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1 **Origination of the modern-style diversity gradient 15 million years ago**

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11 **Abstract**

12 The latitudinal diversity gradient (LDG) is a prevalent feature of modern ecosystems across  
13 diverse clades<sup>1-4</sup>. Recognized for well over a century, the causal mechanisms for LDGs  
14 remain disputed, in part because numerous putative drivers simultaneously covary with  
15 latitude<sup>1,3,5</sup>. The past provides the opportunity to disentangle LDG mechanisms, because the  
16 relationships among biodiversity, latitude, and possible causal factors have varied over time<sup>6-</sup>  
17 <sup>9</sup>. We quantify the emergence of the LDG in planktonic foraminifera at high spatio-temporal  
18 resolution over the last 40 million years, finding a modern-style gradient arose only 15  
19 million years ago. Spatial and temporal models suggest LDGs for planktonic foraminifera  
20 may be controlled by the physical structure of the water column. Steepening of the latitudinal  
21 temperature gradient over the last 15 Ma, associated with increased vertical temperature  
22 structure at low latitudes, may have enhanced niche partitioning and provided more  
23 opportunities for speciation equatorially. Supporting this hypothesis, we find higher rates of  
24 low latitude speciation steepened the diversity gradient, consistent with spatio-temporal  
25 patterns of depth partitioning by planktonic foraminifera. Extirpation of species from high  
26 latitudes also strengthened the LDG, but this effect tended to be weaker compared to  
27 speciation. Our results provide a step change in understanding the evolution of marine LDGs  
28 on long time scales.

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31 The spatial structure of Earth's biodiversity has the potential to provide important insight on  
32 evolutionary drivers. Today, species richness peaks at low latitudes in both marine<sup>10-12</sup> and  
33 terrestrial<sup>1,4</sup> systems across diverse taxonomic groups, referred to as the 'latitudinal diversity  
34 gradient' (LDG). Although first documented over 200 years ago, the causal mechanisms  
35 responsible for elevated low-latitude richness are still disputed<sup>1,3,4,13</sup>.

36 LDGs derive from differential rates of speciation, extinction, local extirpation, and  
37 dispersal<sup>14,15</sup>, which themselves may be controlled by the dynamics of climate<sup>14,16-19</sup>, biotic  
38 interactions<sup>20-23</sup>, energy/primary production<sup>24,25</sup>, or available surface area<sup>26</sup>. Identifying which  
39 of these factors are key controls on rates of speciation, extinction, extirpation, and dispersal is  
40 difficult, because most are collinear with each other today<sup>5</sup>. However, the relationship  
41 between latitude and these hypothesized drivers has not been constant over Earth history, and  
42 thus intervals of the geological past can provide insight on how biodiversity is generated and  
43 maintained<sup>6,7,9</sup>.

44 In marine systems, previous work has suggested that diversity gradients were present for tens  
45 of millions of years but varied in strength and shape<sup>4,6-9</sup>. However, limited fossil data has  
46 prevented detailed examination of the emergence of modern-day LDGs for more than short  
47 temporal intervals<sup>27-29</sup> or coarse spatio-temporal resolutions<sup>7,9,30</sup>, leaving key knowledge  
48 gaps<sup>31</sup>.

49 Here we utilize our recent compilation of planktonic foraminifera<sup>32</sup>, a group of  
50 biomineralizing marine plankton, to study the establishment and maintenance of the modern-  
51 style LDG at a previously unachieved spatio-temporal resolution. By examining spatial  
52 diversity patterns across 40 million years, we provide fundamental insight on the co-  
53 evolution of the biosphere and geosphere and test key hypotheses on LDG drivers<sup>12,33,34</sup>.

54 We quantified temporal patterns in LDGs using 464,963 unique species-by-locality-by-time  
55 records (Fig. 1A; Fig. S1-4). Records older than 40 Ma were excluded due to poor-quality  
56 low-latitude data for earlier time intervals. LDGs were constructed using five subsampling  
57 approaches that accounted for sampling biases (SI Methods, Fig. S5), all of which reveal  
58 significant changes in the spatial distribution of species over the last 40 Ma (Fig. 1B,C; Figs.  
59 S6-10). A modern-style LDG began to emerge gradually beginning ~34 million years ago,  
60 coincident with the transition from warmhouse to coolhouse conditions, but remained shallow  
61 until around ~15–10 million years ago, contemporaneous with an increase in global cooling<sup>35</sup>.  
62 Gradient (i.e., slope) estimates for richness steepened from virtually no gradient at 40 Ma  
63 (Fig. 1C) and were insensitive to methodological choice (Figs. S11-24, Table S1). This  
64 pattern supports previous suggestions that shallower diversity gradients occur during warmer,  
65 greenhouse intervals<sup>7,8,27</sup>.

66 Modern-day diversity for planktonic foraminifera is richest at mid-latitudes, with a slight  
67 depression at the equator<sup>16,28</sup>. We fit linear and 2<sup>nd</sup> order polynomial models to each of our 16  
68 LDGs to test whether they are better characterized as unimodal or bimodal; data for the  
69 Northern and Southern Hemispheres were modelled together and individually (see SI  
70 'Estimating LDG Gradients'). For all time periods other than the most recent (0–2.5 Ma), a  
71 linear model produced a better fit (Table S2). Peak richness for planktonic foraminifera  
72 occurred at higher latitudes from 40–20 Ma, but with generally flatter gradients, after which  
73 peak richness shifted to ~10° to 20° latitude, consistent with the diversity pattern observed  
74 today<sup>16,28</sup> (Fig. 1B).

75 To identify potential drivers of LDG changes over the last 40 Ma, we investigated the  
76 relationship between richness and climate both spatially within time bins and temporally  
77 across time bins. In the first approach, we modelled richness as a function of mean annual sea

78 surface salinity, mean annual mixed layer depth, mean annual thermocline extent, and mean  
79 annual sea surface temperature (SST) using spatial autoregressive models within each of the  
80 2.5 Ma time bins (Fig. S25-27). After correcting for multiple comparisons, only SST  
81 exhibited a consistent and strong positive relationship with richness over time (Fig. 2). This  
82 relationship persisted for at least the last 15 million years, and perhaps longer, but with  
83 confidence intervals that overlap zero. Results are insensitive to permutations of the data  
84 (Figs. S28-32). The temperature range of the thermocline is highly correlated with SST (Fig.  
85 S26) and therefore could not be included in the multivariate model. When thermocline  
86 temperature range was modelled separately, however, the strength of relationship was similar  
87 to that of SST (Fig. S33). No other variables exhibited such a relationship (Fig. S33).  
88 Richness was not linked to surface ocean area when species richness was modelled as a  
89 function of area within 15° latitudinal bins (Fig. S34).

90 In the second model approach, we investigated the relationship between change in richness  
91 and change in climate variables at given locations on Earth. Change was examined over 2.5,  
92 5, 7.5., 10, and 12.5 million-year intervals of time (Fig. S35 & S36). SST change was the  
93 only significant predictor of change in richness across these five models, and results were  
94 robust to permutations of the data (Table S3). No relationship was found when thermocline  
95 temperature range was modelled separately (Table S4), but this could reflect the greater  
96 uncertainty in estimating temperatures at depth with paleoclimate models, especially in deep  
97 time.

98 These results and previous work<sup>16,36,37</sup> suggest species richness for planktonic foraminifera  
99 could be explained, at least in part, by steepening of the latitudinal temperature gradient and  
100 associated increase in vertical temperature structure at low latitudes<sup>35,38</sup> over the last 15 Ma,  
101 the latter of which may have enhanced niche-partitioning-mediated speciation in the  
102 tropics<sup>39,40</sup>. To further test this hypothesis, we examined the degree to which species of  
103 planktonic foraminifera partition by depth within the water column over time and space. We  
104 found that low-latitude assemblages of species today are more evenly distributed vertically  
105 within the water column across the mixed layer, thermocline, and sub-thermocline than are  
106 assemblages at high latitudes (Fig. 3). However, assemblages exhibited greater evenness of  
107 depth habitats (mixed layer, thermocline, and sub-thermocline) across latitudes when the  
108 gradient was shallower millions of years ago. This pattern implies that warmer waters at high  
109 latitudes supported a broader range of vertical temperature habitats within the water column  
110 from 40–15 Ma, and that these assemblages collapsed as the high latitudes cooled.

111 If changes to the vertical structure of the water column facilitated the formation of a modern-  
112 style LDG, we would expect higher rates of speciation at low latitudes coincident with the  
113 steepening of the diversity gradient, and higher rates of either extirpation and/or extinction at  
114 high latitudes. We quantified differential rates of speciation, extinction, extirpation, and  
115 dispersal in low *versus* high latitudes, defined as within or exclusive of 30° latitude.

116 Low-latitude speciation began to exceed high latitude speciation after 30 Ma, suggesting the  
117 modern-style LDG is possibly driven by higher rates of low-latitude origination (Fig. 4; Fig.  
118 S37-43). These results are consistent with previous findings of higher speciation rates at low  
119 latitudes for planktonic foraminifera<sup>15,41,42</sup>. In addition to low-latitude speciation, local  
120 extirpation at high latitudes also contributed to a modern LDG, but tended to have a smaller  
121 effect size (Fig. 4; Figs. S37-S38, S40-43). In previous work, Yasuhara et al.<sup>29</sup> found that the  
122 redistribution of species' ranges, and not speciation, was important to LDG formation, but  
123 this was likely due to the short timescale of their study (i.e., last 3 Myrs).

