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# Small-polaron mediated recombination in titanium dioxide from first principles

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Nonradiative recombination leads to losses in efficiency in optoelectronic devices such as photovoltaic cells and light-emitting diodes. Charges trapped at point defects or self-trapped as a small polaron may act as recombination centers. Using various phases of titanium dioxide as an example, we provide first-principles predictions that small hole polarons in the bulk of the crystal would exhibit significant rates of recombination with electrons in the conduction band. However, small hole polarons trapped at a model grain boundary are predicted to have much higher nonradiative recombination rates, which can be attributed to softer phonon modes in the vicinity of the boundary as well as greater electron-phonon coupling. These findings have ramifications in materials other than titanium dioxide, and we propose strategies to reduce the degree of recombination that would occur at grain boundaries.

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#### I. INTRODUCTION

The recombination of photoexcited charge carriers plays a crucial role in determining the properties of semiconducting materials with relevance to applications in photovoltaics, photocatalysis, and photoelectrochemistry. The trapping of electrons or holes at various defects in materials including point defects (such as vacancies, impurities, and interstitials) and extended defects (such as dislocations [1,2], surfaces [3,4], and grain boundaries [5–7]) is known to enhance rates of recombination. A trapped electron or hole may recombine with its oppositely charged counterpart in either a radiative process (through the emission of a photon) or a nonradiative process (through the emission of phonons). In highly polarizable materials, the trapping of charge carriers in the perfect bulk lattice is also possible, leading to the formation of small polarons, which are quasiparticles consisting of a charge carrier coupled to a distortion in the crystal [8]. In principle, small polarons generated under photoexcitation of a semiconductor may also act as recombination centers but such processes are rarely considered and there are many open questions. For example, do small polarons facilitate radiative and nonradiative recombination and what factors influence their relative rates? In the former case, can the associated photoluminescence be disentangled from that of other intrinsic and extrinsic defects?

TiO<sub>2</sub> is an archetypal example of an optoelectronic material that is sufficiently polarizable for small polarons to form.

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Depending on the polymorph in question, either electron, hole, or both types of small polarons can form [9,10]. TiO<sub>2</sub> has seen extensive study due to its wide range of potential applications; including as an electron transport layer in solar cells [11] and as a photocatalyst for removing harmful pollutants from the air and for the splitting of water for hydrogen production [12,13]. In particular, the anatase polymorph of TiO<sub>2</sub> has drawn significant interest due to its very high electron mobility, which can be attributed to it exhibiting favorable self-trapping of holes, but not of electrons [10]. A computational study has predicted that this behavior is also shared by the less-studied polymorphs, brookite and TiO<sub>2</sub>(B) [9]. Despite the huge body of work, details of how charge carriers recombine in TiO<sub>2</sub> remain elusive. Recombination processes can be probed experimentally through photoluminescence (PL) spectroscopy, but it is extraordinarily challenging to disentangle recombination occurring at self-trapped charge carriers in bulk from recombination occurring at point or extended defects. There has been extensive work concerning anatase, but various mechanisms have been proposed regarding the competing radiative and nonradiative processes [14–16]. For other polymorphs, such as brookite and  $TiO_2(B)$ , there are very few experimental results in the literature.

In this study, we present results of a first-principles investigation of radiative and nonradiative recombination in the bulk of three TiO<sub>2</sub> polymorphs where small hole polarons are predicted to be stable: anatase, brookite, and TiO<sub>2</sub>(B). Standard density functional theory (DFT) approaches are not sufficient for modeling localized charges, so we employ a hybrid DFT approach where the fraction of Fock exchange we introduce is explicitly tailored for an accurate description of small polarons in TiO<sub>2</sub> by matching to a known constraint in exact DFT [9]. We investigate both radiative and nonradiative recombination and provide predicted PL lineshapes in order to aid comparison with experiment. We determine that self-trapped polarons in the bulk of these polymorphs would not lead to a significant degree of nonradiative recombination

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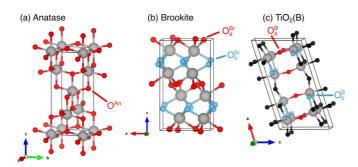


FIG. 1. Conventional unit cells of (a) anatase, (b) brookite, and (c)  $TiO_2(B)$ . Large gray spheres are titanium and small spheres are oxygen. Oxygen sites where hole polarons can form are color coded and labeled in red and blue. Oxygen sites where hole polarons are not predicted to form are black.

and suggest that nonradiative recombination involving self-trapped holes predominantly occurs elsewhere in the crystal, such as at extended defects. This prediction is supported by the higher capture coefficients that we calculate for holes trapped in the vicinity of a grain boundary in anatase.

