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**Article:**

Qiu, S, Yang, H, Zhang, S et al. (10 more authors) (2023) Carbon storage in an arable soil combining field measurements, aggregate turnover modeling and climate scenarios. *Catena*, 220 (Part A). 106708. p. 106708. ISSN 0341-8162

<https://doi.org/10.1016/j.catena.2022.106708>

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1 **Carbon storage in an arable soil combining field measurements, aggregate turnover**  
2 **modeling and climate scenarios**

3

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23 **Abstract**

24 Quantifying soil structural dynamics and aggregate turnover is important in understanding  
25 soil organic carbon (SOC) stocks, particularly over decadal and larger time scales. Until now  
26 it has remained unclear how soil aggregate size and its associated carbon respond to both  
27 long-term soil fertility and climate change. Here, we explore changes in soil structure and  
28 aggregate organic C (OC) stocks under different fertilization practices by combining field  
29 chronosequence SOC measurements with dynamic and process modeling in a long-term wheat-  
30 maize field experiment on the North China Plain. The fertilization practices comprise no  
31 fertilization (CK), chemical fertilization (NPK), and combined manure and NPK treatments  
32 (MNPK). The experimental measurements included the mass of OC stocks in different soil  
33 aggregate size classes. We used this information to calibrate parameters of the Carbon,  
34 Aggregation, and Structure Turnover (CAST) model and to predict future changes in aggregate  
35 structure and the resulting OC stocks using the RCP2.6 scenarios that were defined by the  
36 outputs of five future climate models from IPCC projection. With trends towards a wetter  
37 climate and increasing soil moisture under the RCP2.6 scenarios for the region, soil OC stocks  
38 will increase in all three treatments, with the strongest increase under MNPK due to exogenous  
39 C inputs. The CAST model output further suggests that changes in microaggregate (250-53  $\mu\text{m}$ )  
40 OC stocks in the NPK and MNPK treatments accounted for 78.6% and 75.3% of the calculated  
41 change in total SOC stocks between the early and late 21<sup>st</sup> century. In conclusion, our combined  
42 data and modeling approach describes changes in soil aggregate C, identifies the primary soil  
43 aggregate size class of microaggregates involved in C sequestration in an agricultural soil, and  
44 predicts the role of Fluvaquent soils on the North China Plain as a future C sink.

45

46 **Keywords:** Organic C stocks; fertilizer practices; model simulation; RCP2.6 scenarios; soil

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67 **1. Introduction**

68 The potential for soil organic carbon (SOC) accumulation to slow down or reverse climate  
69 warming has become a topic of considerable interest (Carvalhais et al., 2014; Baveye et al.,  
70 2018; Poulton et al., 2018). Physical protection of soil aggregates is critical for SOC storage  
71 (Six and Paustian, 2014) and mineral protected C is essential for long-term SOC turnover. The  
72 C stocks within soil aggregates in agricultural soils are influenced by management practices  
73 such as tillage and application of chemical fertilizers or exogenous C. However, our  
74 understanding and prediction of soil C kinetics remain limited, especially the response of  
75 different soil aggregate C fractions under different fertilization practices to climate change.

76 Organic manure or chemical fertilizer applications are important fertilization practices.  
77 Organic manures and fertilizers can also provide additional soil C inputs. Chemical fertilizers  
78 can increase SOC by increasing net plant productivity or plant residues or by suppressing the  
79 biological activity of the soil decomposer microbial community (Treseder, 2008; Brown et al.,  
80 2014; Averill and Waring, 2018) or can decrease SOC by increasing SOC mineralization  
81 (Russel et al., 2009). These processes occur over different time-scales and may not persist  
82 beyond episodic or seasonal effects, making long-term SOC predictions difficult. The SOC  
83 reservoir is often split into distinct pools defined by sources and decomposition pathways that  
84 exhibit characteristic residence times to facilitate the interpretation of results from field studies.  
85 Current mathematical models of SOC decomposition usually characterize these different pools  
86 using factors that impact decomposition rates, with labile SOC pools playing a key role in  
87 short-term C cycling and recalcitrant pools determining the magnitude of long-term C stocks  
88 (Zhou et al., 2018). However, new insights into the factors that define SOC pools and

89 decomposition pathways and rates are leading to new conceptual models of SOC dynamics that  
90 consider more strongly the role of soil aggregates and of fungal and bacterial biomass in storing  
91 and processing SOC (Banwart et al., 2019).

92       Aggregates are the structural units in soils that largely control SOC dynamics (Six and  
93 Paustian, 2014). Correspondingly, C storage in different aggregate size classes reflects the  
94 physical, chemical, and biochemical mechanisms that protect SOC (Six et al., 2002b; Six and  
95 Paustian, 2014). The accumulation or loss of SOC is determined by macroaggregate turnover,  
96 i.e., the relative persistence of aggregates as they form and disintegrate, microaggregate  
97 formation, C stabilization within microaggregates, and their incorporation into  
98 macroaggregates (Six et al, 2000a; Gulde et al., 2008). Particulate organic matter (POM) can  
99 act as a nucleus for aggregate formation (Gulde et al., 2008) by selecting for active microbial  
100 decomposer communities that support biofilm development and potentially help bind textural  
101 units to the POM (Banwart et al., 2019). The exogenous C derived from the application of crop  
102 residues or organic manures in agricultural soils provides a binding agent for aggregate  
103 formation with possible consequences for long-term C storage (Bronick and Lal, 2005).  
104 However, the frequent removal of aboveground biomass and soil disturbance through tillage  
105 cause temporal variability in soil C dynamics, making it difficult to do long-term evaluations  
106 based on field experiments alone. Mathematical models of soil C dynamics have therefore  
107 become indispensable in evaluating and predicting changes in soil OC stocks in agricultural  
108 soils (Coleman and Jenkinson, 1999; Keating et al., 2003; Malamoud et al., 2009).

109       Stamati et al. (2013) recently developed a coupled C, aggregation and structure turnover  
110 (CAST) model which integrates a conceptual model of aggregate formation (Gulde et al., 2008)

111 and its formulation as a mathematical model for soil structure dynamics (Struc-C model)  
112 (Malamoud et al., 2009) with a model of SOC turnover and plant litter inputs developed from  
113 the Rothamsted long-term field experiments (RothC model) (Coleman and Jenkinson, 1999).  
114 The CAST model considers the C contents within macroaggregate, microaggregate, and silt-  
115 clay unit size aggregates as contributions to the total SOC pool (Stamati et al. 2013; Apostolakis  
116 et al., 2017; Li et al., 2017) and simulates the formation and disintegration rate of each  
117 aggregate size class in response to C inputs and feedbacks on SOC dynamics in non-  
118 waterlogged surface soils. The CAST model can also be used to explore the C dynamics of  
119 different aggregate size classes in soils and to predict the scale and rate of response of soil  
120 structure and aggregate C dynamics to management practices and climate change.