124 Steepening of the diversity gradient was not driven by extinction, because extinction was  
125 higher at low latitudes beginning ~20 Ma (Fig. 4; Figs. S37-S38, S40-43). This heightened

126 extinction dampened the effect of higher speciation in the tropics, but speciation still tended  
127 to outpace extinction, adding to richness. Similarly, dispersal from high to low latitudes did  
128 not contribute to the emergence of a modern-day LDG, as dispersal dynamics were reversed  
129 (i.e., occurred predominantly from low to high latitudes) from at least the last 10 Ma (Fig. 4;  
130 Figs. S37-S38, S40-43). Dispersal was usually rapid, with a mean wait time after speciation  
131 of 1.7 Ma (+/- 2 Ma).

132 The relationship of temperature with richness was dampened for time periods older than 15  
133 Ma. This weakened relationship may reflect climate model inaccuracies that inflate with time,  
134 or the fact that richness and temperature do not vary significantly with latitude. In the latter  
135 scenario of limited temperature variation, sensitivity analyses suggest no relationship would  
136 be found between richness and temperature (Fig. S29). Scarcer data in deep time may also  
137 make a relationship with temperature more difficult to obtain (Fig. S30), but LDGs  
138 constructed with minimal data still return modern-style LDGs towards the present (Fig. S31).  
139 Excluding sites potentially subject to dissolution only served to strengthen patterns (Fig.  
140 S32).

141 We were not able to test the relationship of richness with zooplankton biomass<sup>43</sup> or nutrient  
142 availability<sup>44</sup>, because high-resolution spatial and temporal data for these variables at global  
143 scale do not exist for the last 40 Ma. However, the regions with highest foraminiferal  
144 richness, the nutrient-poor subtropical gyres<sup>45</sup>, are characterised by lowest population  
145 densities of planktonic foraminifera<sup>46</sup>. The subtropical gyres have been incredibly stable over  
146 10's of millions of years<sup>47</sup>, and may have accumulated their high diversity due to both niche  
147 partitioning and reduced extirpation and extinction. It is possible that competition with  
148 diatoms or other groups, especially when interacting with seasonal food availability at high  
149 latitudes, also structure the LDG for planktonic foraminifera, but seasonality was found to  
150 correlate with SST and thermocline temperature range in many time bins and was therefore  
151 not included as a predictor in our models (Fig. S27).

152 Taken together, our results suggest the modern-day LDG for planktonic foraminifera is  
153 controlled, at least in part, by high-latitude cooling that brought colder bottom waters to the  
154 tropics, increasing both latitudinal temperature gradients and vertical temperature gradients at  
155 low latitudes. The increased vertical temperature structure within the water column at low  
156 latitudes may have enhanced niche partitioning, providing more opportunity for speciation  
157 over the last 30–15 Ma<sup>16,36,37</sup>. The cooler high-latitude water also served to extirpate regional  
158 populations of species. Consistent with our hypothesis, the tropics today are richer than the  
159 tropics of the Eocene and Miocene, potentially due to a stronger vertical temperature  
160 structure that was weak-to-absent during these warmer time periods. Extreme warmth during  
161 the Eocene may have also exceeded species' temperature tolerances equatorially, further  
162 depressing diversity, an effect that may occur more in the future as the tropics continue to  
163 warm<sup>33,48</sup>. Global diversity for planktonic foraminifera in the Eocene, however, was similar  
164 to planktonic foraminiferal diversity today, since species were distributed more evenly across  
165 latitudes 40 million years ago (Fig. 3).

166 Our analyses suggest a role for water column structure in facilitating niche partitioning and  
167 therefore the emergence of the LDG in planktonic foraminifera. Other potential mechanisms  
168 that may have elevated low-latitude diversity include the total amount of suitable area  
169 vertically within the water column<sup>26</sup>, metabolic scaling<sup>42,49</sup>, or changes to the biological  
170 carbon pump that redistributed nutrients at depth and opened new niches as climate cooled  
171 over the last 15 Ma<sup>50,51</sup>. This latter mechanism of nutrient redistribution complements the  
172 vertical temperature-driven niche separation proposed here.

173 To conclude, the establishment of the modern LDG is consistent with a cooling climate that  
174 allowed for elevated speciation via niche partition at low latitudes, whilst restructuring  
175 distributions and removing niches at high latitudes. By resolving how spatial patterns of  
176 biodiversity have varied through deep time, we provide valuable information on hypothesised  
177 causes crucial for understanding how biodiversity is generated and maintained over  
178 geological timescales, beyond the scope of modern-day ecological studies.  
179

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314  
315

### 315 Data availability

316 All data to reproduce our analyses are provided in the following DOI:  
317 <https://doi.org/10.6084/m9.figshare.21355467>. The spatio-temporal planktonic  
318 foraminiferal occurrence data were derived from Triton, an open-source database (Fenton  
319 *et al.* 2021. *Scientific Data*): <https://www.nature.com/articles/s41597-021-00942-7>.

320



321 **Code availability**

322 All code to reproduce the analyses herein is provided in the following DOI:  
323 <https://doi.org/10.6084/m9.figshare.21355467>. Our custom code relied on the following R  
324 packages: HH R package v. 3.1-47, spatialreg R package v.1.2-3, geosphere R package,  
325 vegan R package v.2.5-7, and mapast R package v.0.1.

326

327

328 **Author contributions**

329 Conceptualization: EES

330 Methodology: EES, IF

331 Investigation: IF, EES, AF, PV

332 Visualization: TA, IF, EES

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334 Project administration: EES

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338

339 **Competing interests**

340 The authors declare that they have no competing interests.

341

342 **Figure Captions**

343 **Fig. 1. The emergence of a modern-day latitudinal diversity gradient in planktonic**  
344 **foraminifera over the last 40 Ma. (A)** Data used to quantify LDGs. Points are colored by  
345 the age of the sample. Distributional maps rotated to paleo-positions for 2.5 Ma intervals are  
346 available in Fig. S1. **(B)** LDGs constructed using unique site-by-age richness estimates in 2.5  
347 Ma time bins (the midpoint age is shown). To generate curves, point-level data were binned  
348 by 15° latitude and richness estimated using the 75<sup>th</sup> percentile of the samples in each  
349 latitude-by-age bin. Results were robust to LDG construction method (Figs. S6-10) and show  
350 the modern-day LDG emerged only ~15 Ma. **(C)** Estimates of the gradient (i.e., slope) for  
351 LDGs over the last 40 Ma using the curves from **(B)**. Gradients were insensitive to  
352 methodological approach (Figs. S11-24) and show a steepening of the gradient towards the  
353 present.

354 **Fig. 2. Spatial autoregressive model coefficients from analyses examining the**  
355 **relationship between richness and four environmental predictors within 2.5 Ma time**  
356 **bins.** The midpoint age for each bin is shown. Environmental variables include mean annual  
357 sea surface temperature, the log of mean annual mixed layer depth, the width of the  
358 thermocline, and the log of mean annual salinity. Coefficients are black if significant at an  $\alpha$   
359 of 0.05 using the Benjamini & Hochberg (BH) correction for multiple comparisons. Results  
360 are shown for data that was latitudinally restricted (within 55° latitude) but are consistent

361 with models that include all latitudinal data (Fig. S28). Error bars represent 95% confidence  
362 intervals around the mean.

363 **Fig. 3. The dynamics of depth partitioning for planktonic foraminiferal assemblages**  
364 **across space and time.** (A) We quantified evenness of depth habitat within each unique site-  
365 by-age bin using Simpson's index. This metric determines how evenly spread species are  
366 among the mixed layer, thermocline, and sub-thermocline for a given time and place within  
367 the water column. Mean evenness estimates were taken for each 2.5 Ma and 15° latitude bin.  
368 Analyses were performed only within 55° latitude, since data were limited at high latitudes  
369 earlier in the Cenozoic. (B) Mean evenness across latitudes for a given 2.5 Ma time bin, for  
370 low latitudes (defined as within 30°), high latitudes, and globally. Low-latitude assemblages  
371 of species today are more evenly distributed across the mixed layer, thermocline, and sub-  
372 thermocline than are assemblages at high latitudes. However, assemblages exhibited greater  
373 evenness across latitudes when the gradient was shallower millions of years ago. Current  
374 knowledge of foraminiferal depth preferences only allowed for measurement of evenness  
375 across three depths, but our assumption is the thermocline and subthermocline are subdivided  
376 to contain multiple niches, with more niches present at low latitudes during the last 15 Ma,  
377 and more niches present at higher latitudes during warmer intervals. For the raw evenness  
378 data in each sample, see Fig. S44.

379 **Fig. 4. Macroevolutionary processes structuring LDGs over the last 40 Ma.** Panels show  
380 the number of speciation, extinction, extirpation, and dispersal events in low-latitude  
381 (tropical) and high-latitude (temperate) regions. Dispersal quantified the number of species  
382 moving from the tropics to temperate regions and *vice versa*. The steepening of the gradient  
383 towards the recent coincides with enhanced rates of tropical speciation and with higher  
384 extirpation from temperate regions. Temperate and tropical regions were defined by 30°  
385 latitude for all time periods. Data show results for the approach including all site-by-age  
386 records. For proportional patterns, bootstrap subsampling analyses, and results excluding  
387 species present in both temperate and tropical regions within a given 2.5 Ma time bin, see  
388 Figs. S36-43.

389  
390

## 391 1. Methods

### 392 1.1. Choice of taxon

393 Planktonic foraminifera are a group of unicellular, bio-mineralising marine plankton that  
394 originated ~170 Ma in the Early to Middle Jurassic<sup>44</sup>. They are an important component of  
395 the plankton from the high latitudes to the equator in all ocean basins. Species of planktonic  
396 foraminifera occupy a range of ecological niches in the upper two kilometers of the open  
397 ocean: some live in the surface mixed-layer and host algal photo-symbionts, while others live  
398 within or below the thermocline and feed primarily on sinking phytodetritus<sup>44</sup>.

