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**Article:**

Li, J, Jiang, W, Ge, S et al. (3 more authors) (2022) General analytical solutions for one-dimensional nonlinear consolidation of saturated clay under non-isothermal distribution condition. *International Journal for Numerical and Analytical Methods in Geomechanics*, 46 (10). pp. 1811-1830. ISSN 0363-9061

<https://doi.org/10.1002/nag.3369>

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1     **General analytical solutions for one-dimensional nonlinear consolidation of**  
2             **saturated clay under non-isothermal distribution condition**

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10 **Abstract:** The fluctuation of temperature leads to the changes of physical-mechanical properties  
11 of clayey soils. In some practical projects such as landfills, the compacted clay liner is usually  
12 subjected to a non-isothermal distribution state. For one-dimensional nonlinear consolidation  
13 process of saturated clay under non-isothermal distribution condition, the general analytical  
14 solutions considering time-dependent loading are derived for the first time, where the methods of  
15 algebraic transformation and separation variable are used. Moreover, two forms of boundary  
16 conditions are included according to engineering practice. Referring to the proposed general  
17 analytical solutions, the expressions for the analytical solutions under instantaneous loading pattern  
18 and single-stage linear loading pattern are developed. Besides, the correctness of the presented  
19 analytical solutions is validated by comparing with the existing analytical solutions and finite  
20 difference solutions. Based on the proposed analytical solutions, the influence of temperature  
21 gradient, final loading and loading time on the consolidation behaviors is analyzed. It is found that  
22 the increase in temperature gradient accelerates the consolidation rate, and the average volume  
23 compressibility coefficient decreases by 65.4% when final loading increases from 50kPa to 500kPa.  
24 In conclusion, the analytical solutions proposed in this study are more comprehensive and can be  
25 applied in different engineering cases.

26 **Key words:** general analytical solutions; saturated clay; nonlinear consolidation; non-isothermal  
27 distribution; loading pattern; consolidation behavior

## 28 1 INTRODUCTION

29 Consolidation theory of soils is one of the most basic theories in geotechnical engineering.  
30 Since Terzaghi<sup>1</sup> proposed the one-dimensional small-strain consolidation theory, many scholars  
31 have carried out experimental and theoretical studies on the consolidation characteristics of soils<sup>2-</sup>  
32 <sup>8</sup>. The consolidation theory evolved gradually from linear constitutive relationship to nonlinear  
33 constitutive relationship<sup>5-8</sup>. Besides, multi-layered soils<sup>5,9-10</sup>, time-dependent loading<sup>7,11-12</sup>, and  
34 continuous drainage boundary were considered<sup>13-15</sup>. Nevertheless, the effects of temperature on the  
35 one-dimensional consolidation behaviors were ignored in the above consolidation theories.

36 Numerous experimental studies have found that the change of temperature would lead to the  
37 variation in physical-mechanical properties of soils<sup>16-23</sup>, which resulted in many geotechnical  
38 engineering problems such as soils deformation, strength loss and stability failures. For example,  
39 the physical-mechanical properties of saturated and compacted clay used as a liner system in the  
40 landfills or nuclear waste disposal sites can be obviously affected by temperature<sup>16,21,24-26</sup>.  
41 Meanwhile, the compressibility and permeability of soft soils usually show nonlinear changes  
42 during the consolidation process, especially for saturated clay<sup>3-4,27-28</sup>. Therefore, it is necessary to  
43 investigate the one-dimensional nonlinear consolidation process of clay by taking into  
44 consideration the effects of temperature.

45 To explore the consolidation characteristics of clay considering the effects of temperature,  
46 many related experimental studies were carried out<sup>19,29-37</sup>. Paaswell carried out the heating test of  
47 soils under constant loading and found that the increase in temperature led to the soil settlement,  
48 where the concept of “thermal consolidation” was proposed for the first time<sup>29</sup>. Some experimental  
49 researches showed that the influence of temperature on the compressibility of clay was related to  
50 the stress history<sup>33-34</sup>, and the pre-consolidation pressure of soils gradually decreased with

51 increasing the temperature<sup>30,36</sup>. Furthermore, the generation and dissipation of excess pore water  
52 pressure (EPWP) could be affected by temperature<sup>31</sup>. Besides, Di Donna and Laloui discovered  
53 that the primary consolidation coefficient and permeability coefficient of soils increased under  
54 thermal cycling loading, thus accelerating the consolidation rate<sup>19</sup>.

55 Meanwhile, the related analytical theories of soils consolidation process considering  
56 temperature field were also investigated<sup>38-43</sup>. Based on the thermal-hydraulic-mechanical coupling  
57 consolidation theory, Bai and Aboulseiman developed the analytical solution for one-dimensional  
58 thermal consolidation of soils by finite Fourier transformation<sup>38</sup>. Shen and Wu obtained the  
59 analytical solution for one-dimensional consolidation of soils with thermo-mechanical coupling by  
60 a variable separation method<sup>39</sup>. Liu et al. developed an analytical solution for one-dimensional  
61 nonlinear consolidation of soft soils under constant heat sources, where the secondary consolidation  
62 of soils was considered<sup>40</sup>. Subsequently, a semi-analytical solution under varied loading and  
63 constant heat source was proposed, where the top boundary was assumed to be a semi-permeable  
64 boundary<sup>42</sup>. Moreover, the creep and thermal consolidation behaviors of saturated clays were  
65 introduced into the consolidation process<sup>43</sup>. However, the above theoretical studies mainly  
66 considered the consolidation process with thermal loading, and the effects of temperature on the  
67 permeability were mostly neglected. At present, the non-isothermal distribution condition has not  
68 been introduced into the consolidation theory, in which the effects of temperature on the  
69 permeability should also be considered.

70 For the barrier system of landfills and geological disposal of wastes, the saturated and  
71 compacted clay is commonly used<sup>16,21,24,26,44</sup>. The chemical reaction process of the medium  
72 produces large amounts of heat and increases the temperature in the internal field. In contrast, the  
73 temperature of the soils and groundwater outside the field is generally lower<sup>16,24,26,45-47</sup>. Therefore,

74 clay liner is usually subjected to a non-isothermal distribution condition. Moreover, in the areas  
75 with an extremely high temperature or extremely low temperature, the surface clay is greatly  
76 affected by the ambient temperature, while the temperature of the deep layer of clay remains  
77 stable<sup>41,48</sup>, thereby leading to a non-isothermal distribution condition of clay. To sum up, it is  
78 necessary to consider the non-isothermal distribution condition in the consolidation theory of clay.