#### II. BACKGROUND AND THEORY

Physically speaking, a polaron consists of a charge carrier coupled to a cloud of virtual phonons that describe the lattice polarization induced by the presence of the carrier [17]. A standard DFT calculation does not explicitly contain any terms corresponding to these phonons, but the introduction of an excess charge carrier to a simulation supercell will introduce forces that correspond to the lattice distortion. Geometry optimization procedures can minimize these forces such that, given a suitable DFT functional and a sensible starting geometry, a standard DFT functional can correctly describe the geometry of a polaron at 0 K, so long as the polaron is small enough that it can be captured within the supercell. Vibrational effects can then be accounted for by treating nuclei classically within the Born-Oppenheimer approximation and considering how the total energy from DFT changes with ionic displacements [18–20].

All of the TiO<sub>2</sub> phases considered in this study are shown in Fig. 1. For anatase, there is only one distinct oxygen site with one corresponding polaron [Fig. 1(a)]. In brookite, there are two distinct oxygen sites each with an associated polaron, which we label  $O_a^{Br}$  and  $O_b^{Br}$  [Fig. 1(b)]. In TiO<sub>2</sub>(B), there are four inequivalent oxygen sites, where only two will allow the formation of polarons, where we label these two sites  $O_a^B$  and  $O_b^B$  [Fig. 1(c)]. Note that  $O_a^B$  is two-coordinated, whereas all of the other sites are three-coordinated. In each phase, these hole polarons are used to tune a hybrid DFT functional such that it satisfies the generalized Koopmans' condition (GKC), which gives an expression for the ionization energy of a system of N electrons, I(N), as

$$-I(N) \equiv E(N) - E(N-1) = \epsilon_N(N), \tag{1}$$

where E(N) is the total energy of an N electron system, and  $\epsilon_i(N)$  is the ith eigenvalue of the N electron system. Such an approach has been shown to accurately reproduce experimental results [21–23] in molecular systems. In this work, we use

GKC-tuned fractions of Fock exchange of 10.5% for anatase and brookite, and 12.0% for  $TiO_2(B)$ . A more complete description of this approach and of the polarons in each phase can be found in Ref. [9].

We model the recombination of a hole self-trapped on an oxygen with an electron in the conduction band  $(O_O^+ + e^- \rightarrow O_O^0)$ . The procedures for determining simulated PL spectra [24] and electron capture coefficients [25] for radiative and nonradiative recombination, respectively, have been outlined by Alkauskas *et al.* For radiative recombination, the bulk of the work lies in calculating the spectral function, A, as a function of photon energy,  $\hbar\omega$ , as

$$A(\hbar\omega) = \sum_{m,n} w_m(T) |\langle \chi_{em} | \chi_{gn} \rangle|^2 \times \delta(\Delta E + \hbar\omega_{em} - \hbar\omega_{gn} - \hbar\omega).$$
 (2)

The sum runs over all vibrational levels with energies  $\hbar\omega_{em}$  and  $\hbar\omega_{em}$  for the excited and ground state, respectively, where  $w_m(T)$  is the thermal occupation of the excited state at temperature T and where  $\chi_{e,g}$  are ionic wave functions.  $\Delta E$  is the difference in energy between the excited and ground states, which we take from the charge transition level (CTL) of the defect. To allow numerical evaluation, the  $\delta$  function is replaced with a Gaussian smearing function. For nonradiative recombination, we wish to calculate the capture coefficient, C(T), which is given by

$$C(T) = V \frac{2\pi}{\hbar} g W_{if}^2 \sum_{m} w_m(T) \sum_{n} |\langle \chi_{em} | Q | \chi_{gn} \rangle|^2$$
$$\times \delta(\Delta E + \hbar \omega_{em} - \hbar \omega_{gn}). \tag{3}$$

