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1 Title

- 2 Full title: The archetypal gene transfer agent (RcGTA) is regulated via direct interaction
- with the enigmatic RNA polymerase omega subunit
- 4 Short Title: Regulation of RcGTA production by GafA and Rpo-ω

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Summary

Gene transfer agents (GTAs) are small virus-like particles that indiscriminately package 16 and transfer any DNA present in their host cell, with clear implications for bacterial 17 18 evolution. The first transcriptional regulator that directly controls GTA expression, GafA, was recently discovered but its mechanism of action remained elusive. Here we 19 demonstrate that GafA controls GTA gene expression by direct interaction with the RNA 20 polymerase omega subunit (Rpo-ω) and also positively autoregulates its own expression 21 22 by an Rpo-ω independent mechanism. We show that GafA is a modular protein with distinct DNA and protein binding domains. The functional domains we observe in 23 Rhodobacter GafA also correspond to two-gene operons in Hyphomicrobiales pathogens. 24 Together these data allow us to produce the most complete regulatory model for a GTA, 25 26 and point towards an atypical mechanism for RNA polymerase recruitment and specific transcriptional activation in the alpha-proteobacteria. 27

INTRODUCTION

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Horizontal gene transfer by viruses and other mobile genetic elements is the major driver 29 of rapid bacterial adaptation and spread of traits such as antibiotic resistance. Gene 30 Transfer Agents (GTAs) are virus-like genetic elements that are similar to viruses but 31 instead of prioritizing the spread of their own genes, they package and disseminate any 32 DNA within the host cell (Hynes et al., 2016; Lang et al., 2012; Shakya et al., 2017; 33 34 Sherlock et al., 2019; Tamarit et al., 2018). Although GTAs usually package and transfer 'random' fragments of DNA from their host to compatible recipients in headful fragments 35 (Berglund et al., 2009; Esterman et al., 2021; Freese et al., 2017; Hynes et al., 2012; 36 Sherlock et al., 2019), some species do exhibit bias towards certain regions of the 37 genome (Berglund et al., 2009; Tomasch et al., 2018). Significantly, GTAs have been 38 implicated in high frequency spread of genes between bacteria (McDaniel et al., 2010) 39 and an extensive survey of the function of thousands of bacterial genes indicated that 40 GTA genes convey significant fitness benefits in multiple species under stress conditions 41 42 (Kogay et al., 2019, 2020; Price et al., 2018).

The true prevalence of GTAs is not currently known, however, a recent study identified 43 homologues of the model Rhodobacter capsulatus GTA (RcGTA) is present in at least 44 50% of sequenced alpha-proteobacteria genomes - many of which had been mis-45 annotated as remnant prophages (Kogay et al., 2019, 2020; Shakya et al., 2017). The 46 GTA genes are often dispersed at multiple genomic locations (Hynes et al., 2016; Motro 47 et al., 2009), and co-ordinated expression initiates from a small subset of the bacterial 48 population (Fogg, 2019; Fogg et al., 2012; Hynes et al., 2012; Québatte and Dehio, 2019). 49 Timing and regulation of GTA production is tightly controlled by interlinked host regulatory 50 circuits including quorum sensing (Koppenhöfer et al., 2019; Leung et al., 2012), stringent 51 response (Québatte et al., 2017; Westbye et al., 2017), SOS response (Kuchinski et al., 52 2016), c-di-GMP (Pallegar et al., 2020b, 2020a) and the pleiotropic transcription factor 53 CtrA (Lang and Beatty, 2000; Westbye et al., 2018). In R. capsulatus, these complex 54 pathways are integrated via a specific GTA transcriptional regulator, GafA (Fogg, 2019), 55 and an RTX-domain extracellular repressor, rcc00280 (Ding et al., 2019; Westbye et al., 56 2018). However, the precise mechanism of action for these proteins is not fully known. 57

It has been suggested that *Bartonella* GTAs are produced by the fittest cells in a given population in response to cytosolic ppGpp levels (Québatte *et al.*, 2017), and that RcGTA production is also influenced by ppGpp via the RNA polymerase omega sub-unit (Rpo-ω) (Westbye *et al.*, 2017). *R. capsulatus* Rpo-ω is not required for growth but is essential for RcGTA production (Westbye *et al.*, 2017). In other species, Rpo-ω is thought to play several roles including stabilization of the RNAP holoenzyme and modulation of transcription profiles via recruitment of alternative sigma factors (Gunnelius *et al.*, 2014; Paget, 2015; Ross *et al.*, 2013; Weiss *et al.*, 2017). One study showed that *E. coli* Rpo-ω can facilitate transcriptional activation when covalently linked to DNA binding proteins

(Dove and Hochschild, 1998), but to our knowledge no native interaction between Rpo- ω and a transcriptional regulator has ever been demonstrated. Here, we examine the relationship between RNAP- ω and the RcGTA activator protein, GafA. We explore the protein:protein and protein:DNA binding activities of GafA domains, identify putative *gafA* genes in pathogenic Hyphomicrobiales species and speculate on the overall mechanism of action for the GafA regulator.

RESULTS

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Rpo-ω is required for activation of GTA production by GafA

GafA is the only known direct activator of Gene Transfer Agent expression in R. 75 capsulatus (Fogg, 2019). The omega sub-unit of RNA polymerase (Rpo-ω), encoded by 76 77 the rpoZ gene, is also required for RcGTA production (Westbye et al., 2017) but the relationship between the two has not been established. Introduction of the plasmid 78 pCMF180, containing gafA together with its native promoter, into wild-type R. capsulatus 79 SB1003 leads to an increase in RcGTA production - presumably due to increased copy 80 number (Fig. 1A & B); meanwhile deletion of *rpoZ* completely eliminates GTA production 81 (Fig. 1A & B), both of which corroborate previous findings (Fogg, 2019; Westbye et al., 82 2017). The pCMF180 plasmid was introduced into SB1003 ΔrpoZ to test whether 83 moderate gafA overexpression can overcome the loss of RcGTA production phenotype 84 but no GTA gene transfer was detected (Fig. 1B). Western blots of concentrated 85 supernatants using an α-RcGTA capsid antibody also failed to detect any capsid protein 86 accumulation in the supernatant of the SB1003 $\Delta rpoZ + gafA$ strains (Fig. 1A). As gafA87 was expressed from its own promoter, it is possible that Rpo-ω acts to regulate 88 expression of gafA and consequently the GTA genes indirectly. To confirm that 89 90 expression of gafA was not affected in any way by the loss of rpoZ. RNA was extracted for transcript quantification by qPCR. The transcription of *gafA* in SB1003 Δ*rpoZ* was 91 equivalent to wild-type, and gafA transcript abundance was actually higher for SB1003 92 $\Delta rpoZ$ + pCMF180 compared to the rpoZ replete background (Fig. 1C). Finally, a 93 94 construct was created containing gafA expressed from a non-native cumate inducible promoter – pCMF254. Overexpression of gafA in SB1003 led to an ~20-fold increase in 95 GTA production, however, overexpression in SB1003 $\Delta rpoZ$ produced no detectable GTA 96 production and was thus indistinguishable from the empty plasmid control (Fig. 1D). 97 Taken together, these data indicate that Rpo- ω is not required for expression of *gafA* but 98 instead regulates RcGTA production downstream. 99

Rpo-ω directly interacts with GafA

In multiple species Rpo-ω is thought to influence RNAP sigma factor preference and consequently global gene expression (Gunnelius *et al.*, 2014; Kurkela *et al.*, 2021; Mathew and Chatterji, 2006; Yamamoto *et al.*, 2018). We hypothesized that GafA acts by

binding to Rpo-ω to alter promoter preferences of the RNAP holoenzyme, and hence 104 deletion of rpoZ abolishes the influence of GafA. pUT18 bacterial-2-hybrid plasmids were 105 created with each of the R. capsulatus RNAP sub-units – α , β , β ' and - ω , and tested for 106 binding to T25-GafA. In this assay, a successful interaction between two proteins brings 107 108 together the T18 and T25 domains of adenylate cyclase and ultimately leads to production of β-galactosidase, which can be measured using colorimetric substrates such as X-gal 109 or O-nitrophenol (Karimova *et al.*, 1998). The α , β and β ' sub-units all gave no detectable 110 signal for interaction with GafA, while Rpo-ω produced a strong positive signal in a β-111 galactosidase assay (Fig. 2A). To confirm this result, MBP-GafA (Fogg, 2019) was bound 112 to amylose magnetic beads and used as bait for capture of purified H6-Rpo-ω. Mock bait 113 beads were simultaneously prepared by identical treatment but with GafA protein omitted. 114 Addition of the Rpo-ω protein to mock beads produced no detectable binding, whereas 115 Rpo- ω was detected in the eluate from the GafA pre-bound beads (Fig. 2B). These data 116 117 confirm that GafA directly interacts with Rpo-ω, which is then likely to lead to changes in RNAP promoter selection and specific expression of RcGTA genes. 118

119 GafA homologues are present throughout the Rhodobacterales and 120 Hyphomicrobiales

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The GafA protein shares little primary sequence similarity with any well characterized proteins, but does possess localized similarity with DnaA and sigma factor DNA-binding domains at the N and C-terminal regions of the protein (Fogg, 2019). We performed a BLASTp sequence similarity search using the R. capsulatus GafA protein as a query, which revealed hits to genes annotated as DUF6456 domain or helix-turn-helix domaincontaining proteins from widespread Rhodobacterales species (Table S1A). This agrees with a previous finding by that GafA homologues were present in all twenty-one complete Rhodobacterales genomes that were available at that time (Hynes et al., 2016). A recent study by Kogay et al. (2019) proposed that 60% of the 730 available Hyphomicrobiales (formerly Rhizobiales) genome sequences contained putative RcGTA genes, however, this study only focused on genes within the core structural gene cluster and so did not include gafA. We performed additional PSI-BLAST and BLASTp sequence similarity searches with an R. capsulatus GafA protein query, but limited the results to the Hyphomicrobiales. Matches were produced to a wide variety species, though sequence similarity was localized to the C-terminal portion of the protein (Fig. S1, Table S1B & C), with the closest sequence similarity found in the final ~18 kDa. Notably, the majority of Hyphomicrobiales homologues were ~22-32 kDa, compared to the 42 kDa *R. capsulatus* GafA, but in most cases the "gafA" gene was preceded by a small gene predicted to encode a DnaA-like DNA-binding protein (Fig. S2). Local synteny was also conserved in the Hyphomicrobiales genomes with a downstream gene predicted to encode a cysteine desulfuration enzyme (sufE) and an upstream transcriptional regulator annotated as mucR or as an HTH-containing gene (Fig. S2). Occasional exceptions appear to be either

full length Rhodobacterales-type *gafA* genes with associated Rhodobacterales synteny or Hyphomicrobiales synteny but without a DnaA-like gene (Fig. S2C, Table S1D). Further BLASTp searches with taxonomic limits set for more distantly related Hyphomicrobiales pathogen species (Agrobacteria and Brucellaceae) produced similar results in terms of local synteny and sequence identity (Table S1E & F), suggesting that these genes and gene organization are common throughout the Order.

