 0.0001). The disease duration and the extent of skin involvement were different in the two groups, without reaching statistically significant values (Table 2). In our population, the progression of skin involvement assessed by the modified Rodnan skin score (mRSS) from  $t_0$  to  $t_1$  did not correlate with ILD appearance [(OR (IC): 0.713 (0.35–1.46), p = 0.3521)].



Figure 1. Flowchart of patient enrolment.

Table 1. Demographical and clinical characteristics of the enrolled population at t<sub>0</sub>.

| Features   | Number of Patients<br>(% of Patients with Available Data) |
|--|---|
| Sex (F)  | 403 (85.0%)   |
| Cigarette smoking ever<br>N.A.   | 33 (20.8%)<br>315   |
| Current cigarette smoker<br>N.A.   | 14 (26.4%)<br>421   |
| Disease duration (from Raynaud's<br>phenomenon onset) in months (median;<br>Q1–Q3) | 77.4 (28.0–165.8)   |
| Dysphagia<br>N.A.  | 286 (60.5%)<br>1  |
| Renal crisis<br>N.A.   | 6 (1.3%)<br>2   |
| Dyspnoea NYHA stage  |   |
| <ul> <li>NYHA 1</li> </ul>   | 353 (79.0%)   |
| • NYHA 2   | 89 (19.9%)  |
| • NYHA 3   | 5 (1.1%)  |
| <ul> <li>NYHA 4</li> </ul>   | 0   |
| N.A.   | 27  |

| Tabl | le 1. | Cont. |
|------|-------|-------|
|      |       |       |

| Features                                 | Number of Patients<br>(% of Patients with Available Data) |
|--|---|
| Palpitations<br>N.A.                     | 63 (13.6%)<br>9   |
| Conduction block<br>N.A.                 | 50 (11.6%)<br>42  |
| Skin involvement                         |   |
| dcSSc;                                   | 122 (26.0%)   |
| ■ lcSSc;                                 | 274 (58.3%)   |
| <ul> <li>Only sclerodactyly;</li> </ul>  | 56 (11.9%)  |
| <ul> <li>No skin involvement.</li> </ul> | 18 (3.8%)   |
| N.A.                                     | 4   |
| mRSS (mean $\pm$ SD)                     | $7.6\pm7.1$   |
| Digital pitting scars                    |   |
| Current;                                 | 36 (26.3%)  |
| <ul> <li>Previously;</li> </ul>          | 26 (19.0%)  |
| <ul> <li>Never.</li> </ul>               | 75 (54.7%)  |
| N.A.                                     | 337   |
| Digital ulcers                           |   |
| Current;                                 | 16 (11.6%)  |
| <ul> <li>Previously;</li> </ul>          | 44 (31.9%)  |
| <ul> <li>Never.</li> </ul>               | 78 (56.5%)  |
| N.A.                                     | 336   |
| Telangiectasia<br>N.A.                   | 58 (37.9%)<br>321   |
| SSc-specific Antibody positivity         |   |
| <ul> <li>ACA;</li> </ul>                 | 220 (48.0%) (N.A. 18)                                     |
| <ul> <li>Topo-I;</li> </ul>              | 117 (25.4%) (N.A. 16)                                     |
| RNA-Pol III.                             | 12 (4.7%) (N.A. 224)                                      |
| CRP Elevation                            | 50 (10.9%)  |
| N.A.                                     | 16  |
| N.A.                                     | 15 (3.3%)<br>9  |
| Capillaroscopic scleroderma pattern      |   |
| • Early;                                 | 52 (28.3%)  |
| <ul> <li>Active;</li> </ul>              | 82 (44.6%)  |
| • Late.                                  | 50 (27.2%)  |
| N.A.                                     | 290   |

Legend: F: female; N.A.: not available; dcSSc: diffuse cutaneous systemic sclerosis; lcSSc: limited cutaneous systemic sclerosis; mRSS: modified Rodnan skin score; ACA: anticentromere antibodies, Topo-I: anti-topoisomerase I antibodies; RNA-Pol III: anti-RNA polymerase III antibodies.

