

This is a repository copy of Current practice in the measurement and interpretation of intervention adherence in randomised controlled trials: A systematic review.

White Rose Research Online URL for this paper: https://eprints.whiterose.ac.uk/id/eprint/187829/

Version: Published Version

Article:

Giovanazzi, Alexia, Jones, Katherine orcid.org/0000-0003-0615-8442, Carr, Rachel Margaret orcid.org/0000-0001-6972-0100 et al. (3 more authors) (2022) Current practice in the measurement and interpretation of intervention adherence in randomised controlled trials: A systematic review. Contemporary Clinical Trials. 106788. ISSN: 1551-7144

https://doi.org/10.1016/j.cct.2022.106788

Reuse

This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here: https://creativecommons.org/licenses/

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



ELSEVIER

Contents lists available at ScienceDirect

Contemporary Clinical Trials

journal homepage: www.elsevier.com/locate/conclintrial





Current practice in the measurement and interpretation of intervention adherence in randomised controlled trials: A systematic review

Alexia Giovanazzi ^{a,b,1}, Katherine Jones ^{c,1}, Rachel M. Carr ^c, Caroline M. Fairhurst ^c, Michael R. Backhouse ^d, Joy A. Adamson ^{c,*}

- a Department of Health Sciences, University of York, York, UK
- ^b Department of Diagnostics and Public Health, University of Verona, Italy
- ^c York Trials Unit. Department of Health Sciences, University of York, York, UK
- ^d Warwick Clinical Trials Unit, Warwick Medical School, University of Warwick, UK.

ARTICLE INFO

Keywords: Adherence Reporting Measurement Compliance Fidelity Randomised controlled trial

ABSTRACT

Background: Ideally all participants in a randomised controlled trial (RCT) should fully receive their allocated intervention; however, this rarely occurs in practice. Intervention adherence affects Type II error so influences the interpretation of trial results and subsequent implementation. We aimed to describe current practice in the definition, measurement, and reporting of intervention adherence in non-pharmacological RCTs, and how this data is incorporated into a trial's interpretation and conclusions.

Methods: We conducted a systematic review of phase III RCTs published between January 2018 and June 2020 in the National Institute for Health Research Journals Library for the Health Technology Assessment, Programme Grants for Applied Research, and Public Health Research funding streams.

Results: Of 237 reports published, 76 met the eligibility criteria and were included. Most RCTs (n = 68, 89.5%) reported adherence, though use of terminology varied widely; nearly three quarters of these (n = 49, 72.1%) conducted a sensitivity analysis. Adherence measures varied between intervention types: behavioural change (n = 10, 43.5%), psychological therapy (n = 5, 83.3%) and physiotherapy/rehabilitation (n = 8, 66.7%) interventions predominately measured adherence based on session attendance. Whereas medical device and surgical interventions (n = 17, 73.9%) primarily record the number of participants receiving the allocated intervention, a third (n = 33, 67.3%) of studies reported a difference in findings between primary and sensitivity analyses.

Conclusions: Although most trials report elements of adherence, terminology was inconsistent, and there was no systematic approach to its measurement, analyses, interpretation, or reporting. Given the importance of adherence within clinical trials, there is a pressing need for a standardised approach or framework.

1. Background

While randomised controlled trials (RCTs) remain the optimal study design for evaluating the effectiveness of an intervention; research to understand and improve the design, delivery, and analysis of RCTs is required in tandem [1]. This includes the concept of adherence, or the extent to which participants receive their allocated intervention as intended. Deviations from intended interventions can increase the risk of Type II errors, incorrectly failing to reject the null hypothesis [2], and therefore impact on the interpretation of trial results and subsequently,

implementation decisions.

The Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT) guidelines recommend that trials should plan and implement robust processes for monitoring adherence and describe these in the protocol [3]. This may include the measurement of adherence and how this will be collected, whether there is a defined acceptable minimum adherence level, and a rationale for these decisions.

However, defining and quantifying intervention adherence within the context of individual trials can be challenging. Within pharmacological trials, there are standardised published guidelines to enhance the

E-mail address: joy.adamson@york.ac.uk (J.A. Adamson).

^{*} Corresponding author.

 $^{^{1}}$ Joint first author.

quality of measuring and reporting adherence [4], e.g. the Medication Adherence Reporting Guideline (EMERGE) [5]. However, the same cannot be said for non-pharmacological interventions. There are multiple, and sometimes conflicting definitions of the term 'adherence' within the literature but one of the more widely used is the WHO [6] definition of "the extent to which a person's behaviour - taking medication, following a diet, and/or executing lifestyle changes with agreed recommendations from a health care provider". The Consolidated Standards of Reporting Trials (CONSORT) statement, Consensus on Exercise Reporting Template (CERT) and Template for Intervention Description and Replication (TIDieR) checklists outline the need for RCTs of non-pharmacological treatments to report detailed information about the intervention including adherence both at participant and care provider levels [7–9]. Whilst it is not uncommon to see the concept of adherence integrated into the reporting of RCTs, it appears the measures of adherence are highly variable and that there is no consensus on best practice. A recent review demonstrated that, particularly in trials of complex interventions, measurements of adherence were highly variable in both quality and content [10]. The literature is plagued with inconsistencies in the terminology used and varied definitions of adherence [11], e.g. use of the terms compliance, fidelity, engagement, etc., each with slightly differing connotations.

Current literature recommends that a sensitivity statistical analysis should be performed for RCTs, in addition to intention to treat (ITT), which aims to estimate the treatment effect among participants considered to have good intervention adherence, especially in the presence of a high rate of treatment non-adherence [12]. For example, a per-protocol analysis (only including participants that have not deviated from the protocol); however, this reduces the sample size, and hence power, of the analysis and can introduce selection bias if the remaining participants are no longer balanced across the groups. Similar concerns can arise from an as-treated (or *on-treatment*) analysis, in which the participants are analysed according to the treatment [13]. Therefore, outcomes derived from per-protocol and on-treatment analyses should be interpreted with care.

Complier average causal effect (CACE) analysis is less likely to provide a biased estimate of the potential intervention effect as it is randomisation-respecting [14]. CACE analysis provides estimates by comparing participants of the intervention group who adhered to the treatment with participants of the control group who would have adhered to the treatment had it been offered.

No matter the method used, in order to understand the results, it is fundamental that intervention adherence is well defined and reported. This review aims to describe current practice related to the definition, measurement, and reporting of adherence in non-pharmacological RCTs, and how this information is incorporated into the analysis and interpretation of trial results.

2. Methods

2.1. Searches

We searched all phase III RCTs published between 1st January 2018 and 30th June 2020 in the National Institute for Health Research (NIHR) Journal Library database for the Health Technology Assessment (HTA), Programme Grants for Applied Research (PGfAR), and Public Health Research (PHR) funding streams. We focussed on the NIHR as they are the UK's largest funder of health and care research. Our review focused on the peer-reviewed reports that are a requirement upon completion of the grant [15]. We chose to focus on the reports published in the NIHR journals library, rather than journal articles, given the comprehensive methodological information that is provided. We felt the details required regarding the measurement and statistical handling of adherence, were more likely to be located in the reports. All reports are written in English, and no search terms were needed as a manual search of all published reports, within our defined period, was feasible given

the size of the database. The time interval (2.5 years) was considered adequate to capture current practice in trials of health interventions.

