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# **Exploring Knowledge Management perspectives in Smart City Research: A review and future research agenda**

## **Highlights:**

- Most studies on smart city research tend to focus on technical aspects
- Cities should be seen as information hubs and knowledge repositories
- Citizen-centric initiatives can be the vehicle for future smart city developments
- Developing knowledge sharing and learning capabilities is key for success

## **Abstract:**

There is a growing body of literature calling for work on the emerging role of smart cities as information hubs and knowledge repositories. This article reviews the existing smart city literature and integrates knowledge management perspectives to provide an overview of future research directions. By demonstrating the multi-stakeholder relationships involved in smart city development, it takes a crucial step towards looking into the role of knowledge management in future smart city research. Eighty-two peer-reviewed publications were analyzed covering smart city studies in various research domains. The systematic review identifies five different themes: strategy and vision, frameworks, enablers and inhibitors, citizen participation, and benefits. These themes form the basis for developing a future research agenda focused on knowledge sharing and co-learning among cities via three research directions: socio-technical approaches, knowledge sharing perspectives and organizational learning capabilities. The paper also proposes a series of knowledge-driven policy recommendations to contribute towards the UN Sustainable Development Goals.

**Keywords:** knowledge management; smart cities; literature review; policy implications.

## **1. Introduction**

The notion of smart city development is emerging as a major response to the rapid urbanization and socio-economic challenges faced by cities globally (Stern et al., 2006; Chourabi et al., 2012; Morris, 2017). According to UN Habitat (2011), the increase in population and rapid demand for energy imposes a greater impact on the environment, with 70 percent of the world's carbon emissions attributed to urban consumption. These challenges also have significant impact on basic amenities such as housing, transportation and healthcare, leading to inadequate transport infrastructure, affordable housing and safe sanitation facilities (Bulkeley, 2013). In view of these observations, there is growing debate around rethinking the focus of the smart city debate to also consider interdependencies and other socio-technical perspectives (Visvizi and Lytras, 2018).

As Caragliu et al. (2011) note, smart cities depend not only on a city's endowment of hard infrastructure (physical capital), but also on the availability and quality of knowledge communication and social infrastructure (human and social capital). This emerging role of cities as information hubs and knowledge repositories is particularly decisive for urban competitiveness as well as enhancing the quality of life (Kumar et al., 2018). However, many smart city projects die after the pilot stage and the lessons learned from previous projects never scale up to inform subsequent implementations (van Winden and van den Buuse, 2017). Moreover, in line with a recent call to incorporate interdisciplinary perspectives within the smart city literature (Lytras and Visvizi, 2018), it is important to understand how the

smart city literature might integrate knowledge management concepts, such as harvesting lessons learned and fostering stakeholder collaboration, to enhance existing discourse.

Knowledge Management (KM) is of particular relevance for building competitive advantage and creating value proposition (Nonaka and Takeuchi, 1995; Dixon, 2000). From a sociotechnical perspective, it also contributes greatly in sharing lessons learned, reducing implementation costs and fostering organizational learning within and across programs (e.g., Argote and Ingram, 2000; Argote et al., 2003; Cummings, 2004; Hansen, 2002). Although, KM has often been discussed amongst Information System scholars (Alavi and Leidner, 2001; Gold et al., 2001; Newell, 2015), little attention has been given to this particular context of public sector smart city initiatives (Neirotti et al., 2014). In addition, there is limited policy discussion on how knowledge-driven smart cities can help achieve SDGs. This paper reviews the extant smart city literature to identify current theoretical streams and provide further insight into the role of KM in smart city development. Thus, our theoretical contribution is to advance the smart city debate by bringing in KM perspectives to facilitate knowledge sharing and co-learning among cities. It also explores how cities can benefit from the shift towards a knowledge-based viewpoint, deriving policy recommendations for local and national governments. The proposed KM policy perspectives also provide a strategic framework towards achieving specific UN Sustainable Development Goals (SDGs).

In the next section, we proceed with a brief overview of the range of definitions for smart city in the literature. Section 3 provides a description of the search method used to identify relevant research for this review. Section 4 presents the findings of the review along with key implications, while section 5 discusses the proposed way forward for smart city research from a knowledge management perspective. Finally, we present policy implications in section 6 and conclude with section 7.

## **2. Smart city research – relevant definitions**

The term ‘smart city’ is an interdisciplinary term that embraces several definitions depending on how the word ‘smart’ is interpreted (Cocchia, 2014). According to the European Commission, smart city is as “a place where the traditional networks and services are made more efficient through the use of digital and telecommunication technologies, for the benefit of its inhabitants and businesses” (European Commission, p. 6). As also echoed by Baccarne et al (2014), smart cities have gained momentum as a conceptual model which embodies a fresh wave of techno-optimism and emphasizes the positive effects of ICT and other innovative technologies in a city, often in combination with multidisciplinary collaborative partnerships. According to Cisco (2014), smart cities should include an integrated urban ICT program suggesting that smart city development includes not only basic services necessary for the smooth operation of transportation networks, water supply and waste management, but also a variety of technology-driven services. Table 1 presents a series of broader definitions as per academic scholars, industry practitioners and policy institutions.

