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# ACME2: An Extended Toolbox for Automated Cirque Metrics Extraction

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13	
14	Abstract: With the availability of improved digital elevation models (DEMs) of global
15	coverage, the morphological analysis of large populations of glacial cirques is possible, and can
16	be used to derive important palaeoclimate and environmental information related to the
17	distribution and history of former glaciers. In 2017, an ArcGIS toolbox, ACME (Automated
18	Cirque Metrics Extraction), was developed to derive 16 cirque metrics based on input cirque
19	outlines, threshold midpoints and DEMs. ACME has been widely used in cirque morphological
20	analysis and regional comparisons. This paper presents a revised and extended toolbox, ACME2.
21	This extended toolbox includes new functions to automatically derive cirque threshold midpoints
22	(cirque foci) and 49 morphometric and locational variables, with attributes related to cirque
23	location, size, shape, altitude, slope, and aspect, including variables related to the median axis, as

24	well as 3 input metadata attributes. ACME2 also improves the methods for calculation of the
25	hypsometric maximum and integral, and implements a new method for plan closure to be more
26	consistent with the original definition. All ACME2 tools are coded in Python and can be
27	imported into ArcGIS with user-friendly interfaces. Comparisons for 155 cirques in the English
28	Lake District and 51 in the Shulaps Range, British Columbia, indicate consistency between the
29	ACME2-derived and manually derived metrics, with most correlations $r > 0.90$ : none <0.70.
30	ACME2 provides more cirque metrics and automates the whole calculation sequence with cirque
31	outlines and DEMs. Its comprehensive approach facilitates understanding of cirque form and
32	development in all its variety.
33	
34	Keywords: cirques; morphometric analysis; palaeoclimate; ACME; ACME2
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35 36	1. Introduction
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48	Built on earlier lists of cirque attributes such as in Andrews and Dugdale (1971), Evans and Cox
49	(1995) defined a series of morphometric and contextual descriptors of cirques to provide full
50	support for the identification of cirques, to assess controls of cirque size, shape, and location, and
51	to demonstrate patterns in cirque development. Specifically, this series of morphometric and
52	contextual descriptors included 23 separately measured or estimated variables and 6 further
53	variables calculated from those. Of the 23, 17 are on ratio scales, two on circular scales, two
54	ordinal, and two nominal classifications. All but the last four were measured from contour maps.
55	
56	Advances in Geographic Information Systems (GIS) and remote sensing (RS) techniques and the
57	availability of Digital Elevation Models (DEMs) of global coverage (Anders et al., 2010;
58	Principato and Lee, 2014; Li and Zhao, 2022) have allowed for the morphological analysis of
59	large cirque datasets to reconstruct palaeoclimate and environmental conditions and to test the
60	buzzsaw hypothesis (Barr and Spagnolo, 2015; Mitchell and Humphries, 2015; Evans and Cox,
61	2017; Zhang et al., 2020; Li et al., 2023). An ArcGIS toolbox, ACME (Automated Cirque Metric
62	Extraction) was developed by Spagnolo et al. (2017) to derive 16 morphological metrics,
63	including length, width, circularity, planar and three-dimensional (3D) area, elevation, slope,
64	aspect, plan closure, and hypsometry. This requires three inputs: cirque outlines (polygons),
65	threshold midpoints, and a DEM. This toolbox has been used to extract cirque metrics and infer
66	palaeoclimate conditions in various settings worldwide, including: Britain and Ireland (Barr et
67	al., 2017, 2019), the Guadarrama and Somosierra mountains in Spain (Pedraza et al., 2019), the
68	Faeroe Islands (Wallick and Principato, 2020), High Mountain Asia (Zhang et al., 2020, 2021;
69	Li et al., 2022; Li et al., 2023), and Antarctica (Barr et al., 2022, 2023). Use of DEMs allowed

ACME to calculate new descriptors such as hypsometric integral, mean slope and 3D area.

71

However, it has become clear that some modifications and extensions to ACME are desirable. 72 First, the 16 metrics derived by ACME do not include all metrics proposed by Evans and Cox 73 (1995): in particular, they omit the axis-related metrics and relevant contextual metrics outside 74 75 the cirque outlines, such as the maximum elevation above the cirque, which are useful in explaining how a circue developed. Second, the calculation method of plan closure in ACME 76 produces results that are not comparable to those from the manual approach of Evans and Cox 77 78 (1995) (Section 2.3). Without judging which is to be preferred, it is useful to have results that can be compared with those in earlier literature. Third, some ACME metric calculations are not 79 computationally optimal. For example, ACME-derived hypsometric maximum and integral 80 require the specification of a class width for altitude analysis, which makes the metrics sensitive 81 to that potentially arbitrary choice. Finally, and more importantly, ACME requires the input of 82 circuit outlines and threshold midpoints for the calculation. Both these features are traditionally 83 based on manual digitization. Li and Zhao (2022) developed an ArcGIS toolbox, AutoCirque, to 84 automatically delineate cirque outlines from DEMs, partially resolving the need to automate 85 86 digitization. However, cirque threshold midpoints are still based on manual digitization, which could be perceived as somewhat subjective and, being time-consuming, might hinder the 87 morphometric analysis of large cirque datasets for regional comparisons. 88

89

In this paper, we present a revised and extended ACME toolbox, ACME2. This extended toolbox
adds functions to automatically derive cirque threshold midpoints (foci), extract 49 metrics
related to cirque location, size, shape, altitude, slope, aspect, including axis-related variables, and

records 3 input metadata variables. ACME2 also improves methods for the hypsometric
maximum and integral calculations and develops a new plan closure calculation method that is
more consistent with manually derived values. All ACME2 tools are coded in Python (open
source) and are designed to be easily imported into ArcGIS with user-friendly interfaces. The
results are calibrated and validated against manual methods. This updated toolbox allows for the
rapid and automated analysis of large cirque datasets for palaeoclimate reconstruction and
regional comparisons.