| Features  | Patients with Negative t <sub>2</sub> HRCT<br><i>n</i> (%) | Patients with Positive $t_2$ HRCT $n$ (%) | <i>p</i> -Value |  |
|---|--|---|-----------------|--|
| Gender  |  |   |                 |  |
| <ul> <li>Female;</li> </ul>   | 365 (91.0%)  | 36 (9.0%)                                 | 0 2101          |  |
| • Male.   | 63 (86.3%)   | 10 (13.7%)                                | 0.2101          |  |
| Disease duration (from Raynaud onset) in months (median; Q1–Q3) $(n)$ | 77.8 (28.4–161.7) (206)                                    | 71.2 (17.4–194.1) (18)                    | 0.7537          |  |
| Skin involvement  |  |   |                 |  |
| <ul> <li>dcSSc;</li> </ul>  | 106 (86.9%)  | 16 (13.1%)                                |                 |  |
| <ul> <li>lcSSc;</li> </ul>  | 250 (91.2%)  | 24 (8.8%)                                 |                 |  |
| <ul> <li>Only sclerodactyly;</li> </ul>                               | 52 (92.9%)   | 4 (7.1%)                                  | 0.2832          |  |
| <ul> <li>No skin involvement.</li> </ul>                              | 18   | 0   |                 |  |
| mRSS (mean $\pm$ SD) (median; Q1-Q3) (n)                              | 6 (3–10) (393)   | 7 (3–13)                                  | 0.1563          |  |
| SSc-specific Antibody positivity                                      |  |   |                 |  |
| <ul> <li>ACA-positive;</li> </ul>                                     | 211 (95.9%)  | 9 (4.1%)                                  | 0.0001          |  |
| <ul> <li>ACA-negative;</li> </ul>                                     | 203 (85.3%)  | 35 (14.7%)                                | 0.0001          |  |
| <ul> <li>Topo-I-positive;</li> </ul>                                  | 97 (82.9%)   | 20 (17.1%)                                | 0.0001          |  |
| <ul> <li>Topo-I-negative;</li> </ul>                                  | 317 (92.4%)  | 26 (7.6%)                                 | 0.0031          |  |
| <ul> <li>RNA-Pol III-positive;</li> </ul>                             | 9 (75.0%)  | 3 (25.0%)                                 | 0 1002          |  |
| <ul> <li>RNA-Pol III-negative.</li> </ul>                             | 222 (91.0%)  | 22 (9%)                                   | 0.1003          |  |
| PFTs (values reported as %predicted $\pm$ SD)                         |  |   |                 |  |
| • t <sub>0</sub> DLCO;  | 79.0 (±16.6)   | 69.9 (±17.4)                              | 0.0006          |  |
| • $t_0$ FVC;  | 102.2 (±17.3)  | 94.6 (±16.2)                              | 0.0052          |  |
| • $t_1$ DLCO;   | 78.4 (±16.8)   | 68.9 (±18.6)                              | 0.0005          |  |
| • $t_1$ FVC;  | 101.9 (±17.9)  | 94.7 (±16.5)                              | 0.0092          |  |
| • t <sub>2</sub> DLCO;  | 78.0 (±17.0)   | 65.1 (±19.1)                              | <0.0001         |  |
| • $t_2$ FVC.  | 101.6 (±17.6)  | 94.5 (±20.0)                              | 0.126           |  |

Table 2. Clinical and instrumental characteristics at t<sub>0</sub> in patients with negative and positive t<sub>2</sub> HRCT.

Legend: dcSSc: diffuse cutaneous systemic sclerosis; lcSSc: limited cutaneous systemic sclerosis; mRSS: modified Rodnan skin score; ACA: anticentromere antibodies, Topo-I: anti-topoisomerase I antibodies; RNA-Pol III: anti-RNA polymerase III antibodies.

# 3.2. Pulmonary Function Trends

The mean value of DLCO and FVC as a percent (%) predicted at  $t_0$ ,  $t_1$ , and  $t_2$  in patients with positive and negative HRCT for lung involvement at  $t_2$  are shown in Table 2. Interestingly, it must be pointed out that patients with a positive HRCT at  $t_2$  also had significantly lower values of DLCO and FVC at  $t_0$  and  $t_1$  in comparison to patients with a negative HRCT at  $t_2$  (Table 2).

# 3.3. Primary End Point

We found no statistically significant difference in the mean DLCO $\Delta$  and FVC $\Delta$  from  $t_0$  to  $t_1$  in the two populations (negative vs. positive  $t_2$  HRCT). The mean DLCO $\Delta$  in patients with negative  $t_2$  HRCT and positive  $t_2$  HRCT was  $-0.5 (\pm 12.6)$  and  $-1.0 (\pm 15.1)$ , respectively. The mean FVC $\Delta$  in patients with negative  $t_2$  HRCT and positive  $t_2$  HRCT was  $-0.2 (\pm 10.6)$  and  $0.1 (\pm 11.5)$ , respectively. None of them predicted the appearance of ILD

at t<sub>2</sub> [DLCOΔ: OR 0.997 (95%CI 0.97–1.02), *p* = 0.8024; FVCΔ: OR 1.002 (95%CI 0.97–1.03), *p* = 0.8664].