Despite various definitions and explanations, ‘smart city’ is still a fuzzy concept and there is absence of a commonly accepted definition that encapsulates the complexity and multi-layered interconnectedness of new emerging technologies and evolving demands (Nam and Padro, 2011; Albino et al, 2015). Moreover, various technical, organizational and strategic challenges have made it difficult for cities all over the world to secure wellbeing and prosperity in urban spaces (Ruhlandt, 2018). To maximize the benefits offered through smart city projects, Dayan et al., (2017) argue for further research to develop appropriate KM

practices that support smart city initiatives. Dayan et al., (2007) also highlights the clear need for an advanced geographic knowledge infrastructure for smart city policy. If the objective is to employ emerging technology to offer new generation of services, it is imperative that the new image of modern, knowledge-intensive, cities is explored at length. Lytras and Visvizi (2018) argues that the future of cities is ‘smart’ and there are various commercial partnerships and research organizations globally attempting to help create smart cities applications.

*Table 1: Broad smart city definitions*

<b>Definition</b>	<b>Authors</b>
Smart cities use as IS centric approach to the intelligent use of ICT within an interactive infrastructure to provide advanced and innovative services to its citizens, impacting quality of life and sustainable management of natural resources.	Ismagilova et al., (2019)
Smart cities entail strategic initiatives that provide ‘green’ solutions aiming at creating better environmental, social and economic conditions and enhancing cities' attractiveness and competitiveness.	De Jong et al., (2015)
Smart cities have gained momentum as a conceptual model which embodies a new wave of techno-optimism and emphasizes the positive effects of ICT and other innovative technologies, often in combination with multidisciplinary collaborative partnerships.	Baccarne et al., (2014)
Sustainable smart city development encompasses all dimensions of sustainability including environment, economic and social well-being of people.	Cocchia (2014)
Smart city is a place where traditional networks and services are made efficient using digital and telecommunication technologies, for the benefit of inhabitants and businesses.	European Commission (2014)
Smart cities should include an integrated urban ICT that can overlay on a city and can support delivery of connected urban services and allow for efficient management of those services on a global scale.	Cisco (2014)
A smart city is an efficient city, a liveable city, as well as an economically, socially and environmentally sustainable city. This vision can be realized today, using innovative operational and information technology, and leveraging meaningful and reliable real-time data generated by citizens and city infrastructure.	Schneider Electric (2014)
A smart city is a technologically advanced and modernized territory with intellectual ability dealing with various social, economic and technical, aspects of growth based on smart computing techniques for developing superior infrastructure and services.	Bakici et al., (2013)
Smart city development underpins sustainability curricula in transportation, energy use and the development of ICT systems.	Chourabi et al., (2012)
Smart city denotes an instrumented, interconnected and intelligent city. ‘Instrumented’ refers to the capability of capturing and integrating live real-world data using sensors, meters, appliances and personal devices.	Harrison et al., (2010)

### 3. Literature search method

The literature review consisted of several phases. We first visited the review conducted by Ismagilova et al., (2019) which looked at smart city research from an IS perspective. While this review led to valuable insights on smart city research, it was structured to include articles published only in the ‘Information Management’ category of the 2018 Academic Journal Guide (AJG). In contrast, our review takes a broader view of the smart city literature without restricting to a domain being aware of the multidisciplinary nature of the topic of the smart city. To achieve this goal, we conducted keyword searches (Williams et al., 2009) for the term ‘smart city’ and its closely-related terms ‘digital city’, ‘intelligent city’, ‘knowledge city’, ‘sustainable city’, ‘ubiquitous city’, ‘learning city’, ‘smart community’, ‘information city’, ‘virtual city’, ‘wired city’, ‘green city’, ‘knowledge-based city’ via the Scopus database. The Scopus database covers a wide range of journals and citation analyses and has been found to be effective in identifying literature published in different research domains (Tamilmani et al., 2019; Hughes et al., 2019).

Next, we included only peer-reviewed journal articles in which smart city was a core concept. Thus, we excluded papers that mentioned ‘smart city’ or its related terms only in passing and with no description of its meaning. In addition, we focused on scholarly and peer-reviewed articles published in well-known international academic journals to ensure that papers included in our systematic review were of scholarly quality. Furthermore, we selected papers that reported empirical studies or addressed smart city in specific empirical contexts outside the 2018 AJG list. Relevant outputs were found in journal outlets such as European Planning Studies and Urban Geography for example. Motivated by our need to maximize the review’s contextualized focus on empirical research, we excluded related literature reviews or conceptual papers on smart cities, although we acknowledge that these papers set the stage for our paper especially with regards to framing the focus of this study’s contribution. The selection criteria resulted in a corpus of 82 papers dealing with a variety of different aspects of smart city research. The full list of papers reviewed can be found in the Appendix.

### 4. Analysis of the smart city literature

#### 4.1. Progress to date in smart city research

As a result of our search strategy, a wide range of studies were reviewed. Attention to this subject is quite recent as shown in Figure 1. The oldest paper was from 1990 and most papers were either from 2018 (25 papers), 2017 (10 papers) and 2016 (19 papers).