The GafA central region is important for protein:protein interactions

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Meanwhile, we predicted the GafA structure from the primary protein sequence using the AlphaFold program (Jumper et al., 2021). All five AlphaFold models placed the two putative DNA-binding domains in equivalent positions and orientations, linked by a central domain of unknown function (Fig. 3A&B). Informed by the structural model and the alignments to Hyphomicrobiales genes (Fig. S1), three bacterial-2-hybrid constructs were produced using truncated *gafA* gene fragments that encode residues 1-226 (N¹⁻²²⁶), 87-382 (Cx87-382) and 221-382 (C221-382) (Fig. 3A). The three constructs were tested for an interaction with Rpo- ω and both GafA-N¹⁻²²⁶ and GafA-Cx⁸⁷⁻³⁸² produced a positive signal. but GafA-C²²¹⁻³⁸² did not (Fig. 3C). To confirm this result, purified MBP-GafA-Cx⁸⁷⁻³⁸² protein was bound to amylose magnetic beads and used as bait for capture of H6-Rpo-ω in solution. Binding of Rpo- ω to the immobilized MBP-GafA-Cx⁸⁷⁻³⁸² protein was successfully detected (Fig. 3D). The GafA-N1-226 and GafA-Cx87-382 constructs overlap in central region of the protein, which suggests that this is the location of GafA:Rpo-ω binding. Additional bacterial-2-hybrid constructs were made to isolate the central region of GafA i.e. amino acids 87-212 (Cen2) and 87-226 (CenN). Both were positive for binding with Rpo-ω (Fig. 3C). These data indicate that GafA is comprised of two distal DNA binding domains and a central protein binding domain. The AlphaFold model (Fig. 3B & Fig. S3) predicted that the central region contains a beta-sheet motif (~amino acids 129-181) that is presented in the opposite direction to the DNA binding motifs, and we hypothesize that this is the interaction interface for Rpo- ω .

No experimental structures are available for Rpo- ω proteins from species that are closely related to *R. capsulatus*. An HHPRED search, using *R. capsulatus* Rpo- ω as a query, identified structural similarity matches across the first ~70 amino acids of the protein (Table S2A). AlphaFold models of *R. capsulatus* Rpo- ω closely matched *E. coli* Rpo- ω , but also lacked sufficient confidence at the C-terminal (Fig. S4A-C). The AlphaFold models of Rpo- ω^{1-71} and GafA-CenN were submitted to the LZerD Web Server for protein docking prediction (Christoffer *et al.*, 2021). The results were not conclusive (highest rank sum score = 57) but 6 of the top 10 models predicted that binding occurs with the beta-sheet (Fig. S3D). Further experimental confirmation will be required to definitively pinpoint the binding interface.

GafA NT is not required for autoregulation but essential for GTA activation

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To test whether different domains of GafA play different regulatory roles, three regions identified in Fig. 3 (GafA-N¹⁻²²⁶, C²²¹⁻³⁸² and Cx⁸⁷⁻³⁸²) were cloned into the cumate inducible expression vector pQF. The pQF vectors were introduced into SB1003 wildtype and SB1003 Δ*gafA* strains and tested for various RcGTA production phenotypes. In a RcGTA gene transfer bioassay, GafA-N1-226 and GafA-C221-382 were unable to induce any RcGTA production in either genetic background (Fig. 4A & S5A). Interestingly, overexpression of both GafA-Cx⁸⁷⁻³⁸² and full length GafA in wild-type cells stimulated ~80-100-fold greater gene transfer frequencies than the vector only control (Fig. 4A), however, neither were able to complement the gafA knock-out (Fig. S5A). These data were corroborated by visualization of intracellular ~4kb RcGTA DNA accumulation by gel electrophoresis (Fig. S5B), detection of characteristic bacteriochlorophyll absorbance peaks in cell-free supernatant indicative of cell lysis (Fig. S5C & D), and western blots to assess accumulation of the RcGTA capsid in the supernatant (Fig. S5E). In all cases, full length GafA and GafA-Cx87-382 induced RcGTA production and lysis in wild-type cells but no RcGTA production was detected for ΔgafA strains complemented with any gafA overexpression constructs. The GafA DnaA-like helix-turn-helix DNA binding motif is very close the N-terminus of the protein (~aa15-55, Fig. 3) and so it is possible that extra residues at this end of the protein interfere with DNA binding (Fogg, 2019). Previous work showed that the full length gafA ORF overexpressed from the puf photosynthesis promoter effectively complemented the $\Delta gafA$ mutant (Fogg, 2019), therefore, we produced comparable puf-GafA-Nc¹⁻⁸⁶ and N¹⁻²²⁶ constructs and introduced them into SB1003 wild-type and SB1003 \(\Delta gafA \) strains. Gene transfer bioassays showed that neither GafA-Nc1-86 nor N1-226 could complement the gafA knock-out and neither could induce RcGTA overexpression in the SB1003 wild-type (Fig. 4B & C). Meanwhile, in trans expression of full length GafA from the *puf* promoter complemented the SB1003 Δ*gafA* strain and increased SB1003 wild-type gene transfer frequencies by 43.5-fold, compared to the SB1003 plus empty vector control (Fig 4B & C).

The above data indicate that the presence of a short N-terminal Flag tag in the pQF vector impaired complementation, and that full-length GafA is required to induce RcGTA production. However, the fact that overexpression of a truncated *gafA* completely lacking the N-terminal DNA binding motif still induces high level RcGTA production in the presence of a full-length chromosomal copy of *gafA*, indicates that the GafA-Cx⁸⁷⁻³⁸² region (Fig. 3) can perform at least some of the functions of the full-length protein. We hypothesized that the GafA-Cx⁸⁷⁻³⁸² portion of the protein can activate the *gafA* promoter independent of the N-terminal DNA binding domain but the full protein is required for wider transcriptional activation of other RcGTA genes. To differentiate the effect of full length GafA and GafA-Cx⁸⁷⁻³⁸² on RcGTA gene expression in SB1003 wild-type and SB1003 Δ*gafA* cells, transcript abundance of the RcGTA capsid, endolysin and *gafA* genes were

219 measured by qPCR (Fig. 4D). We used *qafA* primers that bind within the region encoding GafA-Cx and, therefore, the qPCR measured the total combined transcripts of 220 chromosomal and plasmid-borne gafA or gafA-Cx genes. As expected, overexpression 221 of *gafA* or *gafA*-Cx⁸⁷⁻³⁸² in either the wild-type or knock-out strain produced similar levels 222 of gafA transcripts and, consistent with the phenotypic data, this only led to increased 223 RcGTA capsid and endolysin production in wild-type cells. To quantify the activity of the 224 chromosomal gafA promoter, we used primers designed to amplify the 5'-UTR that is 225 present only on the chromosome and is also retained in the $\Delta gafA$ mutant. Transcription 226 from the native promoter was upregulated more than 10-fold when gafA or gafA-Cx87-382 227 were overexpressed in either wild-type or $\Delta qafA$ cells (Fig. 4D). 228

Mutation of key residues near the GafA N-terminus impairs RcGTA activation

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In agreement with previous work (Fogg, 2019), an HHPRED search for structural 230 homologues of GafA identified tentative hits against numerous sigma factors for both the 231 predicted N- and C-terminal GafA DNA-binding domains (Table S2B-D); C-terminal DBD: 232 E-value>0.84, N-terminal DBD: E-value ≥ 0.0017). However, the N-terminal DBD also 233 produced hits against three DnaA proteins from diverse species in the PDB database, 234 two of which produced E-values ≥ 8.5E-07, as well the DnaA entry from the NCBI 235 conserved domain database (Table S2C). Alignment of the R. capsulatus GafA N-236 terminal region with E. coli, Mycobacterium tuberculosis and Aquifex aeolicus DnaA 237 proteins showed poor primary sequence conservation overall but patches of increased 238 sequence similarity particularly around the residues predicted to bind in the major groove 239 of DNA (Fig. 5A) (Blaesing et al., 2000; Fujikawa et al., 2003). 240

Ten amino acid locations in the GafA protein were chosen based on sequence conservation or predicted involvement in DNA-binding (Fig. 5A-C). Each position was changed to alanine in the gafA complementation plasmid, pCMF180, by site directed mutagenesis. The mutated plasmids were introduced into SB1003 ΔgafA to assess the relative ability of each to restore RcGTA production. All mutations had a strong impact on protein function with average gene transfer frequencies at approximately 20% or less compared to the unmutated version of *gafA* (Fig. 5D). The plasmids were also introduced into a gafA-null derivative of the RcGTA overproducer strain, R. capsulatus DE442. The gafA gene is known to be expressed at much higher levels in DE442 than the wild-type SB1003 strain (Fogg, 2019); we hypothesized that a higher dose of some GafA mutants in DE442 might overcome the impaired RcGTA phenotype and reveal which mutations have the greatest effect on function. Most DE442 gafA mutants also failed to complement RcGTA production in gene transfer bioassays, with the exception of L34A and L46A (Fig. 5E). In our alignment, L34 and L46 correspond to E. coli DnaA I425 and L438 - neither of which directly bind DNA. In the predicted protein structure L34 is also located on a separate helix to the major groove DNA-binding residues (Fig. 5B). E. coli DnaA T435

(equivalent to GafA S43) binds to specific DNA bases and R442 & K443 (GafA R50 & R51) interact with the DNA backbone (Fig. 5A-C) (Fujikawa et al., 2003). DnaA V437 & Q446 (GafA I45 & Q54) are not predicted to bind DNA but they do sit on the same helix as the residues described above and, therefore, mutations in this region may affect the general conformation of the binding site. DnaA R399 & S400 (GafA E8 & S9) bind in the minor groove of DNA (Fujikawa et al., 2003). The AlphaFold model for GafA placed E8 & S9 at a location unlikely to bind DNA (Fig. 5B), however, this could be due to poor multiple sequence alignment coverage at the protein N-terminus (Fig. S3).

Taken together, these data indicate that the truncated GafA-Cx⁸⁷⁻³⁸² protein can effectively induce expression from the native *gafA* promoter, but full length GafA is required to induce the various other RcGTA loci. It is likely to be the N-terminal DnaA-like DNA binding domain that is essential for activation of the RcGTA promoters.

N- and C-terminal regions of GafA bind DNA

Previous work showed that GafA binds to the RcGTA promoter at a location 75-125 bases upstream of the start codon of TerS (RcGTA *g1/rcc01682*) (Fogg, 2019; Sherlock *et al.*, 2019). The C-terminal 162 aa of GafA was expressed from a T7 expression vector with an N-terminal MBP tag. The protein was purified to homogeneity and used for electrophoretic mobility shift (EMSA) assays (Fig. 6). As predicted, MBP-GafA-C²²¹⁻³⁸² bound the RcGTA promoter at the previously identified location (Fig. 6A & B), which contains both the -10 and -35 promoter elements plus the transcription start site (TSS) (Fig. 6A). It is also known that *gafA* binds to its own promoter in a 270 base region upstream from the start codon (Fogg, 2019), however, the precise location was not identified. To refine the binding site, we used three 50 bp Cy5-labelled dsDNA oligos covering 150 bases upstream of the start codon for an EMSA binding assay. GafA-C²²¹⁻³⁸² bound to *gafA* promoter fragment #3, which contains the predicted -35 element (Fig. 6D & E).