399 Due to their global abundance and preservation potential, planktonic foraminifera have  
400 been demonstrated to have the best species-level fossil record of the last 66 million years<sup>52</sup>.  
401 The quality of this fossil record permits an exceptionally high-resolution view into past  
402 species distributions, ecologies, and life histories. Recent work compiling this information  
403 into the single, harmonized Triton database<sup>32</sup> allows us to investigate the drivers and patterns  
404 of LDGs at greater fidelity than possible before.

405  
406

### 406 1.2. Description and preparation of the data

407 We quantified temporal patterns in LDGs using 464,963 unique species-by-locality-by-  
408 age records from the Triton database<sup>32</sup>, which represents the single largest dataset for any  
409 fossil group. Data were curated for taxonomic consistency, and all ages were converted to the  
410 GTS 2020 timescale. Following Fenton *et al*<sup>32</sup>, occurrences significantly outside a species'  
411 known age range were excluded, using a threshold of 2 Ma for the Neogene and 5 Ma for the  
412 Paleogene; such records are likely to be taxonomic misidentifications or the result of  
413 reworking. Spatial coordinates were rotated to their past position (paleo-coordinates) based  
414 on Matthews *et al*<sup>53</sup>. Spatial imprecision in coordinates may occur due to error in the paleo-  
415 coordinate rotation plate model, and/or from ocean currents displacing foraminiferal tests as  
416 the dead organisms sank to the seafloor. However, neither source of error is likely to have  
417 large effects on the LDG patterns quantified herein, given the spatial resolution of our  
418 analyses (usually 15° latitude bins, or roughly 1500 km, see section 3.1). For example, for  
419 sites <1 km depth or for large foraminifera, the distance between where the organism died  
420 and where it settled is unlikely to be larger than ~100 km<sup>54,55</sup>. For depths of 2 km to 3.5 km, a  
421 maximum displacement of 100 to 400 km is reasonable<sup>56,57</sup>. We limited analyses to the last  
422 40 Ma because poor-quality data at low latitudes early in the Cenozoic made it challenging to  
423 accurately calculate richness for these early intervals.

424

### 425 **1.3. Quantifying temporal patterns for LDGs over 40 Ma**

426 We estimated LDGs using percentiles from point-occurrence data and by calculating  
427 central tendencies using three different subsampling methods, described below. All analyses  
428 used the R v. 4.1.3 computing environment<sup>58</sup>.

429

#### 430 **1.3.1. Spatio-temporal bin selection**

431 LDGs were constructed by calculating richness within spatio-temporal bins.  
432 Numerous binning schemes were tested to evaluate the sensitivity of patterns to spatio-  
433 temporal resolution. Eight temporal bin durations were tested, from 2.5 Ma to 20 Ma, in 2.5  
434 Ma increments. Temporal binning may inflate species counts<sup>59</sup>, and thus bin duration should  
435 be narrower than the average duration of species<sup>60</sup>. For the planktonic foraminiferal species  
436 studied here, median species' duration was 5.96 Ma, suggesting bin durations longer than this  
437 will suffer from greater time averaging. We therefore focused analyses on the shortest  
438 temporal duration (2.5 Ma) but present results for other schemes (Figs. S7-9, S14). Longer  
439 temporal bins give steeper gradient estimates, but overall patterns remain consistent, as can  
440 be seen when rescaling richness in each time bin (Fig. S14). The spatial distribution of data at  
441 2.5 Ma resolution are shown in Figs. S1.

442 We tested eight latitudinal bin resolutions, from 2.5° to 20°, in steps of 2.5°. We  
443 aimed to use the highest spatial bin resolution, whilst ensuring sufficient data to calculate  
444 LDGs within 2.5 Ma time bins. Therefore, to choose an 'optimum', we eliminated binning  
445 schemes that resulted in an empty set (no data) for latitudinal bins in any 2.5 Ma time bin. We  
446 further eliminated any binning scheme with insufficient data (defined here as 15 samples, 5  
447 sites, and 150 records) in at least five latitudinal bins for any time bin. This approach  
448 identified 15° latitude bins as the highest 'ideal' resolution for analyses.

449 Analyses focused on a spatio-temporal resolution of 2.5 Ma and 15° latitude (Figs.  
450 S2-4), which was sufficiently sensitive to return the known, modern-day LDG with an  
451 equatorial dip (Fig. 1B)<sup>16,28</sup>. Estimates of the LDG using other spatial binning schemes  
452 produced similar results (Fig. S7-S9), and latitudinal resolution did not have a strong  
453 influence on gradient estimates (Fig. S15).

454

#### 455 **1.3.2. Point-occurrence method for LDGs**

456 LDGs were quantified using unique site-by-age richness estimates within each 2.5 Ma  
457 time bin. Analyses excluded samples where the aim of the study was to identify selected  
458 species only, or where preservation was marked as poor (<10% of the data).

459 To generate LDG curves, point-level data were binned by 15° latitude. Richness was  
460 estimated using the 75<sup>th</sup> percentile of the samples in each latitude-by-age bin. Changes in the  
461 LDG through time were not affected by choice of percentile (Fig. S6 & S12). Percentiles  
462 were used to estimate LDGs because planktonic foraminiferal assemblages are more likely to  
463 be characterized by low richness due to dissolution or incomplete community counts, rather  
464 than high richness from time averaging, particularly given the short temporal bins used here.  
465 Furthermore, modern diversity patterns are characterized by the most diverse sites in a given  
466 latitude; for example, in many clades, the tropics today house both very low and very high  
467 species richness dependent on the location and environment<sup>61</sup>.

### 468 **1.3.3. Subsampling method for LDGs**

469 To test whether point-level LDG patterns remained consistent when accounting for  
470 variation in data quantity, we estimated LDGs using three subsampling approaches. For each  
471 latitude-by-age combination, 1000 subsamples were drawn at random with replacement and  
472 the number of unique species counted. The mean of the 1000 subsamples served as the  
473 richness estimate for that latitude-by-age bin, with associated 95% confidence intervals.

474 Three different subsampling methods were employed: (i) by site, (ii) by sample, and  
475 (iii) by record (Fig. S5). The ‘by site’ method divided the dataset based on locality (or site)  
476 and selected a set number of sites for a given latitude-by-age bin. Richness was calculated  
477 from all species at the selected sites within that bin, irrespective of their exact age. We varied  
478 the number of sites selected from 3 to 20 ( $n = 3, 5, 10, 15, \text{ and } 20$ ). We focused on five sites  
479 because it allowed for the maximum number of spatio-temporal bins and produced narrower  
480 confidence intervals than when subsampling using three sites, but results were broadly  
481 insensitive to site number (Fig. S9 & S18).

482 The ‘by sample’ method of subsampling divided the dataset based on both locality  
483 and age and selected a set number of unique site-by-age samples from a given latitude-by-age  
484 bin. Each sample therefore represents the foraminiferal assemblage alive at a given time. The  
485 richness was calculated from unique species in the selected assemblages. We varied the  
486 number of samples selected from 10 to 50 in increments of 5. We selected 15 samples based  
487 on the trade-off between maximum number of samples and narrowest confidence intervals  
488 (Fig. S7 & S19).

489 The ‘by record’ method of subsampling divided the dataset based on locality, age, and  
490 species and selected a set number of unique species records from any site or age within a  
491 given latitude-by-age bin. Each record represented one row in the Triton dataset. The richness  
492 was calculated as the number of unique species within the selected records. We selected from  
493 100 to 500 records in intervals of 50. We focused on 150 records, but results were broadly  
494 insensitive to record number (Fig. S8 & S20).

495 In contrast to point-level LDG construction, we did not exclude data where the aim of  
496 the study was to identify selected species, and where preservation was marked as poor,  
497 because subsampling does not assume any one sample is representative. Comparisons of  
498 point-level and subsampled LDG estimates show similar patterns (Fig. S10 & S23-S24),  
499 although subsampling tended to suggest higher average species richness. Higher richness in  
500 subsampled LDGs may reflect the influence of spatio-temporal averaging, which can inflate  
501 richness estimates. Alternatively or additionally, incomplete sampling or dissolution might  
502 lower richness in the point level estimates.

### 503 **1.3.4. Estimating LDG gradients**

506 The strength (or gradient) of LDGs was estimated using a linear model of richness as  
507 a function of latitude. For point-level data, richness estimates from the same site and time bin  
508 were characterized by the 50<sup>th</sup>, 60<sup>th</sup>, 75<sup>th</sup>, and 90<sup>th</sup> percentiles to avoid pseudo-replication. We  
509 focused on patterns using the 75<sup>th</sup> percentile, but results were insensitive to percentile choice  
510 (Figs. S6, S12, S13). To ensure gradient estimates were comparable over time, we calculated  
511 gradients only within 55° latitude, since older time periods were characterized by sparse  
512 high-latitude data. However, results were insensitive to latitudinal extent (Fig. S11).

513 Gradients were estimated individually for the Northern and Southern Hemispheres,  
514 and for both hemispheres combined using absolute latitude. We tested whether a model with  
515 separate slopes for each hemisphere provided a significantly better fit to data than a combined  
516 model using AICc. For most time periods (n=11 of 16), a model that used data from both  
517 hemispheres was preferred over a model with separate gradients (Table S1). Combining the  
518 two hemispheres increases the data on which a gradient is calculated.

