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# Unravelling the Mechanism of Summer Monsoon Rainfall Modes over the West Coast of India using Model Simulations

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A transition from a predominantly offshore to an onshore rainfall phase over the west coast of India was simulated using three one-way nested domains with 12-, 4-, and 1.33-km horizontal grid spacing in the Weather Research and Forecasting model. The mechanism of offshore-onshore rainfall oscillation and the orographic effects of the Western Ghats are studied. A convective parameterization scheme was employed only in the 12-km domain. A trough extending offshore from the west coast facilitates offshore rainfall. This trough is absent during the onshore phase, and rainfall occurs over the coast mainly via orographic uplift by the Western Ghats. The model overestimates rainfall over the Western Ghats at all resolutions as it consistently underestimates the boundary layer stratification along the coast. Weaker stratification weakens the blocking effect of the Western Ghats, resulting in anomalous deep convection and rainfall over its windward slopes. The 4- and 1.33-km domains simulate the offshore-to-onshore transition of rainfall but fail to capture a sufficient contrast in rainfall between land and sea compared to observations. The 12-km domain produces light rainfall, anchored along the coast, throughout the simulation period, and hence gravely underestimates the offshore rainfall. The offshore rainfall persisted in the 4- and 1.33-

km domains in a sensitivity experiment in which the Western Ghats were flattened. This suggests that orographic effects do not significantly influence offshore rainfall. In another experiment, the convective parameterization scheme in the 12-km domain was turned off. This experiment simulated the offshore and onshore rainfall phases correctly to some extent but the rainfall intensity was unrealistically high. Thus, a model with a horizontal grid spacing of  $O(\sim 1 \text{ km})$ , in which convection evolves explicitly, is desired for simulating the west coast rainfall variations. However, improvements in the representation of boundary layer processes are needed to capture the land-sea contrast.

#### KEYWORDS

Orographic effects, Indian Summer monsoon, Coastal rainfall

## 1 | INTRODUCTION

The west coast of the Indian peninsula is one of the rainiest places on our planet and a host to rainforests with a high level of biodiversity, thanks to the Western Ghats mountain range. This region is prone to flash floods and landslides during the summer monsoon season (Francis and Gadgil, 2006; Kumar et al., 2008; Hunt and Menon, 2020; Mohandas et al., 2020). In June 2016, the Interaction of Convective Organization and Monsoon Precipitation, Atmosphere, Surface and Sea (INCOMPASS) field campaign took place over the Indian region in order to understand the interaction between the convective and large-scale weather systems in the summer monsoon (Turner et al., 2020). One of its southern legs involved aircraft and ground-based observations over the west coast of India and the adjacent Arabian Sea during 21–26 June (henceforth referred to as the ‘INCOMPASS IOP’). Heavy rainfall shifted from the offshore region (henceforth referred to as the ‘offshore mode’) to the onshore region (henceforth referred to as the ‘onshore mode’) during this period. It was speculated that the interactions between the monsoonal westerly jet, a mid-tropospheric dry air intrusion, and convection lead to the offshore and onshore rainfall modes (Fletcher et al., 2020). A climatological study by Hunt et al. (2021) supports this hypothesis. Grossman and Durran (1984), with the help of field observations and a simple 2D model of flow over an orographic barrier, suggest that the offshore rainfall over the Arabian Sea may result from upstream blocking and uplift of the monsoonal jet by the Western Ghats. On the other hand, a study involving WRF model simulations by Zhang and Smith (2018) suggests that the offshore rainfall results from large-scale instabilities, and that the Western Ghats merely serve as the eastern boundary for it. Shige et al. (2017) and Hunt et al. (2021) showed that the offshore-onshore oscillation of rainfall over the Indian west coast is associated with large-scale forcing from the Boreal Summer Intraseasonal Oscillation (BSISO) phases.

Current operational numerical weather models still have issues in realistically simulating rainfall over this coastal hilly region. A 10-day weather forecasting exercise in support of the INCOMPASS field campaign showed that the operational Met Office Unified Model (MetUM) at a horizontal resolution of N768 (17 km) with a convection scheme, as well as its limited-area model (LAM) version at 4.4 km using explicit convection, overestimate the onshore rainfall and underestimate the offshore rainfall over the west coast (figure 10 in Martin et al. (2020)); this could be a direct consequence of poor representation of the offshore-onshore rainfall modes. Mohandas et al. (2020) reported that medium-range forecasts from the global National Centre for Medium-Range Weather Forecasting (NCMRWF) Unified Model (NCUM), a version of MetUM, simulate the observed

60 circulation patterns over the west coast but do not get the rainfall distribution right. Rajendran et al. (2012) argues that capturing  
61 the convective-regional-global scale interaction is necessary in order to simulate the observed long-term rainfall trends over this  
62 region in the models. Thus, a realistic simulation of offshore-onshore rainfall modes over the west coast and their relationship  
63 with large-scale weather systems is imperative for regional and global models in order to produce useful short-term and long-term  
64 forecasts of rainfall.

65 Smith et al. (2015) show that the MetUM simulates the observed rainfall intensity over the hills on the west coast of the  
66 UK at 1.5 km resolution; rainfall intensity reduces when the resolution is decreased. They suggest that the sensitivity of the  
67 orographic rainfall to the horizontal grid spacing is different for different mountain ranges and it is mainly governed by the  
68 geometry of the orographic features. In general, the horizontal grid spacing that is adequate to faithfully represent the effects of  
69 orography on simulated rainfall appears to be a few to 10 km (see Smith et al. (2015) and references therein). Some of the latest  
70 operational numerical weather prediction (NWP) models employ grid spacing of  $O(\sim 1 \text{ km})$ . The fundamental assumption in the  
71 convective parameterization is that the convective cells are much smaller than the model grid box and remain unresolved. At  
72  $O(\sim 1 \text{ km})$  resolution, convective cells are partially resolved. Thus, the usage of a convective parameterization scheme at this  
73 resolution is questionable as individual convective cells can occupy more than one grid box. This scale is generally referred  
74 to as the ‘grey zone’ of convective parameterization (Gerard et al., 2009; Kirshbaum, 2020). Peatman et al. (2014) showed  
75 that the models which rely on convective parameterization fail to simulate the observed interaction between convection and sea  
76 breeze over the islands of the Maritime Continent. High-resolution convection-permitting simulations produced a much improved  
77 diurnal cycle of circulation and rainfall (Birch et al., 2015, 2016). Nevertheless, there are many studies where the usage of a  
78 convective parameterization scheme within and near the grey zone of convection has improved the overall model simulation of a  
79 meteorological event (e.g., Zheng et al. (2016); Mahoney (2016); Phadtare (2018)). This can be due to the prescribed CAPE  
80 consumption and entrainment-detrainment rates for shallow and deep convection in the scheme. Recently, convective schemes  
81 are being improved by including a scale-dependency in these factors (e.g. Zheng et al. (2016)).

82 The grid spacing of a few km, however, is inadequate to resolve the eddies within the planetary boundary layer (PBL). Thus,  
83 a PBL scheme is needed to represent the boundary layer processes (Wyngaard, 2004; Honnert, 2016; Kirshbaum, 2020). The  
84 boundary layer processes determine the orographic influence by controlling the near-surface stratification of the atmosphere. One  
85 of the key parameters controlling the orographic effects is the Froude Number ( $F$ ) of the impinging flow:

$$F = \frac{U}{NH} \quad (1)$$

86 where  $U$  is the mean wind speed upstream of the orographic barrier,  $N$  is the mean Brunt-Väisälä frequency of the atmosphere,  
87 and  $H$  is the height of the orography (Sheppard, 1956; Smith, 1979; Kirshbaum et al., 2018). When  $F < 1$ , flow is blocked by the  
88 orographic barrier, whereas when  $F > 1$ , the flow has sufficient kinetic energy to overcome the orographic barrier and move to  
89 the lee side. Several idealized simulation experiments (Chu and Lin, 2000; Chen and Lin, 2005b,a; Jiang, 2003; Reeves and Lin,  
90 2007; Miglietta and Rotunno, 2009) have shown that in the blocked case, precipitating systems remain upstream of the orography,  
91 and in the unblocked case, precipitation occurs over the orographic slopes (heavy) and the lee region (light to moderate). A  
92 recent study by Phadtare et al. (2022) showed that when the incident low-level flow over the west coast of India is classified  
93 according to  $F$ , the classification leads to the offshore-onshore rainfall pattern – the low  $F$  values are associated with the offshore  
94 mode, and high  $F$  values with the onshore mode. Further, they show that the offshore mode is characterised by strong land-sea  
95 breeze variations and greater control of the local diurnal cycle over the west coast rainfall. Conversely, the onshore mode has  
96 suppressed land-sea breeze and the rainfall has a weak diurnal cycle. Thus, the mechanisms by which the release of convective  
97 instability takes place in the two modes are different. Mechanical uplifting is dominant during the onshore mode, whereas during  
98 the offshore mode, it is mainly facilitated by daytime heating. Therefore, even though the majority of the literature suggests that

99 the offshore-onshore modes are caused by the large-scale variability of the atmosphere, the blocking/uplifting from the Western  
100 Ghats, as well as its thermal forcing, seem to play an important role. Thus, a pertinent question that needs to be answered is: are  
101 the representation of orographic effects and the diurnal cycle of rainfall in the present-day models adequate for simulating the  
102 offshore-onshore rainfall modes?