Similar protein expression constructs were also made for the GafA-Nc¹⁻⁸⁶ and GafA-N¹⁻²²⁶ regions with N-terminal His and MBP tags but, as expected, no binding was detected for any DNA targets tested; consistent with data shown in Fig. 4 that N-terminal modifications impair activity of the protein probably by interfering with DNA binding. To resolve this, the affinity purification tag was removed from the MBP-GafA-Nc¹⁻⁸⁶ protein by digestion with 3c protease, and EMSAs were performed with the tag-free protein. GafA-Nc¹⁻⁸⁶ produced DNA mobility shifts consistent with non-specific binding to most templates (Fig. 6). Of the five RcGTA promoter fragments tested, four were bound by GafA-Nc¹⁻⁸⁶ with similar affinities (pGTA #1-4) but only two shifts remained in the presence of an unlabelled non-specific dsDNA competitor (Fig. 6C). The two promoter fragments that produced specific binding were located on either side of the GafA-C²²¹⁻³⁸²

binding site (Fig. 6A), which suggests that GafA could bind as a dimer. Analytic gel filtration confirmed that GafA is dimeric in solution, and dimerization is retained for the truncated GafA-Cx⁸⁷⁻³⁸² and GafA-C²²¹⁻³⁸² proteins (Fig. S6). Of the three *gafA* promoter fragments tested, two were bound by GafA-Nc¹⁻⁸⁶ (pGafA #1 & 2) but neither were specific (Fig. 6F) – consistent with the observation that the GafA N-terminal 86 amino acids are not required for stimulation of the *gafA* promoter.

DISCUSSION

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301 Production of Gene Transfer Agents (GTAs) is indirectly controlled by various global regulators in response to environmental stimuli and the disparate signals are integrated 302 via a single transcription factor, GafA. GafA shares little sequence or structural similarity 303 with proteins of known function, but short regions in the N- and C-termini of the protein 304 have tentative structural similarity to DnaA and sigma factor proteins, respectively (Tables 305 S8-10). These regions of similarity are tightly centred around predicted DNA binding 306 domains. The central portion of GafA, between these putative DNA binding domains, is 307 of unknown function. In this paper we sought to refine the mechanism of action for GafA 308 and to assign functions to the various domains. Interestingly, we have identified a direct 309 interaction between GafA and the RNA polymerase ω sub-unit. 310

The interaction between GafA and Rpo-ω

312 Bacterial DNA-dependent RNA polymerase (RNAP) is responsible for the production of all RNA within a given cell. RNAP is a multi-protein holoenzyme comprised of two identical 313 α -subunits, catalytic β and β ' sub-units, and an ω sub-unit encoded by the *rpoZ* gene. 314 The Rpo-ω subunit has been studied in a wide variety of species (Kurkela *et al.*, 2021), 315 where it is thought to stabilize the overall RNAP holoenzyme via direct interactions with 316 the β and β' sub-units (Glyde et al., 2018; Lin et al., 2019; Vassylyev et al., 2002). R. 317 capsulatus Rpo-ω shares <50% sequence identity with its E. coli counterpart, but the 318 MAR ppGpp binding motif and all five conserved residues known to be important for 319 RNAP stabilization are present in both proteins (Kurkela et al., 2021). With the exception 320 of Mycobacterium tuberculosis (Mao et al., 2018), deletion of the rpoZ gene is not lethal 321 but instead results in various growth defects or alternative phenotypes (Kurkela et al., 322 2021). Indeed, Westbye et al. (2017) showed that the growth rate of R. capsulatus $\Delta rpoZ$ 323 is slower than the wild-type and RcGTA production is abrogated (Westbye et al., 2017), 324 the latter of which was confirmed here (Fig. 1). 325

Evidence from multiple species indicates that deletion of Rpo- ω decreases transcription of some housekeeping genes and influences global transcription profiles by promoting RNAP preference for alternative sigma factors (Paget, 2015; Shimada *et al.*, 2014; Weiss *et al.*, 2017; Yamamoto *et al.*, 2018). The role of Rpo- ω in sigma factor selection has largely been inferred from transcriptome data showing expression profiles characteristic

of certain sigma factors in wild-type versus Rpo-ω deletion strains, or by the efficiency of 331 sigma factor incorporation into RNAP in vivo/in vitro (Geertz et al., 2011; Gunnelius et al., 332 2014; Weiss et al., 2017). Although sigma factors bind to promoter DNA at the -10 and -333 35 sites, binding is not usually possible in vitro in the absence of the RNAP core (Feklístov 334 335 et al., 2014). Data presented here and elsewhere shows that purified GafA does bind in vitro to RcGTA promoters close to the -10/-35 regions (Fig. 6) and that it is the presence 336 of Rpo-ω rather than its absence that leads to expression of GafA regulated genes (Fig. 337 1) (Fogg, 2019; Westbye et al., 2017). Structural data for RNAP complexes from various 338 species show that the Rpo-ω and sigma factor subunits both primarily interact with Rpo-339 β' but are spatially separated in the stable holoenzyme (Geertz et al., 2011; Glyde et al., 340 2018; Mao et al., 2018; Vassylyev et al., 2002; Weiss et al., 2017). Meanwhile 341 transcription factors usually bind upstream of the -35 element and interact with RNAP via 342 the α-subunit (Browning and Busby, 2004). No binding was detected between GafA and 343 344 the Rpo- α , Rpo- β or Rpo- β ' subunits in a bacterial-2-hybrid assay (Fig. 2).

Possible scenarios are: a) GafA first binds to RcGTA promoters and recruits RNAP via an interaction with Rpo- ω ; b) GafA pre-recruits RNAP in solution and enhances its affinity for RcGTA promoters, similar to the mechanism thought to be used by the MarA/SoxS family (Griffith *et al.*, 2002; Martin *et al.*, 2002). Perhaps binding to Rpo- ω mimicks the action of ppGpp (Westbye *et al.*, 2017); or c) GafA first binds to Rpo- ω , which then mediates subsequent interactions between GafA and the Rpo- α , β or β ' subunits that are not apparent in one-on-one *in vitro* experiments.

Regulation of the RcGTA operons

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We have sought to update our previous GafA-centric model for RcGTA (Fogg. 2019) regulation with recent discoveries made here and elsewhere (Fig. 7). An important prerequisite for RcGTA production is high cell density and transition to stationary phase of growth. The response to cell density is mediated by two contrasting influences - a secreted RTX-domain protein represses expression by an unknown mechanism while a quorum sensing signal molecule (homoserine lactone or HSL) promotes RcGTA gene expression (Brimacombe et al., 2013; Ding et al., 2019; Leung et al., 2012; Westbye et al., 2018). Quorum sensing is also important for regulation of the *Dinoroseobacter shibae* GTA (DsGTA) where deactivation of one HSL synthase abolishes any DsGTA gene expression while disruption of another leads to DsGTA overproduction (Koppenhöfer et al., 2019; Tomasch et al., 2018; Wang et al., 2014). Meanwhile, a RelA/SpoT homologue responds to amino acid starvation by increasing intra-cellular concentrations of (p)ppGpp. which is likely to interact directly with RNAP via Rpo-ω, or an alternative binding site, to alter promoter preference (Westbye et al., 2017). It is worth noting that Bartonella GTA (BaGTA) production appears to occur in response to low ppGpp concentration, leading to the hypothesis that BaGTA production actually occurs in the fittest cells in a population

rather than those under the most stress (Québatte and Dehio, 2019; Québatte *et al.*, 2017).

The pleiotropic regulator CtrA is absolutely essential for any detectable RcGTA 371 production, and its phosphorylation state controls the transition from RcGTA assembly 372 and DNA packaging to adornment and lysis (Farrera-Calderon et al., 2021; Lang and 373 Beatty, 2000; Mercer et al., 2010, 2012; Westbye et al., 2013, 2018). Hence effective 374 375 production and release of mature RcGTA particles is dependent upon an intact phosphorylation cascade from the response regulator CckA to CtrA via ChpT (Farrera-376 Calderon et al., 2021; Wang et al., 2014; Westbye et al., 2018). High levels of intracellular 377 c-di-GMP stimulate the phosphatase activity of CckA and help to maintain a higher 378 concentration of unphosphorylated CtrA(Farrera-Calderon et al., 2021; Pallegar et al., 379 2020b, 2020a). In its unphosphorylated state CtrA is required for transcription of gafA 380 (Fogg, 2019). Rpo- ω is not required to activate expression of *gafA* and only the C-terminal 381 region of GafA is required for autoregulation (Fig.1 & 4), which indicates that different 382 mechanisms regulate transcription of *gafA* and the core RcGTA structural locus. It is likely 383 that GafA works together with CtrA to recruit RNAP to the gafA promoter but works alone 384 385 at the core RcGTA promoter via interaction with Rpo- ω (Fig. 7) (Fogg, 2019).

Low c-di-GMP levels stimulate CckA kinase activity leading to CtrA phosphorylation(Farrera-Calderon et al., 2021; Pallegar et al., 2020b, 2020a). CtrA-P also increases expression of the PAS domain protein DivL, which further enhances CckA kinase activity (Fogg, 2019; Westbye et al., 2018). CtrA-P then acts in concert with GafA to trigger the various late stage RcGTA genes – head spike (rcc01079/80 aka ghsA/B), tail fibres (rcc00171) and lysis genes (rcc00555/6) (Fogg, 2019). Putative CtrA half sites were detected in the promoters of all three of these loci and putative GafA binding sites in two out of three (Fig. S7). The housekeeping protease ClpXP degrades both forms of CtrA and is important for maintenance of a proper equilibrium of phosphorylation states (Westbye et al., 2018). Interestingly, deletion of ClpX leads to tailless immature RcGTA particles, reminiscent of DNA packaging mutants (Sherlock et al., 2019).

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The overall model presented here appears to be mostly complete, with a few notable exceptions. The SoS response regulator LexA is required for RcGTA production but its precise mechanism is unknown, although it appears to act via CckA (Kuchinski *et al.*, 2016). There is an SoS box in the LexA promoter and deletion of *lexA* leads to increased expression of *cckA*. This dysregulation presumably unbalances CtrA phosphorylation and/or degradation. Notably, LexA, c-di-GMP, CckA and the phosphorylation of CtrA are all associated with the regulation of DsGTA (Koppenhöfer *et al.*, 2019), but more work is required to fully determine common mechanisms between the species. Another enigmatic protagonist is *rcc01866*, which is located adjacent to the *gafA* gene and is expressed divergently. The Δ1866 mutant has a phenotype similar to Δ*cckA* i.e. RcGTA particles are

produced but are not fully mature and no detectable lysis occurs (Hynes *et al.*, 2016). We were unable to predict any putative function for the 1866 protein by primary sequence similarity or structural homology searches.