121       Fluvaquent soils are the dominant soil type on the North China Plain. The region is the  
122 second largest alluvial plain in the country, formed mainly by sedimentary deposits of the lower  
123 basin of the Yellow River flowing through the central plain to the Bohai Sea on the east coast,  
124 and is bounded on the west, east and north by low mountain ranges. Mechanized, largely  
125 intensive, agriculture is the dominant land use outside of urban centers. This region is a  
126 strategic national agricultural resource contributing > 30% of national grain production to food  
127 security, over half the wheat and one-third of the total Chinese maize production (Han et al.,  
128 2018). Fluvaquent soils on the North China Plain account for 53% of the total Chinese area of  
129 Fluvaquent soils and have low SOC contents that have increased noticeably since the 1980s as  
130 a result of intensive agronomic management practices (Huang and Sun, 2006; Han et al., 2018).  
131 Several studies on Fluvaquent soils have found that OC stocks in soil aggregate size classes  
132 can be increased by applications of manures and chemical fertilizers (Yan et al., 2012; He et

133 al., 2015). However, the mechanisms underlying C stabilization in the various aggregate size  
134 classes and the potential for long-term C sequestration in response to climate change in these  
135 soils remain unclear.

136 It is anticipated that changes in temperature and precipitation throughout the 21<sup>st</sup> century  
137 (IPCC, 2014) will add further uncertainty to long-term estimates of soil C storage. So far,  
138 manipulative warming studies do not point to a clear pattern in soil C responses (van Gestel et  
139 al., 2018). It is promising that integrating data with process-based models might increase our  
140 understanding of soil C dynamics in a changing climate (van Gestel et al., 2018; Valkama et  
141 al., 2020). Some studies report that the predicted SOC sequestration of Fluvaquent soils to the  
142 late 21<sup>st</sup> century will increase in most future climate scenarios (Jiang et al., 2014; Zhang et al.,  
143 2016) but it remains unclear how SOC and soil structure dynamics may interact to influence  
144 both C accumulation and changes in soil structure and thus fertility, and how to increase these  
145 through intervention with favorable agronomic practices in the long term.

146 To address these questions we hypothesize that (1) the increases in SOC and soil aggregate  
147 C contents under combined manure and chemical fertilizer applications can effectively  
148 contribute to the persistent sequestration of C in agricultural soils and thus help to mitigate  
149 global warming, (2) microaggregates within macroaggregates are the major soil fractions  
150 involved in C accumulation or loss under climate warming. The aims of the present study were  
151 therefore to (1) quantify the dynamics of different soil aggregate size classes and their  
152 associated OC stocks and (2) make century-scale projections of SOC change in the different  
153 aggregate size classes in response to climate warming and fertilizer treatments. We illustrate  
154 our study by combining results from a 24-year-old long-term fertilization experiment with a



155 wheat-maize rotation on a Fluvaquent soil, future climate scenarios, and CAST soil modeling  
156 to provide new insights into the role of soil aggregates in determining long-term changes in  
157 soil C and structure dynamics.

158

## 159 **2. Materials and methods**

### 160 *2.1. Soil and site description*

161 Fluvaquent soils on the North China Plain (32 - 40°N, 114 - 121°E) experience warm  
162 temperate continental monsoon conditions and the soil type is Calcaric Cambisol (FAO  
163 classification). The study site is located on the southern part of the North China Plain in  
164 Zhengzhou, Henan province (34°47'N, 113°40'E). The mean annual temperature is 15 °C and  
165 the annual frost-free period is 175 to 220 days. Annual precipitation is 500-900 mm with 50-  
166 75% of the rainfall occurring during summer (July-September). The field experiment started in  
167 October 1990 with the prevalent local intensive double season cropping per year with an annual  
168 rotation of winter wheat and summer maize. Winter wheat was sown after soil was ploughed  
169 in early October and harvested in early June of the following year. Summer maize was directly  
170 sown in mid-June and harvested at the beginning of October each year. Before the next crop  
171 was sown the aboveground residues were removed from the soil surface. From the start year  
172 of the experiment to the sampling year in our study (1990 to 2014) the mean annual temperature  
173 was 15.2 °C and the mean annual precipitation was 628 mm. Further information on the soil  
174 properties is presented in Table 1 and climatic information during the experimental period is  
175 shown in Fig. S1. Evaporation, determined using the pan evaporation technique, fell below the  
176 detection limit from December to February. The irrigation rate at each of the growth stages in

177 the winter wheat season (winter freeze, regreening-jointing, heading, and filling) was 600 m<sup>3</sup>  
178 ha<sup>-1</sup> under drought conditions because a lack of precipitation would potentially suppress winter  
179 wheat growth.

180

## 181 2.2. *Experimental design*

182 The long-term field experiment comprised one unamended control (no fertilizer, CK) and  
183 two fertilization treatments in triplicate with the plots (each 45 m<sup>2</sup> in area) arranged in a  
184 randomized block design. The two fertilizer treatments were (1) chemical fertilizer application  
185 (NPK) and (2) combined application of manure and chemical fertilizer (MNPK). The fertilizer  
186 treatments provided an equivalent N application rate as shown in Table 2. The ratio of manure  
187 N to urea N was 7:3 in the wheat season. The chemical fertilizers applied were urea, triple  
188 superphosphate or calcium superphosphate, and potassium chloride, and the manure applied  
189 was cattle manure. The C and N concentrations in the manure were 310 and 25 g kg<sup>-1</sup>. Fertilizer  
190 nitrogen (N) was split into basal and top-dressed fertilizer N. Phosphorus (P), potassium (K),  
191 and manure were applied as basal applications.

192 Firstly, soil aggregates in both 1990 and 2014 in the three treatments above were recovered  
193 by wet sieving using published standard methods (Elliott, 1986; Six et al., 2002a; Gulde et al.,  
194 2008). Secondly, in each treatment, field measured mean values of mass and C content in each  
195 aggregate fraction at the start (1990) and end (2014) of the experiment with a total of 24 data  
196 were used to calibrate the CAST model to determine the first-order rate constants for soil  
197 aggregate formation and disaggregation and for C mineralization in associated forms of SOC.  
198 The necessary calibration datasets were obtained through measured aggregate mass distribution

199 and OC stocks in different aggregate size classes in the three treatments: control, NPK, and  
200 MNPK treatments. The CAST model simulation originally ran with historical data from 1990  
201 for a period of 25 years. Thirdly, the calibrated model was then used for forward modeling,  
202 applying future climate change scenarios to the region of the field site in order to assess the  
203 potential impacts of climate and fertilizer practices on soil structure and its role in SOC  
204 accumulation and storage.

205

### 206 *2.3. Soil sampling and analysis*

207 Soil samples (0-20 cm depth) were taken from the long-term field experiment after the  
208 summer maize harvest in 2014 and compared with samples collected at the start of the  
209 experiment in October 1990. Soil samples were air-dried and stored at room temperature in  
210 sealed glass bottles.