2.2. Study inclusion and exclusion criteria

RCTs were included if they used individual or cluster randomisation, with no restrictions on the number of trial arms or on trial populations. We included both superiority and non-inferiority trials, either with a parallel-group or a cross-over design, in which the intervention under observation could be of any type but not an Investigational Medicinal Product (IMP). We excluded all clinical trials of IMPs, typically phase IV, by screening the reports against the Medicines and Healthcare products Regulatory Agency algorithm and/or by searching on the European Union Drug Regulating Authorities Clinical Trials (EudraCT) Database number. Trials solely reporting on the economic evaluation of an intervention were also excluded, as well as follow-up studies of an RCT. Two authors screened all reports' titles and abstracts against the inclusion and exclusion criteria with any disagreements resolved by a third author.

2.3. Study quality assessment

Formal assessment of study quality is important in systematic reviews seeking to determine the effectiveness of an intervention, but this review sought to describe current practice regarding adherence in clinical trials with heterogeneous interventions. As such we did not assess trial quality as this would not impact our findings or their interpretation.

2.4. Data extraction strategy

One author extracted data, using a piloted Microsoft Excel extraction sheet, information on study characteristics (subject area, study design, setting, description of the intervention, number of participants randomised, primary outcome measures), statistical analyses and results (primary analysis, and other analysis when used) for all the included studies. All included reports were then reviewed by a second author who checked extracted data for accuracy and completeness with disagreements resolved through consensus with a third author. Data were also collected regarding intervention adherence and rationale, terminology used, how this was measured, and whether it was considered when analysing and interpreting the results. Subject areas were subjectively defined based on the primary nature of the intervention from: behaviour change, surgical, non-investigational medical device, physiotherapy/rehabilitation, psychological change, or other (training/monitoring/educational) (Table 1).

2.5. Data synthesis and presentation

Included reports were highly heterogeneous in respect to population, intervention and setting and therefore a narrative summary was

Table 1Definitions of intervention categories.

Intervention category	Definition
Behaviour Change	An intervention that aims to shift behaviours in order to prevent illness onset/worsening
Medical Device	An intervention that includes a non-investigational medical device
Physiotherapy/ rehabilitation	An intervention where the main focus is on physical exercise, movement, or physical activity
Psychological Therapy	An intervention consisting of talking therapies aimed at treating a mental health problem
Surgical	An intervention that includes a surgical procedure
Other	Any intervention that does not fit into the other categories including training, monitoring, education, and diagnostics.

performed to synthesise findings. Summary data are presented with raw numbers and associated percentages where appropriate.

3. Results

The search of the NIHR Journals (HTA, PGfAR and PHR) for the period between 1st January 2018 and 30th June 2020, yielded a total of 237 reports that were potentially eligible for inclusion (HTA n=174; PGfAR n=22; PHR n=41). The third review author was referred to 34 discrepancies out of 320 (10.6%). Seventy-six (32.1%) reports met all the eligibility criteria and were included in this review. In all, 161 studies were excluded: 140 based on title information, 19 on abstract information and two following full text review. The PRISMA flow chart depicting through the review is shown in Fig. 1.

3.1. Characteristics of the included studies

A summary of studies included are presented in Supplementary Table 1. Of the 76 reports, interventions categorised as behaviour change (n=23,30.3%) were evaluated most frequently, followed by surgical interventions (n=13,17.1%) and physiotherapy/rehabilitation (n=12,15.8%). Most studies (n=74,97.4%) were UK-based, while two (2.6%) were conducted in the Republic of Ireland [16,17]. The setting varied among the 76 included trials; most were hospital based (n=25,

32.9%). Fifty-eight (76.3%) were individually randomised RCTs and 18 (23.7%) were cluster RCTs.

3.2. Adherence reporting

Among the 76 studies in the review, eight (10.5%) did not report measurements of adherence. Of those eight, five [18–22] included an evaluation of intervention fidelity which focused on how well the intervention was delivered rather than the extent to which it was received by the participants, two [23,24] were only related to a pharmacological element of the intervention (e.g. medication consumption) and to date one [25] had not reported findings. These studies were excluded from subsequent analysis.

3.3. Measurement of adherence

Of the 68 reports that assessed adherence, 49 (72.1%) undertook analysis to adjust for adherence and an additional 19 (27.9%) assessed adherence but did not undertake analysis (Table 2). Eight (11.8%) used the term 'adherence' only, 11 (16.2%) used only 'compliance', and 49 (72%) used another term (attendance, receipt of intervention, engagement, responsiveness, crossover, concordance, etc) or a combination of terms.

Measures of adherence included (Fig. 2): session attendance (n = 27,

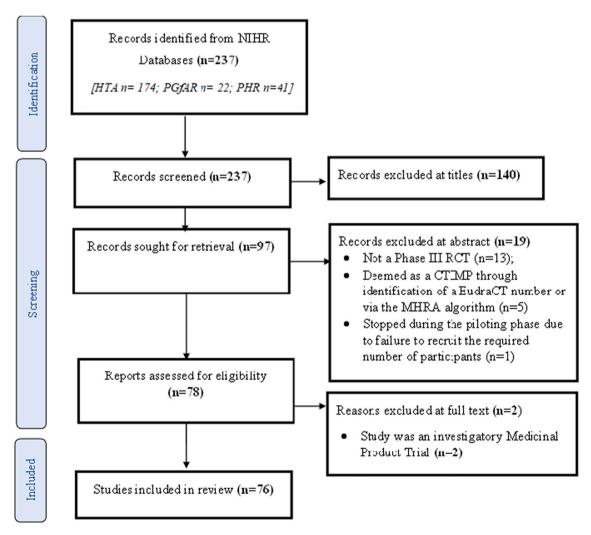


Fig. 1. PRISMA flow diagram of literature search and study selection phases; n, number. NIHR, National Institute for Health Research; HTA, Health Technology Assessment; PGfAR, Programme Grants for Applied Research; PHR, Public Health Research; CTIMP, Clinical Trials of Investigational Medicinal Products; EudraCT, European Union Drug Regulating Authorities Clinical Trials Database; MHRA, Medicines and Healthcare products Regulatory Agency.

 Table 2

 Terminology used and measures of adherence, including analysis to adjust for adherence, in trials that reported on intervention adherence.