#### 3.4. Secondary End Point

The enrolled population was divided into four groups according to DLCO trends from  $t_0$  to  $t_1$  (normal cut-off of DLCO  $\geq 80\%$ ):

- I. 161 patients maintained a normal DLCO;
- II. 47 moved from a normal to a reduced DLCO;
- III. 38 patients presented a reduced DLCO at  $t_0$  and recovered beyond normality at  $t_1$ ;
- IV. 228 patients had a reduced DLCO both at  $t_0$  and at  $t_1$ .

A higher percentage of patients with ILD at  $t_2$  was found in groups III (5, 13.3%) and IV (31, 13.3%) [OR 2.56 (95%CI 0.81–8.13), p = 0.1112 and OR: 2.66 (95%CI 1.23–5.75), p = 0.0130, respectively]. Therefore, it should be noted that among patients with a positive  $t_2$  HRCT, the majority had a reduced DLCO at baseline (Table 3).

**Table 3.** Percentage of positive-HRCT patients at  $t_2$  in the populations identified according to the trend in DLCO and FVC from  $t_0$  to  $t_1$ .

| Trend in DLCO from $t_0$ to $t_1$                     | Pts with Negative t <sub>2</sub><br>HRCT | Pts with Positive t <sub>2</sub><br>HRCT | Odds Ratio<br>(Confidence Limits) | <i>p</i> -Value |
|---|--|--|-----------------------------------|-----------------|
| DLCO $\geq 80\%$ at t <sub>0</sub> and t <sub>1</sub> | 152 (94.4%)                              | 9 (5.6%)                                 | Ref                               |                 |
| $DLCO \geq 80\%$ at $t_0$ and <80% at $t_1$           | 46 (9.7%)                                | 1 (2.2%)                                 | 0.37 (0.05–2.98)                  | 0.3479          |
| DLCO < 80% at $t_0$ and $\geq$ 80% at $t_1$           | 33 (86.6%)                               | 5 (13.3%)                                | 2.56 (0.81-8.13)                  | 0.1112          |
| DLCO < 80% at $t_0$ and at $t_1$                      | 197 (86.6%)                              | 31 (13.3%)                               | 2.66 (1.23–5.75)                  | 0.0130          |
| Trend in FVC from $t_0$ to $t_1$                      | Pts with Negative t <sub>2</sub><br>HRCT | Pts with Positive t <sub>2</sub><br>HRCT | Odds Ratio<br>(Confidence Limits) | <i>p</i> -Value |
| $FVC \geq 80\%$ at $t_0$ and $t_1$                    | 380 (91.0%)                              | 35 (8.9%)                                | Ref                               |                 |
| $FVC \geq 80\%$ at $t_0$ and $<\!\!80\%$ at $t_1$     | 12 (75.5%)                               | 4 (25.5%)                                | 3.62 (1.11–11.82)                 | 0.0331          |
| FVC < 80% at $t_0$ and $\geq$ 80% at $t_1$            | 10 (91.0%)                               | 1 (8.9%)                                 | 1.09 (0.14-8.73)                  | 0.9384          |
| FVC < 80% at $t_0$ and at $t_1$                       | 26 (81.0%)                               | 6 (18.9%)                                | 2.51 (0.97–6.50)                  | 0.0588          |

The same analysis was performed for FVC% predicted (the normal cut-off of FVC% predicted is  $\geq$ 80%), identifying four similar subgroups:

- I. 415 patients maintained a normal FVC;
- II. 16 patients moved from a normal to a reduced FVC;
- III. 11 patients presented a reduced FVC at  $t_0$  and recovered beyond a normality of  $\geq 80\%$  at  $t_1$ ;
- IV. 32 patients had a reduced FVC both at  $t_0$  and at  $t_1$ .

Considering FVC trend subgroups, the higher percentages of patients with a positive HRCT at  $t_2$  were in groups II (4, 25.5%) and IV (6, 18.9%) [OR 3.62 (95%CI 1.11–11.82), p = 0.0331 and OR 2.51 (95%CI 0.97–6.50), p = 0.0588, respectively]. Among patients with ILD at  $t_2$ , the majority had a normal FVC both at  $t_0$  and at  $t_1$  (Table 3).