103 The purpose of this study is to understand the impacts of horizontal grid resolution and the presence of a convective  
104 parameterization scheme on the model simulation of the offshore-onshore rainfall modes over the west coast of India and  
105 understand the role of the Western Ghats in these modes. The INCOMPASS IOP is chosen as a case study. Section 2 of this article  
106 describes the datasets used and model setup, section 3 shows how model domains at different horizontal resolutions perform at  
107 simulating the observed offshore-onshore rainfall transition and the evolution of dynamics, section 4 presents the results of model  
108 sensitivity experiments relating to the convective parameterization and the presence of the Western Ghats. Section 5 presents the  
109 main conclusions and discussion on the future avenues for research.

## 110 2 | DATA AND MODEL SETUP

### 111 2.1 | IMERG rainfall

112 The Integrated Multi-satellitE Retrievals for GPM (IMERG) product, version 06B (Huffman et al., 2015), is used for describing  
113 the patterns of rainfall over the Indian west coast during the offshore-onshore modes. IMERG provides global surface rainfall  
114 on a  $0.1^\circ$  spatial grid at 30-minute intervals. The dataset is provided by the National Aeronautics and Space Administration  
115 (NASA). IMERG is produced by merging passive microwave and infrared rainfall estimates which are further calibrated with the  
116 rain gauges on a monthly basis. Satellite-based rainfall estimates over the west coast of India are known to have biases. The  
117 Tropical Rainfall Measuring Mission (TRMM) 3B42 product gives the maximum rainfall off the coast instead of the Western  
118 Ghats slopes. This is because the coastal clouds are deeper than those over the Western Ghats (Shrestha et al., 2015; Kumar  
119 and Bhat, 2017), and hence the infrared rainfall estimates undervalue the orographic rainfall. The latest IMERG product places  
120 the maximum rainfall correctly over the Western Ghats slopes (e.g., Prakash and Srinivasan (2021); Phadtare et al. (2022)), but  
121 still underestimates the intensity of heavy rainfall episodes ( $> 25 \text{ mm h}^{-1}$ ) compared to the rain gauges (Murali Krishna et al.,  
122 2017). Rojas et al. (2021) concluded that IMERG underestimated the overall rainfall over the mountainous region of Chile by  
123 16% and warm rain events by 50%. Thus, it is possible that the IMERG underestimates the actual rainfall over the orography of  
124 the Western Ghats by about 16-50%.

### 125 2.2 | Rain gauges

126 To validate the IMERG rainfall, we have used rainfall data from the rain gauge network of the India Meteorological Department  
127 (IMD). The network comprises automatic weather stations (AWS) and automatic rain gauges (ARG) (Saha et al., 2021). These  
128 automatic stations use tipping-bucket rain gauges. The dataset was obtained from IMD in support of the Indo-UK joint  
129 INCOMPASS project. A total of 44 rain gauges were selected along the west coast. Rain gauges with more than 10% missing  
130 data were excluded from the analysis.

### 131 2.3 | Radiosondes

132 We use the upper-air radiosonde observations from Mangalore ( $74.83^\circ\text{E}$ ,  $12.95^\circ\text{N}$  and 31 m elevation) and Amini Divi ( $72.73^\circ\text{E}$ ,  
133  $11.12^\circ\text{N}$  and 4 m elevation) stations in order to evaluate the model simulation for the near-surface stratification and winds. This  
134 data was obtained from the Atmospheric Soundings web portal of the University of Wyoming ([weather.uwyo.edu/upperair/](http://weather.uwyo.edu/upperair/)

135 `sounding.html`). Mangalore is located over the west coast, whereas Amini Divi is an island in the Arabian Sea. Radiosonde  
136 observations are ideal for determining the near-surface stratification as they provide high-resolution in situ observations. The  
137 near-surface atmospheric stratification directly influences the orographic blocking as well as the sub-grid orographic drag  
138 parameterization (Stensrud, 2009).

## 139 2.4 | Reanalysis

140 The fifth-generation European Centre for Medium-Range Weather Forecasts Reanalysis (ERA5) dataset is used for evaluating  
141 the model simulated large-scale fields. ERA5 is available at hourly intervals on a  $0.25^\circ$  horizontal grid and 137 vertical levels  
142 starting from the surface and up to a height of 80 km (Hersbach et al., 2018).

## 143 2.5 | WRF model

144 The Advanced Research version of the Weather Research and Forecasting (WRF 4.1.3) model (Skamarock et al., 2008) is used  
145 to simulate the INCOMPASS IOP. Three one-way nested grids, all centred over the Indian west coast, were employed for the  
146 simulations (Fig. 1). The outermost grid will be referred to as D12, the intermediate as D4, and the innermost as D1 as the grid  
147 spacings of these domains are 12, 4, and 1.33 km, respectively. D12 is large enough to include the entire Arabian Sea to the west,  
148 the Bay of Bengal to the east and the Himalayas to the north. D1 is large enough to include the entire Western Ghats over the  
149 Indian peninsula and the mesoscale systems over the offshore region of the Arabian Sea. The physics schemes recommended in  
150 the tropical suite of the WRF model are used. The modified Tiedtke convective parameterization scheme (Tiedtke, 1989; Zhang  
151 et al., 2011) is used only in D12. This scheme accounts for deep, middle, and shallow convection. The domains D4 and D1 allow  
152 convection to develop explicitly. More details on the grids used and other physics schemes are given in Table 1. 35 vertical eta  
153 levels are used with a lid at 50 hPa; the lowest level is at 20 m elevation above the surface and there are 10 levels below 1500 m.  
154 Note that one-way nesting was used here in order to understand the differences in the simulation of each domain. In two-way  
155 nesting, the inner/finer domain gets the boundary conditions from the outer/coarser domain, and the output of the inner domain is  
156 fed back to the outer grid to improve the overall simulation; in one-way nesting, only the former part is true. The initial and  
157 lateral boundary conditions to D12 are taken from ERA5.

158 Each mode of the offshore-onshore rainfall oscillation can last for about 4-7 days (Fletcher et al., 2020; Hunt et al., 2021).  
159 Thus, in order to allow sufficient time for such variability to develop in the model simulation, we start the simulation at 0000  
160 UTC 13 June 2016 and end it on 0000 UTC 28 June 2016; only the simulation between 0000 UTC 20 June - 0000 UTC 28 June,  
161 a period which coincides with the INCOMPASS IOP, is analyzed.

## 162 3 | CONTROL SIMULATION

163 The purpose of the control simulation is to simulate the event as realistically as possible using actual topographical and  
164 meteorological conditions as input. Model biases in the simulated rainfall and other meteorological parameters are identified  
165 for all domains in this run. The sensitivity simulations described in section 4 will be compared with the control run in order to  
166 understand the effect of the modified topography and convection representation in the model.

Domains	D12	D4	D1
Grid cells	356×348	649×601	889×985
Grid spacing	12 km	4 km	1.33 km
Boundary conditions	ERA5	D12	D4
Convection	New Tiedke (Tiedtke, 1989; Zhang et al., 2011)	-	-
Microphysics	WRF Single-moment 6-class (Hong and Lim, 2006)		
Planetary boundary layer	Yonsei University (Hong et al., 2006)		
Surface layer	MM5 (Zhang and Anthes, 1982)		
Land surface	Noah (Chen and Dudhia, 2001)		
Radiation	RRTMG (Iacono et al., 2008)		

**TABLE 1** Details of the WRF domains shown in Figure 1 and the physics schemes used.

### 167 3.1 | Rainfall

168 First, we identify the offshore and onshore modes of rainfall, if they exist, in different domains of the model. The model evaluation  
169 can then be done on the basis of the time periods of these modes, and the overall distribution and the diurnal cycle of rainfall in  
170 each mode.

#### 171 3.1.1 | Offshore and onshore modes

172 Figure 2 shows Hovmöller diagrams of 12-14°N mean rainfall during 20-27 June from the IMERG rainfall product and the three  
173 WRF domains. The latitudinal band of 12-14°N is chosen as this was the region where the INCOMPASS IOP was conducted and  
174 the transition of rainfall from offshore-to-onshore region was seen (Fletcher et al., 2020). Rainfall occurs over the offshore region  
175 during 20-24 June ('Offshore' mode), and over the onshore region ('Onshore' mode) during 26-27 June; the offshore region  
176 gets little rainfall during the onshore mode (Fig. 2a). Domains D4 and D1 simulate the offshore and onshore modes of rainfall  
177 somewhat similar to the observed modes (Fig. 2c,d). Distinct offshore and onshore modes are not seen in domain D12, which  
178 employs a convection scheme (Fig. 2b). According to the IMERG observations, the offshore mode is characterized by rainfall  
179 episodes occurring in the early morning hours over the sea, and the onshore mode by a stationary system over the coast and the  
180 Western Ghats. Domains D4 and D1 qualitatively simulate these characteristics of offshore and onshore modes. However, note  
181 that during the offshore mode, the intensity of rainfall over the coast in these domains is much greater than observed.

#### 182 3.1.2 | Mean rainfall

183 In IMERG, two prominent offshore rainfall zones are seen during the offshore mode, a northwest-southeast oriented rain band  
184 over the Arabian Sea and an off-the-coast rain band (Figure 3). Heavy rainfall (> 30 mm/day) is widespread over the sea while  
185 rainfall is mostly light over the coast. During the onshore mode, rainfall around Mangalore increases (>50 mm/day), with the  
186 slopes of the Western Ghats at 14°N receiving the heaviest rainfall (> 80 mm/day). Note that the onshore mode is seen only to  
187 the south of 15°N in this case. An Offshore rainband is seen north of 15°N. A clear land-sea contrast in rainfall is quite evident  
188 during both modes in the 12-14°N belt. In domain D12, rainfall is located along the coast during both modes. Domains D4  
189 and D1 do get the offshore and onshore modes right to some extent. Although there is heavy rainfall over the sea during the

190 offshore mode in these domains, it is not located as far offshore as in the IMERG field. In addition, there is heavy rainfall (>  
191 80 mm/day) over the coast during the offshore mode and the observed land-sea contrast in rainfall is missing in D4 and D1.  
192 During the onshore mode, heavy rainfall in domains D4 and D1 is mainly over the Western Ghats slopes. In these domains, the  
193 coastal region receives only light rainfall during this mode. In reality, a broad patch of heavy rainfall accumulation, extending  
194 from the Western Ghats slopes to the coastal zone, is seen in the IMERG field during the onshore mode. Thus, although the  
195 convection-permitting domains simulate the offshore and onshore rainfall during the respective modes, it is erroneously shifted  
196 eastwards, i.e., towards the orography. This discrepancy is further emphasized in the next figure.