Education Change Adalherence Adalheren	Analysis type
momelier al. (2019) [27] Compliance and adherence not used in repect of the receipt of the intervention region of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of cortant's choose that implemented clements of the intervention of continuing to follow the program through assessment of participants adherence to duly self-weighing when the intervention or when the web application is senting that it is self-weighing when the intervention or when the web application is senting the clear and the intervention or more telephone support calls, telephone delivered: a least at telephone suppor	
Soundle et al. (2019) [27] Compliance and adherence not used in respect of the receipt of the intervention of participants adherence to daily self-weighting in a case of the intervention of participants adherence to dealy self-weighting in a case of the intervention interve	
intervention intervention intervention (altervention (alte	PP
Crombie et al. (2019) [291] Adherence Session attendance and continuing to SMS Session attendance and continuing to the program through seasoment of participants adhereace to daily self-vicipants (participants) SMS S	
SMS Dakey et al. (2019) [29] Adherence Session attendance and continuing to follow the program through assessment of participants "atherence to ality self-weighing weighing or when the web polipotation is follow the program through assessment of participants and program through assessment of participant and program an	
Deluca et al. (2020) [36] Deluca et al. (2020) [37] Deluca et al. (2020) [38] Deluca et al. (2019) [31] Compliance/adherence Everitt et al. (2019) [31] Compliance/adherence Session attendance (all six sessions offered) Gaughran et al. (2020) Gaughran e	
Deluca et al. (2020) [36] Adherence of participants afherence to daily self-weighing on the samptone device is connected to the internet or when the web application is accessed. Per et al. (2019) [31] Compliance/adherence selection of the school offered of the samptone device is connected to the internet or when the web application is accessed. Per et al. (2019) [32] Compliance/adherence selection offered offer	Other
Everitt et al. (2019) [31] Compliance/adherence Everitt et al. (2019) [32] Compliance/adherence Sessions completed (Web-based: at least 4 web-based: at least 4 web-based: and one or more telephone support calls; telephone-delivered: at least 4 veb-based: and one or more telephone support calls; telephone-delivered: at least 4 veb-based and one or more telephone support calls; telephone-delivered: at least 4 veb-based and one or more telephone support calls; telephone-delivered: at least 4 veb-based and one or more telephone support calls; telephone-delivered: at least 4 veb-based and one or more telephone support calls; telephone-delivered: at least 4 veb-based and one or more telephone support calls; telephone-delivered: at least 4 veb-based self-manufactured; the allocated products (5 days or more)/ excluding participants receiving the allocated products (5 days or more)/ excluding participants who did not attend any session allocated products (5 days or more)/ excluding participants who did not attend any session attendance for the programmic size of the prog	
Sessions completed (Web-based: at least 4 web-leased and one or more telephone support calls; telephone-delivered: at least 4	Other
telephone support calls; telephone- delivered: at least at telephone- Gaughrane et al. (2020) Gaughrane et al. (2020) Gaughrane et al. (2019) [34] Giles et al. (2019) [35] Giles et al. (2019) [34] Harriagton et al. (2019) Harris et al. (2019) Harris et al. (2019) [35] Gheren Harrington et al. (2019) Harris et al. (2019) [35] Harris et al. (2019) [35] Harris et al. (2019) [35] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Gheren Hewlett et al. (2019) [38] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Harris et al. (2018) [37] Hewlett et al. (2019) [41] Honte et al. (2019) [41] Honte et al. (2019) [41] Honte et al. (2019) [41] Honter et al. (2019) [41] Attendance/compliance Diameter Attendance/compliance Diameter Diameter Diameter Diameter None None None None None None None None Yes Session attendance et al. (abast 2, session 1 and any other session) and any other session) None None Yes "estion attendance et al. (abast 2, session 1 and any other session) None None Yes "it individuals in the RAFT programme intervention and myste veronisidence participants only if they attended the first session (which might then have an impact on their outcome), then the offer of the intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention session None Yes "it individuals in the RAFT programine intervention eleas yeares (25) CAE estimation were carried out separately out put the value out on individuals in the RAFT programine intervention Expansity	CACE
Session attendance (all six sessions of offered) Compliance at 2,0200 [33] Giles et al. (2019) [34] Ettent of intervention received Hajek et al. (2019) [35] Adherence/compliance Harrington et al. (2019) Hewlett et al. (2019) [35] Adherence Compliance Engagement Compliance to treatment/adherence to session plans. Che word 'compliance' is used here as a statistical term; clinically the word adherence is used here as a statistical term; clinically the word adherence is used here as a statistical term; clinically the word adherence is used here as a fact in describe active rather than passive decisions to participants.) Attendance/compliance Attendance/compliance Attendance/compliance Adherence/compliance Attendance/compliance Adherence/compliance Attendance/compliance Adherence/compliance Adherence/compliance Attendance/compliance Adherence/compliance Adherence/compli	
Gaughrane et al. (2020) [331] Giles et al. (2019) [34] Hajek et al. (2019) [35] Adherence/compliance Engagement [36] Adherence Compliance to treatment/adherence to session plans. CHe word 'compliance' sis used here as a statistical term; clinically the word adherence is used here as a statistical term; clinically the word adherence is used here as a statistical term; clinically the word adherence is used here as a statistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used here as a traitistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Holt et al. (2018) [39] Attendance/compliance Engagement Engagement Engagement Engagement Engagement Adherence/compliance Participant responsiveness and reach Engagement Engagement Engagement Engagement Adherence/compliance Adherence/compliance Participant who attended at least on intervention and here to the intervention and t	CACE
Siles et al. (2019) [34] Extent of intervention received allocated intervention Excluding the abstainers using non allocated products (5 days or more) / excluding participants who did not attend any session Inclusion of the schools who engaged with ≥70% of the programme None Yes	CACE
Hairlington et al. (2019) [35] Harrington et al. (2019) [36] Harrington et al. (2019) [37] Hewlett et al. (2019) [37] Hewlett et al. (2019) [38] Harris et al. (2019) [38] Harris et al. (2019) [38] Hewlett et al. (2019) [38] Hewlett et al. (2019) [38] Harris et al. (2019) [38] Hewlett et al. (2018) [39] Holt et al. (2018) [39] Holt et al. (2018) [39] Holt et al. (2018) [39] Humphrey et al. (2018) Humphrey et al. (2018) [41] Hunter et al. (2019) [41] Hunter et al. (2019) [42] Haddan et al. (2019) [43] Holt et al. (2019) [43] Humphrey et al. (2019) [43] Hunter et al. (2019)	