100

#### 101 **2. Methodology**

#### 102 **2.1 Input datasets**

ACME2 requires two input datasets to work: a DEM and a cirque outline (polygon) file. Cirque
outlines are typically digitized manually from topographic maps, aerial photographs, satellite
images, and DEMs (e.g. Evans and Cox, 1995; Federici and Spagnolo, 2004; Seif and Ebrahimi,
2014). In recent years, object-based image classification, deep learning, and automated
approaches have been developed to help identify and map cirque outlines (Eisank et al., 2010;
Anders et al., 2015; Li and Zhao, 2022; Scuderi and Nagle-McNaughton, 2022).

109

Both datasets need to have the same projected coordinate system (a UTM or a national grid) to ensure the correct calculations. If one of the projections is in the geographic coordinate system (GCS) of degrees of latitudes and longitudes, or if the two projections are different from each other, a warning is displayed and the tools will not operate.

114

# 115 **2.2** Cirque focus or threshold midpoint delineation

116 Many circue-related metrics, such as axis aspect, length, width, and their related variables,

require the input of a cirque threshold midpoint (the 'focus' of Evans and Cox, 1995) for their calculation. The cirque threshold is a relatively flat part of the cirque outline at the valley bottom, although it includes minor topographic variations. In ACME2, we provide two new automated methods to derive the threshold foci, although users can still provide their own digitized points.

121

The first method assumes that the intersection point between the cirque outline and the 122 mainstream flowing out of the cirque is likely to be close to the cirque focus, although it is 123 sometimes at one side of the circue threshold. This approach is called 'mainstream exit' in 124 ACME2. A set of hydrological tools are required to derive this point, including filling sinks of 125 the DEM, flow direction, and flow accumulation. The intersection point between the cirque 126 outline and the mainstream corresponds to the highest flow accumulation point within the cirque 127 outline. Fig. 1 illustrates the flowchart of the mainstream exit approach to derive the threshold 128 foci. 129



131 Fig. 1 The flowchart of the mainstream exit approach to derive the threshold foci.

The second method, named the threshold midpoint approach, attempts to derive the middle point 133 of the cirque threshold as the focus. First, the cirque outline is divided in two halves using the 134 mid-range elevation along the circue outline (Fig. 2a). The higher part mainly includes the crest 135 and ridge lines. The lower part includes the cirque threshold, valley sides, and maybe some ridge 136 lines. Because the cirque threshold is relatively flat compared to sidewalls, it corresponds to the 137 highest peak on the frequency distribution (histogram) of the elevations along the low part of the 138 outline (Fig. 2b). We add one elevation bin (5 meters) to this highest frequency elevation to 139 account for the potential high grounds on the circue threshold and use it as a cutoff elevation to 140 determine the cirque threshold section (Fig. 2c). This excludes both cirque sidewalls. If the low 141 part of the cirque outline is divided into multiple segments by this elevation, segments with small 142 gaps (less than 60 m or the length of the smallest segment) are connected in order to remove the 143 impact of small and isolated high grounds on the cirque threshold. If multiple segments still exist 144 after that, only the longest segment is kept, to remove potential isolated short segments of the 145 cirque outline, which are far away from the threshold but lower than the derived cutoff elevation. 146 Finally, the middle point of the extracted cirque threshold is determined as the threshold focus of 147 the cirque (Fig. 2d). 148



Fig. 2 The general steps to derive the circue threshold focus point. (a) The lower half of the circue outline 150 151 (blue line), below the mid-range of elevations along the cirque outline. (b) The frequency distribution (histogram) of elevations on the lower half of the cirque outline. The highest peak (yellow) corresponds to 152 the modal elevation of the cirque threshold. (c) The topographic profile of the lower half of the cirque 153 outline. The highest peak in the frequency distribution is highlighted by the yellow shade, representing the 154 155 relatively flat part of the cirque threshold (actually cut by several streams for this cirque). (d) The extracted 156 cirque threshold part (red line), with its middle point (green dot) as the cirque threshold focus. The yellow 157 contour-line band (290 - 300 m) corresponds to the elevation range of the yellow shade in (c).

149

159 The circue threshold foci derived using the second approach are more consistent with the

160 geomorphological definition of the cirque focus, avoiding asymmetric position of the stream on

the threshold, which could be related to post-glacial erosion. However, use of the modal
elevation bin of the histogram in determining the cirque threshold may have issues for some
unusual cirque topographies. For example, some cirques may contain relatively flat ridgelines on
the low halves of the outlines, resulting in multiple histogram peaks and the highest frequency
one may not correspond to the cirque threshold.

166

# 167 **2.3** Cirque metrics and calculation methods

For each cirque, ACME2 outputs 49 morphometrics and 3 metadata attributes related to input
datasets. The former are grouped into cirque location, size, shape, slope, aspect, altitude, axisrelated, and catchment-related metrics (Table 1).