197 Figure 4 shows rainfall anomalies in the WRF domains with respect to the IMERG rainfall. The rainfall fields in these  
198 domains are regridded to the IMERG resolution for ease of comparison. The anomalous rainfall intensity over the west coast  
199 during the offshore mode is between 50-100 mm day<sup>-1</sup> which is far higher than the reported underestimation of orographic  
200 rainfall by the IMERG product (16-50%). During the onshore mode, D12 gravely underestimates the west coast rainfall. The  
201 D4 and D1 overestimate rainfall over the Western Ghats slopes by 50-100 mm day<sup>-1</sup> at most places and slightly underestimate  
202 rainfall along the coast south of 15°N where the onshore mode is seen.

### 203 3.1.3 | Diurnal cycle

204 Phadtare et al. (2022) showed that the rainfall during the offshore regime is controlled by a strong diurnal cycle, whereas that  
205 during the onshore regime has a weak diurnal cycle. Therefore, here we analyze if the model can simulate the observed diurnal  
206 cycle of rainfall during the offshore mode. Figure 5 shows the diurnal variation of mean rainfall over a latitudinal band of 12-14°N  
207 during the offshore mode of this case study from IMERG and model simulations. Just off the coast, the IMERG rainfall increases  
208 in the early morning period of 0000-0300 UTC (0530-0830 IST), and during 0300-0600 UTC rainfall increases further offshore.  
209 The D4 and D1 domains simulate the near-coast heavy rainfall mode during 0000-0300 UTC correctly but miss the enhancement  
210 further offshore during 0300-0600 UTC. Notice that the early morning rainfall in IMERG stays strictly off the coast (Fig. 5a),  
211 whereas the simulated offshore rainfall in D4 and D1 intrudes over the land. These domains also simulate onshore rainfall maxima  
212 during 0600-1200 UTC. Onshore daytime rainfall is not seen at all in the IMERG dataset. Thus, anomalous morning, as well as  
213 daytime rainfall over land in D4 and D1, lead to anomalous rainfall over the coast during the offshore mode. In domain D12,  
214 offshore rainfall increases during 0000-0600 UTC but the intensity remains less than half of the observed intensity. Rainfall over  
215 the coast increases around 0400 UTC and remains high throughout the day.

216 To summarize, the west coast rainfall modes are entirely absent in D12; the rainfall is anchored along the coast almost all the  
217 time and offshore rainfall is very weak in this domain. The D4 and D1 domains do simulate the offshore-onshore modes, but they  
218 fail to capture the land-sea contrast and the diurnal cycle of rainfall along the coast during the offshore phase. Both domains  
219 produce daytime maximum rainfall over land which is not seen in the observations.

## 220 3.2 | Dynamics and thermodynamics

221 This section evaluates the model simulation for its dynamic (synoptic, mesoscale) and thermodynamic (humidity, convection)  
222 fields. In the process, explanations for the discrepancies in the simulated rainfall fields reported in section 3.1 are given.

### 223 3.2.1 | Large-scale dynamics

224 During the offshore mode, there was a northwest-southeast oriented trough over the region extending from the northern Arabian  
225 Sea to the southern Bay of Bengal. Note that the rainfall over the Arabian Sea occurs over the trough region with its limits being  
226 a ridge to the west and the Western Ghats to the east (Figures 2a, 3a). During the onshore mode, the trough over the Bay of

227 Bengal moved northeastwards and transformed into a well-developed cyclonic circulation. The western end over the northern  
228 Arabian Sea also intensified and developed into a closed cyclonic circulation. A ridge sits over the west coast during this mode  
229 which provides an unfavourable environment for the organised large-scale rainfall. However, the westerly jet is stronger during  
230 this mode and rainfall over the west coast mainly results from the orographic lifting (Phadtare et al., 2022). Note that the offshore  
231 mode is not the same as the break phase (Krishnan et al., 2000) of the summer monsoon. Organized rainbands are absent over  
232 the Indian region during the break phase, but they do appear over the eastern Arabian Sea during the offshore phase. However,  
233 the offshore-onshore mode oscillation is likely to happen during the break-to-active transition as organized rainbands propagate  
234 northward (Shige et al., 2017; Hunt et al., 2021). The model simulates the main features of the offshore and onshore modes  
235 correctly (Figure 6b,d), but overestimates the wind speed, especially downstream of the Western Ghats, i.e. over the Indian  
236 peninsula and the Bay of Bengal, during the onshore mode. This wind bias is also seen in the D4 and D1 domains (not shown).

237 The offshore rainfall not only cools the boundary layer over the sea, but the cold pools also present a substantial barrier  
238 to the low-level monsoonal flow. Figure 7 shows 950 hPa virtual potential temperature perturbation and winds during the  
239 simulated rainfall events in the offshore and onshore modes from domain D1. During the offshore event (Fig. 7a) the rainfall  
240 has a squall-line-like north-south organization. This system was propagating westward (Fig. 2d) and it leaves a trail of cold  
241 air behind it. The low-level monsoonal flow is obstructed by this cold pool, and the outflow itself is directed southward. As a  
242 consequence, the flow along the coast is cooler and has a northwesterly direction leading to suppression of rainfall over the coast.  
243 On the other hand, during the onshore mode (Fig. 7b), the flow is westerly and almost perpendicular to the Western Ghats. The  
244 air parcels reaching the coast during the onshore event are warmer than those during the offshore events by about 2-3 K. As  
245 a result, rainfall is enhanced over the Western Ghats. These simulated features of offshore and onshore rainfall events are in  
246 accordance with the observations of Fletcher et al. (2020). The onshore mode is also characterized by a drier mid-troposphere  
247 and moister lower-troposphere over the Arabian Sea (Fletcher et al., 2020; Hunt et al., 2021). The simulated humidity fields in  
248 the three domains are analyzed next.

### 249 3.2.2 | Mid-tropospheric humidity

250 Figure 8 shows the difference in the vertical cross-section of specific humidity averaged over the 12-14°N band during the onshore  
251 and offshore modes in the three model domains. All domains simulate a drier mid-troposphere and moister lower-troposphere  
252 during the onshore mode. The westerlies are also stronger during the onshore mode. However, note that in domain D12, the  
253 onshore winds do not strengthen and the Western Ghats slopes are drier during the onshore mode compared to the offshore mode.  
254 This is contrary to the observations of the case study (Fletcher et al., 2020) and this is the reason that the Western Ghats receive  
255 less rainfall during the onshore mode than the offshore mode in D12 (Figure 4). In domains D4 and D1, the low-level wind  
256 and moisture anomalies during the onshore mode are strongest over the west coast. This leads to rainfall enhancement over the  
257 Western Ghats slopes during the onshore mode.

### 258 3.2.3 | Convection

259 Figure 9 shows the vertical cross-sections of the temporal fraction for which the simulated radar reflectivity was at least 20  
260 dBZ in any grid-box in the 12-14°N latitudinal band in the D4 and D1 model domains. The 20 dBZ threshold is typically  
261 used to identify precipitation features in a radar dataset, e.g., Xu and Rutledge (2015). In D12 domain, the convective rain is a  
262 subgrid entity produced by the convective parameterization scheme, and it is not reflected in the grid-resolved hydrometeor fields  
263 (Chen et al., 2021). Therefore, simulated radar reflectivity from domain D12 is not shown. In domains D4 and D1, there is a  
264 frequent widespread deep convection over the Arabian Sea and the west coast during the offshore mode. During the onshore  
265 mode, convection is less frequent and remains below 2.5 km altitude over the Arabian Sea. During this mode, deep convection is

266 confined to the coast. The simulated transformation in the deep convective activity in D4 and D1 is similar to that reported by  
267 Fletcher et al. (2020) and Hunt et al. (2021). The only difference is that the model domains simulate deep convection over the  
268 coast and orography even during the offshore mode, whereas in the observations it occurred only over the sea. This suggests  
269 that there is an anomalous supply of conditional instability to the coast during the offshore mode in D4 and D1. Notice that the  
270 dry air intrusion spans the entire region during the onshore mode and not just the offshore part (Fig. 8). Nevertheless, deep  
271 convection develops over the coast. This suggests that if the low-level supply of conditional instability and an uplifting mechanism  
272 (orography in this case) are present, deep convection can develop despite a dry mid-troposphere.

### 273 3.2.4 | Orographic blocking

274 The model simulates warmer and weakly stratified PBL over the coast during both modes compared to the radiosonde observations  
275 (Figure 10a,e). The nighttime temperature anomaly at the surface is around 4 K during the onshore mode. The anomaly reduces  
276 in the daytime to around 2 K. Thus, the model severely underestimates the nocturnal cooling of the surface and PBL. The zonal  
277 wind speed profiles from the radiosondes (Figure 10b,f) show a stronger monsoonal jet during the onshore mode than the offshore  
278 mode. The model captures this variation, however, it overestimates wind speed below 800 hPa at both times. The 0000 UTC  
279 equivalent potential temperatures ( $\theta_e$ ) are also higher (by 7-10 K) in the PBL, suggesting that the model transports more instability  
280 towards the Western Ghats during nighttime (Figure 10c). During the daytime, the PBL warms up; the simulated  $\theta_e$  values are  
281 closer to the observations at 1200 UTC (Figure 10g). Due to the warmer temperatures, the relative humidity in the PBL is also  
282 lower in the simulation compared to the observations (Figures 10d,h). Profiles from the D12 and D4 domains also exhibit similar  
283 PBL biases over but they are not shown for the sake of brevity.