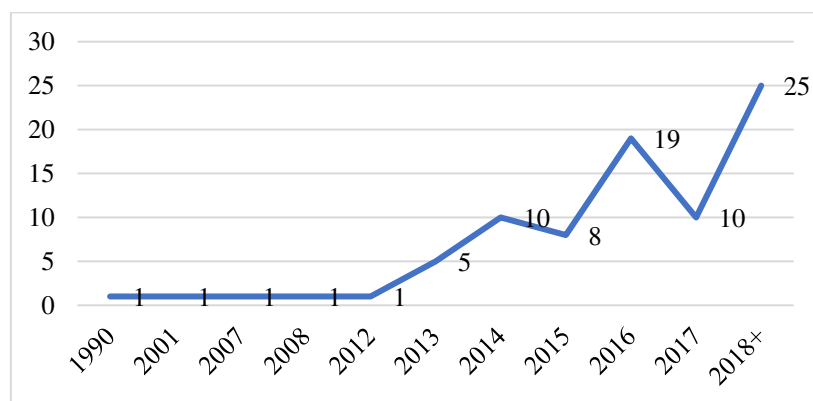


Figure 1: Overview of retrieved publication by year

Interestingly and demonstrating the broad scope of smart city research in other research domains, a large part of papers appeared in the Energy Policy and Journal of Cleaner Production (each with 12 papers) both under ‘Sector Services’ in the 2018 AJG. Within the IS research journals, Government Information Quarterly had the largest number of papers published (nine papers). Most of our sample papers were published in 2014 or after; only twelve papers were published before 2014 and one paper was published before the year 2000.

From a theoretical standpoint, the trend we observe is that existing smart city research is fragmented. In almost two-thirds (63%) of the papers in our sample, the authors did not mention the use of any theory/framework, with the remaining (37%) utilizing only one theory in their analysis, while none of the papers mentioned the use of multiple theories in their work. This suggests that while smart city research is gradually evolving and developing into an independent research area, the limited use of theory may suggest a significant deficit in scholarly engagement. Indeed, the lack of use of multiple theories could be a sign of a less mature areas of research according to Keathley-Herring et al., (2016). There is a need for ‘active’ theoretical trend-setting smart city research that integrates the multiple stakeholders that are involved in enabling smart cities. Such research we would argue could be tailored to the idiosyncrasies of the organizations such as city councils/governments as a central unit of analysis in view of the role they play in facilitating investments and action on smart city projects.

From a geographical viewpoint, our review reveals that only six empirical studies (7%) used data collected from two or more countries. Most were conducted within a single country and these were dominated the focus on developed countries in Europe (5%). Of these, most of the research (31%) were conducted in the UK followed by those conducted in Italy (21%). Outside the UK, the most focus of smart city research has focused on Asian countries (20%), particularly within the contexts of China and India. This is followed by research that has been conducted in the US (10%). It is worth noting that no study in our sample was focused in Africa and as the review by Ismagilova et al., (2019) also note, more comparative studies are needed with more cross-cultural focus. Hence, there is still much we do not know about smart city initiatives in numerous geographical contexts. However, recent studies (Giest, 2017; Cledou et al., 2018) have begun to undertake a multi-country perspective with Giest (2017) looking at the challenges UK city councils face when dealing with big data in the context of carbon emission reduction, while Cledou et al., (2018) propose a taxonomy for planning and designing smart mobility services for the development of smart mobility initiatives. While the insights offered by these studies are important, there is a need for smarter city research to drive theoretical innovations via the insights that can be drawn from a broader and multi-country focus. Moreover, such studies are likely to pave the way for both core scientific and social science inquiry that could enable effective learning and knowledge management in smart city research.

Further to the progress in smart city research, we elaborate on the key themes based on existing literature. In the next section, we discuss the overarching themes that emerged from our analysis. In this section, we discuss the overarching themes that emerged.

#### **4.2. Thematic map of the field**

We classified and analyzed the studies to better understand key themes that have been discussed within the smart city literature. We identified five different themes, namely strategy

and vision in the context of smart cities, smart city concepts and frameworks, enablers/inhibitors of smart city development, citizen participation in smart cities, and benefits of smart cities.

Numerous studies – 24 (29%) of the 82 empirical studies focus on strategy and vision in the context of the smart city debate. White (2016) argues for example that the narrative surrounding smart city as “a generalization of a complex and contested imaginary” (p. 577) draws on three recurring crises: massive urbanization, global climate change and fiscal austerity. The study posits that these crises cannot be generalized globally. Rather, future conversations on smart city should clarify contextual challenges and not overlook differences in challenges and politics at different national contexts. Considering the expansion of digital consumerism as a premise for smart city participation, Viitanen and Kingston (2014) argues for the need to promote openness and choice about the presence and influence of ICTs in cities and in the private lives of citizens. These studies suggest different approaches to change the narrative surrounding the discussion of smart city initiatives.

We identified a second category of studies – 20 (24%) of the empirical sample – that developed frameworks within the smart city literature. Huston et al., (2015) developed a smart and sustainable urban regeneration (smart-SUR) framework that combines ‘institutional’, ‘project’ and innovative ‘funding’ components. Lugaric and Krajcar (2016) developed a framework bringing together energy, economy and environmental sciences, to provide cities with a systematic approach to implement smart city initiatives. In addition, Reyna and Chester (2015) proposed a framework for assessing how greenhouse gas emissions of urban building changes over time. In general, the review shows that there is a fragmented discourse regarding the frameworks developed within the smart city literature. We stress the need for frameworks that offer a more holistic understanding of the multi-stakeholder processes involved in smart city projects.

Another set of studies – 22 (27%) of the empirical papers – focused on the enablers and inhibitors of successful smart city projects. For instance, Zawieska and Pieriegud (2018) investigate the relationship between the implementation of smart city solutions and sustainable transport. Their findings showed that the smart city solutions can significantly contribute to mitigating transport-related Green House Gas (GHG) emissions in urban areas. In addition, Grimaldi et al., (2017) argue for the crucial role universities play in preparing undergraduates to the professions required for smart city transformations. Although these studies identify how different factors are likely to influence the outcome of smart city initiatives, the lack of comparative studies make it difficult to quantify the significance of tested relationships.