The gafA genes beyond the Rhodobacterales

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- Through bioinformatics analysis, we have identified gafA regions with conserved local 411 synteny in the Hyphomicrobiales Order (Fig. S2 & Table S1B-F). The gafA homologues 412 are found in a wide variety of species throughout the Order including several important 413 pathogens such as those from the Brucella (Chain et al., 2011) and Agrobacterium genera 414 (Scholz et al., 2008). The Brucella gafA genes have also previously been implicated as 415 virulence/fitness factors of unknown function in high-throughput studies (He, 2012; 416 Salmon-Divon et al., 2019). Intriguingly, the Hyphomicrobiales gafA is split into two 417 separate genes that roughly correspond to the GafA-Nc and GafA-Cx constructs used in 418 this study, supporting the hypothesis that these domains have distinct biological roles. 419
- Overall our data suggest that GafA either acts as an alternative sigma factor or as a transcription factor that is recruited by Rpo- ω via a direct protein:protein interaction (Lane and Darst, 2006; Lin *et al.*, 2019; Li *et al.*, 2019), and this interaction occurs via the central domain of the protein (Fig. 2 & 3). GafA has two mechanisms of action, one Rpo- ω dependent and one Rpo- ω independent, and the GafA N-terminal DNA binding domain is essential only for the former. Further research is ongoing to determine the precise mechanism of GafA and to establish how widespread this mechanism is in bacteria.

Limitations of the study

The DNA sequence bound by GafA was predicted from the short regions of the GafA and 428 RcGTA promoters identified by EMSA analysis, however, a more extensive experimental 429 approach will be required to confirm and refine these predictions e.g. systematic DNA 430 mutagenesis. Although we demonstrated that GafA interacts with RNAP via the Omega 431 sub-unit to co-ordinate RcGTA expression, we did not present a definitive mechanism for 432 433 how RNAP promoter preference is altered. We envisage that this will be resolved via biochemical/structural approaches for the whole RNAP holoenzyme in complex with GafA 434 and DNA. 435

Author Contributions

- Conceptualization, P.C.M.F; Methodology, D.S. and P.C.M.F.; Investigation, D.S. and P.C.M.F.; Writing, P.C.M.F.; Visualization, P.C.M.F.; Funding Acquisition, P.C.M.F.;
- P.C.M.F.; Writing, P.C.M.F.; Visualization, P.C.M.F.; Fundamental Resources, P.C.M.F.; Supervision, P.C.M.F.

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Declaration of Interests

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The authors declare no competing interests

Figure Titles and Legends

Figure 1. The *rpoZ* **gene is essential for RcGTA production.** For all panels the following strains were used – R. *capsulatus* SB1003 (WT) and a *rpoZ* KO derivative (Δ rpoZ). Strains were complemented *in trans* with empty pCM66T vector (WT and Δ rpoZ), *rpoZ* expressed from its native promoter (+*rpoZ*), *gafA* expressed from its native promoter (+gafA), or *gafA* overexpressed from a cumate inducible promoter (+*gafA* OX). **A.** Representative western blot of R. *capsulatus* concentrated supernatants using an α-RcGTA capsid antibody. **B.** Bar chart showing the frequency of rifampicin gene transfer by the indicated strains, N=6. **C.** Quantitative PCR data showing *gafA* transcript abundance in the indicated strains relative to the R. *capsulatus* SB1003 (WT) control. Expression levels shown were calculated using the Δ Ct method (*uvrD* reference gene) and a log2 transformation to give fold-differences. N=3. **D.** Bar chart of the frequency of rifampicin gene transfer by the annotated strains. N=4. Statistical significance is indicated on each graph as calculated by one-way ANOVA with the Holm-Sidak method for pairwise multiple comparison (***= p<0.001, **= p<0.01, *= p<0.05, n.s.= p>0.05). See also Data S1.

Figure 2. GafA directly interacts with Rpo-ω. A. Quantification of bacterial-2-hybrid interactions between T25-GafA vs T18-Rpo- α , T18-Rpo- β , T18-Rpo- β ' and T18-Rpo- ω . Negative control is T25-gafA vs pUT18 empty vector (-ve). N=3. Statistical significance is indicated on the graph as calculated by one-way ANOVA with the Holm-Sidak method for pairwise multiple comparison (***= p<0.001). **B.** Silver stained SDS PAGE gel of a pull-down assay using MBP-GafA as bait and H6-Rpo- ω as prey. Amylose magnetic beads that should only bind to MBP-tagged proteins were used to capture the proteins. Presence or absence of each protein in the assay is indicated by '-' or '+' symbols above the gel. Abcam broad range protein marker is included for reference. See also Data S1.

Figure 3. The domain structure of the R. capsulatus GafA. A. Amino acid sequence of GafA, colour coded to highlight the different regions used for subsequent characterization. Green = Nterminal concise (Nc, residues 1-86), Green & Blue = N-terminal (N, residues 1-226), Turquoise = C-terminal (C, residues 221-382), Blue-Turquoise = C-terminal extended (Cx, residues 87-382), Blue = Central region 2 (Cen2, residues 87-212), Blue & Purple = Central region N (CenN, residues 87-226). B. Alphafold structure prediction for GafA, regions used for subsequent characterization are colour coded as in part A and annotated above and below the image. The two predicted DNA binding domains (DBD) are annotated with arrows. C. Quantification of bacterial-2-hybrid interactions between T18-Rpo-ω and various T25-GafA constructs (defined above) by β-galactosidase assay, N=3. Statistical significance is indicated on the graph as calculated by one-way ANOVA with the Holm-Sidak method for pairwise multiple comparison (***= p<0.001, n.s.= p>0.05). **D.** InstantBlue stained SDS PAGE gel of a pull-down assay using MBP-GafA-Cx as bait and H6-Rpo-ω as prey. Amylose magnetic beads were used to capture the proteins. Presence or absence of each protein in the assay is indicated by '-' or '+' symbols above the gel. Abcam broad range protein marker and a lane showing the Rpo-ω protein input are included for reference. See also Figure S1-4, Table S1 & 2, Data S1.

Figure 4. Characterization of GafA domain function. A-C. Bar charts of the relative frequency of rifampicin gene transfer from A. R. capsulatus SB1003 wild-type donor strains complemented in trans with empty pQF vector (WT), full length qafA (Σ), or truncated regions of qafA described in Fig. 3 (N, C and Cx), N = 3. **B.** *R. capsulatus* SB1003 wild-type donor strains complemented in trans with empty pCM66T vector (WT, N=3) or with the puf promoter driving expression of full length gafA (Σ, N=4), or truncated regions of gafA (Nc and N, N=4) or C. R. capsulatus SB1003 ΔgafA donor strains complemented in trans with empty pCM66T vector (WT, N=8) or with the puf promoter driving expression of full length gafA (Σ , N=4), or truncated regions of gafA (Nc and N, N=7). **D.** Transcript abundance of RcGTA genes in *gafA* overexpression strains. The bar chart shows relative changes in transcript abundance measured using quantitative PCR and the ΔΔCt method. The R. capsulatus strains tested are annotated in the legend – SB1003 containing empty pQF (WT), SB1003 complemented with pCMF254 (WT + gafA OX), SB1003 complemented with pCMF264 (WT + gafA Cx OX) and SB1003 gafA knock-out complemented with pCMF264. Transcripts of gafA, RcGTA capsid (rcc01687), RcGTA endolysin (rcc00555) and the gafA 5' UTR (pGafA) were measured. Statistical significance for all panels is indicated above each graph, and was calculated by one-way ANOVA with the Holm-Sidak method for pairwise multiple comparison (***= p<0.001, n.s.= p>0.05). See also Figure S5.

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Figure 5. Mutagenesis of the GafA N-terminal DNA binding domain. A. Alignment of R. capsulatus GafA (Rc GafA) residues 1-59 with the DnaA DNA binding domains from E. coli (PDB: 1J1V), Mycobacterium tuberculosis (PDB: 3PVV), Aquifex aeolicus (PDB: 1L8Q) and R. capsulatus (Rc DnaA). Conserved amino acids are coloured using the CLUSTLx scheme and mutated positions are indicated by black boxes. B. Alphafold structure prediction for the GafA Nterminal DNA binding domain. Side chains are shown for the amino acid positions mutated in this study, and each are coloured according to predicted interaction with DNA; Red - specific base interaction, Blue - nonspecific interaction with DNA backbone, Green - no direct interaction. C. DNA binding domain from E. coli DnaA (PDB: 1J1V). Equivalent amino acids to those mutated in GafA are coloured using the same scheme as in panel B. R399 and S400 are annotated as they sit in the minor groove of DNA, whereas their GafA counterparts (E8/S9) were predicted to have no proximity to the DNA – probably due to limitations of the model at the sequence extremity. **D** & E. Relative gene transfer frequencies for gafA gene knock-outs of **D** the wild-type strain R. capsulatus SB1003 (N=4, except E8 where N=3) and E the RcGTA overproducer strain DE442 (N=4, except S9 where N=3). Each strain was complemented in trans with either empty pCM66T vector (Δ) or the gafA gene with single point mutations as indicated on the X-axis. Frequencies shown are normalized to complementation of the respective strains (SB1003 or DE442) with unmodified gafA. Statistical significance tested by one-way ANOVA with the Holm-Sidak method for pairwise multiple comparison - all gafA point mutations were statistically different from wildtype gafA (p<0.001) except DE442 complemented with gafA L34A or L46A (p>0.05).

Figure 6. Binding of GafA domains to the RcGTA and *gafA* **promoters. A.** Schematic of the RcGTA promoter region with the start codon (ATG), transcription start site (TSS) and -10/-35 promoter elements annotated. The locations of DNA fragments used for EMSA band shifts are shown and labelled #1 to #4. **B.** Representative EMSA of GafA-C²²¹⁻³⁸² binding to RcGTA promoter fragment #2. **C.** Representative EMSA of GafA- Nc¹⁻⁸⁶ binding specifically to RcGTA

promoter fragments #1 & 3 and non-specifically to #2 & 4. Protein concentration is labelled above the image. N = excess of non-specific competitor DNA added, S = excess of specific competitor DNA added. **D.** Schematic of the *gafA* promoter region with the start codon (ATG), transcription start site (TSS), CtrA binding site (CtrA) and -10/-35 promoter elements annotated. The locations of DNA fragments used for EMSA band shifts are shown and labelled #1 to #3. **E.** Representative EMSA of GafA-C²²¹⁻³⁸² binding to *gafA* promoter fragment #3. **F.** Representative EMSA of GafA-Nc¹⁻⁸⁶ binding non-specifically to *gafA* promoter fragments #1 & 2. Protein concentration is labelled above the image. N = excess of non-specific competitor DNA added, S = excess of specific competitor DNA added. See also Figure S6&7.

Figure 7. Model of RcGTA regulation. Known contributors to RcGTA regulation are indicated and broadly classified based on whether their major influence is on early production of structural proteins (Stage 1) or late stage maturation and lysis (Stage 2). Arrows indicate positive regulation and flat headed arrows indicate repression. Black arrows represent transcriptional regulation, blue arrows represent post-translational or ligand:protein regulation, red arrows represent biosynthesis or degradation, dashed arrows indicate uncertain mechanism. Arrows representing GafA regulation that requires Rpo- ω are annotated with 'ω', and Rpo- ω independent regulation by the GafA Cx domain is labelled 'Cx'.

STAR Methods

RESOURCE AVAILABILITY

559 **Lead contact**

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- 560 Further information and requests for resources and reagents should be directed to and
- will be fulfilled by the lead contact, Paul Fogg (paul.fogg@york.ac.uk).

562 Materials availability

- All unique reagents or materials generated in this study will be made available on request
- by the lead contact, but we may require a completed materials transfer agreement if there
- is potential for commercial application.