171

Three metadata attributes, *Projection, DEMresolution*, and *FocusMethod*, are related to the input
datasets and the method to derive the threshold foci. These could be particularly useful to
compare cirque metrics extracted from different DEM resolutions, map projections, and
threshold methods. *Projection* is the map projection of the input cirque outlines and the DEM,
including UTM zone if applicable. *DEMresolution* is the spatial resolution of the DEM. *FocusMethod* is to record which of three methods (mainstream exit, threshold midpoint, or userspecified) was used to derive the threshold foci.

179

ACME2 derives the attributes related to the centroid location of each cirque outline for dataset
comparison, mapping and regional trend analyses. These location-related attributes include both
geographic and grid coordinates: longitude (*Lon*), latitude (*Lat*), easting (*Easting*), and northing
(*Northing*).

Seven metrics are related to circue size: length (L), width (W), height (H), circue size (CS), 185 perimeter (*Perimeter*), planar area (A2D), and three-dimensional (3D) surface area (A3D). L and 186 W are the same metrics as in the original ACME, measuring along the length and width axes that 187 are determined using the same approach as ACME based on the circue outline and threshold 188 focus. *H* is the height range of the circue, corresponding to  $Z_{range} (Z_{max} - Z_{min})$  in ACME. 189 CS is defined as the cubic root of L\*W\*H (Evans, 2006; Barr and Spagnolo, 2013, 2014, 2015; 190 Delmas et al., 2015; Li et al., 2023): 191  $CS = \sqrt[3]{L * W * H}$ (1)192 Cirque size (CS) provides a useful overall measure of size in the same units as L, W and H. 193  $L^*W^*H$  is not used as a measure of circue volume as it is not possible to estimate the volume 194 eroded to form a circue unless the preglacial land surface is known. *Perimeter* is the length of the 195 outline as in ACME, and A2D and A3D (see below) correspond to Area 2D and Area 3D in the 196 original ACME. 197 198 Seven metrics are related to circule shape. The length/width ratio (L W) and circularity 199 (Circular), are the same as ACME. ACME2 adds the length/height ratio (L H), width/height 200

ratio ( $W_H$ ), and surface area/planar area ratio ( $A3D_A2D$ ). Plan closure ( $Plan_clos$ ) was in

ACME but there are different methods for its calculation. Fig. 3a illustrates the plan closure

calculation method in ACME, which is determined as  $360^{\circ}$  minus the acute angle between the

cirque "midpoint" (or "centroid") and start and end points along the mid-alt (altitude) contour

- 205 (Spagnolo et al., 2017). The "midpoint" is determined as the intersection point of the two lines
- that bisect lines from the center of the mid-altitude contour to each end of that contour,

207 corresponding to the center point of the circle defined by the start, end, and center points of the208 mid-alt contour (Fig. 3a).



209

Fig. 3 The method to calculate plan closure in ACME (a), which is different from the manual method (b) of
Evans and Cox (1995). The black polygon is the cirque outline, and the red line is the mid-altitude contour.

The above method for determining plan closure is different from the manual approach of Evans and Cox (1995), which derives the plan closure based on the azimuthal difference between the end tangent line direction (approximated by 100 m of contour) and the start tangent line direction (approximated by 100 m) along the mid-altitude contour (Fig. 3b). This manual method is easier to implement in the map measurement of cirque plan closure. In ACME2 another plan closure metric (*Plan\_closISE*) is added based on the implementation of this manual method and the plan closure from the original ACME is renamed to *Plan\_closSPA*.

In terms of slope-related metrics, in addition to the mean slope (*Slope\_mean*) as in ACME,

- ACME2 adds the maximum (*Slope\_max*) and minimum (*Slope\_min*) slopes within the cirque,
- and profile closure (*Prof\_clos*), which were used by Evans and Cox (1995). *Prof\_clos* is defined
- as the difference between the maximum and minimum slopes within the cirque. Because a cirque

usually requires both a floor and a headwall, three slope related metrics are also added: the

percent of circue area with slopes  $> 33^\circ$ , representing the headwall (*Slpgt33*); the percent of

cirque area with slopes  $< 20^\circ$ , representing the floor (*Slplt20*), and the percent of cirque area with

slopes between  $20^{\circ}$  and  $33^{\circ}$  (*Slp22to33*). Large values of the latter suggest indistinct

229 development of cirque form, i.e. limited concavity.

230

ACME2 includes three metrics for aspect of the whole cirque. *Aspectmean* is the same as in ACME, derived as the vector mean of the aspect values of all cells within a cirque. Because aspect-related regressions are based on Fourier regressions with the cosine and sine components of aspect, ACME2 saves these two components as two metrics: *Asp\_east* (the sine component) and *Asp\_north* (the cosine component).

236

Seven metrics are related to cirque altitudes. The minimum, maximum, and mean altitudes of the cirque ( $Z_{min}$ ,  $Z_{max}$ , and  $Z_{mean}$ , respectively) are the same as from ACME. In addition, the median ( $Z_{median}$ ) and middle ( $Z_{mid}$ ) altitudes are added in ACME2.

