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# Deciphering key coloured compounds from sunless tanning reactions

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**KEYWORDS:** Dihydroxyacetone; sunless tanning; Maillard reaction; colour dilution analysis; coloured compounds

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**ABSTRACT:** Sunless tanning has become an incredibly popular way to achieve a tanned look without the potential skin damage from sun exposure, due to its convenience and safety compared to the conventional solar tanning. Dihydroxyacetone (DHA), as the main active ingredient in commercial sunless tanning products, is widely recognized to react with free amino acids (AAs) in the outer stratum corneum of skin to form brown pigments known as “melanoidins” through the Maillard reaction. However, the exact reaction pathways and chemical structures of the melanoidins formed have not yet been reported. To explore the colour development mechanism of DHA, three typical AAs, i.e. arginine, histidine and lysine, were each made to react with DHA using a simplified model system. For the first time, three key coloured compounds with the same chromophore were successfully isolated and identified from these resultant mixtures. The reaction pathway for the formation of these key coloured compounds was also proposed and the associated energy calculated using the methods based on the density functional theory (DFT). In addition, an in-depth understanding of the colour properties of these key coloured compounds has been gained through the colour dilution analysis for their colour contributions and by using *CIELAB* colour space to evaluate their colour characteristics, respectively.

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## 1. INTRODUCTION

The Maillard reaction, also known as the nonenzymatic browning reaction, is a complex chemical reaction that occurs via the condensation of carbonyl groups on reducing sugars with amino groups on amino acids (AAs), peptides and proteins [1],[2]. The reaction was discovered in 1921 by French chemist Louis-Camille Maillard and its mechanism was detailed in 1951 by American chemist John E. Hodge, who proposed a scheme including three stages and eight types of reaction [3]. Colour formation is the most obvious and basic features of the Maillard reaction [4]. The coloured compounds generated by the Maillard reaction can be divided into two classes, based on their molecular weight, namely low-molecular-mass coloured compounds and high molecular weight melanoidins (>1000 Da) [5],[6]. Despite extensive studies, especially in food, such as the cooking of meat, roasting of coffee and baking of bread, surprisingly little is known about the chemical structures responsible for the typical brown colour, due to the complexity and multiplicity

32 of the Maillard reaction products formed [7]. Therefore, suitable model systems have been investigated to obtain more  
33 detailed information on the structures of the chromophoric compounds involved in the reaction.

34 In the 1960s and 1970s, the Maillard reaction took a center stage when it became the basis for the operation of sunless  
35 tanning products [8]. Tanning has since become an incredibly popular activity in Western countries, especially for  
36 young people, as a tanned skin is usually perceived as attractive and healthy [9]. Dihydroxyacetone (DHA), a three-  
37 carbon sugar, is the main active ingredient in commercial sunless tanning products [10],[11]. The first to discover the  
38 browning of skin from DHA and its connection with the browning in food was Eva Wittgenstein, by accident, when she  
39 used DHA as an oral drug to assist children with glycogen storage disease in 1960 [12]. She suggested that the carbonyl  
40 group of DHA reacted with the amino groups of AAs derived from epidermal proteins of skin via the Maillard reaction  
41 to form brown pigments [13]. Soon after, the first commercial self-tanning product was developed and launched, which  
42 immediately achieved great success. To date, DHA is the only legal tanning agent approved by the US Food and Drug  
43 Administration (FDA) for external application in cosmetic products [9]. Meanwhile, due to its popularity and commer-  
44 cial value, the roles of DHA in the tanning reactions of skin have attracted considerable research attention [14]. Many  
45 researchers have developed some good adjuvants, such as methionine sulphoxide, perfluoropolyether phosphate and  
46 ethylenediamine derivatives, to increase the stability of DHA and its rate of tanning [15],[16],[17]. In addition, there  
47 have been a number of observations of free radicals being involved in the production of DHA-induced melanoidins in  
48 skin[18],[19]. However, despite extensive studies over the past 60 years, knowledge of the exact reaction pathways  
49 and chemical structures of melanoidins still remains rather rudimentary.

50 To better understand the colour development of DHA, it is necessary to study the tanning reaction within suitable  
51 chemically constituted model systems rather than in natural skins which are invariably chemically complex [20]. In  
52 our previous study, the colour development of the Maillard reaction between three typical AAs (arginine, histidine and  
53 lysine) and DHA has been systematically investigated under various reaction conditions [21]. Based on the optimised  
54 reaction conditions, the study reported here focused on exploring the chemical structure of the key coloured com-  
55 pounds formed in these model reactions, and explaining their relationship between their colour and the structure.  
56 These key coloured compounds were first isolated and purified using preparative high performance liquid chromatog-  
57 raphy (HPLC), then analysed and determined by the ultraviolet-visible spectroscopy (UV-Vis), fourier-transform infra-  
58 red spectroscopy (FT-IR), high resolution mass spectroscopy (HR-MS) and one-, two-dimensional nuclear magnetic  
59 resonance spectroscopy (1D and 2D-NMR). To the best of our knowledge, these identified compounds have not as yet  
60 been reported in the literature. Based on the chemical information obtained, the mechanism of formation of the key  
61 coloured compound was proposed and calculated using the density functional theory (DFT) methods. In addition, the  
62 colour dilution analysis (CDA) was used to evaluate the colour contributions of these compounds to the colour of the  
63 reaction mixtures and *CIE LAB* colour space was used to further evaluate their colour characteristics. These findings  
64 not only provide a foundation for further study of the chemical structure of melanoidins on real human skin, but it can  
65 also be utilized to improve the tanning effect of DHA more safely and efficiently.

66

## 67 **2. EXPERIMENTAL SECTION**

### 68 **2.1 Chemicals**

69 Dihydroxyacetone (DHA) was supplied by PZ Cussons (Manchester, England). L-Arginine hydrochloride (Arg), L-Histi-  
70 dine hydrochloride (His) and L-Lysine hydrochloride (Lys) were purchased from Ajinomoto Inc and used without fur-  
71 ther purification. HPLC-grade acetonitrile was supplied by Merck Life Science UK Limited. Hydrochloric acid, sodium  
72 acetate and acetic acid were supplied by Sigma-Aldrich Corporation.