284 The radiosonde profile during the onshore mode shows a well-mixed PBL over the Arabian Sea, whereas the offshore  
285 mode has a stratified PBL (Figure 10i). The PBL stratification may be due to the evaporative cold pools produced by the  
286 rainfall. Since rainfall is absent over the offshore region during the onshore mode, the offshore PBL is well mixed. The model  
287 consistently produces a well-mixed PBL irrespective of the rainfall mode. It simulates the wind speed within the PBL correctly  
288 but overestimates the jet speed at 800 hPa by about 4-5  $\text{m s}^{-1}$ .

289 As a result of the bias towards stronger wind and weaker PBL stratification, the orographic blocking is weak in the model.  
290 Figure 11a-c shows U, N, and F values, respectively, averaged over 50-1000 m altitude above the surface (refer to equation 1) from  
291 the 0000 and 1200 UTC Mangalore radiosondes and the corresponding values of these parameters from the hourly output of the  
292 WRF simulation. The simulated soundings are averaged over a 12 km horizontal box centred over the radiosonde location. The  
293 mean height of the Western Ghats within the 12-14°N band is considered for H, which is about 1000 m. The Western Ghats range  
294 is roughly oriented in the north-south direction, therefore the zonal wind speed is considered for U. The effect of saturation during  
295 the ascent on the stratification (N) is neglected. Note that ideally, F should be calculated away from the orography using the  
296 upstream undisturbed values of U and N. The blocking distance of the Western Ghats extends offshore by 150-300 km (Phadtare  
297 et al., 2022). Mangalore is well within the blocking region of the Western Ghats. Therefore, the flow at Mangalore is already  
298 decelerated due to the orographic blocking and the F calculated here will be an underestimation of the actual F values. On the  
299 other hand, in the precipitating environment, offshore stratification is weaker than coastal stratification due to the piling up of  
300 cold pools over the mountain slopes (Phadtare, 2018). This is evident in figures 10a,l during the onshore mode. Therefore, the F  
301 values calculated from the offshore sounding will be an overestimation of the actual F values. Amini Divi is an island station  
302 (Figure 1) located around 300 km offshore from the Western Ghats, i.e., away from its blocking distance. Figure 11d-f shows U,  
303 N, and F values, respectively, averaged over 50-1000 m altitude above the surface from the 0000 UTC Amini Divi radiosondes  
304 and the corresponding values of these parameters from the hourly output of the WRF simulation; the 1200 UTC radiosondes  
305 were not released from Amini Divi during this period.

306 Given these limitations in estimating the true F of the flow impinging on the Western Ghats, we avoid the terms 'blocked' or

307 ‘unblocked’ in describing its regime. Instead, phrases like ‘weakly blocked’/‘strongly blocked’ are used. The main aim of this  
308 exercise is to show the difference in the observed and simulated flow blocking. The model overestimates U and underestimates N  
309 most of the time at the coast, and hence, overestimates F of the flow implying weaker orographic blocking. According to the  
310 Mangalore (Amini Divi) radiosonde observations, the F values at 000 UTC hover around 0.5 (1) during the offshore mode. After  
311 26 June, F values are higher and stay between 0.7-1 (2-5) at Mangalore (Amini Divi). The true F of the flow may lie between the  
312 F values calculated from the Mangalore and Amini Divi soundings. In D4 and D1 domains, F values are 2-3 times higher than  
313 the observed values at Mangalore. During the offshore mode, the simulated F values greater than 1 (2) are consistently seen at  
314 Mangalore (Amini Divi). This suggests that the onshore flow in the model is weakly blocked, instead of strongly blocked as  
315 suggested by the radiosondes.

316 In summary, stronger winds, weaker PBL stratification, and hence weakly blocked onshore flow lead to enhanced orographic  
317 lifting in the model. Stronger and warmer onshore flow also allows a greater supply of instability towards the orographic slopes.  
318 Therefore, the model tends to simulate stronger convection (Fig. 9) and higher rainfall (Fig. 3, 4) over the slopes of the Western  
319 Ghats, even during the offshore mode.

## 320 4 | SENSITIVITY EXPERIMENTS

321 This section investigates the sensitivity of the simulated west coast rainfall modes to the orographic influence and mesoscale  
322 convective processes in the model. Section 3.2.4 showed that the orographic blocking is weak in the model compared to the  
323 observations. Despite this, domains D4 and D1 simulated the offshore and onshore modes of rainfall somewhat satisfactorily. This  
324 hints that the west coast rainfall modes may not be as sensitive to the presence of the Western Ghats as previously assumed and  
325 are entirely driven by the large-scale variability, e.g., BSISO, as suggested by previous studies (Shige et al., 2017; Fletcher et al.,  
326 2020; Hunt et al., 2021). We explicitly show the influence of orography on the rainfall modes by performing a ‘No orography’  
327 simulation (henceforth referred to as the *NoOrog* experiment) in which the Western Ghats are flattened entirely (Figure 1b). Note  
328 that the orography is flattened in all domains. Zhang and Smith (2018) performed a similar experiment in the WRF model and  
329 concluded that the offshore rainfall along the west coast was not caused by the orographic blocking from the Western Ghats.  
330 However, their focus was on the ‘wet period’ in which rainfall occurred onshore as well as offshore. Here, we focus on the  
331 offshore-onshore modes and the transition.

332 Zhang and Smith (2018) and Fletcher et al. (2020) emphasized the importance of offshore deep convection in suppressing  
333 rainfall over the west coast by cooling and drying the boundary layer. Domain D12 does not simulate the offshore heavy rainfall  
334 events probably due to the convective parameterization scheme. In the second experiment, we rerun the control simulation by  
335 turning off the convective parameterization scheme in D12 (henceforth referred to as the *NoCu* experiment). The aim of the  
336 second experiment is to check if explicit convection at 12-km horizontal grid spacing gives heavy rainfall and consequently, allows  
337 the west coast rainfall modes, and so whether the key difference between D12 and D4/D1 is the convective parameterization  
338 scheme rather than the resolution.

### 339 4.1 | Orography

340 Figures 12a-c show Hovmöller diagrams of 12-14°N averaged rainfall in the three domains for the *NoOrog* experiment. Note that  
341 the convective parameterization scheme in D12 is active in this experiment. Figures 12b,c show that the offshore rainfall mode is  
342 simulated in domains D4 and D1 even without the Western Ghats. The onshore rainfall is weak over the coast throughout the  
343 simulation. This is due to the absence of orographic uplifting. The offshore rainbands are practically unaffected by the removal  
344 of orography. Removal of the Western Ghats did not affect the diurnal cycle of rainfall either along the coast or in the offshore

345 region; we see early morning rainfall events in the *NoOrog* simulation quite similar to those when the orography was present (Fig.  
346 2). This suggests that the land-sea contrast, more than the orography, affects the diurnal cycle of rainfall along the coast.

347 Figure 13 shows 850 hPa mean winds and geopotential field during the offshore and onshore modes for the *NoOrog* simulation.  
348 The evolution of large-scale fields is somewhat similar to the control run. During the offshore mode, a trough is present over the  
349 peninsula and the Arabian Sea, and during the onshore mode, an LPS has developed north of the Western Ghats. The winds  
350 are north-westerlies during the offshore mode, and during the onshore mode, they are stronger and westerly. Thus, the offshore  
351 and onshore modes of rainfall are linked to the evolution of the large-scale fields (as proposed by Shige et al. (2017); Fletcher  
352 et al. (2020); Hunt et al. (2021)). The Western Ghats merely modulate the intensity of rainfall over the coast through different  
353 orographic blocking regimes of the low-level flow which can be identified by classifying the onshore flow according to its Froude  
354 number as shown by Phadtare et al. (2022).

## 355 4.2 | Convective parameterization

356 In the *NoCu* experiment, the event was simulated employing only D12 but with explicit convection. Figure 12d shows a Hovmöller  
357 diagram of 12-14°N averaged rainfall for the *NoCu* experiment. It shows rainbands starting from the rain shadow region and  
358 propagating westwards over the Arabian Sea during 20-24 June. The intensity, as well as the organization of these rainfall  
359 episodes, seem abnormally high when compared with observations. This is an outcome of anomalously strong convection,  
360 possibly due to inadequate entrainment of dry air by the turbulent eddies into the convective core at 12 km horizontal grid  
361 spacing (Tang and Kirshbaum, 2020; Kirshbaum, 2020). The two modes seen in this experiment can be characterized as ‘offshore  
362 propagation’ and ‘onshore propagation’. Offshore propagation of rainfall appears as one of the features of the offshore mode as it  
363 was seen in the other simulations (control and *NoOrog*) as well. Observations from IMERG suggest that a mixture of stationary  
364 and offshore-propagating rainfall episodes are present during the offshore mode. A trough present over the Indian peninsula and  
365 the Arabian Sea during the offshore mode might be promoting the westward propagation of rainfall. A similar phenomenon over  
366 the Indian region was noted by Phadtare and Bhat (2019) where deep clouds predominantly formed in the western flank of the  
367 trough and moved further westward.