 $Z_mid = \frac{Z_max + Z_min}{2}$ (2)

The hypsometric maximum (*Hypsomax*) and integral (*HI*) are also included but based on
different calculation methods from ACME. ACME slices cirque topography into a set of
elevation bins and uses the elevation distribution over these bins to derive the hypsometric
maximum and integral values. The calculation process is usually time consuming, and the values
are sensitive to the specification of bin width. As discussed in Pike and Wilson (1971), the *HI*value is mathematically the same as the elevation-relief ratio that is defined as:

247 
$$HI = E_ratio = \frac{Z_mean - Z_min}{Z_max - Z_min}$$
(3)

where Z min, Z max, and Z mean values are easily derived in the DEM; therefore, it is much 248 249 quicker to derive HI in this manner and the derived value is also not affected by bin width. 250 Similarly, in ACME2 Hypsomax is determined as the highest mode of the circue elevations. A comparison of the HI values of 155 circues in the English Lake District (Clark et al., 2018), 251 252 indicates that the values derived using the ACME approach based on a 20-m bin width (elevation interval) and those based on the elevation-relief ratio approach in ACME2 are highly correlated 253 (r > 0.97), with small differences probably caused by using the 20-m elevation interval (Fig. 4a). 254 A similar comparison also indicates a high correlation between the *Hypsomax* values derived by 255 ACME2 and ACME (Fig. 4b). 256







263	Table 1. The list of cir	que metrics and	d related attributes	in ACME2.
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Group	ACME2 metrics	Corresponding ACME metrics	Definition
Dataset (3)	Projection		The map projection of the input cirque outlines. Mus be a projected coordinated system, with type and zon (UTM only).
	DEMresolution		The spatial resolution of the DEM. Must be in meter to ensure the correct calculations
	FocusMethod		The method to derive the threshold points mainstream exit, threshold midpoint, and use specified
Location (4)	Lon		The longitude of the cirque centroid point [decima degrees]
	Lat		The latitude of the cirque centroid point [decima degrees]
	Easting		The easting (x coordinate) of the cirque centroid poin [km]
	Northing		The northing (y coordinate) of the cirque centroi point [km]
Size	L	L	Length of median axis [m]
(7)	W	W	Width: Maximum, at right angles to axis, throug cirque centroid [m]
	Н	Z_range	height: the $Z_{max} - Z_{min}$ [m]
	CS		Cirque size: the cubic root of $L^*W^*H[m]$
	Perimeter	Perimeter	The perimeter of the cirque outline [m]
	A2D	Area_2D	The cirque 2D (map) area [m <sup>2</sup> ]
	A3D	area_3D	The cirque 3D (surface) area [m <sup>2</sup> ]
Shape	$L_W$	$L_W$	Length/Width ratio
(7)	L_H		Length/Height ratio
	$W_H$		Width/Height ratio
	A3D_A2D		The 3D area / 2D area ratio for the cirque
	Circular	Circular	The circularity index
	Plan_closSPA	Plan_clos	The plan closure derived using the original ACM method [degrees]
	Plan_closISE		The plan closure derived using the method that consistent with Evans' manual method [degrees]
Slope	Slope_mean	Slope_mean	The mean slope of the cirque [degrees]
(7)	Slope_max		The maximum slope of the cirque [degrees]
	Slope min		The minimum slope of the cirque [degrees]

	Prof_clos		The difference between the maximum and minimum slope within the cirque [degrees]
	Slpgt33		The percentage of the circue area with steep slopes of $>33^{\circ}$
	Slplt20		The percentage of the circue area with gentle slopes of $<\!\!20^\circ$
	Slp20to33		The percentage of the cirque area with slopes between $20^{\circ}$ and $33^{\circ}$
Aspect (3)	Aspectmean	Aspectmean	The vector mean aspect of all points within the circular Note that this metric is a circular variable and cannot be summarized using the regular linear method [degrees]
	Asp_east		The sine value of the Aspectmean
	Asp_north		The cosine value of the Aspectmean
Altitude	Z_min	Z_min	The minimum elevation of the cirque [m]
(7)	$Z_max$	$Z_max$	The maximum elevation of the cirque [m]
	Z_mean	Z_mean	The mean elevation of the cirque [m]
	Z_median		The median elevation of the cirque [m]
	Z_mid		The middle elevation of the cirque: $(Z_max + Z_min / 2 \text{ [m]})$
	Hypsomax	hypsomax	The highest mode of the cirque elevations. Revised no contour interval is needed to derive this metric [m
	HI	HI	Hypsometric integral. Revised as Elevation-relie ratio. No contour interval is needed for the calculatio
Axis* (12)	Axprofclos		Profile closure axial (the maximum and minimum slope difference along the length axis) [degrees]
	Axhli		The height-length integral along the length axis
	Axasp		The aspect of the median axis, facing outward. Not that this metric is a circular variable and cannot b summarized using the regular linear method [degrees
	Axgrad		The overall gradient along the median axis, arcta ( <i>Axamp/L</i> ) [degrees]
	Axamp		The amplitude (elevation difference) along th median axis [m]
	L_Exp_A		The best-fit "a" value of the longitudinal profile alon the median axis using the exponential model $y = a^*e^{bx}$
	L_Exp_B		Axial concavity: the longitudinal profile's best-fit "b value along the median axis using the exponentia model $y = a^*e^{bx}$ .
	L_Exp_R2		Exponential fit: the best-fit $R^2$ value of the longitudinal profile along the median axis using the exponential model $y = a^*e^{bx}$
	L Kcurv C		The best-fit "c" value of the model $y = (1-x)^* e^{-cx}$

L_Kcurv_R2	k-curve fit: The best-fit $R^2$ value of the model $y = (1 - x)^* e^{cx}$
W_Quad_C	Quadratic concavity: the best-fit "c" value of the model $y = a + bx + cx^2$
W_Quad_R2	Quadratic fit: the best-fit $R^2$ value of the model $y = a + bx + cx^2$
Maxabalt	The maximum altitude draining into the cirque [m]
Pctabarea	The percentage of a cirque area cut into the catchment area above the cirque threshold
	W_Quad_C W_Quad_R2 Maxabalt

\*All curve-fitting coefficients for the axis-related metrics are based on meters.

