

This is a repository copy of Stakeholder Engagement in the Development of Public Health Economic Models:An Application to Modelling of Minimum Unit Pricing of Alcohol in South Africa.

White Rose Research Online URL for this paper: https://eprints.whiterose.ac.uk/id/eprint/197464/

Version: Accepted Version

Article:

Gibbs, Naomi Kate orcid.org/0000-0002-4704-8082, Angus, Colin, Dixon, Simon et al. (2 more authors) (2023) Stakeholder Engagement in the Development of Public Health Economic Models:An Application to Modelling of Minimum Unit Pricing of Alcohol in South Africa. Applied Health Economics and Health Policy. ISSN: 1175-5652

https://doi.org/10.1007/s40258-023-00789-6

Reuse

This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here: https://creativecommons.org/licenses/

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



Title

Stakeholder engagement in the development of public health economic models: an application to modelling of minimum unit pricing of alcohol in South Africa

Running title

Stakeholder engagement in public health economic modelling

Corresponding author

N K Gibbs: Centre for Health Economics, University of York, UK

naomi.gibbs@york.ac.uk

ORCID ID 0000-0002-4704-8082

Co-authors

C Angus: School of Health and Related Research, University of Sheffield, UK. ORCID ID 0000-0003-0529-4135

Prof S Dixon: School of Health and Related Research, University of Sheffield, UK. Honorary Professor, Priority Cost Effective Lessons for Systems Strengethening, South Africa (PRICELESS SA), School of Public Health, Faculty of Health Sciences, University of the Witwatersrand. ORCID ID 0000-0001-7394-7009

Prof C D H Parry: Alcohol, Tobacco and Other Drug Research Unit, South African Medical Research Council, South Africa. Extraordinary Professor, Psychiatry Department, Stellenbosch University. ORCID ID 0000-0001-9787-2785

Prof P S Meier: MRC/CSO Social and Public Health Sciences Unit, University of Glasgow. ORCID ID 0000-0001-5354-1933

Abstract

Background

Health economic models aim to provide decision makers with information that is contextually relevant, understandable, and credible. This requires ongoing engagement throughout the research project between the modeller and end users.

Objectives

We aim to reflect on how a public health economic model of minimum unit pricing of alcohol in South Africa benefited from, and was shaped by, stakeholders. We outline how engagement activities were used during the development, validation, and communication phases of the research with input gathered at each stage to inform future priorities.

Methods

A stakeholder mapping exercise was completed to identify stakeholders with the required knowledge, for example academics with expertise in modelling alcohol harm in South Africa, members of civil society organisations with lived experience of informal alcohol outlets, and policy professionals working at the forefront of alcohol policy development in South Africa. The stakeholder engagement consisted of four phases: developing a detailed understanding of the local policy context; co-producing model focus and structure; scrutinising model development and communication planning; and communicating research evidence to endusers. The first phase utilised 12 individual semi-structured interviews. Phases two to four centred around face-to-face workshops (two online) with both individual and group-based exercises employed to achieve required outputs.

Results

Phase one provided key learning on policy context and initiated working relationships. Phases two to four provided a conceptualisation of the problem of alcohol harm in South Africa and the choice of policy to model. Stakeholders chose population subgroups of interest and advised on both economic and health outcomes. They provided input on critical assumptions, data sources, priorities for future work and communication strategies. The final workshop provided a platform to communicate the results of the model to a largely policy audience. These activities led to the production of highly contextualised research methods and findings which were able to be communicated widely beyond academia.

Conclusions

Our programme of stakeholder engagement was fully integrated into the research programme. It resulted in a number of benefits including creating positive working relationships, guiding modelling decisions, tailoring the research to the context and providing ongoing opportunities for communication.

Key Points for Decision Makers

Our stakeholder engagement programme provided key learning on policy context, established working relationships, informed the conceptualisation of the model, and provided expert advice on critical assumptions and data sources throughout the model building process.

Integrating stakeholder engagement into the value-laden development process and communication of a public health economic model can improve the contextual relevance, credibility, and potential for impact of the research.

1. Background

Health economic modelling of public health policies can contribute significantly to policy development and there is a growing acknowledgement of the need to bridge the gap between academics and policy makers (1, 2). Essential to achieving this is for researchers to work more closely with expert stakeholders, including those with expertise in policy, modelling, epidemiology, local data, and those who experience the impacts of the public health issue in question.