Harrington et al. (2019) Engagement Inclusion of the schools who engaged with ≥70% of the programme Session attendance by nurses Session attendance by nurses Session attendance to taset 2, session 1 session plans. (The word 'compliance' is used here as a statistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Holt et al. (2018) [39] Attendance/compliance or session plans (The word 'compliance' is used here as a statistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Holt et al. (2018) [39] Attendance/compliance or session state of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention does affect outcome, then the offer of the intervention course. Holt et al. (2018) [39] Attendance/compliance Compliance Astendance of at least one foundation course. Froedward fidelity, participant responsiveness and reach Recording of daily activity and web usage considered participants who attendance at least one intervention (not having access to their behavioural change program) Murray et al. (2019) [43] Adherence/compliance Astendance of the alternative intervention (not having access to their behavioural change program) Murray et al. (2019) [45] Attendance/engagement December of sessions attendance of the alternative intervention of the Adays). Excluding participants who were suspected to have been exposed to the alterna	PP
Harrington et al. (2019) [36] Harris et al. (2018) [37] Hewlett et al. (2019) [38] Harris et al. (2019) [38] Hewlett et al. (2019) [39] Hewlett et al. (2019) [39] Hewlett et al. (2018) [37] Hewlett et al. (2018) [37] Hewlett et al. (2018) [37] Hewlett et al. (2018) [39] Hewlett et al. (2018) [39] Hewlett et al. (2018) [39] Holt et al. (2018) [39] Holt et al. (2018) [39] Holt et al. (2019) [41] Hunter et al. (2019) [41] Hunter et al. (2019) [42] Hunter et al. (2019) [42] Harris et al. (2019) [43] Harris et al. (2019) [43] Holt et al. (2019) [43] Holt et al. (2019) [45] Holt et al.	
With ≥70% of the programme Session attendance by nurses Session attendance (at least 2, session 1 session plans. (The word 'compliance' is used here as a statistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Attendance/compliance Session attendance (at least 2, session 1 and any other session) Feet Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Session attendance (at least 2, session 1 and any other session) Feet Feet Session attendance (at least 2, session 1 and any other session) Feet Fe	DD
Adherence Compliance to treatment/adherence to session plans. (The word 'compliance' is used here as a statistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Attendance/compliance Holl et al. (2018) [39] Attendance/compliance In gagement Adherence Session attendance (at least 2, session 1 yes "if individuals in the RAFT Yes "if individuals i	PP
session plans. (The word 'compliance' is used here as a statistical term; clinically the word adherence is used to describe active rather than passive decisions to participate.) Holt et al. (2018) [39] Attendance/compliance Humbrey et al. (2019) [41] Emande at al. (2019) [42] Adherence/compliance Madan et al. (2019) [43] Murray et al. (2018) [44] Compliance Murray et al. (2018) [44] Murray et al. (2018) [45] Attendance/engagement Session plans. (The word 'compliance' considered participants only if they attended the first session (which might then have an impact on their outcome. To address this issue, the two-stage least squares (2SLS) CACE estimation were carried out separately to allow for loss to follow-up that was dependent on compliance." None Yes Yes Ompliance as attendance of at least one foundation course. Procedural fidelity, participant responsiveness and reach Recording of daily activity and web usage Usage Participants who attended at least one intervention session Madan et al. (2019) [43] Adherence/compliance Based on the possibility that participants are not adherent to the intervention (not having access to their behavioural change program) high usage on a web-based self-management programme (usage ≥ the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Obsorber et al. (2019) [45] Attendance/engagement Soborn et al. (2019) [45] Attendance/engagement Numbrer of sessions attended/setting goals Compliance with the intervention Number of sessions Did not perform analysis	Other
Humphrey et al. (2018) Participant responsiveness and reach [40] Procedural fidelity, participant n.a Yes responsiveness and reach Hunter et al. (2019) [41] Engagement Recording of daily activity and web usage Ismail et al. (2019) [42] Adherence/compliance Participants who attended at least one intervention session Madan et al. (2019) [43] Adherence/compliance Based on the possibility that participants are not adherent to the intervention (not having access to their behavioural change program) Murray et al. (2018) [44] Compliance 'high usage' on a web-based self-management programme (usage ≥ the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Osborn et al. (2019) [45] Attendance/engagement Number of sessions attended/setting goals Peckham et al. (2019) Compliance Compliance Wester adepending on number of sessions	CACE
Humphrey et al. (2018) Participant responsiveness and reach [40] responsiveness and reach Hunter et al. (2019) [41] Engagement Recording of daily activity and web usage Ismail et al. (2019) [42] Adherence/compliance Participants who attended at least one intervention session Madan et al. (2019) [43] Adherence/compliance Based on the possibility that nature of a the intervention (not having access to their behavioural change program) Murray et al. (2018) [44] Compliance 'high usage' on a web-based self-management programme (usage ≥ the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Osborn et al. (2019) [45] Attendance/engagement Number of sessions attended/setting goals Peckham et al. (2019) Compliance Compliance Compliance Wigh under of sessions Peckham et al. (2019) Compliance Compliance Compliance Wigh the intervention n.a Yes	CACE
Hunter et al. (2019) [41] Engagement Recording of daily activity and web usage Ismail et al. (2019) [42] Adherence/compliance Participants who attended at least one intervention session Madan et al. (2019) [43] Adherence/compliance Based on the possibility that participants are not adherent to the intervention (not having access to their behavioural change program) Murray et al. (2018) [44] Compliance 'high usage' on a web-based self-management programme (usage > the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Osborn et al. (2019) [45] Attendance/engagement Mumber of sessions attended/setting goals Peckham et al. (2019) Compliance Compliance with the intervention n.a Yes	Other
Ismail et al. (2019) [42] Adherence/compliance Participants who attended at least one intervention session Madan et al. (2019) [43] Adherence/compliance Based on the possibility that n.a. Yes participants are not adherent to the intervention (not having access to their behavioural change program) Murray et al. (2018) [44] Compliance 'high usage' on a web-based self-management programme (usage ≥the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Osborn et al. (2019) [45] Attendance/engagement Possions attended/setting goals Peckham et al. (2019) Compliance Compliance with the intervention n.a Yes depending on number of sessions	
Madan et al. (2019) [43] Adherence/compliance Based on the possibility that n.a Yes participants are not adherent to the intervention (not having access to their behavioural change program) Murray et al. (2018) [44] Compliance Murray et al. (2018) [44] Compliance Peckham et al. (2019) [45] Attendance/engagement Compliance Based on the possibility that n.a None Yes Peckham et al. (2019) [45] Compliance None Yes Management programme (usage ≥ the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Intervention Soborn et al. (2019) [45] Attendance/engagement Compliance Compliance with the intervention N.a Yes 46]	PP
Murray et al. (2018) [44] Compliance 'high usage' on a web-based self- management programme (usage ≥ the median of 4 days). Excluding participants who were suspected to have been exposed to the alternative intervention Osborn et al. (2019) [45] Attendance/engagement Number of sessions attended/setting goals Peckham et al. (2019) Compliance Compliance with the intervention n.a Yes [46]	PP
Osborn et al. (2019) [45] Attendance/engagement Number of sessions attended/setting goals Peckham et al. (2019) Compliance Compliance with the intervention n.a Yes depending on number of sessions	CACE/ Other
Peckham et al. (2019) Compliance Compliance with the intervention n.a Yes [46] depending on number of sessions	
attended	CACE
Viner et al. (2020) [47] Compliance Compliance With the program defined None Yes as attendance of the first session plus	CACE