All terms in this equation have the same meaning as in Eq. (2), aside from the newly appearing terms of V, the volume of the simulation supercell; g, the degeneracy of the defect, which is one for all of the polarons we consider; Q, an appropriately chosen configuration coordinate, which shall be outlined later when we discuss the practicalities of the calculation; and  $W_{if}$ , the electron-phonon coupling term which, in this problem, can be given by

$$W_{if} = (\epsilon_f - \epsilon_i) \left\langle \psi_i \left| \frac{\partial \psi_f}{\partial Q} \right\rangle, \tag{4}$$

where  $\epsilon_i$  and  $\epsilon_f$  are the single-particle energy eigenvalues of the single-particle orbitals  $\psi_i$  and  $\psi_f$ , obtained by solving the Kohn-Sham equations. For a nondegenerate state such as the polarons we are considering, the derivative  $\partial \psi_f/\partial Q$  can be calculated numerically using finite differences as outlined in Ref. [25].

Examining Eqs. (2) and (3), we can see that both problems are similar in that they require the calculation of overlap integrals between the ionic wave functions,  $\chi$ . Essentially, the majority of the required work follows the same procedure

- (1) Determine the ground- and excited-state potential energy surface.
- (2) Determine the ionic wave functions corresponding to these potential energy surfaces.
- (3) Calculate the required overlap integrals between the ionic wave functions in order to yield the quantities of interest.

TABLE I. Key values for the polarons studied in this work: nonradiative recombination barrier  $(E_b^{NR})$ ; the calculated ionization barrier  $(E_b^{I})$  and range of activation energies  $(E_{act})$  for hole polaron hopping in bulk anatase, brookite, and  $TiO_2(B)$  taken from Ref. [30]; total mass-weighted distortions  $(\Delta Q)$ ; electron-phonon coupling matrix elements  $(W_{if})$ ; and effective frequencies for the ground  $(\hbar\Omega_g)$  and excited state  $(\hbar\Omega_g)$ .

Site	E <sub>b</sub> <sup>NR</sup> (eV)	E <sub>b</sub> <sup>I</sup> (eV)	E <sub>act</sub> (eV)	$\Delta Q$ (amu $^{\frac{1}{2}}$ Å)	$W_{if}$ (eV amu $^{-\frac{1}{2}}$ Å $^{-1}$ )	$\hbar\Omega_{g}$ (meV)	$\hbar\Omega_{e}$ (meV)	$S_g$
$O^{An}$	0.74	0.24	0.12-0.36	2.16	$2.2 \times 10^{-4}$	47	41	20.4
$O_a^{Br}$	1.57	0.12	0.08-0.33	2.06	$33.9 \times 10^{-4}$	44	34	17.0
$O_b^{\mathrm{Br}}$	1.67	0.10	0.08-0.33	2.30	$66.8 \times 10^{-4}$	39	29	18.9
$O_a^B$	1.01	0.42	0.04-0.39	2.16	$4.6 \times 10^{-4}$	50	39	23.3
$O_b^B$	1.40	0.53	0.04-0.41	2.20	$0.2 \times 10^{-4}$	45	40	22.7
$O^{An}_{GB-3c} \\$	0.23	0.50		2.99	$65.4 \times 10^{-4}$	34	32	37.8
$O^{An}_{GB-2c} \\$	0.40	0.27		2.60	$17.2 \times 10^{-4}$	39	33	30.2

Normally, describing the potential energy surface (PES) would not be trivial as it would require the consideration of all vibrational degrees of freedom. However, the phonons that couple most strongly to the lattice distortion are the ones that contribute most to the electron-phonon coupling, where the strength of the electron-phonon coupling can be quantified by the Huang-Rhys factor, S, which corresponds to the average number of phonons created in a vertical transition and which is given by

