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1 **Full Title:**

2 Cost-Effectiveness and Value of Information Analysis of an Ambient Intelligent Geriatric Management  
3 (AmbIGeM) System Compared to Usual Care to Prevent Falls in Older People in Hospitals

4 **Short Title:**

5 CEA and Vol of an Ambient Intelligent Geriatric Management System to Prevent Falls in Hospitals

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35

36 **Abstract**

37 **Background**

38 The Ambient Intelligent Geriatric Management (AmbIGeM) system combines wearable sensors with artificial  
39 intelligence to trigger alerts to hospital staff before a fall. A clinical trial found no effect across a heterogenous  
40 population, but reported a reduction in the injurious falls rate in a post hoc analysis of patients on Geriatric  
41 Evaluation Management Unit (GEMU) wards. Cost-effectiveness and Value of Information (VoI) analyses of  
42 the AmbIGeM system in GEMU wards was undertaken.

43 **Methods**

44 An Australian health care system perspective and 5-year time horizon were used for the cost-effectiveness  
45 analysis. Implementation costs, inpatient costs and falls data were collected. Injurious falls were defined as  
46 causing bruising, laceration, fracture, loss of consciousness, or if the patient reported persistent pain. To  
47 compare costs and outcomes, generalised linear regression models were used to adjust for baseline differences  
48 between the intervention and usual care groups. Bootstrapping was used to represent uncertainty. For the VoI  
49 analysis, 10,000 different sample sizes with randomly sampled values ranging from 1 to 50,000 were tested to  
50 estimate the optimal sample size of a new trial that maximised the Expected Net Benefits of Sampling.

51 **Results**

52 There were an adjusted 0.036 fewer injurious falls (adjusted rate ratio of 0.56) and AUD\$4,554 lower costs in  
53 the intervention group. However, uncertainty that the intervention is cost-effective for the prevention of an  
54 injurious fall was present at all monetary values of this effectiveness outcome. A new trial with a sample of  
55 4,376 patients was estimated to maximise the Expected Net Benefit of Sampling, generating a net benefit of  
56 AUD\$186,632 at a benefits to cost ratio of 1.1.

57 **Conclusions**

58 The benefits to cost ratio suggest a new trial of the AmbIGeM system in GEMU wards may not be high-value  
59 compared to other potential trials, and that the system should be implemented. However, a broader analysis of  
60 options for preventing falls in GEMU is required to fully inform decision-making.

61 **Trial Registration**

62 Australian and New Zealand Clinical Trial Registry (ACTRN 12617000981325).

63 **Keywords**

64 Health Care Economics and Organizations; Preventive Health Services; Geriatric Assessment; Accidental Falls

65 **Key Points for Decision Makers**

- 66 • The benefits to cost ratio suggests a new trial of the Ambient Intelligent Geriatric Management system  
67 in geriatric wards may not be a high-value investment compared to funding other potential trials, and  
68 that the system should be implemented.
- 69 • The developed methods described provide a framework for Value of Information analyses of future  
70 clinical trials in which adjustment for imbalances in baseline characteristics are required.

## 71 **1 Introduction**

72 Falls among patients in Australian hospitals occurred at a rate of 4.0 falls per 1,000 hospital admissions in 2020-  
73 21 [1]. Falls can lead to a loss in independence [2], premature institutionalisation [3] and increased length of  
74 stay and hospital costs [4].

75 In the USA, the ceasing of reimbursements for costs relating to inpatient falls by the Centers for  
76 Medicare and Medicaid Services (CMS) [5], led to the increased use of object-based alarms (e.g. beds) despite  
77 the lack of evidence of benefit [6]. With wearable sensor technological advances, it has become possible to  
78 monitor multiple patients in multiple locations simultaneously. When coupled with artificial intelligence, alerts  
79 can be automatically triggered to staff, allowing them to offer supervision before a fall [7]. The novel Ambient  
80 Intelligent Geriatric Management (AmbIGeM) system was designed and then evaluated using a stepped wedge  
81 controlled clinical trial that included patients with dementia and delirium [8]. The trial was adequately powered,  
82 but found no statistically significant differences in the rate of falls and injurious falls and the proportion of  
83 fallers in the intervention periods compared to the control periods [8]. Patients perceived the intervention to be  
84 acceptable and a good idea and expressed no privacy concerns [9]. A post-hoc analysis found a statistically  
85 significant reduction in the falls (adjusted rate ratio 0.64, 95% CI 0.27, 1.68) and injurious falls (adjusted rate  
86 ratio 0.34, 95% CI 0.11, 1.15) rates in the intervention period compared to the control period for patients  
87 receiving care in Geriatric Evaluation Management Unit (GEMU) wards [8]. The GEMU wards are specialised  
88 sub-acute units providing for comprehensive geriatric assessment including multi-disciplinary management to  
89 older patients for age related conditions (e.g. cognitive impairment, reduced mobility, complex psychosocial  
90 problems) following a brief period of acute hospitalisation (e.g. acute general medicine or acute care of the  
91 elderly units) with the goal of helping older people live at home and in the community longer [10]. Therefore,  
92 when compared to the overall trial population, the GEMU population were significantly older, and more likely  
93 to be female, living in the community, present with dementia and/or delirium, have a history of falls and be  
94 admitted to hospital due to a fall with or without fracture. The GEM units are different to rehabilitation units  
95 where the primary clinical intent is to improve the functioning of a patient with an impairment, activity  
96 limitation or participation restriction due to a health condition with the patient medically stable and able to  
97 actively participate in therapy [10].