266

In addition to the above metrics related to the whole cirque, ACME2 also derives 12 metrics 267 related to the median axis that defines circue length. Axprofclos is the profile closure (maximum 268 269 slope - minimum slope) along this length (median) axis. Axhli is the height-length integral along the axis, in two dimensions rather than the three of the HI for the whole cirque. Axasp, Axamp, 270 and Axgrad are respectively the aspect (facing outward), amplitude (elevation difference), and 271 272 overall gradient (arctan (Axamp/L), in degrees) along the length (median) axis. Axasp is the same as the 'axis aspect' of Evans and Cox (1995). Two types of curve-fitting are also conducted for 273 the topographic profile along the length (median) axis. One is to fit the profile using an 274 exponential function: 275

276

$$y = a e^{bx} \tag{4}$$

where *y* is the height above the threshold midpoint, *x* is the horizontal distance away from the threshold focus along the median axis, and *a* and *b* are coefficients. The coefficient, *b*, is a measure of axial concavity. Three metrics,  $L\_Exp\_A$ ,  $L\_Exp\_B$ , and  $L\_Exp\_R2$ , are used to save the coefficients *a*, *b* and the  $R^2$  value of the curve fitting, respectively. In addition to the exponential function, Krause et al. (2022) proposed a K-curve function to describe the concavity of cirque longitudinal profile based on the following equation:

$$y = (1 - x) e^{cx} \tag{5}$$

where y and x are the normalized values from 0 to 1 for the height of the profile and horizontal 284 285 distance away from the highest point, respectively. The coefficient, c, is a measure of the shape of the longitudinal profile. The more negative the value of c, the greater the concavity of the 286 longitudinal profile. This coefficient has been used to discriminate between cirques and non-287 cirque valley heads (Krause et al., 2022; Jia et al., 2023). Note that the profiles in Krause et al. 288 (2022) differ from ACME2's, as the former do not follow the median axis and generally stop 289 short of the threshold (see their Fig. 3). In ACME2, two metrics, *L\_Kcurv\_C* and *L\_Kcurv\_R2*, 290 are introduced to extract the coefficient c and the  $R^2$  value for this function fitted to the ACME2 291 median axis. 292

293

A topographic profile along the width axis represents the cross-sectional profile of the glacial
valley within the cirque part. The cross-sectional profile of a glacial valley can be described
using a power function (Svensson, 1959; Graf, 1970; Wheeler, 1984; Harbor, 1990; James, 1996;
Li et al., 2001) or a quadratic function (James, 1996; Li et al., 2001). Due to the uncertainty of
the power function caused by the selection of the original point to divide the cross-section into
two halves, we only applied the quadratic function to fit the cross-sectional profile:

$$y = a + bx + cx^2 \tag{6}$$



Cirques are located at glacial valley heads, but may not always reach the drainage divides, 307 especially when cirques cut into a plateau. It is therefore important to measure how much the 308 cirque glacier (or most likely glaciers, over multiple glaciations) eroded its upper catchment area 309 and therefore potentially limited its elevation, as hypothesised by the glacial buzzsaw (Brozović 310 et al., 1997; Mitchell and Montgomery, 2006; Egholm et al., 2009). ACME2 introduces two 311 metrics, Maxabalt and Pctabarea, to describe the extent of cirque cutting into the valley head 312 catchment or plateau (Fig. 5). Maxabalt records the maximum altitude draining into the cirque 313 314 and *Pctabarea* represents the percentage of a cirque area cut into the catchment area above the cirque threshold. A glacial buzzsaw effect is supported in an area where the cirque Z max values 315 are similar to Maxabalt and the cirque Pctabarea values are close to 100%. 316





306

Fig. 5 An example circue from the English Lake District, to illustrate the definitions of *Maxabalt* and

319 *Pctabarea* related to the catchment above the cirque threshold.

The result of these changes is that, in addition to providing a series of new variables, 321 ACME2 measures or approximates 12 of the 19 measured circular or ratio scale variables 322 and 5 of the 6 calculated variables in Evans and Cox (1995). ACME2 does not provide the 323 four ordinal and nominal variables, two contextual (relief) variables, the number of cols, 324 the three variables related to the floor, and the two related to the headwall. Careful 325 distinction of cirque floor and headwall requires considerable further interpretation and 326 digitizing (as in Mîndrescu and Evans, 2014). ACME2 approximates the distinction by 327 calculating percentages of slopes above 33° and below 20°, providing their relative sizes. 328 The three variables (grade, lake?, and type) defined in Evans and Cox (1995) require 329 subjective judgment or additional work and input data (e.g. satellite imagery, geological 330 maps) that is beyond the scope of this effort. 331

332

# **333 3.** Demonstration and comparison with the manually derived metrics

Digitized outlines are available for two areas with detailed measurements by manual methods
from 1:20,000 and 1:5,000 contour maps, respectively. This permits a comparison between
ACME2 and manual analyses, using correlation coefficients for those variables with comparable
definitions (i.e. those aiming to measure the same attribute).

