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1 **A systematic review on the potential value of synovial fluid biomarkers to**  
2 **predict clinical outcomes in cartilage repair and regeneration treatments**

3

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20

## 21 Abstract

22

## 23 Objective

24 Multiple biochemical biomarkers have been previously investigated for the diagnosis,  
25 prognosis and response to treatment of articular cartilage damage, including  
26 osteoarthritis (OA). Synovial fluid (SF) biomarker measurement is a potential method  
27 to predict treatment response and effectiveness. However, the significance of  
28 different biomarkers and their correlation to clinical outcomes remains unclear. This  
29 systematic review evaluated current SF biomarkers used in investigation of cartilage  
30 degeneration or regeneration in the knee joint and correlated these biomarkers with  
31 clinical outcomes following cartilage repair or regeneration interventions.

32

## 33 Design

34 PubMed, Institute of Science Index, Scopus, Cochrane Central Register of  
35 Controlled Trials, and Embase databases were searched. Studies evaluating SF  
36 biomarkers and clinical outcomes following cartilage repair intervention were  
37 included. Two researchers independently performed data extraction and QUADAS-2  
38 analysis. Biomarker inclusion, change following intervention and correlation with  
39 clinical outcome was compared.

40

## 41 Results

42 9 studies were included. Study heterogeneity precluded meta-analysis. There was  
43 significant variation in sampling and analysis. 33 biomarkers were evaluated in  
44 addition to microRNA and catabolic/anabolic ratios. Five studies reported on  
45 correlation of biomarkers with six biomarkers significantly correlated with clinical

46 outcomes following intervention. However, correlation was only demonstrated in  
47 isolated studies.

48

## 49 [Conclusions](#)

50 This review demonstrates significant difficulties in drawing conclusions regarding the  
51 importance of SF biomarkers based on the available literature. Improved  
52 standardisation for collection and analysis of SF samples is required. Future  
53 publications should also focus on clinical outcome scores and seek to correlate  
54 biomarkers with progression to further understand the significance of identified  
55 markers in a clinical context.

56

57 Registration number: PROSPERO CRD42022304298

58 Study protocol available on PROSPERO website

59

60 Keywords: Synovial fluid, biomarkers, cartilage repair, regeneration

61

62 Running headline: Biomarkers following cartilage repair

63

64 No conflicts of interest declared

65

66

## 67 [Background](#)

68

69 Damage to the articular cartilage of the knee is a common and challenging issue  
70 causing significant pain, functional deterioration and reduced quality of life. Cartilage  
71 tissue is avascular and therefore has limited intrinsic healing potential with no  
72 endogenous repair mechanism.<sup>1</sup> Cartilage defects have a variable natural history  
73 and can expand both in width and depth, often to involve subchondral bone.<sup>2</sup> Over  
74 time such lesions typically progress to symptomatic osteoarthritis (OA), with  
75 increasing loss of cartilage, synovial inflammation and changes to adjacent bone.<sup>3</sup>  
76

77 Ultimately, many patients with advanced OA require knee replacement surgery for  
78 symptom relief. However, a variety of techniques are employed at an earlier stage, in  
79 an attempt to repair or regenerate damaged cartilage, with the aim of slowing  
80 disease progression. The type of intervention employed is determined by patient age  
81 and activity levels, as well as location, size and depth of the lesion.<sup>4</sup> Various  
82 nonsurgical treatment options are available. Visco-supplementation with intra-  
83 articular hyaluronic acid is proposed to slow progression by enhancing proteoglycan  
84 synthesis.<sup>5,6</sup> Platelet-rich plasma (PRP) has been shown to enhance chondrocyte  
85 proliferation in vitro and slow progression of OA in animal models. Clinical results are  
86 however inconsistent for both of these, with recent human studies unable to  
87 demonstrate any clinical advantage to their use.<sup>7,8 9 10,11</sup>

88

89 For small focal cartilage defects at the knee, marrow stimulating techniques including  
90 microfracture, abrasion chondroplasty and osteochondral drilling have been utilised.  
91 These are proposed to stimulate healing by introducing bone marrow cells into the  
92 lesion, including multipotent mesenchymal stem cells (MSCs), derived from the  
93 marrow and synovial fluid (SF), which may remodel fibrin clot into fibrocartilage.<sup>12,13</sup>

