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1 **A comparison of the performance of four acoustic modulation techniques for**
2 **robot communication in pipes**

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8
9 Keywords: in-pipe acoustic communication, acoustic channel characterisation, package error rate,
10 deconvolution

11
12 **ABSTRACT**

13 Autonomous robotics is an emerging technology for the inspection of a vast network of
14 underground pipes. Communication between autonomous robots is essential to optimise their
15 efficiency and network coverage. However, sending message acoustically is not a well-
16 researched topic because most of the existing literature is devoted to the study of the acoustic
17 properties of the pipe for the purpose of sensing rather than communication. In particular, the
18 influence of multi-modal propagation and background noise on the quality of acoustic
19 communication in pipes has not been well understood. This paper studies the performance of
20 four standard acoustic communication techniques in a dry drainage pipe to fill this knowledge
21 gap. The noise resistance, communication range and data rate of these techniques are estimated
22 through numerical simulations and laboratory experiments. It has been found that the
23 techniques based on shift keying requires at least 5-10 dB signal to noise ratio (SNR) to
24 function properly while the chirp linear frequency modulation technique can operate reliably
25 with $SNR = 0$ dB or event lower SNR. The results also suggest that the multi-modal
26 propagation in the pipe has significant effects on the package error rate. The frequency
27 dependent sound attenuation in the pipe also affects the communication range and data rate. In
28 particular, for a 150 mm diameter dry pipe the maximum robot operation distance is likely to
29 be limited to 50-100 m with the highest carrier frequency of around 10 kHz and data rates
30 below 6300 bps. The results of this work pave the way to the development of acoustic
31 communication modules to be deployed on tethers robots designed to inspect a buried pipe
32 network autonomously and collaboratively.

34 **1. Introduction**

35 There are millions of kilometres of buried wastewater collection pipes around the globe. A
36 considerable proportion of this system is ageing and failing resulting in blockages, pollution
37 spills and flooding in urban areas. Real time condition data to prevent these failures proactively
38 are rare and repair and rehabilitation of these assets are generally reactive via disruptive
39 excavation. In order to address this problem, the British government invested heavily to
40 develop the science of miniature, cooperating swarms of autonomous robots for the inspection
41 of buried pipes [1]. The focus of the Pipebots team is on new science which is emerging from
42 the latest advances in robotics, sensing, control, additive manufacturing and artificial
43 intelligence (AI). Findings from the Pipebots project suggests that that the success of
44 autonomous robotic sensing in a very large pipe network depends on the ability of these robots
45 to communicate information on the position and local conditions wirelessly [2]. Robust
46 communication in a robot swarm is essential to achieve good performance for a robotic swarm
47 inspecting autonomously a large, buried pipe network.

48

49 It is common to use radio waves (FR) to communicate messages above ground. However, this
50 is problematic in buried sewer pipes because the RF attenuation is too high. In a pressurized
51 water pipe, optical waves have desirable advantage in terms of high data rate reaching Gbps.
52 However, it only works as a line-of-sight communication and in the absence of scatterers such
53 as fog, spray and dust. In this respect acoustic waves are attractive to use for communication,
54 because they propagate relatively long distances with little attenuation [3]. In addition,
55 relatively low cost, simple and robust acoustic sensors are more suitable to work in the harsh
56 environment such as sewer pipes to deliver messages between robots and for being used for
57 detecting a critical change.

58

59 To the best of our knowledge there have been very few studies into the in-pipe acoustic
60 communication. In 1997, Li et al [4] used simulation-based approach to develop an underwater
61 ultrasonic acoustic communication system for water tanks and pipes to study the effects of
62 multipath propagation. This work is relevant as it reflects the challenges of sending messages
63 acoustically in the pipe, but it is restricted to ultrasound. The authors pointed out that the effect
64 of multi-modal propagation in a pipe will be much stronger than multi-path propagation in a
65 tank which requires efficient equalisation techniques and choosing modulation method wisely
66 to overcome those effects. However, his work only presents the existing challenges and there

67 was no demonstration of a successful in-pipe acoustic communication. The details of
68 communication such as encoding and MODEM (Modulation & Demodulation) were also not
69 mentioned in this paper. In 2000, Kokossalakis [5] has discussed the basic process of deploying
70 acoustic wireless sensor networks to transmit data in multi-shape, air-filled pipe which
71 illustrates the physical acoustics of a duct and method of encoding and decoding, MODEM and
72 equalisation. His work elaborated on most of the details that need to be considered for in-pipe
73 acoustic communication, and the simulation results were successfully verified by experiments.
74 Unfortunately, from a realistic perspective, the reliability of the communication system yes
75 needs to be tested and challenged via different parameters such as noise resistance,
76 communication range and data rate, which were exactly what this work lacks. Jing et al [6]
77 used analytical and experimental method to determine a 1-50 kHz wideband acoustic channel
78 characterisation of straight gas and oil pipe. Their work reflects the importance of predicting
79 and measuring the frequency response function (FRF) of the pipe for acoustic communication.
80 In their another study in 2020 they developed an encoding method of Orthogonal Frequency
81 Division Multiplexing (OFDM) for low and high SNR situations in a water filled pipe [7]. In
82 2021 Yu et al [8] utilised analytical, numerical, COMSOL and FEM methods for channel
83 characterisation of partially water filled pipe. The works by Jing [6] and Yu [8] papers suggest
84 that the channel properties of a realistic pipe with different conditions could be predicted by
85 non-experimental approaches which are accurate to support the further simulation-based study
86 of in-pipe acoustic communication and in this case, the difficulty of getting access to the pipe
87 will be minimised accordingly.

88

89 There is still a lack of data on the effects of multi-modal sound propagation in a pipe,
90 background noise and attenuation on the package error rate observed when using popular
91 acoustic communication technologies. This information is essential to design communication
92 solutions that can be adopted on a moving robot working autonomously in a pipe. This paper
93 attempt to address the existing gaps in knowledge by studying the performance of four acoustic
94 communication techniques in a dry drainage pipe and influence of the SNR and cut-off
95 frequencies on the package error rate. The novelty of this work is in a systematic study how
96 classic acoustic communication techniques perform in a multi-modal pipe environment in the
97 presence of noise and attenuation. This work is based on a validated analytical approach to
98 predict the FRF of the channel which is then experimentally tested. It demonstrates the
99 importance of the deconvolution to equalise the effects of multi-mode propagation and noise.

100 The four communication techniques studied here are based on amplitude, phase and frequency
101 shift keying, and chirp linear frequency modulation [9]. The performance of each of these four
102 communication techniques is evaluated in terms of its package error rate, noise resistance,
103 communication range and maximum data speed. Factors which affect the data speed for these
104 four communication techniques are analysed and discussed.

105

106 The paper is organised in the following manner. Section 2 presents the theory for sound
107 propagation in air-filled pipes which is used for channel characterisation through simulation.
108 Section 3 uses binary amplitude shift keying modulation (2ASK) as an example to demonstrate
109 the procedures of communication in the MATLAB-based simulation. Then section 4 describes
110 the fundamental theories of three binary modulation techniques and chirp linear frequency
111 modulation (CLFM). Finally, the results of simulations and experimental validation are
112 discussed in section 5. In addition, this section also suggested the communication range and
113 maximum data rate for each technique that can be affected by sound attenuation in the pipe.

114

115 **2. Channel Characterisation**

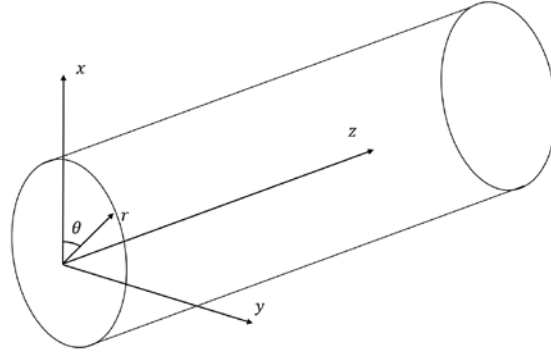
116 **2.1 Theory**

117 In any type of communication technique which relies on acoustic wave propagation, e.g.
118 underwater, channel characterisation is essential because it expresses the physical environment
119 for waves to transmit the signal. For in-pipe communication, the channel is the response of the
120 pipe to an acoustic stimulus. The structure of a real pipe can be complicated by junctions, lateral
121 connections, changing water level, varying cross-section and occasional blockages. These
122 properties of the channel can change over time and sound propagation through it needs to be
123 predicted with a numerical model, e.g. [8]. Such communication channel is usually called
124 ‘time-varying channel’.

125

126 It makes sense to start with a simpler problem, i.e., with a dry, clean, uniform and infinitely
127 long pipe. It also makes sense to assume that the pipe is filled with air and that its walls are
128 rigid. In this case, the channel is parametrically stabilised. The parametrically stabilised
129 channel is a linear network which means if the transmitting properties are determined, the
130 influence of the channel can be obtained by applying the linear analysis approach. Generally,
131 transmitting properties are expressed by ‘amplitude-frequency relations’ and ‘phase-frequency

132 relations'. However, in a real pipe it is very hard to achieve the ideal condition for both
 133 properties and directly transmitting message in such channel would possibly to be under risk
 134 of being distorted. Therefore, obtaining and understanding the acoustic channel properties in
 135 advanced and applying linear equalisation techniques is essential for the success of in-pipe
 136 acoustic communication.



137

138 Figure 1. The geometry of the problem of sound propagation in a pipe.

139 Figure 1 illustrates the cylindrical coordinates system that describes the geometry
 140 corresponding to a typical pipe. In these coordinates the frequency-dependent sound pressure
 141 can be expressed as the function of the coordinates r , θ and z . The frequency response function
 142 (FRF) in these coordinates can be written as:

$$\frac{p(\omega)}{Q(\omega)} = \frac{\omega \rho_0}{\pi R^2} \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} \frac{J_m(k_{mn} r_s) \cos m\theta [J_m(k_{mn} r) e^{j|z|\gamma_{mn}}]}{(\delta_{m0} + 1) \gamma_{mn} J_m^2(k_{mn} R) \left[1 - \left(\frac{m}{k_{mn} R} \right)^2 \right]} \quad (1)$$

143 The total process of deriving the above FRF can be found in our previous work [8]. Eq. (1)
 144 demonstrates the FRF as the relation between the sound pressure p at (r, θ, z) and the input
 145 point source at $(r_s, 0, 0)$ with volume velocity Q . m and n are mode indices, $j = \sqrt{-1}$,
 146 $J_m(k_{mn} r)$ is the m^{th} order Bessel function and A_{mn} is the amplitude of the modes for sound
 147 pressure that depend on the source position only. k_{mn} is modal wavenumber, $\gamma_{mn} =$
 148 $\sqrt{k^2 - k_{mn}^2}$ is the wavenumber in z direction and k is wavenumber in free space. The \cos
 149 represents the radial lines of zero pressure which is so called nodal surfaces that occur at
 150 angular intervals of π/m and circumferential particle velocity component is maximum at these
 151 surfaces. In addition, R is radius of the pipe, ρ_0 is density of air and δ_{m0} is Dirac function.

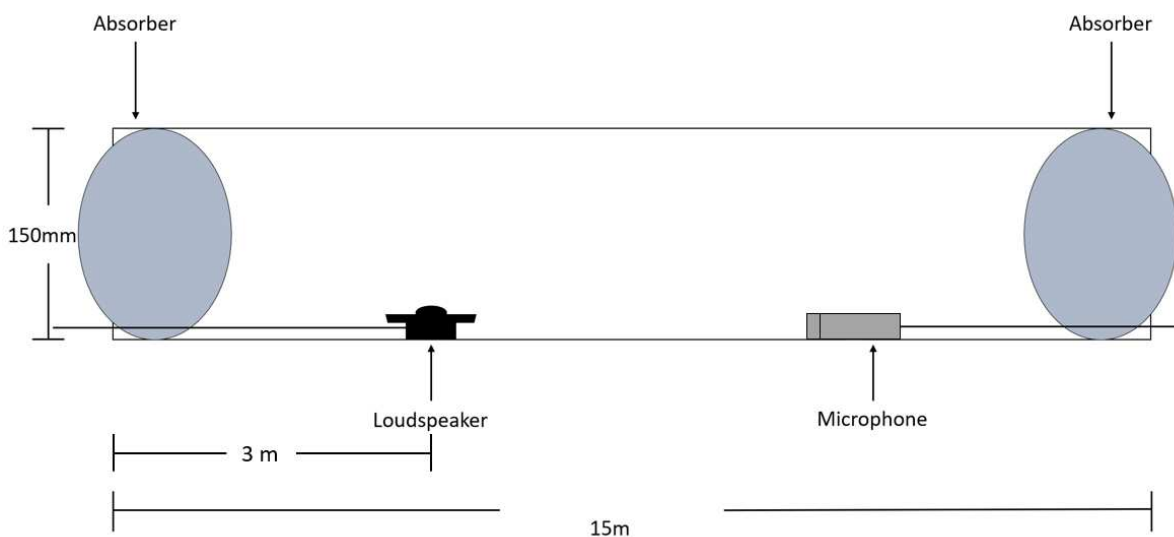
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153 The equation above predicts the acoustic FRF of the pipe due to a monopole source. It is clear
 154 that phase, amplitude and sound velocity in these modes are frequency-dependent and sound
 155 propagation in the pipe is multi-modal, i.e. there is a plurality of paths in which sound emitted
 156 by the source can reach the receiver. When the frequency of sound passes the so-called cut-off
 157 frequency, i.e. $k_{mn} = k$, the phase velocity for the sound waves in the mode (m, n) is infinite
 158 and considerable stretching of a sound waveform can occur. Such effect can have a strong
 159 influence on the channel communication quality.