338

The first dataset comprises 51 cirques in the northern Shulaps Range, British Columbia Coast Mountains. The cirque outlines were digitized on Google Earth by I.S. Evans and metrics were measured from topographic contour maps (1:20,000 with 20 contour interval). Three 1-arcsecond DEMs are used to derive cirque metrics. All DEMs are download from OpenTopography (https://portal.opentopography.org/). The first is the 1 arc-second DEM from United States Geological Survey (USGS, 2021). This dataset is derived from various data sources, such as

345	high-resolution air photos and airborne LiDAR, with trees and buildings removed (it has
346	complete coverage of the conterminous U.S.A., plus much of Canada and Mexico, and partial
347	coverage of Alaska). The second is the Shuttle Radar Topography Mission (SRTM) 1 arc-second
348	global elevation data (NASA, 2013) from a mission conducted during February 11-22, 2000:
349	https://www.usgs.gov/centers/eros/science/usgs-eros-archive-digital-elevation-shuttle-radar-
350	topography-mission-srtm-1-arc?qt-science_center_objects=0#qt-science_center_objects (NASA,
351	2013). The third DEM is the 1 arc-second Copernicus (COP) Global DEM, which is derived
352	from an edited WorldDEM produced based on the radar satellite data acquired during the
353	TanDEM-X Mission by the German Aerospace Centre (DLR) and Airbus Defence and Space
354	(ESA, 2021). At 51° North, 1 arc-second is 19.5 x 30.9 m. All DEMs are projected to the UTM
355	projection (Zone 10N) with 25 m resolution (at this latitude) for the calculation of cirque metrics.
356	
357	Results from the ACME2 calculation and the manual method (Table 2) are very close (almost
358	identical) for size variables and altitude variables. Although the manual method used median
359	axis midpoint and ACME2 uses the centroid of area, the results for Easting and Northing are
360	almost identical. The last five variables give good-moderate correlations reflecting differences
361	between each DEM and the contour information. Profile closure is the difference between
362	maximum and minimum gradients, which are measured differently in the two approaches. For
363	the DEMs, slope gradients are calculated from a quadratic for a 3 x 3 (i.e. 75 x 75 m) window,
364	whereas following Evans and Cox (1995) maximum gradient is measured over 30 m or more
365	vertically (i.e. at least two 20 m contours in Shulaps) and minimum gradient from greatest
366	contour spacing, here for 20 m contours. Thus, profile closures and axial gradients will be
367	comparable only where gradient extremes are measured at similar resolution, from similar DEMs

368 or contour maps.

369

370	Comparing the three DEMs, SRTM DEM generally gives the lowest correlations with manual
371	results, especially for maximum slope, profile closure and axial gradient. It is somewhat better
372	than COP DEM only for plan closure, where both are worse than USGS DEM. Because of radar
373	shadows, SRTM DEM and COP DEM have low precision where gradients exceed $40^{\circ}$ , as on
374	headwalls. The COP DEM shows considerable improvement over SRTM DEM, and thus gives
375	much higher correlations for maximum slope and profile closure. The USGS DEM generally has
376	higher correlations than COP DEM, except for minimum slope and length (Table 2).

377

Table 2. Correlations, for 51 circues in northern Shulaps, between ACME2 results and those
manually measured by Evans from contour maps (1:20,000 with 20 contour interval) and Google

Variable	USGS mainstream	COP mainstream	SRTM mainstream	USGS midpoint	COP midpoint	SRTM midpoint
Easting	0.9994	0.9994	0.9994	0.9994	0.9994	0.9994
Northing	0.9998	0.9998	0.9998	0.9998	0.9998	0.9998
perimeter	0.9997	0.9997	0.9997	0.9997	0.9997	0.9997
z_min	0.9997	0.9997	0.9996	0.9997	0.9997	0.9996
z_max	0.9990	0.9991	0.9980	0.9990	0.9991	0.9980
z_range	0.9982	0.9982	0.9982	0.9982	0.9982	0.9982
length	0.9850	0.9901	0.9910	0.9922	0.9931	0.9907
width	0.9790	0.9893	0.9883	0.9867	0.9861	0.9812
max altitude above	0.9776	0.9338	0.9277	0.9776	0.9338	0.9277
axial gradient	0.8453	0.8355	0.7192	0.8708	0.8525	0.8359
plan closure	0.8134	0.7883	0.8059	0.8134	0.7883	0.8059
profile closure	0.8401	0.8033	0.6558	0.8398	0.8033	0.6558

Earth. Comparisons are made for both methods, for three 25 m DEMs: USGS, COP and SRTM.

max. slope	0.8734	0.7988	0.6161	0.8734	0.7988	0.6161
min. slope	0.6987	0.7364	0.7309	0.6987	0.7364	0.7309
axial aspect (circular r)*	0.8690	0.8670	0.8260	0.8690	0.9090	0.8880

- 381 \* circular correlation for aspect takes account of the  $0^\circ = 360^\circ$  problem.

383	Overall, the correlations for cirque altitude and size variables of over 0.92 from SRTM, 0.93
384	from COP, and 0.97 from USGS DEMs give us confidence in comparing ACME2 results with
385	published results using manual methods. More caution is needed when comparing the variables
386	for slope and closure. Slope estimates are inevitably sensitive to DEM resolution and quality, as
387	they are to contour interval and quality: comparisons are fully valid only when the same source
388	and method are used. Profile closure is the difference between two slopes. Even so, correlations
389	exceed 0.81 for USGS DEM and 0.78 for COP DEM, except for minimum slope. For plan
390	closure it has proved difficult to produce results close to manual methods (Fig. 6): it is accepted
391	that the automated method can produce good results ( <i>r</i> from 0.78 to 0.81 for <i>Plan_closISE</i> ) and
392	for a much greater number of cirques than the Evans and Cox (1995) manual method which it
393	supersedes. The correlation between the plan closure derived by the original ACME
394	( <i>Plan_closSPA</i> in ACME2) and the manually derived values was much lower ( <i>r</i> from 0.45 to
395	0.49) due to their different definitions, as illustrated in Fig. 3.