Data and code availability

- All data reported in this paper will be shared by the lead contact upon request
- This paper does not report original code
- Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon request.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Bacterial Strains

- 573 Three *Rhodobacter capsulatus* strains were used in this study: rifampicin sensitive wild-
- type strain B10 (Wall et al., 1975), a rifampicin resistant derivative SB1003 (ATCC BAA-
- 309) and an RcGTA overproducer strain DE442 (Ding et al., 2014; Fogg et al., 2012). All
- 576 R. capsulatus cultures were grown at 30°C either aerated in the dark or in anoxic sealed
- tubes under constant illumination. Two growth media were used YPS complex broth
- 578 (0.3 % w/v yeast extract, 0.3% w/v peptone, 2 mM MgCl₂, 2 mM CaCl₂) or RCV defined
- 579 broth (10 mM potassium phosphate buffer, 0.4% w/v L-malic acid, 0.1% w/v (NH₄)₂SO₄,
- 580 0.020% w/v MgSO₄ 7H₂O₂, 0.0075% w/v CaCl₂ 2H₂O₂, 0.0012% w/v FeSO₄ 7H₂O₂,
- 0.0020% w/v Na₂EDTA, 0.0001% w/v thiamine hydrochloride. Plus 1 ml of trace element
- solution 0.07% w/v H₃BO₃, 0.040% w/v MnSO₄ H₂O, 0.019% w/v Na₂MoO₄ 2H₂O,
- 583 0.006% w/v ZnSO₄ 7H₂O, 0.001% w/v Cu(NO₃) 3H₂O. The pH was adjusted to 6.8 with
- NaOH). For agar plates, 1.5% w/v agar was added to the above broth recipes. The *E. coli*
- 585 S17-1 strain (DSM 9079), which contains chromosomally integrated *tra* genes, was used
- as a donor for all conjugations. NEB 10-beta Competent *E. coli* cells (New England
- 587 Biolabs) were used for standard cloning and plasmid maintenance; T7 Express

Competent *E. coli* cells (New England Biolabs) were used for overexpression of proteins

for purification.

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METHOD DETAILS

Plasmid Construction

Cloning and Site Directed Mutagenesis

593 A full list of all plasmids and oligonucleotides used in the study can be found in Tables S3 and S4. All oligonucleotides were obtained from Integrated DNA Technologies (IDT) and 594 designed with an optimal annealing temperature of 60°C when used with Q5 DNA 595 Polymerase (New England Biolabs). Plasmid DNA was purified using the Monarch 596 Plasmid Miniprep Kit (New England Biolabs). The destination plasmids pCM66T, pKT25. 597 pUT18 and pUT18C were linearized by digestion with BamHI restriction enzyme (New 598 England Biolabs), pETFPP_1 & 2 was linearized by PCR using inverse primers CleF and 599 CleR. Inserts were amplified using primers with 15 bp 5' overhangs that have 600 complementary sequence to the plasmid DNA with which it was to be recombined. All 601 cloning reactions were carried out with the NEBuilder Cloning Kit (New England Biolabs). 602 Site-directed mutagenesis was achieved by inverse PCR using Q5 DNA polymerase 603 overlapping primers (offset by 8 to 10 bp) containing the desired mutation in the centre of 604 the overlap region. Amplified DNA was cleaned using the Monarch PCR & DNA Cleanup 605 Kit (New England Biolabs), then digested with DpnI restriction endonuclease (New 606 England Biolabs) overnight at 37°C and introduced without further treatment into 607 chemically competent *E. coli* by transformation. 608

Transformation and conjugation

Plasmids were introduced into E. coli by standard heat shock transformation (Maniatis et 610 al., 1982), and into Rhodobacter by conjugation. One millilitre aliquots of overnight 611 cultures of the E. coli S17-1 donor and Rhodobacter recipient strains were centrifuged at 612 5,000 x g for 1 min, washed with 1 ml YPS broth, centrifuged again and resuspended in 613 100 µl YPS broth. 10 µl of concentrated donor and recipient cells were mixed and spotted 614 onto YPS agar or spotted individually as negative controls. Plates were incubated o/n at 615 30°C. Spots were scrapped, suspended in 100 µl YPS broth and plated on YPS + 100 616 μg/ml rifampicin (counter-selection against *E. coli*) + 10 μg/ml kanamycin or 1 μg/ml 617 tetracycline (plasmid selection). Plates were incubated o/n at 30°C then restreaked onto 618 fresh selective agar to obtain pure single colonies. 619

Gene Knockouts

Knockouts were created by RcGTA transfer. pCM66T plasmid constructs were created with a gentamicin resistance cassette flanked by 500-1000 bp of DNA from either side of the target gene. Assembly was achieved by a one-step, four component NEBuilder (NEB) reaction and transformation into NEB 10-beta cells. Deletion constructs were introduced into the RcGTA hyperproducer strain and a standard GTA bio-assay (see below) was carried out to replace the intact chromosomal gene with the deleted version.

Rhodobacter Gene Transfer Assays

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Rhodobacter assays were carried out essentially as described in (Leung and Beatty, 628 629 2013). RcGTA donor cultures were grown photosynthetically (anoxic) with illumination in YPS for ~72 h and recipient cultures were grown under chemotrophic conditions in RCV 630 for ~24 h. Cells were cleared from donor cultures by centrifugation and the supernatant 631 filtered through a 0.45 µm syringe filter. Recipient cells were concentrated 3-fold by 632 centrifugation at 5,000 x g and resuspension in 1/3 volume of G-Buffer (10 mM Tris-HCl 633 pH 7.8, 1 mM MgCl₂, 1 mM CaCl₂, 1 mM NaCl, 0.5 mg/ml BSA). Reactions were carried 634 out in polystyrene culture tubes (Starlab) containing 400 µl G-Buffer, 100 µl recipient cells 635 and 100 µl filter donor supernatant, then incubated at 30°C for 1 h. 900 µl YPS was added 636 to each tube and incubated for a further 3 h. Cells were harvested by centrifugation at 637 5,000 x g and plated on YPS + 100 μg/ml rifampicin (for standard GTA assays) or 3 μg/ml 638 gentamicin (for gene knock-outs). 639

Nucleic Acid Purification

One millilitre samples of relevant bacterial cultures were taken for each nucleic acid purification replicate. Total DNA was purified according to the "Purification of Nucleic Acids by Extraction with Phenol:Chloroform" protocol (Maniatis et al., 1982). Cells were resuspended in 567 µl TE then 30 µl 10% (w/v) SDS and 3 µl 20 µg/ml Proteinase K were added. Cells were incubated at 37°C for 1 h. To each tube, 100 µl of 5 M NaCl was added and thoroughly mixed by inversion. Eighty microlitres of 1% (w/v) CTAB was added, mixed thoroughly by inversion and the cells were incubated at 65°C for 10 minutes. An equal volume of Phenol:chloroform:isoamyl alcohol (25:24:1, pH 8) was added and mixed vigorously. The tubes were centrifuged at 15,000 x g for 10 min. The upper aqueous layer was removed to a fresh tube and the Phenol:chloroform:isoamyl alcohol treatment was repeated at least two times or until the white interphase was no longer visible. An equal volume of chloroform was added and mixed vigorously. The tubes were centrifuged at 15,000 x g for 2 min. The upper aqueous layer was transferred to a fresh tube and DNA was precipitated by addition of 0.6 volumes of ice-cold isopropanol. Precipitation was allowed to proceed at -20°C for 1 h. DNA was harvested by centrifugation at 15,000 x g for 15 min, and the supernatant was discarded. The pellet was washed with 70% ethanol, centrifuged at 15,000 x g for 15 min and the supernatant was discarded. The pellet was

allowed to air dry for ~15 min then resuspended in TE buffer. Total RNA was purified using the NucleoSpin RNA Kit (Macherey-Nagel) and DNAsel treated on column according to the recommended protocol. RNA was quantified using a Nanodrop spectrophotometer. 1 μg of total RNA was converted to cDNA using the LunaScript RT SuperMix Kit (NEB).

Quantitative Reverse Transcriptase PCR

One in fifty dilutions of the cDNA template were prepared and 1 µl used per reaction. Reactions contained Fast Sybr Green Mastermix (Applied Biosystems), cDNA and primers (500 nM). Standard conditions were used with an annealing temperature of 60°C. All primer efficiencies were calculated as between 90 and 110%. Relative gene expression was determined using the $\Delta\Delta$ Ct method (Livak and Schmittgen, 2001). For each sample, variance was calculated for three independent biological replicates, which were each the mean of three technical replicates. QuantStudio 3 Real-Time PCR System was used for all experiments (Applied Biosystems).

GafA Overexpression in Rhodobacter

Gene overexpression in Rhodobacter was achieved by a transcriptional fusion of the genes of interest to a cumate inducible promoter in the plasmid pQF (Kaczmarczyk et al., 2013) or to the *R. capsulatus puf* photosynthesis promoter in pCM66T (Fogg, 2019; Fogg et al., 2012). For overexpression experiments using the puf promoter, donor cultures were first grown chemotrophically in the presence of oxygen to stationary phase then diluted 1:1 in fresh media and switched to anoxic photosynthetic growth for 6 h. pQF was a gift from Julia Vorholt (Addgene plasmid #48095). Overexpression was induced by addition of cumate to late log growth phase cultures at a final concentration of 50 µM.

Bacterial-two-hybrid (B2H) assays

The procedure and the resources were as described in (Karimova *et al.*, 1998). Plasmids encoding T18 (pUT18C and derivatives) and the compatible plasmids encoding T25 (pKT25 and derivatives) were introduced pairwise into competent BTH101 by cotransformation. Selection was using LB agar containing 50 μg/ml kanamycin, 100 μg/ml ampicillin, 1 mM IPTG and 80 μg/ml X-Gal, and plates were incubated at 30°C for 48 h to allow blue colour to develop. Colonies obtained from the B2H plate assay were used to inoculate 5 ml of LB supplemented with 50 μg/ml kanamycin, 100 μg/ml ampicillin and 1 mM IPTG in a 96-well plate. Plates were incubated for 16 h at 30°C with agitation. Absorbance (OD₆₀₀) readings of culture density were taken. Meanwhile, 80 μl aliquots of permeabilization solution (100 mM Na₂HPO₄, 20 mM KCl, 2 mM MgSO₄, 0.5 mg/ml lysozyme) were mixed with 20 μl of each bacterial culture, then incubated at room temperature for 30 min. Six hundred microliters of substrate solution (60 mM Na₂HPO₄,

40 mM NaH₂PO₄, 1 mg/ml ONPG) was added and the mixture was incubated at room temperature. Once sufficient colour had developed, stop solution (1 M sodium carbonate) was added and the precise time noted. Cell debris was removed by centrifugation and absorbance (OD₄₂₀) readings were taken. Miller units were calculated according to the formula MU=1,000(Abs420/(Abs600*0.02 ml*time in min)).