79 In this study, the governing equation for one-dimensional nonlinear consolidation of clay  
80 under non-isothermal distribution conditions is derived with some assumptions. Then, the general  
81 analytical solutions are proposed under time-dependent loading for the first time using the methods  
82 of algebraic transformation and variable separation, where two forms of boundary conditions are  
83 included. In addition, the proposed analytical solutions are demonstrated by comparing with the  
84 existing analytical solutions and finite difference solutions. Finally, the influence of temperature  
85 gradient, final loading and loading time on the consolidation behaviors is analyzed based on the  
86 analytical solutions developed in this study.

## 87 **2 MATHEMATICAL MODEL**

### 88 **2.1 SCHEMATIC DIAGRAM AND BASIC ASSUMPTIONS**

89 The schematic diagram for one-dimensional nonlinear consolidation process of saturated clay  
90 under non-isothermal distribution condition is shown in Figure 1. Two forms of drainage boundary  
91 conditions are considered: single-sided drainage case and double-sided drainage case. The case that  
92 the top boundary is pervious and the bottom boundary is impervious is denoted as PTIB case, while  
93 the case that both boundaries are pervious is denoted as PTPB case. As shown in Figure 1,  $z$   
94 represents the downward vertical coordinate, and  $q(t)$  represents the time-dependent loading  
95 subjected to the clay with a thickness of  $L$ . Moreover, to consider the effects of non-isothermal  
96 distribution condition on the consolidation process<sup>49</sup>, it is assumed that the temperature  $T$  in clay

97 is linearly distributed with depth and rapidly reaches a stable state<sup>46-47,50-51</sup>, where  $T_a$  and  $T_b$   
98 represent the temperature at the top and bottom boundary, respectively.

99 Experimental studies have shown that the permeability coefficient of clay increased with the  
100 increase of temperature, and it is general believed that the variation in permeability coefficient is  
101 mainly related to the change of dynamic viscosity coefficient<sup>16,20,52-53</sup>. Meanwhile, some  
102 experimental results showed that in a certain range of temperature (e.g. 20~80°C), the pre-  
103 consolidation pressure of clay decreased slightly with the increase of temperature, and the effect of  
104 temperature on the compressibility index was negligible<sup>19,30,33-34</sup>. Furthermore, Zhang et al.  
105 discovered that the compressibility curves of clay at different temperatures remained almost  
106 unchanged after conducting consolidation experiments on two types of clay samples at different  
107 temperatures<sup>35</sup>. In addition, it is widely assumed that the initial effective stress is constant with  
108 depth for the nonlinear consolidation theory of clay, and the strain of soil particles and water under  
109 surcharge loading can be ignored<sup>2-3,6-8</sup>. In this case, the compressibility index is assumed to be  
110 constant to develop the analytical solutions considering the low compressibility of saturated clay.  
111 Besides, the following assumptions are made<sup>2-3,6-8,28,47-48</sup>:

112 (1) The clay is homogeneous and saturated during the consolidation process;

113 (2) The assumption of small-strain is established;

114 (3) Soil particles and pore water are incompressible, and only the vertical drainage is  
115 considered;

116 (4) The seepage of water in clay follows Darcy's law;

117 (5) The initial effective stress of clay remains constant along the depth;

118 (6) The temperature distribution in clay will rapidly stabilize, and the effect of heat conduction  
119 can be neglected during the consolidation process;

120 (7) During the consolidation process, the following logarithm relations ( $e - \log \sigma'$  and  $e -$   
 121  $\log k_v$ ) are defined to reflect the nonlinear variations of compressibility and permeability<sup>7,28,40</sup>:

$$122 \quad e = e_0 - C_{cT} \log(\sigma'/\sigma'_0) \quad (1)$$

$$123 \quad e = e_0 + C_{kT} \log(k_v/k_{v0}) \quad (2)$$

124 where  $e$  and  $e_0$  are the void ratio and initial void ratio of clay, respectively;  $\sigma'$  and  $\sigma'_0$  are the  
 125 effective stress and the initial effective stress of clay, respectively;  $C_{cT}$  is the compressibility index  
 126 of clay;  $k_v$  and  $k_{v0}$  are the permeability coefficient and the initial permeability coefficient of  
 127 clay, respectively;  $C_{kT}$  is the permeability index of clay. Referring to the existing studies<sup>2,3,40</sup>,  $C_{cT}$   
 128 and  $C_{kT}$  are assumed to be constants.

## 129 2.2 GOVERNING EQUATION

130 The permeability coefficient  $k_v$  of clay is affected by void ratio  $e$  and temperature  $T$ .  
 131 Based on the existing researches<sup>16,20,52-53</sup>, the relationship of permeability coefficient  $k_v$  with  
 132 temperature  $T$  can be written as:

$$133 \quad \frac{k_v(T)}{k_v(R)} = \frac{K_v(T)\eta_R}{K_v(R)\eta_T} \quad (3)$$

134 where  $R$  is the reference temperature (e.g. 20°C);  $K_v$  is the intrinsic permeability coefficient;  $\eta_R$   
 135 and  $\eta_T$  are the dynamic viscosity coefficients at temperature  $R$  and  $T$ , respectively.

136 For clay, it is generally considered that the intrinsic permeability coefficient  $K_v$  remains  
 137 constant in a certain temperature range (e.g. 20~60°C), and the change of permeability coefficient  
 138 is attributed to the change of the dynamic viscosity coefficient<sup>16,20,52-53</sup>. Thus, Eq. (3) can be  
 139 rewritten as:

$$140 \quad \frac{k_v(T)}{k_v(R)} = \frac{\eta_R}{\eta_T} \quad (4)$$

141 Under standard atmospheric pressure, the dynamic viscosity coefficients of water at different  
 142 temperatures are shown in Table 1 (i.e. the temperature range of 0°C~100°C is considered).