A fourth category of studies – 7 (9%) of the empirical sample focused on the participation of citizens in smart city implementation. This small number of studies in this theme suggests that the participation of citizens is rarely viewed as part of the broader smart city stakeholder ecosystem. For example, Buchs et al., (2018) portrayed how participation in a carbon calculator interview increased awareness of ways in which individuals could reduce their carbon footprint. This finding however did not translate into significant changes in long-term behaviors. Behrendt (2016) develops the concept of smart velomobility - concerned with networked practices, systems and technologies of cycling. The study also went further to focus on how riders of a networked fleet of e-bikes discuss experience of smart velomobility.

In addition, Burchell et al., (2016) showed that attempts to foster community action through weekly email communications fostered long-term engagement with energy consumption feedback. The aforementioned studies show that the role citizens play in smart city implementation is an emerging consideration in smart city research.

The final category contained articles – 9 (11%) – that examined the potential benefits of smart city implementation. Benefits refer to the potential outcomes resulting from smart city participation. For example, Chatterjee et al., (2018) examined factors affecting successful implementation of information system enabling IoT coupled with Artificial Intelligence in four proposed smart cities in India. The study finds that users' perceived satisfaction to use IoT and perceived information quality regarding IoT both significantly affect the potential net benefit of using IoT in smart cities. In addition, Mendoza et al., (2015) portray how smart cities provide energy-efficient mobility solutions through the ecological design (eco-design) of urban elements. Given that infrastructure eco-design is key to mitigate environmental impacts of urban mobility, we suggest more research is needed to explicitly communicate the benefits of smart city implementation for individual stakeholders

#### **4.3. System-level analysis of the field**

Furthermore, drawing on the work of Croom et al., (2000) and Mizruchi and Marquis (2006), we examined three levels of analysis of stakeholder interaction within the smart city literature: (i) the individual level (egocentric): which considers a single actor unit of analysis, e.g. an individual city or case study; (ii) the chain level (cliques): which considers two or more actors as unit of analysis, e.g. a set of smart city projects or a group of cities; (iii) the network level (sociocentric): which considers a network of interlinked or interconnected actors. Table 2 presents a summary of our findings along with the themes identified and key implications for future studies.

Within these themes, it is important to note that we observed evident links between KM constructs and the clusters identified. For example, increasing participation of citizens is closely linked to the socio-technical aspects of smart city development and particularly leadership empowerment and socialization. Besides, there is growing debate among scholars for the need to reassess the current design and management of smart cities to embed KM perspectives to ensure that certain outcomes are achieved (Bakici et al., 2013; Ardito et al., 2018). Similarly, studies that focused on process enabling factors in smart city research appear to be closely linked with the elements of trust and collaboration. In addition, studies that evaluate strategies and vision were mainly associated and linked with knowledge sharing and organizational learning. Although elements of KM appear to be evident across all themes, there are only a few papers making explicit references to the role of knowledge sharing in smart city replication. A thorough analysis of these papers, which is presented in detail in the following section, allows us to propose avenues for future research on smart cities from a non-technical, knowledge-focused perspective.



*Table 2: Smart city research themes and key implications*

Themes	Description of themes	Egocentric	Cliques	Sociocentric	Findings	Implications
Citizens	Fostering participation of citizens (citizen-centric)	5	0	2	The role citizen as key stakeholders have been widely discussed by several scholars. However, the table shows that the discussion is mainly taking place at the individual level. This means that the participation of citizens is rarely viewed as part of the broader smart city stakeholder ecosystem.	The participation of citizens should be evaluated as part of a network of agents
Benefits	Potential outcomes and impact of smart city projects	2	4	3	Benefits refer to the potential outcomes resulting from smart city participation. The review shows that fewer studies have examined benefits that may accrue at the individual-level.	More research is needed to explicitly communicate the benefits of smart city implementation for individual stakeholders
Enablers	Examining facilitators of smart city implementation	9	9	4	Research investigating the enablers/inhibitors of smart city implementation has majorly been conducted at the individual and chain-level.	More studies are needed to examine system-level enablers of smart city implementation
Frameworks	Models and theoretical concepts used in smart city research	7	7	6	Existing literature portrays a balanced approach on the use of frameworks	Future studies should take a more integrative approach in conceptualizing the relationships pertaining to smart city implementation
Strategy	Future direction and vision in smart city literature	9	13	2	The discussion around strategic approaches to smart city implementation is limited at the network level. Most have majorly focused on strategies at the individual or chain levels.	More studies are needed to move away from the current siloed perspectives onto a more unified and integrated strategic thinking and planning for future smart city developments.

*Note: the numbers indicate the number of articles published within each thematic area broken down into three levels of analysis*

## **5. The way forward - the knowledge management perspective**

The systematic review showed thematic intersections among emerging elements of smart cities development, generating an argument that themes could together form a conceptual approach for improving smart city KM mechanisms. The synthesis in this section focuses on the analysis of emerging research thrusts from the review and their properties in developing a framework that enables knowledge sharing and co-learning among cities.