160

161 2.2 Experimental validation

162 In order to validate the theory used to predict sound propagation in a pipe an experiment was
 163 carried out in a 150mm diameter, 15m long, rigid wall PVC pipe. This setup is shown
 164 schematically in Figure 2.



165

166

Figure 2. Experiment setup for channel measurement.

167

168 On both sides of the pipe, two foam absorbers were inserted to control the reflections caused
 169 by the open ends. Then, a 32 mm diameter loudspeaker was placed on the bottom of the pipe
 170 3 m away from the left end of the pipe and faced up to ensure both anti-axisymmetric and
 171 axisymmetric modes can be successfully excited. The information of these modes can be found
 172 from our previous work in [8]. In addition, a 12 mm diameter microphone (Type 46AE GRAS)
 173 was placed in line with the loudspeaker to capture the signal. In measurements, the source was
 174 fixed at 3 m away from the left side pipe end and the distance between loudspeaker and
 175 microphone varied in the range between 20 mm and 7 m with step spacing of 20 mm. The data

176 was collected by the National Instrument DAQ NI PXIE-6358 data acquisition card and whole
177 process was controlled by a LabVIEW based subroutine with sampling frequency of 48 kHz.
178 The dispersion relation was obtained by measuring the impulse response of the channel. In this
179 experiment, a 10 s long sinusoid-sweep with the frequency in the range between 100 Hz and
180 5000 Hz was excited and deconvolved with recorded signal to determine the impulse response.
181 This approach enabled us to achieve a relatively good signal-noise ratio of around 40 dB but
182 and was used subsequently for the synchronisation in the communication system (see section
183 3, Communication Procedures).

184

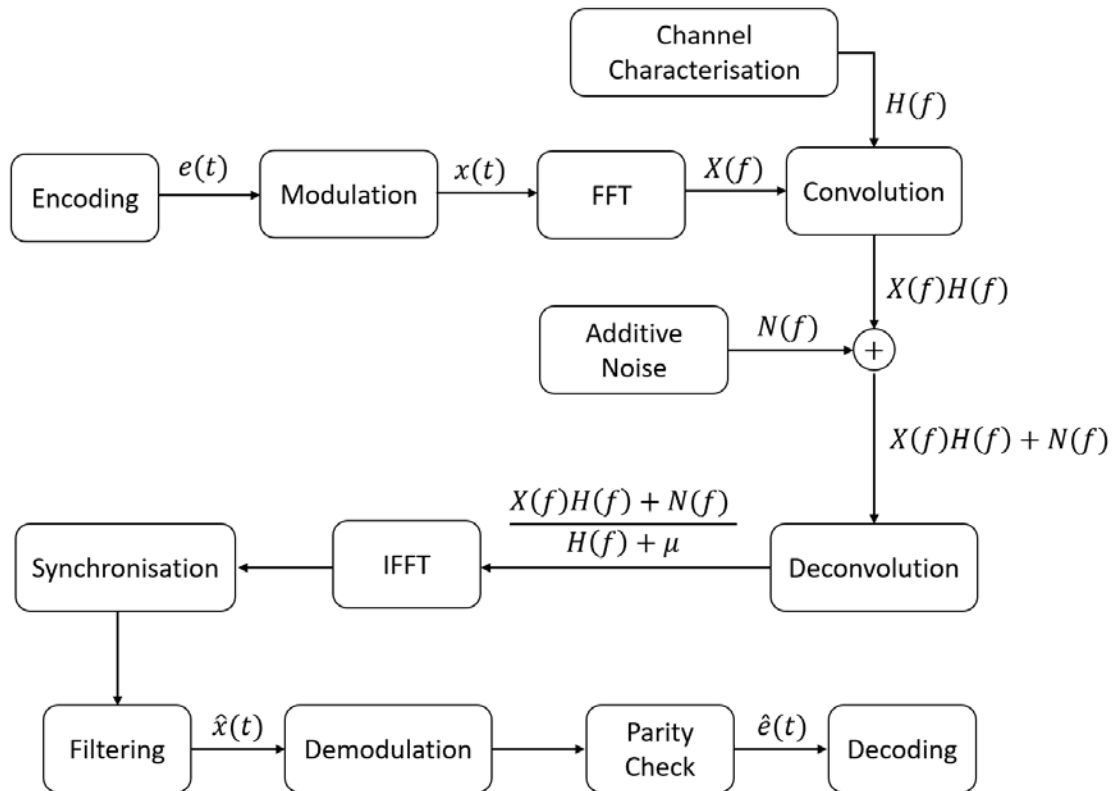
185 This experiment has validated in our work [8] that using analytical approach could obtaine the
186 FRF of the pipe which has very close agreement with experimentally measured FRF, expecially
187 for the first 6 modes. Therefore it makes sense to use analytical method to study channel
188 properties for in-pipe acoustic communicaiton from the prospective of reduce the time
189 consumption.

190

191

192 **3. Communication Procedures**

193 Messages which are communicated acoustically are usually digitized and coded using a range
194 of modulation techniques. In this paper three binary modulation techniques were used: 2ASK,
195 2PSK and 2FSK (Amplitude/Phase/Frequency Shift Keying) [11]. These stand for the
196 amplitude, phase and frequency modulation, respectively. Also, a widely used underwater
197 communication technique, Chirp Linear Frequency Modulation (C-LFM) [9] was adopted and
198 modified to suit the in-pipe environment. These four techniques are based on several common
199 procedures illustrated in Figure 3. The performance of these four modulation techniques for
200 communicating messages in a pipe was studied through the channel characterisation. The
201 channel characterisation was carried out via a MATLAB-based simulation and experiment. In
202 this section, only the procedures of numerical study will be specified, and the simulation results
203 will be compared and validated by experimental approach in section 5. Examples of the signals
204 obtained with the model and from the experiment can be found as supplementary data via the
205 link provided in the Appendix.



206

207

Figure 3 In-pipe acoustic communication simulations procedures

208

In this simulation, the signal was encoded as 19 binaries which is consisted of 15 random
 209 message codes and the 4 ‘ones’ parity checking codes – two at the start and two at the end of
 210 the message. The mapping of the message is shown in Table I. In this study the 15 binaries
 211 were constantly set as 11101010100011 which is binary form of a random number 25431. It is
 212 usual to modulate one of the parameters of the sinusoidal carrier wave (e.g. frequency, phase
 213 or amplitude) with these encoded binaries.

1	1	15 random binaries	1	1
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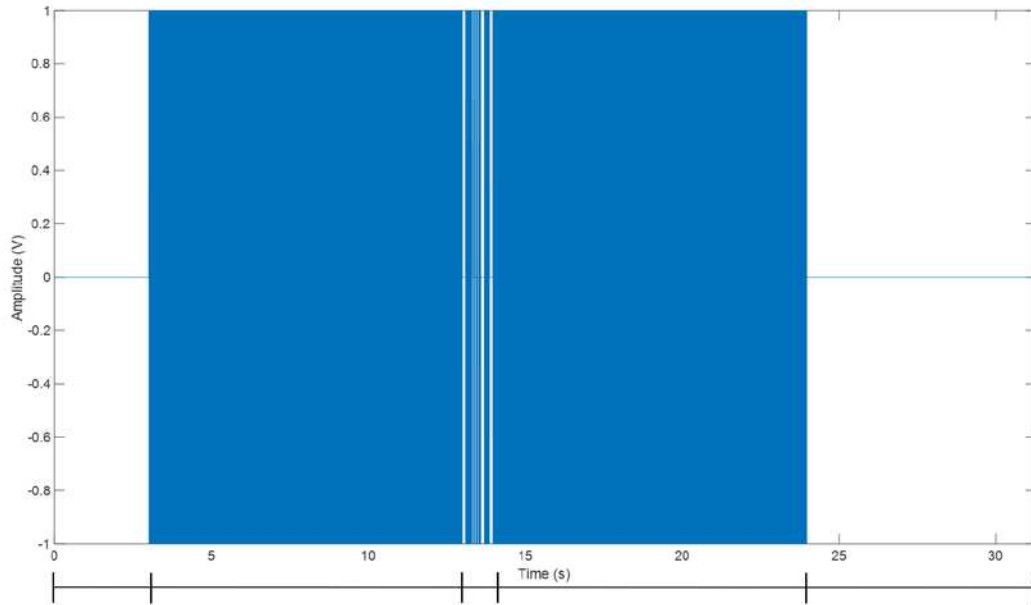
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Table 1 19 binary message pack

215

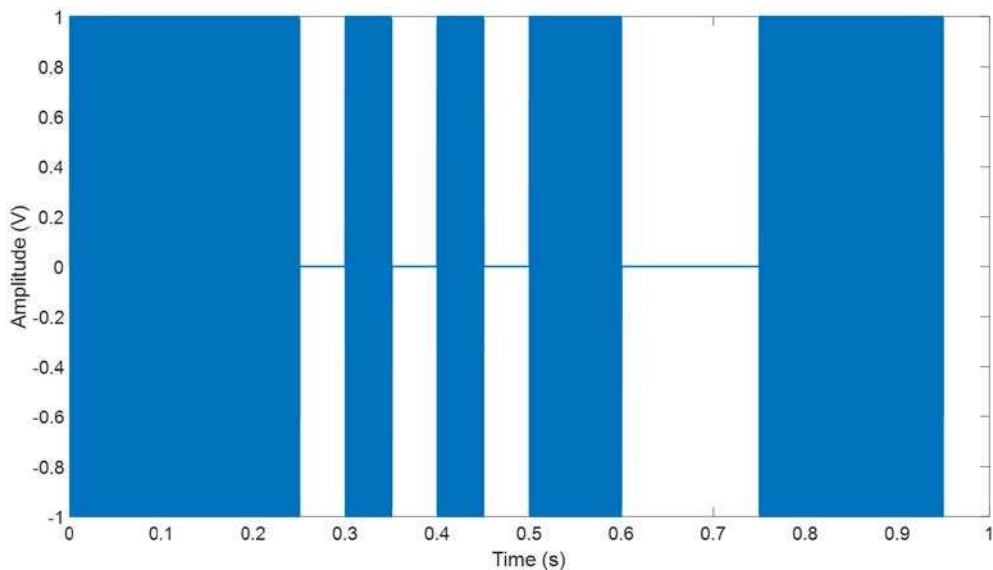
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Figure 4 (a) illustrates an example of the signal to broadcast a message using the binary
 217 Amplitude Shift Keying (2ASK) modulation technique.



218

3s Silence 10s Pre-amble Message Pack 10s Post-amble Silence Remaining



219

220 Figure 4. (a) 2ASK signal excitation in time domain (Top). (b) Message package of the signal illustrated
 221 in top diagram (Bottom)

222

223 This 2ASK signal starts with a 10 s long sinusoid chirp pre-amble with frequency range of 100
 224 Hz - 6000 Hz which signifies the beginning of the communication, after that a 0.1 s interval
 225 broadcast and message pack (shown in Figure 4 (b)) is right on the back of the chirp. At the
 226 end of the message pack a 0.1 s interval is added followed by an identical chirp that works as
 227 the post-amble to denote the end of communication. The pre-amble and post-amble can also be
 228 used to measure the channel frequency and impulse responses.