94 Other techniques include replacement of the cartilage defect with autologous  
95 cartilage from a lower weight bearing area (osteochondral autograft transfer)<sup>14</sup> or use  
96 of an autograft transplantation of chondrocytes to the defect under a patch  
97 (autologous chondrocyte implantation (ACI))<sup>15</sup> or embedded within a matrix (matrix-  
98 associated autologous chondrocyte implantation (MACI)).<sup>16</sup> Once lesions have  
99 progressed further to OA the outcomes from these techniques become less  
100 predictable.<sup>17</sup> Other surgical options that attempt to slow disease progression and  
101 relieve symptoms include high tibial osteotomy (HTO), and knee joint distraction  
102 (KJD). HTO attempts to correct mechanical alignment and offload the affected  
103 compartment.<sup>18</sup> Knee joint distraction is a newer technique, which temporarily  
104 offloads the joint using an external fixator, and is proposed to simulate cartilage  
105 healing.<sup>19</sup>

106  
107 The effectiveness of different procedures used to treat cartilage damage at the knee  
108 can be assessed using clinical, radiological and biomarker outcome measures.<sup>20</sup>  
109 There are many different clinical scoring systems used for this purpose.<sup>20</sup> The  
110 Western Ontario and McMaster Universities Arthritis Index (WOMAC) is widely used  
111 in both hip and knee OA, and covers pain, stiffness and physical function.<sup>21</sup> The  
112 Knee injury and Osteoarthritis Outcome Score (KOOS) is a knee specific extension  
113 of the WOMAC tool, first published in 1998 and is also commonly used.<sup>22,23</sup> Pain  
114 visual analogue scales (VAS) are often used for many conditions to quantify pain  
115 levels and are not specific to the knee or OA.<sup>24</sup> The Larson knee score is an older  
116 score and less commonly used.<sup>25</sup> Various other scores are used with often  
117 subjective assessments of pain and function however scores with tested validity and  
118 reliability are preferred.<sup>20</sup>

119

120 Whilst clinical measures are well known and widely employed, biomarker outcomes  
121 are less well recognised in clinical practice, and none currently form part of the  
122 diagnostic criteria for OA. In healthy cartilage, the extracellular matrix is slowly  
123 turned over and therefore exists in a state of equilibrium between catabolism and  
124 anabolism.<sup>26</sup> In cartilage damage and OA, chondrocyte death leads to the release of  
125 damage-associated molecular patterns (DAMPs) and inflammatory cytokines with  
126 catabolic activation.<sup>27,28</sup> Following cartilage damage markers can remain elevated for  
127 years prior to the development of post traumatic OA.<sup>29</sup> Cartilage breakdown  
128 products, pro-inflammatory cytokines and proteolytic enzymes have been observed  
129 in serum, plasma, urine and synovial fluid (SF) of patients with knee OA.<sup>30 31</sup> These  
130 proteins are therefore recognised as potential biomarkers of cartilage breakdown  
131 and catabolism.<sup>32</sup> Measurement in the SF is preferable as it offers the potential for  
132 early diagnosis or evaluation of treatment response, as it is the initial location of  
133 relevant molecular alterations, markers found in SF may be undetectable in  
134 serum.<sup>29,33,34</sup> These biomarkers are also increasingly recognised as potentially  
135 important to the development of pharmacological agents to treat OA.<sup>35</sup> However,  
136 their role in the evaluation of cartilage repair or regeneration interventions and their  
137 correlation to clinical outcomes remains unclear. Understanding the relationship  
138 between biomarkers and clinical outcomes is integral in order to understand  
139 biomarker clinical relevance and importance as an outcome measure.

140

141 This systematic review was undertaken to determine current knowledge regarding  
142 the use of early biomarker measurement to indicate the effectiveness of articular  
143 cartilage regeneration treatments in the knee.