Protein Purification

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For His6-tagged proteins, 500 ml cultures of *E. coli* containing the relevant expression plasmid were induced at mid-exponential growth phase with 0.2 mM IPTG overnight at 20°C (Fogg and Wilkinson, 2008). Concentrated cells were lysed in 20 ml binding buffer (0.5 M NaCl, 75 mM Tris; pH 7.75) plus 0.2 mg ml⁻¹ lysozyme and 500 U Basemuncher Endonuclease (Expedeon Ltd.) for 30 min on ice and then sonicated. Cleared supernatant was applied to a 5 ml HisTrap FF crude column (Cytiva) and the bound, his-tagged protein was eluted with 125 mM imidazole. Eluted protein was desalted on a HiPrep 26/10 desalting column (Cytiva) and then further separated by size exclusion chromatography on a HiLoad 16/60 Superdex 200 preparative grade gel filtration column. All chromatography steps were carried out on an AKTA Prime instrument (Cytiva). Purified proteins were concentrated in a Spin-X UF Centrifugal Concentrator (Corning) and quantified by the nanodrop extinction co-efficient method (Thermo Scientific). Samples were stored at -80 °C in binding buffer plus 50% glycerol. MBP-tagged proteins were purified as above except MBP binding buffer was used (200 mM NaCl, 20 mM Tris, 1 mM EDTA; pH 7.4), the lysate was applied to a 5 ml MBPTrap FF column (Cytiva) and purified protein was eluted with 10 mM maltose in binding buffer.

Analytical Gel Filtration

Protein multimeric states were estimated using a Superdex 200 increase 10/200 GL column (Cytiva). MBP binding buffer was used for all filtration runs. A protein molecular weight standard (1.3–670 kDa, Bio-Rad Laboratories) was run through the column at 0.75 ml/min and the peaks produced were used to construct a standard curve (R²=1, predicted error for 17-670 kDa is <2%). Samples of each protein were sequentially run on the column and molecular weights were estimated from the elution volume and the equation derived from the standard curve.

Electrophoretic motility shift assays (EMSA)

For all 50 bp binding substrates, 50 base Cy5 5'-labelled oligos (IDT) were annealed to unlabelled complimentary oligos (IDT). Both oligos were mixed to a final concentration of 40 µM in annealing buffer (1 M Potassium Acetate, 300 mM HEPES; pH 7.5) and heated to 98°C for 5 min then allowed to cool to room temperature. Ten microliter EMSA mixtures contained 80 nM annealed Cy5-dsDNA, standard binding buffer (25 mM HEPES, 50 mM

K-glutamate, 50 mM MgSO₄, 1 mM dithiothreitol, 0.1 mM EDTA, 0.05% Triton X-100; pH 730 8.0) (Wiethaus et al., 2008), 1 µg poly dl:dC, 4% glycerol and the specified concentrations 731 of purified protein (Wiethaus et al., 2006). 500-fold excess of competitor DNA was added 732 to control mixtures - specific competitor was unlabelled but otherwise identical to the 733 734 binding substrate and the non-specific competitor was an unlabelled 50 bp annealed oligo matching an arbitrary location elsewhere in the R. capsulatus genome. All assays were 735 incubated for 30 min at room temperature then immediately loaded onto a 7 % Acrylamide 736 gel (1 x TBE) without loading dye. Gels were run at 80 V for 90 min at room temperature 737 in 1 x TBE. Fluorescence was imaged using a Typhoon Biomolecular Imager (Amersham) 738 and analysed using ImageQuant (Amersham) and FIJI (Schindelin et al., 2012) software. 739

Protein ligand pull down assays

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One hundred microliters of 2 mg/ml MBP-tagged protein in binding buffer (200 mM NaCl, 20 mM Tris, 1 mM EDTA; pH 7.4) was incubated with 100 µl of amylose magnetic beads (New England Biolabs) at 4°C for 1 hour on a rolling platform. Mock beads were created by an identical method but using 100 ul of binding buffer without protein. Beads were washed 5 times with 500 µl of wash buffer (binding buffer + 0.05% Tween20) and resuspended in a final volume of 100 µl. For pull downs, 25 µl of prepared beads were harvested in a magnetic stand and the supernatant was replaced with either 100 µl of binding buffer alone or binding buffer containing 2 mg/ml H6-RpoZ. The beads were incubated for 2 h at 4°C on a rolling platform, then washed five times with wash buffer. To elute proteins, 50 µl of elution buffer was added (binding buffer + 10 mM maltose). LDS buffer (Abcam) was added to the eluate and heated to 90°C for 10 min. Twenty microliters of each sample were run on a 4-20% TruPAGE denaturing gradient gel (Merck Life Science Ltd) and the bands were visualized using Pierce silver stain for mass spectrometry (Thermo Scientific) or InstantBlue Coomassie stain (Abcam). Five microliters of extra broad molecular weight prestained protein ladder was used for size estimation (Abcam).

Western Blotting

Rhodobacter capsulatus supernatants were concentrated 10-fold using a SpeedVac (Thermo Scientific). Fifteen microliter samples were mixed with 5 µl LDS sample buffer (Abcam). heated to 90°C for 10 min and then run on 4-20% TruPAGE denaturing gradient gel (Merck Life Science Ltd). Proteins were transferred to a PVDF membrane using a Mini-PROTEAN Tetra Cell blotting module (Bio-Rad Laboratories) in 1X transfer buffer (25 mM tris base, 0.2 M glycine, 20% methanol; pH8.5), 100 V for 1 h. The membrane was blocked in 5% (w/v) skimmed milk powder in 1X TBS for 1 h at room temperature. The anti-RcGTA major capsid protein antibody (Agrisera Ltd) was used at 1:1000 dilution in blocking buffer overnight at 4°C, followed by four 10 min washes in TBST. The

secondary HRP-antibody conjugate was used at 1:2500 dilution in blocking buffer for 2 h at room temperature, followed by four 10 min washes in TBST. SuperSignal west femto maximum sensitivity substrate (Thermo Scientific) was used to develop the western and the signal was detected using an iBRIGHT chemi-imager (Thermo Scientific).

Sequence similarity analysis

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NCBI BLASTp and PSI-BLAST searches for GafA homologues were performed using the 772 default parameters - expect threshold=0.05, word size=6 or 3 (respectively), blosum62 773 similarity matrix, gap costs of existence=11 and extension=1. Queries were made against 774 775 the non-redundant protein sequences database (nr; posted:May 5th 2022). Where indicated, taxonomic constraints were applied to limit results to the Hyphomicrobiales 776 (taxid:356), Brucellaceae (taxid:118882) and Agrobacterium (taxid:357). A tBLASTn 777 778 search was made using a GafA homologue from Roseibium sp. RKSG952 as a query and using the default parameters - expect threshold=0.05, word size=6, blosum62 779 similarity matrix, gap costs of existence=11 and extension=1. The nucleotide collection 780 database was used (nr/nt; May 9th 2022 update). A summary of the full outputs can be 781 found in Table S1. 782

HHPRED analysis of GafA was carried out using the "pdb mmcif70 14 Apr" and 783 "NCBI Conserved Domains(CD) v3.18" databases accessed on the 8th May 2022 784 (Gabler et al., 2020; Zimmermann et al., 2018). Full length GafA protein sequence and 785 two shorter sequences, focused on the two predicted DNA binding domains, were used 786 as queries. The default parameters were used in each case i.e. HHBlits UniRef30 MSA 787 generation method, maximal generation steps = 3 and an E-value threshold of 1e-3. 788 Minimum coverage was 20%, minimum sequence identity was 0%. Secondary structure 789 scoring was done during alignment. A summary of the full outputs can be found in Table 790 S2. 791

Protein structure and function prediction

Three-dimensional structures for the *R. capsulatus* GafA and Rpo-ω proteins were predicted using the Alphafold co-lab server using the msa_method:jackhammer and all other parameters set to default (Jumper *et al.*, 2021). GafA predictions were made on 30th Sept 2021 and RpoZ predictions were made on 3rd February 2022. Protein structures were visualized using the UCSF ChimeraX version 1.1 (Goddard *et al.*, 2018). Protein:protein interaction predictions were produced using the LZerD protein docking algorithm on the LZerD web server using default parameters (Christoffer *et al.*, 2021). Helix-turn-helix predictions were carried out using NPS@ (Combet *et al.*, 2000; Dodd and Egan, 1990) and Gym2.0 (Narasimhan *et al.*, 2002) using the default settings. Promoter -10/-35 elements were predicted with BPROM (Solovyev and Salamov, 2011). Clustal-ω (Sievers *et al.*, 2011) was used for DNA/protein alignments and Jalview version: 2.11.2.2

(Waterhouse *et al.*, 2009) was used to visualize these alignments; relevant similarity/identity colour schemes are indicated in the figure legends.

QUANTIFICATION AND STATISTICAL ANALYSIS

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CorelDraw 2018 (Corel Corporation) was used for figure preparation Statistical analysis was carried out using Sigmaplot software version 13 (Systat Software Inc.) and, for each use, the test parameters are indicated in the figure legends and, where appropriate, in the main text. All graphs present the means as a bar chart and the individual data points are overlaid as discrete dots. All N values quoted refer to distinct biological replicates.

812 SUPPLEMENTAL ITEM TITLES AND LEGENDS

- Table S1. Full BLAST search results for S1A R. capsulatus SB1003 GafA full length
- protein query (BLASTp), **S1B** *R. capsulatus* SB1003 GafA full length protein query
- (BLASTp; hits limited to Hyphomicroberales), **S1C** *R. capsulatus* SB1003 GafA full
- length protein query (PSI-BLAST 2 iterations; hits limited to Hyphomicroberales), **S1D**
- Roseibium sp. RKSG952 GafA full length protein query (tBLASTn), **S1E** R. capsulatus
- SB1003 GafA full length protein query (BLASTp; hits limited to Agrobacteria), and S1F
- 819 R. capsulatus SB1003 GafA full length protein query (BLASTp; hits limited to
- 820 Brucellaceae). Related to Figure 3 and STAR methods.
- Table S2. Full HHPRED search results for S2A full length R. capsulatus SB1003 RpoZ
- query, **S2B** full length *R. capsulatus* SB1003 GafA query, **S2C** *R. capsulatus* SB1003
- GafA N-terminal DNA-binding domain query, and S2D R. capsulatus SB1003 GafA C-
- terminal DNA-binding domain query. Related to Figure 3 and STAR methods.
- **Table S3.** A complete list of all plasmids used in this study. Related to STAR methods.
- Table S4. A complete list of all oligonucleotides used in this study. Related to STAR
- methods.
- Data S1. Uncropped western blots, SDS PAGE and agarose gel images. Related to
- 829 Figures 1, 2, 3 and S5.