143 According to Table 1, it is found that the following relationship can be used to approximately  
 144 describe the change of dynamic viscosity coefficient with temperature:

$$145 \quad \frac{\eta_R}{\eta_T} = 0.029T + 0.428 \quad (R^2 = 0.993) \quad (5)$$

146 According to Eq. (2) and Eq. (3), the relationship between the permeability coefficient  $k_v$   
 147 and temperature  $T$  can be expressed as:

$$148 \quad k_v(T) = k_v(R)(0.029T + 0.428) \quad (6)$$

149 Since the rapid conduction process of temperature, based on the related researches<sup>46-47,50-51</sup>,  
 150 the distribution of temperature  $T$  in clay can be expressed as:

$$151 \quad T(z) = T_a + Mz \quad (7)$$

152 where  $M = (T_b - T_a)/L$ ,  $M$  represents the temperature gradient, the unit of  $M$  is °C/m. It  
 153 should be noted that to develop the analytical solutions, the case of  $M = 0$  °C/m is not considered  
 154 in this study (i.e. the isothermal distribution condition is not considered).

155 Combining Eq. (6) with Eq. (7), the temperature-dependent permeability coefficient  $k_v(T)$   
 156 can be transformed into the depth-dependent permeability coefficient  $k_v(z)$ :

$$157 \quad k_v(z) = k_{v,R} \times (\theta z + Q_a) \quad (8)$$

158 where  $\theta = 0.029M$ ,  $\theta$  represents the permeability coefficient gradient, and the unit of  $\theta$  is  
 159 1/m;  $k_{v,R}$  is the permeability coefficient at the reference temperature  $R$ ;  $Q_a = 0.029T_a + 0.428$ ,  
 160  $k_v(0) = Q_a k_{v,R}$ ,  $k_v(0)$  is the permeability coefficient at the top boundary of clay.

161 According to Eq. (1), Eq. (2) and Eq. (8), the permeability coefficient  $k_v$  can be further  
 162 expressed as:

$$163 \quad k_v = k_{v0,R}(\theta z + Q_a) \left( \frac{\sigma'_0}{\sigma'_t} \right)^{c_{cT}/c_{kT}} \quad (9)$$

164 where  $k_{v0,R}$  is the initial permeability coefficient at the reference temperature  $R$ .

165 Based on the above assumptions, the governing equation for one-dimensional nonlinear

166 consolidation can be written as:

$$167 \quad \frac{\partial}{\partial z} \left( \frac{k_v}{\gamma_w} \frac{\partial u}{\partial z} \right) = - \frac{1}{1+e_0} \frac{\partial e}{\partial t} \quad (10)$$

168 where  $u$  represents the excess pore water pressure (EPWP);  $\gamma_w$  is the unit weight of water.

169 According to the principle of effective stress and applied surcharge loading  $q(t)$ , the effective  
170 stress can be written as:

$$171 \quad \sigma' = \sigma'_0 + q(t) - u \quad (11)$$

172 Combined with Eq. (1), Eq. (9) and Eq. (10), the governing Eq. (11) can be rewritten as:

$$173 \quad \frac{\partial}{\partial z} \left[ \frac{k_{v0,R}}{\gamma_w} (\theta z + Q_a) \left( \frac{\sigma'_0}{\sigma'} \right)^{C_{cT}/C_{kT}} \frac{\partial u}{\partial z} \right] = m_{v0} \frac{\sigma'_0}{\sigma'} \left( \frac{\partial u}{\partial t} - \frac{dq}{dt} \right) \quad (12)$$

174 where  $m_{v0} = \frac{C_{cT}}{\ln 10 \times (1+e_0) \sigma'_0}$ ,  $m_{v0}$  is the initial volume compressibility coefficient.

175 The initial condition can be expressed as:

$$176 \quad u(z, 0) = q_0 \quad (13)$$

177 where  $q_0 = q(0)$ ,  $q_0$  is the surcharge loading at the initial moment.

178 The boundary conditions can be presented as:

$$179 \quad u(0, t) = 0, \quad \frac{\partial u(L, t)}{\partial z} = 0 \quad (\text{for PTIB case}) \quad (14a)$$

$$180 \quad u(0, t) = 0, \quad u(L, t) = 0 \quad (\text{for PTPB case}) \quad (14b)$$

### 181 3 ANALYTICAL SOLUTIONS FOR MATHEMATICAL MODEL

#### 182 3.1 GENERAL ANALYTICAL SOLUTIONS

183 When the logarithm relations ( $e - \log \sigma'$  and  $e - \log k_v$ ) are adopted to describe the  
184 nonlinear variations in compressibility and permeability, the compressibility index and  
185 permeability index are usually close<sup>2-3,40</sup>. To develop the analytical solutions for the governing Eq.  
186 (12), it is assumed that  $C_{cT}/C_{kT} = 1$ . Therefore, the governing Eq. (12) can be further developed  
187 as:

188 
$$C_{v0,R} \left\{ (\theta z + Q_a) \left[ \frac{\partial^2 u}{\partial z^2} + \frac{1}{\sigma'} \left( \frac{\partial u}{\partial z} \right)^2 \right] + \theta \frac{\partial u}{\partial z} \right\} = \frac{\partial u}{\partial t} - \frac{dq}{dt} \quad (15)$$

189 where  $C_{v0,R} = \frac{k_{v0,R}}{m_{v0}\gamma_w}$ ,  $C_{v0,R}$  is defined as the initial consolidation coefficient corresponding to the  
 190 reference temperature  $R$ .

191 By introducing a variable  $Z = \sqrt{\theta z + Q_a}$  and considering the chain rule of derivative, the  
 192 following transformation can be obtained:

193 
$$\frac{\partial u}{\partial z} = \frac{\partial u}{\partial Z} \frac{\theta}{2Z} \quad (16)$$

194 The governing equation can be further derived by introducing Eq. (16) into Eq. (15), which  
 195 leads to Eq. (17):

196 
$$\lambda^2 \left[ \frac{\partial^2 u}{\partial Z^2} + \frac{\partial u}{\partial Z} \frac{1}{Z} + \frac{1}{\sigma'} \left( \frac{\partial u}{\partial Z} \right)^2 \right] = \frac{\partial u}{\partial t} - \frac{dq}{dt} \quad (17)$$

197 where  $\lambda = \frac{1}{2} \sqrt{C_{v0,R} \theta^2}$ ,  $\lambda$  represents a constant coefficient.