Table 2 (continued)

	Terminology	Parameter (or domain) used to measure adherence, and/or defined minimum acceptable level of adherence	Rationale for adherence threshold	Adherence analysis	Analysis type
		five or more of the twelve sessions			
Vyatt et al. (2018) [48]	Compliers with the intervention are children receiving at least four sessions of drama and the one-to-one goal setting session	offered Number of sessions received by the children and parents; number of participants receiving the intervention as allocated	Did not perform analysis		
Medical device					
Brocklehurst et al. (2018)	Compliance	Recording of the thumbprint entries on the Guardian system	Did not perform analysis		
Costa et al. (2018) [49]	Adherence	Excluding participants who did not	n.a	Yes	PP
Costa et al. (2020) [50]	Compliance/adherence	receive the allocated intervention Compliers defined as participants who wore their allocated treatment for a period of ≥6 weeks without any change in treatment during this period/CACE analysis with different thresholds (minimum of 4 weeks and minimum of	None	Yes	CACE
Capoor et al. (2019) [51]	Adherence/compliance with device	2 weeks treatment allocation) Excluding participants who did not	n.a	Yes	PP
Vixon et al. (2019) [52]	usage Compliance/adherence	receive a device Excluding participants who did not achieve at least 60% compliance with their allocated mattress prior to developing a pressure ulcer of category ≥2, or the end of the treatment phase, whichever occurred sooner (other reasons apply)	None	Yes	РР
Perkins et al. (2019) [46]	Compliance/adherence	Compliance was defined as whether or not a participant received their allocated intervention up to the point of death, liberation, reintubation or tracheostomy (whichever came first). Excluding participants who had violated the protocol (did not receive the intervention as allocated)	n.a	Yes	PP
hysiotherapy/rehabilitation	on				
ashburn et al. (2019) [53]	Compliance	Session attendance (excluding those who received less than 7 sessions) and repeat failing to complete >50% diary days	None	Yes	PP
Ashburn et al. (2019)		who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full	None	Yes	PP CACE
ashburn et al. (2019) [53]	Compliance	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10,			
Ashburn et al. (2019) [53] Barker et al. (2019) [54]	Compliance Compliance/adherence	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance)	None Yes, based on their previous studies that people would find some benefit	Yes	CACE
Ashburn et al. (2019) [53] Barker et al. (2019) [54] Clare et al. (2019) [55] Bas Nair et al. (2019)	Compliance/adherence Compliance/adherence	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise intervention in line with protocol/self-	None None Yes, based on their previous studies	Yes Yes	CACE Other CACE
Sarker et al. (2019) [53] Sarker et al. (2019) [54] Clare et al. (2019) [55] las Nair et al. (2019) [56]	Compliance Compliance/adherence Compliance/adherence Adherence/compliance Adherence Compliance to session attendance/adherence to exercise intervention (to	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise	None Yes, based on their previous studies that people would find some benefit from attending at least four sessions	Yes Yes Yes	CACE Other CACE
Ashburn et al. (2019) [53] Sarker et al. (2019) [54] Clare et al. (2019) [55] Sas Nair et al. (2019) [56] Say et al. (2018) [57]	Compliance Compliance/adherence Compliance/adherence Adherence/compliance Adherence Compliance to session attendance/	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise intervention in line with protocol/self-reported exercise adherence Session attendance (>75% of scheduled sessions) Cognitive session attendance (at least	None Yes, based on their previous studies that people would find some benefit from attending at least four sessions n.a	Yes Yes Yes	CACE Other CACE PP/Othe
Ashburn et al. (2019) [53] Barker et al. (2019) [54] Clare et al. (2019) [55] Bas Nair et al. (2019) [56] Bay et al. (2018) [57] Bamb et al. (2018) [58]	Compliance Compliance/adherence Compliance/adherence Adherence/compliance Adherence Compliance to session attendance/adherence to exercise intervention (to treatment)	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise intervention in line with protocol/self-reported exercise adherence Session attendance (>75% of scheduled sessions) Cognitive session attendance (at least 3) The number of times the bowel massage was done per week recorded in a diary and self-reported changes in lifestyle	None Yes, based on their previous studies that people would find some benefit from attending at least four sessions n.a None	Yes Yes Yes Yes	CACE Other CACE PP/Othe
Ashburn et al. (2019) [53] Barker et al. (2019) [54] Clare et al. (2019) [55] Bas Nair et al. (2019) [56] Bay et al. (2018) [57] Bamb et al. (2018) [58] Clare et al. (2020) [59] Acclurg et al. (2018)	Compliance Compliance/adherence Compliance/adherence Adherence/compliance Adherence Compliance to session attendance/ adherence to exercise intervention (to treatment) Adherent/compliance	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise intervention in line with protocol/self-reported exercise adherence Session attendance (>75% of scheduled sessions) Cognitive session attendance (at least 3) The number of times the bowel massage was done per week recorded in a diary and self-reported changes in lifestyle (diet and exercise) Adherers to key components of the intervention (recommended practice and support, or puzzle book received). A minimum of six puzzle books and four contacts was used as a measure of	None Yes, based on their previous studies that people would find some benefit from attending at least four sessions n.a None	Yes Yes Yes Yes	CACE Other CACE PP/Othe
Ashburn et al. (2019) [53] Barker et al. (2019) [54] Clare et al. (2019) [55] Bas Nair et al. (2019) [56] Hay et al. (2018) [57] Bamb et al. (2018) [58] Clare et al. (2018) [58] Acclurg et al. (2020) [59]	Compliance Compliance/adherence Compliance/adherence Adherence Adherence Compliance to session attendance/ adherence to exercise intervention (to treatment) Adherent/compliance Adherence/compliance	who received less than 7 sessions) and repeat failing to complete >50% diary days Session attendance (4 – partial, 7 – full attendance) Session attendance (at least 10, maximum 14 sessions) Session attendance (at least 4) People who had received exercise intervention in line with protocol/self-reported exercise adherence Session attendance (>75% of scheduled sessions) Cognitive session attendance (at least 3) The number of times the bowel massage was done per week recorded in a diary and self-reported changes in lifestyle (diet and exercise) Adherers to key components of the intervention (recommended practice and support, or puzzle book received). A minimum of six puzzle books and	None Yes, based on their previous studies that people would find some benefit from attending at least four sessions n.a None None Did not perform analysis	Yes Yes Yes Yes Yes	CACE Other CACE PP/Othe CACE CACE

Table 2 (continued)

Author (year)	Terminology	Parameter (or domain) used to measure adherence, and/or defined minimum acceptable level of adherence	Rationale for adherence threshold	Adherence analysis	Analysis type
	Fidelity around delivery and implementation (patient actions were also considered)	and exercise, lifestyle change, arranged activity, liaise with professionals			
Surr et al. (2020) [64]	also considered)		Compliance/adherence	Compliers defined as care homes who would have received at least one cycle of Dementia Care Mapping to an acceptable level (all components of the cycle completed) had it been offered to them	None
⁄es	CACE				
Psychological Therapy Cottrell et al. (2018) [65]	Adherence	Received at least 1 session of the allocated treatment. Excluding protocol	None	Yes	CACE and PP
Jahoda et al. (2018) [66]	Adherence	violators. Excluding participants who did not attend 8 Beatit (manualised behavioural activation) therapy sessions or 6 StepUp (adapted guided self-help) sessions	None	Yes	PP
Johnson et al. (2019) [67]	Attendance/engagement	Psychoeducation session attendance	n.a	Yes	Other
Jones et al. (2018) [68]	Attendance	Number of attendances at the groups meeting	Did not perform analysis		
Morrison et al. (2019) [69]	Compliance	Session attendance (at least 6)	None	Yes	CACE
Serfaty et al. (2019) [70]	Compliance	The measure of compliance was the number of CBT sessions attended before the latest follow-up (18 or 24 weeks) for which the individual had outcome data. Compliers were defined as those who had at least one session of CBT.	None	Yes	CAITT
Surgical Beard et al. (2020) [71]	Compliance	Whether the operation was delivered as intended or changed (e.g., partial to total knee replacement)	n.a	Yes	CACE
Bhatnagar et al. (2020) [72]	Adherence	Recording of number of participants receiving the allocated intervention	Did not perform analysis		
Cooper et al. (2019) [73]	Compliance and adherence not used in respect of the receipt of the intervention	Whether they received the allocated treatment (LASH or EA intervention)	n.a	Yes	PP
Costa et al. (2018) [74]	Crossover	Whether they received the allocated treatment (locking plate or intramedullary)	n.a	Yes	PP
Gohel et al. (2019) [75]	Concordance with the treatment (compression therapy)/Non-adherent	Protocol deviators	n.a	Yes	PP
Hemming et al. (2020) [76]	Compliance	Included women who followed the protocol and received the treatment as allocated	n.a	Yes	PP
Jayne et al. (2019) [77]	Adherence/compliance	Excluding participants who did not have surgery and classing them with respect to the first intervention they received	n.a	Yes	PP
Khan et al. (2018) [78]	Compliance/Adherence	Recording of number of participants receiving their allocated treatment (assessment of erroneous return of cell- salvaged blood in the control group)	Did not perform analysis		
		sarragea blood in the control group)		(continued o	n next paş

Table 2 (continued)