519 We calculated gradients on rescaled richness to investigate the influence of variation  
520 in richness through time on gradient estimates (Fig. S11-S13). Richness was rescaled by  
521 setting maximum richness within a time bin to one. Rescaling affected the steepness of the  
522 latitudinal gradient but allowed for more direct comparisons of LDG shape across time.  
523 LDGs with peak richness in equatorial regions will have a steeper rescaled gradient than  
524 gradients where peak richness is in the mid-latitudes.

525 We compared gradients estimated from point-level LDGs to gradients estimated from  
526 subsampled LDGs. Gradients were calculated for each subsampling iteration and every  
527 latitude-by-age bin combination (Fig. S16), from which we derived mean and 95%  
528 confidence intervals (Figs. S14-S15, S18-S21). Using the median instead of the mean  
529 produced almost identical results (Fig. S17). Latitude-by-time bin resolution influenced  
530 gradient estimates but did not mask overall patterns (Figs. S14 & S15). Choice of age bin  
531 exaggerated latitudinal differences because broader age bins tended to result in more time  
532 averaging, but overall patterns were similar (Fig. S14). Similarly, choice of subsampling  
533 method had little effect, although the use of sites tended to produce broader confidence  
534 intervals (Figs. S21, S23 & S24). The amount of subsampling affected the steepness of the  
535 gradient, but not the overall shape, and rescaling removed this effect (Figs. S18-20). Visual  
536 inspection of gradients suggested the slopes of the Northern and Southern Hemisphere, for all  
537 time periods, were mirrored (Fig. S16).

538 Finally, we calculated and compared gradients from raw richness tallied directly from  
539 species counts in each latitude-by-age bin (Fig. S22), finding similar overall patterns to the  
540 subsampled and point-level gradients.

541

### 542 **1.3.5. Estimating bimodality**

543 Shallow gradients may indicate minimal differences in richness across latitudes or may  
544 indicate that bimodality was more pronounced during these times. To distinguish between  
545 these two possibilities, we compared a linear model with a second order polynomial model  
546 using AICc (Table S2).

547

## 548 **1.4. Identifying LDG drivers over the last 40 Ma**

### 549 **1.4.1. Diversity dataset**

550 We investigated possible LDG drivers over the last 40 Ma by coupling atmosphere-  
551 ocean generalized circulation models (AOGCMs) to point-level richness estimates within 2.5  
552 Ma time bins. To avoid pseudo-replication, a single, mean richness estimate was calculated  
553 for each climatic grid cell.

554

### 555 **1.4.2. Paleoclimate simulations**

556 To explore whether paleoclimate influenced the biogeographic distribution of  
557 planktonic foraminifera, we utilized a newly updated version of a state-of-the-art paleo-  
558 general circulation model.

559

#### 560 **1.4.2.1. Paleoclimate model**

561 Paleoclimate model simulations were carried out using a recent version of the UK  
562 Met Office coupled Atmosphere-Ocean General Circulation Model (AOGCM), HadCM3, or  
563 HadCM3L-M2.1D following the nomenclature of<sup>62</sup>. HadCM3L-M2.1D has a model  
564 resolution of 3.75° longitude × 2.5° latitude in the atmosphere and ocean (~250 km grid  
565 squares in the tropics), with 19 hybrid levels in the atmosphere and 20 vertical levels in the  
566 ocean. Equations were solved on the Arakawa B-grid. As is common in all climate models,  
567 sub-grid scale processes, such as cloud, convection, and oceanic eddies, were parameterized  
568 because they cannot be resolved at the scales required (usually meters to several kilometers)  
569 of the model resolution.

570 Due to scarce spatiotemporal data recording land-surface vegetation and soil  
571 characteristics in deep time, we used modern-day vegetation expressions for broadleaf trees,  
572 deciduous trees, shrubs, C3 and C4 grasses (five in total), and a globally-uniform distribution  
573 of medium loam soil characteristics in the model land surface scheme (MOSES 2.1)<sup>63</sup>. The  
574 land surface scheme also included evaporation from sub-grid scale lakes, which were  
575 prescribed as a lake fraction in each grid box at the start of the simulation. We used a version  
576 of the model that included the dynamical vegetation model, TRIFFID (Top-Down  
577 Representation of Interactive Foliage and Flora Including Dynamics). TRIFFID predicts the  
578 distribution and properties of global vegetation based on plant functional types (PFTs) in the  
579 form of fractional coverage (and thus PFT co-existence) within a grid-cell, based on  
580 competition equations using the climate tolerance of five plant functional types.

581 The model included a further update that modified cloud condensation nuclei density  
582 and cloud droplet effective radius, following recent work<sup>64,65</sup>. This modification raised  
583 temperatures at high latitudes, without significantly changing tropical temperatures, which  
584 reduced the pole-to-equator temperature gradient in line with proxy observations. This update  
585 was found to work under hot, cool, and icehouse conditions, as well as under pre-industrial  
586 boundary conditions, making it appropriate for use across modern and deep time.  
587 The ocean model was based on the model of Cox et al.<sup>66</sup> and is a full primitive equation with  
588 a three-dimensional model of the ocean. A second-order numerical scheme was used along  
589 with centred advection to remove nonlinear instabilities. Flux adjustments—such as artificial  
590 heat and salinity adjustments in the ocean component model<sup>67</sup> to prevent them from drifting  
591 to unrealistic values—were not required in this model, which is a crucial feature for long  
592 paleoclimate simulations<sup>68</sup>. Sea-ice was calculated on a zero-layer model; partial sea ice  
593 coverage was possible, with a consistent salinity assumed for ice.

594 Each simulation was initialised from an equilibrated pre-industrial state in the  
595 atmosphere and ocean. Surface vegetation was uniformly set as shrub everywhere and  
596 allowed to evolve via TRIFFID based on the evolution of the local climate.

597 The HadCM3 family of models has contributed extensively to the Coupled Model  
598 Intercomparison Project (CMIP 1-5) experiments and the Paleoclimate Modelling  
599 Intercomparison Project (PMIP 1-4), and has demonstrated skill at reproducing the modern-  
600 day climate<sup>62</sup> and paleoclimate in an array of experiments<sup>69-71</sup>. Paleoclimate experiments  
601 require hundreds of years to reach a near-surface equilibrium state but substantially longer  
602 (many thousands of years) for the deep ocean<sup>68,69</sup>; even longer is required for true climate  
603 equilibrium, due to the long period of adjustment of ocean circulation to applied forcings.  
604 Lower resolution models are less computational expensive, allowing fully equilibrated

605 simulations of deep time climate, which would not be possible with higher resolution, more  
606 complex models.

607

#### 608 **1.4.2.2. Snapshot simulations, specific boundary conditions and spin-up**

609 We ran nine ‘snapshot’ simulations over the last 40 Ma of the Cenozoic, with each  
610 simulation having time-specific boundary conditions. Paleogeographic digital elevation  
611 models (DEMs) were produced by the EarthByte group as part of the PALEOMAP project<sup>72</sup>.  
612 Each stage and time-specific DEM were interpolated from a 1°x1° grid onto the HadCM3L  
613 3.75°x2.5° grid. Similarly, land ice was transformed onto the model grid assuming a simple  
614 parabolic shape to estimate ice sheet height (m). ‘Realistic’ pCO<sub>2</sub> concentrations for each  
615 simulation were based on Foster et al.<sup>73</sup>. Time-specific solar luminosity for each simulation  
616 was based on Gough<sup>74</sup>. All other boundary conditions, such as orbital parameters, volcanic  
617 aerosol concentrations, etc., were held constant at pre-industrial values.

618 To ensure each simulation had fully adjusted to the boundary conditions, we followed  
619 a 3-stage spin-up protocol so that each simulation was fully equilibrated: i) The globally and  
620 volume-integrated annual mean ocean temperature trend was less than 1°C per 1000 years; ii)  
621 trends in surface air temperature were less than 0.3°C per 1000 years; and iii) net energy  
622 balance at the top of the atmosphere, averaged over a 100-year period at the end of the  
623 simulation, was less than 0.25/W m<sup>2</sup>. In general, these simulations were run for over 9,000  
624 model years to ensure full Earth system equilibrium. Climate means were produced from the  
625 last 100 years of each simulation.

626

#### 627 **1.4.2.3. Spatiotemporal interpolation techniques**

628 Using the climatic snapshot simulations, we interpolated model data and boundary  
629 conditions over the last 40 Ma. First, the DEM (bathymetry & topography) was interpolated  
630 linearly between each pair of snapshot simulations at 0.5 million-year-increments onto a  
631 1°x1° longitude by latitude grid. Each increment was time weighted between the two  
632 snapshot simulations using the DEMs. The land-sea mask was generated for each newly  
633 generated DEM, where any grid box above 0 m was taken as land and any grid box below 0  
634 m as ocean. Isolated ocean points were removed if six of the surrounding grid boxes were  
635 land, with the corresponding topography set as the mean of the surrounding grid boxes.

636 The snapshot model data (e.g., sea surface temperature, sea surface salinity) was  
637 interpolated using bicubic remapping for each variable onto the interpolated land-sea mask.  
638 Environmental parameter fields (e.g., sea surface temperature, salinity) were extrapolated to  
639 fill in grid boxes that were newly created using Poisson's equation (elliptic partial differential  
640 equation) over the input domain.

641

#### 642 **1.4.2.4. Paleo-climate model uncertainties**

643 Global-scale biodiversity data requires global-scale environmental datasets, such as  
644 sea surface temperature. Global proxy databases with large spatiotemporal coverage are  
645 available for past time periods, but proxy evidence is limited deeper in time and increasingly  
646 less well-constrained, necessitating the use of paleoclimate models to provide these global  
647 datasets. However, simulating paleoclimate is challenging. This challenge stems, in part,  
648 from the many parameters that may be unconstrained for deeper time observations.  
649 Uncertainties can be partitioned into two main sources: i) boundary condition uncertainty,  
650 and ii) model uncertainty.