$$S_{e,g} = \frac{\Delta E_{e,g}^{\text{rel}}}{\hbar \Omega_{e,g}},\tag{5}$$

where  $\Delta E_{e,g}^{\rm rel}$  are the relaxation energies associated with a vertical transition to the excited or ground states. In the case of defects with strong electron-phonon coupling  $(S\gg 1)$ , it has been shown numerically that it is a good approximation to employ a one-dimensional (1D) model where all the vibrational degrees of freedom are replaced by a single, special phonon mode that maps the excited state onto the ground state [24]. We are concerned with Huang-Rhys factor of the final state,  $S_g$ , which corresponds to the vertical transition from the excited state to the ground state and which is found to be  $\gg 1$  for all polarons we consider (Table I). In this 1D model, a generalized configuration coordinate, Q, is defined as

$$Q^{2} = \sum_{i} M_{i} [R_{i}(t) - R_{i}(0)]^{2}, \tag{6}$$

where  $M_i$  are the atomic masses and  $R_i(t)$  are the atomic coordinates at configuration t, where t = 0 corresponds to the geometry of the pristine bulk and t = 1 corresponds to an optimized polaron geometry. The value of Q at the polaron geometry is referred to as  $\Delta Q$ .

Now, for each polaron, a PES is produced for both the ground state (a neutrally charged system corresponding to  $O_O^0$ ) and the excited state (a positively charged system corresponding to  $O_O^+$ ) by calculating single-point energies for range of interpolated images between  $-\Delta Q$  to  $2\Delta Q$  in increments of  $0.1\Delta Q$ . The energy difference between the minima of each PES is taken from the charge transition level. This yields

a 1D configuration coordinate diagram from which various quantities of interest can be extracted. These quantities are illustrated in a schematic example (Fig. 2). Next, the 1D Schrödinger equation is solved in order to yield the ionic wave functions. This, as well as the subsequent overlap integrals, are achieved using the CarrierCapture.jl code [26], which uses splines to fit to the calculated points along the PES, enabling the study of recombination at defects that may have an anharmonic PES. CarrierCapture.jl has been used extensively to elucidate recombination processes at defects in photovoltaic materials [27–29].

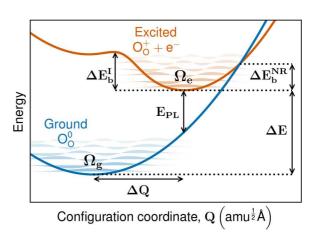


FIG. 2. Schematic example of a configuration coordinate diagram for a hole polaron in  $\text{TiO}_2$ . The potential energy surfaces for the excited and the ground state are quantum oscillators with effective frequencies of  $\Omega_{\rm e}$  and  $\Omega_{\rm g}$ , respectively. The difference in energy between the curves is  $\Delta E$ , which is taken from the charge transition level. The difference in the configuration coordinate between lowest energy geometries of the excited state and the ground state is given by  $\Delta Q$ . The crossing point of each curve corresponds to the barrier to nonradiative recombination,  $E_{\rm b}^{\rm NR}$ . The charge may also delocalize, causing the excited system to take on the geometry of the ground state; the barrier to this ionization process is labeled as  $E_{\rm b}^{\rm I}$ . Also shown is an idealized value of the peak photoluminescent emission energy,  $E_{\rm PL}$ , at low temperatures.

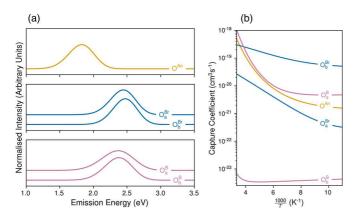


FIG. 3. (a) The simulated PL lineshapes associated each of the considered hole polarons. Curves have been offset vertically for brookite and  ${\rm TiO_2}(B)$  in the interest of clarity. (b) Calculated capture coefficients for each of the hole polarons. Capture coefficients are extremely small between 50 to 300 K and do not indicate significant nonradiative recombination in bulk.