198 Thus, the corresponding initial conditions and boundary conditions can be rewritten as:

199 
$$u(Z, 0) = q_0 \quad (18)$$

200 
$$u(Z_0, t) = 0, \quad \frac{\partial u(Z_1, t)}{\partial Z} = 0 \quad (\text{for PTIB case}) \quad (19a)$$

201 
$$u(Z_0, t) = 0, \quad u(Z_1, t) = 0 \quad (\text{for PTPB case}) \quad (19b)$$

202 where  $Z_0 = \sqrt{Q_a}$ ,  $Z_1 = \sqrt{\theta L + Q_a}$ .

203 To obtain the analytical solution, a new variable  $w(Z, t)$  is introduced, leading to Eq. (20):

204 
$$w(Z, t) = \ln \left[ \frac{\sigma'}{\sigma'_0 + q(t)} \right] = \ln \left[ \frac{\sigma'_0 + q(t) - u}{\sigma'_0 + q(t)} \right] \quad (20)$$

205 According to Eq. (20), the governing Eq. (17) can be further expressed as:

206 
$$\lambda^2 \left[ \frac{\partial^2 w}{\partial Z^2} + \frac{\partial w}{\partial Z} \frac{1}{Z} \right] = \frac{\partial w}{\partial t} + F(t) \quad (21)$$

207 where  $F(t) = \frac{1}{\sigma'_0 + q(t)} \frac{dq}{dt}$ .

208 Again, the corresponding initial conditions and boundary conditions can be rewritten as:

209 
$$w(Z, 0) = w_0 \quad (22)$$

210  $w(Z_0, t) = 0, \frac{\partial w(Z_1, t)}{\partial Z} = 0$  (for PTIB case) (23a)

211  $w(Z_0, t) = 0, w(Z_1, t) = 0$  (for PTPB case) (23b)

212 where  $w_0 = \ln\left(\frac{\sigma'_0}{\sigma'_0 + q_0}\right)$ .

213 According to the forms of governing Eq. (21) and corresponding conditions, and referring to  
 214 variable separation method used by Zhu and Yin<sup>54</sup>, it is assumed that:

215  $w(Z, t) = \sum_{m=1}^{\infty} H_m(Z)T_m(t)$  (24)

216 where  $H_m(Z)$  and  $T_m(t)$  are functions related to  $Z$  and  $t$ , respectively.

217 By introducing Eq. (24) into Eq. (21), Eq. (25) can be obtained:

218  $\lambda^2 \left[ \frac{\partial^2 H_m(Z)T_m(t)}{\partial Z^2} + \frac{\partial H_m(Z)T_m(t)}{\partial Z} \frac{1}{Z} \right] = \frac{\partial H_m(Z)T_m(t)}{\partial t} + F_m H_m(Z)F(t)$  (25)

219 where  $1 = \sum_{m=1}^{\infty} F_m H_m(Z)$ ,  $F_m$  is an undetermined constant related to  $m$ .

220 Based on Eq. (25), the relationships related to  $H_m(Z)$  and  $T_m(t)$  can be written as:

221  $\frac{\partial^2 H_m(Z)}{\partial Z^2} + \frac{\partial H_m(Z)}{\partial Z} \frac{1}{Z} = -\frac{\beta_m^2}{\lambda^2} H_m(Z)$  (26)

222  $\frac{\partial T_m(t)}{\partial t} + F_m F(t) = -\beta_m^2 T_m(t)$  (27)

223 where  $\beta_m$  is an undetermined constant related to  $m$ .

224 According to the form of governing Eq. (26), it can be determined that the general solution of

225  $H_m(Z)$  is:

226  $H_m(Z) = A_m J_0\left(\frac{\beta_m}{\lambda} Z\right) + B_m Y_0\left(\frac{\beta_m}{\lambda} Z\right)$  (28)

227 where  $J_0$  and  $Y_0$  are the Bessel functions of the first and second kind of zero order, respectively;

228  $A_m$  and  $B_m$  are undetermined constants related to  $m$ , respectively.

229 According to the form of governing Eq. (27), the general solution of  $T_m(t)$  is expressed as:

230  $T_m(t) = \exp(-\beta_m^2 t) \left[ C_m - \int_0^t F_m F(\tau) \exp(\beta_m^2 \tau) d\tau \right]$  (29)

231 where  $C_m$  is an undetermined constant related to  $m$ .

232 Combined with Eq. (28) and Eq. (29), the expression of  $w(Z, t)$  is shown as:

233  $w(Z, t) = \sum_{m=1}^{\infty} W_{0m}(Z) \exp(-\beta_m^2 t) \left[ C_m - \int_0^t F_m F(\tau) \exp(\beta_m^2 \tau) d\tau \right]$  (30)

234 where  $W_{0m}(Z) = A_m J_0\left(\frac{\beta_m}{\lambda} Z\right) + B_m Y_0\left(\frac{\beta_m}{\lambda} Z\right)$ .

235 When PTIB case is considered, the transcendental equation of  $\beta_m$  can be expressed as:

236  $J_0\left(\frac{\beta_m}{\lambda} Z_0\right) Y_1\left(\frac{\beta_m}{\lambda} Z_1\right) - Y_0\left(\frac{\beta_m}{\lambda} Z_0\right) J_1\left(\frac{\beta_m}{\lambda} Z_1\right) = 0$  (31)

237 where  $J_1$  and  $Y_1$  are the Bessel functions of the first and second kind of one order, respectively.