368 In the *NoCu* experiment, the processes that suppress convection over the rain shadow region during the offshore mode are  
369 too weak or absent. Thus, convection gets triggered over the land during daytime due to the presence of the trough and moves  
370 westward with time. It arrives over the Arabian Sea during late-night to morning hours and further propagates offshore. On 25  
371 June, there is a sudden change in the regime of rainfall formation and propagation. Hereafter, the storms form just off the coast  
372 and propagate onshore. The eastern limit of this propagation is set by the Western Ghats peak. Propagation of mesoscale systems  
373 depends on features such as downdrafts, cold pools, and gravity bores (Bukovsky et al., 2006), which are associated with heavily  
374 precipitating convective cores, or it can be a simple advection by the background flow. The former mechanism seems more likely  
375 during the offshore mode when the systems propagate upwind, while the latter is likely important during the onshore mode.  
376 It appears that as the explicit representation of convection simulates high-intensity rainfall, the aforementioned processes are  
377 stronger, which results in long-lasting (but constrained by the diurnal cycle) propagating mesoscale systems. With the convective  
378 scheme, rainfall intensities are weak and propagating systems are absent.

## 379 5 | CONCLUSIONS AND DISCUSSION

380 Simulations of the summer monsoon rainfall modes over the west coast of India were performed using the WRF model in  
381 order to understand the underlying mechanism driving these modes and the impacts of model resolution and the representation  
382 of convection on their simulation. It is concluded that the offshore and onshore rainfall modes are largely a consequence of

the large-scale atmospheric variability over this region. A schematic in Figure 14 summarizes the meteorological conditions controlling these rainfall modes. During the offshore mode, a trough extended over the Arabian Sea from the peninsula. It provided favourable conditions for offshore convection. As noted by Fletcher et al. (2020) in their observations, the low-level winds during this mode were weak. Shige et al. (2017) and Hunt et al. (2021) reported a positive vorticity anomaly over the offshore region during the offshore mode which is also a consequence of the trough. During the onshore mode, a ridge moved over the west coast and offshore region, which suppressed the large-scale convective activity over the offshore region. However, the low-level westerly winds were strong, resulting in the direct orographic uplift of winds and hence, heavy rainfall over the Western Ghats and west coast region. The following conclusions were drawn from the model simulations of this phenomenon performed in this study:

- **Orographic blocking:** The WRF model domains at 4- and 1.33-km grid spacing with explicit convection were able to simulate the broad features of the west coast rainfall modes. However, the coastal boundary layer in the model was too warm (by about 4-5 °C) and weakly stratified. This reduced the orographic blocking of the flow leading to an overestimation of the convective instability over the coast. As a result, there was anomalous deep convection and rainfall over the Western Ghats in the model simulations.
- **Diurnal cycle:** None of the domains simulated the observed diurnal cycle of rainfall over the west coast during the offshore mode correctly. All domains produced a daytime rainfall maximum over land which was not seen in the observations.
- **Convection scheme:** The model domain at 12-km horizontal resolution with a convection scheme could simulate the large-scale fields of the offshore and onshore modes but failed at simulating the rainfall modes associated with them. Convection, as well as rainfall intensity, in this domain, was very weak. On the other hand, turning off the convection scheme at this resolution resulted in an unrealistic overestimation of the rainfall intensity even over the rainshadow region.
- **Western Ghats:** The no-orography sensitivity experiment showed that the Western Ghats do not independently drive the offshore-onshore modes. However, they act as a barrier along the coast, keeping the rainfall predominantly offshore during the offshore mode. The coastal rainfall in the onshore mode is greatly enhanced due to the orographic uplifting.

Although the accuracy of IMERG rainfall can be questioned over the Western Ghats region, Flynn et al. (2017) reported that simulated rainfall over the west coast and Western Ghats was much greater in model simulations compared to their rain gauge observations. Given the uncertainties involved in model simulations, doubts can be raised regarding the pertinence of the conclusions of this study. Nevertheless, the rainfall accumulations in the offshore and onshore modes reported by Martin et al. (2020) (see figure 6 in that paper) in the MetUM are similar to those reported by the present study in Figure 4. Overestimation of orographic rainfall in the MetUM and other models based on the MetUM (e.g., NCUM) is also common (Martin et al., 2020). This suggests that the model biases reported here stem from the physics parameterization rather than the simulation uncertainties. Although this bias was blamed on the inadequate representation of convection in the models (Flynn et al., 2017), the simulated rainfall pattern in the present study is reminiscent of the idealized modelling experiments in which the Froude number of the flow was increased beyond 1 (Chu and Lin, 2000; Chen and Lin, 2005b,a; Jiang, 2003; Reeves and Lin, 2007; Miglietta and Rotunno, 2009). Our study points out that the underestimation of the orographic blocking by the model seems to be the primary cause behind it. The model does not adequately simulate the cold-air damming along the coast (Figs. 10 and 11), hence producing an anomalously warm and well-mixed PBL that weakens the orographic blocking by the Western Ghats. Although the model simulates the cold pools formed by the evaporation of rainfall (Fig. 7) and the temperature drop is also similar to that reported in observations by Fletcher et al. (2020) (figure 11b of that paper), the time scale for subsequent mixing and recovery of the PBL should be compared with the real world observations. In situ, high-resolution measurements on- and offshore are needed for this purpose.

Apart from the PBL scheme, the factors that can affect the structure of simulated PBL are the elevation of the lowest model

level and the number of levels within PBL. The lowest level in our simulations was at 20 m above the surface and there were 10 levels below 1500 m. Systematic model experiments can be performed to understand the sensitivity of these factors on the simulated PBL stratification. An underestimation low-level stratification can also result in reduced orographic drag in the upper atmosphere via weakened upward-propagating gravity waves (Wallace et al., 1983; Boer et al., 1984; Palmer et al., 1986; Bacmeister, 1993; Fritts and Alexander, 2003; Teixeira, 2014). This can have several consequences on the simulation of the Indian monsoon, including anomalously strong winds (Figure 6), stronger ventilation of the Indian peninsula by stronger winds, and hence, a weakened monsoon trough. Thus, in addition to the efforts of improving the representation of clouds and convection, land-atmosphere interaction, and aerosol effects, modelling of boundary layer processes and upscale propagation of orographic effects also needs attention in order to improve model simulations of the Indian monsoon.

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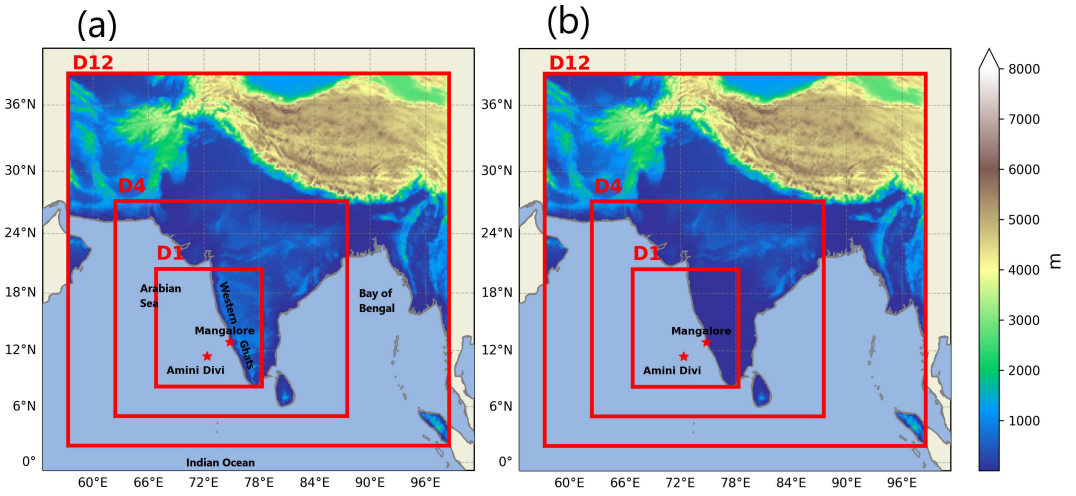
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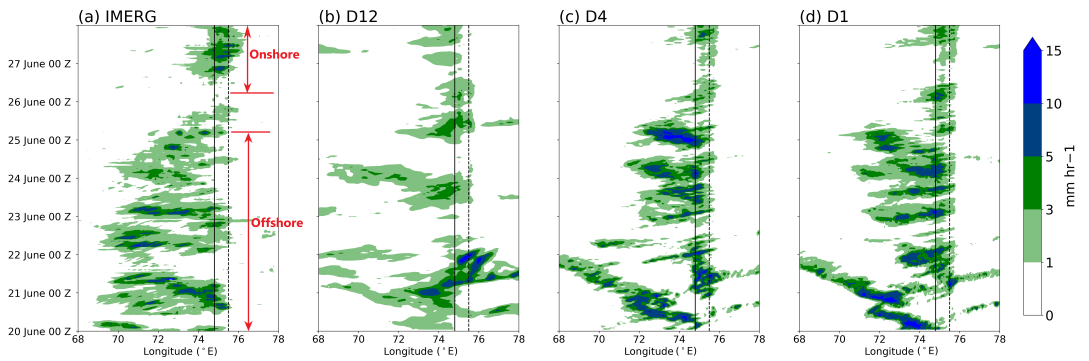
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## 560 6 | ACKNOWLEDGEMENTS