### III. RESULTS AND DISCUSSION

We evaluate the profile of the PL lineshapes at 77 K (liquid nitrogen temperature) [Fig. 3(a)]. For now, we shall focus on anatase, where we obtain a PL peak,  $E_{PL}$ , of 1.86 eV, which is a slight underestimate of experimentally reported value of around 2.2 eV [31–34]. It should be noted that while the functional we use has been carefully paramterized to describe small polarons accurately, it has a tendency to underestimate experimental band gaps slightly, predicting a gap of 2.94 eV compared to experimental values of around 3.20 eV. An incorrect band gap limits the degree to which the simulated PL peaks,  $E_{\rm PL}$ , can be quantitatively compared to experiment. In fact, even functionals which have been tuned to reproduce the experimental band gap do not necessarily produce the correct value of  $E_{PL}$  [24]. Nevertheless, if we make the assumption that absorption is dominated by the transition from the VBM to CBM, then we can then define the Stokes shift as  $\Delta E_{\rm PL} =$  $E_{\rm g}-E_{\rm PL}$ , where  $E_{\rm g}$  is the calculated band gap of the relevant polymorph containing the polaron. In the case of anatase, we find that our calculated value of  $\Delta E_{\rm PL} = 1.08$  eV is in reassuring agreement with experimental observations which generally range from around 0.9 to 1.3 eV [31–34].

Brookite and TiO<sub>2</sub>(B) present a more troublesome picture than anatase as there are far fewer published studies and, of those that do exist, the focus is often on samples with unusual morphology or a high concentration of dopants and defects; both properties can significantly reduce the optical band gap by introducing states either above or below the VBM and CBM, respectively. The experimental consensus from PL experiments is that brookite has a band gap of around 3.1 to 3.4 eV [35–37]. This value is comparable to the experimental consensus for the band gap of anatase, which is at odds with theoretical predictions that brookite should have a significantly wider band gap than anatase [9,38], specifically greater by 0.26 eV in this study. PL peaks are similarly hard to pin down, with a common value being around 3.0 eV [36,37]. For TiO<sub>2</sub>(B), the range of band gaps of reported band gaps is even wider, going from 2.9 to 3.6 eV [39-42]. As might be expected, photoluminescent peaks range from around 1.9 to 2.9 eV and are variably attributed to self-trapped charges and oxygen vacancies [42–44]. Plainly, the experimental evidence for both brookite and  $\text{TiO}_2(B)$  is too inconsistent to make a proper comparison with our simulations, but we provide our results in the hope that they may aid interpretation of future experiments. Notably, we predict that the peak emission for a given polaron is almost identical across different sites in the same phase, indicating that we should expect only one peak for radiative recombination of bulk polarons.

Regarding nonradiative recombination, we find that all the considered self-trapped holes in bulk have very large barriers to nonradiative recombination (marked as  $E_{\rm b}^{\rm NR}$  on Fig. 2 and determined by the crossing point of the PESs) with correspondingly tiny capture coefficients (generally within the range of around  $10^{-21}$  to  $10^{-18}$  cm<sup>3</sup> s<sup>-1</sup>) and therefore would not be likely to undergo nonradiative recombination. However, PL experiments performed on anatase have determined that some proportion of the recombination occurring at self-trapped polarons is nonradiative [14–16], with deep hole-trapping states being explicitly implicated in one study [45]. We propose that the majority of this observed nonradiative recombination is not occurring in the pristine bulk, but instead occurs in the vicinity of other defects; this could mean the recombination of a hole in the valence band with an electron trapped at a defect, or it could mean a hole trapped at a defect recombining with an electron in the conduction band. A previous computational study in our group using the same functional determined that the barriers to hole polaron hopping and ionization in these polymorphs are significantly lower than the barriers to nonradiative recombination in the bulk [30], suggesting that photogenerated holes would be mobile at relatively low temperatures. This would allow the holes to migrate through the bulk until they encounter a deeper trap at, for example, grain boundaries or surfaces. These deeper traps would have a charge transition level (CTL) closer to the middle of the band gap and would likely have a lower barrier and, making them more conducive to nonradiative recombination. This supports previous experimental work which demonstrates that surfaces contribute to a large proportion of the nonradiative recombination that occurs in TiO<sub>2</sub> [15].