238 After determining  $\beta_m$  in Eq. (31) and introducing  $A_m = 1$ , the expression of  $B_m$  can be  
239 developed as:

240  $B_m = -J_0\left(\frac{\beta_m}{\lambda} Z_0\right) / Y_0\left(\frac{\beta_m}{\lambda} Z_0\right)$  (32)

241 When PTPB case is considered, the transcendental equation of  $\beta_m$  is determined as:

242  $J_0\left(\frac{\beta_m}{\lambda} Z_0\right) Y_0\left(\frac{\beta_m}{\lambda} Z_1\right) - Y_0\left(\frac{\beta_m}{\lambda} Z_0\right) J_0\left(\frac{\beta_m}{\lambda} Z_1\right) = 0$  (33)

243 Similarly, introducing  $A_m = 1$ , the expression of  $B_m$  is shown as:

244  $B_m = -J_0\left(\frac{\beta_m}{\lambda} Z_0\right) / Y_0\left(\frac{\beta_m}{\lambda} Z_0\right)$  (34)

245 Although the expressions of  $B_m$  are the same in PTIB and PTPB cases, it should be pointed  
246 out that the transcendental equation for determining  $\beta_m$  is completely different (i.e. Eq. (31) and  
247 Eq. (33) are different), which means the values of  $B_m$  are different for the two cases.

248 Referring to the related researches<sup>54-56</sup>, the expression for  $F_m$  can be written as:

249  $F_m = \frac{\int_{Z_0}^{Z_1} Z W_{0m}(Z) dZ}{\int_{Z_0}^{Z_1} Z W_{0m}(Z) W_{0m}(Z) dZ}$  (35)

250 Similarly, the expression for  $C_m$  is shown as:

251  $C_m = \frac{\int_{Z_0}^{Z_1} w_0 Z W_{0m}(Z) dZ}{\int_{Z_0}^{Z_1} Z W_{0m}(Z) W_{0m}(Z) dZ} = w_0 F_m$  (36)

252 Therefore, combined with  $Z = \sqrt{\theta z + Q_a}$ , the expression for  $w(z, t)$  can be written as:

253  $w(z, t) = \sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a}) \exp(-\beta_m^2 t) F_m \left[ w_0 - \int_0^t \frac{1}{\sigma'_0 + q(\tau)} \frac{dq}{d\tau} \exp(\beta_m^2 \tau) d\tau \right]$  (37)

254 Combined with Eq. (20), the expression of  $u(z, t)$  can be written as:

255  $u(z, t) = [\sigma'_0 + q(t)] \times [1 - e^{w(z,t)}]$  (38)

256 Hence, the expression for the settlement of clay at any time  $t$  can be written as:

257  $S(t) = \frac{c_{cT}}{(1+e_0)} \int_0^L \log\left(\frac{\sigma'_0}{\sigma'_0}\right) dz = \frac{c_{cT}}{(1+e_0)} \int_0^L \log\left(\frac{\sigma'_0 + q(t) - u}{\sigma'_0}\right) dz = \frac{c_{cT}L}{(1+e_0)I} \sum_{i=1}^I \log\left(\frac{2\sigma'_0 + 2q(t) - u(z_i,t) - u(z_{i-1},t)}{2\sigma'_0}\right)$  (39)

258 where  $z_i = H \times i/I$ ,  $i = 1, 2, 3, \dots, I$ , and  $I$  is the number of intervals of clay.

259 The magnitude of the final loading is denoted as  $q_u$ . Thus, the expression for the final  
260 settlement is shown as:

261  $S_\infty = \frac{c_{cT}L}{(1+e_0)} \log\left(\frac{\sigma'_0 + q_u}{\sigma'_0}\right)$  (40)

262 Therefore, the consolidation degree  $U_s$  defined by settlement is:

263  $U_s = S(t)/S_\infty$  (41)

264 The consolidation degree  $U_p$  defined by EPWP is:

265  $U_p = \frac{\int_0^H [q(t) - u] dz}{\int_0^H q_u dz} = \frac{1}{q_u I} \sum_{i=1}^I \left\{ q(t) - \frac{[u(z_i,t) + u(z_{i-1},t)]}{2} \right\}$  (42)

266 Eq. (38), Eq. (41) and Eq. (42) are the general analytical solutions for the one-dimensional  
267 nonlinear consolidation of clay under non-isothermal distribution condition. When the drainage  
268 boundary condition and the variation patterns of surcharge loading are determined, the expression  
269 for analytical solutions can be obtained based on the above corresponding equations.

### 270 3.2 EXPRESSIONS FOR TWO LOADING PATTERNS

271 For the surcharge preloading method, instantaneous loading and linear loading are the most  
272 common patterns in the geotechnical engineering<sup>3,7,40</sup>. Figure 2 shows the variation of surcharge  
273 loading  $q(t)$  with time  $t$  under two loading patterns, where Figure 2(A) represents the  
274 instantaneous loading pattern and Figure 2(B) represents the single-stage linear loading pattern,  
275 respectively. Based on the general analytical solutions proposed in the above section, the  
276 expressions for the analytical solutions of clay under two loading patterns in Figure 2 are given  
277 below.

278 **3.2.1 INSTANTANEOUS LOADING PATTERN**

279 For the instantaneous loading pattern,  $q(t) = q_u$ , we have:

280  $w_0 = -\ln N_u$  (43)

281 where  $N_u = (\sigma'_0 + q_u)/\sigma'_0$ ,  $N_u$  represents the ratio of final effective stress to initial effective  
 282 stress.  $N_u$  is a parameter reflecting the nonlinear consolidation characteristics of saturated clay.

283 The expression of  $w(z, t)$  can be written as:

284  $w(z, t) = \sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a}) \exp(-\beta_m^2 t) w_0 F_m$  (44)

285 Therefore, combined with Eq. (44), the expression of  $u(z, t)$  is:

286  $u(z, t) = N_u \sigma'_0 \{1 - \exp[\sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a}) \exp(-\beta_m^2 t) w_0 F_m]\}$  (45)

287 **3.2.2 SINGLE-STAGE LINEAR LOADING PATTERN**

288 For single-stage linear loading pattern, the expression of  $q(t)$  can be expressed as:

289  $q(t) = \begin{cases} q_u t/t_c, & 0 \leq t \leq t_c \\ q_u, & t > t_c \end{cases}$  (46)

290 where  $t_c$  represents the linear loading time.