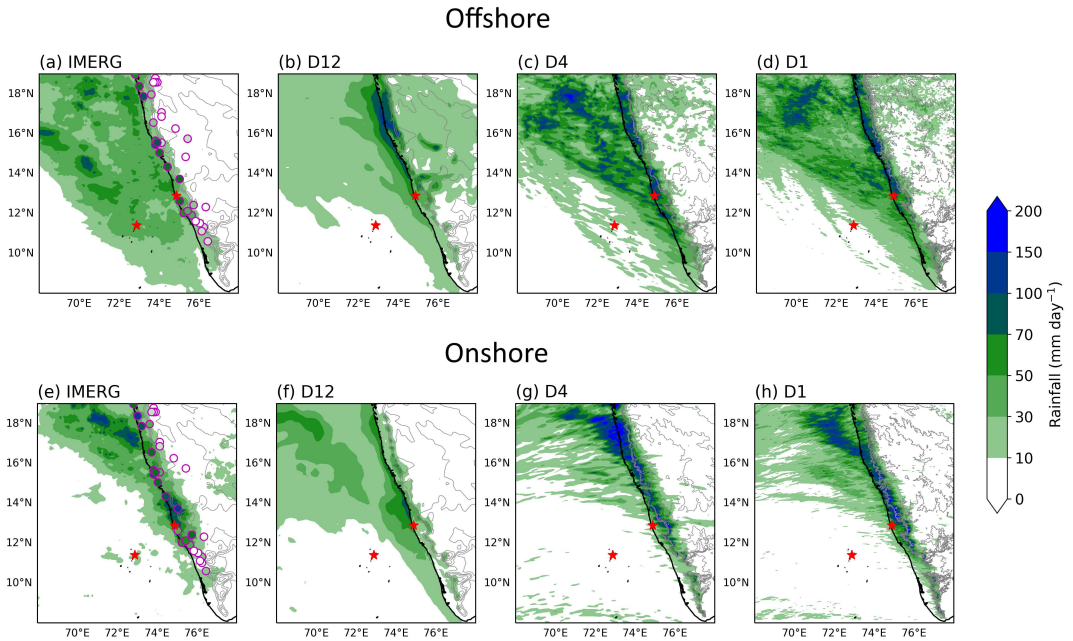
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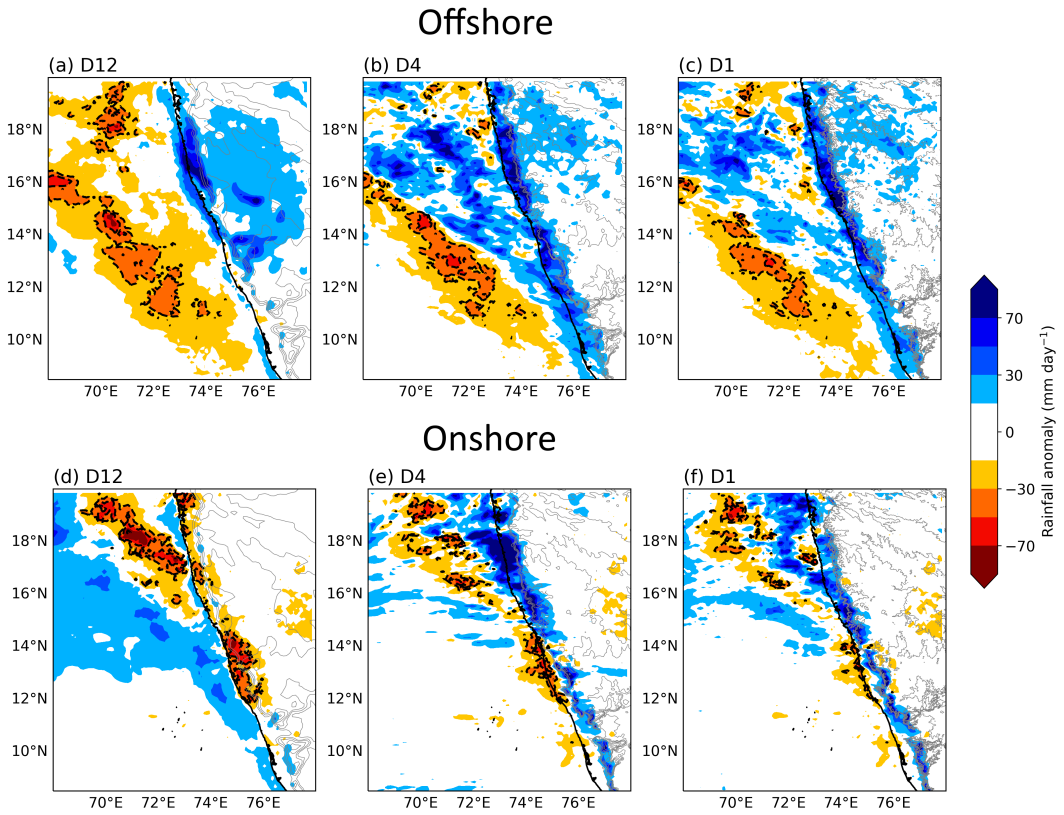
**FIGURE 1** Model domains and orography for (a) Control run, and (b) *NoOrog* run described in section 4.1. Grid spacings: D12 - 12 km, D4 - 4 km, and D1 - 1.33 km.



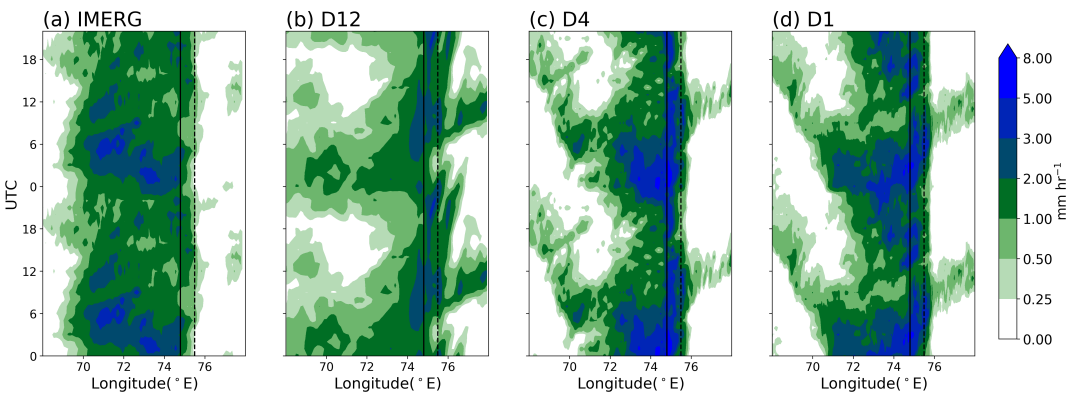
**FIGURE 2** Hovmöller plot of 12-14°N averaged rainfall in (a) IMERG, (b) D12, (c) D4, and (d) D1 during 20-27 June 2016. The solid black line shows the mean longitude of the coast and the dotted line shows the mean longitude of the Western Ghats peak between 12-14°N.



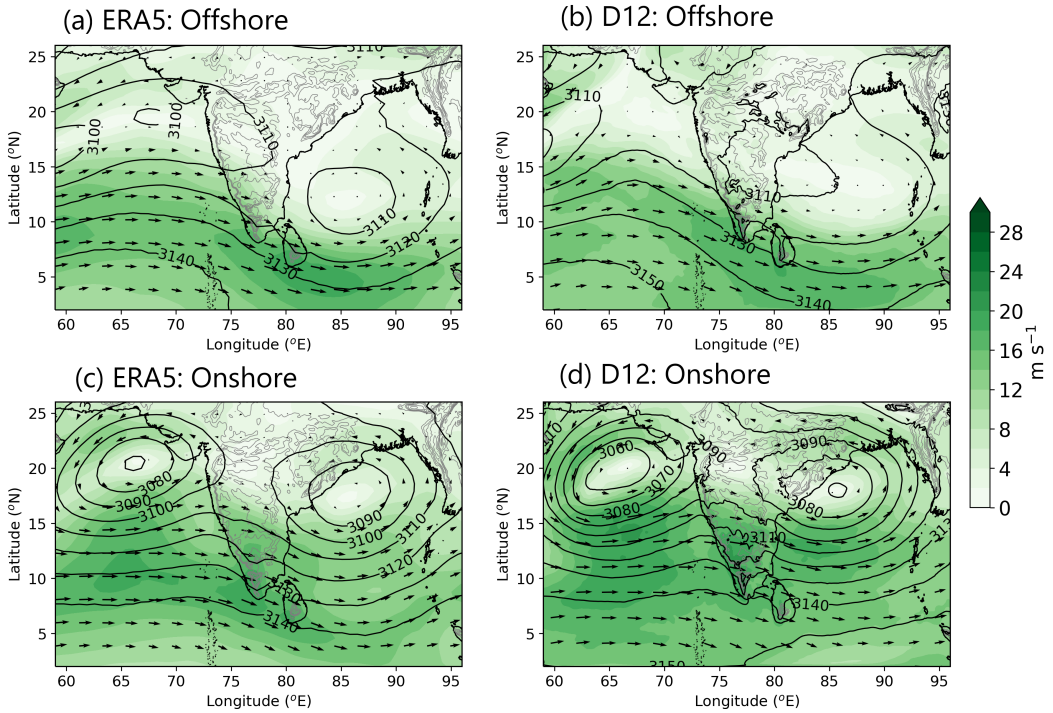
**FIGURE 3** Mean rainfall during the offshore mode (20-24 June) in (a) IMERG, (b) D12, (c) D4, and (d) D1. (e)-(g) are the same as (a)-(d), respectively, but for the onshore mode (26-27 June). The stars show the locations of Mangalore (coast) and Amini Divi (island) stations. The circles along the west coast in (a) and (e) show the locations of rain gauges from which data is used and their face colours show the mean rainfall recorded by them during respective modes.