211 Soil water-stable aggregate (WSA) separation was conducted by wet sieving according to  
212 Elliott (1986) and the macroaggregate fraction was further separated according to Six et al.  
213 (2002a). The scheme of aggregate fractionation is shown in Fig. S2 and details of the soil  
214 fractionation can be found in the supporting information. Briefly, the WSAs of bulk soil  
215 samples were separated into three size classes, namely macroaggregates ( $> 250 \mu\text{m}$ ), free  
216 microaggregates ( $250 - 53 \mu\text{m}$ ), and free silt-clays ( $< 53 \mu\text{m}$ ) using the wet sieving method  
217 (Elliott, 1986). The efficiency of recovery during wet sieving averaged 98.3% (range 97.5-  
218 99.3%). Macroaggregates were separated into coarse POM (cPOM) ( $> 250 \mu\text{m}$ ),  
219 microaggregates within macroaggregates ( $250 - 53 \mu\text{m}$ ), and inter silt-clays ( $< 53 \mu\text{m}$ ). The  
220 efficiency of recovery of this second step was 98.9% (range 96.2-99.9%). The isolation details

221 of microaggregates within macroaggregates with heavy liquid fractionation can also be found  
222 in Gulde et al. (2008), and the microaggregates within macroaggregates fraction was separated  
223 into fine POM (fPOM), fine intra-POM (fiPOM), and intra silt-clays. After each fractionation  
224 step the separated soil fractions were oven-dried at 60 °C and weighed. The dried samples were  
225 passed through a 0.15-mm sieve and the C concentration was determined using a CN analyzer  
226 (Macrocube, Elementar, Hanau, Germany).

227 Soil texture was determined with a laser particle size analyzer (LS13320, Beckman Coulter,  
228 Brea, CA) following the approach by Yang et al. (2015). Soil total phosphorus (P), total  
229 potassium (K), Olsen-P, NH<sub>4</sub>Ac-K, alkali-hydrolyzable N, and pH were determined by  
230 standard methods as described previously by Qiu et al. (2016).

231

#### 232 *2.4. Model description and aggregate C simulation*

233 The CAST model has been widely used in the assessment of soil formation processes  
234 (Andrianaki et al., 2017), soil quality status under different environmental conditions and land  
235 use practices (Panakoulia et al., 2017), and the impacts of fertilization practices on changes in  
236 soil structure (Kotronakis et al., 2017; Li et al., 2017).

237 The CAST model divides soil WSAs into three size classes corresponding to  
238 macroaggregates, microaggregates and silt-clays (Fig. 1). According to Stamati et al. (2013),  
239 macroaggregates are formed by POM which is derived from plant litter fragmented by soil  
240 fauna and POM is then decomposed by microorganisms. The decomposing POM subsequently  
241 associates with silt-clay sized aggregates. Extracellular polymers of microbial origin provide  
242 cohesion between the structural components of aggregates and form both microaggregates and

243 their incorporation with other textual units and aggregates within macroaggregates. Without  
244 continuous POM input, microbial activity decreases gradually as the C and energy resource is  
245 depleted with POM biodegradation, and the macroaggregates become unstable because of the  
246 lack of polymers of microbial origin. With new POM inputs, new macroaggregates form and  
247 the cycling of aggregation and disaggregation continues as fresh plant residues enter the soil.

248 The soil C dynamics within each aggregate size class of the CAST model are described by  
249 the RothC model (Coleman and Jenkinson, 1999). Briefly, the C pools in the RothC model are  
250 decomposable plant material (DPM), resistant plant material (RPM), microbial biomass (BIO),  
251 humified organic matter (HUM), and inert organic matter (IOM). In the CAST model, fresh  
252 plant litter is the initial POM and is split into DPM and RPM, and further, the DPM and RPM  
253 are treated as becoming physically fragmented by soil fauna to form the coarse fractions of  
254 DPM and RPM (cDPM, cRPM) and the fine fractions of DPM and RPM (fDPM, fRPM). In  
255 the aggregates in the CAST model, macroaggregates contain cDPM, cRPM, fDPM, fRPM,  
256 BIO, and HUM; microaggregates contain the same fractions as macroaggregates except for  
257 cDPM and cRPM. Silt and clay pools contain BIO and HUM. Each C pool in the three  
258 aggregate size classes decomposes by a first-order decay process with a specific rate constant  
259 and the decomposition rate of each C pool is determined by the three climatic factors  
260 temperature, precipitation, and evaporation through the effects on microbial activity as  
261 described for the Roth C model (Coleman and Jenkinson, 1999). The turnover rate of IOM  
262 ranges from centuries to millennia (Powlson et al., 2011) and IOM in the CAST models is  
263 resistant to decomposition. Regarding the measured C fractions in the CAST model, half of the  
264 C content in POM (POM-C) is partitioned into decomposable and resistant plant material, 5%

265 of the silt-clay fraction C is partitioned into BIO and the remaining silt-clay fraction C is  
266 partitioned into HUM (Stamati et al. 2013). All abbreviations used are summarized in Table  
267 S1.

268 Climatic conditions and crop C inputs throughout the experimental period together with  
269 basic soil properties, WSA size percentage, and organic C distribution in each aggregate size  
270 class at the start of the experiment were used as inputs for the CAST model, which runs on a  
271 monthly time step and simulates the top 20 cm of the soil profile. Climatic conditions were  
272 monthly mean temperature, monthly total precipitation and monthly total evaporation. The  
273 basic soil properties used were silt and clay content, bulk density, and soil depth. The WSA  
274 size percentages and their C contents at the beginning of the experiment are required as the  
275 initial conditions for aggregation (Coleman and Jenkinson, 1999;  
276 <https://www.herslab.tuc.gr/downloads/cast-model>).

277 The crop C input in the CAST model was simulated by the RothC model (version 2.1 for  
278 Windows) and the simulated C input rate was adjusted to match the change in SOC content  
279 from 1990 to 2014. RothC model-simulated crop C inputs are uniformly distributed each month  
280 during crop growth. The simulated crop C inputs were 0.305, 0.400 and 0.320 t C ha<sup>-1</sup> month<sup>-1</sup>  
281 in the control, NPK and MNPK treatments from March to November during crop growth, and  
282 the manure C input of 1422 kg C ha<sup>-1</sup> yr<sup>-1</sup> in the MNPK treatment was added in October as  
283 shown in Table 2. The irrigation rate at the site during crop growth was treated as precipitation  
284 input in the CAST model (Stamati et al., 2013; Panakoulia et al., 2017). Further information  
285 on the CAST conceptual and mathematical model and parameter descriptions can be found in  
286 the supporting information.