229

230 The frequency and impulse responses of the pipe measured by the pre- and post-amble is the
 231 key to study the influence of channel properties on the modulation signal. In the analysis of
 232 linear time-invariant systems the convolution is the most commonly used method to understand
 233 the influence of the channel response on the signal. In Figure 3 the encoded signal $e(t)$ will be
 234 modulated as $x(t)$ and the process of passing through the channel can be treated as it was
 235 convolved with the channel impulse response, $h(t)$. This process is written in the time domain
 236 as:

$$g(t) = \int_{-\infty}^{+\infty} x(\tau)h(t - \tau)d\tau \quad (3)$$

237 In the frequency domain Eq. (3) becomes:

$$G(f) = X(f)H(f) \quad (4)$$

238 where the capital letters denote the spectra. Usually, background, signal processing and
 239 electronic noise $N(f)$ is added to $G(f)$ to simulate the typical channel conditions which are
 240 rarely noise free. In this work the noise was only added around the carrier frequency for the
 241 2AKS, 2PSK and 2FSK techniques which operate in a narrow band. In the case of the C-LFM
 242 technique broadband noise covering the whole frequency range of the chirps was added. The
 243 details of modulation will be introduced in the section ‘Modulation & Demodulation’. The
 244 bandwidth of the noise was determined by $\frac{f_b}{K}$, where f_b is bandwidth of modulation signal and
 245 K is the number of binaries in message pack. According to the definition of Signal to Noise
 246 Ratio, $SNR = 20 \log_{10} \frac{|S(f)|}{|N(f)|}$, where the ratio $\frac{|S(f)|}{|N(f)|}$ is the ratio of signal to noise spectral
 247 amplitudes as a function of the frequency f . The noise $N(f)$ can be generated as a random
 248 sequence with the spectral amplitude of:

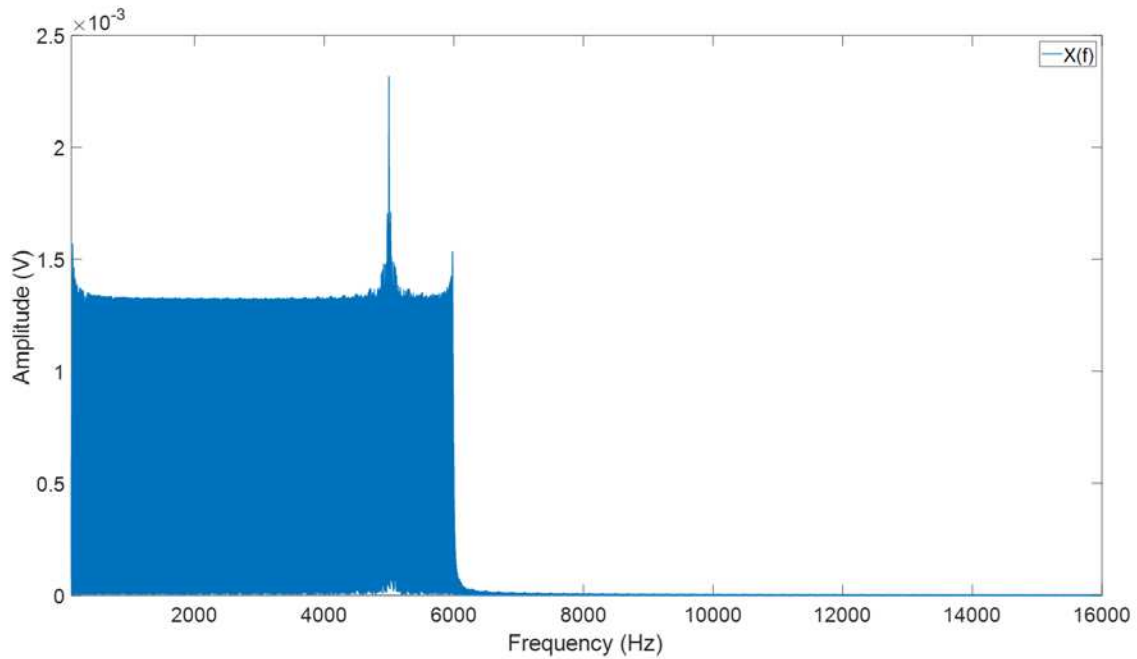
$$N(f) = \frac{1}{A_{RMS} e^{j\phi(f)} 10^{\frac{SNR}{20}}} \quad (5)$$

249 where A_{RMS} is the root-mean square value of the signal spectrum and $\phi(f)$ is random,
 250 frequency dependent phase uniformly distributed between $[-\pi, \pi]$.

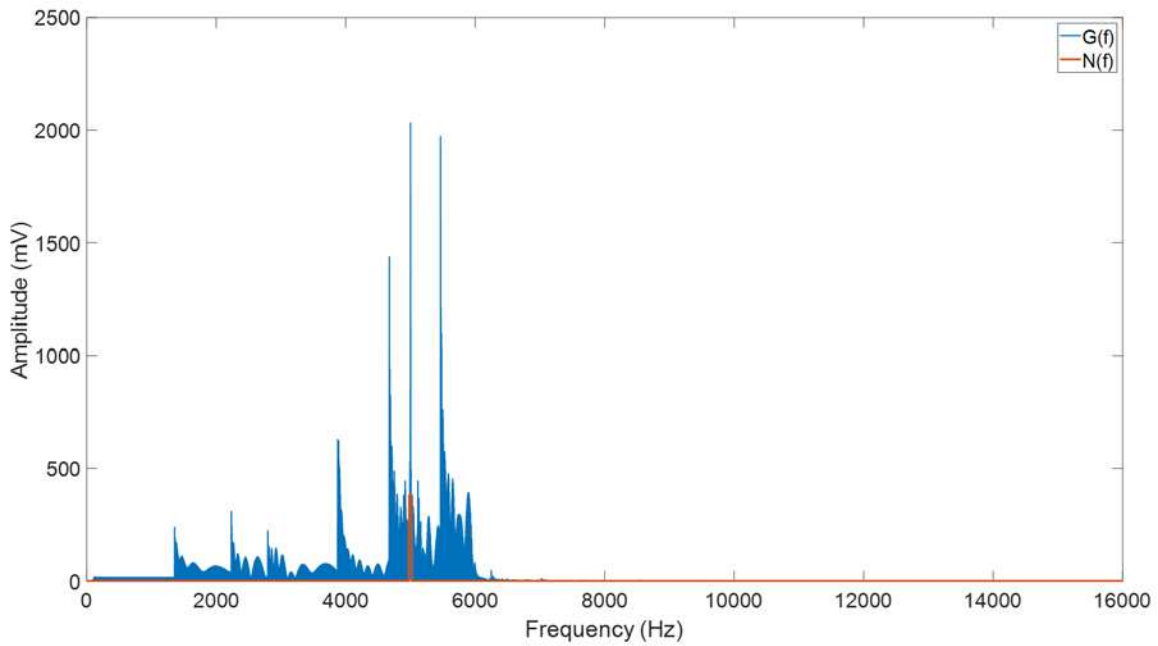
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252 In order to let the reader have a clearer view on the process of simulation and importance of
 253 deconvolution, Figure 5 (a) shows an example of the spectrum of the 20 Hz bandwidth, 5000
 254 Hz carrier frequency 2ASK signal with a 100 Hz - 6000 Hz pre- and post-amble. Figure 5 (b)

255 is the signal propagated through the pipe and predicted 4 m away from the source. Figure 5
256 (b) also presents the noise spectrum (red line) added to the signal convolution spectrum to study
257 the performance of the communication technique in the presence of background noise. The
258 thick red line denotes the noise level at 0 dB with respect to the root mean square amplitude of
259 the communicated signal. In this example, the bandwidth of the noise covers 80% energy
260 distribution of the modulated signal.



261



262

263 Figure 5. (a) The spectrum of emitted 2ASK signal with 20 Hz bandwidth and 5000 Hz carrier frequency;
264 (b) the spectrum of the communicated 2ASK signal predicted in the pipe 4 m away from the source
265 with additive noise.

266

267 Then the deconvolution algorithm was applied to the sequence $\hat{G}(f) + N(f)$ to linearly
268 equalise the undesired effects in the channel and its results can be written as:

$$Y(f) = \frac{X(f)H(f) + N(f)}{H(f) + \mu} \quad (6)$$

269 where μ is the regulation factor to avoid computational instabilities when the spectral
270 amplitude of $H(f)$ is relatively small. Finally, the output is required to be transformed back to
271 time domain via IFFT, $y(t) = \mathcal{F}^{-1}\{Y(f)\}$, and be ready for the synchronisation.

272

273 Deconvolution plays a key role in the in-pipe acoustic communication. The sound wave
274 propagation in a pipe is dispersive when its frequency is higher than 1st cut-off frequency. This
275 phenomenon can cause the signals to stretch and overlap between symbols causing inter-
276 symbol interference (ISI) to occur increasing the difficulty with decoding. At long range
277 communication, the effect of multi-mode propagation will be even more significant. However,
278 the influence of these issues along with additive noise can be linearly equalised by
279 deconvolution. Figure 6 illustrates the 2ASK message pack signal in time domain measured
280 from the experiment before and after deconvolution to show their difference. After
281 deconvolution, the fluctuating of the amplitude of the signal caused by the dispersion has been
282 clearly reduced and quality of the transmitted bits has been significantly improved.

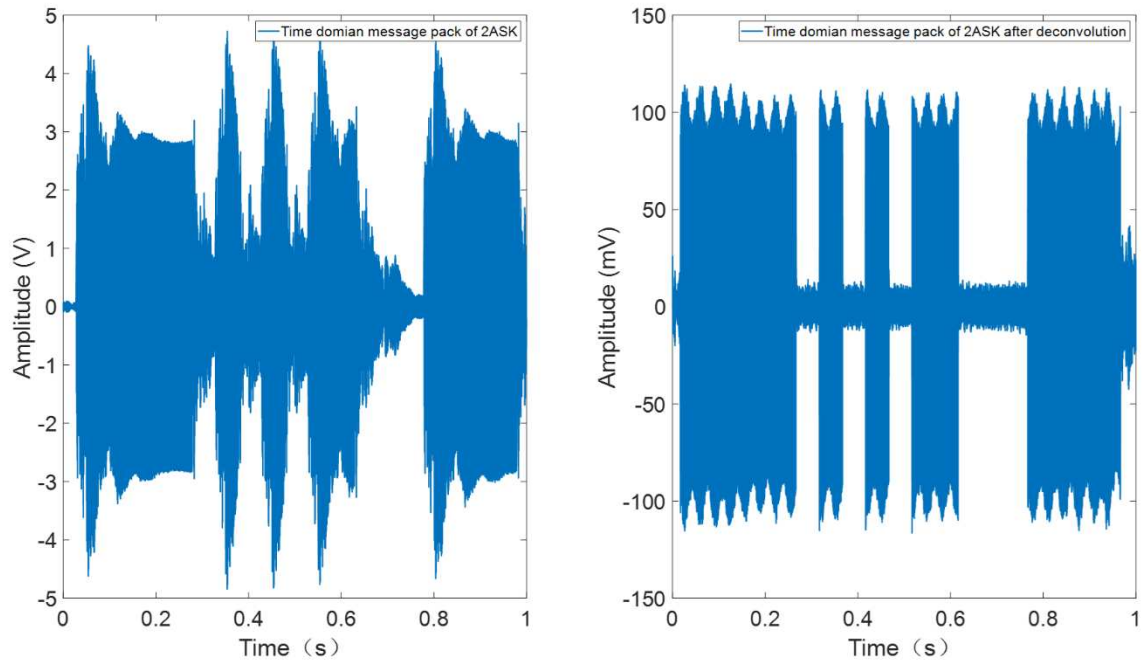


Figure 6. Message pack of 2ASK communication before and after deconvolution

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284
285

286 Symbol synchronisation is another key factor that makes the demodulation successful. In this
287 communication system, another useful aspect for the pre- and post-amble is that it can be cross
288 correlated with the same chirp signal stored on board to give two sharp peaks which precisely
289 illustrates the start and end points of the message pack. Figure 7 shows an example of signal
290 synchronisation for the 2ASK communication. In Figure 7 the first and second peak above the
291 threshold red line show the exact starting and ending points of the pre-amble and message pack
292 around 3 seconds and 14.05 seconds, respectively. After cutting off the pre-amble and post-
293amble, the message pack can be extracted, passed though the filter to remove unwanted
294 frequency components generated by the signal processing algorithm and noise in the channel.
295 According to the adopted modulation technique, the corresponded demodulation method is
296 then applied to decode the message. A parity check is commonly used to make sure that the
297 binary '1's and '0's are not mis-recognised. The final step is to transfer the binaries back to its
298 original form via the so called 'decoding' algorithm (see Figure 3).

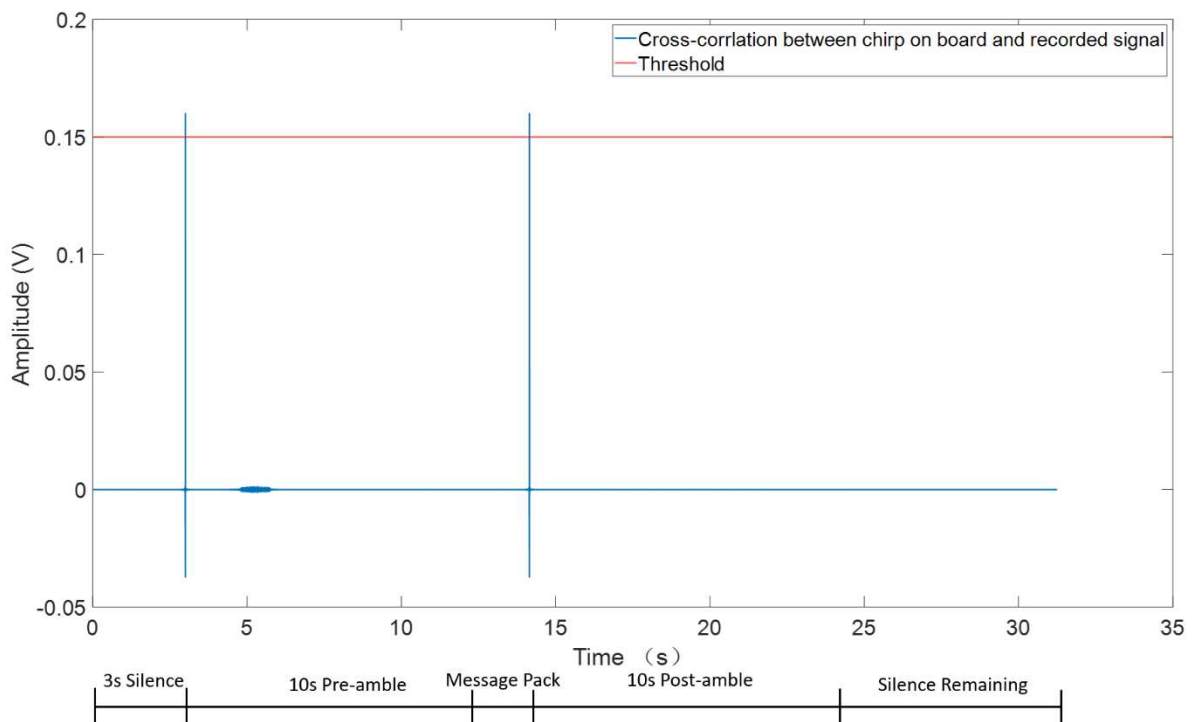


Figure 7. Synchronisation by cross-correlation

299
300
301

4. Modulation & Demodulation (MODEM)

In previous sections, the channel characterisation and common procedures of communications have been introduced. In this section, the four modulation/demodulation technologies will be discussed. This section presents the mathematical expressions and decision making after demodulation.