291 Combined with Eq. (37) and Eq. (46), it is known that  $w_0 = 0$ , and the expression of  $w(z, t)$   
 292 is:

293  $w(z, t) = \begin{cases} \sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a}) \exp(-\beta_m^2 t) F_m C_1, & 0 \leq t \leq t_c \\ \sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a}) \exp(-\beta_m^2 t) F_m C_2, & t > t_c \end{cases}$  (47)

294

295 In Eq. (47), the expression of  $C_1$  and  $C_2$  is:

296  $C_1 = -\exp\left(-\frac{\beta_m^2 t_c}{N_u - 1}\right) \left[\ln T + \sum_{k=1}^{\infty} \frac{\beta_m^{2k} t_c^k (T^k - 1)}{k! k (N_u - 1)^k}\right]$  (48)

297  $C_2 = -\exp\left(-\frac{\beta_m^2 t_c}{N_u - 1}\right) \left[\ln N_u + \sum_{k=1}^{\infty} \frac{\beta_m^{2k} t_c^k (N_u^k - 1)}{k! k (N_u - 1)^k}\right]$  (49)

298 where  $T = [(N_u - 1)t + t_c]/t_c$ .

299 Therefore, the expression of  $u(z, t)$  is:

300  $u(z, t) =$

301 
$$\begin{cases} (\sigma'_0 + q_u t/t_c)\{1 - \exp[\sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a})\exp(-\beta_m^2 t)F_m C_1]\}, & 0 \leq t \leq t_c \\ N_u \sigma'_0 \{1 - \exp[\sum_{m=1}^{\infty} W_{0m}(\sqrt{\theta z + Q_a})\exp(-\beta_m^2 t)F_m C_2]\}, & t > t_c \end{cases}$$

302 (50)

303 Once the expression of EPWP  $u$  is determined, the consolidation degree  $U_s$  defined by  
 304 settlement and  $U_p$  defined by EPWP can be obtained by Eq. (41) and Eq. (42), respectively. It  
 305 should be noted that the determination of parameters in the above expressions should be combined  
 306 with the corresponding boundary conditions.

## 307 4 VERIFICATION

### 308 4.1 COMPARISON WITH THE EXISTING ANALYTICAL SOLUTIONS

309 Xie et al. studied the one-dimensional nonlinear consolidation process of clayey soil under  
 310 time-dependent loading, where the analytical solutions for single-layer clay under instantaneous  
 311 loading pattern and single-stage linear loading pattern were presented<sup>3</sup>. When the instantaneous  
 312 loading pattern is considered, the expressions of EPWP  $u$  and the consolidation degree  $U_s$   
 313 defined by settlement for PTIB case are given as<sup>3</sup>:

314 
$$u(z, t) = \frac{q_u N_u}{(N_u - 1)} (1 - N_u^{-P}) \quad (50)$$

315 
$$U_s = 1 - \sum_{m=1}^{\infty} \frac{2}{M^2} \exp(-M^2 T_v) \quad (51)$$

316 where  $P = \sum_{m=1}^{\infty} \frac{2}{M} \sin\left(\frac{Mz}{H}\right) \exp(-M^2 T_v)$ ,  $T_v = C_{v0,R} t / H^2$ ,  $M = (2m - 1)\pi/2$ .

317 When single-stage linear loading pattern is considered, the expressions of  $u$  and  $U_s$  are  
 318 given as<sup>3</sup>:

319 
$$u(z, t) = \begin{cases} \frac{q_u T}{(N_u - 1)} [1 - \exp(-P_1)], & 0 \leq t \leq t_c \\ \frac{q_u N_u}{(N_u - 1)} [1 - \exp(-P_2)], & t > t_c \end{cases} \quad (52)$$



$$U_s = \begin{cases} \frac{1}{\ln N_u} \left[ \ln T - \sum_{m=1}^{\infty} \frac{2Q_1}{M^2} \exp(-M^2 T_v) \right], & 0 \leq t \leq t_c \\ \frac{1}{\ln N_u} \left[ \ln N_u - \sum_{m=1}^{\infty} \frac{2Q_2}{M^2} \exp(-M^2 T_v) \right], & t > t_c \end{cases} \quad (53)$$

In Eqs. (52)~(53), the expressions of related variables are:

$$P_1 = \sum_{m=1}^{\infty} \frac{2Q_1}{M} \sin\left(\frac{Mz}{H}\right) \exp(-M^2 T_v) \quad (54a)$$

$$P_2 = \sum_{m=1}^{\infty} \frac{2Q_2}{M} \sin\left(\frac{Mz}{H}\right) \exp(-M^2 T_v) \quad (54b)$$

$$Q_1 = \exp\left(-\frac{M^2 T_{vc}}{N_u - 1}\right) \left[ \ln T + \sum_{k=1}^{\infty} \frac{(M^2 T_{vc})^k (T^{k-1})}{k! k (N_u - 1)^k} \right] \quad (54c)$$

$$Q_2 = \exp\left(-\frac{M^2 T_{vc}}{N_u - 1}\right) \left[ \ln N_u + \sum_{k=1}^{\infty} \frac{(M^2 T_{vc})^k (N_u^k - 1)}{k! k (N_u - 1)^k} \right] \quad (54d)$$

$$T = \frac{T_{vc} + (N_u - 1)T_v}{T_{vc}} \quad (54e)$$

where  $T_{vc} = C_{v0,R} t_c / H^2$ .

Similarly, only  $H$  in the above expressions needs to be changed to  $H/2$  for PTPB case<sup>3,7</sup>.

To verify the correctness of the proposed analytical solutions in this study, two forms of drainage boundary conditions and two loading patterns are adopted for comparison. The calculation results of the analytical solutions under different temperature gradients are compared with the analytical solutions proposed by Xie et al.<sup>3</sup> As shown in Table 2, the calculation parameters of clay are selected for comparative analysis.