## 145 Methods

146

### 147 Search Terms and Search Strategy

148 This review was performed in accordance with published guidance<sup>36</sup> and reported  
149 according to the Preferred Reporting Items for Systematic Reviews and Meta-  
150 Analysis (PRISMA) statement.<sup>37</sup> Electronic searches were performed using  
151 keywords including “knee”, “cartilage”, “regeneration”, “restoration”, “synovial fluid” of  
152 citation databases PubMed, Institute of Science Index, Scopus, Cochrane Central  
153 Register of Controlled Trials, and Embase. The full search strategy for the OVID  
154 search is included in the Supplemental material. A filter for human subjects was  
155 applied. A manual search was then performed utilising references and citations of  
156 previous systematic reviews and included trials. Two researchers independently  
157 performed searches and screened studies according to the inclusion and exclusion  
158 criteria.

159

### 160 Inclusion and Exclusion Criteria

161 All studies in human subjects undergoing articular cartilage regeneration  
162 interventions which had both SF biomarkers and clinical outcomes as an outcome  
163 measure of cartilage defects of the knee were included. There were no restrictions  
164 regarding patient age, sex or race or the date, or country of publication. Non-English  
165 language and animal studies were excluded.

166

### 167 Data Extraction

168 Two researchers independently reviewed the full text of all included papers and  
169 extracted relevant data. To prevent inclusion of duplicate data, papers from the same

170 research group were verified using year and place of recruitment with the largest  
171 data set chosen. Data extracted included patient age and sex, length of follow up,  
172 duration of symptoms, articular cartilage defect characteristics, intervention  
173 technique, lesion size, frequency of postoperative complications, all reported SF  
174 biomarker levels (including qualitative chemokine/cytokine profiles and quantitative  
175 levels), details of synovial fluid processing, and all reported pre- and postoperative  
176 clinical outcome scores (including Lysholm score, visual analogue scale-pain,  
177 Western Ontario and McMaster Universities Osteoarthritis Index and Knee Injury and  
178 Osteoarthritis Outcome Score). All treatments were included if they are currently  
179 licensed for use in the United Kingdom.

180

### 181 [Appraisal of Bias](#)

182 The quality of studies was evaluated in accordance with the QUADAS-2 tool. A  
183 review of the evidence in accordance with each item in the tool was prepared by two  
184 researchers with a discussion of specific issues and uncertainties. The level of  
185 evidence was determined independently by two researchers. Any discrepancies  
186 were discussed to reach a final decision.

187

### 188 [Statistical analysis](#)

189 All data extracted was entered into an electronic database (GraphPad Prism). The  
190 following were compared across different studies: (i) biomarkers included, (ii)  
191 biomarker change following intervention, (iii) biomarker change compared to control,  
192 (iv) biomarker correlation with clinical outcome. Meta-analysis was not undertaken  
193 due to clinical heterogeneity of participants, interventions and outcomes.

194

195 **Results**

196

197 **Study characteristics**

198 The PRISMA flowchart outlining study selection is shown in Figure 1. Initial searches  
199 identified 2715 studies, of which 1427 remained following removal of duplicates. All  
200 but 54 of these studies were excluded at the stage of abstract review, of these, a  
201 further 45 were excluded on reviewing the full manuscript. The reasons for exclusion  
202 at each stage are detailed in Figure 1.

203

204 Suggested position for Figure 1

205

206 Nine studies met the inclusion criteria and formed the study data, the characteristics  
207 of these are outlined in Table 1. These included studies examining four different  
208 cartilage regeneration or repair interventions, ACI (1 study), HA (5 studies), HTO (2  
209 studies) and KJD (1 study). All reported results in adults only.

210

211 Suggested position for Table 1

212

213 The results of study quality and risk of bias as assessed by Quality Assessment of  
214 Diagnostic Accuracy Score 2 (QUADAS-2) questions are outlined in Figure 2. The  
215 study quality was variable, all studies were prospective with four out of the nine  
216 studies using a consecutive or randomised controlled design for selection of patients.