98 The trial results were interpreted as providing evidence to preclude further consideration of the general  
99 use of the AmbIGeM system for patients aged 65 years and older, but that consideration of the technology in  
100 GEMU wards may be warranted [8]. A cost-effectiveness analysis of the AmbIGeM system in GEMU wards

101 would inform the potential value of the system in the GEMU setting, but a Value of Information (VoI) analysis  
102 to estimate the expected value of further research may be particularly relevant in this case due to the post hoc  
103 basis for the cost-effectiveness analysis. VoI analyses compare the costs of undertaking further research with the  
104 expected value of further research to reduce the probability of making an implementation decision that does not  
105 maximise expected benefits (i.e., to reduce the probability of making the “wrong” decision) [11].

106 This paper presents a cost-effectiveness analysis and VoI analysis of the AmbIGeM system in GEMU  
107 wards to inform decisions of whether to fund the implementation of the AmbIGeM system in GEMU wards and  
108 to undertake further research to reduce uncertainty around such funding decisions, respectively.

## 109 **2 Methods**

### 110 **2.1 Patients and Intervention**

111 A stepped wedge pragmatic trial comparing the AmbIGeM system and usual care in three wards, the GEMU  
112 ward at The Queen Elizabeth Hospital (TQEH) in South Australia (SA) and the GEMU and general medicine  
113 wards at the Sir Charles Gairdner Hospital (SCGH) in Western Australia (WA), was conducted. Details of the  
114 trial protocol and effectiveness findings have been published elsewhere [8, 12]. Briefly, patients aged 65 years  
115 and over and admitted to participating wards were eligible for inclusion. All wards started with a 25-week  
116 control period and successively switched to intervention every 25 weeks in the following order SA GEMU, WA  
117 GEMU and WA general medicine. The GEMU wards spent less time in control (3 x 25-week periods) and more  
118 time in the intervention (5 x 25-week periods) period. Usual care at both hospitals comprised of routine best  
119 practice falls prevention activities, including falls risk screening and assessment, environment assessment, and  
120 implementing interventions for identified risk factors, such as appropriate positioning of call bells and mobility  
121 aids, adequate lighting, bed/chair sensor alarms, reduced clutter and compliance with restraint policies [13].

122 For the intervention, patients wore a singlet with a Bluetooth Low Energy (BLE) device with integrated  
123 sensors (triaxial accelerometer and gyroscope) positioned over the sternum in a customised pocket. When  
124 sensors detected high risk movements, staff were alerted by alarms via an application on mobile phones which  
125 clinical staff carried. The alarm could be set to detect a range of high-risk movements depending on individual  
126 patient risk as identified by clinical staff.

127 Ethics and governance approval was granted by the TQEH/Lyell McEwin Hospital /Modbury Hospital  
128 (HREC/15/TQEH/17), Curtin University (HRE2017-0449) and SCGH (PRN 2015-110). The trial was registered  
129 with the Australian and New Zealand Clinical Trial Registry (ACTRN 12617000981325).

### 130 **2.2 Data**

131 Trial outcomes included the experience of any fall, and injurious falls, the latter being defined as causing  
132 bruising, laceration, fracture, loss of consciousness, or if the patient reported persistent pain [14, 15]. Outcome  
133 events were collected by research personnel from computerized incident reports, daily enquiries with ward team  
134 leaders and hand searching of patient medical notes or electronic health records. As part of hospital policy, falls  
135 were recorded by clinical staff in the incident reporting system and medical records. Where research staff were  
136 uncertain regarding the recording of falls, the senior investigators (RV and KH) adjudicated.

137 Intervention costs included significant upfront costs (e.g., ceiling mounted devices, servers and  
138 connections to Wi-Fi systems) as well as BLE devices and training (annuitised over the expected 5-year lifetime  
139 of the devices), singlets and ongoing technology support. Other costs included inpatient admissions for patients  
140 who received care on a study ward during the study period. These costs were obtained from the finance  
141 departments of the study hospitals and reflect national costing guidelines for inpatient care [16].