Figure 3 shows the comparison between the analytical solutions proposed in this study and the existing analytical solutions under two loading patterns<sup>3</sup>. When  $M = 10^\circ\text{C/m}$  or  $M = -10^\circ\text{C/m}$ , the curves of consolidation degree  $U_s$  defined by settlement of the proposed analytical solutions in this study are significantly different from the analytical solutions proposed by Xie et al.<sup>3</sup> However, when the temperature gradient  $M$  approaches to  $0^\circ\text{C/m}$  (e.g.  $M = 0.01^\circ\text{C/m}$  or  $M = -0.01^\circ\text{C/m}$ ), the curves of  $U_s$  under the two analytical solutions are basically the same regardless of the loading patterns. Although the expressions for the two analytical solutions are completely different due to the consideration of non-isothermal distribution conditions in this study,

342 the comparison shows that the proposed analytical solutions can be degenerated into the analytical  
343 solutions proposed by Xie et al. when the non-isothermal distribution condition is not considered<sup>3</sup>,  
344 which verifies the correctness of the analytical solutions presented in this study.

## 345 **4.2 COMPARISON WITH THE FINITE DIFFERENCE SOLUTIONS**

346 Due to the strong stability and high calculation accuracy of the finite difference method for  
347 solving partial differential equations, this method is widely used for the consolidation analysis of  
348 soft soils<sup>4,40,57-58</sup>. To further verify the correctness of the analytical solutions presented in this study,  
349 the governing Eq. (13) can be solved by the finite difference method with the corresponding initial  
350 conditions and boundary conditions<sup>57</sup>.

351 Figure 4 shows the comparison of the consolidation degree  $U_p$  defined by EPWP with time  
352 using two calculation methods, where the parameters shown in Table 2 are adopted. Under different  
353 temperature gradients  $M$ , the curves of  $U_p$  calculated by the analytical solutions proposed in this  
354 study are in good agreement with the curves of  $U_p$  calculated by the finite difference solutions,  
355 which also verifies the correctness of the analytical solutions proposed in this study.

## 356 **5 CONSOLIDATION BEHAVIORS ANALYSIS**

357 To analyze the consolidation characteristics of saturated clay under non-isothermal  
358 distribution conditions, the effects of temperature gradient  $M$ , final loading  $q_u$  and loading time  
359  $t_c$  on the consolidation behaviors are investigated under PTPB case. In the analysis, except for the  
360 specified parameters, the parameters shown in Table 2 are used.

### 361 **5.1 THE EFFECTS OF TEMPERATURE GRADIENT**

362 Figure 5 shows the distribution of EPWP with depth under different values of temperature  
363 gradients  $M$  considering the single-stage linear loading pattern. It is observed that EPWP  
364 decreases with the increase of  $M$  at the same depth, which indicates that the increase of

365 temperature accelerates the dissipation of EPWP. The difference of EPWP curves under different  
366 values of  $M$  is more obvious at the bottom boundary than at the top boundary, which is mainly  
367 because the permeability coefficient of clay near the bottom boundary is larger than that of clay  
368 near the top boundary.

369 Figure 6 presents the variation in consolidation degree  $U_s$  defined by settlement with time  
370 under different values of temperature gradient  $M$  considering the single-stage linear loading  
371 pattern. It is observed that  $U_s$  increases with the increase of  $M$ , which is consistent with variation  
372 in EPWP with time in Figure 5. If  $t_{90}$  is defined as the time when the  $U_s$  reaches 90%, the  $t_{90}$   
373 under  $M = 10^\circ\text{C}/\text{m}$  is about 21.2% shorter than the  $t_{90}$  under  $M = 0.01^\circ\text{C}/\text{m}$ . This is mainly  
374 because the existence of temperature gradients  $M$  improves the permeability of clay, thus  
375 accelerating the consolidation rate. In short, the temperature gradient  $M$  has a great impact on the  
376 consolidation behaviors of clay, and the influence of temperature should be considered in  
377 consolidation theory when the non-isothermal distribution condition exists.

## 378 **5.2 THE EFFECTS OF FINAL LOADING**

379 For the one-dimensional nonlinear consolidation process, the consolidation characteristics  
380 will be affected by final loading  $q_u$ . To investigate the effects of  $q_u$  on the consolidation  
381 behaviors, Figure 7 shows the variation in consolidation degree  $U_s$  defined by settlement and  
382 consolidation degree  $U_p$  defined by EPWP with time under different values of  $q_u$ . It can be seen  
383 that  $U_s$  is always larger than  $U_p$  under two loading patterns due to the gradual decrease in  
384 compressibility during the nonlinear consolidation process. Meanwhile,  $U_p$  decreases with the  
385 increase of  $q_u$ , which is mainly because the impact of the decrease in permeability is greater than  
386 that of the decrease in compressibility.

387 In Figure 7(A), when the instantaneous loading pattern is adopted, the curves of  $U_s$  under

388 different  $q_u$  are almost the same, which is consistent with the variation laws under the  
389 instantaneous loading pattern without considering the effects of temperature<sup>2-3</sup>. In Figure 7(B),  
390 when the single-stage linear loading pattern is adopted,  $U_s$  increases slightly with the increase of  
391  $q_u$  at the initial stage of consolidation, but the curves of  $U_s$  gradually tend to be consistent at the  
392 end of the linear loading stage. The main reason is that the increase in  $q_u$  accelerates the settlement  
393 rate of clay during the stage of linear loading.

394 To further analyze the influence of  $q_u$  on the one-dimensional nonlinear consolidation  
395 behaviors,  $S_q(t) = S(t)/q_u$  is defined, which represents the settlement under unit loading at any  
396 time  $t$  (e.g.  $S_{q,f} = S_\infty/q_u$ ,  $S_{q,f}$  represents the final settlement under unit loading). Figure 8  
397 presents the variation in settlement  $S$  and  $S_q$  (the ratio of settlement to final loading) with time  
398 under different values of  $q_u$ , where the instantaneous loading pattern is adopted. It is found that  
399 the settlement increases with the increase in  $q_u$ , while the values of  $S_q$  decrease with the increase  
400 in  $q_u$ . In fact, the decrease in  $S_q$  reflects the decrease in compressibility, which indicates that the  
401 increase of surcharge loading significantly decreases the compressibility of clay during the  
402 nonlinear consolidation process<sup>2-3,6-7</sup>.