### 73 **2.2 Preparation of model systems (AA-DHA)**

74 The three model reaction solutions were prepared and denoted as Arg-DHA, His-DHA and Lys-DHA, respectively. Based  
75 on the optimised reaction conditions obtained from previous study, each reaction solution was dissolved into 20 mL  
76 0.1 M acetate buffer solution of pH 5.6 in a sealed tube at 50 °C for 72 hours, respectively, as shown in Table S1.

### 77 **2.3 High performance liquid chromatography (HPLC)**

78 Analytical HPLC with diode array detection (DAD) was carried out using a reverse-phase C<sub>18</sub> column and a water-ace-  
79 tonitrile gradient (acetonitrile: 5 ~ 95%, 5 min, 254 nm as signal). These mixtures were separated and purified on the  
80 preparative mass directed-HPLC (Agilent Technologies, 1290 Infinity) with a Kinetex EVO C<sub>18</sub> column (21.2 × 250 mm,  
81 5 μm, 100 Å). The separation conditions are as follows: injection volume was 900 μL, flow rate was 10 mL/min, gradi-  
82 ent started with a mixture of water-acetonitrile (95:5, v/v), then acetonitrile content was increased to 20% within 20  
83 min. The chosen wavelength for detection was 254 nm. Time-based detector method (0.6 min) was used to collect the  
84 key coloured compounds. For each run, only a small amount of sample (~0.6 mg) was collected. Multiple times for the  
85 repeated run were required to obtain sufficient amounts of sample for NMR. The collected compounds were lyophilised  
86 on a freeze-dryer, equipped with an Edwards two stage vacuum pump using 50 mL poly(styrene) falcon tubes.

### 87 **2.4 Ultraviolet-visible spectroscopy (UV-Vis)**

88 UV-Vis absorption spectrum of samples were performed on a dual beam Varian Cary 50 UV-Vis spectrophotometer  
89 (Agilent Technologies), equipped with a xenon pulse lamp and scan software. Samples were analysed in a quartz cu-  
90 vette (10 mm, QS, Hellma) at a concentration of 3 mmol/L (~1 mg/mL). The absorbance at 420 nm was used to evaluate  
91 the browning intensity of Maillard mixtures.

### 92 **2.5 Fourier-transform infrared spectroscopy (FT-IR)**

93 FT-IR spectrometry was recorded via a Bruker ALPHA-P FT-IR spectrometer, equipped with Bruker OPUS 7.0 software  
94 and a diamond attenuated total reflectance (ATR) accessory. Spectra were collected between 4000 cm<sup>-1</sup> and 500 cm<sup>-1</sup>,  
95 accumulated over 100 runs.

### 96 **2.6 High resolution mass spectroscopy (HR-MS)**

97 The accurate molecule mass, to four decimal places, of each of the samples was performed on a Bruker MaXis Impact  
98 using the positive electrospray ionization (ESI). The sample was diluted to 1 mg/mL in water based on the mass con-  
99 centration of the reactants. The scan range was from 50 to 1500 m/z. The spectra were recorded in the Bruker Com-  
100 pass Data Analysis 4.3, to determine the accurate molecular mass and chemical formula.

### 101 **2.7 Nuclear magnetic resonance spectroscopy (NMR)**

102 Proton (<sup>1</sup>H), carbon (<sup>13</sup>C), distortionless enhancement by polarization transfer (DEPT-135), heteronuclear single-quantum  
103 correlation spectroscopy (<sup>1</sup>H-<sup>13</sup>C HSQC) and heteronuclear multiple-bond correlation spectroscopy (<sup>1</sup>H-<sup>13</sup>C HMBC)  
104 experiments were performed on a Bruker Avance 500 MHz spectrometer fitted with a 5 mm Bruker C/H cryoprobe.  
105 Chemical shifts (δ) in ppm were referred to a trimethylsilane (TMS) standard whose chemical shift is 0 ppm. NMR  
106 spectra were analysed using MestreNova® Research Lab software. NMR data were recorded as follows: δ [multiplicity,  
107 coupling constant (*J*, Hz), relative integral], where multiplicity was defined as s = singlet, d = doublet, t = triplet, q =

108 quartet, m = multiplet. The signal due to residual D<sub>2</sub>O appearing at δ<sub>H</sub> 4.80 was used to reference <sup>1</sup>H and <sup>1</sup>H-<sup>13</sup>C NMR  
109 spectra, respectively.

## 110 2.8 Density functional theory (DFT)

111 All calculations were performed using the density functional theory (DFT) method in the Gaussian 09W program [22].  
112 The Gauss View 05 visualization program was used to input and output the molecular structures. All geometries were  
113 optimised using the hybrid functional B3LYP at 6-311+ basis set in water solution via the polarization continuum model  
114 (PCM), and to validate the optimised stationary point, frequencies were also calculated at this level. In addition, all  
115 calculations would get converged if the gradient forces were smaller than a threshold value of 0.00045 Hartree (1 Har-  
116 tree = 27.211 eV). Changes in the Gibbs Free Energy (ΔG) were calculated as shown in Equation 1:

$$117 \Delta G = G_{product} - G_{reactant} \quad (1)$$

## 118 2.9 Colour characterization

### 119 2.9.1 Molar extinction coefficient (ε)

120 The molar extinction coefficient (ε) at its maximum absorption wavelength (λ<sub>max</sub>) is a useful method to evaluate the  
121 colour intensity or strength of a colourant, especially for a series of colourants if their curves have similar shape [23].  
122 It can be calculated from the UV-Vis absorption spectrum of a colourant in solution at low concentration using the Beer-  
123 Lambert-Law (Equation 2) [24]:

$$124 A = \epsilon cl \quad (2)$$

125 Where *A* is the absorbance of a colourant at a particular wavelength (λ<sub>max</sub>), *c* is the concentration of a colourant and *l* is  
126 the pathlength of the cell (commonly 1 cm) used for the measurement.

### 127 2.9.2 Colour dilution factor (CDF)

128 The resultant Maillard mixtures were repeatedly diluted with respective solvents until their absorbance values at 420  
129 nm were the same as those of the solvents (water in this study). Using this procedure, a colour dilution factor (CDF<sub>total</sub>)  
130 was defined for each reaction mixture.

### 131 2.9.3 Colour detection threshold (CDT)

132 A solution, containing a known amount of the colourant, was diluted with its colourless solvent until no colour differ-  
133 ence from the solvent could be instrumentally detected at 420 nm. The concentration of the colourant, at this point,  
134 was defined as the colour detection threshold (CDT).