287

## 288 *2.5. Aggregate C predication under future climate scenarios*

289 We used the Representative Concentration Pathway 2.6 scenario (RCP2.6) from the  
290 Climate Model Intercomparison Project Phase 5 (CMIP5) to implement the goals of the Paris  
291 Climate Agreement of 2015 (Rogelj et al., 2016). This Agreement seeks to limit the increase in  
292 global mean temperature to 1.5 or 2 °C by 2100 (Taylor et al., 2012; Burkett et al., 2014). We  
293 considered future climate projections by five General Circulation Models (GCMs) used in  
294 establishing RCP2.6, namely BCC-CSM1.1(m), BNUESM, EC-EARTH, IPSL-CM5A-LR and  
295 MRI-CGCM3 (Table S4, Fig. S3). These GCMs have been widely used in climate impact  
296 studies in China (Sabeerali et al., 2013; Chen and Frauenfeld, 2014; Miao et al., 2014) and  
297 provide outputs that can meet the data requirements to run the CAST model. The Taylor  
298 diagrams for five GCMs of temperature, precipitation, and evaporation are shown in Fig. S4.

299 Changes in soil structure and C stock in different aggregate size classes from 1990 to 2100  
300 were simulated by driving the CAST model with climate data from the local meteorological  
301 station from 1990 to 2005 and the downscaled climate data from each GCM from 2006 to 2100.  
302 According to the IPCC (2014) the periods from 1986 to 2005 and from 2081 to 2100 are defined  
303 as the early 21<sup>st</sup> century and late 21<sup>st</sup> century, respectively. However, the early 21<sup>st</sup> century in  
304 the present study was defined as periods 1990 and 2005 because the field experiment began in  
305 1990. For each GCM we calculated the difference in soil structure and C content in different  
306 aggregate classes from the early to the late 21<sup>st</sup> century by comparing the mean values in 2081-  
307 2100 to those in 1990-2005. During the above prediction the C input was maintained constant  
308 according to Chen et al. (2013).

309

## 310 *2.6. Statistical analysis*

311 Statistical analysis was conducted using the SPSS 16.0 for Windows software package.  
312 Prior to statistical analysis the data were checked for the normal distribution and homogeneity  
313 of variance. Data were log<sub>10</sub>- or power-transformed if they were not normally distributed. Mean  
314 values of variables in different aggregate size classes or SOC among treatments were compared  
315 using least significant difference at the 5% protection level. Mean values of predicted variables  
316 for the early 21<sup>st</sup> century and the later 21<sup>st</sup> century were compared using independent-samples  
317 Student's t-test at the 5% level of probability.

318

## 319 **3. Results**

### 320 *3.1 SOC*

321 Measured and simulated SOC contents in 2014, annual C inputs, and measured SOC  
322 sequestration rates were all significantly ( $P < 0.05$ ) different between treatments after 25 years  
323 of different fertilization treatments (1990-2014) (Table 3). The measured SOC in the NPK and  
324 MNPK treatments increased by 15.6 and 40.6% compared to the control. Both NPK and MNPK  
325 treatments increased SOC content from 1990 to 2014 but the control showed a decrease in SOC  
326 content over time (Table 3). The respective SOC contents in the control, NPK and MNPK  
327 treatments in 2014 increased by -6.1, 8.3 and 32.0% compared to values in 1990.

328

### 329 *3.2 Aggregate mass and C content*

330 The two fertilization treatments significantly ( $P < 0.05$ ) decreased the percentage of mass



331 contributed by silt-clay structural units in macroaggregates compared with the control (Table  
332 4) with the opposite trend in the content of silt-clay structural units in the microaggregates  
333 within macroaggregates. The MNPK treatment significantly ( $P < 0.05$ ) increased the  
334 percentage of silt-clay structural units in the microaggregates within macroaggregates and  
335 increased the content of coarse POM and fine POM in macroaggregates compared with the  
336 other two treatments (Table 4).

337 The MNPK treatment had the highest degree of aggregation with the largest  
338 macroaggregate C contents among the three treatments (Table 4). The two fertilization  
339 treatments significantly ( $P < 0.05$ ) increased the C contents in microaggregates,  
340 microaggregates within macroaggregates, and silt-clay structural units in the microaggregates  
341 within macroaggregate particles compared with the control. The NPK treatment significantly  
342 ( $P < 0.05$ ) decreased C contents in silt-clay structural units in macroaggregates compared with  
343 the control and the MNPK treatment, and MNPK had significantly ( $P < 0.05$ ) higher C contents  
344 in macroaggregates and silt-clay structural units in the microaggregates within  
345 macroaggregates than the control or NPK. The microaggregate within macroaggregate C  
346 contents as a percentage of SOC stocks in NPK and MNPK were 20.4 and 23.4% representing  
347 the largest contributions of the carbon pools within the macroaggregate fractions.

348

### 349 *3.3 Soil structure and SOC simulations*

350 The baseline simulations for the experimental period 1990-2014 used the set of calibrated  
351 parameters and yielded the observed distribution of soil aggregates and C contents within  
352 aggregate fractions. The CAST model performed well ( $nRMSE < 13.3\%$ , Table S3) overall

353 when simulating the Fluvaquent soil (Fig. 1). The measured data at the start of the experiment  
354 in 1990 and those at the sampling date in 2014 captured the changes in water-stable aggregates  
355 percentage and OC stocks in the different aggregate size classes among the three treatments  
356 (Fig. 1).

357 Simulated SOC stocks in the NPK and MNPK treatments increased in tandem with the  
358 increase in the simulated microaggregate mass % (mass-microaggregate) and the organic C  
359 content in the microaggregate fractions (Fig. 1). Similarly, the increases in simulated organic  
360 C stocks in the macroaggregate fractions were mainly due to increases in the C contribution  
361 contained in silt-clay structural units in the microaggregates within macroaggregates and silt-  
362 clay structural units in macroaggregates. Since 1997 the C stocks in macroaggregates and silt-  
363 clay structural units in the microaggregates within macroaggregates reached saturation (Fig.1f,  
364 i) and the subsequent increase in SOC occurred mainly in the microaggregates in the MNPK  
365 treatment (Fig. 1f). There was less change in simulated OC stocks of coarse POM and fine  
366 POM than of the other fractions in the macroaggregate fractions (Fig. 1 g-i).

367

### 368 *3.4 Predicted development of soil structure and SOC stocks*

369 Under the different GCMs (Fig. 2) from 2006 to 2100 the predicted SOC stocks in the NPK  
370 and MNPK treatments gradually increased accompanied by an increase in OC stocks in  
371 microaggregates, an increase in microaggregate mass percentage and a decrease in  
372 macroaggregate mass percentage. Conversely, the predicted SOC in the control showed a slight  
373 increase accompanied by an increase in OC stocks in macroaggregate and macroaggregate  
374 mass percentage. With the sole exception of silt-clay structural units in the microaggregates

375 within macroaggregates in the control, the macroaggregate fractions showed an increasing  
376 trend in organic C stocks in general.

377 The predicted WSA decrease in mass-silt-clay and increase in mass-microaggregate were  
378 strongest in the NPK treatment, the predicted WSA increase in mass-macroaggregate was  
379 strongest in the control, and the predicted WAS decrease in mass-macroaggregate was strongest  
380 in the MNPK treatment (Table 5).