### **5.1 Sociotechnical approaches to smart city development**

The concept of smart city has been predominantly drawing on innovative ICT-based practices to make cities smarter. Nam and Pardo (2011) state that a set of the common multidimensional components underlying smart cities fall under three dimensions, namely technology, people, and institutions of smart city. This includes effective integration of city infrastructure and technology-mediated services, social learning for facilitating human infrastructure, and governance for institutional improvement and citizen engagement. Therefore, key characteristic in the development and operation of smart cities is being people-oriented (Cocchia, 2014). City implementation managers must realize that technology by itself will not make a city smarter (Meijer and Bolívar, 2016). Although the combination of the IoT and big data present new challenges for achieving the goal of future smart cities (Hashem et al., 2016), big data indeed plays dominant role in effecting knowledge co-creation which impacts re-organizing knowledge management and usage cycle in knowledge-driven organizations (Acharya et al., 2018; Duan et al., 2019). ‘Smart citizens’ are required for the delivery of outputs, thus active engagement of people in energy/carbon reduction and the provision of personalized information significantly increase their awareness of key activities they could do to reduce their carbon footprint (Büchs et al, 2018; Nyberg, 2018). The review further suggests that citizens’ quality of life is explained by four domains; socio-structural relationships, environmental wellbeing, material well-being and community integration (Macke et al., 2018). Thus, the quality of life of communities is predominantly based on how information and knowledge is handled and shared across different layers. Arguably, important socio-technical and psychological barriers to carbon reduction persist and these need to be addressed by more ambitious climate mitigation policies (Büchs et al, 2018). From a KM perspective, trust, whether affect-based or cognition-based, can help overcome some of those obstacles as it has a significant positive effect on people’s willingness to share and use tacit knowledge (Holste and Fields, 2010). This in turn can help to effectively engage communities and cities in adopting smart technologies and collaborating with others for successfully implementing various smart initiatives.

Although there is a rising global push for upgrading conventional cities to smart, the review identified a number of inhibiting factors that can hinder smart city development. For example, the sustainable governance of transport systems remains a significant challenge for policy makers worldwide (Zawieska and Pieriegud, 2018). Furthermore, while technology is argued as one of the main drivers for smart city development with the aim to increase the life quality of their inhabitants (Bakici *et al.*, 2013), Hens et al (2018) make an interesting contribution noting that ideas on cleaner production, environment and sustainable development fundamentally illustrate that challenges cannot be solved by technology or socio-economic data alone. Other barriers may also include, amongst others, the lack of organizational participatory stakeholder collaboration to obtain and decompose data from both tacit and explicit sources on technology, socio-economics, policy and legal perspectives (Shin, 2014; Shin and Jin Park, 2017). This strengthens the case to look at smart city development in a wider, more societal scope, and the transition towards a more information-friendly and knowledge-driven system.

Leveraging new knowledge to create next generation technologies and novel tools is crucial in smart city development. As De Luca et al., (2018) point out, infrastructure to produce electric energy and meet the thermal energy demands of modern cities depends on an array of different technologies ranging from photovoltaic panels and biogas cogeneration plants to thermal solar panels and wind turbine systems. In addition, Zygiaris (2012) also portrays the crucial role technology plays in driving ecosystem planning towards smart city development within a particular urban context. Both De Luca et al., (2018) and Zygiaris (2012) provide evidence buttressing the role technology plays in successfully achieving smart city outcomes. We would argue therefore that technology as a supporting mechanism can conjoin hard and soft perspectives which are both equally important in the strategic and operational facets of managing smart and sustainable cities.

## **5.2 Integrating knowledge sharing perspectives**

As organizational effectiveness is built upon individual and collective knowledge, the involvement of smart city stakeholders in knowledge sharing (e.g., either through face to face or virtual Communities of Practice) has become one of the most prominent strategies for optimizing urban systems. Knowledge sharing is leveraged for effective and sustainable service delivery (Alavi and Leidner, 2001; Argote and Ingram, 2000) as well as a variety of additional desirable organizational outcomes such as increased productivity (Argote et al., 2000), decreased task completion time (Hansen, 2002); increased organizational learning and innovativeness (Jackson et al., 2006). Extant literature recognizes a set of variables that moderate this relationship, i.e., enable or prevent, knowledge sharing in organizations. Examples include constructs such as trust, anticipated reciprocal relationships, identification, image, organizational rewards, knowledge self-efficacy, and loss of knowledge power (e.g., Davenport and Prusak, 1998; Jarvenpaa and Staples, 2000; Bock et al., 2005; Kankanhalli et al., 2005; Wasko and Faraj, 2005; Chiu et al., 2006).

Arguably, extant smart city literature suggests a gap in sufficiently documenting and sharing strategic and operational narratives, highlighting the need for further work in this context (Kaklauskas et al., 2018). Current discussions are mainly centered around local or regional frameworks, focusing on eco-economic dimensions and environmental aspects, such as ecological empowerment, sustainable consumption of resources and attitudinal changes to land use and urban development (Fu and Zhang, 2017; Lugaric and Krajcar, 2016; Tao et al., 2016). The synthesis of available literature suggests that not much-encompassing documentation exists in the blend of KM, learning and sharing strategies in either the design or development of smart cities. This highlights the need to propose an in-depth discussion on the changing spatial concepts of knowledge precincts and their vital role for the knowledge-based urban development of cities (Yigitcanlar et al., 2008).