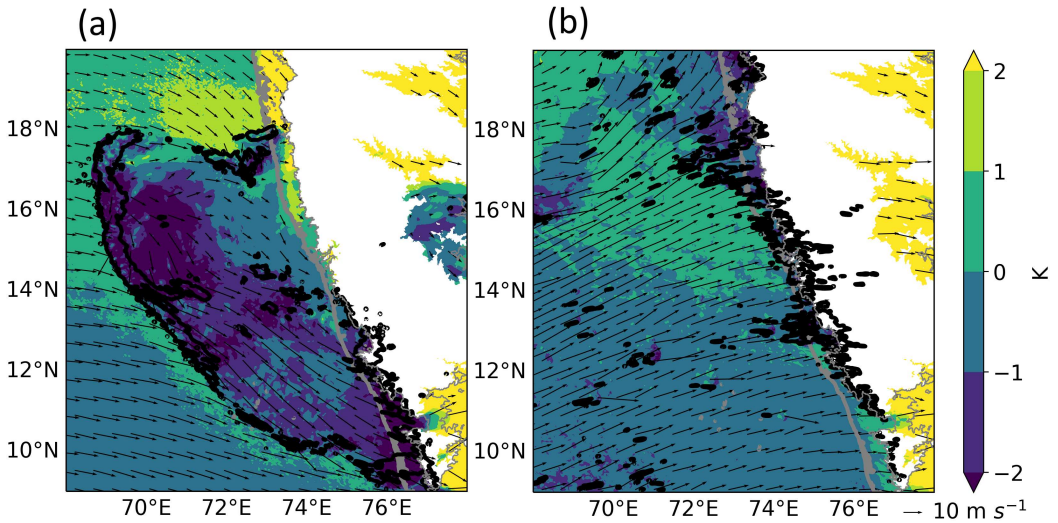
**FIGURE 4** Rainfall anomaly in (a) D12, (b) D4, and (c) D1 with respect to the IMERG rainfall during the offshore mode. (d)-(f) Same as (a)-(c), respectively, but during the onshore mode.



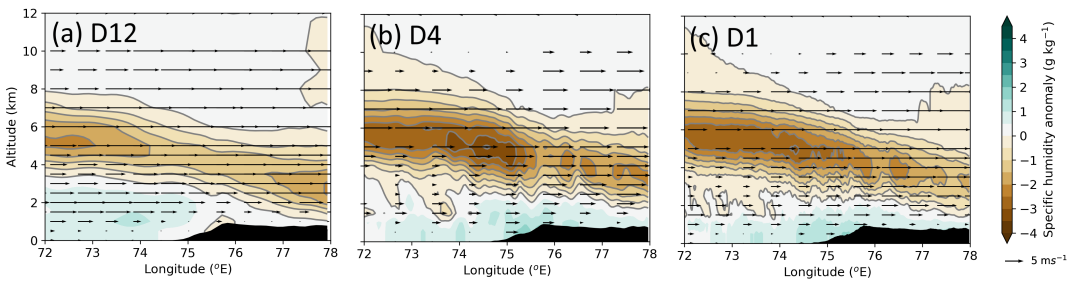
**FIGURE 5** Diurnal variation of mean rainfall over 12-14°N band in (a) IMERG, (b) D12, (c) D4, and (d) D1 during the offshore mode.



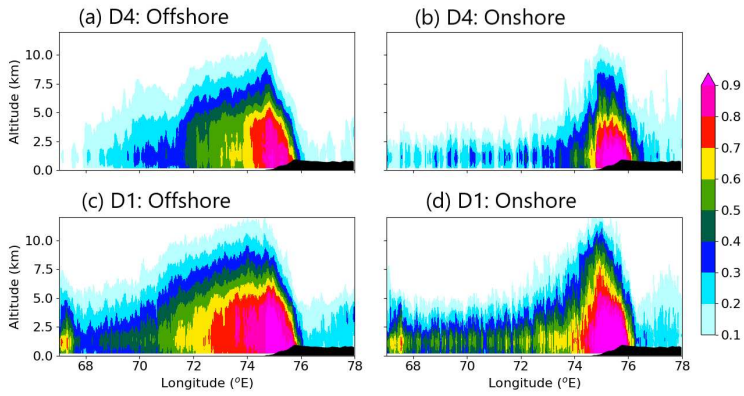
**FIGURE 6** Mean 700 hPa geopotential height (m) contours and wind speed (shading) from (a) ERA5 and (b) D12 during the offshore mode. (c),(d) same as (a),(b) but for the onshore mode.



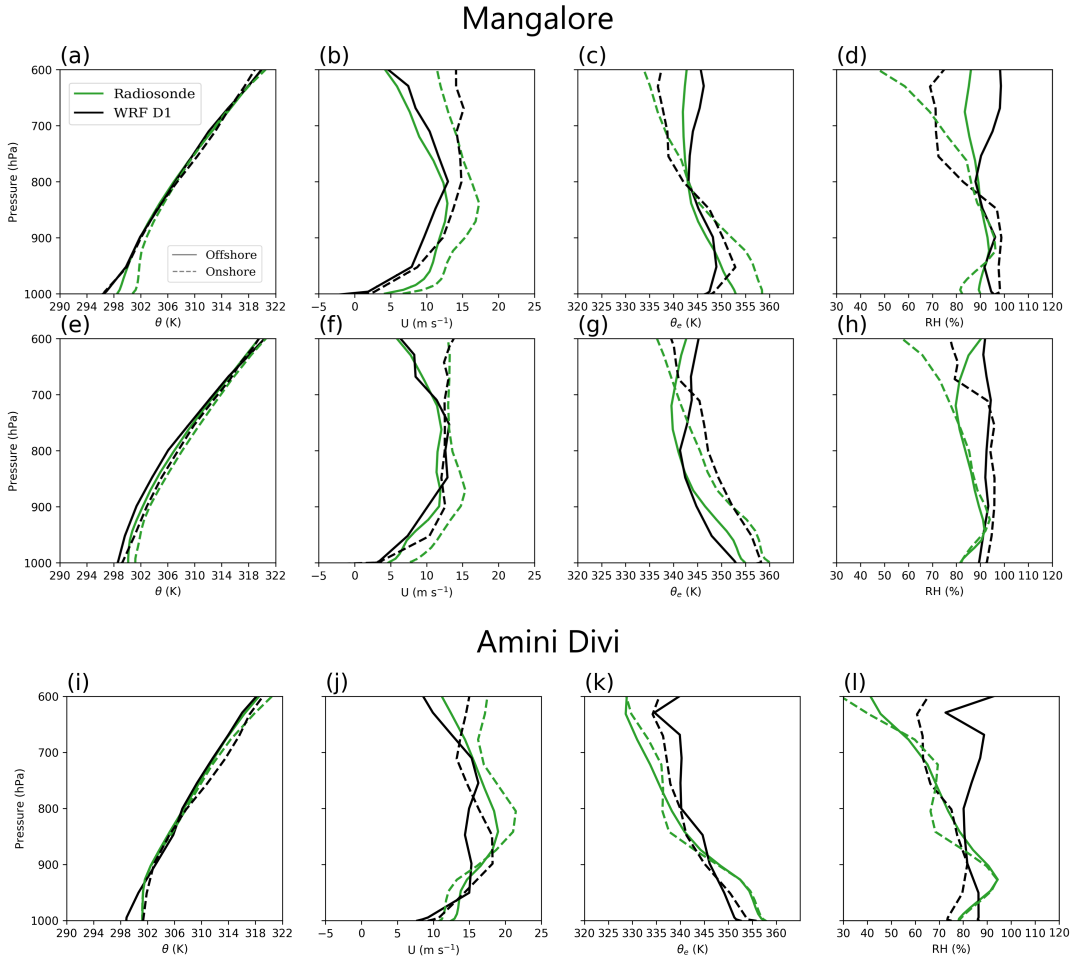
**FIGURE 7** Virtual potential temperature perturbation (shading) and winds at 950 hPa in D1 at (a) 0500 UTC 20 June (offshore mode) and (b) 0500 UTC 27 June (onshore mode); The black contours delineate regions where rainfall  $\geq 5 \text{ mm hr}^{-1}$ .



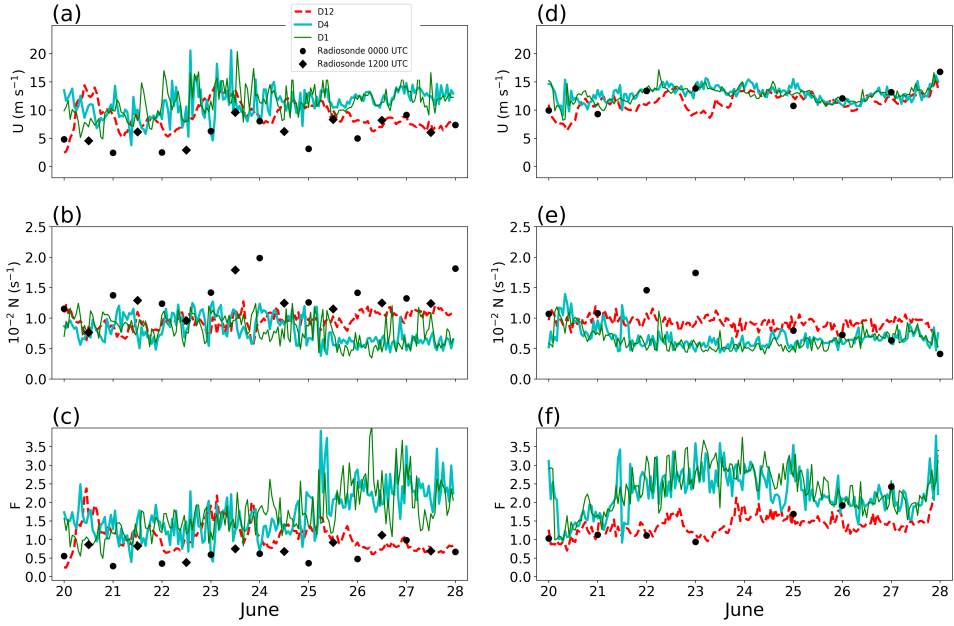
**FIGURE 8** Difference between the mean zonal winds (vectors) and specific humidity (shading) over the 12-14°N band during the onshore and offshore modes (onshore-offshore) simulated by (a) D12, (b) D4 and (c) D1. The grey contours delineate negative anomalies of the specific humidity.