Author (year)	Terminology	Parameter (or domain) used to measure adherence, and/or defined minimum acceptable level of adherence	Rationale for adherence threshold	Adherence analysis	Analysis type
Maguire et al. (2020) [79]	Compliance	Excluding participants with treatment deviations on >20% of visits	None	Yes	PP
Mallucci et al. (2020) [16]	Compliance/Adherence	Recording of the number of participants receiving the allocated intervention	Did not perform analysis		
Markus et al. (2019) [80]	Treatment Allocation	Including participants who received the assigned treatment and had at least 50% vertebral artery stenosis confirmed by angiography	n.a	Yes	PP
Sharples et al. (2018) [81]	Compliance	Compliers are those whom the surgery was completed as planned	n.a	Yes	CACE
Ulug et al. (2018) [82]	Compliance/adherence	Adherer defined as participants who were randomised to intervention strategy, subsequently found not anatomically suitable, undergoing open repair. Failure to receive the allocated treatment for any other reason was classified as non-adherence	n.a	Yes	CACE
Others (training/monitorin	g/diagnostic and others)				
Andrews et al. (2018) [83]	Compliance	Completed the study without protocol violation and complied with administered treatment	n.a	Yes	PP
Francis et al. (2020) [84]	Adherence/concordant	Non-compliers as participants who have departures from randomised treatment	n.a	Yes	CACE
Foy et al. (2020) [85]	Receipt of the intervention	Receipt of education outreach meeting/ joining rate/outreach support/s outreach meeting/reports received as intended (allocated)	Did not perform analysis		
Gulliford et al. (2019) [86]	Use of the decision support tool by the general practitioner	Session attendance (all sessions i.e. 4 in intervention)	Did not perform analysis		
Haighton et al. (2019) [87]	Reach of the intervention	Number of participants receiving the allocated intervention	Did not perform analysis		
Lovell et al. (2019) [88]	Fidelity of the training	Number of training sessions attended by the staff	Did not perform analysis		
Selby et al. (2018) [89]	Compliance with visits attendance/ compliance with the Enhanced Liver Fibrosis test/Compliance with cirrhosis management/Adherence in the context of treatment implementation	No full results available yet (number of visits attended in time for compliance, type of tests and number of tests done in time for compliance)	Did not perform analysis		
Wykes et al. (2018) [90]	Perception	Number of ward activities/number of participants in the session	Did not perform analysis		

CACE, Complier average Casual Effect; PP, Per-Protocol, CAITT, Contamination-adjusted Intention to treat; CBT, Cognitive Behavioural Therapy; n.a, Not Applicable; DCM, Dementia Care Mapping; LASH, Laparoscopic Supracervical Hysterectomy; EA, Endometrial Ablation; SMS, Standard Messaging Service.

41.2%), whether participants received their allocated intervention/ treatment (n = 27, 41.2%), self-reported (diaries, questionnaires, SMS responders) (n = 8, 11.8%) and five (7.3%) used mixed methods e.g. two or more of the methods mentioned. One study did not provide a description of how adherence was measured. Behavioural change (n =10, 43.5%), psychological therapy (n = 5, 83.3%) and physiotherapy/ rehabilitation (n = 8, 66.7%) interventions predominantly based their measurements on recording the number of sessions attended. Whereas, medical device (n = 4, 66.7%) and surgical (n = 13, 100%) trials primarily recorded the number of participants receiving the allocated intervention. A rationale for applying an intervention threshold was only provided in two (4.1%) of the 49 reports. The reasoning was based on (1) previous findings by the authors who stated 'people would find benefit from attending at least four sessions' [56] and (2) that 'if individuals in the RAFT programme intervention arm were considered participants only if they attended the first cognitive-behavioural session (which might then have an impact on their outcome), then the offer of the intervention does affect the outcome' [38].

3.4. Incorporation of adherence in trial findings

Seventy three (96.1%) studies analysed their primary outcome on an

intention to treat basis (ITT), one (1.3%) on a complete-case analysis and two (2.6%) were not clearly stated. Forty-nine (72.1%) of the 68 reports that measured adherence performed an additional statistical analysis to attempt to quantify the possible impact of adherence on the primary outcome (Supplementary Table 2). Nearly half (n=22,44.9%) used CACE, or the similar Contamination-adjusted Intention to treat (CAITT), 22 (44.9%) performed per-protocol (PP) analysis and other analysis e.g. sensitivity analysis were used in 9 (18.4%) reports, 3 [6.1%] performed multiple types of analyses.

Studies reported adherence rates ranging from just 39% to 98.9% (mean 80.8%; median 85.6%) (Supplementary Table 2). Higher rates were seen in those that measured adherence by intervention allocation such as surgical and medical device trials, whilst lower rates were identified in session attendance in trials of behavioural change, and psychological therapy interventions. Although the majority of trials (n=33,67.3%) did not show a difference in findings after conducting a sensitivity analysis for adherence rates, a third of trials did The majority of authors considered this a dose-response effect as these were mostly measured based on the number of sessions attended. For eleven trials (22.4%) the interpretation of results differed when including adherence data in their statistical models for primary or secondary outcome data.

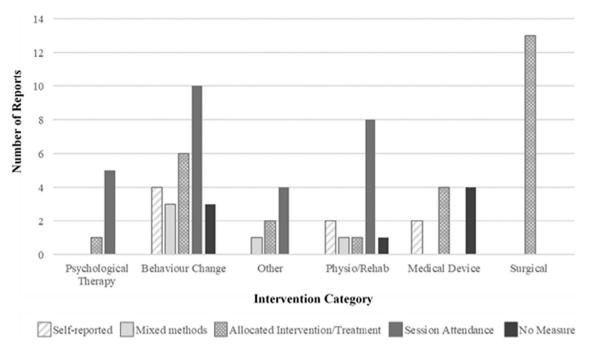


Fig. 2. Adherence measures employed by reports according to intervention category.

4. Discussion

Adherence is a key determinant of health outcomes and an important concept in the analysis and interpretation of randomised trials: appropriate consideration of adherence is now widely recommended [7,8,91]. In order to understand results from trials and inform clinical practice, it is fundamental that treatment adherence is consistently defined, considered in sensitivity analyses, and reported, but our results suggest that current practice is inconsistent. We found that although the vast majority of studies reported adherence, terminology around adherence and compliance varied widely, and over a quarter of studies did not report any analysis of adherence data. Measurement of adherence also varied greatly between clinical areas with surgical and medical device trials largely concerned with whether participants received the allocated intervention, whereas trials of rehabilitation, behavioural change, and psychological interventions were primarily concerned with session attendance. How adherence is defined, measured, and incorporated clearly matters because over a third of studies report a difference in findings between the primary and sensitivity analyses. This will have important implications for clinical practice.

The challenges in measuring and incorporating adherence within analyses are increasingly recognised [10,92] but an essential starting point for greater consistency would be the terminology used. We found variation between and even within studies, particularly in the conflation of adherence, compliance, and fidelity. Reducing this variation is an important first step and requires a common understanding of the terms between areas of clinical practice and members of the clinical research team. In a biopsychosocial model of care, the term adherence is more appropriate as it respects the patient's autonomy, yet the most widely used statistical technique to account for adherence is CACE, therefore is likely to increase the use of the less preferred term compliance [6,12].

Central to the WHO definition of adherence is that it is a behaviour from the patient rather than clinician [6]. Conceptually, this is perhaps clearest in rehabilitation interventions where the patient will determine whether they follow a prescribed exercise programme. Fidelity, on the other hand focusses on how well the trial follows the randomisation and protocol, for example whether the clinician prescribes/delivers the correct intervention [93,94]. This dichotomy is perhaps oversimplistic as it does not fully capture the complexity of these behaviours and

increasingly shared nature of decision making in healthcare. The balance of these two elements will also vary in different clinical situations (e.g. patients may have little say in the exact model of clinical implant used in an operation, but they will have a far greater say in whether they perform a home exercise programme).