217

218 Four of the studies compared two different interventions or one intervention with a  
219 control group. Cole et al.<sup>38</sup> compared HA with PRP injections with a randomised

220 study design and identically timed sampling. Groups were matched across age, sex,  
221 Kellgren-Lawrence grade and laterality although there was a significant difference in  
222 BMI between the HA group (29.0 +/- 6.4 kg/m<sup>2</sup>) and PRP group (27.4 +/- 3.9 kg/m<sup>2</sup>).  
223 Creamer et al.<sup>39</sup> used a comparator group of saline placebo injection which was  
224 injected into the other knee of their patients. The knee to receive HA or placebo was  
225 randomised and sampling was taken at the same time points. Shimizu et al.<sup>40</sup>  
226 randomised patients to receive HA or CS intra-articular injection. Sampling was  
227 taken at equivalent time points. There was no significant difference in age, sex and  
228 BMI between groups. Ozcamdalli et al.<sup>41</sup> compared intra-articular N-acetyl cysteine  
229 and HA. Patients were randomly allocated to treatment and sampling was identical.  
230 Groups were matched on age, sex and BMI. Watt et al.<sup>42</sup> used comparator SF  
231 samples with 'normal' samples previously collected from patients undergoing  
232 amputation for treatment of lower limb tumour and osteoarthritis samples from  
233 research tissue bank samples. The comparator was used to establish 'normal', 'low'  
234 and 'high' levels of biomarkers in SF samples only and other outcomes were not  
235 measured in these patients. Groups were not matched.

236

237 Suggested position for Figure 2

238

### 239 [Synovial fluid processing and biomarker analysis methods](#)

240 Methods of SF acquisition and analysis varied between studies (Table 2). Cole et al.  
241 utilised ultrasound for SF aspiration,<sup>38</sup> other studies did not mention use of imaging  
242 in sample acquisition. Schneider et al. injected 50mL of isotonic 0.9% saline into the  
243 joint prior to aspiration,<sup>43</sup> other studies did not mention the use of fluid injection in  
244 sample acquisition.

245

246 All studies used commercially available kits for biomarker analysis. Study  
247 methodology is outlined in Table 2.

248

249 Suggested position for Table 2

250

251 The studies included tested for a wide range of both catabolic and anabolic  
252 biomarkers, as shown in Figure 3. Thirty-three biomarkers were examined using  
253 traditional methods. The study utilising MiRNA-PCR profiled a panel of 84 miRNAs  
254 (Supplementary Table 1). There was a wide range of both catabolic and anabolic  
255 biomarkers tested with the majority catabolic. MMP-3, C-6S, IL-8 and TIMP-1 were  
256 measured most frequently. MMP-3 degrades extracellular matrix<sup>44</sup> and activates  
257 other pro-MMPs.<sup>45</sup> C-6S is an epitope of chondroitin sulphate, with raised levels a  
258 marker of cartilage destruction.<sup>46</sup> IL-8 is a chemoattractant cytokine and has been  
259 previously associated with WOMAC scores in knee OA.<sup>47</sup> TIMP-1 is an inhibitor of  
260 MMPs with increased levels seen in OA patients compared to controls<sup>48</sup> and may be  
261 predictive of progression of radiographic changes in hip OA.<sup>49</sup> The other biomarkers  
262 investigated are described further in the discussion.

263

264 Suggested position of Figure 3

265

266 A wide variety of different clinical outcome measures were reporting in the included  
267 studies as detailed in Table 3.

268

269 Suggested position of Table 3

270

## 271 Biomarker changes

272 Reported changes in biomarker concentrations and correlation with clinical outcome  
273 are summarised in Table 4. Of those biomarkers tested in a single study, for DPD,<sup>43</sup>  
274 NTX,<sup>43</sup> YKL-40,<sup>43</sup> FGF-2,<sup>42</sup> HA,<sup>40</sup> PICP,<sup>43</sup> proteoglycan,<sup>43</sup> MMP-1/TIMP-1,<sup>43</sup> MMP-  
275 3/TIMP-1<sup>43</sup> TGFβ1,<sup>42</sup> a significant increase in levels following intervention was  
276 demonstrated. For Activin A,<sup>42</sup> COMP,<sup>50</sup> MMP-2,<sup>50</sup> total oxidant concentration,<sup>41</sup> and  
277 VEGF,<sup>50</sup> a significant decrease in recorded concentrations following intervention was  
278 recorded. For CPII,<sup>39</sup> CTX-II,<sup>41</sup> IL-1ra,<sup>38</sup> IL-10,<sup>50</sup> LTBP2,<sup>42</sup> Tenascin-C,<sup>51</sup> C-3B3,<sup>39</sup>  
279 MMP-3<sup>42</sup> and total antioxidant concentration<sup>41</sup> no change following intervention was  
280 observed. Of eleven biomarkers tested in more than one study, C-4S,<sup>51,40</sup> C-  
281 6S,<sup>51,41,40</sup> keratan sulphate,<sup>39,51</sup> IL-6,<sup>38,50,42</sup> IL-8,<sup>38,50</sup> MMP-3,<sup>41-43,50</sup> MMP-9,<sup>50,40</sup> MMP-  
282 13,<sup>50,40</sup> and TIMP-1,<sup>40,43,42</sup> showed inconsistent results between studies, for IL-  
283 1B,<sup>38,50</sup> and TNF-α<sup>38,50</sup> no significant change following intervention was consistently  
284 reported. The study utilising MiRNA-PCR arrays recorded significant changes in hsa-  
285 miR-23a-3p and hsa-miR-30c-5p following intervention.