Public health decision makers generally have a broader set of policy goals than those operating in other parts of the health system, where health maximisation is the predominant focus of cost-effectiveness modelling. For example, this might include a concern for equity and subsequent interest in the distributional impacts of a policy. These interests tend to be defined outside of academia and draw instead on socio-political considerations. Incorporation of these often value-laden goals within economic modelling should be informed by societal values, necessitating modeller engagement with diverse communities of stakeholders (3, 4).

In addition, the complex nature of public health economic models is such that there are a variety of epidemiological model structures available, that go beyond those routinely used in health technology assessment (5). This can leave the researcher with many important structural modelling decisions which would benefit from the input of domain experts (6).

While engaging with stakeholders enables a research team to seek input for a number of study design decisions, for example which sub groups are the most important or what outputs should be generated, it is important to acknowledge the modelling team bring their own beliefs and values to the work. Consequently, the process of stakeholder engagement, including who to engage, what topics to cover and how the responses are interpreted, is inherently value-laden and not always a straight-forward process.

This paper provides an example of a programme of stakeholder engagement undertaken as part of research modelling the impact of minimum unit pricing of alcohol in South Africa (7-9), with two main objectives: Firstly, to shape the direction of the research using expert local knowledge (including understanding the problem, guiding model development and ensuring face validity). Secondly, to provide channels for communication vital for increasing the potential for impact. The engagement was split into four phases: developing a detailed understanding of the local policy context; co-producing model focus and structure; scrutinising model development and communication planning; and communicating research evidence to end-users. We outline the methods for engagement, inputs received and decisions taken and at each of these stages.

2. Methods

2.1. Overview

The overarching research project aimed to build a public health economic model for an alcohol pricing policy in South Africa, with the ability to estimate how the impacts differ by equity relevant subgroups. This research aimed to contribute to an evidence base providing potential policy options to reduce the significant, and unequally distributed, burden of alcohol related harm in South Africa.

The stakeholder engagement can be characterised by four phases fully integrated with the model development, namely: developing a detailed understanding of the local policy context; co-producing model focus and structure; scrutinising model development; and communicating research evidence to end-users. Following a stakeholder mapping exercise, scoping interviews, workshops and ongoing ad-hoc communication facilitated the process (Figure 1).

Estimating how pricing policies might reduce alcohol harm, and which population groups are affected most. Workshop Workshop Workshop Interviews One Three Two **Exploring** Model Model datasets building building Map problem of Presentation of Capture views Discussion of alcohol in South on alcohol model progress. Africa, Establish harm, pricing policy options, policy, and Communication How to present subgroups, and equity. model results. strategy. Q 2019 Jul Aug Sep Oct Nov Dec Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov 2020

Figure 1: Project overview

All materials, including interview questions and workshop presentations and activities, were piloted with peers (academic researchers) before delivery. Special attention was given to avoiding the use of technical language to promote engagement.

2.2. Stakeholder selection

A stakeholder mapping exercise was carried out (10) using academic papers reviewing alcohol policy development in South Africa, an economic assessment of proposed alcohol legislation by a private consultancy (11-14) and consultation with three experts from three South African academic institutions (University of the Western Cape, University of Witwatersrand and University of Cape Town). We identified ten categories of stakeholders with a potential interest in alcohol pricing policy: policy makers and government; general public; civil society organisations; practitioners and professionals; lobby groups; media; international public health bodies; research community; private business; and police (specific entities under each of these categories are given in Appendix 1). This mapping exercise provided familiarisation with high profile experts/stakeholders/organisations in the field and a list for future research dissemination.

Following this, we drew up a shortlist of experts working in alcohol policy in South Africa selecting just three of the stakeholder categories: policy makers and government (both local and national); the research community; and civil society organisations. We chose stakeholders from government so that our research would be tailored to important policy questions and evidence gaps and to establish working relationships which would provide opportunities to communicate our research directly to those who would be developing alcohol policy. We chose researchers (academics) in order to expose our work to critical questioning from those with expertise in local data and modelling methods already applied in South Africa. We chose civil society organisations as we believed them to be close to those who suffer the impact of alcohol harm in South Africa while still having the professional skills to immediately be able to engage and contribute to the research. The civil society groups provided an important check on the modelling team, as well as the other stakeholders, who may be disconnected from how alcohol harm effects the poorest groups. For example, the members of one organisation were residents of an informal settlement in Cape Town and were able to describe the activities of alcohol companies in their township and the impact of heavy drinking. They also provided helpful checks on some of the data, for example the price of alcohol in shebeens (unlicensed alcohol premises in informal settlements).