403 To more accurately investigate the one-dimensional nonlinear consolidation characteristics,  
404 Table 3 shows the value of  $S_{q,f}$  under different  $q_u$ . It can be seen that the value of  $S_{q,f}$  under  
405  $q_u = 500\text{kPa}$  is 0.346 times smaller than that of  $q_u = 50\text{kPa}$ , which means that the average  
406 volume compressibility coefficient decreases by 65.4%, when the final loading increases from  
407 50kPa to 500kPa. To sum up, the increase of  $q_u$  can significantly decrease the compressibility  
408 and permeability of clay, which significantly affects the nonlinear consolidation process and final  
409 settlement.

### 410 5.3 THE EFFECTS OF LOADING TIME

411 To further explore the nonlinear consolidation characteristics of clay under the single-stage  
412 linear loading pattern, the variation of EPWP with time under different values of loading time  $t_c$   
413 is given in Figure 9. It is observed that the time of reaching the peak value prolongs with the  
414 increase in  $t_c$ , where the peak value of EPWP also decreases. When the linear loading stage is  
415 finished, EPWP gradually decreases with time, and the influence of  $t_c$  on the dissipation of EPWP  
416 gradually decreases in the consolidation process.

417 Figure 10 shows the variation in consolidation degree  $U_s$  defined by settlement with different  
418  $t_c$ . It is seen that  $U_s$  decreases with the increase in  $t_c$ , which is consistent with the variation  
419 features of EPWP in Figure 9. This is mainly because the surcharge loading applied in unit time  
420 decreases with the increase in  $t_c$ . The linear loading pattern is widely adopted in geotechnical  
421 engineering<sup>7-8,14</sup>. Therefore, the analytical solutions proposed in this study are more practical.

## 422 **6 CONCLUSIONS**

423 In this study, the general analytical solutions for one-dimensional nonlinear consolidation  
424 process of saturated clay under non-isothermal distribution conditions are derived with some  
425 assumptions for the first time, where the time-dependent loading and two forms of boundary  
426 conditions are considered. The proposed analytical solutions are verified by comparing with the  
427 existing analytical solutions and finite difference solutions. Based on the parameter analysis of  
428 consolidation behaviors for PTPB case under instantaneous and single-stage linear loading patterns,  
429 the following conclusions are obtained:

430 (1) EPWP decreases with the increase of temperature gradient  $M$ , thus increasing the  
431 consolidation degree  $U_s$  defined by settlement. The time that  $U_s$  reaches 90% under  $M =$   
432  $10^\circ\text{C}/\text{m}$  is about 21.2% shorter than that of  $M = 0.01^\circ\text{C}/\text{m}$ , which indicates that the influence of  
433 temperature should be considered in consolidation theory when the non-isothermal distribution

434 condition exists.

435 (2) During the nonlinear consolidation process, the consolidation degree  $U_s$  defined by  
436 settlement is always larger than the consolidation degree  $U_p$  defined by EPWP, and  $U_p$  decreases  
437 with the increase of final loading  $q_u$ . When the instantaneous loading pattern is adopted, the curves  
438 of  $U_s$  under different  $q_u$  are almost the same. However, when considering the single-stage linear  
439 loading pattern, the increase of  $q_u$  will slightly increase  $U_s$  at the initial stage.

440 (3) The settlement  $S$  increases with the increase of final loading  $q_u$ , while the values of  $S_q$   
441 (the ratio of  $S$  to  $q_u$ ) decrease with the increase of  $q_u$ , which is mainly due to the reduced  
442 compressibility. When  $q_u$  increases from 50kPa to 500kPa, the average volume compressibility  
443 coefficient decreases by 65.4%.

444 (4) For the consolidation process under the single-stage linear loading pattern, the  
445 consolidation degree  $U_s$  decreases with the increase of  $t_c$ , which is mainly because the surcharge  
446 loading applied in unit time decreases with the increase of  $t_c$ . Nevertheless, the increase of  $t_c$   
447 reduces the maximum EPWP generated in the clay.

#### 448 **ACKNOWLEDGMENTS**

449 This research was supported by National Natural Science Foundation of China (No 51625903,  
450 42177163). In particular, we thank the editors and the reviewers for their valuable comments and  
451 suggestions on how to improve the quality of this paper.

#### 452 **DATA AVAILABILITY STATEMENT**

453 The data that support the findings of this study are available from the corresponding author,  
454 upon reasonable request.

#### 455 **STATEMENT OF CONTRIBUTION**

456 Jiangshan Li: Conceptualization, Writing original draft, Funding acquisition.

457 Wenhao Jiang: Writing - review and editing, Software, Methodology.

458 Shangqi Ge: Writing - review and editing, Software, Supervision.

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**TABLE 1** Dynamic viscosity coefficients of water under different temperatures<sup>59-60</sup>

Temperature, $T/^\circ\text{C}$	Dynamic viscosity coefficient, $\eta/(10^{-3}\text{Pa/s})$
0	1.781
5	1.519
10	1.307
20	1.002
30	0.798
40	0.653
50	0.547
60	0.466
70	0.404
80	0.354
90	0.315
100	0.282

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**TABLE 2** Calculation parameters of clay

Parameter	Value
Thickness, $L/\text{m}$	4.0
Initial void ratio, $e_0$	0.8
Reference temperature, $R/^\circ\text{C}$	20
Permeability coefficient, $k_{v0,R}/(\text{m/s})$	$4.0 \times 10^{-10}$
Temperature at top boundary, $T_a/^\circ\text{C}$	45
Initial effective stress, $\sigma'_0/\text{kPa}$	50
Temperature gradient, $M/(\text{C}/\text{m})$	10
Compressibility index, $C_{cT}$	0.26
Permeability index, $C_{kT}$	0.26
Final loading, $q_u/\text{kPa}$	250
Loading time, $t_c/\text{day}$	200

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**TABLE 3** The values of  $S_{q,f}$  for different  $q_u$ 

Parameter	$S_{q,f}/(\times 10^{-3}\text{m/kPa})$
$q_u = 50\text{kPa}$	3.479
$q_u = 200\text{kPa}$	2.019
$q_u = 350\text{kPa}$	1.491
$q_u = 500\text{kPa}$	1.203

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