### 135 2.9.4 Colour activity value (CAV) and colour contribution (CC)

136 To evaluate the colour impact of a single coloured reaction product, Hofmann has made a crucial step by defining a  
137 colour activity value (CAV) and colour contribution (CC) [25]. CAV<sub>*x*</sub> is the ratio of the concentration of a colourant *x* to  
138 its detection threshold (Equation 3). The colour contribution of a component colourant *x* (CC<sub>*x*</sub>) to the colour of the total  
139 Maillard mixture, which was defined as 100% colour activity, was calculated as follows (Equation 3):

$$140 CAV_x = \frac{\text{Concentration}_x \text{ (mmol/L)}}{\text{Detection threshold}_x \text{ (mmol/L)}} \quad CC_x = \frac{CAV_x}{CDF_{total}} \times 100\% \quad (3)$$

### 141 2.9.5 CIE colour space

142 The colour of each sample was characterised by CIE L\*a\*b\* (CIELAB), which is a colour space defined by the Interna-  
143 tional Commission on Illumination (CIE) in 1976 [26]. It expresses colour as three primary values: L\* for the lightness  
144 from black (0) to white (100), a\* from green (-) to red (+), and b\* from blue (-) to yellow (+), plus where secondary  
145 values for chroma (C\*) and hue (*h*) can be represented by Equation 4:

$$146 C^* = \sqrt{a^{*2} + b^{*2}} \quad h = \arctan \frac{b^*}{a^*} \quad (4)$$

147 Colour measurement performed in this study involved pipetting the sample aliquot into a 1 cm polymethyl methacry-  
148 late (PMMA) plastic cuvette, and measuring the  $L^*a^*b^*$  values of the sample against a white background using a Data-  
149 color CHECK 3 (Datacolor Inc., UK), with an 8° diffuse D65 illuminant and at a 10° observer angle, calibrated using a  
150 standard white and black plate. Each test was carried out in triplicate and the mean value is reported.

151

### 152 3. RESULTS and DISCUSSION

153 It is widely accepted that the second stage of the Maillard reaction can generate a tremendous variety of reactive com-  
154 pounds, such as ketones, aldehydes, dicarbonyls and heterocyclic compounds, via dehydration, fragmentation, Strecker  
155 degradation and cyclisation [2],[27],[28]. The large variety of these intermediates then undergo a complex reaction in  
156 the final stage, not only leading to the multiplicity and the low yields of the coloured compounds, but also making their  
157 isolation and identification a significant challenge. Therefore, it is almost impossible to isolate and identify all coloured  
158 compounds formed in the complex Maillard mixture. To characterize the key chromophores, a screened process was  
159 first used to select the key coloured compounds from AA-DHA, followed by their identification and the discussion of  
160 their formation mechanism. Finally, the colour contribution and characteristic of these key coloured compounds were  
161 further evaluated quantitatively, aimed at establishing the structure-colour relationship and exploring the colour dif-  
162 ference in AA-DHA systems.

#### 163 3.1 Screening for the key coloured compounds in AA-DHA

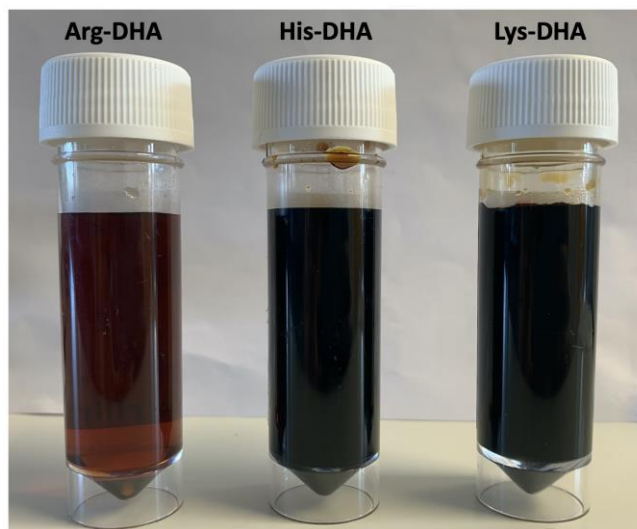
164 The resultant solutions of L-arginine, histidine and lysine with DHA were coded Arg-DHA, His-DHA and Lys-DHA, re-  
165 spectively. The reaction of DHA with AAs in water at 50 °C resulted in a series of colour changes: yellow, then red and  
166 finally dark brown. To quantitatively study the total colour intensity of the Maillard mixtures, a colour dilution factor  
167 (CDF) was used, for the first time in this study, to evaluate the browning intensity of these mixtures. As shown in Table  
168 1, His-DHA has the highest CDF value with 864, followed by Lys-DHA with 816, whereas a very low CDF of 174 was  
169 determined for Arg-DHA, which was consistent with the observed colour difference (Figure 1). Analytical HPLC results  
170 of these mixtures revealed that there were 7, 14 and 11 peaks in the chromatograms for Arg-, His- and Lys-DHA reaction  
171 mixtures, respectively (Figure S1 ~ S3). Although different coloured compounds were formed in the reactions, the  
172 main coloured compounds in the three mixtures appeared to have similar retention times. It can be seen clearly from  
173 these chromatograms that these peaks at 0.66 min (labelled **A1**), 0.64 min (labelled **H1**) and 0.65 min (labelled **L1**)  
174 were due to the main products and at 254 nm accounted for around 47%, 41% and 38% in Arg-, His- and Lys-DHA,  
175 respectively. The following experiments were then focused on the structural identification of these key colourants.