Figure 3(b) appears to show an anomaly in the form of O<sub>b</sub>, which has a capture coefficient far lower than all of the other sites. This could, in part, be attributed to  $O_a^B$  having a lower barrier to nonradiative recombination,  $E_b^{NR}$ , when compared to the  $O_b^B$ . However, both the  $O_a^{Br}$  and  $O_b^{Br}$  sites in brookite have larger barriers than  $O_b^B$  and yet still show much larger capture coefficients. Clearly, it is not only the barrier to nonradiative recombination that is important in determining the capture coefficient; these results highlight the role of the electron-phonon coupling term,  $W_{if}$ , in nonradiative recombination. Site O<sub>b</sub> has an electron-phonon coupling term that is around an order of magnitude lower than the electron-phonon coupling term compared to site OaB, which then leads to a far lower capture coefficient. Such a large difference between two sites in the same material should not be surprising given that they are in significantly different bonding environments, with  $O_b^B$  being three-coordinated and  $O_a^B$  being two-coordinated. This raises a question regarding extended defects: Aside from differences in the electronic structure and trapping energies,

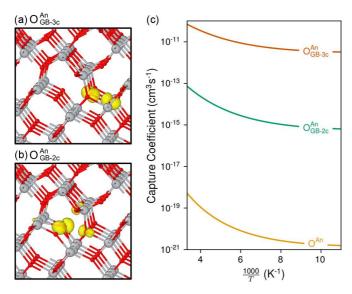


FIG. 4. Structural models and spin density isosurfaces (displayed at  $0.01~a_0^{-3}$ ) associated with hole polarons at the (a)  $O_{GB-3c}^A$  and (b)  $O_{GB-2c}^A$  sites in the  $\Sigma 5\{103\}$  GB in anatase. (c) Calculated capture coefficients for each of the hole polarons in the vicinity of the anatase GB compared with a polaron in bulk anatase.

could the different bonding environments at grain boundaries be responsible for increased rates of nonradiative recombination?

Previous studies using the same hybrid-DFT functional have shown that, in general, grain boundaries only weakly perturb the electronic structure of anatase, even if the boundary imposes a significantly different bonding environment on the atoms [7]. One example is the  $\Sigma$ 5{103} anatase grain boundary [46], which exhibits no change to the band gap and no trap states in the equilibrium geometry, but which does exhibit a mixture of three- and two-coordinated O sites. This provides a convenient case study, as it allows us to directly investigate the effects that different coordination environments have on the carrier recombination in anatase, without the analysis being muddied by the effects of severe perturbations to local electronic structure. Lowest energy configurations are identified for a hole polaron trapped at the three-coordinated grain boundary site that we label  $O_{GB-3c}^{An}$  [Fig. 4(a)] and a two-coordinated grain boundary site that we label O<sub>GB-2c</sub> [Fig. 4(b)].

We find that the capture coefficients for a polaron trapped at either of these grain boundary sites are significantly higher than for a polaron trapped in the bulk [Fig. 4(c)]. This is, in part, due to both GB sites having a much greater value for electron-phonon coupling term,  $W_{if}$ , but is also due to lower barriers to recombination. The explanation for the lower barrier in  $O_{GB-3c}^{An}$  is straightforward as it has a formation energy that is 0.27 eV more favorable than the polaron in bulk, which leads to a CTL closer to the middle of the gap which brings the PES for the ground and excited states closer together. However, the formation energy of  $O_{GB-2c}^{An}$  is only slightly more favorable than the bulk (by around 0.02 eV) and so has a similar CTL, yet we find that barrier to recombination is much lower. This can be understood by considering the effective vibrational frequencies of the trap,  $\hbar\Omega_{\{e,g\}}$ , which are

defined as

$$\hbar\Omega_{\{e,g\}} = \frac{\partial^2 E_{\{e,g\}}}{\partial O^2},\tag{7}$$

and are shown in Table I and Fig. 2. The values of  $\hbar\Omega_{\{e,g\}}$  for  $O_{GB-2c}^{An}$  correspond to significantly lower energies than for  $O^{An}$ , indicating that the phonon modes associated with the traps at the boundary are softer and easier to activate, even at lower temperatures. This leads to the trap at the boundary having a lower barrier to recombination, despite the formation energy of the trap being almost identical to the bulk-like trap.