307

4.1 Binary Amplitude/Frequency/Phase Shift Keying

These 3 modulation techniques make use of the change in one of the parameters of the sinusoidal carrier wave (i.e., amplitude, frequency or phase) to transmit the digital information, while the rest of two parameters are kept constant. The mathematical expressions of these modulation techniques along with their demodulation techniques have been listed in Table 2.

313

Name	Signal Modulation	Carrier	Signal Demodulation
2ASK	$e_{2ASK}(t) = \sum_n a_n g(t - nT_B) \cos \omega_c t$ $a_n = \begin{cases} 1 & \text{probability of } P \\ 0 & \text{probability of } 1 - P \end{cases}$	$A \cos \omega_c t$	Coherent

	$g(t) = \begin{cases} 1, & (n-1)T_B \leq t \leq nT_B \\ 0, & t > 0 \mid T > T_B \end{cases}$		
2FSK	$e_{2FSK}(t) = s_1(t) \cos(\omega_1 t + \phi_n) + s_2(t) \cos(\omega_2 t + \theta_n)$ $s_1(t) = \sum_n a_n g(t - nT_B) \cos \omega_{c1} t$ $s_2(t) = \sum_n a_n g(t - nT_B) \cos \omega_{c2} t$ $a_n = \begin{cases} 1 & \text{probability of } P \\ 0 & \text{probability of } 1 - P \end{cases}$ $g(t) = \begin{cases} 1, & (n-1)T_B \leq t \leq nT_B \\ 0, & t > 0 \mid T > T_B \end{cases}$	$A \cos \omega_{c1} t$ & $A \cos \omega_{c2} t$	Coherent
2PSK	$e_{2PSK}(t) = \sum_n a_n g(t - nT_B) \cos \omega_c t$ $a_n = \begin{cases} 1 & \text{probability of } P \\ -1 & \text{probability of } 1 - P \end{cases}$	$A \cos \omega_c t$ & $A \cos \omega_c t$ $+ \pi$	Hilbert Transform

Table 2. Mathematical expressions for 2ASK, 2PSK and 2FSK Modem

314

315

316 A typical signal sent through any of the three techniques presented in Table 1 consists of three
 317 parts: (i) a_n that is a binary variable switching between two values depending on the position n
 318 in the coded sequence; (ii) $g(t - nT_B)$ is the step function which provides the symbol location
 319 in coded sequence with the symbol period, T_B , controlled by the modulation frequency, f_M
 320 (bandwidth), $T_B = 1/f_M$; and (iii) carrier frequency, $\omega_c = 2\pi f_c$. A 2FSK signal can be treated
 321 as composition of two sub-2ASK signals therefore it has two carrier frequencies ω_{c1} and ω_{c2}
 322 . In a 2ASK signal the variable a_n takes either the value of 0 or 1 to modulate by amplitude. In
 323 a 2PSK signal this variable takes the value of 1 or -1, i.e. modulates to modulate by phase ($\pm\pi$).
 324 All of these three modulation techniques can be achieved by using on-off keying (OOK)
 325 processed on circuits:

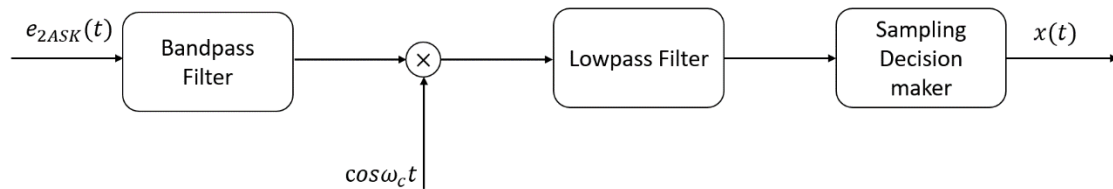
$$e_{ook}(t) \tag{7}$$

$$= \begin{cases} \text{Sending '1'} & \text{Sending "1"s by probability of } P \text{ (circuits switch on)} \\ \text{Sending '0'} & \text{Sending "0"s by probability of } 1 - P \text{ (circuits switch off)} \end{cases}$$

326

327 The most commonly used demodulation approach for binary digital communication is coherent
 328 demodulation. The process of coherent demodulation used for 2ASK is illustrated in Figure 8.

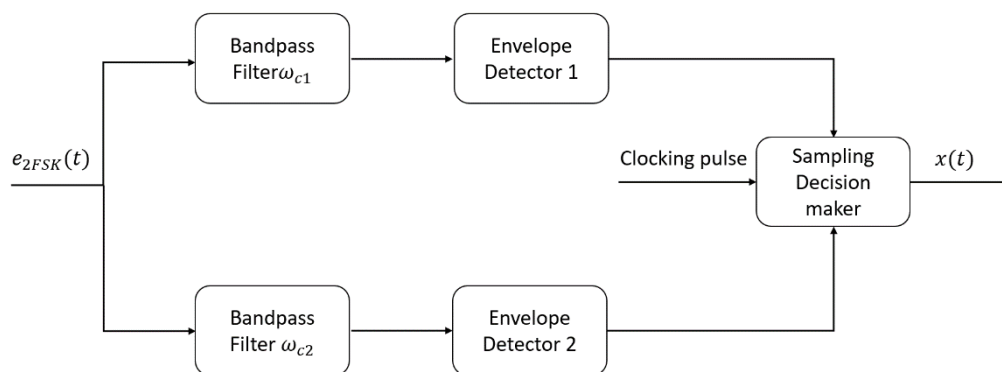
329 This algorithm is to multiply the message pack by its local carrier wave, adding the threshold
 330 (normally 50% or so of the peak value for avoiding the threshold effect).
 331



332
 333 Figure 8. A diagram explaining the process of 2ASK coherent demodulation
 334

335 However, the coherent demodulation for 2FSK has a difference with that of 2ASK, which has
 336 been illustrated in Figure 9. Because a 2FSK signal is consisted by 2 sub-2ASK signal,
 337 therefore after synchronisation, the signal $e_{2FSK}(t)$ will be divided in two sub-signals by
 338 passing through a bandpass filter. Then their envelopes will be picked up by the envelope
 339 detectors and in this simulation this step was doing Hilbert Transform. The clocking pulse will
 340 help sampling decision maker to judge whether the symbol is '1' or '0'. Finally, the results
 341 will be record as the output signal. Another advantage is that either of sub-signal can be
 342 checked by another one.

343



344
 345 Figure 9. A diagram explaining the process of 2FSK coherent demodulation
 346

347 In most general cases, coherent demodulation is used for demodulating 2PSK signal. However,
 348 in this paper, 2PSK signal will be demodulated in a different way, using angle demodulation:

349

350 Recall the general expression of 2PSK signal:

$$e_{2PSK}(t) = A \cos(\omega_c t + \varphi_n) \quad (8)$$

351 and carrier wave with initial phase of zero:

$$e_{carrier}(t) = \cos \omega_c t \quad (9)$$

352 The application of the Hilbert Transform to both (8) and (9) yields two analytic signals:

$$\check{e}_{2PSK} = \mathcal{H}(e_{2PSK}(t)) = A e^{i\omega_c t + i\varphi_n} \quad (10)$$

353 and

$$\check{e}_{carrier} = \mathcal{H}(e_{carrier}(t)) = e^{i\omega_c t} \quad (11)$$

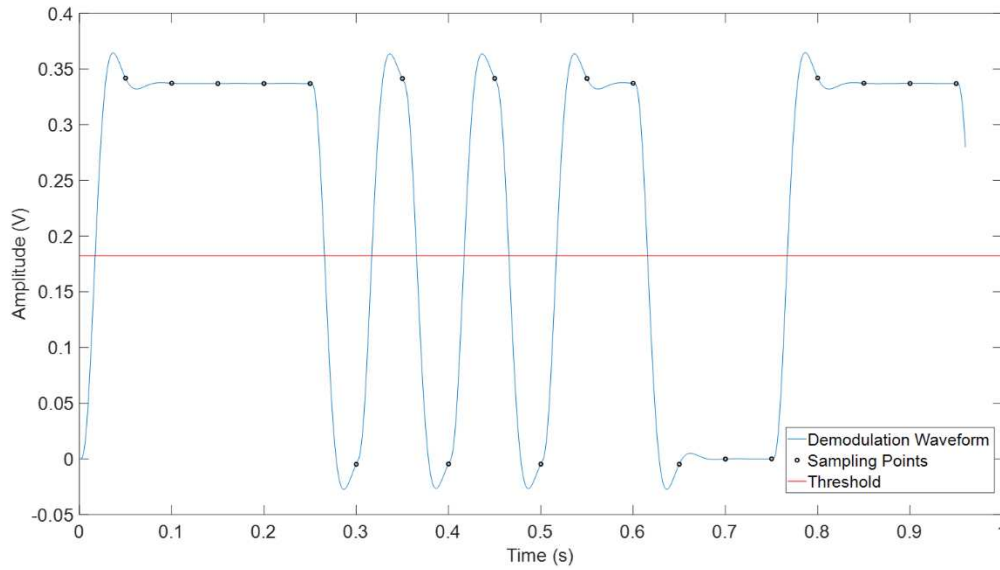
354 Then the phase difference between the symbols can be denoted as:

$$\varphi_n = \arg \left\{ \frac{H(e_{2PSK}(t))}{H(e_{carrier}(t))} \right\} \quad (12)$$

355 The reason of utilising the angle difference to demodulate the signal is because coherent
 356 demodulation highly relies on the adding threshold to the waveform of the demodulated signal.
 357 However, any message sent though the acoustic channel in the pipe will suffer the influence of
 358 multi-mode propagation and background noise. These effects may cause the waveform
 359 distortion which enormously increases the difficulty on decision making in the decoding
 360 process, especially under some extreme conditions such as low SNR and long-range
 361 transmission. These effects will be specifically discussed in next section. The phase of the
 362 signal is relatively stable in comparison to amplitude. Therefore, this feature can be used to
 363 differ between '1' and '0' symbols.

364

365 Figure 10 shows the decision-making procedure based on adding a threshold to the coherent
 366 demodulated signal. This example was selected as a 2ASK signal with the carrier frequency of
 367 5000 Hz and bandwidth of 20 Hz. The blue line is the waveform after demodulation, black
 368 circles indicate the sampling points used for decision making and the red line right in the middle
 369 (near 50% of the peak value) is the threshold. According to the location of the sampling points,
 370 the '1's and '0's can be easily distinguished, and the result of demodulation has identical
 371 agreement with the binary sequences contained in excited signal.



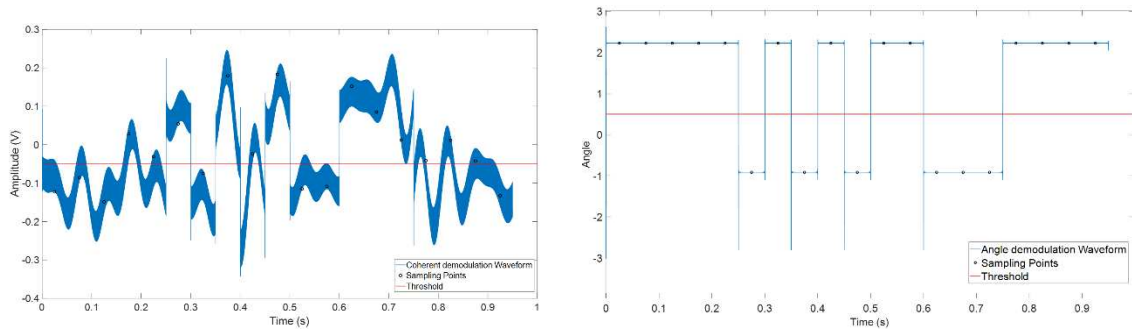
372

373

Figure 10. 2ASK coherent demodulation waveform and decision making.

374

375 In order to show the difference in decision making process between coherent demodulation and
 376 angle demodulation for 2PSK, a special case of decision-making waveform with 3119 Hz
 377 carrier, 20 Hz bandwidth under 0 dB SNR has been illustrated in Figure 11 (a).



378

379 Figure 11. (a) Coherent Demodulation waveform for 2PSK signal (left diagram). (b) Angle
 380 demodulation waveform for 2PSK signal (right diagram).