396

Fig. 6 Correlations between ACME2-derived plan closure (*Plan\_closISE*) and Evans' manual derived
values for the 51 circues in northern Shulaps, British Columbia, for USGS (a), and COP DEMs (b).
Similar correlations for the plan closure values derived using ACME (*Plan\_closSPA*; c, d).

A more complex comparison can be made from the second dataset of outlines, for 155 cirques in the English Lake District. Cirque outlines were digitized in the Britice project (Clark et al., 2018) for cirques identified by Evans and Cox (1995) and Evans (2015), but independently, without reference to Evans' outlines. Thus, the differences considered here combine differences due to subjective differences in outline delimitation with differences due to technique and are expected to be greater (i.e. produce lower correlations). The Evans outlines were drawn on 1:5,000

407	enlargements of Ordnance Survey 1:10,000 scale photogrammetric maps with 10 m contour
408	intervals, and validated using air photos (black and white) and field observations. The Britice
409	outlines were digitized using Bing Maps imagery, Google Earth, and the NEXTMap (5 m) DEM.
410	
411	The DEM used for the calculation of cirque metrics is the LiDAR Composite 10-m DTM
412	(Digital Terrain Model), which is resampled from the LiDAR Composite 2022 2-m DTM using a
413	bilinear resampling technique by the Environment Agency, United Kingdom, in 2022
414	(https://environment.data.gov.uk/dataset/ce8fe7e7-bed0-4889-8825-19b042e128d2). For
415	comparison, the 1-arc second SRTM and COP DEMs are also used for the calculations. At this
416	latitude, 54.5° N, 1 arc-second is 18.0 x 30.9 m. Both SRTM and COP DEMs are projected to
417	the UTM projection (Zone 30N) and gridded at 24.7 m resolution.
418	
418 419	The expectation of lower correlations, relative to those found in comparisons for the British
	The expectation of lower correlations, relative to those found in comparisons for the British Columbia cirques, due to the use here of different outlines does not occur for all of the calculated
419	
419 420	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated
419 420 421	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated metrics (Table 3). Compared with Table 2, correlations are lower for z values. They are lower for
419 420 421 422	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated metrics (Table 3). Compared with Table 2, correlations are lower for z values. They are lower for plan closure and maximum altitude above, except with COP DEM. They are lower for
<ul> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> </ul>	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated metrics (Table 3). Compared with Table 2, correlations are lower for z values. They are lower for plan closure and maximum altitude above, except with COP DEM. They are lower for maximum slope except with SRTM DEM. Correlations are higher for axial gradient, profile
<ul> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> </ul>	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated metrics (Table 3). Compared with Table 2, correlations are lower for z values. They are lower for plan closure and maximum altitude above, except with COP DEM. They are lower for maximum slope except with SRTM DEM. Correlations are higher for axial gradient, profile closure and aspect, and much higher for minimum slope. Manual measures of these variables
<ul> <li>419</li> <li>420</li> <li>421</li> <li>422</li> <li>423</li> <li>424</li> <li>425</li> </ul>	Columbia cirques, due to the use here of different outlines does not occur for all of the calculated metrics (Table 3). Compared with Table 2, correlations are lower for z values. They are lower for plan closure and maximum altitude above, except with COP DEM. They are lower for maximum slope except with SRTM DEM. Correlations are higher for axial gradient, profile closure and aspect, and much higher for minimum slope. Manual measures of these variables may have been more accurate for the English Lake District maps with a 10 m contour interval

429 and z\_range, approximates 0.9 for width, and exceeds 0.8 for minimum slope, axial gradient

430	(except mainstream in SRTM DEM), and profile convexity (except SRTM DEM). The poorest
431	correlations are for plan closure (0.79) and maximum slope (0.77). LiDAR DEM correlations are
432	in similar order except that maximum slope improves to $r = 0.87$ . SRTM DEM correlations are
433	also similar except for the low correlation in axial gradient using the mainstream method ( $r =$
434	0.75) and lower correlations for profile convexity ( $r = 0.71$ ).

436 Table 3. Correlations, for 155 cirques in the English Lake District, between ACME2 results and

437	those manually measured by Evans from enlarged contour maps (1:5,000 with 10 contour interval	l)

Variable	Lidar10m	СОР	SRTM	Lidar10m	СОР	SRTM
	mainstream	mainstream	mainstream	midpoint	midpoint	midpoint
Easting	0.9999	0.9999	0.9999	0.9999	0.9999	0.9999
Northing	0.9997	0.9997	0.9997	0.9997	0.9997	0.9997
z_min	0.9792	0.9791	0.9802	0.9792	0.9791	0.9802
z_max	0.9877	0.9861	0.9876	0.9877	0.9861	0.9876
z_range	0.9374	0.9355	0.9404	0.9374	0.9355	0.9404
length	0.9332	0.9321	0.9323	0.9349	0.9382	0.9382
width	0.9029	0.8955	0.8840	0.8972	0.9020	0.9001
max altitude above	0.9669	0.9663	0.9151	0.9669	0.9663	0.9151
axial gradient	0.8433	0.8545	0.7492	0.8690	0.8764	0.8562
plan closure	0.7613	0.7939	0.7846	0.7613	0.7939	0.7846
profile closure	0.8643	0.8352	0.7057	0.8643	0.8352	0.7057
max. slope	0.8663	0.7735	0.6372	0.8663	0.7735	0.6372
min. slope	0.8534	0.8899	0.8447	0.8534	0.8899	0.8447
axis aspect (circular r)*	0.8840	0.8630	0.8290	0.9320	0.9300	0.9290

438 Note: Perimeter is not available for the Evans English Lake District data. \* circular correlation for aspect

439 takes account of the  $0^\circ = 360^\circ$  problem.