286

287 Suggested position of Table 4

288

## 289 Biomarker change over time

290 Most of the studies measured biomarker levels at one time point only following  
291 intervention. Those that measured at multiple time points often found significant  
292 variation in the levels over time. There was a significant difference in the absolute  
293 marker levels found between the studies. Figure 4 demonstrates the percentage  
294 change over time in MMP-3 levels in three of the four studies that investigated it

295 following intervention. Schneider et al. measured MMP3 normalised to total protein  
296 and therefore levels are not comparable. Significant variation is seen although  
297 decreased levels were eventually seen by Ozcamdalli et al. following HA injection,  
298 and Kumagai et al. following HTO with Watt et al. showing no significant change over  
299 three time points.

300

301 Suggested position of Figure 4

302

### 303 [Correlation with clinical outcomes](#)

304 Five studies specifically reported on correlation of biomarkers with clinical outcomes  
305 with three studies reporting a statistically significant correlation (Table 4).<sup>51,52,42</sup> For  
306 those studies with a comparator group, none showed a significant change in  
307 biomarker level in the tested intervention compared to the comparator group.

308

## 309 Discussion

310 There is an increasing interest in and understanding of the role of SF biomarkers in  
311 cartilage degeneration and regeneration. This review identified nine studies which  
312 evaluated both SF biomarkers and clinical outcomes following a cartilage  
313 regeneration or repair intervention. Studies were of variable quality regarding risk of  
314 bias, although all were prospective. Many studies did not have a comparator group,  
315 used convenience-based sampling and used a case control or case series design.  
316 Blinding of the SF biomarker analysis was not undertaken in any of the studies,  
317 although the effect this has on study quality is questionable, given that there is no  
318 current reference standard for any SF biomarker test. Two patient groups were  
319 identified, those with sports injuries and those with osteoarthritis. These are separate  
320 entities clinically and therefore introduce heterogeneity.

321

322 Methods of SF collection and analysis are important in the measurement of  
323 biomarker levels. Sample collection is not currently standardised in the available  
324 literature. There were major differences between the studies identified in this review,  
325 namely in lavage of the joint or dilution of SF and in digestion of hyaluronan which  
326 may affect biomarker levels. Different methods were used to obtain biomarker levels,  
327 although all tests used were commercially available, previously validated methods.  
328 Most studies employed traditionally used tests such as ELISA, one employed the  
329 use of miRNA PCR testing which is an emerging technique utilising mass  
330 spectrometry-based proteomics for experimental identification of potential targets.<sup>30</sup>  
331 There was significant variability in the presentation of biomarker levels and changes  
332 with actual levels often not detailed. These differences between collection, analysis  
333 and presentation of results makes direct comparison between studies difficult.



334

335 There was a wide range of biomarkers tested. Most of the biomarkers were tested in  
336 only one or two studies making direct comparison difficult. There is some  
337 controversy regarding the catabolic or anabolic nature of various biomarkers as  
338 cartilage is undergoing constant remodelling and therefore specific values of  
339 biomarkers may not give an overall impression of the state of cartilage condition. It  
340 has been proposed that the relationship between catabolic and anabolic markers  
341 may be more relevant than specific levels.<sup>53</sup> To elucidate the importance of results of  
342 this review, markers are categorised below according to the current state of  
343 understanding as to their relevance.