142 Analyses were undertaken from the perspective of the Australian health care system as the intervention  
143 was implemented in public hospital settings. All cost data were in Australian dollars, and reflected costs in the  
144 year 2020. All inpatient admissions were less than one year in duration and so costs and outcomes were not  
145 discounted.

### 146 **2.3 Cost-Effectiveness Analysis**

147 A cost-effectiveness analysis of the AmbIGeM system was undertaken in the subgroup of trial participants who  
148 were managed in the GEMU wards (1,660 of the total 3,114). The primary outcome for the cost-effectiveness  
149 analysis was defined as the experience of one or more injurious falls during study patients' stay on a study  
150 GEMU ward, noting injurious falls provide a more homogenous and meaningful measure of patient outcomes  
151 than any fall [17].

152 A generalised linear regression model using log-transformed costs and including fixed effects for  
153 intervention, ward and time period was used to estimate the mean costs in the intervention and control groups.  
154 For the injurious falls outcome, a binary logistic regression model including fixed effects for intervention, ward  
155 and time period was used. The Charlson Comorbidity Index was also included in all of the models as a pre-  
156 specified covariate.

157 The mean costs and injurious falls probabilities for each treatment group were then compared. The  
158 incremental cost-effectiveness ratio (ICER) was defined as the incremental cost per admission without an  
159 injurious fall and was calculated by dividing the difference in expected total costs by the difference in the  
160 expected probabilities of an injurious fall between the two groups.

161 Bootstrapping was used to generate 95% confidence intervals (CI) and a cost-effectiveness plane to  
162 graphically represent the joint differences in mean costs and outcomes between the intervention and control  
163 groups. The resampling of data 1,000 times for the bootstrapping was considered adequate as re-running the  
164 bootstrap with a different random number seed did not significantly change the bootstrap estimates. Cost-  
165 effectiveness acceptability curves were generated to indicate the probability that the intervention is cost-  
166 effective compared with usual care at different monetary values for additional admissions without an injurious  
167 fall. Missing data were not imputed as there were no missing outcomes data and cost data were missing for only  
168 7 (0.42%) patients.

## 169 **2.4 Value of Information Analysis**

170 There are three key measurements in a VoI analysis [11, 18], the:

- 171 • Expected Value of Perfect Information (EVPI), which describes the expected loss associated with the  
172 likelihood that the wrong decision is made, i.e., the AmbIGeM system is funded if it is not cost-  
173 effective, or it is not funded if it is cost-effective. This is equivalent to the expected value of  
174 eliminating all uncertainty from a single patient's perspective. Population EVPI is the EVPI per patient  
175 multiplied by the number of the future population who could benefit from the intervention (beneficial  
176 population). The beneficial population is estimated as the annual number of patients who would be  
177 eligible to receive the intervention being evaluated multiplied by the discounted number of years until  
178 the intervention of interest is likely to be superseded.
- 179 • Expected Value of Sample Information (EVSI), which describes the expected reduction in the EVPI  
180 from undertaking a specific new data collection exercise (usually a further research study, an increase  
181 in the number of study participants, or an extension to follow-up of an existing study). This is  
182 equivalent to the expected value of the reduction in uncertainty that would result from further research  
183 for an individual patient. Population EVSI is the EVSI per patient multiplied by the beneficial  
184 population.
- 185 • Expected Net Benefit of Sampling (ENBS), which subtracts the cost of collecting the new data from  
186 the population EVSI to give the net cost of undertaking further research.

187

188 Net monetary costs were estimated for each patient as their incurred health care costs plus a monetary  
189 value of \$7,000 if a patient experienced an injurious fall. The assigned monetary value of an injurious fall  
190 represents the expected costs of generating health gains equivalent to the prevention of an injurious fall by the

191 Australian public health system. It was based on an assumption that the health effects of an injurious fall were  
192 equivalent to losing 0.25 of a Quality Adjusted Life Year (QALY) and a reported cost to generate a QALY in  
193 Australia of AUD\$28,000 [19]. The assumption of a loss of 0.25 QALYs for an injurious fall is based on the  
194 NICE Clinical Guidelines for Falls assessment and prevention in older people and the following example: “a  
195 man aged 65.5 who is admitted to hospital and suffers a minor fall whilst an inpatient is calculated to have a  
196 utility of 0.434 following the fall. The patient leaves hospital on the 5th day and the fall utility decrement is  
197 changed to the minor fall utility decrement associated with being at home, so his utility becomes 0.782 for  
198 another 360 days (the rest of the year following his fall)” [20].

199           The analytic method to estimating the EVPI, EVSI and ENBS described by Wilson [11] was applied,  
200 incorporating the use of regression analysis to adjust analyses of net monetary costs for imbalances between the  
201 comparator and intervention groups with respect to potential confounders. A Q-Q plot of the log of the  
202 estimated net monetary costs justified an assumption that this parameter was normally distributed (see  
203 Supplementary Figure S1), which informed the selection of a generalised linear regression model to model log-  
204 transformed net monetary costs. The model was fitted as described in the *Cost-Effectiveness Analysis* section for  
205 the analysis of costs.