381 The predicted changes in organic C stocks in different aggregate size classes differed  
382 significantly ( $P < 0.05$ ) except for the OC content of the macroaggregates between the control  
383 and the NPK treatment (Table 5). The MNPK treatment significantly ( $P < 0.05$ ) increased to  
384 2.3 and 2.3 times the macroaggregate OC stocks over the control and the NPK treatment. The  
385 SOC and OC stocks in different aggregate fractions increased in all three treatments except for  
386 microaggregate OC stocks in the control. The changes in SOC stocks were derived mainly from  
387 the microaggregate OC stocks, and the changes in microaggregate OC stocks as a percentage  
388 of SOC stocks in the NPK and MNPK treatments were increases of 78.6 and 75.3%,  
389 respectively.

390 The MNPK treatment significantly increased the predicted changes in OC stocks associated  
391 with silt-clay structural units in the microaggregates within macroaggregates and fine POM  
392 compared with the control or NPK treatment (Table 5). The control treatment significantly  
393 increased OC stocks associated with silt-clay structural units in macroaggregates compared  
394 with the other two treatments. The predicted change in OC content of silt-clay structural units  
395 in the microaggregates within macroaggregates in the control showed a loss of C. Regarding  
396 the changes in OC stocks in the macroaggregate fractions the changes in cPOM OC stocks

397 were not significantly different among the three treatments.

398

## 399 **4. Discussion**

### 400 *4.1. Effects of fertilization practices on soil aggregates*

401 SOC stocks can be increased by increasing soil C inputs (Gattinger et al., 2012; Zhang et  
402 al., 2015) and fertilizer application generally stimulates plant growth and promotes the transfer  
403 of photosynthetically-fixed C and crop residues into the soil (Brown et al., 2014). This may  
404 explain the significant increase in SOC in the NPK and MNPK treatments by 15.3 and 40.6%  
405 compared with the control in 2014 and the loss of SOC sequestration rate in the control from  
406 the start to 2014 at our site (Table 3). The accumulated SOC stocks in the NPK treatment was  
407 attributable to C inputs from crop residues and roots which increased with increasing N  
408 application rate up to the optimum N fertilization rate (Brown et al. 2014). Even so, SOC  
409 accumulation in the long term depended on the balance of C inputs and C outputs with SOC  
410 accumulation occurring when C inputs were higher than outputs, and SOC loss occurred when  
411 C inputs were lower than outputs (van Groenigen et al., 2006).

412 Carbon inputs from plant litter and manure application can affect aggregate formation and  
413 soil structure (Li et al., 2017) as shown by the 1.6 and 1.4 times ( $P < 0.05$ ) higher C contents  
414 in macroaggregates in the MNPK treatment compared with the control and the NPK treatment  
415 in 2014 (Table 4). POM is the unprotected C derived from decomposed exogenous organic  
416 substrates and responds strongly to soil management practices (Gulde et al., 2008). A greater  
417 turnover rate of POM in macroaggregates (Gulde et al., 2008) results over time in a lower  
418 POM-C pool size compared with the other fractions in macroaggregates (Table 4, Fig. 1). In

419 addition, the microbial decomposition of coarse POM provides the binding agents for soil clay-  
420 or silt-sized particles to form microaggregates within macroaggregates (Six et al., 2000b). Thus,  
421 the MNPK treatment had significantly ( $P < 0.05$ ) higher C contents in microaggregates within  
422 macroaggregates than the NPK treatment or the control (Table 4). The NPK treatment also  
423 significantly increased microaggregates within macroaggregates compared with the control  
424 treatment due to the higher C input from greater plant productivity due to the fertilizer  
425 application, which was absent from the control (Table 3, 4). As Fig. 1 shows that the increase  
426 in C stocks in macroaggregates was derived mainly from an increase in C stock in  
427 microaggregates within macroaggregates. Moreover, the C stock in macroaggregates and  
428 microaggregates within macroaggregates reached saturation as time proceeded because both  
429 macroaggregates and microaggregates within macroaggregates remained stable from 1997.

430 Microaggregates are derived from both the fragmentation of macroaggregates and the  
431 association between organic molecules, soil silt-clay particles and cations (Six et al., 2004;  
432 Bronick and Lal, 2005). Macroaggregate fragmentation is attributed to the still decomposing  
433 POM, mechanical effects of tillage and environmental change (Six et al., 2004). Binding agents  
434 are derived mainly from the extracellular compounds of microbial metabolism or root  
435 exudation and exogenous substrates (Six et al., 2002b, 2004). In the MNPK treatment,  
436 sufficient C source application could promote microaggregates bound to form macroaggregates  
437 around the POM and resulted in a decrease in unbound microaggregates in the short term, then  
438 the OC stocks in microaggregates increased after the repeated C application (Figure 1f). Our  
439 measured results also indicate that the MNPK treatment had 1.4 times ( $P < 0.05$ ) higher C  
440 contents in free microaggregates and 2.4 times higher C concentrations in microaggregates

441 within macroaggregates than control, with a similar relationship between the NPK treatment  
442 and the control (Table 4). Numerous studies also report that application of organic soil  
443 amendments can increase microaggregate C contents compared with chemical fertilizers  
444 (Gulde et al., 2008; Chivenge et al., 2011).

445 The C content in  $< 53 \mu\text{m}$  soil particles is dominant in the organomineral complexes by  
446 chemical effects (Six et al., 2002b), including the free silt-clay particles, the silt-clay particles  
447 alone in the microaggregates within macroaggregates, and silt-clay particles in  
448 macroaggregates. Organic molecules derived from decomposed manure or plant residues can  
449 be associated with free silt-clay particles due to the relatively high particle specific surface area  
450 and increase in C content in free silt-clay particles. Furthermore, there is association between  
451 the free silt-clay particles and organic molecules forms microaggregates, macroaggregates, and  
452 microaggregates within macroaggregates when there is a sufficient supply of organic molecules  
453 (Six et al., 2002b; 2004), as shown by the significant ( $P < 0.05$ ) increase of 1.3 times in C  
454 content in silt-clay within macroaggregates in the MNPK treatment compared with the NPK  
455 treatment and the significant ( $P < 0.05$ ) increase of 2.2 and 1.8 times C content in silt-clay in  
456 microaggregates within macroaggregates in the MNPK and NPK treatments over the control  
457 (Table 4, Fig. 1).