381

382 It is very clear in Figure 11 (a) that the waveform was distorted after coherent demodulation
 383 and threshold (red line) cannot not be used to make accurate decisions for decoding as a
 384 considerable error rate would result. In contrast, angle demodulation gives a clear form of
 385 angles as shown in Figure 11(b) and threshold line can be used to accurately decode the signal.

386

387 4.2 CLFM

388 Chirp Linear Frequency Modulation utilises the frequency of the sinusoid chirp from high to
 389 low and low to high to form a linearly modulated signal carrying a message. This technique

390 has been widely applied in underwater acoustic communication. [9] have done a considerable
 391 amount of work on this technique and demonstrated that it had a great noise resistance to
 392 operate in a low SNR environment. Because each symbol in the message is modulated by a
 393 sinusoid sweep containing relatively high energy, it is believed that there is also a potential to
 394 cope with the effect of multi-mode propagation to become one of the most reliable solution for
 395 in-pipe acoustic communication.

396

397 **4.2.1 Modulation**

398 Similar to the binary Shift Keying technique, CLFM also can be generated by OOK technique
 399 (on-off keying). However, in order to prevent the mis-synchronisation and ISI (Inter-symbol
 400 Interference) during the demodulation short (e.g. 0.001 s) short intervals are added between
 401 each symbol.

$$e_{CLFM} = s(t) A \sin(2\pi f(t)t + \phi_0) \quad (13)$$

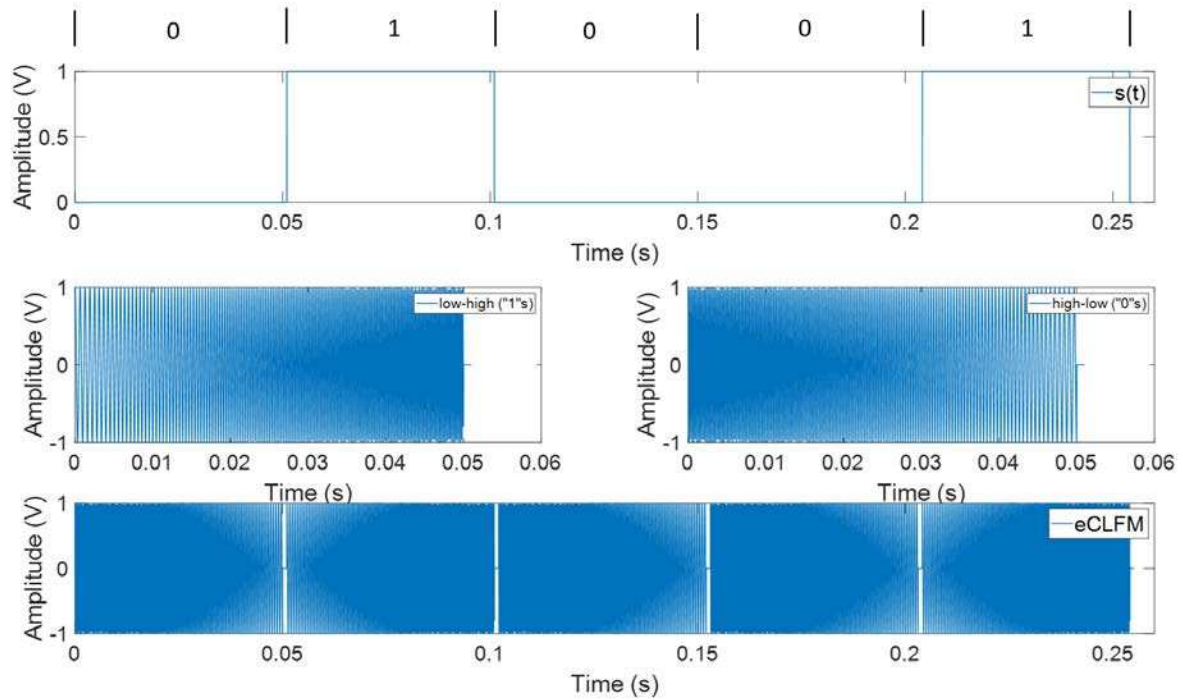
402 where $s(t)$ is given by Table II. The transient frequency in eq. (13) can be expressed as:

$$403 f_{\pm}(t) = f_0 \pm kt \text{ and } k = \frac{f_1 - f_0}{T}, f_1 \text{ and } f_0 \text{ are the start and end frequency for the chirp and } T \text{ is}$$

404 the period of e_{CLFM} . Therefore, the general form of CLFM can be written as:

$$e_{CLFM}(t) = \begin{cases} A \sin(2\pi f_+(t)t + \phi_0) & \text{Sending "1"s} \\ A \sin(2\pi f_-(t)t + \phi_0) & \text{Sending "0"s} \end{cases} \quad (14)$$

405 In addition, the initial phase for the CLFM ϕ_0 can be set to 0. Figure 12 illustrates an example
 406 of the message pack for CLFM generated by OOK. In this example, the frequency range was
 407 chosen from 1500 Hz to 5000 Hz. Two graphs in the middle are single chirp components which
 408 represent '1's and '0's and a 0.01s interval behind and last graph is the final waveform after
 409 the modulation.



410

411 Figure 12. An illustration of key stages in the CLFM modulation process: (Top diagram) Square wave
 412 $s(t)$ to show the binary change of sending “1” s and "0"s. (Two middle diagrams) Sub-chips with
 413 frequency from low to high and high to low. (Bottom diagram) modulated CLFM signal.

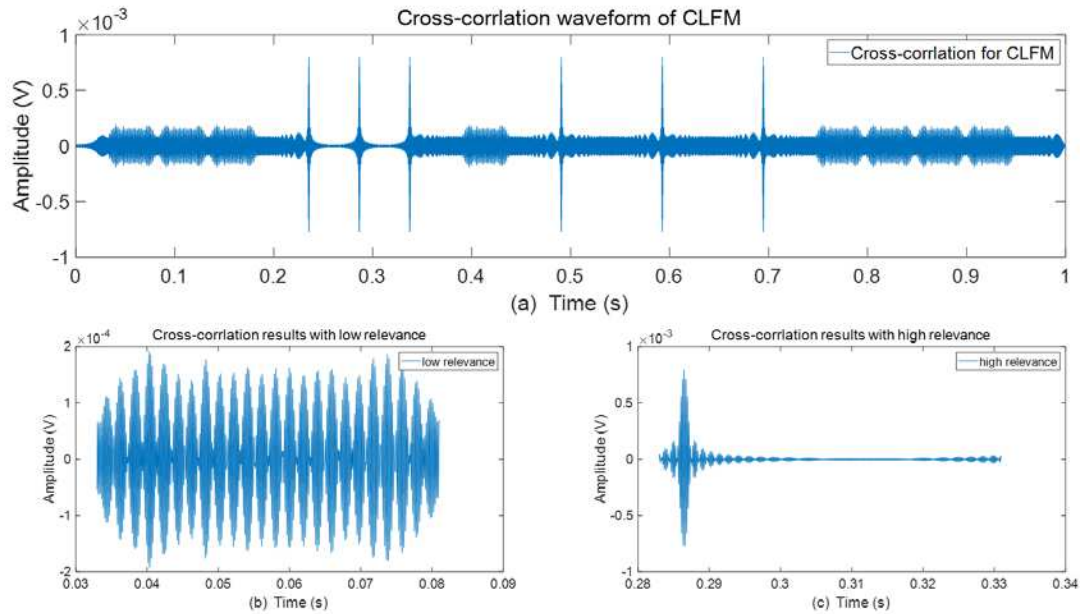
414

415 4.2.2 Demodulation and Decoding

416 Different to the traditional demodulation process, CLFM utilises the cross-correlation approach
 417 to distinguish between ‘1’s and ‘0’s in the message pack. The technique is to store the two
 418 chirp signals on board and then cross-correlate them with the whole message pack signal on
 419 the receiving end. By doing so, the symbol which has high relevance will illustrate a high
 420 correlation with the right chirp whereas the cross-correlation results of the other chirp will be
 421 very low. Figure 13 (a) illustrates CLFM demodulation results under the SNR of 50 dB, symbol
 422 period is 0.05 s and frequency range for the sub-chirps is between 4000 Hz and 5000 Hz.

423

424 Figure 13 (b) and (c) show that the decision making can be based on detecting whether or not
 425 the sharp correlation maximum is within the time window. In addition, the peak value in Figure
 426 13 (c) is around 0.8 mV which is nearly 4 times as much as the averaging value in Figure 13
 427 (c), therefore this modulation technique has very good noise resistance. The noise resistance
 428 can be further improved by widening the frequency range of the sub-chirps and symbol period
 429 even though it will cost the data transmission speed.



430
 431 Figure 13. (a) Cross-correlation results for CLFM. (b) Signal in window with high relevance. (c) Signal
 432 in the window with low relevance.

433

434 5 Simulation and Experimental Validation

435 The last two sections discuss the importance of channel characterisation to support the four
 436 communication techniques to work in pipes. It was noted there may be some issues while
 437 transmitting information in the pipe at a carrier frequency that is higher than first cut-off
 438 frequency of the pipe. At these frequencies the sound wave is no longer be a plane wave as it
 439 propagates in a plurality of way (multi-mode propagation) causing the signal to spread. Such
 440 phenomenon is likely to lead to some inter-symbol interference and increase in the number of
 441 error bits. Another reason for error bits is the wide band additive noise. It is generally believed
 442 that in real partially filled pipes, e.g. sewers and drainage pipes, there is a considerable amount
 443 of noise generated by the road traffic, water flow and nearby industrial installations. The effect
 444 of this noise on the quality of communication cannot be ignored. Finally, it also should be
 445 noticed that the communication range and communication speed are directly rely on the sound
 446 attenuation in the pipe. This section will focus on these challenges and explore how the 4
 447 systems cope with them by simulation and experimental studies.

448

449

450

451

452 **5.1 The effect of SNR**

453 **5.1.1 Through the Simulation**

454 The reliability of each of the four communication techniques under different SNRs was tested
455 through simulation by using the analytical model and measured response of the pipe. This
456 evaluation was based on comparing the probability of package error rate (PER) for the four
457 techniques as a function of the SNR and carrier frequency predicted through the signal
458 processing algorithm described in section 2.

459

460 Firstly, the carrier frequency and modulation frequency were keeping constant, but SNR was
461 varied between -30 dB and 20 dB. The PER was calculated as:

$$PER = \frac{N_{error}}{N_{total}} \quad (15)$$

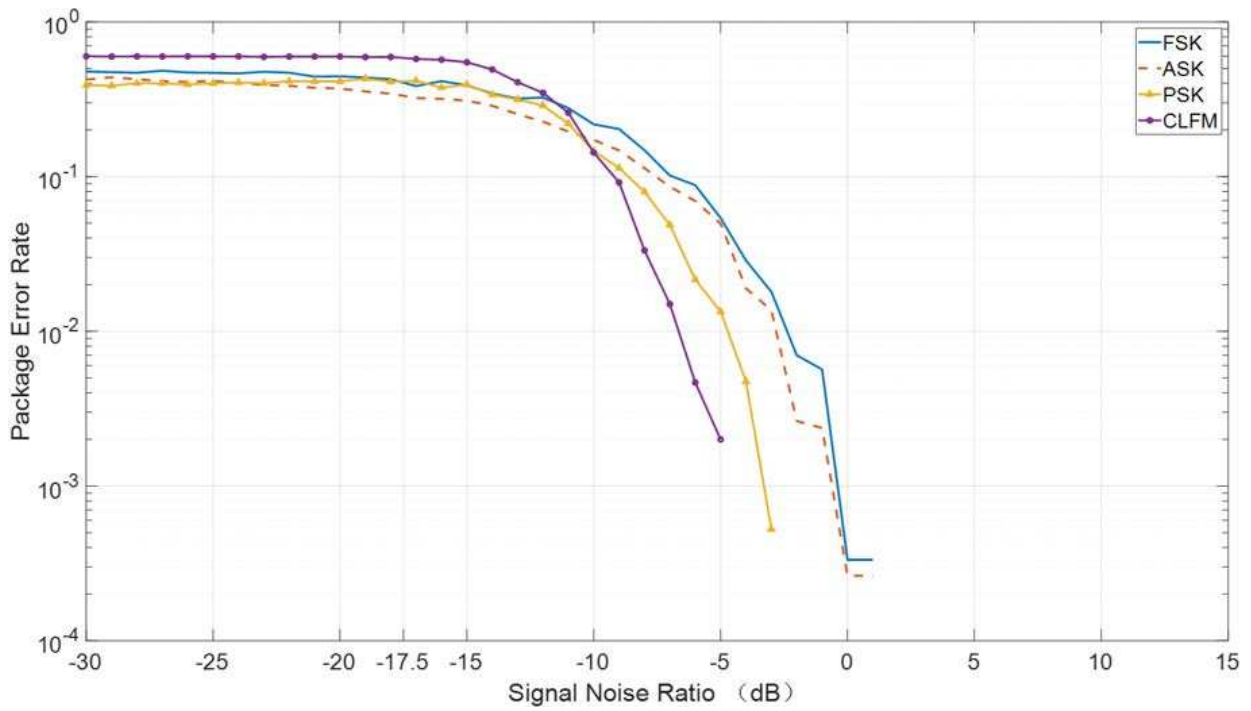
462 where N_{error} is the number of error bits and N_{total} is the total number of bits in the message
463 pack. In this simulation the length of the message pack was set to 19 bit which contained 15
464 random binary information bits and 4 extra parity check bits. For each type of system, the test
465 was repeated 200 times. Each time the information bits were randomly changed, message was
466 decoded, decoded message was compared to that initially sent and PER was calculated
467 according to Eq. (15). The PER for each of the 200 runs were averaged to ensure that the final
468 result makes good statistical sense.