Complex interventions and packages of care perhaps present particular challenges and raise many questions [10,95]. For example, when a relatively simple intervention is considered, such as a medication, measurement of adherence should focus on whether the participant took the medication. In contrast a programme of exercises may contain a series of weekly clinic visits, and multiple exercises performed at home each day. What should be measured in this instance? Performance of each exercise, whether a session was conducted each day, whether the patient attended the clinical session, or a combination of these elements? The situation becomes even more complex if the intervention consists of a surgical procedure and rehabilitation programme. The vast majority of rehabilitation studies in our review defined adherence as attendance at the clinic sessions but it is unclear whether this adequately reflects the total volume of exercise performed and physiological effects. Clearly any measurement must be feasible and be cognisant of the burden on participants and clinicians, but our review raises questions about whether greater emphasis should be placed on measuring what matters, rather than what is easy to measure. Equally, more attention could be paid to the relationship between how adherence is defined in a particular study and the logic model pertaining to the potential mechanism of action. Researchers could make more attempts to justify how 'adherence' was defined and consider sensitivity analyses around such definitions.

Advances in technology, such as temperature sensors and wearable activity trackers, are starting to provide potentially more objective measures for some clinical scenarios such as orthoses [96] and exercises [97] but this is unlikely to be an option in all areas of clinical practice. Elsewhere, study-specific subjective measures, such as questionnaires and diaries, have been developed but few of these are validated or used more than once which limits the comparability of study results and prohibits pooling of data in meta-analyses [10,92]. Attempts have previously been made to improve the reporting of adherence in particular clinical disciplines such as substance abuse and health behaviour change and non-pharmacological disciplines such as exercise and physical

activity interventions, but the situation remains unsatisfactory [10,94,98–101]. There is still a lack of uniform/transferable guidance, or framework for ensuring comprehensive measurement, analysis, and reporting of adherence. It also remains unclear how thresholds for adherence are determined within research into non-pharmacological therapies. For example, we often see acceptable adherence defined as a specific figure such as 80%, but how often is this based on a sound theoretical framework? In our review, only two reports provided a rationale for the adherence threshold they used. Further methodological work and guidance is urgently needed in this area. Funding bodies must work with researchers to develop evidence-based adherence thresholds which can then be applied in future clinical trials.

Our review is novel in that, to our knowledge, it is the first systematic review to consider recent practice across the spectrum of clinical research within a country. Instead of reviewing published papers, we searched for final reports from the NIHR library. This confers two principle benefits: the NIHR is the largest funder of clinical research within the UK, funding and project delivery is subject to extensive peerreview and expert scrutiny, so is likely to reflect contemporary highquality research practice; and these reports are typically around 200 pages in length so contain much greater methodological detail than can be reported in journal publications. The primary limitation is that this work is that the research does not reflect international research practice and, therefore, we must be cautious not to generalise these findings beyond the UK. Secondly, although the length of the reports allows additional detail to be reported it is also conceivable that we missed some information. We attempted to mitigate against this through use of a second reviewer to check for accuracy and completeness and using a third reviewer to resolve any disagreement.

We chose to include phase III clinical studies across a range of clinical areas to capture current practice in a range of clinical specialities and disciplines. Given the additional complexity of phase IV trials and the predominance of pharmacological interventions and long term implementation studies, we chose not to include them in our review as this would further increase the heterogeneity of our sample. Inclusion of a wider range of study designs should be considered for future research.

Lastly, given the absence of a universal classification system that we could apply to the range of interventions being tested in the RCTs we identified, we developed our own subjective classifications e.g. behaviour change, psychological etc. Although attempts were made to capture the main emphasis of the intervention in our classification process, this method is likely to have resulted in some misclassification as some interventions would include elements from more than one category. If future research is to continue to compare research practice, more thought should be given to a repeatable classification system.

5. Conclusion

Our findings indicate that although the majority of clinical studies report elements of adherence there is a lack of consistency in use of key terminology, and no systematic approach to its measurement, analyses, interpretation, or reporting. Given the importance of adherence within clinical trials, we consider that further methodological research, and a framework or guidance, should be developed as a matter of urgency.

Funding

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Author contributions

MB, JA, and CF conceived the study. AG, KJ, and RMC conducted the literature searches, screened eligible manuscripts and conducted the data extraction. MB, JA and CF acted as a third reviewer to provide consensus where required. MB, JA, KJ, and AG drafted the manuscript

which all authors reviewed. All authors read and approved the final manuscript.

Availability of data and materials

All data generated or analysed during this study are included in this published article and its supplementary material.

Declaration of Competing Interest

The authors declare they have no competing interests.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi. org/10.1016/j.cct.2022.106788.

References

- E. Hariton, J. Locascio, Joseph, Randomised controlled trials—the gold standard for effectiveness research, BJOG 125 (13) (2018) 1716.
- [2] A. Banerjee, et al., Hypothesis testing, type I and type II errors, Ind. Psychiatry J. 18 (2) (2009) 127–131.
- [3] A.W. Chan, et al., SPIRIT 2013 statement: defining standard protocol items for clinical trials, Ann. Intern. Med. 158 (3) (2013) 200–207.
- [4] L. Eliasson, et al., How the EMERGE guideline on medication adherence can improve the quality of clinical trials, Br. J. Clin. Pharmacol. 86 (4) (2020) 687–697.
- [5] S. De Geest, et al., ESPACOMP medication adherence reporting guideline (EMERGE), Ann. Intern. Med. 169 (1) (2018) 30–35.
- [6] Organisation, W.H, Adherence in Long Term Conditions, in Section 1, 2003.
- [7] I. Boutron, et al., CONSORT statement for randomized trials of nonpharmacologic treatments: a 2017 update and a CONSORT extension for nonpharmacologic trial abstracts, Ann. Intern. Med. 167 (1) (2017) 40–47.
- [8] T.C. Hoffmann, et al., Better reporting of interventions: template for intervention description and replication (TIDieR) checklist and guide, BMJ 348 (2014), g1687.
- [9] S.C. Slade, et al., Consensus on exercise reporting template (CERT): explanation and elaboration statement, Br. J. Sports Med. 50 (23) (2016) 1428–1437.
- [10] L. Graham, et al., Measurement of adherence in a randomised controlled trial of a complex intervention: supported self-management for adults with learning disability and type 2 diabetes, BMC Med. Res. Methodol. 16 (1) (2016) 132.
- [11] K. Legrand, et al., Intervention dose estimation in health promotion programmes: a framework and a tool. Application to the diet and physical activity promotion PRALIMAP trial, BMC Med. Res. Methodol. 12 (2012) 146.
- [12] C.E. Hewitt, D.J. Torgerson, J.N. Miles, Is there another way to take account of noncompliance in randomized controlled trials? CMAJ 175 (4) (2006) 347.
- [13] M.A. Hernan, S. Hernandez-Diaz, Beyond the intention-to-treat in comparative effectiveness research, Clin Trials 9 (1) (2012) 48–55.
- [14] D.J. Torgerson, C.J. Torgerson, Designing Randomised Trials in Health, Education, and the Social Sciences, Palgrave MacMillan, Basingstoke, 2008.
- [15] https://www.journalslibrary.nihr.ac.uk/journals/. Accessed on 10/03/2022.
- [16] C.L. Mallucci, et al., Silver-impregnated, antibiotic-impregnated or non-impregnated ventriculoperitoneal shunts to prevent shunt infection: the BASICS three-arm RCT, Health Technol. Assess. 24 (17) (2020) 1–114.
- [17] P. Brocklehurst, et al., Computerised interpretation of the fetal heart rate during labour: a randomised controlled trial (INFANT), Health Technol. Assess. 22 (9) (2018) 1–186.
- [18] P. Connolly, et al., In A cluster randomised controlled trial and evaluation and cost-effectiveness analysis of the Roots of Empathy schools-based programme for improving social and emotional well-being outcomes among 8- to 9-year-olds in Northern Ireland, 2018. Southampton (UK).
- [19] C.R. Ramsay, et al., Improving the quality of dentistry (IQuaD): a cluster factorial randomised controlled trial comparing the effectiveness and cost-benefit of oral hygiene advice and/or periodontal instrumentation with routine care for the prevention and management of periodontal disease in dentate adults attending dental primary care, Health Technol. Assess. 22 (38) (2018) 1–144.
- [20] A. Hassiotis, et al., Positive behaviour support training for staff for treating challenging behaviour in people with intellectual disabilities: a cluster RCT, Health Technol. Assess. 22 (15) (2018) 1–110.
- [21] S. Audrey, et al., In a Workplace-Based Intervention to Increase Levels of Daily Physical Activity: The Travel to Work Cluster RCT, 2019. Southampton (UK).
- [22] B. Lloyd-Evans, et al., In Crisis Resolution Teams for People Experiencing Mental Health Crises: The CORE Mixed-Methods Research Programme Including Two RCTs, 2019. Southampton (UK).
- [23] H. Ring, et al., Training nurses in a competency framework to support adults with epilepsy and intellectual disability: the EpAID cluster RCT, Health Technol. Assess. 22 (10) (2018) 1–104.
- [24] S. Thangaratinam, et al., AntiEpileptic drug monitoring in PREgnancy (EMPiRE): a double-blind randomised trial on effectiveness and acceptability of monitoring strategies, Health Technol. Assess. 22 (23) (2018) 1–152.