651 i) Boundary conditions are spatiotemporally varying parameters that are required by  
652 climate models, but which cannot be calculated internally by the model and instead need to  
653 be provided by the user. The most important boundary conditions for the model used here

654 are: (a) paleogeographic reconstructions, (b) ice sheet height and extent, (c) solar luminosity,  
655 (e) orbital configuration, and (e) greenhouse gas concentrations.

656

657 (a) A large source of uncertainty arises from paleogeographic reconstruction. DEMs,  
658 constrained by paleo-databases, provide topography, bathymetry, and land-sea  
659 concentration. These DEMs are crucial for determining local, regional, and global  
660 atmospheric and ocean circulation, and therefore the climate in the model. The deeper  
661 in time, the less proxy data is available, which results in greater uncertainty in these  
662 reconstructions. However, our understanding of plate tectonics, spreading ridges,  
663 weathering rates, and basinal deposition allows for accurate first-order approximation  
664 of deep-time paleogeography, especially over the last 40 Ma. The largest uncertainties  
665 usually result from the height and depth of topographic and bathymetric surfaces and  
666 their spatial coverage because of proxy uncertainty, e.g.<sup>75</sup>.

667 (b) Ice sheets (and associated sea-level height) can have a large impact on regional  
668 climate and global climate, primarily due to changes in land surface area, planetary  
669 albedo, and modification to ocean and atmospheric circulation. Currently, most  
670 paleoclimate models prescribe the height and extent of ice sheets based on proxy  
671 evidence.

672 (c) Solar luminosity, which is the amount of energy received by a planetary body from its  
673 parent star, is fairly well known. Gough (1981)<sup>74</sup> approximated the amount of energy  
674 based on a simple model using the age of the parent star. Apart from the first 0.2 Gyr  
675 of Earth history, this approximation is shown to agree well with observations<sup>76</sup>.

676 (d) Orbital configuration (the eccentricity, obliquity, and precession of the planet's orbit  
677 around its parent star and rotation on its own axis) can have a substantial impact on  
678 the seasonal and latitudinal climate signal, which, in turn, can lead to significant  
679 changes in climate state (glacial to interglacial cycles). These effects are due to ice  
680 sheet formation and associated changes in global atmospheric and oceanic response.  
681 For deep-time simulations, a modern orbital configuration is often imposed. There are  
682 several reasons for this. First, chronological uncertainty in proxies that are used in  
683 model comparison will cover many orbital cycles, which may result in the proxy  
684 being more representative of a mean orbital state (akin to the modern day). Second,  
685 using a modern configuration makes it easier to understand how different a deep-time  
686 climate is compared to the modern climate. Orbital variation will have its largest  
687 impact on climate where ice sheets can grow, which is partly reflective of the amount  
688 of  $p\text{CO}_2$  in the atmosphere and associated global temperature.

689 (e) Greenhouse gas concentrations, and more specifically  $p\text{CO}_2$  concentrations, are  
690 variable through the geologic past. Proxy type, age, techniques, and calibration  
691 uncertainty when converting to a  $p\text{CO}_2$  estimate, as well as the number of records  
692 through the geologic past, can make constraining a deep time  $p\text{CO}_2$  concentration  
693 problematic<sup>73</sup>. Furthermore, although a combination of multiple proxy sources can  
694 improve the robustness of the estimated  $p\text{CO}_2$  estimates, it may prove problematic in  
695 situations where the errors are combined to produce a mean  $p\text{CO}_2$  estimate. Time  
696 averaging is also an issue. Although paleogeography changes on geological  
697 timescales,  $p\text{CO}_2$  concentrations can vary on hundreds to million-year timescales.  
698 Here we were only interested in the long-term background signal (millions of years),  
699 with scatter around the mean, typically  $\sim 400\text{ppm}$ <sup>73</sup>.

700

701 ii) Although all globally available paleoclimate models use the same well-known  
702 equations to simulate the large-scale behavior of the atmosphere and ocean, results from  
703 different models can vary, in particular at the local and regional scale. This variation is due to



704 the complexity of each model, resolution dependencies, spin-up and the applied initial state,  
705 and parameterizations used to approximate processes such as cloud formation that cannot be  
706 explicitly resolved at the grid-scale of all current paleoclimate models. In an ideal world, such  
707 paleoclimate simulations would be run by multiple paleoclimate modelling groups, as is done  
708 in the Climate Model Intercomparison Project (CMIP) for near-future climate change studies.  
709 Unfortunately, such paleo-climate comparisons are not currently possible; for instance, these  
710 simulations took over two years to complete on a high-performance supercomputer, and few  
711 paleoclimate modelling groups have the capability to set up such deep time simulations.  
712 However, confidence in the robustness of our results can be obtained by the fact that: (a) the  
713 HadCM3 family of models, although 20 years older than many contemporaries, still  
714 compares reasonably well with models from the previous IPCC Coupled CMIP fifth  
715 assessment models<sup>62</sup>. (b) HadCM3L-M2.1D has seen continued development<sup>62</sup>. Here we use  
716 an updated version of the model that solves a persistent problem in the majority of  
717 paleoclimate models known as the ‘cold pole paradox’, where simulated higher latitude  
718 temperatures were previously much cooler than suggested by proxy-observations. (c) These  
719 simulations have been run for over 9000 model years. Paleoclimate simulations usually are  
720 run for only a couple of thousands of years due to computational costs. However, it can take  
721 upwards of 5,000 years to allow a model simulation to equilibrate to all the applied model  
722 forcings, especially for the deep ocean, and, as such, for global ocean circulation to be fully  
723 representative of the deep-time period. (d) Although model uncertainty is important to  
724 constrain, it has been shown that scenario uncertainty (i.e., the applied boundary conditions)  
725 is a larger source of error, at least for future climate simulations<sup>77</sup>.

726

### 727 **1.4.3. Climate drivers**

#### 728 **1.4.3.1. Environmental constraints on planktonic foraminifera**

729 We investigated nine environmental variables previously posited to constrain the  
730 distributions of planktonic foraminifera<sup>44</sup>, including mean annual sea surface temperature,  
731 seasonal variation in sea surface temperature, mean annual mixed layer depth, seasonality of  
732 the mixed layer depth, mean annual sea surface salinity, seasonality of sea surface salinity,  
733 the width of the thermocline, the temperature range within the mixed layer, and the  
734 temperature range within the thermocline (Fig. S25-S27).

735 **Sea surface temperature.** Surface temperature estimates were calculated at 5 m  
736 water depth. Temperatures within the water column were highly correlated with surface  
737 temperatures and therefore not included in model comparisons. Temperature seasonality was  
738 estimated as the standard deviation of monthly variations. HadCM3 estimates potential  
739 temperatures (i.e., removing the effect of pressure). Planktonic foraminifera are more likely  
740 to respond to actual temperature, but the difference between potential and actual temperature  
741 is small (< than 1°C at 1000 m) and thus we did not correct for this effect.

742 **Surface salinity.** Surface salinity was calculated at 5 m water depth. Salinity deeper  
743 in the water column was highly correlated with surface salinity and therefore not included in  
744 model comparisons. Seasonality in salinity was estimated as the standard deviation of the  
745 monthly variations. Extremes of salinity, whether high or low, may exceed planktonic  
746 foraminiferal species’ tolerances, whose salinity optimum sits around 35 PSU<sup>44</sup>. Therefore,  
747 the expected relationship with salinity is thought to be polynomial on the original scale.  
748 However, we have insufficient data to model polynomials for many of the time intervals, and  
749 thus to model the effect of salinity, we calculated the absolute difference from this optimal  
750 salinity value. By using an absolute difference from the optimum, we converted the  
751 relationship to approximately linear, with high richness close to the optimum and low  
752 richness at the extremes.

753 **Water column structure.** We estimated annual mean width of the mixed layer,  
754 seasonal variation in mixed layer depth, and annual mean width of the thermocline. The  
755 mixed layer refers to the top of the water column, where environmental conditions are  
756 relatively homogeneous and stable due to mixing. Mixed layer depth was derived from  
757 HadCM3L output, which used a Kraus-Turner<sup>78</sup> bulk mixed layer approach that calculated a  
758 balance between the energy available for mixing the water column and the introduction of  
759 buoyancy at the ocean surface. For more detail, see Foreman<sup>79</sup>.

760 The thermocline is the section of the water column below the mixed layer where  
761 temperature changes rapidly with depth. Below the thermocline, temperature is relatively  
762 stable. On a rescaled temperature profile (where the temperature and depth axes are rescaled  
763 from 0 to 1), the base of the thermocline was calculated as the point where the gradient  
764 steepens past a -1:1 line using a loess function in the base R stats package<sup>58</sup>. We chose a span  
765  $\alpha = 0.4$  based on sensitivity analyses: if the span is too large, the regression will be over-  
766 smoothed, whereas if the span is too small, large variance will result.

767 **Water column temperature structure.** We calculated the mean temperature range  
768 within the mixed layer and thermocline based on their identified boundaries, described above.  
769 The temperature range within the thermocline and mixed layer provided a proxy for the  
770 diversity of temperature niches at depth within the water column.  
771 Maps for the environmental variables can be found in Fig. S25.

772

#### 773 1.4.3.2. Variable selection

774 The interpolated AOGCM data provided mean global estimates for each of the nine  
775 variables at 2.5 Ma intervals. Shallow-water grid cells (water depth < 200m) were excluded  
776 for each time bin, based on a Scotese bathymetry model<sup>72</sup>. Removing shallow-water cells  
777 excluded coastal environments where planktonic foraminifera communities may be limited  
778 by environmental conditions<sup>44</sup>. Variables were centred and rescaled within each 2.5 Ma time  
779 bin to better interpret the intercept terms and to ensure similar units for regression  
780 coefficients.