469

470 In this study, the modulation frequency was chosen as 20 Hz, carrier frequency of 5000 Hz for
471 2AKS, 2FSK and 2PSK. The frequency of the modulation chirp for the CLFM was set in range
472 between 4000 Hz and 5000 Hz. The reason for choosing this setup was that 20 Hz narrow band
473 modulation could give relatively good noise resistance which ensured that the system was
474 tested under a relatively low SNRs. This relatively low bit rate is sufficient for autonomous
475 robots to communicate messages related to their location inside the pipe and operational status.
476 The choice of the frequency range around 5000 Hz was based on the balance between the sound
477 attenuation which increases with the frequency and number of carrier frequency period
478 required to modulate a message with the bits transmitted at 20 Hz. The relation among
479 attenuation, communication range and communication speed will be specifically discussed in
480 the next section.

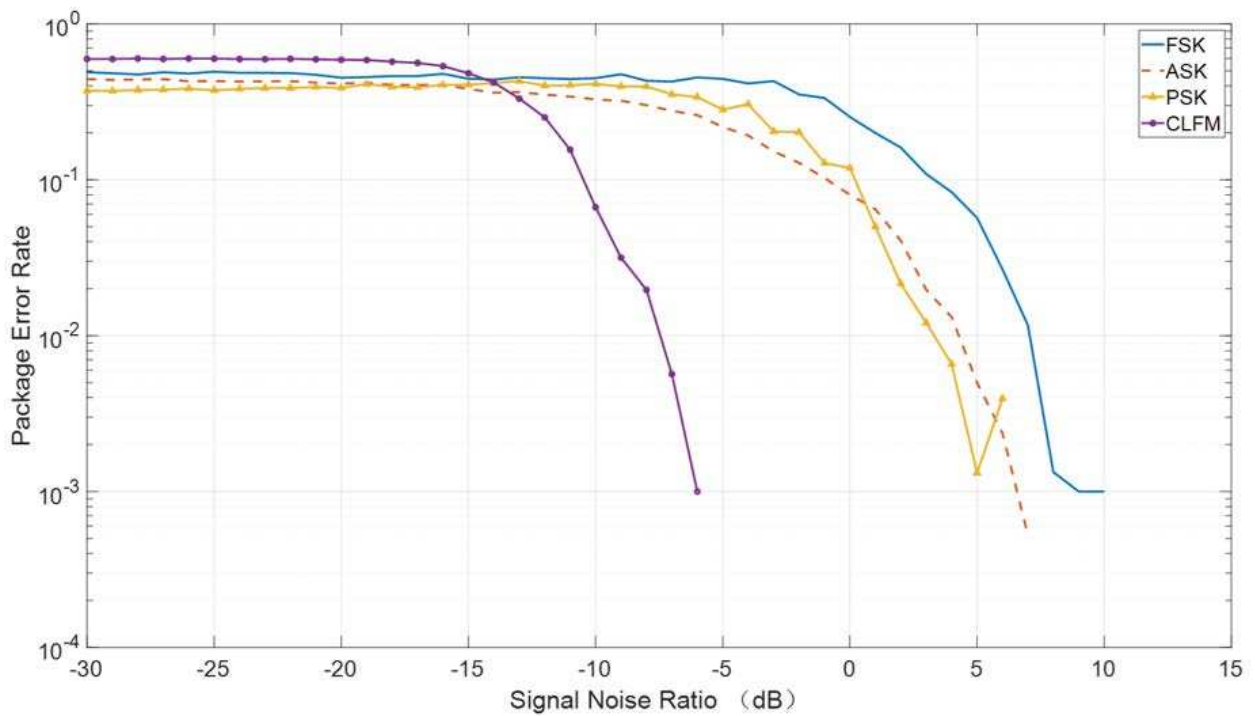
481

a.)



482

b.)



483

484 Figure 14. A diagram illustrating the PER for the four communication technologies with 20Hz
485 modulation frequency (5000 Hz carrier frequency for 2ASK, 2PSK, 2FSK and 4000Hz-5000Hz

486 modulation chirp for CLFM) as a function of the SNR for: (a) FRF predicted theoretically; (b) measured
487 FRF.

488

489 Figure 14 presents the package error rate as a function of the SNR. These are simulated results
490 obtained for the four communication techniques making use of the predicted frequency
491 response function (FRF) (Figure 14 (a)) and experimentally measured FRF (Figure 14 (b)) for
492 a dry 150 mm diameter pipe. The model and experiments were detailed in sections 2. These
493 FRFs were used in the deconvolution to improve the quality of the signal with coded message
494 (see section 3).

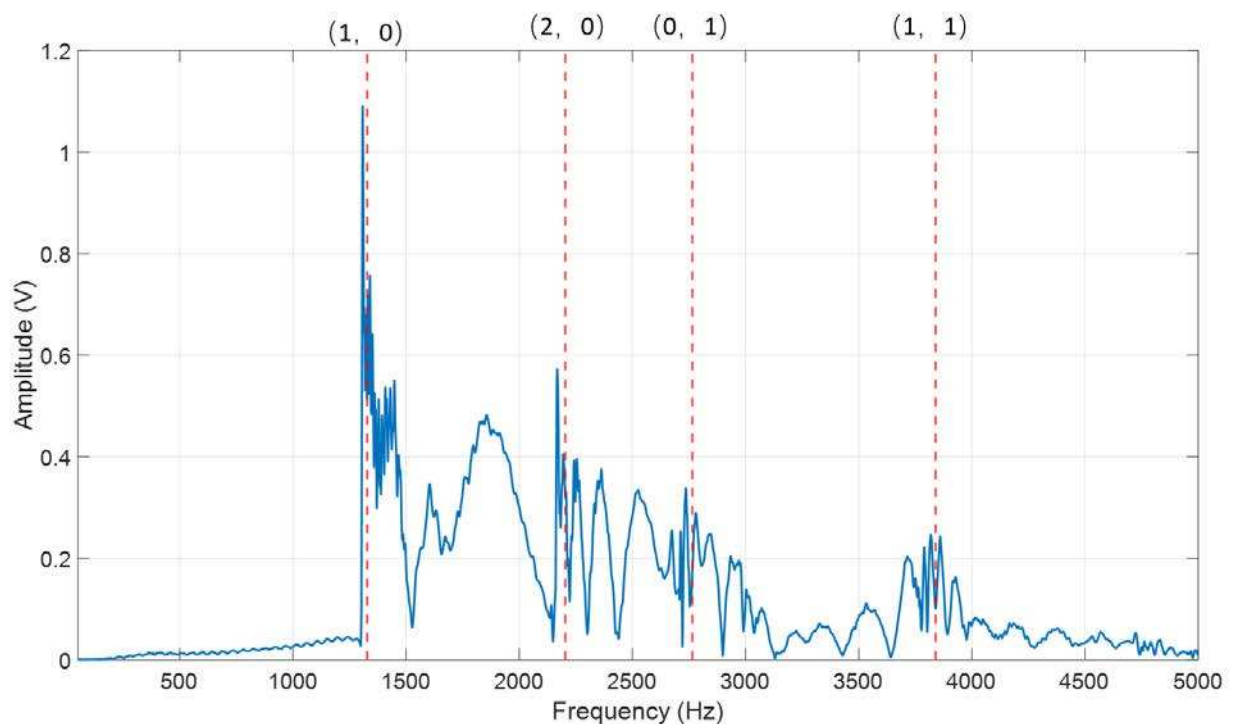
495 The results presented in Figure 14 suggest that the package error rate (PER) depends strongly
496 on the SNR. There is some difference in the PER behaviour simulated with the two FRFs.
497 According to these results, the quality of the communication channel simulated with the
498 predicted FRF (Figure 14 (top)) improves more rapidly with the increased SNR than in the case
499 when the FRF was measured (Figure 14 (b)). Also, the difference in the performance of the
500 four communication techniques seems relatively small in the case when the FRF was predicted
501 (around 5 dB between CLFM and 2FSK to reach 1% probability). The pattern in the behaviour
502 of the PER as a function of the SNR is similar for all the four communication techniques. When
503 the SNR reaches certain level, the PER begins to drop exponentially. For relatively low SNRs
504 the PER reaches its theoretical limit of around 50%. In the case when the FRF was measured,
505 the best performing technique by far was the CLFM (see Figure 14 (b)). This technique enables
506 to reach a PER = 1% at SNR = -7.5 dB. This is almost 15 dB better than that simulated for the
507 2FSK. The performance of the 2ASK and 2PSK was found similar and between that calculated
508 for the CLFM and 2FSK.

509 There are other conclusions. Firstly, increasing the SNR can improve the quality of cross-
510 correlation which is helpful to reduce the PER for the CLFM further. Secondly, the PER of the
511 2FSK, 2ASK and 2PSK techniques drops relatively fast with the increased SNR suggesting
512 that these three modulation techniques can be more easily affected by the complexity of the
513 pipe and ambient noise present. In real applications, these three techniques would require a
514 relatively high SNR to transmit data accurately, i.e. at a very low error rate. In the case of the
515 CLFM there a relatively little difference was found between the PER obtained with the
516 theoretically predicted FRF and measured FRF (see Figure 14).

517

518 **5.1.2 Through the experiment**

519 In this section, the overall performances of four communication techniques simulated with the
520 theoretical model (section 2, sub-section 2.1) are compared against the communication data
521 obtained experimentally. The signals used in the experiment with the four communication
522 techniques were identical to those used in the simulations. The full characteristics of these
523 signals are described in sections 3 and 4. Examples of these signals can be found in our
524 supplementary data via the link provided in the Appendix. The message signal was emitted by
525 the loudspeaker and received on the microphone using the experimental setup shown
526 schematically in Figure 2. The distance between speaker and microphone was set to 10 m. The
527 recorded signal was processed accordingly, demodulated and decoded using the methods
528 explained in Section 4 to study the effect of the background noise on the PER. The initial SNR
529 in the experiment was estimated as 45 dB and this value was used subsequently as the reference
530 representing the ‘no noise condition’. The SNR was reduced progressively to -30 dB by
531 introducing of artificial background noise. For each SNR the measurement was repeated 3
532 times, PER value for each of these measurements was calculated, and their average was
533 presented as a final result.



534

535 Figure 15. Measured FRF of 150 mm diameter pipe (blue lines) and 4 cut-off frequencies (red dash
536 lines).

537

538 Figure 15 plots the experimentally measured FRF for 150 mm diameter pipe in blue line and 4
539 cut-off frequencies has been marked in red dash lines. These cut-off frequencies $f_{cut-off}$ were
540 calculated by equation:

$$f_{cut-off} = k_{mn} \frac{c_0}{2\pi r} \quad (16)$$

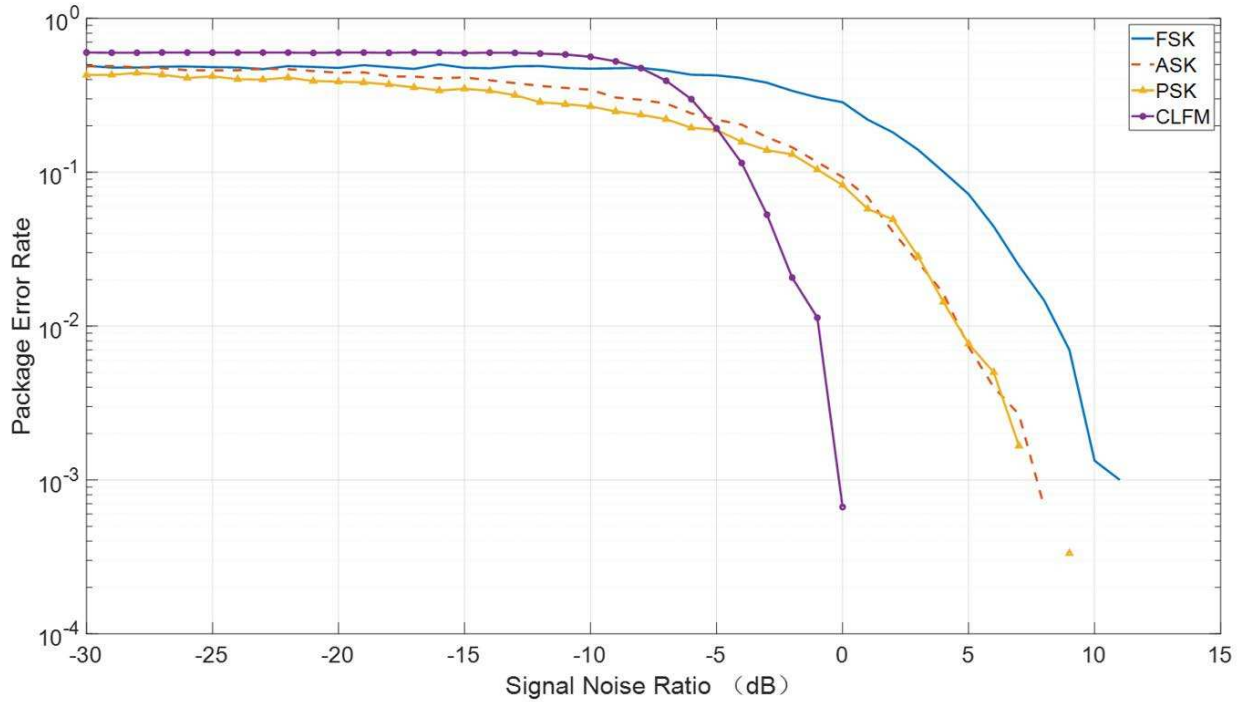
541 where k_{mn} is modal wavenumber c_0 is sound speed and r is radius of the pipe. It can be
542 suggested that the measured FRF has the clear peak which has the close agreement with the
543 theoretical cut-off frequencies.