#### 206 **2.4.1 Expected Value of Perfect Information (EVPI)**

207 To estimate the EVPI for the original clinical trial, 10,000 bootstrapped samples of the trial dataset were  
208 generated. For each sampled dataset of net monetary costs, the generalised linear regression model was fitted  
209 and the least-squares mean estimates of net monetary costs were derived from the model for the intervention and  
210 control groups. The mean incremental net monetary costs were then estimated for each bootstrap sample (i.e.,  
211 intervention group mean net monetary costs minus control group mean net monetary costs). Drawing on the  
212 central limit theorem, the 10,000 estimates of the mean incremental net monetary costs were assumed to be  
213 normally distributed.

214           Each of the 10,000 bootstrap samples generate equally likely estimates of the incremental net monetary  
215 costs of the AmbIGeM system. A proportion of the estimates will indicate that the intervention has negative  
216 incremental net monetary costs and so should be funded, the remaining proportion will estimate positive  
217 incremental net monetary costs, indicating that the system should not be funded. With perfect information, the  
218 correct funding decision would be made in all of the 10,000 possible scenarios, such that incremental net  
219 monetary costs  $\leq$  \$0 are estimated across all 10,000 bootstrap samples. With current information, a single  
220 decision to fund or not to fund the intervention is made across all 10,000 possible scenarios, which means that

221 incremental net monetary costs  $\geq$  \$0 are estimated for scenarios in which the ‘wrong’ funding decision is made.  
222 The EVPI is the difference between the mean of the incremental net monetary costs with perfect information  
223 and with current information.

#### 224 **2.4.2 Expected Value of Sample Information (EVSI)**

225 The EVSI is estimated as the difference between a predicted posterior (‘preposterior’) EVPI that reflects the  
226 expected effects of additional data and the prior EVPI using the existing AmbIGeM trial data. The preposterior  
227 EVPI was estimated for 10,000 alternative sample sizes for a new clinical trial of the AmbIGeM system (of the  
228 same design as the original AmbIGeM trial). The new sample sizes were randomly sampled from a uniform  
229 distribution with minimum and maximum values of 1 and 50,000. The expected outputs for each participant in  
230 each of the 10,000 ‘new clinical trials’ were sampled with replacement from the original AmbIGeM dataset. The  
231 sampled values were added to the original AmbIGeM dataset and the regression model for the log of the net  
232 monetary costs was re-fitted and the preposterior EVPI estimated, as described for the prior EVPI. A smooth  
233 monotonic curve was fitted to the 10,000 estimates of the preposterior EVPI values as a function of sample size,  
234 using the scam package [21] in R version 4.0.5 [22].

235 To estimate the Population EVSI, the annual number of bed days in GEMU wards in South Australia  
236 (201 beds) [23] was extrapolated to estimate bed days across Australia based on the proportion of the Australian  
237 population residing in South Australia (7%) [24]. This was divided by the mean length of stay (13.94 days)  
238 observed in the AmbIGeM trial to give the estimate of 70,000 inpatient episodes per year in GEMU wards  
239 across all Australian hospitals. In the base case:

- 240 • a 20% uptake rate by GEMU wards in hospitals across Australia was assumed (based on reports in the  
241 existing literature that few health service interventions are successfully implemented [25]), i.e., 14,000  
242 inpatient episodes per year for which the AmbIGeM system would be used if implemented,
- 243 • a five-year time horizon was defined as the period over which the AmbIGeM system would be  
244 expected to remain a relevant intervention, reflecting a high rate of advancement in digital medical  
245 technologies, and the expected lifetime of the intervention device.

246 Thus, a total beneficial population of 70,000 inpatient episodes (14,000 per year multiplied by five years)  
247 was estimated. Discounting at a rate of 5% [26] was applied to the future population who could benefit.

#### 248 **2.4.3 Expected Net Benefit of Sampling (ENBS)**

249 The ENBS is the expected benefit from undertaking further research (the Population EVSI) minus the total cost  
250 of undertaking further research. Expected research costs were informed by the costs of running the original

251 AmbIGeM trial, incorporating fixed costs of \$500,000 and variable costs of \$300 per patient. The ENBS is  
 252 estimated as a function of the sample size of a new clinical trial to identify the sample size that maximises the  
 253 ENBS. Scenario analyses were undertaken to illustrate the effects of varying assumptions regarding uptake of  
 254 the AmbIGeM system, the time horizon and expected research costs.