#### 441 **4.** Comparison of results from the two definitions of focus

Figs. 7 and 8 show the maps of the threshold points (foci) derived from the two methods for the 442 155 cirques in the English Lake District (Fig. 7) and the 51 cirques in the northern Shulaps 443 Range, British Columbia, Canada (Fig. 8). Overall, the foci derived from the two methods match 444 well in all three areas. It seems that the offsets between the two points for individual cirgues are 445 related to the DEM resolution. The median offset distance is about 3-4 cell sizes of the DEM, 446 and the mean offset distance is about 4-5 cell sizes of the DEM. However, large differences of 447 several hundred meters do exist for some cirques in each area. For those cirques, the users can 448 manually check the two derived points and choose the suitable one or digitize a new threshold 449 point for each cirque. 450

451

452 Choice of focus affects variables related to axis, length, and width, not those related to the 453 perimeter or all pixels (e.g. slope), and not plan and profile closures or location. Differences 454 between variables based on threshold midpoint foci and those based on mainstream exit foci are 455 small (Tables 2 and 3).



Fig. 7 Map of the cirque foci derived by the threshold midpoint and mainstream exit methods based on the
10-m LiDAR DEM for the 155 cirques in the English Lake District. The upper-right histogram shows the
frequency distribution of the distance between the two points for each cirque. Two enlarged areas of the
cirque focus points are illustrated on the upper-left and right-bottom maps.



Fig. 8 Map of the cirque foci derived by the threshold midpoint and mainstream exit methods based on the COP DEM for the 65 cirques in the northern Shulaps Range, British Columbia Coast Mountains. The upper-right histogram shows the frequency distribution of the distance between the two points for each cirque. Two enlarged areas of the cirque focus points are illustrated on the upper-left and middle-left maps.

469 The midpoint method provides results much closer to the manual results than does the

- 470 mainstream exit method (Table 2). For COP and USGS DEMs, it is also closer for length. For
- 471 width, the mainstream exit is a little closer for COP and SRTM DEMs. From Table 3 (English
- 472 Lake District), it is clear that for all three DEMs the ACME2 midpoint method come closer to the

manual results than does the mainstream exit method, for length, axial gradient and especially for 473 aspect. This is as expected, as Evans and Cox (1995) defined the focus as the threshold midpoint 474 and used it as the starting point for the median axis. Note that neither method for foci, nor the 475 manual estimation, necessarily takes the low point of the cirque, although they are expected to 476 come close. For width (defined as orthogonal to the axis at half-way along the median axis in 477 ACME but as maximum orthogonal to the axis in Evans and Cox (1995)), the results of COP and 478 SRTM DEMs are closer to manual values when the midpoint method is used but the LiDAR 479 DEM gives a somewhat higher r for mainstream. 480

481

Nevertheless, until more experience is gained with such results, definitions of foci should be checked carefully. In practice, we suggest deriving the threshold foci points using both the mainstream exit and threshold midpoint methods and comparing their differences. The midpoint method should provide comparability with previous manually measured variables, but the mainstream-exit method may be more reproducible.

487

#### 488 **5.** Conclusions

In this paper, we introduce a revised and extended ArcGIS toolbox, ACME2, for cirque metric calculation. This extended toolbox includes two methods to automatically derive cirque foci and 49 morphometric and locational variables, as well as 3 input metadata attributes. ACME2 also improves the methods to derive the hypsometric integral and maximum; it adds a new method for plan closure to be more consistent with the original definition. The demonstration of this toolbox for 155 cirques in the English Lake District, and 51 cirques in the Shulaps Range, British Columbia, indicates high consistency with manual methods, with most high correlations

characterized by *r* > 0.90. The differences result primarily from small differences in cirque
outlines and from characteristics of the DEMs versus topographic maps used for the calculations.

Determination of the cirque focus, which is a required step to determine the median axis, is important as it has knock-on effects on axis-related variables including length and aspect. Two solutions presented here permit automation of the process. The 'mainstream exit' usually takes the lowest elevation point. The 'threshold midpoint' comes closer to the hitherto used method.

504 The validation of ACME2-derived metrics with manual techniques allows users to undertake meaningful comparisons with earlier studies. The addition of many new variables, e.g. for 505 profiles and slope, permits a fuller description of cirque form and assessment of the degree of 506 cirque development. Application to large datasets facilitates relation of these to controls of cirque 507 erosion. Improved algorithms for some metrics increase the accuracy and computational 508 efficiency. Flexibility is provided for the methods to determine cirque foci, including manual 509 input. In conclusion, ACME2 allows for the rapid morphometric processing and thorough 510 analysis of large datasets of circues for palaeoclimate reconstruction and regional comparisons. 511 512 Some of its tools and metrics could also be relevant to the morphological study of other landforms that can be precisely delineated (Evans, 2012). 513

514

#### 515 Weblink

516 The ACME2 toolbox and its related python source codes are available on

517 <u>https://github.com/yingkui2003/ACME2</u>. Users can download the zip file from this site,

518 including the toolbox file and its associated python folder with the python code files, unzip it to

519	their computer, and run these tools directly in ArcGIS 10.7, 10.8, ArcGIS Pro 2.8 or newer.
520	
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525	
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