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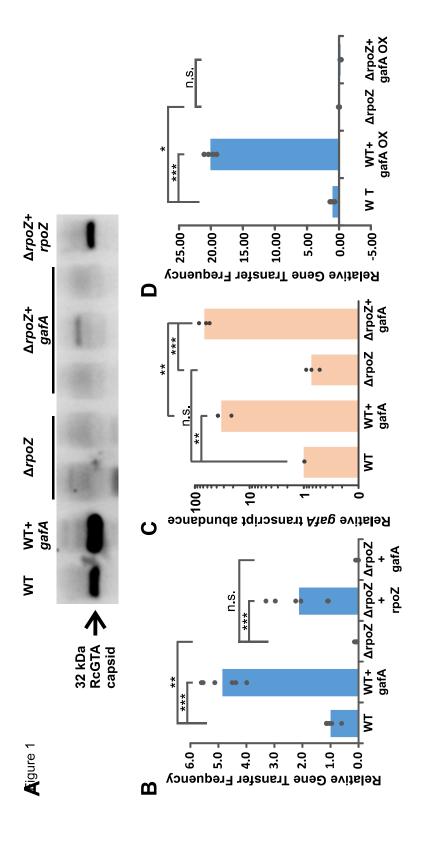
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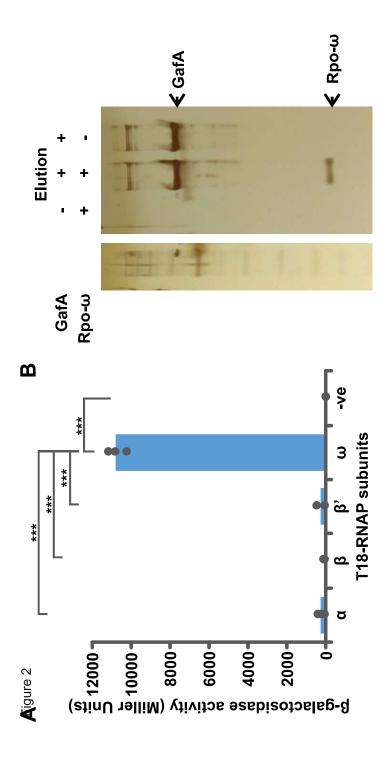
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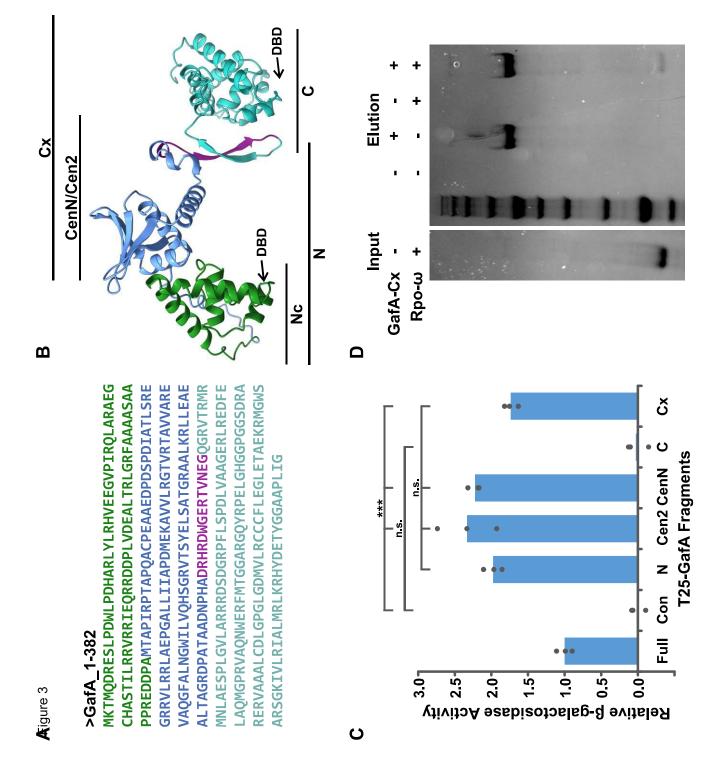
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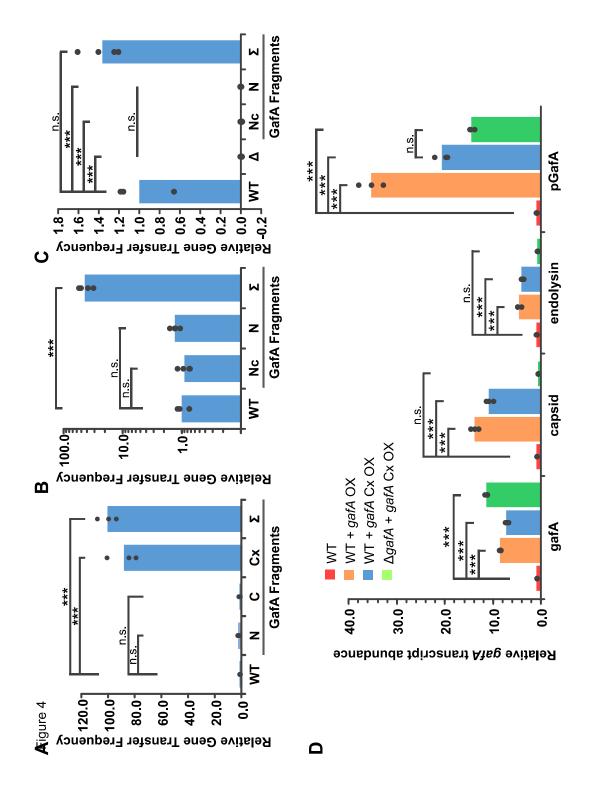
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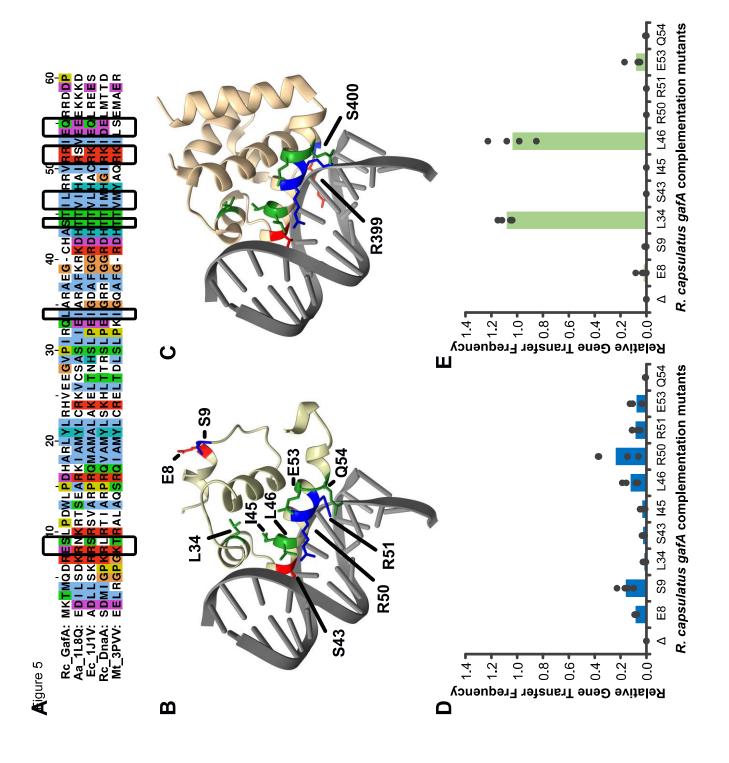
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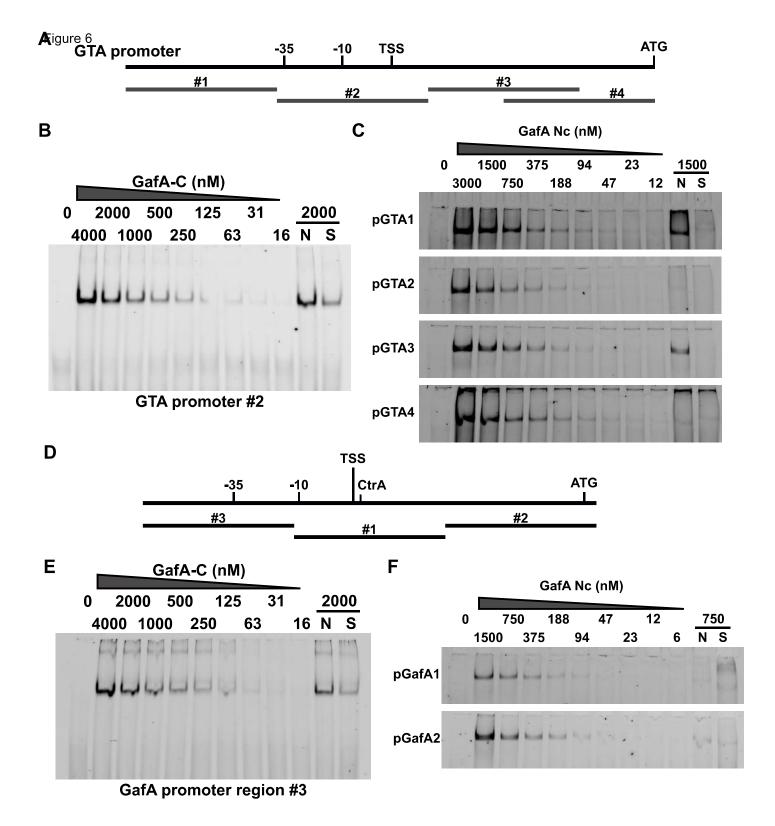


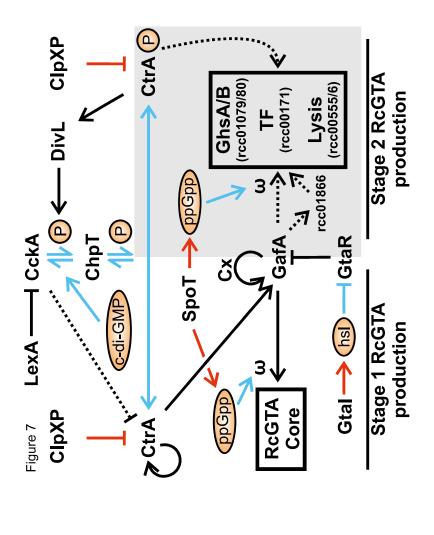












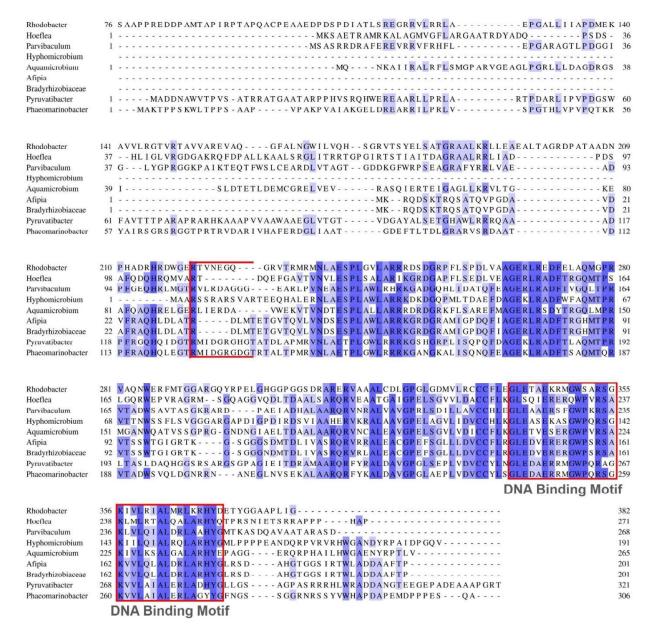


Figure S1. Alignment of the *R. capsulatus* GafA C-terminal extended domain with Hyphomicrobiales counterparts. Related to Figure 3. The top four hits against fully assembled Hyphomicrobiales genomes were chosen from separate BLASTp and PSI-BLAST sequence similarity searches with an *R. capsulatus* GafA query. Conservation is indicated with the Jalview percentage identity colour scheme. The predicted C-terminal DNA binding domain is boxed and annotated to highlight increased sequence conservation. The open box indicated the beginning of the C-terminal concise constructs.