Considering that smart cities are based on the collaboration between firms, end-users and local stakeholders, replication, scaling and eco-system seeding is seen as the vehicle for shaping future developments (Staffans and Horelli, 2014). Although technology plays a key role in achieving this transformation (Bakici *et al.*, 2013), being aware of the considerable energy savings and carbon emission reductions through the use of digital infrastructures and data management systems is argued not to suffice due to not knowing whether the costs and benefits under-gridding the sustainability of city-districts are shared equally (Deakin and Reid, 2018). Knowledge, whether tacit or explicit, is corrigible and time-bound; thus, the lack of equal coordination in scaling-up smart cities requires embedding knowledge sharing

practices to achieve replication while offering the opportunity for confirmation or dis-confirmation of theory (Lamal, 1990).

### **5.3 Developing organizational learning capabilities**

Besides the rational and integrated application of new technologies, collaboration of multiple stakeholders, and integration of multiple urban energy domains mainstreamed in energy specific targets, can foster sustainable smart city development (Mosannenzadeh et al., 2017). Further, significant arguments are discussed advocating the need to re-politicize the debates on Smart Cities and put citizens back at the center of the urban debate (March and Ribera-Fumaz, 2016). As such, green leadership, whereby cities can shape urban climate change policy and outcomes, is often used by policy makers and stakeholders to increase buy-in of residents and those involved in the implementation of the strategy through learning within the region and between (peer) cities (Affolderbach and Schulz, 2017).

Fostering learning, within and between smart city stakeholders such as local authorities and residential citizens, is key for knowledge creation and development (Pawlowsky, 2001). As Fiol and Lyles (1985: 804) note “learning enables organizations to build an organizational understanding and interpretation of their environment... it results in associations, cognitive systems, and memories that are developed and shared by members of the organization”. Leonard-Barton (1995) notes that core capabilities in organizations are seen as the ‘wellspring’ of organizational learning processes. Smart cities offer the potential to harness such capabilities as their competitive advantage depends on the knowledge and skills they possess in a distinct area. The critical question therefore of maximizing knowledge creation lies in mobilizing tacit knowledge in smart cities and transferring it to the group and organizational level in order for collective system-levels to learn (Pawlowsky, 2001).

Smart cities should be embedding learning practices within their structures to better understand the multiplicity and complexity of urban innovation. As Valdez et al., (2018) note, there is a need to overcome informational gaps and uncertainties and although the competent performance of smart city processes can be deceptively information-intensive, city managers and transport providers are the main keepers of information. “Shifting this relationship so users become information generators and holders, making the invisible visible, is valued and would be a radical step” (Valdez et al., 2018: 154).

Since core rigidities and capabilities of organizations are considered as ‘interlocked systems of knowledge bases and flows’ (Leonard-Barton, 1995), individual knowledge and experiences which often are implicit in nature have to be articulated and experienced by all parties at interest. Barriers for the implementation of effective learning and collaboration in smart cities include research and development, cultural dynamics, and economic strength, amongst others (Romão et al., 2018). This tends to influence the rate of replication, the validity of data and quality of overall output when assessing learning-based capabilities. In order to promote organizational learning, different activities such as integrated problem solving across different cognitive and functional barriers, implementation of new methodologies, experimentation and importing know-how from outside are suggested (Leonard-Barton, 1995).

## **6. Policy recommendations**

This paper has reviewed the concept of smart city with a focus on knowledge management to share information and facilitate learning in cities. Visvizi and Lytras (2018) argue that smart city research needs to feed into policy-design and policymaking processes for transforming

cities. In this section, we present policy recommendations as informed by the review that has been conducted.

First, practitioners in local authorities are implementing a range of smart city projects offering insights and lessons learned in the form of what works and what does not and why. Arguably, these lessons learned are not captured systematically to inform future smart city practice and policies. At present, policies related to smart city initiatives are very much focused on addressing technical issues as evidenced by the review (Nam and Padro, 2011; Ruhlandt, 2018). Addressing non-technical aspects does not appear to be a priority when developing and implementing policies both locally and nationally. Lessons learned from previous smart city projects can inform local and national policy if captured appropriately (Argote and Ingram, 2000). Consistency on how knowledge is captured and shared is key, hence a wide-system framework to support documenting, storing and maintaining records of performance and actions undertaken needs to be developed. On the other hand, knowledge is often tacit in local authorities and can be difficult to capture and harness (Pawlowsky, 2001; Holste and Fields, 2010). Thus, ways in which tacit knowledge can be made more explicit with appropriate knowledge management systems in place needs to be investigated for future practice and policymaking.

Second, although ICT integration is one of the core determinants of smart city development, stakeholder engagement is a critical component for the successful implementation of smart cities (Shin and Jin Park, 2017; Hunter et al., 2018). Smart city projects involve various city stakeholders such as public, private and civic which all need to collaborate and innovate together. However, the complex dynamics where different stakeholders' interests meet and collide can hinder efforts in the area of replication and scaling up. Stakeholder engagement is relatively unexplored (van Winden and van den Buuse, 2017) and needs to be streamlined to ensure successful outcomes. At present, cities tend to work in isolation and communication is often ad-hoc and more through individual relationships. As Winden and van den Buuse (2017) argue, project participants rarely openly discuss each other's smart city perspectives and ambitions and they do not build mechanisms that ease the transition to the replication and upscaling phase. A strategy on how to engage parties at interest will help to address such issues around governance, mapping and communication and make the smart city transition journey smoother. In a local authority context, middle managers are mainly responsible for implementing smart city initiatives and often seem to follow a narrow top-down communication approach for delivering projects. Wider stakeholder engagement of city leaders with citizens can drive change in terms of resources and champion the cause of innovation (Fernandez and Rainey, 2006).