781 The environmental variables were highly collinear with each other in most time  
782 periods (Fig. S26). We tested for multicollinearity within each of the 2.5 Ma time bins using  
783 variance inflation factors (VIFs). Analyses relied on the ‘vif’ function in the HH R package v.  
784 3.1-47<sup>80</sup>. To include the same set of environmental predictors across the 16 time bin models,  
785 we limited analyses to those variables with VIFs < 2 in each time interval, following<sup>81</sup>. The  
786 final variable set included mean sea surface temperature, mean annual mixed layer depth,  
787 mean annual sea surface salinity, and width of the thermocline. Mean thermocline  
788 temperature range was also considered of interest, but was too strongly correlated with mean  
789 sea surface temperature to be included in the same models (i.e., the mean  $R^2$  for a model  
790 between these two variables is 0.886 across time bins).

791 We assessed the relationship of these four variables with richness using both  
792 multivariate and univariate models (Figs. S28-S33). For univariate analyses, we included  
793 thermocline temperature range alongside the other four predictors (Fig. S33). Before  
794 modelling, mixed layer depth (mean and seasonality) and salinity (mean and seasonality)  
795 were log transformed to correct for non-linearity.

796

#### 797 1.4.4. Model building and calibration

##### 798 1.4.4.1. Identifying LDG drivers within time intervals

799 We modelled the relationship between richness and the four selected environmental  
800 predictors within each 2.5 Ma time bin using spatial autoregressive models. Models were fit  
801 using the errorsarm function in the spatialreg R package v.1.2-3<sup>82</sup>. We adjusted significance  
802 levels ( $\alpha$  of 0.05) to account for multiple comparisons by applying the Benjamini & Hochberg

803 (BH) correction<sup>83</sup>. The optimum neighborhood distance was calculated for each time period,  
804 between 500 km and the distance where autocorrelation becomes non-significant, following  
805 <sup>84</sup> using AIC. Models were checked for heteroscedasticity. We restricted analyses to within  
806 55° latitude, because older time periods lacked data at high latitudes. Using all latitudinal  
807 data, rather than limiting analysis to within 55° latitude, produced similar results (Fig. S28).

808

#### 809 **1.4.4.2. Model exploration and sensitivity analyses**

810 We explored the effects of sampling and preservation on within-age-bin model  
811 results. Sampling in the fossil record varies over time, with older time bins typically  
812 characterized by fewer data points. Data loss can potentially mask relationships between the  
813 predictors and species richness (Fig. 2). We therefore explored whether the results held when  
814 fewer data points were used for within-age-bin models. Specifically, in each time bin, data  
815 were subsampled to include the same number of data points as the most data-poor time bin  
816 (n=49, for 37.5–40 Ma), iterated 100 times. To summarize these results, we used the mean  
817 coefficient and standard error from the 100 subsampling iterations for each time bin. Model  
818 results removed any statistically significant relationship between temperature and richness,  
819 even for more recent time periods, but coefficients were similar (Fig. S30). Importantly,  
820 modern-day LDGs constructed from the more limited data show similar patterns to those  
821 constructed on the full dataset (Fig. S31).

822 Dissolution increases with water depth as the carbonate ion concentration and calcite  
823 saturation state decrease. Dissolution can artificially reduce richness at a particular site and  
824 time as a function of ocean basin depth and the species present, because certain species are  
825 more susceptible to dissolution<sup>44</sup>. The differential impact of dissolution may obscure the  
826 relationship between environmental variables and species richness. We assessed the potential  
827 effect of dissolution on model results by excluding samples with species richness in the  
828 lowest 25<sup>th</sup> percentile, based on 15° latitude and 2.5 Ma age bins. Model results suggested  
829 similar relationships and patterns (Fig. S32).

830 The warmer, greenhouse conditions on Earth ~40 Ma ago limited temperature  
831 variability across latitudes. Reduced temperature variation may obscure any relationship of  
832 temperature with species richness. We therefore tested whether a relationship between  
833 temperature and richness can be detected in more recent time bins, when analyses were  
834 limited to the range of temperatures characteristic of warmer times. We identified the time  
835 bin with the smallest temperature range (35–37.5 Ma time bin, with a temperature range from  
836 15.25–33.38°C) and restricted analyses for the other time bins to this temperature range.  
837 Using this approach resulted in some time bins having an even narrower temperature range,  
838 since time bins towards the present do not have temperatures reaching 33°C.

839 When a narrow temperature range was used, the relationship between temperature and  
840 richness was lost for most time bins, aside from the most recent (Fig. S29). Limiting analyses  
841 to a narrower temperature range suggested that no relationship exists between temperature  
842 and species richness, even for more recent time bins. Thus, the absence of a relationship  
843 between richness and temperature for deeper time bins may reflect the narrower temperature  
844 range, which itself elevated species richness across latitudes.

845

#### 846 **1.4.4.3. Identifying LDG drivers through time**

847 In addition to investigating the relationship between potential environmental drivers  
848 and richness within time bins spatially, we examined the degree to which change in  
849 environmental variables drives change in richness at given sites. To compare shorter- and  
850 longer-term trends, differences were calculated using a range of temporal gaps (from 2.5 Ma  
851 to 12.5 Ma, in 2.5 Ma steps). For each temporal gap, we modelled the change in species  
852 richness as a linear function of the change in the selected environmental variables. Only a

853 subset of the data used for spatial, within-time analyses could be used for the across-time  
854 analyses: to be included, sites were required to have pairs of samples with the requisite time  
855 gap (Fig. S35-36). Analyses with longer time gaps had fewer data points (Table S3).

856 We ran both multivariate and univariate models; for the latter, we included analysis of  
857 thermocline temperature range (Table S4). Richness was estimated as the mean richness for  
858 each site within each 2.5 Ma time bin. Analyses used values for the environmental variables  
859 on their original scale, rather than rescaled values. Because separate models were run for  
860 different time gaps, we adjusted significance values ( $\alpha$  of 0.05) following the Bejamini &  
861 Hochberg (BH) correction<sup>83</sup>. Results were insensitive to latitudinal extent (Table S3 & S4).

862

#### 863 **1.4.5. The effect of ocean area on species richness**

864 Open ocean area has been hypothesized to drive the LDG, because area is found to  
865 correlate positively with species richness today<sup>26</sup>. To test whether this relationship holds  
866 through time for planktonic foraminifera, we modelled richness as a function of open ocean  
867 area within 15° latitude and 2.5 Ma time bins (Fig. S34). Open ocean area was defined as the  
868 area of grid cells with depths > 200 m based on the Scotese bathymetry models<sup>72</sup>. We  
869 quantified area using the areaPolygon function in the geosphere R package<sup>85</sup>.

870

#### 871 **1.5. Depth partitioning across space and time**

872 Species of planktonic foraminifera live at different depths in the water column, down to  
873 about two kilometers<sup>44</sup>. Depth preferences for fossil species can be estimated using the  
874 isotopic signature of their shells and are usually divided into mixed layer, thermocline, and  
875 sub-thermocline dwellers<sup>52</sup>. We investigated how the depth structure of planktonic  
876 foraminiferal assemblages changed across space and time. For each unique site and time bin,  
877 we partitioned species by three depth categories (mixed layer, thermocline, and sub-  
878 thermocline dwellers). We classified the single species inferred to occur across multiple  
879 depths (*Chiloguembelina ototara*) as a mixed layer dweller, since it possessed photo-  
880 symbionts.

881 We quantified evenness of depth habitat within each unique site-by-age with Simpson's  
882 index using the diversity function in the vegan R package v.2.5-7<sup>86</sup>. This metric determined  
883 how evenly spread species are among the three depths for a given time and place. That is,  
884 highly even assemblages would have roughly equal numbers of species in each depth class,  
885 whereas uneven assemblages would vary more in species count by depth class. We excluded  
886 sites most likely to be subject to dissolution, defined as those that fell in the lowest 25<sup>th</sup>  
887 percentile of species richness for a given site and time. The mean of these evenness estimates  
888 was taken for each 2.5 Ma and 15° latitude bin (Fig. S44). Analyses were performed only  
889 within 55° latitude, given the sparse data at high latitudes earlier in the Cenozoic (Fig. 3).

890 Current knowledge of foraminiferal depth preferences only allowed for measurement of  
891 evenness across three depths over the last 40 million years. Our assumption, however, is that  
892 the thermocline and subthermocline are subdivided to contain multiple thermal niches, with  
893 more niches available during warmer conditions earlier in the Cenozoic across latitudes, and  
894 more niches available at low latitudes during the last 15–10 Ma. The mixed layer is unlikely  
895 to be as subdivided, given conditions within are more homogenous.

896

#### 897 **1.6. Speciation, extinction, and dispersal dynamics**

898 To determine the macroevolutionary processes structuring LDGs, we quantified spatial  
899 patterns of speciation, extinction, extirpation, and dispersal in planktonic foraminifera over  
900 the last 40 Ma. Specifically, we investigated whether species originated and went extinct in  
901 tropical or temperate regions, whether they dispersed primarily into or out of these regions,  
902 and whether they were extirpated (went regionally extinct) at higher rates in one region over

903 the other (Fig. 4, Fig. S37-40). Since our focus is on latitudinal patterns, not climatic patterns,  
904 we defined the separation between tropical and temperate regions as 30° for all time periods.  
905 We selected 30° because modern-day richness for planktonic foraminifera peaks in the  
906 subtropics; present-day richness quantified using the typical cut-off of 23° would result in  
907 similar diversity in these regions.