### 255 3 Results

256 Of the 3,114 patients in the AmbIGeM trial, 1,667 (53.5%) were in GEMU wards. With cost data missing for 7  
 257 patients, the analyses were performed on 1,660 GEMU patients. A larger proportion of GEMU patients were in  
 258 the intervention than in the control periods. Patient characteristics are presented in Table 1, showing almost all  
 259 patients were living in the community prior to admission. Patients in the intervention periods were more likely  
 260 to have a history of falls with or without fracture and to present with delirium or dementia. Unadjusted outcomes  
 261 showed intervention patients were less likely to die during the admission or be discharged directly home.

262

263 **Table 1** GEMU Participant Characteristics

	Intervention (n=997)		Control (n=663)		P-value	SMD
Age, years (mean [SD])	85.3	[7.68]	85.8	[7.73]	0.163	0.070
Female (%)	600	(60.2)	398	(60.0)	0.992	0.003
Living in the community pre-hospitalisation	975	(97.8)	646	(97.4)	0.760	0.023
Charlson's Co-Morbidity Index Score					0.741	0.056
	None	168 (16.9)	103	(15.6)		
	Mild	418 (41.9)	293	(44.2)		
	Moderate	263 (26.4)	176	(26.5)		
	Severe	148 (14.8)	91	(13.7)		
With delirium or dementia	371	(37.2)	214	(32.3)	0.045	0.104
Previous admission with fall +/- fractures	444	(44.5)	241	(36.3)	0.001	0.167
Reasons for primary admission (top 5 only)						
	Infection	147 (14.8)	116	(17.4)	<0.001	0.319
	Cardiovascular	87 (8.7)	82	(12.4)		
	Falls with no fractures	189 (19.0)	85	(12.8)		
		91 (9.1)	66	(10.0)		

Other musculoskeletal, rheumatological	86 (8.6)	67 (10.1)		
Fracture +/- falls				
Polypharmacy on discharge $\geq 5$ medications				
	874 (87.7)	572 (86.3)	0.452	0.041
Death during admission	14 (1.4)	23 (3.5)	0.009	0.134
Discharge destination			0.001	0.284
Community	541 (54.3)	391 (59.0)		
Residential aged care (permanent)	42 (4.2)	20 (3.0)		
Rehabilitation	89 (9.0)	57 (8.6)		
Transitional care program	79 (7.9)	47 (7.1)		
Other <sup>a</sup>	246 (24.6)	148 (22.3)		

264 Data presented as number (percentage), unless otherwise specified

265 *SD* standard deviation, *SMD* standardised mean difference

266 <sup>a</sup>Other includes palliative care, care awaiting placement program, hospital in the home, transfer to another  
267 hospital, residential aged care (respite)

268

### 269 3.1 Cost-Effectiveness Analysis

270 The adjusted rate of injurious falls per admitted patient was lower in the intervention group (0.035 for  
271 intervention and 0.071 for control), with a mean difference of -0.036 injurious falls per patient (95% CI -0.097,  
272 0.025) and an adjusted rate ratio of 0.56 (95% CI 0.17, 1.79). Adjusted mean costs per patient were also lower in  
273 the intervention group (AUD\$23,933) than the control group (AUD\$28,487), with a mean cost difference of -  
274 AUD\$4,554 (95% CI -\$9,998, \$890). The mean results indicate that the AmbIGeM system improves patient  
275 outcomes and reduces costs when used in GEMU wards.

276 Figure 1 presents the results of the bootstrapped analysis of uncertainty around the mean results,  
277 plotting the 1,000 joint estimates of the differences in injurious falls and costs. The majority of the estimates  
278 (79%) indicate that the intervention is more effective and less costly than usual care (the southeast quadrant).  
279 The southwest quadrant is the second most common quadrant, which represents estimates that indicate that the  
280 intervention is less costly, but also less effective. Translating these data into the cost-effectiveness acceptability  
281 curves presented in Figure 2 shows that the intervention had a high probability of being cost-effective at all  
282 monetary values for the prevention of injurious falls, but that some uncertainty remained.

283

284 [Figure 1 here]

285 [Figure 2 here]

286

### 287 3.2 Value of Information Analysis

288 The EVPI after the original trial was estimated to be AUD\$58.27, representing the benefits of eliminating the  
289 uncertainty associated with the decision to fund the AmbIGeM system for a single patient. Applying this value  
290 to the estimated beneficial population of 70,000 patients generates a Population EVPI of AUD\$3,708,478 over 5  
291 years.

292 Table 2 presents the outputs from the VoI analysis for 10 potential new trials that could be undertaken  
293 to reduce the uncertainty around the cost-effectiveness of the AmbIGeM system. For a new trial with a sample  
294 size of 1,000, the EVPI is reduced by AUD\$8.81, which is the EVSI per patient. The EVSI for the estimated  
295 beneficial population of 70,000 patients is AUD\$560,694 over 5 years. However, the estimated cost to run a  
296 trial with 1,000 participants is AUD\$800,000 so the ENBS is negative: - AUD\$239,305.