There were many other groups who we did not engage, including business, the media and general public. This was a pragmatic decision taken within the constraints of the project. Specifically, we wished to avoid the management of a disparate set of conflicting interests within a highly politicised policy area, which were also expected to make recruitment of the other stakeholder groups more difficult. We also were not able to devote more resource to engaging groups or individuals unused to being consulted on policy and research.

2.3. Phase one: Developing a detailed understanding of the local policy context

Phase one aimed to develop our understanding of the policy context in which the alcohol pricing policy would be potentially implemented, increase our awareness of high-profile alcohol harms and policies, key stakeholders, and alcohol related inequalities and inequities.

We conducted twelve face-to-face semi-structured interviews. The questions focused on three areas: alcohol harm in South Africa, pricing policies and equity (Appendix 2). The conceptualisation of equity was kept broad to provide examples of how the term is understood rather than to answer specific modelling questions more suited to the workshops. To limit participant burden all interviews lasted between 30 and 60 minutes. The interviews were carried out face-to-face, six online and six in person in Cape Town, South Africa.

Three of the interviewees were from policy-setting organisations (National Treasury, Department of Health, Western Cape Liquor Authority), five from civil society organisations (DG Murray Trust (2), South African Alcohol Policy Alliance, Khayelitsha Health Forum, Violence Prevention through Urban Upgrading) and four academics engaged in alcohol policy and research (University of Cape Town and South African Medical Research Council).

2.4. Phase two: co-producing model focus and structure

Phase two aimed to inform core modelling decisions including the choice of policy, sub populations and outcomes. Following introductions at the start of the workshop, we presented the research overview (Figure 1 above) emphasising how stakeholder inputs shape the research throughout.

2.4.1. Objective one: Developing a common understanding of the public health problem

Participants were split into three small groups purposively chosen to ensure policy, academic and civil society representation. As a modelling team our shared belief was that alcohol use in South Africa presents a public health problem that warrants intervention, and that sufficient evidence exists to support pricing policies as a potentially cost-effective policy option. The specific objective of this exercise was to inform a problem orientated conceptual model of the burden of alcohol in South Africa. This technique draws upon frameworks utilised within operational research for knowledge elicitation and brings together the stakeholder and researcher's understanding of the problem in an accessible form (6, 15-18).

A simplified map depicting causal pathways between consumption and alcohol harm in South Africa was presented and explained before each group took an A0 hardcopy to edit (Appendix 3). After 30 minutes the edited maps were presented back to the whole group. All changes were later merged onto one new diagram and further revisions added after email circulation post workshop.

2.4.2. Objective two: Choosing the policy intervention to model

The modelling team had decided and communicated in advance of the workshop that alcohol pricing policies, and in particular minimum unit pricing options, would be considered as from prior engagement we knew these to be of interest to South African policy makers. However, any model would be capable of evaluating other price-based policies, and so the team wanted to ask stakeholders what other pricing policies were of interest. This was also felt to lead to more engagement from the group and a fuller examination of the differing impacts of the different policy instruments.

Stakeholders attended a presentation about the current tax system and were then asked to consider the following options: keep current system but increase rates; introduce a volumetric tax system; introduce minimum unit pricing; any other policy they suggest; a combination of policies. They split into small groups to discuss, then opinions were fed back to the whole group.

2.4.3. Objective three: Choosing sub-populations of interest

We facilitated a whole group discussion to capture all sub-population groups that might be of interest. Groups were written on a flip chart until saturation point was reached. Further group discussion involved narrowing down the list to key groups of interest, acknowledging potential data constraints in relation to the associated policy model.

2.4.4. Objective four: Choosing model outcomes

We facilitated a group discussion, firstly outlining which outcomes would be included in the model as a minimum, and then asking the group to suggest other important outcomes. Suggestions were written on a flipchart. Once saturation point was reached everyone was asked to privately write down three outcomes they considered most important. Participants were then given stickers and invited to come up to the flipchart and place their stickers against the three outcomes they had chosen. The order of priority for including outcomes in the model was determined by the number of votes against that outcome.