176

**Table 1.** CDF of AA-DHA and contents of the key colourants in AA-DHA

Maillard mixture	CDF <sub>total</sub>	Content in AA-DHA (%)		
		<b>A1</b>	<b>H1</b>	<b>L1</b>
Arg-DHA	174	47	—	—
His-DHA	864	—	41	—
Lys-DHA	816	—	—	38

177



178

179

**Figure 1.** Images of AA-DHA mixtures obtained under the optimised reaction conditions (72 hours, pH 5.6, 50 °C)

180

### 3.2 Identification of the key coloured compounds in AA-DHA

181

Three key coloured compounds (Colourants **A1**, **H1** and **L1**) were isolated from the AA-DHA mixtures using mass-di-

182

rected preparative HPLC and their chemical structures are shown in Figure 2a. Due to having the same chromophore,

183

they showed similar curves with an absorption maximum at 266 nm in water (Figure 2b). Although the colour of their

184

solution is all yellow, their colour intensities were different. According to the Beer-Lamber Law, the calculated  $\epsilon$  values

185

of **A1**, **H1**, and **L1** were 257, 393, and 360 L·mol<sup>-1</sup>·cm<sup>-1</sup>, respectively, which can be used to explain the difference in the

186

colour intensity. In addition, it can be seen from Figure 2c that **A1**, **H1**, and **L1** all exhibited some similar characteristic

187

absorption peaks. The peaks at 2930, 1378, 1216, and 1092 cm<sup>-1</sup> were assigned to the C-H stretching of alkane, C-H

188

bending of alkane, C-N stretching, and C-O stretching vibrations, respectively, which illustrated the presence of the

189

corresponding AA moieties [29]. Besides, compared with the DHA and original AAs (Figures S5, S13, and S21), many

190

new characteristic absorption peaks appearing at 3140, 1712, and 1607 cm<sup>-1</sup> were assigned to the C-H stretching vi-

191

bration of alkene, C=O stretching vibration, and C=C stretching vibration of unsaturated ketone, respectively [30].

192

Meanwhile, the peaks at 914, 820, and 769 cm<sup>-1</sup> were resulted from the C=C bending vibrations. The appearance of

193

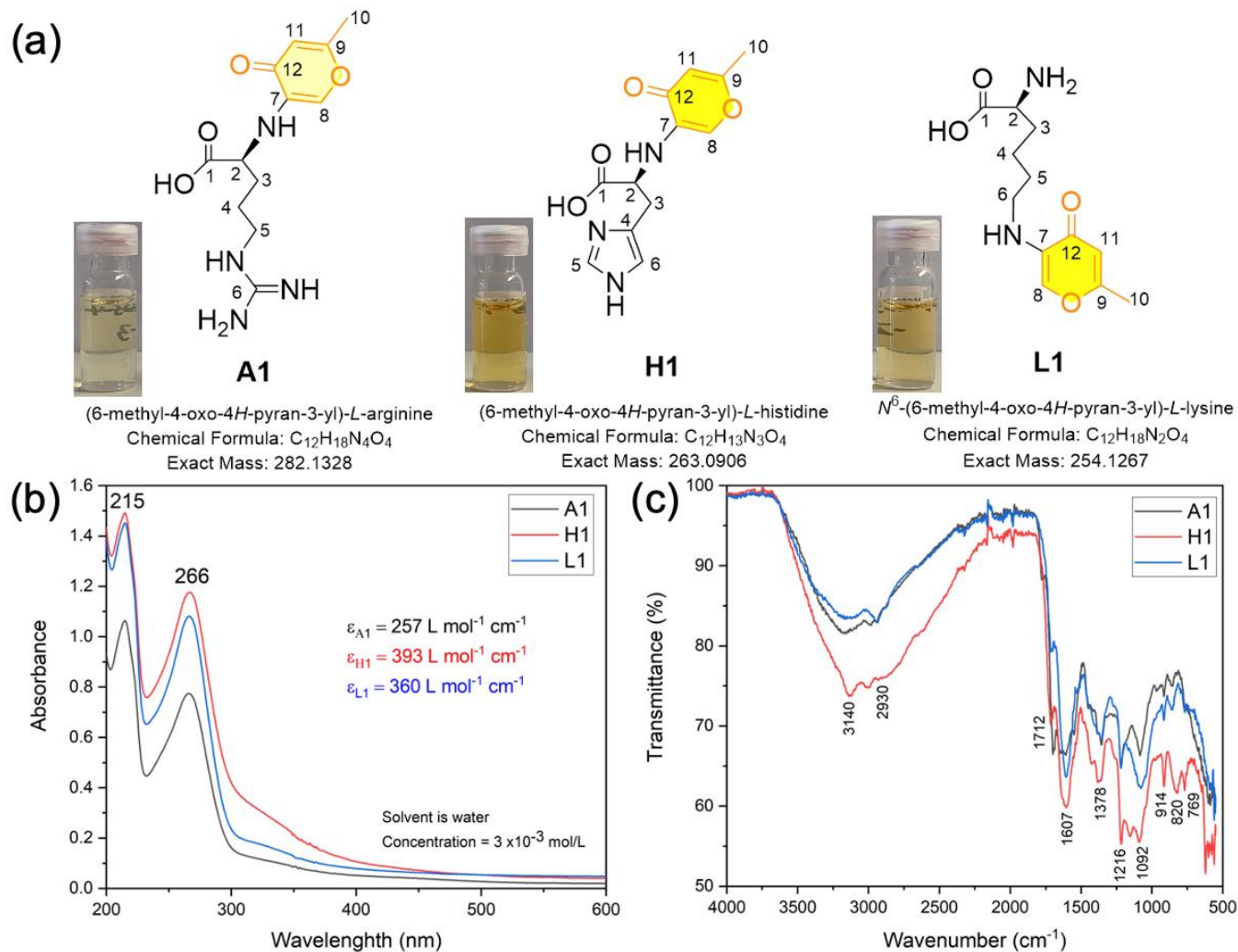
these new peaks was consistent with the characteristic groups contained in the key chromophore of **A1**, **H1**, and **L1**.

194

The detailed process for the exact structure determination using MS and NMR was described in the following sections

195

[31].