297

298 **Table 2** Value of Information Outputs by Sample Size of New Trial

Sample size of new trial	EVSI	Pop. EVSI (AUD\$)	New trial costs (AUD\$)	ENBS (AUD\$)
1,000	\$9	\$560,694	\$800,000	-\$239,305
2,000	\$17	\$1,085,113	\$1,100,000	-\$14,887
3,000	\$24	\$1,521,704	\$1,400,000	\$121,704
4,000	\$30	\$1,881,924	\$1,700,000	\$181,924
5,000	\$34	\$2,174,681	\$2,000,000	\$174,681
6,000	\$38	\$2,409,524	\$2,300,000	\$109,524
7,000	\$41	\$2,594,725	\$2,600,000	-\$5,275
8,000	\$43	\$2,740,468	\$2,900,000	-\$159,532
9,000	\$45	\$2,852,479	\$3,200,000	-\$347,521
10,000	\$46	\$2,935,215	\$3,500,000	-\$564,785

299 AUD Australian dollars, ENBS Expected Net Benefit of Sampling, EVSI Expected Value of Sample

300 Information, Pop. population

301

302 For a new trial of 3,000 participants the population EVSI is greater than the new trial costs, resulting in  
303 a positive ENBS of AUD\$121,704 over 5 years. In Table 2, the ENBS continues to increase to a new trial  
304 population of 4,000 after which it declines, becoming negative again at a new trial size of 7,000 participants.

305 Figure 3 presents the plots for the EVSI, total cost of a new trial (Trial Costs) and ENBS as continuous  
306 functions of the total sample size. From the data presented in Figure 3, the maximum ENBS is AUD\$186,632  
307 corresponding to an optimal sample size of 4,376 participants.

308

309 [Figure 3 here]

310

311 Table 3 presents scenario analyses around the parameters used to estimate the beneficial population.  
312 The uptake scenario assumes increased uptake of the AmbIGeM system across GEMU wards in Australia,  
313 which increases the maximum ENBS to over AUD\$4 million for a new trial of 8,341 participants. Reducing the  
314 time period over which the decision to use the AmbIGeM system remains relevant to 3 years or increasing the  
315 variable cost of a new trial to AUD\$500 results in negative maximum ENBS, indicating further research is not  
316 warranted.

317

318 **Table 3** Scenario Analyses around Population Size Parameters

	<b>Base case</b>	<b>Uptake scenario</b>	<b>Decision relevance scenario</b>	<b>New trial costs scenario</b>
Uptake	20%	50%	20%	20%
Time horizon	5 years	5 years	3 years	5 years
Beneficial population	70,000	179,000	43,000	70,000
Fixed cost (AUD\$)	\$500,000	\$500,000	\$500,000	\$500,000
Variable cost (AUD\$)	\$300	\$300	\$300	\$500
Optimal sample	4,376	8,341	2,023	1,758
Max ENBS (AUD\$)	\$186,632	\$4,150,446	-\$417,851	-\$412,984

319 *AUD* Australian dollars, *ENBS* Expected Net Benefit of Sampling

320

## 321 4 Discussion

322 The key finding from the economic evaluation is that there is an almost 80% probability that the AmbIGeM  
323 system reduces falls and is cost saving from a health system perspective. Despite the small probability that the  
324 intervention is not cost-effective in GEMU wards, regardless of the value placed on the prevention of injurious  
325 falls, the base case VoI analysis estimated that further research that eliminated the estimated uncertainty would  
326 have an equivalent monetary value of AUD\$3,708,478. Accounting for the costs of undertaking additional  
327 research, a new trial with a sample of 4,376 patients was estimated to maximise the ENBS, generating a net  
328 benefit of AUD\$186,632. However, the ENBS was found to be highly sensitive to key input parameters,  
329 including the expected uptake of the intervention, the time horizon for the relevance of the intervention and the  
330 expected costs of new research. The interpretation of the base case VoI analyses is that further research would  
331 generate positive net benefits, but that does not necessarily mean that such research should be funded.

332 There are limited research funds and the opportunity costs (forgone benefits) of alternative research  
333 may be higher than the expected benefits of a new trial of the AmbIGeM system. The Collaborative Network for  
334 Value of Information (<https://www.convoi-group.org/>) lists VoI analyses published by the Network's 20  
335 members, which shows that most published VoI analyses report the EVPI, but not the EVSI and ENBS.  
336 Searches of ConVOI and PubMed identified only four full VoI analyses published in the six years since 2017  
337 [27-30]. ENBS do not reflect the magnitude of the investment required to generate the ENBS, so comparisons of  
338 benefits to investment ratios across VoI analyses provide the most informative basis for comparing the relative  
339 value of alternative new trials (noting variation in methods and context affect comparability).