Following the workshop all participants, and those who had expressed an interest but were unable to attend, received an outputs document via email detailing all the key decisions that had been made and inviting feedback.

2.5. Phase three: scrutinising model development and communication planning

Phase three centred on a second workshop with three objectives: to provide a progress update, present preliminary results, and create research communication plans.

Due to COVID-19 the workshop was moved online, with the original plan redesigned. Live polls, online short questionnaires and a chat box were used to maintain engagement and provide written outputs for analysis. A significant proportion of time was given for participants to share their name, organisation and interest in the topic in order to promote a sense of connection.

2.5.1. Objective one: Presenting model structure, data and assumptions to allow for scrutiny

Two ten-minute presentations were given each followed by ten minutes to fill in an online questionnaire. Pragmatically we could not consult on every detail of the model so prioritised questions about data sources and assumptions which we believed had the potential to make big differences to the results (e.g. baseline consumption and elasticities) and those relating to the specific cultural context with which we were less familiar (for example, switching to

homebrew)). The first presentation focused on the price policy to consumption section of the model. We presented and asked for feedback on proposed data sources and estimates for baseline consumption levels, drinker type categories (heavy, binge, moderate), baseline alcohol prices and elasticities. We also asked for input on key assumptions such as whether those who start as non-drinkers should be able to transition to drinking after a policy, whether drinkers may switch to homebrew as a result of the policy and if so, to what degree. The second presentation focused on how we proposed to model the relationship between alcohol consumption and health harm. Again, we presented the data sources and related assumptions we intended to use for baseline prevalence, dose-response relationships, multipliers for prevalence to hospitalisation and health unit costs.

2.5.2. Objective two: Presenting preliminary results

A presentation of preliminary results was given. This was partly for the interest of the participants but also crucially to inform the next session on how model results should be communicated. A number of live polls were used throughout the presentation, primarily to maintain engagement but also to gather additional feedback. Participants were asked whether the pie charts were helpful, whether the bar charts were understandable and whether comparing different levels of MUP as part of the results output was useful.

2.5.3. Objective three: Planning future research communication

Finally, we asked how to communicate results. We provided an online table and asked people to populate it with suggestions for the target audience, methods of communication and important topics. As with the first workshop an outputs document was circulated within a week of the session and feedback invited.

2.6. Phase four: communicating research evidence to end-users

The final workshop, also online, was written primarily for a policy audience (as determined by stakeholders in the previous workshop) and focused on presenting the results of the research project. The objectives were to explain the pricing policy, outline the modelling methods used, present the final results, and allow for a discussion about future policy and research direction in light of the evidence presented.

In an effort to promote a productive discussion we asked three stakeholders in advance if they would share their reflections on the research. They were drawn from national government, local government and a civil society organisation. We gave them advance sight of our presentation slides and asked for reflections on future research and policy direction. The discussion was then opened to all participants.

2.7. Follow-up communication

Slides were circulated after the event. Following publication in an academic journal (Gibbs et al., 2021) the results paper was circulated accompanied by a one-page summary written from a policy making perspective (Appendix 4).

3. Results

Here we highlight how the four phases of engagement directly shaped the modelling and led to opportunities for research impact. A list of organisations attending at each of the engagement activities is provided in Appendix 5.

3.1. Outcomes of phase one: Developing a detailed understanding of the local policy context

The scoping interviews enabled initiation of positive working relationships and increased understanding of the alcohol policy landscape. We learnt which alcohol harms stakeholders were most concerned with (namely HIV and risky sexual behaviour, gender-based violence, road traffic injuries and foetal alcohol syndrome) and which policies they knew of. We gathered data on their knowledge of the current tax system and attitudes to minimum unit pricing (MUP). Broader concerns around equity, including in relation to race and the poor or economically disadvantaged, were identified. These interview data informed both the broader research project and the next phase of engagement in important ways.

Firstly, we learnt that understanding the supply chain relating to the alcohol industry, and how to ensure MUP is enforceable, would be crucial to the success of MUP. Although modelling the supply chain and alternative market responses was beyond the scope of the research, due to time and data constraints, the knowledge allowed us to engage in these discussions when delivering our final presentation to stakeholders.