These effects are unlikely to be unique to anatase and will have ramifications across a broad range of materials and applications. For example, there is evidence of increased nonradiative recombination in the vicinity of grain boundaries of methylammonium lead iodide (MAPI) [47] and CdTe [48]. This may not be entirely due to an increased density of point defects near the boundary due to segregation or even the depth of the traps at these defects, but may also be due to the increased capture coefficients due to the softer phonons in the vicinity of the GB structure itself, along with a greater degree of electron-phonon coupling at the boundary. Furthermore, the intrinsically pathological nature of recombination at grain boundaries will be further complicated by changes to electronic structure at the boundary; the  $\Sigma$ 5{103} was chosen for this study due to the convenient feature of having an unchanged band gap, but not all boundaries will be so ideal. For example, a material may exhibit a narrowed band gap in the vicinity of the GB due to the CBM being lower in energy [49]. In this case, we should expect excess electrons to segregate towards the boundary. A small hole polaron formed at such a boundary should then also be expected to show increased rates of nonradiative recombination due to a greater overlap between the electron and holes, in addition to the increased electron-phonon coupling. Conversely, consider a system in which band gaps are wider at the boundary [50]. Now, we would expect that an introduced electron would preferentially segregate away from the boundary and therefore the recombination at the boundary would be lowered due to the decreased overlap between the carriers, which are now occupying different regions of

The latter example of a widened band gap leading to increased carrier separation and decreased carrier recombination highlights the importance of carrier separation. In fact, good carrier separation is so important that even a material with comparatively poor optical absorption can outperform a material with good optical absorption, so long as the poor absorber shows better charge separation [45]. Improving carrier separation to improve photovoltaic efficiency can be achieved by introducing a heterogeneous interface (for example, CdSe/CdS core/shell nanoparticles [51,52]) but it can also be achieved at grain boundaries within a material by utilizing dopants that segregate to boundaries and create a space-charge region that encourages charge separation (for example, CdTe solar cells doped with Cl) [53]. We suggest that a focus should be placed on engineering grain boundaries to enhance carrier separation in order to prevent carriers having the opportunity to recombine at all, given the fact that grain boundaries can significantly enhance recombination.

#### IV. CONCLUSION

In summary, we have performed first-principles calculations to determine nonradiative recombination rates of electrons with small hole polarons in various phases of TiO<sub>2</sub>. We predict that recombination is extremely slow in bulk, but that grain boundaries can increase recombination rates due to the presence of softer phonon modes and an increase in the degree of electron-phonon coupling. We suggest that nonradiative recombination at grain boundaries could be reduced by doping strategies that focus on increasing the degree of carrier separation that occurs at the boundary. This study focuses only on small polarons, yet large polarons are also known to play a significant role in recombination in, for example, organic-inorganic halide perovskite devices [54,55]. A recent theoretical development capable of describing both small and large polarons at all couplings [20] may be able to provide an avenue into studying the effects grain boundaries have on recombination mediated by polarons at different scales.

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University of York. All data created during this research are available by request from the University of York Research database [56].

#### APPENDIX: COMPUTATIONAL METHODS

All first-principles calculations are carried out using the implementation of hybrid DFT within CP2K [57]. We employ a version of hybrid DFT where long-range HF exchange integrals are truncated, referred to as PBE $\alpha$ -TC-LRC) [58,59], where we determine that a truncation radius of 6 Å is well converged with regards to lattice parameters and band gaps. We use a fraction of Fock exchange that ensures that the generalized Koopmans' condition (GKC) is obeyed to within 0.05 eV in the bulk of each material: 10.5% for anatase and brookite, and 12.0% for TiO<sub>2</sub>(B).

The computational cost of hybrid calculations is reduced by the auxiliary density matrix method (ADMM) [60] in which exchange integrals are approximated through mapping onto smaller, more localized basis sets. We use triple- $\zeta$  basis sets optimized from molecular calculations (MOLOPT) [61] and Goedecker-Teter-Hutter pseudopotentials available within CP2K [62–64] for both titanium and oxygen, where the 3s, 3p, 3d, and 4s electrons are considered valence for titanium and the 2s and 2p electrons are considered valence for oxygen. We use five multigrids with a relative cutoff of 60 Ry, the finest grid having a cutoff of 600 Ry. As CP2K only samples the  $\Gamma$  point, we converge properties with respect to supercell size as opposed to k-point sampling in reciprocal space. A detailed description of the convergence of all of these quantities for each of the phases considered here can be found in our previous work along with a more detailed description of our generalized Koopmans' condition-tuned functional for TiO<sub>2</sub> polymorphs [9].

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