340 The benefits to investment ratio of the new trial of the AmbIGeM system is 1.1  
341 (\$1,999,432/\$1,812,800), for which crude comparisons can be made with three [27-29] of the four full VoI  
342 analyses published since 2017 (one of the studies [30] was recently published assessing a needle-free adrenaline  
343 autoinjector with an ENBS reported in the abstract but access to the full text was not yet available). In a VoI  
344 analysis of drug therapies for the management of gout [27], the base case results (assuming a value of a QALY  
345 of \$60,000) imply new trial costs of around \$21 million and benefits of around \$550 million – a benefits to  
346 investment ratio of 26. Another full VoI analysis of a gene assay to inform treatment decisions for women with  
347 early-stage breast cancer presented results assuming a value of a QALY of \$150,000 [29], for which a new  
348 randomised controlled trial that maximised ENBS was estimated to cost around \$40 million and generate  
349 benefits of around \$105 million, at a benefits to cost ratio of 2.6. In the third full VoI analysis, reducing  
350 unhealthy alcohol use in HIV-infected patients in East Africa [28] had a benefits to cost ratio of 1.2 (new trial

351 costs of \$220,000 and benefits of \$260,000) and was only considered worthwhile if policymakers intended to  
352 implement the intervention for a longer duration (i.e. over 10 years), otherwise conducting a new trial was not  
353 recommended. The limited comparisons indicate that a new trial of the AmbIGeM system may not be a high-  
354 value investment, a conclusion that is further supported by the presented scenario analyses in which plausible  
355 values for key VoI parameters – duration of decision relevance and costs of conducting a new trial – resulted in  
356 negative ENBS for any new trial of the AmbIGeM system.

357           An additional consideration is the finding from the process evaluation of the original trial that  
358 prespecified targets for adherence to the AmbIGeM system were not met and options for increasing adherence  
359 were proposed [8]. The VoI analyses reported in this paper do not reflect the potential effects of adaptations to  
360 the use of the evaluated technology that would be expected to increase the reductions in injurious falls and costs  
361 observed in the original trial. This introduces an interesting issue around the appropriate incorporation of such  
362 expectations into the VoI analysis. Should the observed intervention effects be adjusted upwards, which would  
363 increase the certainty that the intervention is cost-effective and reduce the expected value of additional research,  
364 or should only the expected effect estimates generated by future research be adjusted upwards, which would  
365 increase the value of additional research.

366           There are several limitations of the analyses reported in this paper. Firstly, the analyses are based on  
367 post-hoc analyses of the clinical trial, which are subject to claims of cherry-picking sub-groups for which  
368 positive results were observed and reduced the comparability of the intervention and control cohorts. However,  
369 the adherence evaluation undertaken alongside the clinical trial identified clear differences in the application of  
370 the AmbIGeM system between the general medicine and GEMU wards [8], which provides a rationale for the  
371 post-hoc analyses.

372           Imbalances in relevant baseline characteristics between the intervention and control groups required  
373 adjustment to published guidelines for VoI analyses [11]. The adjusted methodology involved the random  
374 sampling from the original trial dataset of expected costs and outcomes for individual patients in future clinical  
375 trials, regression-based adjustment of the sampled data representing the outputs from future clinical trials and  
376 the estimation of EVPI as a function of the sample size of future clinical trials. These developed methods  
377 provide a framework for VoI analyses of future clinical trials in which adjustment for imbalances in baseline  
378 characteristics are required.

379           As part of the estimation of the aggregate population for which research on the AmbIGeM system is  
380 expected to be relevant in Australia, it was assumed that usual care in the two GEMU wards included in the

381 original trial is representative of usual care in GEMU wards across Australia. The collection of injurious falls  
382 rates across a representative range of non-trial GEMU wards would inform the validity of the assumed  
383 representativeness of the trial GEMU wards.

384           Perhaps most importantly, the presented analyses should be replicated for alternative intervention  
385 options for preventing falls on GEMU wards; however, published evidence of such alternatives appears to be  
386 lacking. A recently published systematic review and meta-analysis of interventions to reduce falls in hospitals  
387 [31] identified two education interventions [15, 32] but both were conducted in populations that were not  
388 comparable to this study population and did not focus on injurious falls. The first education intervention [15]  
389 was an Australian study in which patients were admitted to one of five acute and subacute wards. One of the  
390 wards was for geriatric assessment and rehabilitation but no sub-analyses were reported. The other education  
391 intervention [32] was also an Australian study and involved a geriatric rehabilitation unit but specifically  
392 excluded patients with cognitive impairment (mini-mental examination scores <24). In the AmbIGeM study,  
393 35% of the GEMU population had cognitive impairment. The implied interpretation of the cost-effectiveness  
394 and VoI analyses presented in this paper is that the expected benefits of further research on the AmbIGeM  
395 system in GEMU wards compared to usual care do not justify the expected research costs. Further, given the  
396 current lack of evidence on alternative intervention options for preventing falls on GEMU wards and until new  
397 relevant primary research is published, our recommendation is that the AmbIGeM intervention be implemented  
398 on GEMU wards. The analyses presented in this paper provide a framework for broader analyses, but we also  
399 suggest that an expert stakeholder group of clinicians, epidemiologists and health economists is assembled to  
400 interpret the results in light of the variation in the validity and relevance of the data available to inform the  
401 proposed analyses.