908 We used two approaches to quantify macroevolutionary dynamics. In the first approach  
909 (Fig. S37), we considered all site-by-age records, including those outside of 55° latitude.  
910 Speciation was considered to have occurred in the region of first occurrence for a species  
911 (Fig. S39). Similarly, extinction was considered to have occurred in the region of last  
912 occurrence for a species. That is, even if a species was present in both temperate and tropical  
913 regions within a 2.5 Ma time bin, the species was counted as going extinct in the tropics if  
914 that region held the last occurrence. Extirpation was documented in the time bin when the  
915 species last disappeared from a region (either temperate or tropics) but persisted in the other  
916 region. In this way, each species had, at most, one extirpation. Dispersal dynamics were  
917 identified by documenting how long it took a species after origination to appear in a region  
918 different from where it originated; for example, if a species originated in the tropics, we  
919 assessed whether the species ever left the tropics, and, if so, how long it took the species to  
920 move to the temperate region. Species that originated and moved within a 2.5 Ma time bin  
921 were counted towards both metrics in that time bin. Raw counts (Fig. S37), proportions (Fig.  
922 S37), and per capita rates (Fig. S40) were calculated.

923 In the second approach (Fig. S38), we excluded species present in both temperate and  
924 tropical regions within a given 2.5 Ma time bin. These species do not contribute to the  
925 development of the LDG. Thus, by focusing only on species present in one region, we can  
926 more clearly distinguish the processes contributing to LDG formation. For these analyses, we  
927 counted a speciation as the region of first occurrence for a species, but only if the species did  
928 not expand its range to another region in the same time bin. Extinction was recorded in the  
929 region where the species was last observed, but only if the species was found uniquely in that  
930 region. Extirpation occurred when a species was present in both regions but was lost from  
931 one region. Thus, extirpation could occur numerous times for each species over time, unlike  
932 in the previous approach. Dispersal was counted when a species moved from one region to  
933 another. For example, when a species was found only in the tropical region in one time bin, to  
934 when it was found only in the temperate region in another time bin. The two approaches  
935 produced congruent conclusions, which is that low-latitude speciation and high-latitude  
936 regional extirpation, contributed to the steepening of the diversity gradient over the last 40  
937 Ma.

938 Incomplete sampling may affect the location of first and last appearance for taxa in the  
939 fossil record. Although it is unlikely that geographic error in the first and last appearances  
940 would be sufficient to mask true patterns given the broad geographic bins used here, we  
941 employed a bootstrap resampling protocol to test this assumption. Each species was  
942 subsampled down to 75% of available records across its lifetime, and the evolutionary  
943 metrics (speciation, extinction, extirpation, dispersal) re-calculated for each time bin. This  
944 subsampling process was repeated 100 times, such that 100 temperate and 100 tropical  
945 estimates were returned for each metric (speciation, extinction, extirpation, and dispersal) in  
946 each time bin. Our goal was to assess how sampling may affect understanding of the location  
947 of first and last appearance and dispersal patterns for species. The estimates, however,  
948 remained broadly consistent across bootstrap replicates (Figs. S41-43), most likely because  
949 planktonic foraminifera are densely sampled with high spatio-temporal resolution—arguably  
950 the best of any fossil group<sup>52</sup>. Planktonic foraminifera are employed extensively for  
951 biostratigraphy and have been subject to considerable taxonomic revision, resulting in well-

952 established stratigraphic ranges<sup>87</sup>; accordingly, the geographic locations of first and last  
953 occurrences in Triton that correlate with these datums are robust.