544

545 The measured PER as a function of the SNR for each of the four communication techniques is
546 shown in Figure 16. This figure confirms that the CLFM is the most robust communication
547 technique in terms of its ability to cope with the background noise. The experimentally obtained
548 PER for this technique reduces slightly slower than that calculated using the measured and
549 simulated FRF. The difference in performance between these cases is close to 5 dB (see Figure
550 14 (top) and 16). This 5 dB decrease can be caused by the pipe ends, imperfect seals in the
551 connections between the pipe sections. There also can be some differences associated with the
552 microphone and speaker response attained at the time of the two sets of experiments. Even
553 though the deconvolution method cancelled the influence of these factors to some extent, it
554 may not be fully reliable for lower SNRs. Similar discrepancies were observed when the other
555 three communication techniques were validated experimentally.

556

557 The results shown in Figures 14 and 16 generally support the predictions obtained through the
558 simulation. The acoustic signal passing through the pipe experiences strong multi-mode
559 propagation. When the pipe has a finite length, the influence of multi-path effect and reflections
560 caused by the open ends and connections is hard to predict and can affect the quality of
561 communication significantly. They deserve more attention through more refined simulations
562 and better controlled experiments. Those undesirable channel properties can be potentially
563 equalised by applying deconvolution with the right FRF. Among the proposed four
564 communication techniques the CLFM was observed to have the best noise resistance with the
565 PER = 1% at SNR = -1.5 dB. This performance was attained with the 2ASK, 2PSK and 2FSK
566 at SNR = 4, 7.5 and 8 dB, respectively.



567

568 Figure 16. Diagram illustrates the experimental validation for comparison of 4 communication systems
 569 with 20Hz modulation frequency and 5000 Hz carrier frequency and 4000Hz~5000Hz modulation chirp
 570 for CLFM under SNR in the range between -30dB~20dB

571

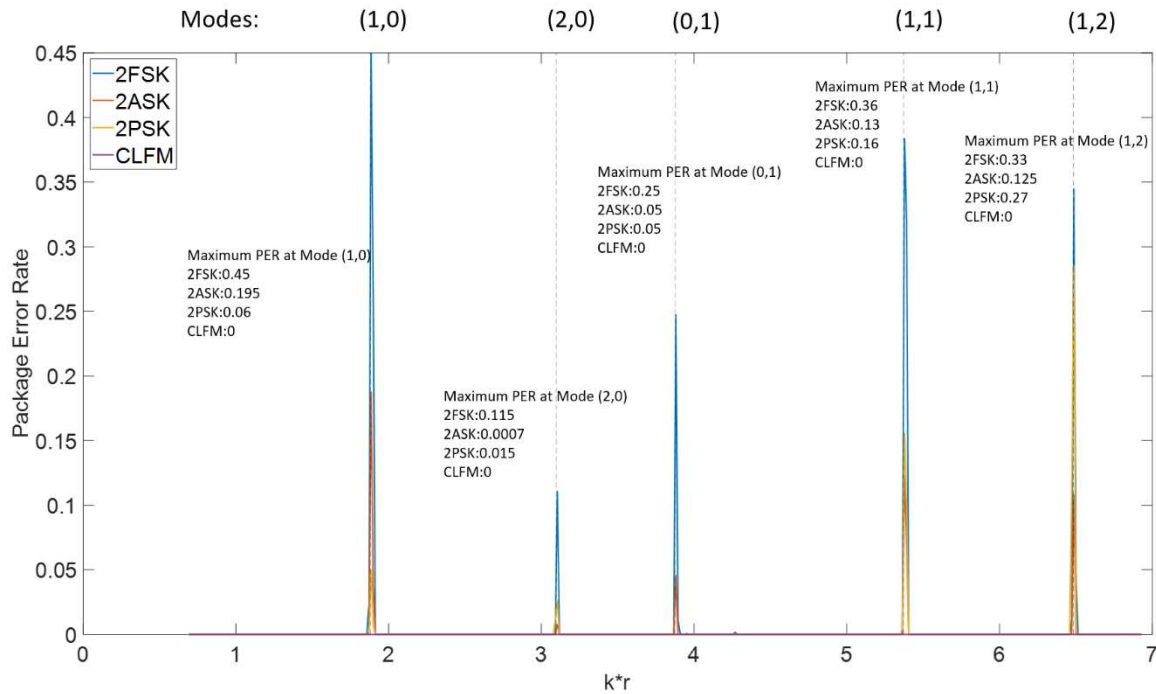
572 5.2 The effect of carrier frequency

573 In section 2 it was noted that a pipe supports a number of modes which can be excited when
 574 the carrier frequency exceeds a certain threshold called the cut-off frequency. Beyond this
 575 frequency sound propagation is multi-modal and dispersive causing the waveform with the
 576 message to stretch and distort. A simulation was carried out to determine the relation between
 577 the carrier frequency and PER. In order to give a better view of this relation, the carrier
 578 frequency was nondimensionalised to the product of wavenumber and radius of the pipe:

$$kr = \frac{2\pi f_c}{c_0} \quad (17)$$

579 This simulation also was repeated 200 times to make sure the results are statically reliable. The
 580 bandwidth f_m was set to 20Hz and SNR was set as 0dB. The testing range of carrier frequency
 581 f_c was set from 500 Hz to 5000 Hz for the 2ASK, 2FSK and 2PSK. However, these settings
 582 are different for CLFM. We define the frequency range for sub-chirp as: $[f_{central}-500 \text{ Hz},$
 583 $f_{central}+500 \text{ Hz}]$, where $f_{central}$ is the middle frequency of the sub-chirp frequency range. For
 584 example, in the case of a sub-chirp with $f_{central}$ of 1200 Hz the frequency range was set form

585 700 Hz to 1700 Hz. The sub-chirp frequency range can be controlled by step-changing of
 586 $f_{cnetral}$. Similar to the settings for f_c , the testing range of $f_{central}$ was set from 800 Hz to 4500
 587 Hz.



588
 589 Figure 17. A diagram showing the dependence of the PER on the dimensionless frequency for SNR =
 590 0 dB and $f_m = 20$ Hz.

591
 592 Figure 17 illustrates the results of this simulation. The modal frequencies are marked as grey
 593 dash lines. As it has been deduced previously, sending message at the carrier frequency close
 594 to a modal frequency result in a high possibility to cause a high package error rate close to its
 595 theoretical maximum. Therefore, it should be suggested that carrier frequency of in-pipe
 596 acoustic communication should be chosen to avoid being close to a cut-off frequency. Similar
 597 to the results shown in Figures 17 the 2FSK was found the most unstable among the four
 598 communication techniques when the carrier frequency is close to a cut-off frequency. The
 599 2PSK was ranked the second most unstable technique and 2ASK works slightly better than the
 600 latter two. Most importantly, the PER of the CLFM remained close to 0 though the entire
 601 simulation which not only determine that this technique is able to overcome the effects of
 602 modes but also validate that compare with the 2ASK, 2PSK and 2FSK, it has the best noise
 603 resistance.

604

605 **5.3 Communication Speed Evaluation**

606 In digital communication, the speed of information transmission is calculated by bit rate
607 (bps): $R_b = \frac{n}{T_m}$. The index n denotes the number of bits contained in the message pack and T_m
608 is the period/duration of the message pack. In binary modulation one symbol period $\frac{1}{f_M}$ only
609 represents one bit and bit rate R_b is also equal to the modulation frequency f_M . Increasing the
610 bit rate can be achieved through a higher modulation frequency. However, doing so would
611 require wider bandwidth in frequency domain. Therefore, the carrier frequency f_c needs to be
612 as high as possible to ensure there will be sufficient blank space for the wide band
613 communication.

614

615 For 2ASK and 2PSK, the bandwidths are as twice as the symbol rate, therefore they have:

$$B_{2ASK/2PSK} = 2f_M \quad (18)$$

616 so that the maximum bit rate for 2ASK and 2PSK system is:

$$R_{b_{2ASK/2PSK}}|_{max} = \frac{1}{2}f_c \quad (19)$$

617

618 In the case of the 2FSK 2 sub-carriers are adopted so that its bandwidth can be approximated
619 with:

$$B_{2FSK} = |f_2 - f_1| + 2f_M \quad (20)$$

620 and

$$f_2 - f_1 > f_M \quad (21)$$

621 The centre frequency of this band is:

$$f_{centre} = \frac{f_1 + f_2}{2} \quad (22)$$

622

623 Let us assume that f_1 is always lower than f_2 . Then we have:

$$\frac{f_1 + f_2}{2} > f_2 - f_1 + 2f_m \quad (23)$$

$$R_{b_{2FSK}} = f_M < \frac{f_1}{2} \quad (24)$$

624 Thus, combine eq. (21) and Eq. (24), the maximum bit rate for the 2FSK is half of lower sub-
625 carrier frequency while $f_2 = \frac{3}{2}f_1$.

626

627 In the case of CLFM the carrier wave is a chirp signal which spectrum covers a range of
 628 frequencies. In principle, its bandwidth could be infinitely wide and therefore the CLFM is also
 629 a spreading spectrum communication technique. However, according to the Nyquist sampling
 630 law: $f_{c_{max}} < \frac{1}{2} f_{sampling}$, the maximum frequency in the chirp should lower than the half of the
 631 sampling frequency. In addition, there are a time interval T_{int} between each symbol to avoid
 632 neighbour symbol overlap. In this case the total message pack period $T_{message}$ will need to be:

$$T_{message} = \lim_{N \rightarrow \infty} \left(\frac{1}{R_b} N + T_{int}(N - 1) \right) \quad (25)$$

633 where N is the number of symbols in the message package. For the purpose of cancelling the
 634 ISI caused by the multi-mode propagation T_{int} should be based on:

$$T_{int} = \frac{z}{c_g} \quad (26)$$

635 In equation (26), z is the distance between the source and receiver and c_g is group velocity of
 636 the waveguide and the actual bit rate for CLFM is:

$$R_b|_{actual} = \frac{T_{message}}{N} \quad (27)$$

637 The group velocity is usually frequency depended in a multi-modal pipe. It is very clear that
 638 attempting to fully avoid ISI will sacrifice the communication speed and for the short range
 639 and period communication, the influence of time interval can be ignored while in long range
 640 and period message transmission, this effect has to be taken into account.

641

642 The attenuation of sound in a pipe is not a negligible issue particularly for high frequency
 643 carrier wave typical for acoustic communication. If the pipe wall is rigid, then the sound
 644 pressure in cylinder reduces due to the frequency-dependent air absorption:

$$p(\omega) = \sum A_m J_m(k_{mn}r) e^{j(\omega t - k_z z + j\alpha)} \quad (28)$$

645 where the α is the attenuation coefficient which can be obtained from:

$$\alpha = \frac{\omega^2}{2\rho_0 c^3} \left[\frac{4}{3} \eta' + \chi \left(\frac{1}{C_V} - \frac{1}{C_P} \right) + \sum_{i=1}^n \frac{\eta_i''}{1 + \omega^2 \tau_i^2} \right] \quad (29)$$

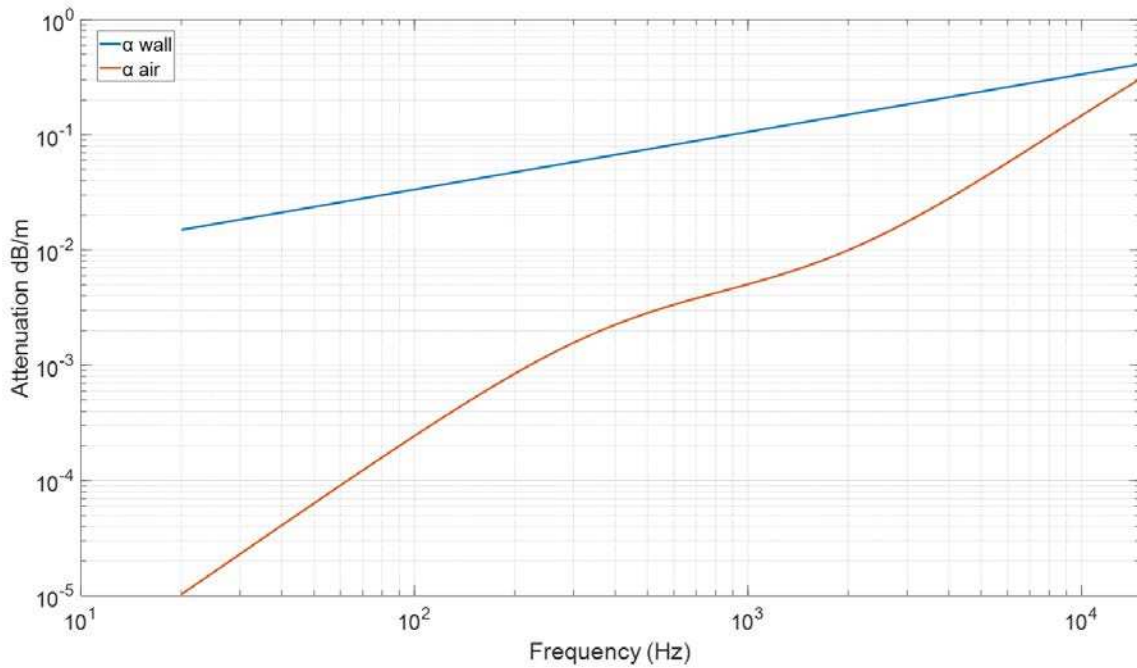
646 In eq. (29), η' and η_i'' are the shear and volumetric viscosity of the air, respectively. C_V and C_P
 647 are the specific heat capacity at constant volume and pressure, χ is the heat conductivity and
 648 τ_i is shear force in the vibrational relaxation process. According to eqs. (32) and (33), the sound
 649 attenuation is mainly affected by two parameters: attenuation coefficient and distance between
 650 the source and microphone while the former is a frequency dependent quantity.