**Figure 2.** (a) Chemical structures (b) UV-Vis (c) FT-IR of Colourants **A1**, **H1**, and **L1**

196  
197  
198 Colourant **A1**. Colourant **A1** was isolated and purified from Arg-DHA as a light-yellow solid. Its 254 nm analytical HPLC  
199 chromatogram showed a peak with a retention time of 0.35 min (Figure S4), whose purity was ~89% at 254 nm. Re-  
200 sults from the electrospray ionisation (ESI) of LC-MS indicated that this compound generated an  $[M + H]^+$  ion at  $m/z$   
201 282.94, as well as fragment ions at  $m/z$  126.62 (Figure S6). HR-MS also showed an  $[M + H]^+$  peak at 283.1396, and  
202 confirmed the target compound to have a molecular formula of  $C_{12}H_{18}N_4O_4$ , which is the dehydration product of Arg  
203 and two DHA after the loss of four water molecules. The  $^1\text{H-NMR}$  spectrum showed 7 resonance signals (Figure S7)  
204 and the corresponding NMR data are given in Table S2. The chemical shifts of signals at 3.99 [m, 1H, H-C(2)], 3.25 [t, J  
205 = 6.9 Hz, 2H, H-C(5)], 1.96 [d, J = 1.1 Hz, 2H, H-C(3)] and 1.74 [m, 2H, H-C(4)] confirmed the presence of the Arg moiety  
206 in **A1**. The singlets at 8.03 [s, 1H, H-C(8)] and 6.40 [s, 1H, H-C(11)] were in the chemical shift range of hydrogens on  
207 the non-adjacent olefins. The chemical shift at 2.34 [s, 3H, H-C(10)] was the characteristic signal of hydrogen on the  
208 methyl ( $-\text{CH}_3$ ). The  $^{13}\text{C-NMR}$  spectrum (Figure S8) showed signals of 12 different carbon atoms. DEPT-135 experiment  
209 (Figure S9) revealed that 3 and 4 of the 12 signals corresponded to the secondary ( $-\text{CH}_2$ ) and the primary ( $-\text{CH}_3$ ) or the  
210 tertiary ( $-\text{CH}$ ) carbon atoms, respectively. Their specific correlations between a carbon and its attached protons were  
211 further confirmed by the HSQC (Figure S10). The remaining 5 signals were the quaternary carbon atoms. Unequivocal  
212 assignment of these carbon atoms could be successfully achieved by means of HMBC (Figure S11).



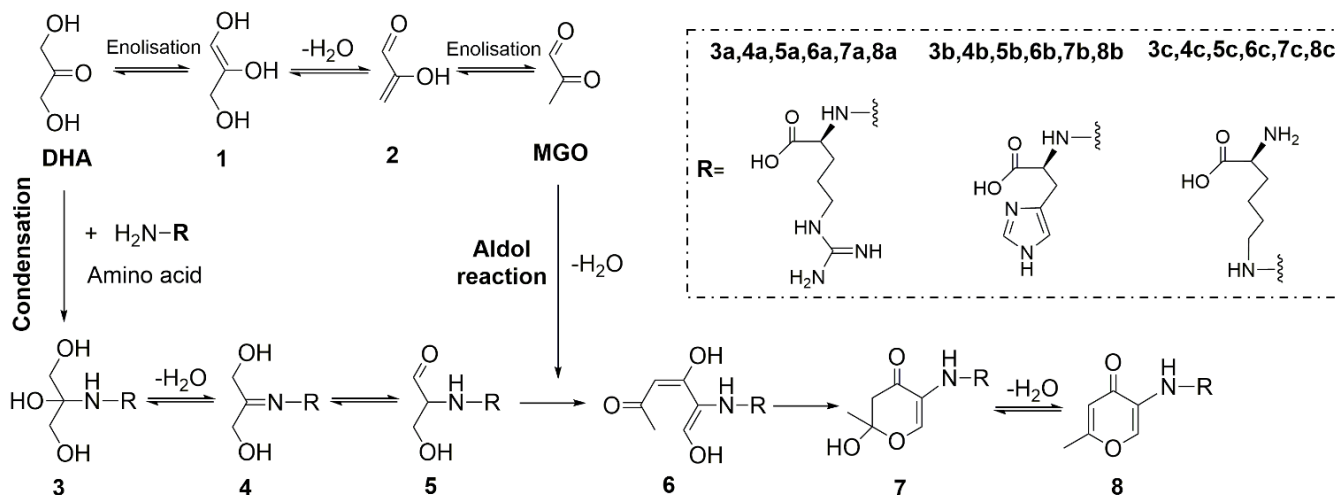
213 Colourant **H1**. Colourant **H1** was isolated and purified from His-DHA as a yellow solid. Its 254 nm analytical HPLC  
214 chromatogram showed a peak with a retention time of 0.33 min (Figure S12), whose purity was ~81% at 254 nm.  
215 Results from ESI of LC-MS indicated that this compound generated an  $[M + H]^+$  ion at  $m/z$  263.86, as well as fragment  
216 ions at  $m/z$  126.66 (Figure S14). HR-MS also showed an  $[M + H]^+$  peak at 264.0982, and confirmed the target compound  
217 to have a molecular formula of  $C_{12}H_{13}N_3O_4$ , which is the dehydration product of His and two DHA after the loss of four  
218 water molecules. The  $^1H$ -NMR spectrum showed 7 resonance signals (Figure S15) and the corresponding NMR data  
219 are given in Table S4. The chemical shifts of signals at 8.60 [s, 1H, H-C(6)], 7.43 [s, 1H, H-C(5)], 4.24 [m, 1H, H-C(2)]  
220 and 3.42 [t,  $J = 6.2$  Hz, 2H, H-C(3)] confirmed the presence of the His moiety in the Colourant **H1**. The singlets at 8.01  
221 [s, 1H, H-C(8)] and 6.38 [s, 1H, H-C(11)] were in the chemical shift range of hydrogens on the non-adjacent olefins. The  
222 chemical shift at 2.33 [s, 3H, H-C(10)] was the characteristic signal of hydrogen on the methyl (-CH<sub>3</sub>). These character-  
223 istic signals were similar to those of **A1**. The  $^{13}C$ -NMR spectrum (Figure S16) showed signals of 12 different carbon  
224 atoms. DEPT-135 experiment (Figure S17) revealed that 1 and 6 of the 12 signals corresponded to the secondary (-  
225 CH<sub>2</sub>) and the primary (-CH<sub>3</sub>) or the tertiary (-CH) carbon atoms, respectively. Their specific correlations between a  
226 carbon and its attached protons were further confirmed by the HSQC (Figure S18). The remaining 5 signals were the  
227 quaternary carbon atoms. Unequivocal assignment of these carbon atoms could be successfully achieved by means of  
228 HMBC (Figure S19). All  $^{13}C$  NMR signals are summarised in the Table S5.