458

#### 459 *4.2. Effect of climate change on soil structure*

460 The forward simulations to 2100 predict how soil structure and SOC stocks and distribution  
461 between aggregate fractions will change with time. Under the RCP2.6 climate scenario, SOC  
462 stocks at our site were projected to increase over time (Fig. 2, S5; Table 5) even without manure

463 or fertilizer applications. During the predication using the RCP2.6 climate scenario driving the  
464 CAST model the increase in soil moisture can be expected to be a major factor responsible for  
465 increasing SOC stocks in the control because the higher soil water content prevents soil  
466 warming and suppresses oxygen movement into the soil, further decreasing the rate of soil  
467 decomposition (Bronick and Lal, 2005). In each GCM the decrease in evaporation was much  
468 higher than the change in precipitation. The average evaporation decreased by 20.4 mm and  
469 the average precipitation increased by 0.55 mm in all GCM scenarios compared to those during  
470 1990-2005 (Fig. S3). Here, the integrated effects of temperature and soil moisture on crop C  
471 inputs into the soil were not considered and the C input was assumed to remain unchanged.  
472 Chen et al. (2013) also reported that the increased yield potential in modern crop varieties can  
473 provide a trade off with the adverse effects of climate change. The increases in soil moisture  
474 were therefore more beneficial to soil structure development and SOC sequestration in the soil  
475 studied than the potentially adverse effects of increased SOC decomposition due to the increase  
476 in temperature (Fig. 2; Table 5).

477 Substantial soil environmental change can disrupt soil aggregates, decrease aggregate  
478 stability and expose the C to microorganisms (Bronick and Lal, 2005). Temperature increase  
479 generally promotes soil C mineralization at a global scale (Bond-Lamberty and Thomson,  
480 2010). Low-molecular-weight compounds from microbial decomposition or root exudation  
481 provide the binding agents for soil aggregate formation that supports SOC accumulation  
482 (Tisdall and Oades, 1982; Bronick and Lal, 2005; Bhattacharyya et al., 2013). Under the  
483 RCP2.6 climate scenario the soil studied demonstrates the binding of silt-clay particles into  
484 microaggregates or macroaggregates and the fragmentation of macroaggregates into

485 microaggregates, as shown by the negative mass values of different water-stable aggregates,  
486 especially in the fertilizer application treatments (Table 5). Moreover, the OC stocks in different  
487 aggregates increased among all the treatments on the whole (Fig. 2; Table 5). Overall, soil  
488 aggregate formation and SOC accumulation were promoted by the combined effects of  
489 environment factors, C inputs, and soil properties (Bronick and Lal, 2005; Huesh et al., 2017).

490 Under the RPC2.6 scenario, microaggregates are the main aggregate size class involved in  
491 changes in OC stocks and contribute the greatest mass among the size fractions of silt-clays,  
492 microaggregates and macroaggregates in the later 21st century (Table 5, Fig. 2, S5). Moreover,  
493 the change in free microaggregate OC stocks in all treatments was greatest among the aggregate  
494 size classes, and the OC stock in free microaggregates was 4.6 and 3.9 times that in  
495 microaggregates within macroaggregates (Fig. 2, S5; Table 5), although some studies report  
496 that microaggregates within macroaggregates are the dominant aggregate class to accumulate  
497 C stocks (Gulde et al., 2008; Brown et al., 2014). Soil tillage may be an important factor in our  
498 study as aggregate distribution shifted towards more free microaggregates and fewer  
499 macroaggregates with increasing cultivation intensity (Six et al., 2000b). This further confirms  
500 that SOC increase in the long term may be mainly derived from increasing free microaggregate  
501 C content in tilled soils (Gulde et al., 2008; Six et al., 2000b). Inconsistent with the second  
502 hypothesis, free microaggregates are predicted to be the primary aggregate classes contributing  
503 and protecting aggregate C in the tilled soil in our study (Fig. 2, S5; Table 5).

504 Poulton et al. (2017) report that SOC increased on average by 7‰ per year in surface soil  
505 (0-23 cm depth) in 65% of cases covering different fertilizer applications and land use changes,  
506 equivalent to a target accumulation rate of 4‰ per year at 0-40 cm soil depth for 20 years. Here,



507 the projected rates of SOC accumulation in the control, NPK and MNPK treatments from the  
508 early to the later 21<sup>st</sup> century under the RCP2.6 scenario are 0.5, 6.7, and 12.0‰, respectively.  
509 These results suggest that appropriate fertilizer additions to SOC storage would help to meet  
510 the goals of the “4 per 1000 initiative” under the RCP2.6 scenario, although this does not  
511 consider other potentially negative processes such as nitrous oxide emissions and nitrate  
512 leaching (Baveye et al., 2018). In fact, optimum agronomic management practices, especially  
513 the combination of manure and chemical fertilizers, can effectively decrease emissions of trace  
514 gases in Fluvaquent soils on the North China Plain (Meng et al., 2005; Qiu et al., 2012; Cui et  
515 al., 2013; Huang et al., 2017; Lugato et al. 2018) which account for 27% of the entire arable  
516 land area in China (Han et al., 2018), suggesting the presence of a substantial potential SOC  
517 sink for atmospheric CO<sub>2</sub> under the RCP2.6 scenario.

518

## 519 **5. Conclusions**

520 Sufficient C inputs to soil can promote silt-clay particle binding to microaggregates and  
521 macroaggregates and increase the C contents in microaggregates and macroaggregates as  
522 shown by the significantly ( $P < 0.05$ ) highest macroaggregate C content in the MNPK treatment  
523 compared to the other experimental treatments. Even so, the C content in free microaggregates  
524 was higher than that in microaggregates within macroaggregates in our three treatments. Our  
525 results further suggest that Fluvaquent soils can be substantial C sinks under the RCP2.6  
526 scenario and that free microaggregates are the main soil fraction contributing to future SOC  
527 sequestration. In general, the use of mathematical process modeling coupled with soil structure  
528 and carbon dynamics has the potential to generate further insights into (1) the potential C sink

529 of Fluvaquent soils in future climate change and (2) the dominant effects of free  
530 microaggregates in C stocks in Fluvaquent soils in the current tillage for the annual double  
531 cropping systems of wheat and maize. Future studies will strengthen evidence on the further  
532 optimization of agronomic practices in addition to fertilization combined with other RCP  
533 scenarios to explore the differences in physical protection of SOC stocks by soil aggregates in  
534 different soil types and cropping systems.

535

### 536 **Acknowledgements**

537 We are very grateful for the valuable suggestions and modifications made by Kees Jan van  
538 Groenigen. This study was supported by the National Key Research and Development Plan  
539 (2016YFD0200101, 2018YFD0200804), the Fundamental Research Funds for Central Non-  
540 Profit Scientific Institutions (1610132019014), and Taishan Scholars Project Special Funding.  
541 Shaojun Qiu received funding from the Chinese Scholarship Council for a twelve-month  
542 fellowship at the University of Leeds, UK, during which part of this study was completed.

543

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727 Figure captions

728 Fig. 1 Water-stable aggregates (% mass), soil organic carbon (SOC) stock distribution ( $t\ ha^{-1}$ ),  
729 and macroaggregate OC stock distribution ( $t\ ha^{-1}$ ) as affected by fertilizer treatment in control  
730 (CK), chemical fertilizer (NPK), and combined manure and chemical fertilizer (MNPK)  
731 treatments in a Fluvaquent soil with CAST model simulation.

732 Aggregate symbols in legend: see Table 4 footnote.

733 Crosses in the Figure are the measured values of the samples at the start of the experiment  
734 (1990) and in 2014; the time series of plots x-axis between the two crosses in each curve are  
735 for the period 1990-2014.