## 402 **5 Acknowledgements**

403 We would like to thank SA Health (Tomi Adejoro) and WA Health (Ian Massingham) for support and provision  
404 of data. We would also like to acknowledge the clinical, administrative and information technology staff from  
405 both hospitals, the research staff and students that supported the conduct of the trial.

## 406 **6 Declarations**

### 407 **6.1 Funding**

408 This study was funded by a project grant (1082197) from the National Health and Medical Research Council of  
409 Australia.

### 410 **6.2 Conflicts of Interest**

411 Previously, there was a patent filed (mid-2013) by Drs Ranasinghe and Visvanathan titled, “System, method,  
412 software application and data signal for determining movement” but this has since lapsed. Professor  
413 Visvanathan is the Head of Unit of the Aged & Extended Care Services at The Queen Elizabeth Hospital in  
414 South Australia within which the GEMU ward is a service. Professor Visvanathan is providing advice to Live  
415 24/7, a start up based in San Jose, USA. Dr Dollard was awarded The Hospital Research Foundation Research  
416 Travel Award and Faculty of Health and Medical Sciences (University of Adelaide) Research Travel Award in  
417 2017 to attend AmbIGeM related meetings. The remaining authors declare no other conflicts of interest.

### 418 **6.3 Author Contributions**

419 CP and JK designed and conducted the economic evaluation and value of information analysis, and contributed  
420 to the cost data collection, data interpretation and drafting of the manuscript. MS and EW contributed to the  
421 design and interpretation of the value of information analysis and drafting of the manuscript. RV and KH  
422 equally contributed to the study design, selecting participating sites, conducting the research, data collection,  
423 data interpretation and drafting of the manuscript. DR contributed to the technology design and implementation,  
424 conducting the research, data collection, data interpretation and drafting of the manuscript. KL designed and  
425 conducted the statistical analysis and contributed to interpretation and drafting of the manuscript. JD and AW  
426 were involved in the conduct of the study, data collection, data interpretation and drafting the manuscript.

### 427 **6.4 Data Availability**

428 Requests for health economics data should be directed to the senior author ([jonathan.karnon@flinders.edu.au](mailto:jonathan.karnon@flinders.edu.au))  
429 and will require collaboration with the chief investigator team. Any requests will be assessed for scientific rigor  
430 (by a panel consisting of JK, RV, KH and DR) and given the involvement of hospital patient data, the request

431 must first meet ethics request guidelines and be approved by the ethics committees of TQEH/Lyell McEwin  
432 Hospital (LMH)/Modbury Hospital (MH), Curtin University, and SCGH. The requestor will be responsible for  
433 preparing documentation to the standard required to meet the conditions of the various ethics committees. A  
434 data sharing agreement will be necessary and funding requested for facilitation of this process and provision of  
435 data. Given the multiple analyses planned as well as underway currently, data sharing is at this stage embargoed  
436 for a further 2 years.

#### 437 **6.5 Ethics Approval**

438 Ethics and governance approval was granted by the TQEH/Lyell McEwin Hospital /Modbury Hospital  
439 (HREC/15/TQEH/17), Curtin University (HRE2017-0449) and SCGH (PRN 2015-110).

#### 440 **6.6 Consent to Participate**

441 A waiver of consent was approved in Western Australia and opt-out consent was approved in South Australia.

#### 442 **6.7 Consent for Publication**

443 A waiver of consent was approved in Western Australia and opt-out consent was approved in South Australia.

#### 444 **6.8 Code Availability**

445 The authors can provide more details upon request.

446

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- 530
- 531

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539 intervention (closed orange circle) compared with control (closed blue circle) and the uncertainty around the

540 incremental cost-effectiveness ratio. The x-axis (change in injurious falls rate) has been reversed as a negative

541 change in the rate of injurious falls is considered a positive outcome. Closed circles represent the base case

542 incremental cost-effectiveness ratio (ICER) estimate; open circles represent the uncertainty around each ICER

543

544 **Fig. 2** Cost-effectiveness acceptability curves showing the probability that the intervention (orange) is cost-

545 effective compared with control (blue) over a range of monetary values for the maximum acceptable ratio

546

547 **Fig. 3** Population EVSI, trial costs and ENBS as a function of new trial sample size

548

549