651 In addition to the attenuation caused by air absorption, there is attenuation caused by the visco-
 652 thermal effects in the fluid that is not negligible because of the finite values of the fluid
 653 viscosity and thermal conductivity. A model has been developed to account for the visco-
 654 thermal losses at the duct walls (e.g. Lahiri et al [12]). The attenuation coefficient for these
 655 losses is:

$$\alpha_{wall} = \frac{1}{Rc} \sqrt{\frac{v\omega}{2}} + \frac{\gamma - 1}{Rc} \sqrt{\frac{\chi\omega}{2}} \quad (30)$$

656 where R is radius of the pipe, v is kinematic viscosity, γ is heat capacity ratio and χ is thermal
 657 diffusivity $\chi = \kappa/\rho C_p$ and κ is heat conductivity of air. The terms $\frac{1}{Rc} \sqrt{\frac{v\omega}{2}}$ and $\frac{\gamma-1}{Rc} \sqrt{\frac{\chi\omega}{2}}$ are the
 658 separate attenuation coefficients caused by viscosity and thermal conductivity losses at wall,
 659 respectively. Equation (30) is based on the assumptions of plane wave and wide tube. It can be
 660 found from equation (30) that α_{wall} is both frequency-dependent and diameter dependent and
 661 increases with the value of $\sqrt{\omega}$.

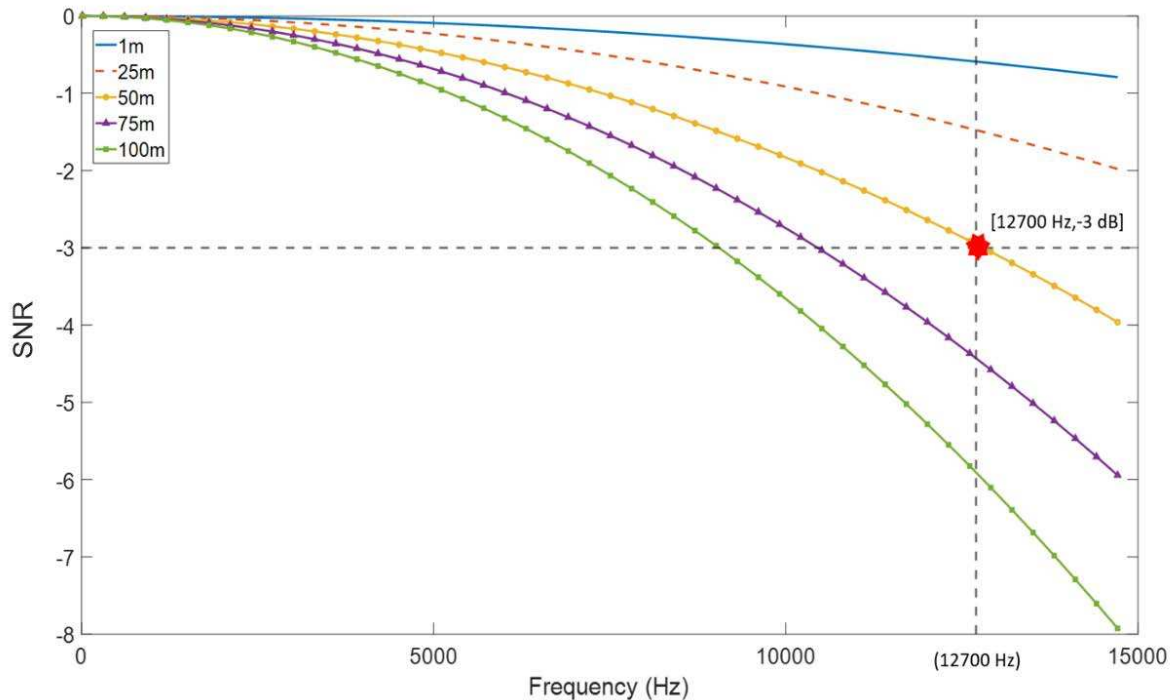
662 Figure 18 illustrates the frequency-dependent attenuation caused by the air absorption and
 663 visco-thermal effects in the frequency range of 0 Hz to 15000 Hz. The properties used to
 664 estimate α_{air} and α_{wall} were chosen that of air under STP and the radius of pipe was 0.075 m.
 665 In this calculation, the relative humidity was set to 50%.



666
 667 Figure 18 A comparison between the attenuation coefficients caused by the visco-thermal effects at the
 668 wall and air absorption in the pipe. The results shown in Figure 18 suggest that α_{air} and α_{wall} rise

669 with the increased frequency. The attenuation caused by the visco-thermal effects is up to a
 670 hundred times higher than that caused by the air absorption in the frequency range between
 671 10 Hz ~ 1000 Hz. However, they are getting closer in higher frequency range which denotes
 672 that in lower frequency range, the attenuation is dominated by the value α_{wall} and with the
 673 increase of the frequency, the impact of α_{air} is getting stronger and much obvious.

674



675

676 Figure 19. The diagram illustrating the sound attenuation as a function of frequency.

677 Pipe wall roughness is another source of attenuation. There is a limited experimental data on
 678 the effect of wall roughness. The work by Horoshenkov et al [13] estimates that the attenuation
 679 in a 600 mm diameter concrete pipe increases with the wall roughness and it is frequency-
 680 dependent. If the pipe is empty, it is comparable with that expected from the visco-thermal
 681 effects (see Figure 18). An addition of rigid scatterers increases the pipe roughness and this
 682 effect is particularly noticeable in the frequency range below 300 Hz (see Figure 4-6 in ref.
 683 [13]). A considerable increase in the attenuation can be observed if the wall of the pipe is
 684 covered with a porous layer as demonstrated in ref. [14]. In this case the attenuation at higher
 685 frequencies of sound (well above the first cut-off frequency of the pipe) can more than treble
 686 (see Figure 5 in ref. [14]). This effect is complex, parochial to the wall boundary conditions
 687 and usually predicted with a finite element model [14]. Therefore, it is studied in this paper.

688 Figure 19 gives a graphical estimation of the frequency-dependent signal-to-noise ratio due to
 689 the air absorption and visco-thermal effects on the pipe wall. The pipe roughness effects are
 690 not considered here. Five lines with different colour denote different distances (1-100m)

691 between the sound source and microphone. The range of the carrier frequency was set below
 692 15 kHz. According to figure 19, when the source and receiver are 1-25 m apart, the effect of
 693 attenuation is relatively small. If the communication range is extended to 25 m, then the sound
 694 pressure of 1500 Hz carrier wave would drop by only 2 dB. If the speaker is 100 m away from
 695 the microphone, then the sound pressure would drop by 6-8 dB at frequencies above 12 kHz.
 696 This drop is more noticeable. It has been suggested in previous sections that a low SNR would
 697 lead the increase of bit error rate. The intersection with the horizontal grey dash line in figure
 698 19 shows the frequency and range at which the attenuation becomes greater than 3 dB. For
 699 example, at the 50m range this happens when the carrier frequency reaches 12700 Hz.
 700 Furthermore, the theoretical maximum communication speed for 4 systems can be estimated
 701 accordingly by the equation (22), (27) and (30) and has been listed in Table 3:

Communication Techniques	Carrier Frequency f_c (Hz)	Modulation Frequency f_m (Hz)	Bit Rate R_b (bps)
2FSK	$f_1=8466, f_2=12700$	4233	4233
2ASK	12700	6350	6350
2PSK	12700	6350	6350
CLFM	0~12700	6350	6.82

702 Table 3. Comparison among 4 communication systems when they are approaching their highest data
 703 transmission speed.

704
 705 Among the proposed four communication technologies 2ASK and 2PSK in 50 m distance have
 706 highest data transmission speed of 6350 bits/s. 2FSK ranked the third position with 4233 bits/s
 707 and due to the limitation of inter-symbol intervals, CLFM has lowest speed of only 6.82 bits/s.
 708 These figures will reduce if the wall of the pipe is no longer smooth and rigid because of extra
 709 attenuation [13,14]. This will have an impact on the package error rate and communication
 710 range.

711
 712 **6. Conclusions**

713 This paper has studied the importance of the acoustic channel characterisation for
 714 communication in an air-filled pipe. This has been illustrated by using analytical and
 715 experimental approaches. The pipe used in this work as an example is a typical pipe used to
 716 remove wastewater from domestic premises. The performance of four communication
 717 techniques, 2ASK, 2PSK, 2FSK and CLFM, has been studied. These techniques can be
 718 potentially deployed on robots inspecting the pipe collaboratively and autonomously. The

719 capabilities of these four techniques against noise and uncertainties such as multi-mode
720 propagation in the pipe have been tested through simulation and experiment.

721

722 The predicted performance of 2ASK, 2PSK and 2FSK modulation is good when the SNR is
723 higher than 0 dB while the experimental validation suggests that the ideal SNR for these three
724 techniques should be in the range between 7 dB and 15 dB. Therefore, it can be deduced that
725 2ASK, 2PSK and 2FSK are easily affected by the noise and uncertainties in the pipe properties
726 and geometry assumed in the model. These communication techniques need a relatively high
727 SNR to function properly in real application. It has been found through simulation and
728 experiment that CLFM is the most stable technique among the 4 to operate at low SNRs, e.g. -
729 5 - 0 dB.

730

731 The influence of the modes on the quality of communication can be significant. Sending
732 message at frequencies close to modal is likely increase the bit error rate. The use of the channel
733 FRF in deconvolution can reduce the effect of the modes. It makes sense to demand that the
734 carrier frequency should not be selected close to a modal frequency when using 2ASK, 2PSK
735 and 2FSK communication techniques. This seems less of an issue when using the CLFM
736 technique.

737

738 The sound attenuation in the pipe due to the air absorption and visco-thermal effect can cause
739 the reduction in the SNR and negatively affect the bit error rate. One can expect a 3 dB
740 attenuation at 50 m when communicating at the 12700 Hz carrier frequency. Based on this
741 information it is possible to estimate the highest communication speed. It has been found that
742 2ASK and 2PSK techniques would work up to 50 m distance with the maximum transmission
743 speed of 6350 bps. 2FSK has been ranked at the third position with 4233 bps and even though
744 CLFM is the most reliable system, due to the effect of inter-symbol intervals, it has had the
745 lowest communication speed which is only 6.82 bps.

746

747 There is still some scope for more work. This paper only studied dry, round pipe. In a real
748 drainage or sewer system there would be uncertainties such as flow, junctions, manholes,
749 sedimentation and other artefacts. Pipe wall can be inherently rough or covered with absorbing
750 layer that can affect the acoustic attenuation of the pipe. These conditions need to be
751 investigated properly because they are likely to affect the FRF and communication speed. In
752 particular, it has been shown [8] that changing the water level shifts the modal frequencies in

753 which case the carrier frequency may need changing accordingly to avoid problems discussed
754 in section 3. This paper used four particular communication techniques for which noise
755 resistance and bit rates can be limited. In the future, advanced technologies such as 4G can be
756 applied to improve these performances. Finally, in practical application, duplexing and CDMA
757 are two key functions which can be achieved in further studies.

758

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765 Attribution (CC BY) licence to any Author Accepted Manuscript version arising'.

766 **Author Contribution**

767 **Zhengwei Li:** Experimental Work, Formal Analysis and Original Draft Preparation. **Yicheng Yu:**
768 Experimental Work, Data Analysis and Reviewing. **Kirill Horoshenkov:** Supervision, Writing and
769 Reviewing

770

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773

774 **Appendix**

775 The supplementary dada can be found via google drive link:

776

777 https://drive.google.com/drive/folders/14GEX1krw5hT_oIzl_umDITaDeS-

778 [Euqg7?usp=sharing](https://drive.google.com/drive/folders/14GEX1krw5hT_oIzl_umDITaDeS-Euqg7?usp=sharing)

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