229 Colourant **L1**. Colourant **L1** was isolated and purified from Lys-DHA as a yellow solid. Its 254 nm analytical HPLC  
230 chromatogram showed a peak having a retention time of 0.31 min (Figure S20), whose was ~82% at 254 nm. Results  
231 from ESI of LC-MS indicated that this compound generated an  $[M + H]^+$  ion at  $m/z$  254.89, as well as fragment ions at  
232  $m/z$  126.89 (Figure S22). HR-MS also showed an  $[M + H]^+$  peak at 255.1343, and confirmed the target compound to  
233 have a molecular formula of  $C_{12}H_{18}N_2O_4$ , which is the dehydration product of Lys and two DHA after the loss of four  
234 water molecules. The  $^1H$ -NMR spectrum showed 8 resonance signals (Figure S23) and the corresponding NMR data  
235 are given in Table S6. The chemical shifts of signals at 3.77 [dd,  $J = 12.0, 8.5$  Hz, 1H, H-C(2)], 3.02 [m, 2H, H-C(6)], 1.90  
236 [m, 2H, H-C(3)], 1.71 [m, 2H, H-C(5)] and 1.40 [m, 2H, H-C(4)] confirmed the presence of the Lys moiety in the Colourant  
237 **L1**. The singlets at 8.03 [s, 1H, H-C(8)] and 6.40 [s, 1H, H-C(11)] were in the chemical shift range of hydrogens on the  
238 non-adjacent olefins. The chemical shift at 2.34 [s, 3H, H-C(10)] was the characteristic signal of hydrogen on the methyl  
239 (-CH<sub>3</sub>). These characteristic signals were similar to those of **A1** and **H1**. The  $^{13}C$ -NMR spectrum (Figure S24) showed  
240 signals of 12 different carbon atoms. The DEPT-135 experiment (Figure S25) revealed that 4 each of the 12 signals  
241 corresponded to the secondary (-CH<sub>2</sub>) and the primary (-CH<sub>3</sub>) or the tertiary (-CH) carbon atoms, respectively. Their  
242 specific correlations between a carbon and its attached protons were further confirmed by the HSQC (Figure S26). The  
243 remaining 4 signals were the quaternary carbon atoms. Unequivocal assignment of these carbon atoms could be suc-  
244 cessfully achieved by means of HMBC (Figure S27). All  $^{13}C$  NMR signals are summarised in the Table S7. In summary,  
245 these obtained spectroscopical data were consistent with the proposed structure of **A1**, **H1** and **L1** (Figure 2).

### 246 3.3 Reaction pathways for the key colourants in AA-DHA

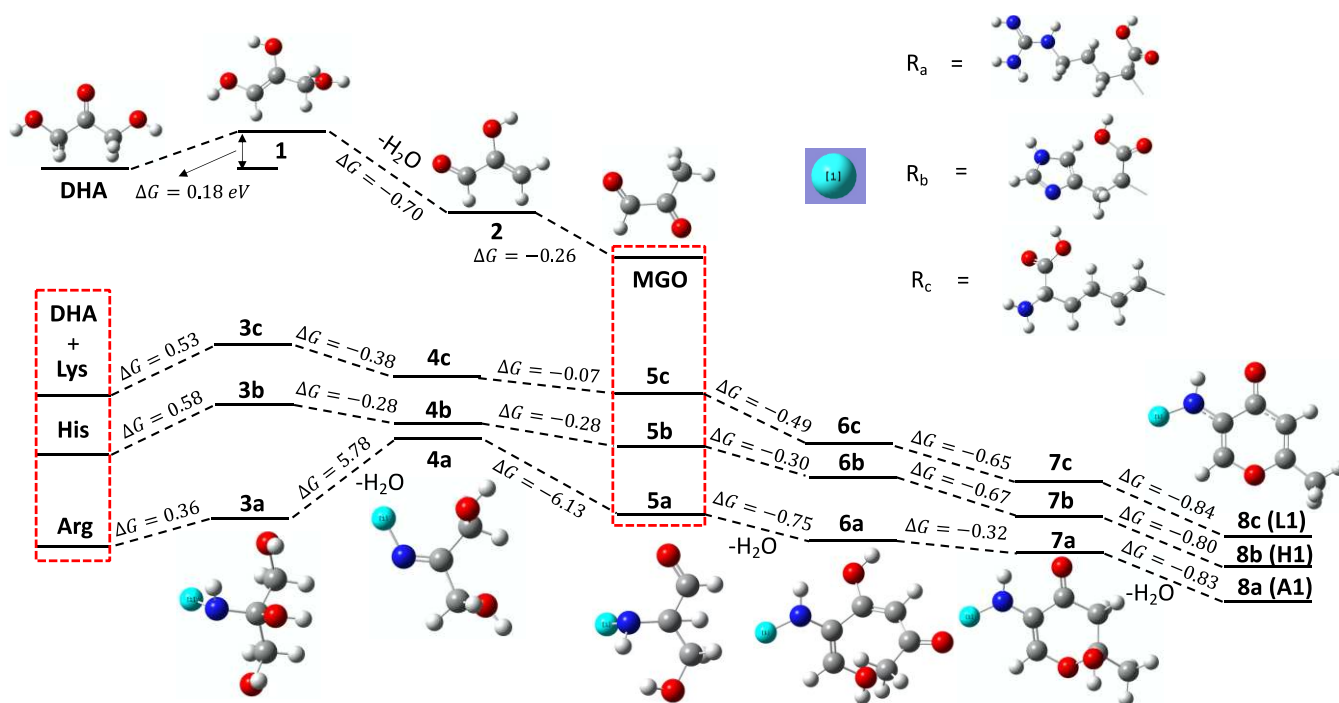
247 On the basis of the experimental data, the reaction pathway leading to the formation of the key coloured compounds in  
248 AA-DHA has been proposed and is shown in Figure 3. One fact that has been widely accepted is that the Maillard reac-  
249 tion starts with the condensation of the carbonyl group of DHA with the free (unprotected) amino group of an amino  
250 acid, followed by dehydration resulting in the formation of a Schiff base (**4**). Then, the unstable Schiff base undergoes  
251 Amadori rearrangement to give a Heyns product (**5**) [20]. Meanwhile, methylglyoxal (MGO) can be formed by DHA

252 under the catalysis of acid or base, which plays the important role as a precursor of aroma and colour compounds in  
 253 the Maillard reaction, especially in Strecker degradation, which is a key flavour-generation reaction [32],[33]. Since  
 254 MGO has a significantly higher reactivity than DHA, it further reacts with the Heyns product via aldol reaction to form  
 255 **6**. Tautomerisation of **6** would generate the enol and its E-form could cyclise in a 6-exo-trig process to generate **7** [30].  
 256 Finally, dehydration leads to the formation of the key coloured compound **8**, which has a conjugated double-bond struc-  
 257 ture. It corresponds to **A1**, **H1** and **L1** in the Arg-, His- and Lys-DHA, respectively.