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737 Fig. 2 Trends in predicted water-stable aggregates (% mass), soil organic carbon (SOC) stock  
738 distribution ( $t\ ha^{-1}$ ), and macroaggregate OC stock distribution ( $t\ ha^{-1}$ ) at 0-20 cm soil depth for  
739 five General Circulation Models of the RCP2.6 scenario from 2006-2010 to the late 21<sup>st</sup> century  
740 (2081-2100) with CAST model simulation in control (CK), chemical fertilizer (NPK), and  
741 combined manure and chemical fertilizer (MNPK) treatments in a Fluvaquent soil.

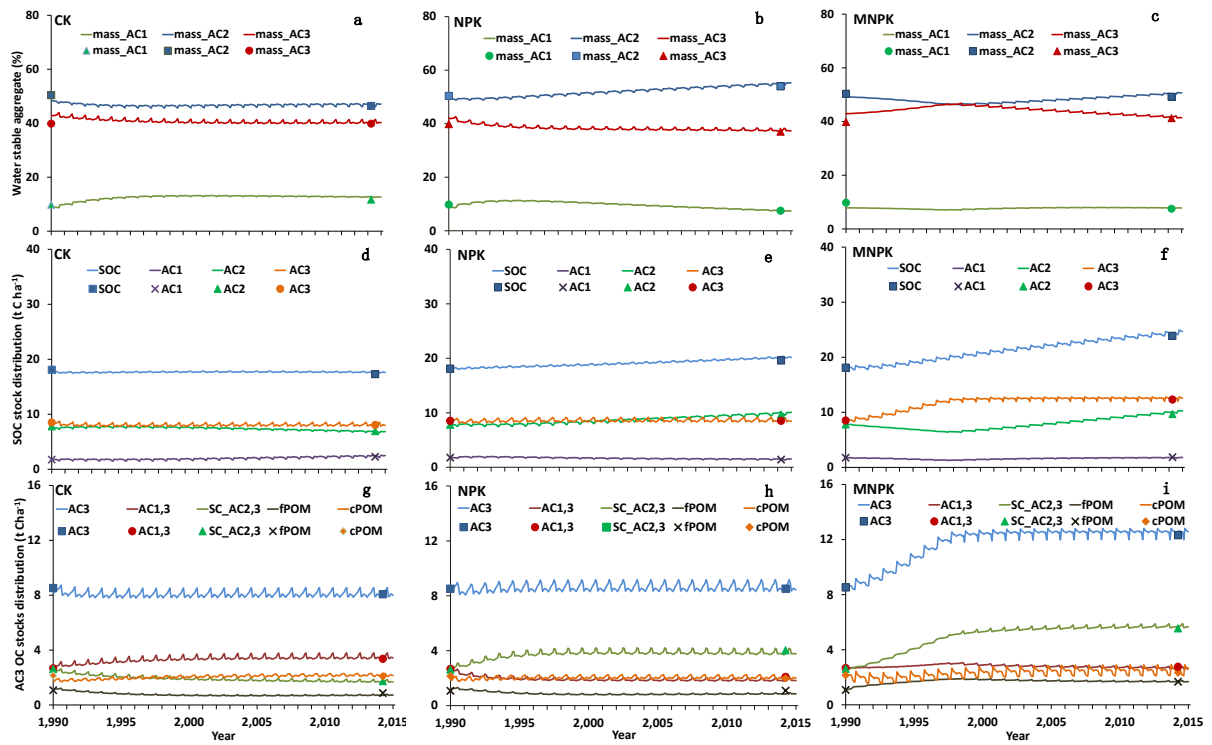
742 For aggregate symbols, see Table 4 footnote.

743 The x-axis scale main divisions show five-yearly intervals and the subdivisions show yearly  
744 intervals ( $n = 475$ ).

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749 Fig. 1

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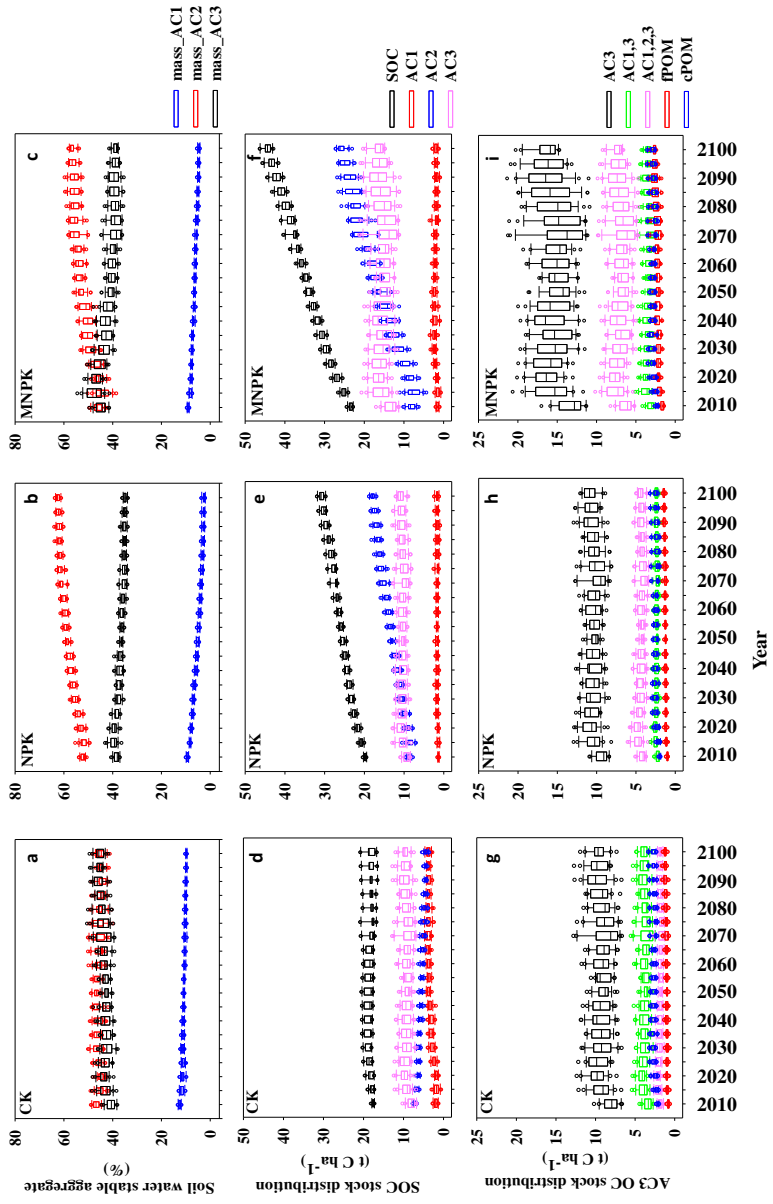
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765 Fig. 2

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779 Table 1 Soil properties in the top 20 cm of the soil profile at the start year of the field experiment  
 780 (1990).