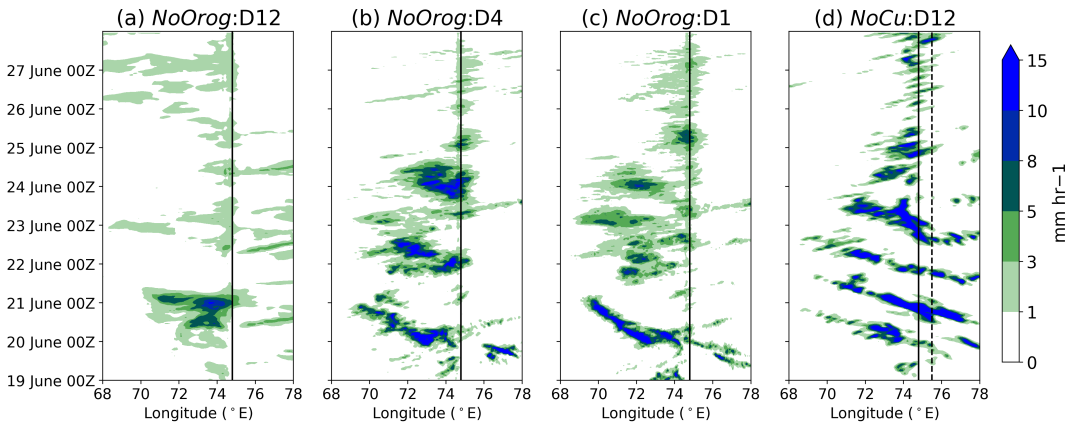
**FIGURE 9** Temporal fraction for which the simulated radar reflectivity was  $\geq 20$  dBZ in at least one grid box in the 12–14°N band in D4 during the (a) offshore and (b) onshore modes. (c)–(d) are same as (a)–(b), respectively, but for the D1 domain.



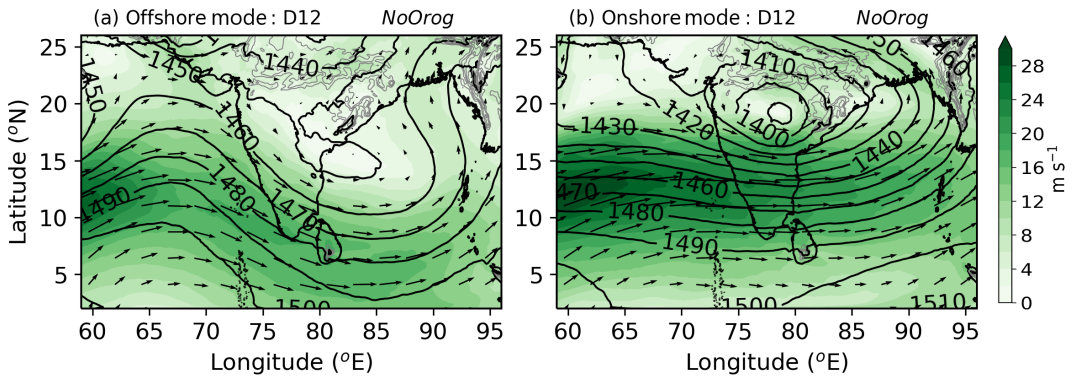
**FIGURE 10** Mean vertical profiles of (a) Potential temperature ( $\theta$ ), (b) zonal winds ( $U$ ), (c) equivalent potential temperature ( $\theta_e$ ), and (d) relative humidity during the offshore and onshore modes from the 0000 UTC Mangalore (a coastal station) radiosondes and the corresponding simulated soundings in D1. (e)-(h) are the same as (a)-(d) but for the 1200 UTC radiosondes. (i)-(l) are the same as (a)-(d) but for the 0000 UTC Amini Divi (an island station) radiosondes. The simulated profiles are averaged over a 12 km box centred over the sounding location.



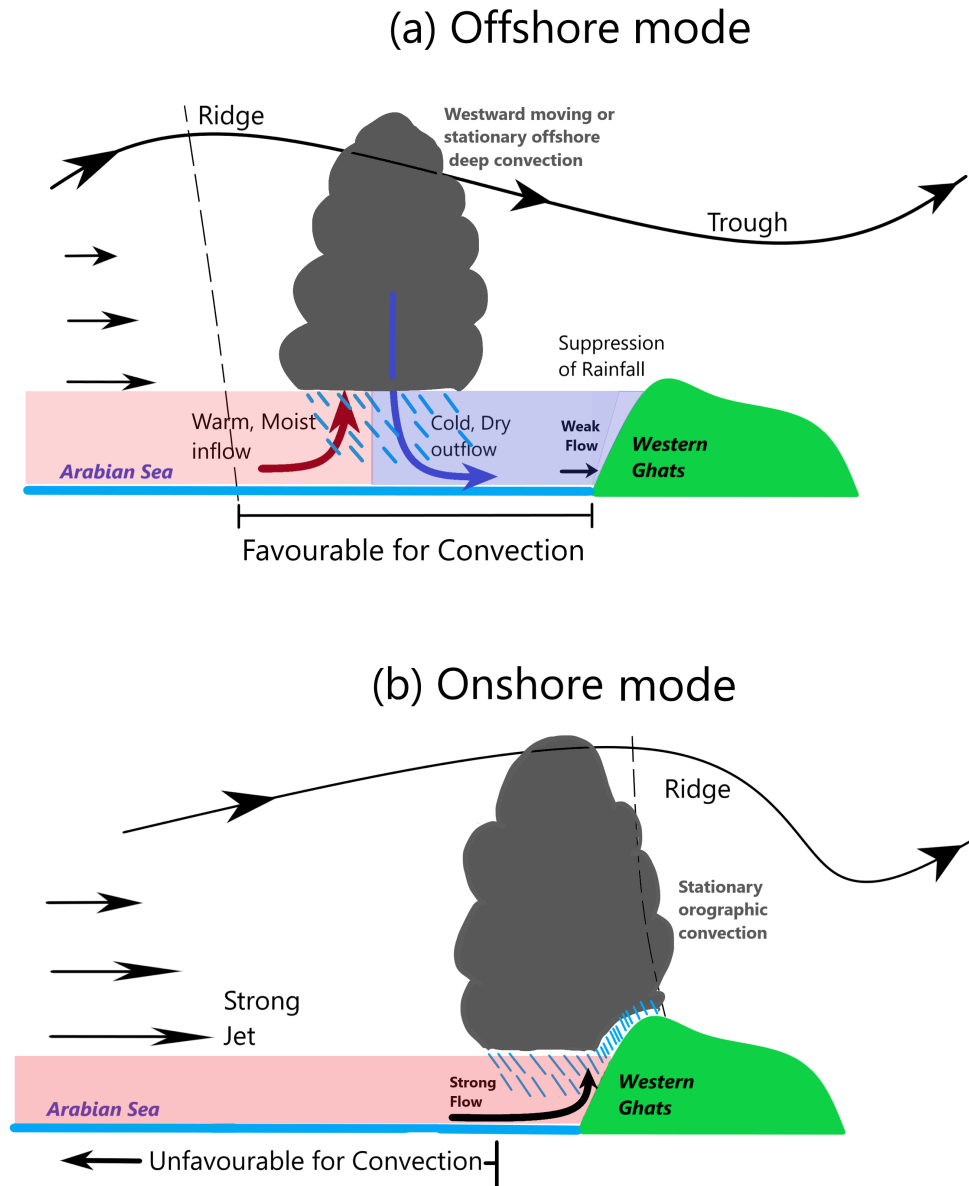
**FIGURE 11** Mean values of (a) Zonal winds ( $U$ ), (b) Brunt-Väisälä frequency ( $N$ ), and (c) Froude number ( $F$ ) over the 50-1000 m layer calculated from the 0000 and 1200 UTC Mangalore (a coastal station) radiosonde soundings and the hourly simulated soundings in the three WRF grids (D12, D4, and D1) over the same location as Mangalore. (d)-(f) are same as (a)-(c) but for the Amini Divi (an island station) radiosonde soundings.



**FIGURE 12** Hovmöller plot of 12-14°N averaged rainfall in (a) D12, (b) D4, and (c) D1 from the *NoOrog* simulation. (d) Same as (a) but for the *NoCu* simulation. The solid black line shows the mean longitude of the coast and the dotted line shows the mean longitude of the Western Ghats peak between 12-14°N.



**FIGURE 13** Mean 850 hPa geopotential height (m) contours and wind speed (shading) during the (a) offshore and (b) onshore modes in the D12 domain from the *NoOrog* simulation.



**FIGURE 14** Schematics of the (a) offshore and (b) onshore rainfall modes over the west coast of India. An offshore trough facilitates offshore convection and rainfall over the Arabian Sea. The cold and dry outflows from the offshore rainfall suppress rainfall over the Western Ghats. During onshore mode, a ridge over the coast suppresses offshore rainfall. Westerlies are stronger during this phase and enhanced rainfall over the coast and Western Ghats results from the orographic uplifting.