The most interesting result is that, at baseline (t<sub>0</sub>), the distinction between DLCO < 80% and DLCO  $\geq$  80% showed the best prediction for ILD development at t<sub>2</sub> HRCT (AUROC IC95%) (*p* = 0.0205) (Figure 2). The figure shows that an impaired baseline DLCO value (<80%) had more predictive capability of a positive HRCT after 2 years of follow-up than an abnormal baseline FVC (<80%).



**Figure 2.** Prediction of  $t_0$  value of DLCO and FVC. The figure shows that an impaired baseline DLCO value (<80%) had more predictive capability of a positive HRCT after 2 years of follow-up than an abnormal baseline FVC (<80%) (p = 0.0205).

### 4. Discussion

In SSc, the prevalence of ILD ranges from 47 to 84% and it is associated with significant mortality and morbidity [3,30–32]. It is now well known that SSc-lung involvement may be progressive or remain stable for longer periods [33]. The heterogeneity of ILD evolution is still a challenge, and it is therefore of primary importance to identify the best tool and the correct timing to detect and predict lung involvement.

Many efforts have been made to identify the predictors of ILD onset and in this context; the main result of our study suggests a certain role of baseline DLCO in its prediction. In fact, it is pivotal to identify ILD predictors, and this might help to follow-up SSc patients, identifying those at higher risk of developing ILD [34,35]. Some investigations focused on the possibility to predict the progression of lung involvement in SSc-ILD patients. Among these, the SPAR (SPo2 ARthritis) model predicted ILD progression, helping to detect patients at risk for progressive fibrosis with the combination of lower peripheral capillary oxygen saturation (after a 6 min walking test) and arthritis [36]. Ahmed et al. reported an association between baseline FVC and DLCO values and survival in SSc-ILD patients, identifying a threshold of 70% FVC% predicted and 77% DLCO% predicted [37]. In SSc-ILD patients, Volkmann et al. found that the decline in FVC and DLCO over 2 years was a better predictor of mortality compared to baseline FVC and DLCO values [38]. Morisset J et al. suggested the SADL (smoking history, age, and DLCO% predicted) model as a mortality risk predictor for SSc-ILD patients [30]. Clearly, these data highlight the importance of PFTs values in the prediction of ILD prognosis.

The present study was focused on predicting the appearance of SSc-ILD on HRCT by using PFT parameters. The primary endpoint evaluating DLCO $\Delta$  and FVC $\Delta$  between t<sub>0</sub> and t<sub>1</sub> as a predictor of ILD onset at t<sub>2</sub> HRCT was not met, and this might be related to the mean disease duration of the study population (about 6 years at t<sub>0</sub>), too long to use the

 $\Delta$  change to predict ILD. However, the secondary endpoint analysis suggested that even when signs of ILD are not yet present on HRCT, patients with a low baseline DLCO may have an increased risk of developing ILD after 2 years of follow-up.

Our population presented mild lung involvement showing an average non-significant functional decline in DLCO and FVC at one year of follow-up, and this probably influenced the success of the primary endpoint analysis. To evaluate the predicting role of DLCO $\Delta$  and FVC $\Delta$ , a larger population with a follow-up longer than two years would probably be required. On the other hand, the straightforward use of the baseline mean values of DLCO and FVC showed that the DLCO was better than the FVC for predicting a new ILD after 2 years. Dichotomizing these values (with abnormal defined as <80% for both DLCO and FVC), "abnormal" DLCO patients had a higher risk than "abnormal" FVC patients of developing HRCT-ILD after 2 years of follow-up. Into clinical practice, this datum may indicate the need to follow-up with HRCT SSc patients with a baseline impaired DLCO for at least 2 years. However, considering the "within-patient" variability in PFTs, perhaps it would be better to rely on more than a single measure to predict the development of ILD. Unfortunately, the design of our study ruled out all patients with ILD at HRCT at t<sub>1</sub>, preventing the possibility to evaluate the risk of developing ILD in a shorter follow-up.