Soil property	Value	Soil property	Value
pH	8.3 ± 0.02	Olsen-P (kg ha <sup>-1</sup> )	17.6 ± 0.16
Soil organic C (t ha <sup>-1</sup> )	18.0 ± 0.54	NH <sub>4</sub> Ac-K (kg ha <sup>-1</sup> )	201.1 ± 0.35
Total N (t ha <sup>-1</sup> )	1.9 ± 0.08	Soil texture	
Total P (t ha <sup>-1</sup> )	1.6 ± 0.05	Sand (%)	62.1 ± 0.61
Total K (t ha <sup>-1</sup> )	45.6 ± 0.38	Clay (%)	10.3 ± 0.23
Alkali-hydrolyzable N (kg ha <sup>-1</sup> )	206.8 ± 0.46	Silt (%)	27.6 ± 0.10

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782

783 Table 2 Fertilizer rates in the control (CK), chemical fertilizer (NPK), and combined manure  
 784 and chemical fertilizer (MNPK) treatments for each crop. Unit, kg ha<sup>-1</sup> yr<sup>-1</sup>

Crop	CK	NPK	MNPK		
	N:P:K	N:P:K*	N:P:K	Manure N	Manure C
Wheat	0:0:0	165:36:68	50:36:68	115	1422
Maize	0:0:0	188:40:78	188:40:78	0	0

785 \*, fertilizers applied are chemical fertilizers and do not include manure nutrients.

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788 Table 3 Measured SOC contents in 2014 and SOC sequestration rates, as well as simulated  
 789 SOC contents in 2014, and annual C inputs in the control (CK), chemical fertilizer (NPK), and  
 790 combined manure and chemical fertilizer (MNPK) treatments.

	Measured SOC (t ha <sup>-1</sup> )	Simulated SOC (t ha <sup>-1</sup> )	Annual C inputs (t C ha <sup>-1</sup> yr <sup>-1</sup> )	Measured SOC sequestration rate* (kg ha <sup>-1</sup> yr <sup>-1</sup> )
CK	17.0±0.5c	17.0±0.4c	1.4±0.1c	-45.0 <sup>§</sup> ±8.6c
NPK	19.6±0.9b	19.5±0.9b	2.0±0.2b	59.5±13.8b
MNPK	23.9±0.1a	23.6±0.1a	2.9±0.03a	230.7±19.2a

791 Mean values followed by a different letter within a column are different at P < 0.05.

792 \* SOC sequestration rate is the difference in measured SOC between 1990 and 2014 divided  
 793 by the number of years (25).

794 <sup>§</sup> A negative value shows C loss.

795

796 Table 4 Water-stable aggregate percentage and content at 0-20 cm soil depth in control (CK), chemical fertilizer (NPK), and combined manure and  
797 chemical fertilizer (MNPK) treatments in 2014.

	Treatment	Mass_AC1 <sup>#</sup>	Mass_AC2	Mass_AC3 (AC3)	AC2,3	AC1,3	AC1,2,3	cPOM	fPOM
Percentage (%)	CK	11.7 <sup>†</sup> ±1.5a <sup>‡</sup>	46.4±2.5b	39.8±1.2a	21.6±1.4b	13.8±0.9a	13.2±0.8c	0.29±0.04b	0.14±0.01b
	NPK	7.5±0.3a	54.0±1.2a	37.0±1.8a	24.0±0.9ab	9.1±0.8b	17.5±0.2b	0.26±0.02b	0.22±0.003b
	MNPK	7.5±1.4a	49.3±0.3ab	41.2±1.6a	27.2±1.1a	10.0±0.4b	20.1±0.6a	0.39±0.001a	0.32±0.01a
C content (t ha <sup>-1</sup> )	CK	2.3±0.4a	7.0±0.2b	7.8±0.6b	2.6±0.3c	3.4±0.4a	1.7±0.2c	2.1±0.2a	0.9±0.1a
	NPK	1.4±0.1a	9.7±0.7a	8.5±0.6b	4.0±0.2b	2.1±0.1b	3.1±0.1b	2.0±0.2a	1.1±0.1a
	MNPK	1.9±0.4a	9.7±0.3a	12.3±0.2a	5.6±0.4a	2.8±0.2a	3.8±0.3a	2.3±0.1a	1.7±0.1a

798 <sup>#</sup>AC3, macroaggregates (> 250 μm); AC2, free microaggregates (250-53 μm); AC1, free silt-clay (< 53 μm);

799 AC2,3, microaggregates within macroaggregates (250-53 μm); AC1,3, silt-clay structural units in macroaggregates (< 53 μm);

800 AC1,2,3, silt-clay structural units in microaggregates within macroaggregates (< 53 μm);

801 cPOM, coarse particulate organic matter in macroaggregate; fPOM, fine particulate organic matter in macroaggregate;

802 <sup>†</sup> Mean of three replicates.

803 <sup>‡</sup> Mean values followed by a different letter within a column for percentage or content are different at P < 0.05.



Table 5 Predicted differences in soil structure and organic C stocks in different aggregate size classes for late 21<sup>st</sup> century (2081-2100) in control (CK), chemical fertilizer (NPK), and combined manure and chemical fertilizer (MNPk) treatments under RCP2.6 scenario relative to early 21<sup>st</sup> century (1990-2005).

Treatment	Water-stable aggregates (%)			SOC stock distribution (t ha <sup>-1</sup> )				Macroaggregate organic C stock distribution (t ha <sup>-1</sup> )					
	Mass_AC1	Mass_AC2	Mass_AC3	SOC	AC1	AC2	AC3	AC3	AC2,3	AC1,3	AC1,2,3	fPOM	cPOM
CK	-1.8 <sup>*</sup> ±1.38a <sup>#</sup>	-3.0±0.78c	4.8±1.26a	0.9±0.19c	1.9±0.20a	-3.4±0.23c	2.2±0.57b	2.2±0.57b	0.05±0.41c	1.0±0.28a	-0.2±0.24c	0.2±0.18c	1.1±0.19a
NPK	-7.8±0.92c	12.0±0.76a	-4.2±1.36b	11.7±0.49b	0.005±0.15c	9.2±0.38b	2.2±0.41b	2.2±0.41b	1.0±0.18b	0.2±0.22c	0.6±0.32b	0.4±0.18b	1.0±0.21a
MNPk	-4.1±0.84b	10.1±1.10b	-6.0±0.80c	22.7±0.87a	0.3±0.14b	17.1±0.96a	5.1±0.81a	5.1±0.81a	3.2±0.66a	0.6±0.13b	2.2±0.74a	1.0±0.17a	1.2±0.29a

For symbols see Table 4 footnote.

\* Mean of the five climate scenarios; values calculated by the difference between the late 21<sup>st</sup> century and early 21<sup>st</sup> century; C stock in AC2,3 was the sum of AC1,2,3 and fPOM.

# Mean values followed by a different letter within a column are different at P < 0.05.