954

955

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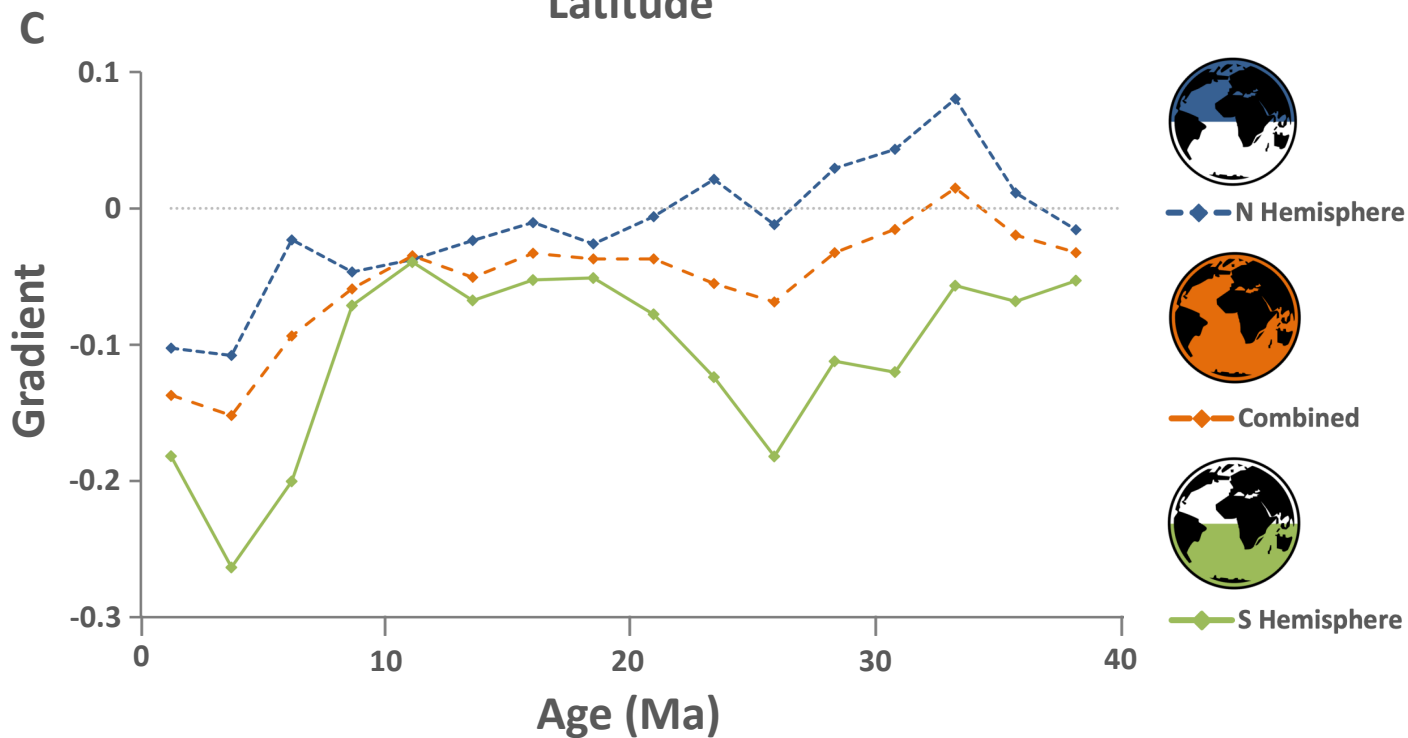
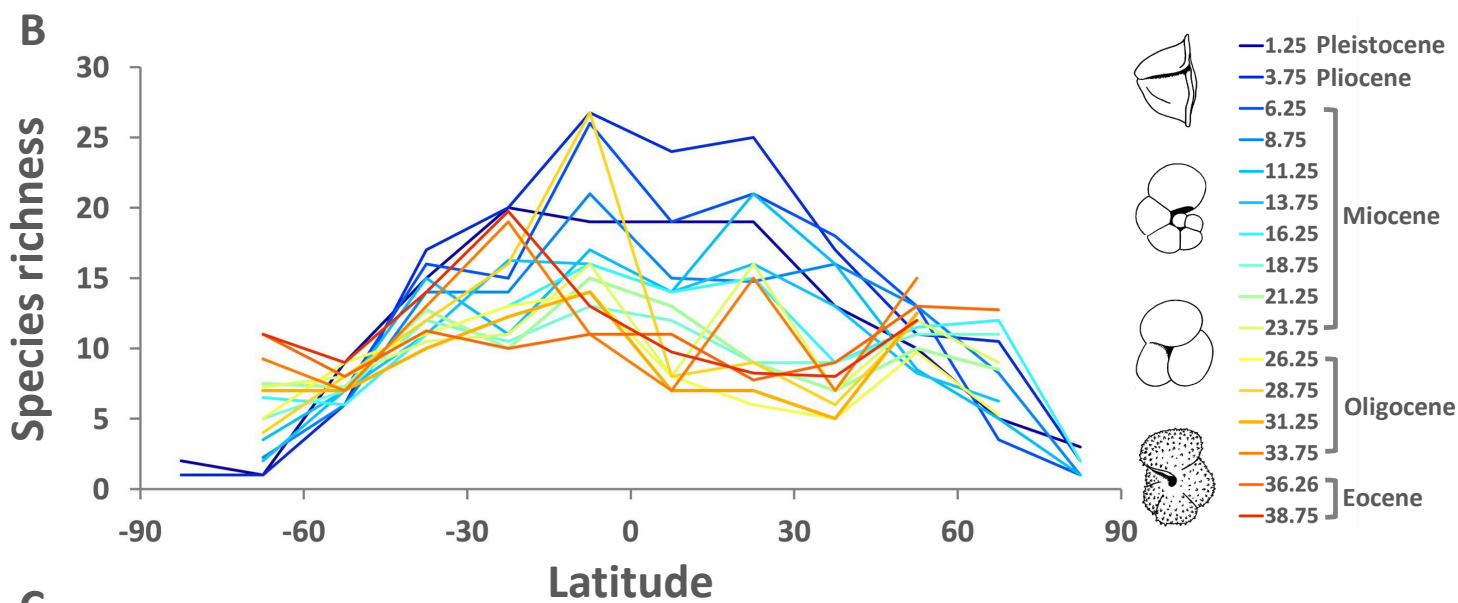
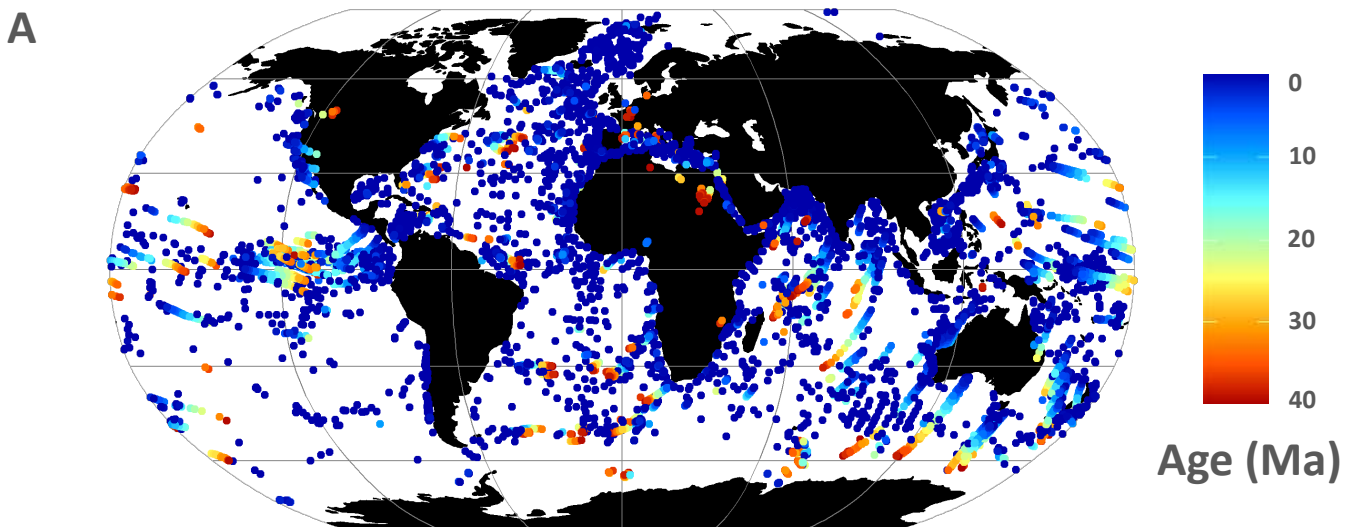
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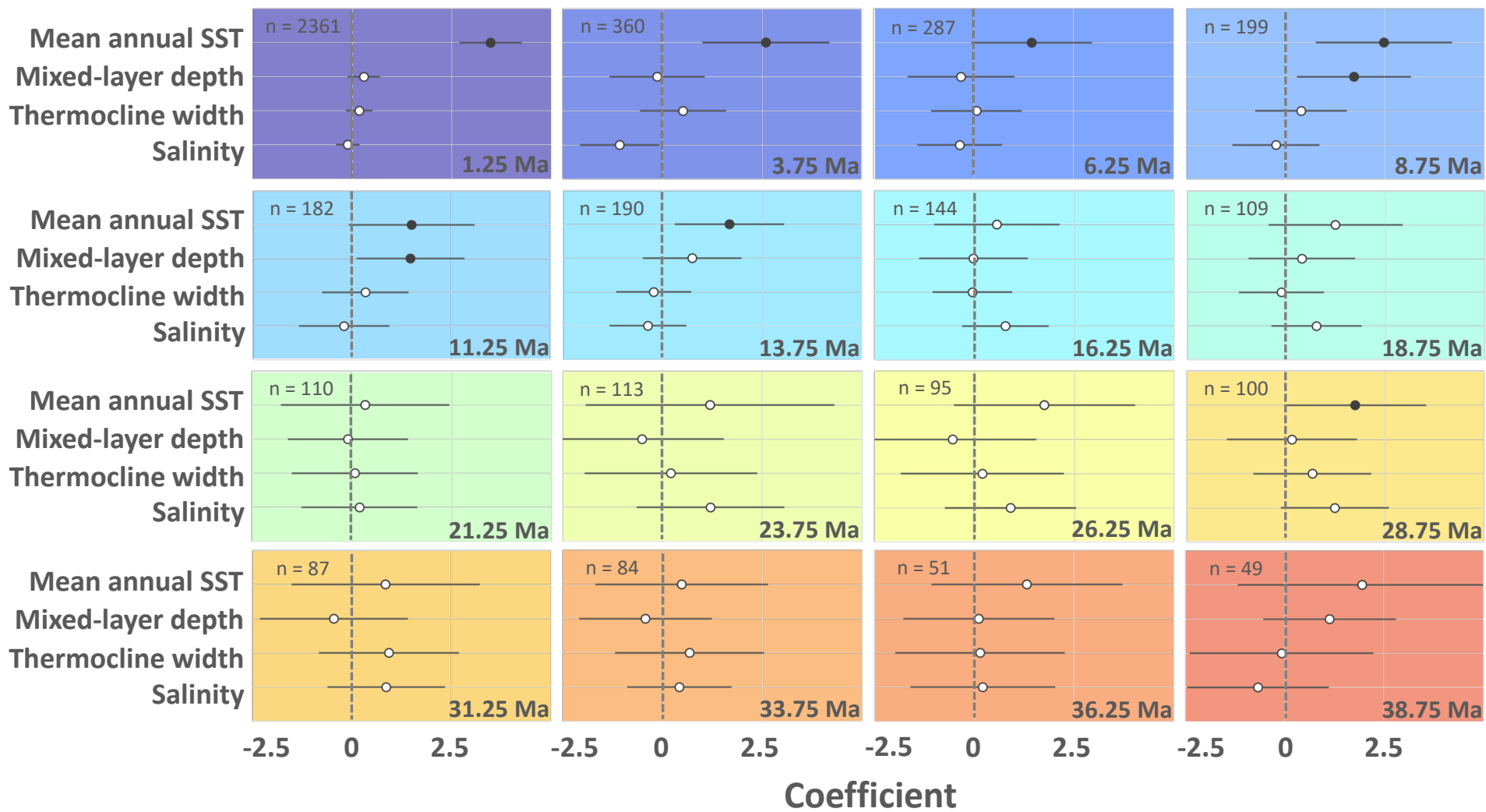
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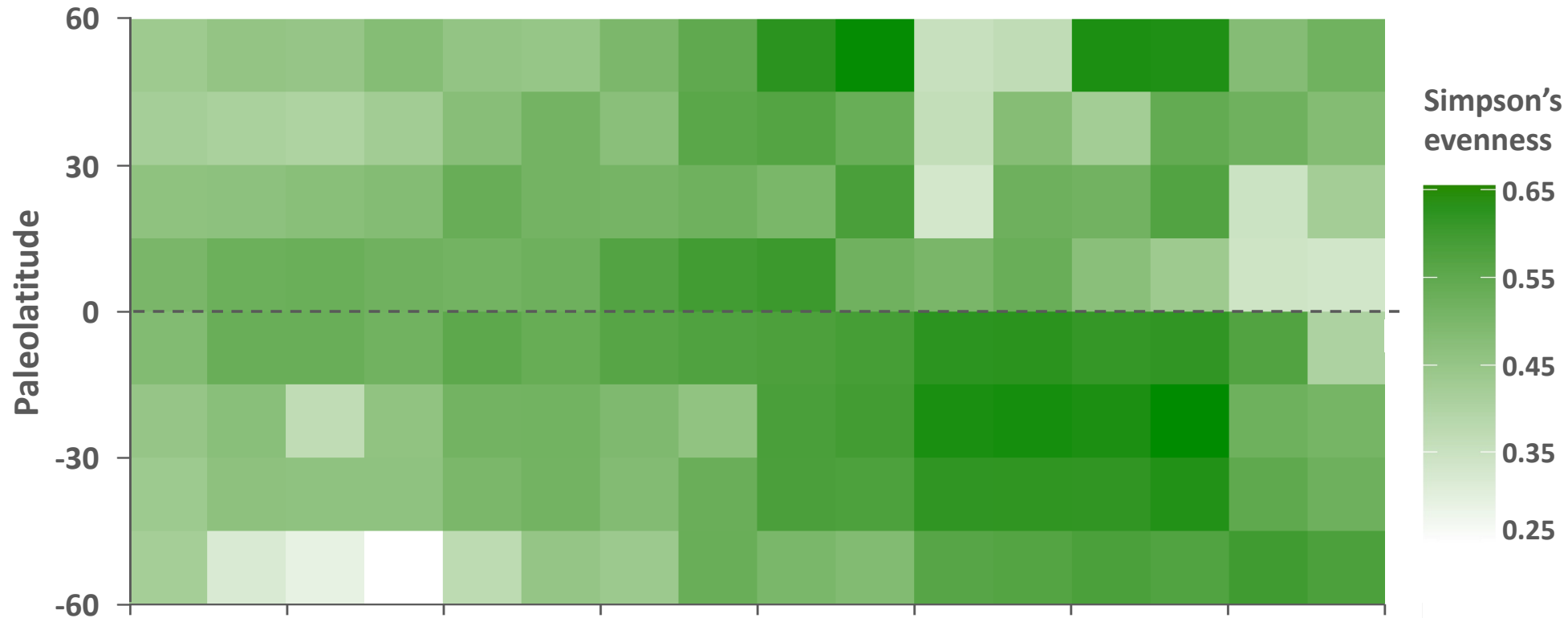
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B