There were several normative issues raised by the stakeholders. Firstly, it was clear that modelling scenarios around substitution effects for homebrew were viewed as important given that homebrew is primarily drunk by poorer groups, a subgroup of focus amongst our stakeholders. Secondly, it was highlighted that opponents to pricing policies may use arguments around black economic empowerment to oppose any additional pricing restrictions, this did not influence the development of the model but we were aware our results might provide information relevant to the broader policy debate. Lastly, the economic cost of alcohol to society and a concern for both health and financial outcomes for the poor, was consistently seen as important. Our stakeholders were concerned about cross-sectoral impacts, citing the wide- reaching impact of alcohol on crime, education, productivity, and health.

In terms of adapting how we engaged with the stakeholders, we discovered the term "equity" needed to be dropped, for being too contentious and understood in a multitude of ways, instead we used "differential impact" or "distributions". It was also clear people were unfamiliar with the tax system and would need an explanation, including the point that MUP would not replace taxation. We found stakeholders connected with international comparisons, particularly for MUP (Scotland and Russia) and so we integrated examples into future presentations. Finally, we noted that people were interested in the background and expertise of the modelling team and therefore we planned sufficient time for introductions at the first workshop.

3.2. Outcomes of phase two: co-producing model focus and structure

The first outcome was the problem oriented conceptual model which created a shared understanding of alcohol harm in South Africa within the group and with us, as the research team. The stakeholders then went on to provide critical modelling decisions. They chose MUP as the policy to model, over alternative pricing policies which were also discussed. There was disagreement between stakeholders as to what level the MUP should be set. A discussion was held in which a range was agreed, these levels were open for review at subsequent workshops. Stakeholders indicated the model should allow for the following subpopulations: age, sex, drinker type (taking into account both mean and peak alcohol consumption), income and potentially urban/rural differences/status. Finally, stakeholders judged the inclusion of government costs to be a high priority alongside employment, crime and lost earnings. The most important health outcomes were indicated as violence and HIV. At the end of this phase participants noted that they would like to see more policy professionals involved in the research.

3.3. Outcomes of phase three: scrutinising model development and communication planning

The data source used to estimate dose response relationships (19) was presented and stakeholders agreed with the modelling team that is was appropriate; alternative sources did not include HIV as attributable to alcohol, a key area of focus. One stakeholder, with expertise in South African epidemiological data, challenged the use of Global Burden of Disease estimates (20) for baseline prevalence, citing a letter to the Lancet which claimed GBD overestimates HIV deaths for South Africa (21). National burden of disease estimates were suggested as preferable (22). We spent time interrogating the data and found the latest GBD figures were broadly in line with national estimates. There would be two major drawbacks to using the national burden of disease study. Firstly, it only covered mortality and not prevalence. Assumptions would need to be made to estimate prevalence. Secondly, it dates back to 2012. Considerable work would be needed projecting the population forward, incorporating changes to the age structure as well as disease trends, all of which would be subject to error. The same stakeholder, who recommended the National Burden of Disease estimates, informed us that the next national figures would not be available until 2022 and so a decision was made to use our originally suggested data source. This decision and justification was communicated to this specific stakeholder and was accepted.

Stakeholders agreed that the alcohol prices used were plausible for South Africa (14 said yes, and two maybe). Those who said maybe provided questions around whether richer people pay higher prices. This appeared likely but was not supported by our dataset and was written into the limitations section of our research. Stakeholders indicated that we should model switching behaviour to homebrew for those who drink both homebrew and recorded alcohol, when drinkers are faced with a price rise as a result of the policy.

Stakeholders with expertise in modelling suggested that our categorisation of drinkers by consumption behaviour should be reviewed and justified. In particular, it was suggested that the category defined as heavy drinkers, according to our criteria, would include regular binge

drinkers as well as those who drink just two drinks three times a week (labelled by our stakeholders as intermediate drinkers, distinct from moderate drinkers who drink less than six standard drinks per week). It was suggested these groups were not homogenous and thus would respond to prices differently. We explored a number of different options for splitting the categories but found that our data could not support a group of intermediate drinkers, there were simply too few. We found that 95% of those who we had classed as heavy drinkers were also binge drinkers. Interrogating the data was carried out alongside an ongoing dialogue with these stakeholders.

Of the fourteen stakeholders still present (two stakeholders did not attend the whole session) ten indicated that the use of UK data (namely multipliers to convert prevalence of a disease to hospital admissions) to replace limited local older data would reduce the credibility of the model. Therefore, we chose to use a published South African academic paper to calculate multipliers.