The policy recommendations have a direct impact on several UN SDGs and can help to address the challenges cities are facing. Table 3 shows high-level policy recommendations including how smart city policies can help achieve certain SDGs, specifically SDG 9: Industry, Innovation and Infrastructure, SDG 11: Sustainable Cities and Communities, SDG 13: Climate Action and SDG 17: Partnerships for the Goals. These SDGs are interconnected and often the success on one will help achieve the other associated goals. The proposed recommendations should be seen in line with existing efforts on promoting institutional change and realizing the political nature of socio-technical governance for developing smart cities (Meijer and Bolívar, 2016).

*Table 3: KM policymaking perspectives on the UN SDGs*

SDGs	Description	Policy making perspectives
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SDG 9: Industry, Innovation and Infrastructure	The creation of new knowledge builds a more resilient infrastructure and fosters innovation. Mechanisms to ensure replication of lessons learned can promote inclusive and sustainable industrialization.	Policy around the storage, reuse and maintenance of knowledge is nebulous. As evident through the review, more is needed to ensure effective reuse of knowledge in achieving replication and maximizing return on investment. This is in line with UN's target in providing affordable and equitable access for all.
SDG 11: Sustainable Cities and Communities	Citizen-centric smart city initiatives make cities and human settlements inclusive, resilient and sustainable.	The review has highlighted a lack of research on viewing the participation of citizens as part of a broader smart city stakeholder ecosystem. Policy needs to be reformed to ensure that citizens are knowledge keepers as well as knowledge generators.
SDG 13: Climate Action	Lessons learnt from carbon reduction, energy and mobility projects in cities raise awareness and human and institutional capacity on climate change mitigation, adaptation and impact reduction.	Climate change mitigation and adaptation measures need to be better integrated into both national and local policies, strategies and planning procedures. Knowledge generated should inform future policy and the use of technology can strengthen communications and help facilitate knowledge acquisition and transfer to develop actions.
SDG 17: Partnerships for the Goals	Collaboration and multiagency work strengthen the means of implementation and revitalizes the global partnership for sustainable development.	The review has pointed out the need to develop organizational learning capabilities that help promote dialogue, learning, cross team collaboration and knowledge sharing within and across urban communities. This supports UN's goals aiming at enhancing global macroeconomic stability, including through policy coordination and policy coherence.

## 7. Conclusions

This article reviews the existing smart city literature and integrates knowledge management perspectives to inform future research directions. It identifies three key research thrusts: (1) sociotechnical approaches to smart cities, (2) integrating knowledge sharing perspectives and (3) developing organisational learning capabilities. These are emerging and interlinked elements of smart city development and present a conceptual approach for improving smart city knowledge management mechanisms. Smart cities have multidimensional components such as ICT applications, citizen engagement and governance. However, the concept of 'smart city' has been mainly drawing on technical aspects and a few economic theories. On the other hand, it is argued the current technically inclined discourse is unlikely to encapsulate more nuanced contributions of key stakeholders involved in smart city initiatives. For example, citizens have an important role in ensuring they imbibe supportive attitudinal behaviours for successful smart city projects.

Various academic studies have given attention to smart cities and their governance procedures in different city contexts, but the fragmentation in delivery approaches makes for a confusing debate in the existing academic literature. This review provides evidence that in-

depth research on the development of smart cities from a knowledge management and learning perspective has remained scant and identifies research thrusts for future research directions. This can help share lessons learned and provide both practical and policy recommendations based on feedback from projects to enhance replication.

Although this paper explores smart cities through the lens of knowledge management, it has only looked at evaluating specific knowledge strategies and themes. For example, future studies might want to explore other strategic management perspectives such as innovation and institutional theories to see how they can inform the smart city debate. Also, additional studies may advance our understanding of the smart city literature by employing useful theories to develop testable propositions. This will also strengthen the case to look at smart city development in a wider scale for the transition towards a more information-friendly and knowledge-driven system.

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**Appendix – table A: classification of empirical articles**

Citation	Stakeholder Interaction type	Methodology	Themes	Country/Context
Affolderbach and Schulz (2017)	Egocentric	Mixed methods	Enablers	Italy
Andersson (2016)	Egocentric	Mixed methods	Strategy	Sweden
Axelsson and Granath (2018)	Egocentric	Qualitative	Citizens	Sweden
Bazán et al., (2018)	Clique	Mixed methods	Benefits	UK
Behrendt (2016)	Egocentric	Mixed methods	Citizens	UK
Belanche-Gracia et al., (2015)	Egocentric	Quantitative	Enablers	Spain
Bennett and Newborough (2001)	Clique	Qualitative	Strategy	UK
Bifulco et al., (2016)	Clique	Qualitative	Enablers	Multiple European cities
Bresciani et al., (2018)	Clique	Quantitative	Enablers	Italy
Buch et al., (2018)	Egocentric	Mixed methods	Citizens	UK
Burchell et al., (2016)	Sociocentric	Quantitative	Citizens	UK
Caponio et al., (2015)	Clique	Quantitative	Frameworks	Italy
Caprotti (2014)	Egocentric	Qualitative	Frameworks	UK
Caprotti et al., (2015)	Sociocentric	Qualitative	Strategy	UK and Netherlands
Carè et al., (2018)	Sociocentric	Qualitative	Enablers	Italy
Chatterjee et al., (2018)	Clique	Quantitative	Benefits	India
Chong et al., (2018)	Egocentric	Quantitative	Enablers	US
Cledou et al (2018)	Clique	Qualitative	Frameworks	Multiple
Coaffee (2008)	Clique	Qualitative	Enablers	UK
Cugurullo (2016)	Clique	Qualitative	Strategy	UK
Cugurullo (2018)	Clique	Qualitative	Strategy	Spain
De Jong et al., (2013)	Clique	Mixed methods	Enablers	UK
De Jong et al., (2015)	Clique	Qualitative	Frameworks	Sweden
De Luca G et al., (2018)	Egocentric	Qualitative	Enablers	Brazil
Deakin and Reid (2018)	Clique	Mixed methods	Strategy	Poland
Fu and Zhang (2017)	Sociocentric	Qualitative	Frameworks	Italy
Garcez et al., (2018)	Egocentric	Qualitative	Strategy	Belgium