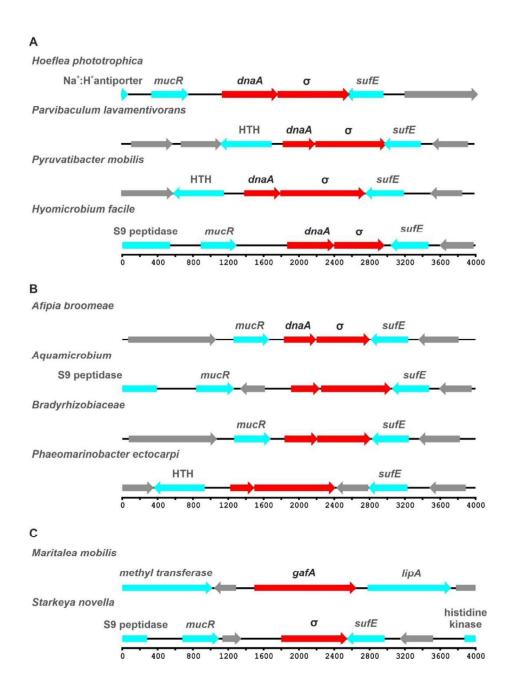


Figure S2. Synteny plots for Hyphomicrobiales GafA homologues. Related to Figure 3. The top four **A.** BLASTp and **B.** PSI-BLAST hits for *R. capsulatus* GafA against fully assembled Hyphomicrobiales genomes. Sequence matches mainly occurred for the GafA C-terminal region only with genes annotated as DUF6456 domain-containing proteins. The matched Hyphomicrobiales genes are annotated here using the HHPRED prediction of a Sigma factor-like domain (σ) and the ORF is coloured red. The upstream dnaA-like ORF is also coloured red. Flanking genes with predicted function are cyan, hypothetical proteins of unknown function are grey. **C.** Two exceptions are shown where either a full-length match was obtained but with Rhodoabcterales-like synteny (*Maritalea*) or the *dnaA* gene was absent with otherwise Hyphomicrobiale-like synteny (*Starkeya*). Scale bars are provided below each panel in bases.

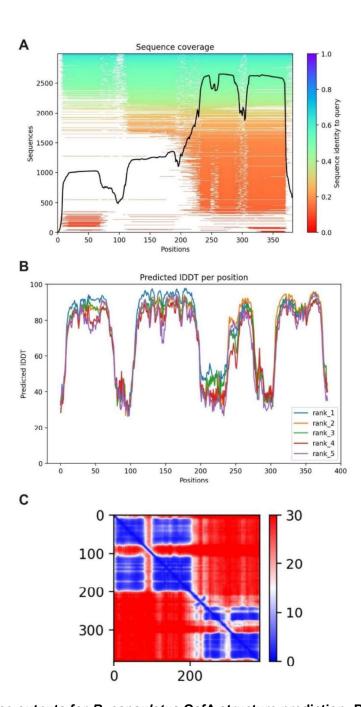


Figure S3. Confidence outputs for *R. capsulatus* GafA structure prediction. Related to Figure 3. A. The jackhmmer method was used on the Alphafold server to align GafA to related proteins and the multiple sequence alignment coverage plot is shown. Aligned sequence coverage is depicted as a line chart and

sequence identity is colour coded as shown in the legend. **B.** AlphaFold output plot showing the predicted local Distance Difference Test score (pIDDT) confidence metric. Amino acid positions are shown on the X-axis. **C.** Predicted Aligned Error for each amino acid position labelled on the X and Y-axes. Error is shown on a scale of 0-30, and colour coded as shown in the legend. Clear drop-offs in model confidence can be seen between predicted domains, but each domain is has strong scores typically >80.

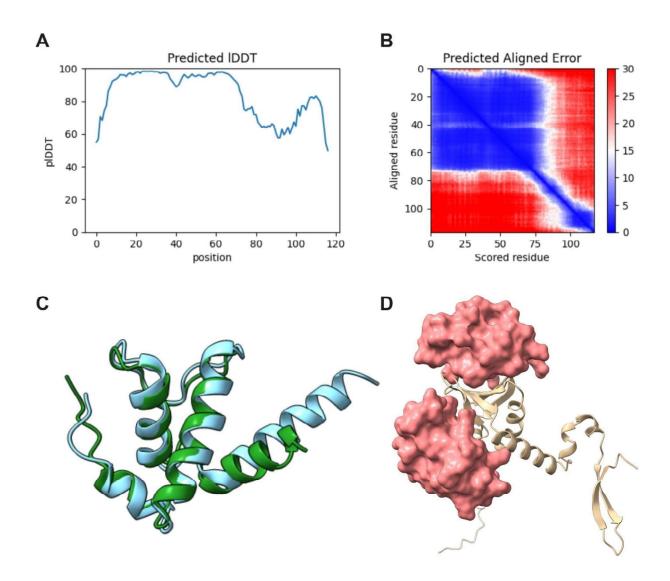


Figure S4. Predicted structure of *R. capsulatus* **Rpo-** ω **protein and its interaction with GafA. Related to Figure 3. A & B.** AlphaFold output plots showing the predicted local Distance Difference Test score (pIDDT) confidence metric and Predicted Aligned Error for each amino acid position. A clear drop-off in model confidence, domain packing and broader topology is observed from approximately residue 70 onwards. **C.** AlphaFold predicted *R. capsulatus* Rpo- ω structure trimmed to residues 1-71 (green) and overlaid with *E. coli* Rpo- ω , PDB: 6ALF (pale blue). **D.** LZerD protein docking predictions for GafA-CenN and Rpo- ω ¹⁻⁷¹. The two Rpo- ω surface structures shown are representatives of the two centroid clusters that comprise the top ten interaction models. The upper location in contact with the β-sheet was favoured by 6 out of 10 models including the top ranked (rank sum = 47).

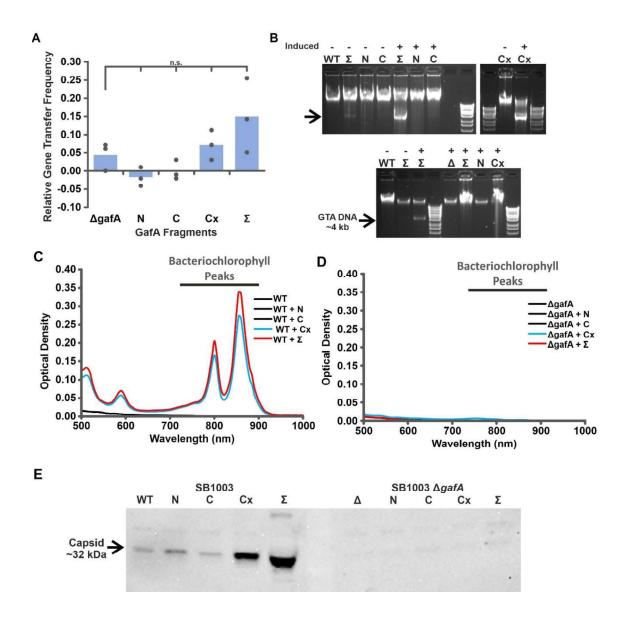
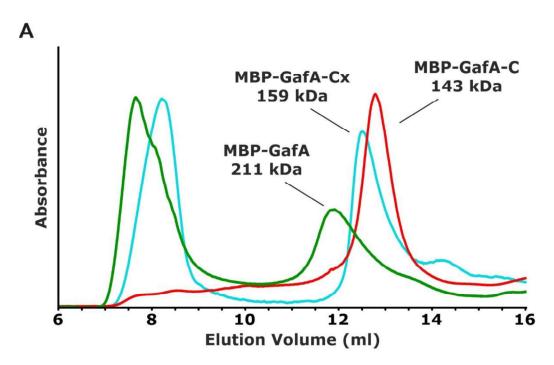


Figure S5. RcGTA production phenotypes after *in trans* expression of GafA full length and truncated proteins. Related to Figure 4. In all panels, SB1003 wild-type and a $\Delta gafA$ derivative were complemented with empty pQF vector (WT or Δ) or pQF containing truncated gafA genes as indicated (gafA-N, gafA-C, gafA-Cx, gafA- Δ). **A.** Chart of the frequency of rifampicin gene transfer from R. capsulatus SB1003 $\Delta gafA$ donor strains complemented *in trans* with the indicated pQF vectors, N = 3. **B.** Total intracellular DNA content showing the presence or absence of characteristic 4 kb RcGTA DNA. **C.** Mean absorbance trace of R. capsulatus SB1003 supernatant or **D.** SB1003 $\Delta gafA$ supernatants in the 500-1000 nm wavelength range. Complementation *in trans* the pQF plasmid containing full-length gafA is represented by a red line, with gafA-Cx is represented by a cyan line and all other constructs (pQF-empty, gafA-N and gafA-C) are shown in black. N=6 except $\Delta gafA$ + Cx N=4. Distinctive bacteriochlorophyll peaks indicating cells lysis are annotated. **E.** Representative western blot of concentrated supernatant from the indicated R. capsulatus strains using an α -RcGTA capsid antibody. See also Data S1.



В					
	Protein	Elution Peak (ml)	Estimated MW (kDa)	Monomer Size (kDa)	Ratio
	MBP-GafA-Cx	12.54	158,779	75,806	2.1
	MBP-GafA-C	12.78	142,537	61,119	2.3
	MBP-GafA	11.91	210,774	85,159	2.5

Figure S6. Analytical gel filtration of GafA proteins. Related to Figure 6. A. Representative traces showing absorbance of GafA (green), GafA-Cx (cyan) and GafA-C (red) at 280 nm versus elution time from the column. Absorbance values are omitted on the Y-axis because the traces are scaled differently to improve comparability. **B.** Summary table of values plotted in part A, the estimated MW of the protein peaks, the calculated MW of each monomer and the ratio of observed MW to that of the monomer.

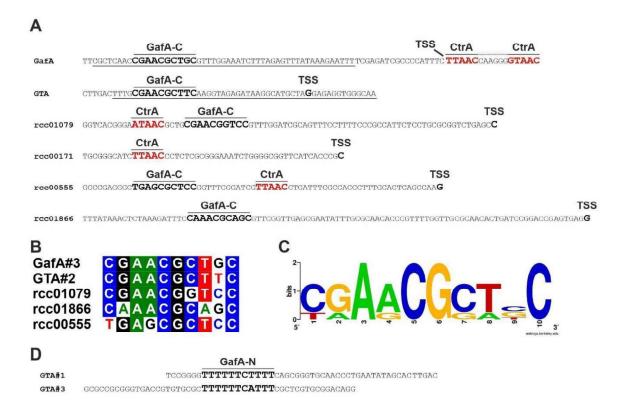


Figure S7. Predicted binding sites for GafA N/C-terminal DNA binding domains. Related to Figure 6.

A. Schematic of RcGTA related promoters. Transcription start sites (TSS) were estimated based on published RNAseq data. Predicted CtrA binding sites/half-sites are highlighted in bold red and annotated, predicted GafA C-terminal (GafA-C) DNA binding sites are highlighted in bold black and annotated. Underlined sequence indicates the region used for EMSA band shift assays. The five predicted GafA-C binding sites are depicted in **B.** an alignment and **C.** a Logo plot. **D.** The two oligo sequences that were specifically bound by the GafA N-terminal DNA binding domain (GafA-N) are shown with the putative binding site aligned, emboldened and annotated.