They indicated that the modelling should prioritise health outcomes, although crime was also important. They considered the costs already included to be relevant but also highlighted the importance of costs to household expenditure, lost wages and reduced productivity. As the modelling did not include all societal costs, stakeholders felt it important to highlight in any communication that the research only investigates a small proportion of the impact of alcohol in South Africa.

Online workshop attendees agreed that the pie charts and bar charts presented were understandable. Results demonstrating the impact of different minimum price levels were also considered to be of interest.

Stakeholders directly informed our communication plan. They recommended results be disaggregated by drinker types and wealth groups primarily, with sex and age group impacts of secondary importance. They suggested focusing on presenting graphs and tables, quoting figures where needed.

In relation to who to communicate with and how, participants indicated that policy makers were the top priority for communication, but also the media, civil society organisations and the public. A one-page policy brief was the most commonly mentioned form of communication, complemented by online articles with infographics, presenting in person, and online interactive web tools if possible. Following circulation of the outputs document stakeholders confirmed we had captured the results of the workshop and suggested a number of people to invite to the final workshop.

3.4. Outcomes of phase four: communicating research evidence to end-users

The three preselected discussants and the attendees provided valuable information, different perspectives, criticisms, areas of concern and areas of confusion. Topics discussed included differential alcohol prices, impacts on 'shebeens' (largely smaller unlicensed alcohol outlets found in informal settlements), and communication materials. Stakeholders suggested ways we could improve our communication of results, namely presenting percentage changes as well as absolute changes in consumption and explaining the increase in spend results from

increased prices despite purchasing less. Participants requested permission to share our slides with their networks increasing research communication.

Creating positive working relationships with the stakeholders led to growth in attendance at each phase with 12 initial interviewees, then 12, 16, and 29 stakeholders attending each subsequent event. This provided opportunities for communicating the research beyond the final workshop and academic papers. We received invitations to national conferences, a live radio interview, further formal research collaborations developing the model to a local context and presenting evidence to ministers. The research also resulted in two academic publications.

4. Discussion

In this paper we have demonstrated the feasibility and value of stakeholder engagement in the development and communication of a public health economic model in South Africa. We found there to be many benefits of taking this approach, which included increasing our initial understanding of the policy context, establishing key stakeholder relationships, and providing specific guidance on data, assumptions and important outputs, which allowed the model to be highly tailored.

There were a number of strengths of this work. Starting with one-to-one interviews created a strong sense of connection which promoted attendance at the next phase of engagement. The workshops used for co-producing model focus and structure and scrutinising model development were highly interactive, providing written outputs for analysis following the sessions, helping to inform certain modelling decisions (such as choice of certain outcomes and data sources). The interactivity also maintained engagement and energy, according to stakeholder feedback. We created a strong feedback loop via the output documents and revisiting, at the beginning of each session, how stakeholder input had directly informed the work. Attendance grew at every subsequent phase as stakeholders not only stayed with the research project but also invited colleagues. At the end of phase two (coproducing model focus and structure) there was a request for increased policy engagement. By phase three (scrutinising model development and communication planning) it was noted that government representation had grown, particularly across different departments. The switch to an online workshop format, initially very challenging, was a strength in engaging more policy professionals who may have been reluctant to sacrifice the time if travel had been involved.

We acknowledge a number of limitations. Moving the engagement online, although helpful for many, reduced the input of stakeholders with poorer access to technology. These were exclusively stakeholders from civil society organisations. The online format advantaged those who work in government or universities with access to technology; this is particularly pertinent in a low- and middle-income country (LMIC) setting. A further limitation was that we did not engage stakeholders from every potential category, such as alcohol treatment practitioners, the general public, police or the alcohol industry. We acknowledge that our selection of stakeholders focused on those we might expect to be pro alcohol pricing policies and avoided those we would expect to be potentially hostile, such as the alcohol industry. This decision will have influenced the way in which the model was developed, for example we chose to focus time on modelling the impact to health as opposed to different market and

supply chain responses. This could have an impact on the acceptability of the findings to excluded groups, such as the alcohol producers, and diminishes our ability to assess the potential scale of changes in the supply chain that may mitigate the impacts of MUP.