344

345 Aggrecan is one of the most abundant proteins in the cartilage matrix<sup>46</sup> and is an  
346 integral component, necessary for drawing water into the extracellular matrix. Raised  
347 levels are a marker of cartilage destruction and have been associated with OA  
348 severity.<sup>54</sup> Measurement of chondroitin sulfate indicates aggrecan degradation and is  
349 therefore a marker of cartilage destruction.<sup>46</sup> Three epitopes have been implicated in  
350 OA<sup>55</sup> and were evaluated in this review. C-3B3 showed no significant change  
351 following HA injection.<sup>39</sup> C-4S showed a decrease following HA injection in one  
352 study<sup>51</sup> with correlation with clinical outcomes at 6 weeks and no significant change  
353 following HA in another study.<sup>40</sup> C-6S was investigated in three studies with no  
354 significant change following HA injection in two,<sup>40,41</sup> and a significant decrease  
355 following HA injection in another.<sup>51</sup>

356

357 COMP is an extracellular matrix protein involved in the development of cartilage,<sup>50</sup>  
358 concentration of COMP has been found to reflect OA severity.<sup>56,45</sup> COMP was

359 evaluated in one study which demonstrated a decrease in measured concentrations  
360 following HTO.<sup>50</sup> Absolute levels of COMP were much higher in the study included in  
361 this review than seen in other previous studies.<sup>57</sup>

362

363 CPII appears to be activated in OA, increased levels have been demonstrated in SF  
364 in OA although not in serum.<sup>58</sup> CPII was investigated in one study in this review and  
365 showed no significant change in concentrations post HA.<sup>39</sup> CTX-II release is MMP-  
366 mediated and specifically reflects cartilage degeneration.<sup>59</sup> CTX-II was investigated  
367 in Ozcamdalli et al. in this review and showed no significant change following HA  
368 injection.<sup>41</sup> Keratan sulfate is a glycosaminoglycan and is a marker of cartilage  
369 catabolism.<sup>60</sup> Two studies in this review measured keratan sulfate following HA  
370 injection with one reporting no significant change in measured concentrations<sup>39</sup> and  
371 one a decrease.<sup>51</sup>

372

373 MCP-1, also referred to as CCL2, is a proinflammatory chemokine which recruits  
374 monocytes, T cells and dendritic cells to sites of inflammation.<sup>61</sup> A significant  
375 increase in levels was observed in one study included in this review after KJD.<sup>42</sup>

376

377 Proteoglycan is a non-collagenous protein of the extracellular matrix.<sup>62</sup> Degradation  
378 products have been correlated with increased osteoarthritic changes but the  
379 significance of absolute levels in SF are unclear. An increase was seen in one study  
380 in this review following ACI.<sup>43</sup>

381

382 Tenascin-C is a component of the extra-cellular matrix and SF levels are significantly  
383 correlated with radiographic knee OA.<sup>63</sup> This was investigated by one study in our

384 review and showed no significant change after HA injection however baseline values  
385 were correlated with clinical outcomes at 5 weeks.<sup>51</sup>

386

387 YKL-40 (also named human cartilage glycoprotein 39 or chitinase-3-like protein 1) is  
388 a glycoprotein induced by pro-inflammatory cytokines. Raised levels in SF have  
389 been previously demonstrated to correlate with disease severity in OA.<sup>64</sup> Levels  
390 increased initially then decreased back to baseline following HA intra-articular  
391 injection in one study in this review.<sup>43</sup>

392

393 *With respect to bone markers*, DPD stabilises collagen by crosslinking collagen  
394 peptides.<sup>65</sup> It is a marker of bone resorption.<sup>66</sup> DPD was investigated in only one  
395 study in this review, a significant but transient increase in SF levels was observed at  
396 six weeks post ACI, falling back to undetectable levels by 1 year post surgery.<sup>43</sup>

397 NTX is a marker of bone resorption and is released during collagen degradation.<sup>65</sup> A  
398 significant initial increase in concentration was observed following ACI, returning to  
399 baseline by 1 year.<sup>43</sup> PICP is released during collagen I synthesis.<sup>67</sup> Type I collagen  
400 is involved in OA progression and is a potential marker for osteophyte progression.<sup>68</sup>  
401 In one study in this review a significant increase was observed initially following HA  
402 injection then a following decrease.<sup>43</sup>