Giest (2017)	Clique	Qualitative	Strategy	Multiple European
Gil-Garcia et al., (2014)	Clique	Qualitative	Strategy	Not mentioned
Grimaldi et al., (2017)	Egocentric	Qualitative	Enablers	Spain
Hens et al., (2018)	Sociocentric	Mixed methods	Enablers	Lithuania
Hepworth (1990)	Clique	Qualitative	Strategy	UK
Hildebrandt et al., (2018)	Clique	Quantitative	Benefits	Germany
Ho (2017)	Egocentric	Qualitative	Strategy	Singapore
Hosseini et al., (2018)	Sociocentric	Qualitative	Strategy	Germany
Huston et al., (2015)	Sociocentric	Qualitative	Frameworks	US
Iveroth et al., (2013)	Egocentric	Mixed methods	Frameworks	China
Kaklauskas et al., (2018)	Sociocentric	Qualitative	Frameworks	Hong Kong
Kummitha and Crutzen, N. (2019)	Egocentric	Qualitative	Frameworks	India
Lebel et al., (2007)	Clique	Qualitative	Benefits	Regions in Asia
Lee and Lee (2014)	Egocentric	Qualitative	Citizens	South Korea
Lee et al., (2013)	Sociocentric	Qualitative	Frameworks	S.Korea
Lee et al., (2014)	Clique	Qualitative	Frameworks	US and S.Korea
Lee and Kim (2016)	Clique	Mixed methods	Strategy	South Korea
Lenhart et al., (2014)	Egocentric	Qualitative	Strategy	China
Lohrey and Creutzig (2016)	Sociocentric	Quantitative	Benefits	Undefined
Lu et al., (2015)	Clique	Quantitative	Frameworks	US
Lugaric and Krajcar (2016)	Egocentric	Qualitative	Frameworks	Croatia
Macke et al., (2018)	Egocentric	Mixed methods	Citizens	Peru
Manitiu and Pedrini (2016)	Sociocentric	Quantitative	Frameworks	Europe
March and Ribera-Fumaz (2016)	Egocentric	Qualitative	Strategy	UK
Marsal-Llacuna et al., (2015)	Clique	Quantitative	Enablers	Spain
McLean et al., (2016)	Egocentric	Qualitative	Strategy	US
Mendoza et al., (2015)	Egocentric	Mixed methods	Benefits	Spain
Michelucci and De Marco (2017)	Clique	Qualitative	Strategy	Italy
Min (2018)	Sociocentric	Qualitative	Citizens	China
Monaghan et al., (2016)	Clique	Qualitative	Frameworks	Croatia

Mosannenzadeh et al., (2017a)	Clique	Qualitative	Enablers	China
Mosannenzadeh et al., (2017b)	Clique	Mixed methods	Enablers	Italy
Nevens et al., (2013)	Clique	Mixed methods	Strategy	Sweden
Parks (2019)	Egocentric	Qualitative	Strategy	Sweden
Paroutis et al., (2014)	Egocentric	Qualitative	Strategy	China
Pincetl et al., (2014)	Egocentric	Mixed methods	Frameworks	US
Privitera et al., (2018)	Egocentric	Qualitative	Frameworks	Italy
Ramaswami et al., (2012)	Sociocentric	Qualitative	Frameworks	Undefined
Reyna and Chester (2015)	Egocentric	Quantitative	Frameworks	Italy
Romão et al., (2018)	Sociocentric	Mixed methods	Benefits	UK
Schiller (2016)	Egocentric	Quantitative	Strategy	China
Simon (2013)	Clique	Quantitative	Enablers	Belgium
Stocchero et al., (2017)	Egocentric	Mixed methods	Benefits	Italy
Tao et al., (2016)	Clique	Quantitative	Frameworks	South Korea
Taylor Buck and While (2017)	Egocentric	Qualitative	Enablers	UK
Theodoridou et al., (2012)	Egocentric	Qualitative	Enablers	US
Valdez et al., (2018)	Sociocentric	Qualitative	Benefits	UK
Van Zoonen (2016)	Egocentric	Qualitative	Enablers	Netherlands
Vitanen and Kingston (2014)	Clique	Mixed methods	Strategy	US
Webb et al., (2016)	Sociocentric	Qualitative	Enablers	Ireland
White (2016)	Clique	Qualitative	Strategy	China
Yeh (2017)	Egocentric	Quantitative	Enablers	Taiwan
Ylipulli et al., (2014)	Sociocentric	Mixed methods	Enablers	Finland
Zawieska and Pieriegud (2018)	Clique	Qualitative	Enablers	Brazil
Zhang et al., (2016)	Clique	Qualitative	Strategy	UAE