There was an ongoing challenge between managing timelines of the engagement, to maintain interest and provide final results, with the slower academic process of receiving peer review of publications which might mean the final results require revision. Our pragmatic solution was to provide work with caveats, following up when final results were published in academic journals. Finally, we have not formally evaluated the engagement process and are yet to observe the implementation of the policy which will allow us to assess the role that our research, and in particular the stakeholder engagement, played. However, we are engaging in ongoing collaborative research with a provincial government, facilitated through our relationships with governmental stakeholders established during this research project.

It is broadly recognised that stakeholder engagement is increasingly being used and health economic modelling guidance states the need for this to be clearly incorporated in the reporting of economic evaluations (6, 23, 24). However, we found little practical advice in precisely how a modeller might engage stakeholders in public health modelling, although some examples exist relating to health technology assessment (25).

Despite the heterogeneity of public health economic modelling, based on our experience, we consider the following stages and associated activities to be generalisable for those interested in meaningfully embedding their research in context and making it useful to decision makers. Firstly, developing a detailed understanding of the local policy context via listening to local expert stakeholders (not only academics) before conceptualising the problem or the model. Next, co-producing model focus and structure and then allowing for scrutiny as the model is developed. Finally, there is a responsibility to communicate the results of the modelling transparently to all those stakeholders who have invested their time in the work as well as interested parties beyond the stakeholder group.

There are several issues that have not been resolved adequately by the research to date, including ours, such as how to ensure inclusivity, how to be explicit about values and beliefs and how these influence all modelling and stakeholder engagement decisions, how to manage disparate interests, and how to manage the convergence of a short policy window with a slow academic process. Research specifically in relation to these aspects of stakeholder engagement would be valuable as would learning from those who have applied a general approach to developing integrated and tailored stakeholder engagement.

5. Conclusion

As a modelling team we invested time in listening to local stakeholders throughout the modelling process, viewing it not as a parallel work stream but as a fully integrated component of the research. We realised many benefits including increased contextual understanding, the establishment of ongoing working relationships, a highly tailored model and opportunities to communicate our research widely.

Acknowledgements

We would like to thank the stakeholders who so generously gave their time and expertise. This includes all organisations listed in appendix five but in particular individuals from National Government, Western Cape Department of the Premier, Western Cape Liquor Authority, South African Alcohol Policy Alliance, Douglas Murray Trust, Khayelitsha Community Health Forum, Violence Protection through Urban Upgrading, The Research Unit of the Economics of Excisable Products at the University of Cape Town, South African Medical Research Council and the University of the Western Cape.

Declarations

Funding

This research was funded in part, by the Wellcome Trust [108903/B/15/Z]. For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission. It was also funded by the University of Sheffield and the South African Medical Research Council. PM is also funded by UK Medical Research Council and Chief Scientist Office grants MC_UU_00022/5 and SPHSU 20. The funders of the study had no role in the study. All authors had full access to all the data in the study and were responsible for the decision to submit the article for publication.

Conflict of interest

The authors declare no conflicts of interest

Authors contributions

All authors conceptualised the study. NG completed the stakeholder engagement under the supervision of CA, SD, PSM, CDHP. NG wrote the first draft. All authors refined various drafts of the manuscript and approved the final version.

Ethics approval

Ethical approval for the stakeholder engagement was granted by the South African Medical Research Council (Protocol ID: EC005-4/2019) and the School of Health and Related Research at the University of Sheffield, UK (Reference Number: 023357).

Data availability

Our manuscript has no associated data.

Consent to participate

Informed consent was obtained from all stakeholders who contributed to the research.

Consent for publication

Stakeholders signed informed consent regarding publishing their data.