403

404 *Pro-inflammatory cytokines, including IL-1 $\beta$* , were measured by two studies in this  
405 review with both showing no significant change.<sup>38,50</sup> IL-1ra was measured in one  
406 paper<sup>38</sup> and showed no significant change. IL-1 has been extensively investigated  
407 and appears to affect susceptibility to OA and induce multiple inflammatory  
408 mediators.<sup>69</sup>

409 IL-6 is a marker of inflammation and has been shown to be correlated with  
410 radiographic changes,<sup>70</sup> knee pain<sup>71</sup> and lumbar pain in OA.<sup>72</sup> Levels were measured  
411 in three of the studies included in this review, with one reporting no significant  
412 change,<sup>38</sup> and one showing a significant decrease following intervention<sup>50</sup> and a  
413 further showing a significant increase at 6 weeks.<sup>42</sup> IL-8, another pro-inflammatory  
414 cytokine, has been previously correlated with WOMAC scores in knee OA.<sup>47</sup> Results  
415 reported by the studies included in this review were inconsistent, with no change in  
416 one<sup>38</sup> and a significant decrease in another.<sup>50</sup> IL-10, previously demonstrated to  
417 have higher levels in radiographically severe OA,<sup>73</sup> was found to have no significant  
418 change in measured concentrations following HTO by Kumagai et al.<sup>50</sup>

419

420 TNF- $\alpha$  is a pro-inflammatory cytokine that has been extensively researched with  
421 raised serum levels reported in osteoarthritis<sup>70</sup> and inhibition of TNF- $\alpha$  shown to be  
422 chondroprotective in animal studies.<sup>74</sup> No significant change following intervention  
423 was shown in either study included in this review.<sup>38,50</sup> VEGF release is also triggered  
424 by inflammation. This has been shown to be a mediator of endochondral  
425 ossification,<sup>75</sup> with levels associated with osteoarthritis severity.<sup>56</sup> In this review, one  
426 study demonstrated a decrease in measured SF concentrations following HTO.<sup>50</sup>

427

428 *Matrix metalloproteinases (MMPs), including* MMP-3, which degrades the  
429 extracellular matrix<sup>44</sup> and activates other pro-MMPs.<sup>45</sup> In this review, four studies  
430 investigated SF MMP-3 levels. Two studies recorded a decrease following  
431 intervention,<sup>41,50</sup> one an increase,<sup>43</sup> with no significant change in another.<sup>42</sup> MMP-9  
432 degrades collagen as a type IV collagenase,<sup>76</sup> raised levels are seen in  
433 osteoarthritis.<sup>77</sup> Kumagai et al. found no significant change,<sup>50</sup> Shimizu et al.

434 demonstrated a significant decrease.<sup>40</sup> MMP-13 is increased in early stage OA and  
435 undetectable in normal tissues.<sup>17</sup> It was decreased in one study<sup>50</sup> and initially  
436 increased then decreased following HA injection.<sup>43</sup>

437

438 Recent literature has proposed a link between increased oxidative stress and  
439 development of osteoarthritis,<sup>78</sup> with decreased antioxidant capacity seen in end-  
440 stage OA.<sup>79</sup> Ozcamdalli et al. demonstrated a decrease in total oxidant concentration  
441 level and no significant change in total antioxidant concentration.<sup>41</sup>

442

443 *With respect to Anabolic markers, Activin A* is a member of the TGF- $\beta$  superfamily  
444 and acts as an anabolic cytokine in articular cartilage.<sup>80</sup> Watt et al. reported  
445 significantly decreased levels at 3 and 6 weeks following KJD.<sup>42</sup> FGF-2 is a  
446 chondroprotective growth factor which suppresses interleukin-1 driven aggrecanase  
447 activity.<sup>81</sup> Levels were significantly increased at 6 weeks following KJD.<sup>42</sup>

448

449 HA is a non-protein glycosaminoglycan important in lubrication and viscoelasticity of  
450 SF.<sup>82</sup> The concentration and molecular weight of HA in SF in OA is significantly  
451 reduced and levels are associated with OA progression.<sup>83</sup> Measurement in one study  
452 in this review showed a significant increase following HA injection.<sup>40</sup>