258  
 259 **Figure 3.** Proposed reaction pathways for the formation of key coloured compounds in AA-DHA

260 To further prove the rationality of the proposed reaction mechanisms, the pathways to these compounds were calcu-  
 261 lated using the Gaussian 09W program package, which is based on the density functional theory (DFT) [34],[35]. The  
 262 details of the calculated energies and all optimised structures are summarised in Table S8 and Figure S28 ~ 30, respec-  
 263 tively. It can be clearly seen from Figure 4 that the formation of **3a**, **3b** and **3c** is endothermic costing 0.36, 0.58, and  
 264 0.53 eV, respectively, with respect to the initial reactants. However, there is a significant difference in the formation of  
 265 Schiff base between Arg-, His- and Lys-DHA. The formation of **4a** is endothermic and has a very high activation free  
 266 energy barrier of 5.78 eV, which can be considered as the rate-limiting step, while the formation of **4b** and **4c** is exo-  
 267 thermic, at only -0.28 and -0.38 eV, respectively. In addition, the extremely low energy barrier for the formation of **4b**  
 268 and **4c**, compared to **4a**, also further shows that His and Lys have a higher reactivity than Arg with DHA to generate  
 269 colour faster. After this, the Amadori rearrangement follows to generate the Heyns product. Although this step is exo-  
 270 thermic in all three pathways, their energy values vary greatly (-0.07, -0.28 and -6.13 eV for **5c**, **5b** and **5a**, respectively).  
 271 In terms of the transformation from DHA to MGO, it undergoes an enolisation to form **1** with energy raising ( $\Delta G=0.18$   
 272 eV) and then follows by dehydration and enolization to generate **2** ( $\Delta G=-0.70$  eV) and MGO ( $\Delta G=-0.26$  eV), respectively,  
 273 which steps are both exothermic. These observations are similar to those in previous theoretical and experimental  
 274 kinetic studies of the Maillard reaction in food [36],[37]. The next is the aldol reaction where a water molecule is lost  
 275 between MGO and the Heyns products (**5a**, **5b** and **5c**) to form **6a**, **6b**, **6c**, followed by cyclisation and dehydration to  
 276 produce **7a**, **7b**, **7c** and **8a**, **8b**, **8c**, respectively. Both these reactions are energetically downhill processes, and their  
 277 calculated energies are not much different, suggesting that the formation of the final six-membered heterocyclic struc-  
 278 ture of **8** is thermodynamically favorable. In summary, the large decrease in the free energy from the reactants to  
 279 products could be used to support the proposed reaction pathways.



280

281 **Figure 4.** The variation of free energy for the proposed reactants and products during the formation of **A1**, **H1** and **L1**  
 282 **3.4 Colour dilution analysis (CDA) and characterisation**

283 Many different coloured compounds can be formed in the the Maillard reaction. To effectively identify the key coloured  
 284 compounds in the Maillard reaction and rank the impact of these compounds on the colour of the complex reaction  
 285 mixtures, Hofmann took a crucial step in this area by defining the colour activity value (CAV) and colour contribution  
 286 (CC) [25]. However, he relied on visual assessment to determine the colour dilution factor (CDF) and the colour detec-  
 287 tion threshold (CDT) [38],[39]. Such a method could result in errors due to the limited accuracy and potential bias of  
 288 human observation. To improve the accuracy and reproducibility, UV-Vis instead of a human observer was adopted to  
 289 determine the CDF and CDT values. Each coloured compounds was dissolved in water to make a solution with a con-  
 290 centration of 3 mmol/L, which was then diluted with water repeatedly until no colour difference could be detected as  
 291 indicated by the solution having the same absorbance at 420 nm as that of water.

292

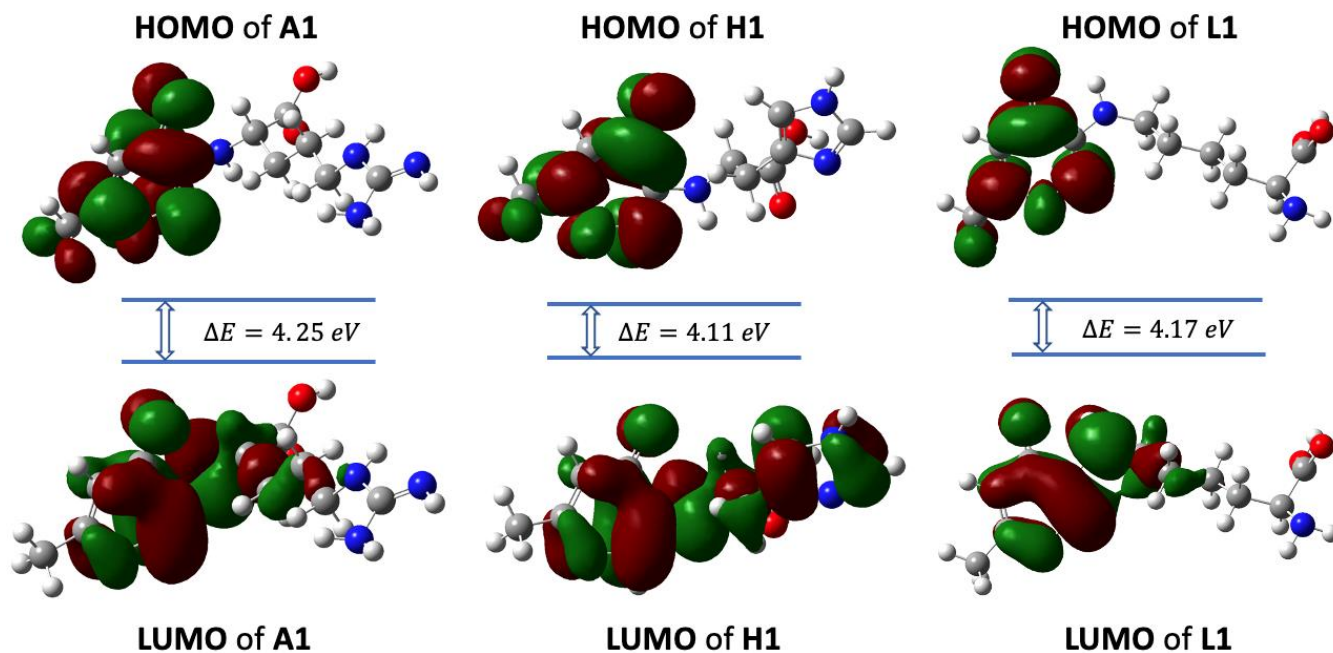
**Table 2.** Colour dilution analysis and CIELAB of the key coloured compounds formed in the AA-DHA