Code availability

Not applicable

References

- 1. Cairney P, Oliver K, Wellstead A. To bridge the divide between evidence and policy: reduce ambiguity as much as uncertainty. Public Administration Review. 2016;76(3):399-402.
- 2. Stewart E, Smith KE. 'Black magic' and 'gold dust': the epistemic and political uses of evidence tools in public health policy making. Evidence & Policy. 2015;11(3):415-37.
- 3. Harvard S, Werker GR, Silva DS. Social, ethical, and other value judgments in health economics modelling. Social Science & Medicine. 2020;253:112975.
- 4. Elliott KC. A tapestry of values: An introduction to values in science: Oxford University Press; 2017.
- 5. Briggs AD, Wolstenholme J, Blakely T, Scarborough P. Choosing an epidemiological model structure for the economic evaluation of non-communicable disease public health interventions. Population health metrics. 2016;14(1):1-12.
- 6. Squires H, Chilcott J, Akehurst R, Burr J, Kelly MP. A framework for developing the structure of public health economic models. Value in Health. 2016;19(5):588-601.
- 7. Gibbs N, Angus C, Dixon S, Charles D, Meier PS, Boachie MK, et al. Equity impact of minimum unit pricing of alcohol on household health and finances among rich and poor drinkers in South Africa. BMJ global health. 2022;7(1):e007824.
- 8. Gibbs N, Angus C, Dixon S, Parry C, Meier P. Effects of minimum unit pricing for alcohol in South Africa across different drinker groups and wealth quintiles: a modelling study. BMJ open. 2021;11(8):e052879.
- 9. Gibbs NK. Appraising the potential impact of a Minimum Unit Price of Alcohol in South Africa: a modelling study with a focus on equity: University of Sheffield; 2021.
- 10. Schiller C, Winters M, Hanson HM, Ashe MC. A framework for stakeholder identification in concept mapping and health research: a novel process and its application to older adult mobility and the built environment. BMC public health. 2013;13(1):1-9.
- 11. Bertscher A, London L, Orgill M. Unpacking policy formulation and industry influence: the case of the draft control of marketing of alcoholic beverages bill in South Africa. Health policy and planning. 2018;33(7):786-800.
- 12. Genisus Analytics. Evaluating the economic, health and social impacts of the proposed Liquor Amendment Bill. online; 2017.
- 13. Parry CD. Alcohol policy in South Africa: a review of policy development processes between 1994 and 2009. Addiction. 2010;105(8):1340-5.
- 14. Parry C, Morojele N, Myers B, Plüddemann A. Addiction Research Centres and the Nurturing of Creativity: The Alcohol & Drug Abuse Research Unit at the South African Medical Research Council–strengthening substance abuse research and policy in South Africa. Addiction. 2013;108(1):14-9.
- 15. Chilcott J, Tappenden P, Rawdin A, Johnson M, Kaltenthaler E, Paisley S, et al. Avoiding and identifying errors in health technology assessment models: qualitative study and methodological. Health Technology Assessment. 2010;14(25).
- 16. Tappenden P. Problem Structuring for Health Economic Model Development. In: Culyer AJ, editor. Encyclopedia of Health Economics [electronic resource]. Amsterdam: Elsevier; 2014. p. 168-79.
- 17. Vennix JA, Gubbels JW. Knowledge elicitation in conceptual model building: A case study in modeling a regional Dutch health care system. European journal of operational research. 1992;59(1):85-101.
- 18. Van den Belt M. Mediated modeling: a system dynamics approach to environmental consensus building. Washington: Island press; 2004.
- 19. Shield K, Manthey J, Rylett M, Probst C, Wettlaufer A, Parry CD, et al. National, regional, and global burdens of disease from 2000 to 2016 attributable to alcohol use: a comparative risk assessment study. The Lancet Public Health. 2020;5(1):e51-e61.

- 20. Institute for Health Metrics and Evaluation. GBD Compare Seattle, WA: IHME, University of Washington; 2019 [Available from: http://vizhub.healthdata.org/gbd-compare.
- 21. Dorrington RE, Bradshaw D. GBD 2016 estimates problematic for South Africa. The Lancet. 2018;392(10149):735-6.
- 22. Pillay-van Wyk V, Msemburi W, Laubscher R, Dorrington RE, Groenewald P, Glass T, et al. Mortality trends and differentials in South Africa from 1997 to 2012: second National Burden of Disease Study. The Lancet Global Health. 2016;4(9):e642-e53.
- 23. Husereau D, Drummond M, Augustovski F, de Bekker-Grob E, Briggs AH, Carswell C, et al. Consolidated Health Economic Evaluation Reporting Standards 2022 (CHEERS 2022) statement: updated reporting guidance for health economic evaluations. International Journal of Technology Assessment in Health Care. 2022;38(1).
- 24. Drummond M, Sculpher MJ, Claxton K, Stoddart GL, Torrance GW, Stoddart GL. Methods for the economic evaluation of health care programmes. Fourth edition. ed. Oxford, England: Oxford, England: Oxford University Press, 2015; 2015.
- 25. Xie RZ, Malik Ed, Linthicum MT, Bright JL. Putting stakeholder engagement at the center of health economic modeling for health technology assessment in the United States. Pharmacoeconomics. 2021;39(6):631-8.