At t<sub>2</sub>, our data showed normal mean FVC values in patients with ILD; however, despite the normal mean value, patients with ILD had a lower FVC than those without this complication. Considering DLCO, our data showed that in patients with ILD, the mean DLCO value over the study period was significantly lower than in patients with negative HRCT at t<sub>2</sub>. It is remarkable that patients without signs of ILD at t<sub>2</sub> showed a DLCO near the normal cut-off (80%) at all the three assessments. This evidence may suggest an early impairment of the alveolar epithelium, probably confirming inflammatory involvement of the alveolar membrane as the primum movens in SSc-ILD pathogenesis [39]. Our data are in line with Tashkin et al., who found that DLCO provides the best overall estimate of HRCT-measured lung disease in SSc patients [34].

Our study confirmed some previous evidence, indicating a higher prevalence of Topo-I antibodies and the dcSSc subset among patients who developed ILD during the follow-up. In line with our results, previous data reported the association between dcSSc, African American ethnicity, shorter disease duration, and an older age at disease onset and the risk of developing SSc-ILD [40,41].

An association between progressive skin fibrosis within one year and a decline in lung function has been reported via analysing dcSSc patients from the EUSTAR cohort [42]. In our study, the change in mRSS seemed not to predict ILD onset. However, our population was probably too heterogeneous and with mild skin involvement, mostly presenting with only sclerodactyly or a limited subset.

Our study presents some limitations, mostly related to the data collected in the EU-STAR database. Data regarding the extent of ILD and qualitative data about pulmonary involvement in patients with ILD at t2 were mostly missing (no available data about reticulation changes, traction, and/or honeycombing changes; information about ground-glass opacities was only recorded in about 37%). PFTs were performed in 39 different centres, between 2003 and 2019, making the percent predicted less uniform and more variable. In addition, absolute values were not recorded, the haemoglobin value was not available for all patients, and the sample size was reduced when patients were divided into groups according to the trends in DLCO and FVC over time. In fact, some patients might have a negative baseline HRCT with a lower PFTs value, probably due to an incorrect execution of PFTs, as some of these patients presented a normal value of FVC at  $t_1$ . In addition, the absence of a centralized reading of the HRCT scans or the presence of different HRCT acquisition protocols, as well as the absence of data on smoking (or other environmental factors) for all patients, must be listed. It is important to note that, in our study, no other correlations between ILD and biological data, other than autoantibodies, were determined as, unfortunately, some biological features were missing for enrolled patients. Beyond these weaknesses, the strengths of our study are represented by the number of enrolled patients

(474 patients) and the long follow-up, as the study focused on PFTs compared to HRCT for at least 48 months in all patients, suggesting a predictive value of baseline DLCO.

Predictors of ILD onset are eagerly awaited to improve SSc-ILD management. Our study confirms the known risk factors in the identification of an SSc population at higher risk of developing ILD, including Topo-I positivity, the dcSSc subset, and a shorter disease duration from the appearance of Raynaud's phenomenon. Our data suggest that the baseline DLCO value is linked to an increased risk of ILD development after 2 years of follow-up, while the DLCO $\Delta$  and FVC $\Delta$  do not predict ILD development.

#### 5. Conclusions

We provide the evidence of the reduction of gas exchange as an early sign of lung involvement before any HRCT signs are evident. At earlier time points, our study could not differentiate the predictive value of FVC from DLCO. In the future, further comparisons of FVC and DLCO as predictors will require prospective studies and a careful consideration of potential confounders, such as pulmonary hypertension, cardiac disease, and/or concomitant ILD treatment. The confirmation of a predictive value of decreased DLCO or FVC in SSc patients without confounders may drive the clinician to perform or repeat HRCT to detect ILD as early as possible and to treat it in the patient's window of opportunity.

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**Data Availability Statement:** The access to the anonymized raw data that support the findings of this study are available on reasonable request, and subject to review by EUSTAR committee. Data requests may be sent to the corresponding author.

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## Abbreviations

| SSc         | Systemic sclerosis                      |
|-------------|---|
| ILD         | Interstitial lung disease               |
| HRCT        | High-resolution computed tomography     |
| PFTs        | Pulmonary function tests                |
| DLCO        | Diffusion of the lung for carbon oxide  |
| FVC         | Forced vital capacity                   |
| EUSTAR      | European Scleroderma Trial and Research |
| dcSSc       | Diffuse cutaneous subset of SSc         |
| lcSSc       | Limited cutaneous subset of SSc         |
| ACA         | Anticentromere antibodies               |
| Торо-І      | Anti-topoisomerase I                    |
| RNA-Pol III | Anti-RNA polymerase III antibodies      |

# Appendix A

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