Colourant	Conc. (mmol/L)	CDT (mmol/L)	CAV	CC (%)	CIE				
					$L^*$	$a^*$	$b^*$	$c$	$h$
<b>A1</b>	56.06	0.46	122	70	51.04	-0.57	15.78	15.80	92.96
<b>H1</b>	64.90	0.10	657	76	47.81	1.34	25.81	25.85	87.02
<b>L1</b>	89.57	0.14	632	77	49.28	0.84	19.55	19.57	87.53

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294 As shown in Table 2, the lowest CDT was found for the colourant **H1** with 0.10 mmol/L (water), followed by colourant  
 295 **L1** with 0.14 mmol/L. Colourant **A1** with 0.46 mmol/L showed a 4.6-fold higher detection threshold concentration. In  
 296 terms of colour activity, **H1** had the highest CAV among these three colourants, with a value of 657, followed by **L1**  
 297 at 632. Despite having the similar concentration as **H1**, **A1** did not have the similar CAV due to its high CDT. The calculated  
 298 CAV ranked these colourants based on their relative effectiveness in generating the overall colour of the browned Mail-  
 299 lard mixtures. The CC was used to further evaluate the percentage contribution of each colourant to the total colour.

300 The CC of **A1**, **H1** and **L1** was found to contribute by 70%, 76% and 77% to the total colour for Arg-DHA, His-DHA and  
 301 Lys-DHA, respectively, which played a critical role in generating colour in AA-DHA reaction and they could be identified  
 302 as the key colourants. Although the CC values of these three colourants were high than those of Hofmann's isolated  
 303 coloured compounds from pentose-alanine reaction, they showed a similar result to Kyoko's report, where furpenthiazinate  
 304 contributed about 67% of the total colour of the cysteine-furfural reaction [25],[39],[40]. In addition, these  
 305 colourants were characterised using CIELAB colour space. Thus, as can be seen from Table 2, the colour characteristics  
 306 of these colourants were mainly reflected in the values of  $b^*$  (yellowness). The highest  $b^*$  value was found for **H1** to be  
 307 25.81, followed by **L1** at 19.55. Colourant **A1** showed the lowest  $b^*$  value at 15.78. Meanwhile, the structural and  
 308 electronic properties of **A1**, **H1** and **L1** were examined using DFT. The electron density distributions of the highest  
 309 occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) were shown in Figure 5. The  
 310 energy gap  $\Delta E$  ( $= E_{\text{HOMO}} - E_{\text{LUMO}}$ ) could reveal the ease of electronic transition from HOMO to LUMO [41],[42]. It can be  
 311 clearly seen from Figure 5 that **H1** (4.11 eV) and **L1** (4.17 eV) showed a smaller  $\Delta E$  than that of **A1** (4.25 eV), indicating  
 312 that **H1** and **L1** had a stronger browning intensity than **A1**, which explains the colour difference between His-DHA, Lys-  
 313 DHA and Arg-DHA when the concentrations of the key colourants were similar.



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#### 4. CONCLUSION

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In summary, three primary key coloured compounds with the same chromophore (**A1**, **H1** and **L1**) had been successfully isolated and identified. A possible reaction pathway for the formation of these colourants had also been proposed and validated by computation. DFT results showed that the large decrease in the free energy from the reactants to products was able to support the proposed reaction pathway. The very large difference in the energy barrier for the formation of Schiff base from Arg has further shown that His and Lys have higher reactivities than Arg with DHA to generate colour faster. In addition, CDA was a powerful tool to evaluate the colour impact of colourant formed in the complex Maillard reaction mixtures. In terms of colour activity, **H1** had the highest CAV at 657, followed by **L1** at 632. **A1** had the lowest CAV at 122. The CC results showed that **A1**, **H1** and **L1** were responsible for 70%, 76% and 77% of the

326 total colour for Arg-DHA, His-DHA and Lys-DHA, respectively, indicating that their concentration determines the  
327 browning intensity of the AA-DHA. CIE results demonstrated that the colour characteristic of these colourants was  
328 mainly reflected in the values of  $b^*$  (yellowness). The highest  $b^*$  value was found for **H1** at 25.81, followed by **L1** at  
329 19.55, with **A1** showing the lowest  $b^*$  value at 15.78. Meanwhile, the energy gap  $\Delta E$  ( $= E_{\text{HOMO}} - E_{\text{LUMO}}$ ) of **H1** (4.11 eV)  
330 and **L1** (4.17 eV) showed a smaller  $\Delta E$  than that of **A1** (4.25 eV). These results indicated that **H1** and **L1** have a stronger  
331 browning intensity than **A1**, which could be used to explain the colour difference between these AA-DHA mixtures.  
332

### 333 AUTHOR CONTRIBUTION STATEMENT

334 **Yufa Sun**: Investigation, Experimental design, Methodology, Characterization, Writing - original draft. **Peiyu Zhang**: Com-  
335 pound analysis, Writing - review & editing. **Xingyu Wang**: Computing, Validation, Writing - review & editing. **Fatimah A.**  
336 **M. Al-Zahrani**: Software. **Nora H.de Leeuw**: Date curation, Software, Writing - review & editing. **Long Lin**: Funding acqui-  
337 sition, Supervision, Writing - review & editing.

### 338 DECLARATION OF COMPETING INTEREST

339 The authors declare that they have no known competing financial interests or personal relationships that could have ap-  
340 peared to influence the work reported in this paper.

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