453

454 LTBP2 is an extracellular glycoprotein cytokine which regulates the TGF $\beta$  growth  
455 factor pathway.<sup>84,85</sup> There was no significant change in the measured SF levels  
456 following intervention in the single study that investigated it.<sup>42</sup> TIMP-1 is an inhibitor  
457 of MMPs, and therefore considered a marker of anabolic activity, increased levels  
458 have been demonstrated in OA.<sup>48</sup> A significant increase was shown at 3 weeks

459 following KJD<sup>42</sup> and 6 weeks following ACI<sup>43</sup> with no significant increase seen  
460 following HA injection.<sup>40</sup> TGF $\beta$ -1 is a growth factor involved in cartilage  
461 maintenance.<sup>86</sup> Therefore increased levels may be expected in an anabolic  
462 response. This was investigated by Watt et al.<sup>42</sup> with a significant increase seen at 6  
463 months post KJD.

464

465 It is increasingly recognised cartilage undergoes constant physiologic remodelling,  
466 similar to that in bone.<sup>87</sup> Therefore, levels of opposing markers may be important.  
467 MMP-1/TIMP-1 and MMP-3/TIMP-1 ratios, reflecting the relationship between the  
468 matrix metalloproteinase and its inhibitor, have been demonstrated to be significantly  
469 lower in OA patients than in control groups.<sup>77</sup> Schneider et al. demonstrated a  
470 significant initial increase in ratios of these proteins measured in the SF following HA  
471 injection, this same ratio was found to be decreased at six weeks.<sup>43</sup>

472

473 Thousands of miRNAs have been currently identified.<sup>88</sup> Understanding of their  
474 function is increasing but they appear to repress mRNA translation or cleavage<sup>89</sup> and  
475 are involved in regulation of cell differentiation and regulation.<sup>90</sup> Many miRNAs have  
476 been associated with OA.<sup>91</sup> In the study included in this review, Kwak et al.  
477 investigated miRNA expression in the SF, finding significant changes in two: hsa-  
478 miR-23a-3p and hsa-miR-30c-5p, with the latter correlated with clinical symptoms.<sup>52</sup>  
479 Hsa-miR-30c-5p is known to be associated with OA progression and has been  
480 named as a possible therapeutic target.<sup>92,93</sup> MiR-378a-5p, miR-140-3p, miR-27b-3p  
481 have been previously associated with osteoarthritis progression and were profiled in  
482 this study but showed no significant changes following intervention.<sup>52</sup>

483

484 There was significant variation in biomarker levels seen at different time points and  
485 following different interventions, as shown in Figure 4. This may demonstrate an  
486 inflammatory phase post operatively followed by a further phase of cartilage  
487 regeneration however it is difficult to draw conclusions without further study  
488 specifically examining this phenomenon.

489

490 Despite all included studies reporting SF biomarkers and clinical outcomes, only five  
491 studies directly correlated these. C-4S was investigated in two studies, both  
492 investigating HA injection, with a decrease in measured level and correlation with  
493 clinical response seen in one study<sup>51</sup> and no significant change and no correlation  
494 with clinical outcomes in the other.<sup>40</sup> C-6S was correlated in the same two studies,  
495 no correlation with clinical outcomes was seen in either study.<sup>40,51</sup> No other  
496 biomarkers were correlated with clinical outcomes by two or more studies using the  
497 same intervention. No biomarkers were found to correlate with clinical outcomes in  
498 more than one study. These results demonstrate the variability in findings throughout  
499 the literature and the difficulty in identifying a specific biomarker to assess the  
500 effectiveness of cartilage repair and regeneration interventions.

501

502

## 503 Conclusion and future research

504 This review demonstrates the difficulties with drawing conclusions regarding the  
505 importance of SF biomarkers in the assessment of OA based upon the current  
506 literature. Improved standardisation for the collection and analysis of SF samples is  
507 integral to improving this situation. It appears the relationship between biomarkers  
508 and progression over time may be more important than absolute levels at a single  
509 snapshot. Future research should also focus on standardising the use and  
510 presentation of clinical outcome scores and seeking to correlate biomarkers with  
511 clinical progression to further understand the significance of identified markers.  
512 Larger scale studies with consistent design will be required to develop reference  
513 standards that conform to international reporting guidelines.

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523 **Conflict of interest**

524 Beth Lineham: There are no financial or personal interests that could have potentially  
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