- [25] N. Bundred, et al., In Individualising Breast cancer Treatment to Improve Survival and Minimise Complications in Older Women: A Research Programme Including the PLACE RCT, 2019. Southampton (UK).
- [26] P. Adab, et al., A cluster-randomised controlled trial to assess the effectiveness and cost-effectiveness of a childhood obesity prevention programme delivered through schools, targeting 6-7 year old children: the WAVES study protocol, BMC Public Health 15 (2015) 488.
- [27] C. Bonell, et al., Modifying the Secondary School Environment to Reduce Bullying and Aggression: The INCLUSIVE Cluster RCT, 2019.
- [28] I.K. Crombie, et al., Text Message Intervention to Reduce Frequency of Binge Drinking among Disadvantaged Men: The TRAM RCT, 2018.
- [29] A. Daley, et al., A Brief Behavioural Intervention to Promote Regular Self-Weighing to Prevent Weight Regain after Weight Loss: A RCT, 2019.
- [30] P. Deluca, et al., Screening and Brief Interventions for Adolescent Alcohol Use Disorders Presenting through Emergency Departments: A Research Programme Including Two RCTs, 2020.
- [31] H. Everitt, et al., Therapist telephone-delivered CBT and web-based CBT compared with treatment as usual in refractory irritable bowel syndrome: the ACTIB three-arm RCT, Health Technol. Assess. 23 (17) (2019) 1–154.
- [32] T. Ford, et al., Training Teachers in Classroom Management to Improve Mental Health in Primary School Children: The STARS Cluster RCT, 2019.
- [33] F. Gaughran, et al., A Health Promotion Intervention to Improve Lifestyle Choices and Health Outcomes in People with Psychosis: A Research Programme Including the IMPaCT RCT, 2020.
- [34] E.L. Giles, et al., Brief Alcohol Intervention for Risky Drinking in Young People Aged 14–15 Years in Secondary Schools: The SIPS JR-HIGH RCT, 2019.
- [35] P. Hajek, et al., E-cigarettes compared with nicotine replacement therapy within the UK stop smoking services: the TEC RCT, Health Technol. Assess. 23 (43) (2019) 1–82.
- [36] D.M. Harrington, A School-Based Intervention ('Girls Active') to Increase Physical Activity Levels among 11- to 14-Year-Old Girls: Cluster RCT, NIHR Journals Library, UK, 2019.
- [37] T. Harris, et al., A pedometer-based walking intervention in 45- to 75-year-olds, with and without practice nurse support: the PACE-UP three-arm cluster RCT, Health Technol. Assess. 22 (37) (2018) 1–274.
- [38] S. Hewlett, et al., Group cognitive-behavioural programme to reduce the impact of rheumatoid arthritis fatigue: the RAFT RCT with economic and qualitative evaluations, Health Technol. Assess. 23 (57) (2019) 1–130.
- [39] R.I. Holt, et al., Structured lifestyle education to support weight loss for people with schizophrenia, schizoaffective disorder and first episode psychosis: the STEPWISE RCT, Health Technol. Assess. 22 (65) (2018) 1–160.
- [40] N. Humphrey, et al., The PATHS Curriculum for Promoting Social and Emotional Well-Being among Children Aged 7–9 Years: A Cluster RCT, 2018.
- [41] R.F. Hunter, et al., A Loyalty Scheme to Encourage Physical Activity in Office Workers: A Cluster RCT, 2019.
- [42] K. Ismail, et al., Enhanced motivational interviewing for reducing weight and increasing physical activity in adults with high cardiovascular risk: the MOVE IT three-arm RCT. Health Technol. Assess. 23 (69) (2019) 1–144.
- [43] I. Madan, et al., A behaviour change package to prevent hand dermatitis in nurses working in health care: the SCIN cluster RCT, Health Technol. Assess. 23 (58) (2019) 1–92.
- [44] E. Murray, et al., A Web-Based Self-Management Programme for People with Type 2 Diabetes: The HeLP-Diabetes Research Programme Including RCT, 2018.
- [45] D. Osborn, et al., Primary Care Management of Cardiovascular Risk for People with Severe Mental Illnesses: The Primrose Research Programme Including Cluster RCT, 2019.
- [46] E. Peckham, et al., A bespoke smoking cessation service compared with treatment as usual for people with severe mental ill health: the SCIMITAR+ RCT, Health Technol. Assess. 23 (50) (2019) 1–116.
- [47] R.M. Viner, et al., Improving the Assessment and Management of Obesity in UK Children and Adolescents: The PROMISE Research Programme Including a RCT, 2020.
- [48] K. Wyatt, et al., Cluster Randomised Controlled Trial and Economic and Process Evaluation to Determine the Effectiveness and Cost-Effectiveness of a Novel Intervention [Healthy Lifestyles Programme (HeLP)] to Prevent Obesity in School Children, 2018.
- [49] M.L. Costa, et al., Negative-pressure wound therapy versus standard dressings for adults with an open lower limb fracture: the WOLLF RCT, Health Technol. Assess. 22 (73) (2018) 1–162.
- [50] M.L. Costa, et al., Plaster cast versus functional bracing for Achilles tendon rupture: the UKSTAR RCT, Health Technol. Assess. 24 (8) (2020) 1–86.
- [51] M. Kapoor, et al., Nocturnal temperature-controlled laminar airflow device for adults with severe allergic asthma: the LASER RCT, Health Technol. Assess. 23 (29) (2019) 1–140.
- [52] J. Nixon, et al., Comparing alternating pressure mattresses and high-specification foam mattresses to prevent pressure ulcers in high-risk patients: the PRESSURE 2 RCT, Health Technol. Assess. 23 (52) (2019) 1–176.
- [53] A. Ashburn, et al., Exercise- and strategy-based physiotherapy-delivered intervention for preventing repeat falls in people with Parkinson's: the PDSAFE RCT, Health Technol. Assess. 23 (36) (2019) 1–150.
- [54] K.L. Barker, et al., Exercise or Manual Physiotherapy Compared with a Single Session of Physiotherapy for Osteoporotic Vertebral Fracture: Three-Arm PROVE RCT, National Institute for Health Research, Southampton, 2019.
- [55] L. Clare, et al., Goal-oriented cognitive rehabilitation for early-stage Alzheimer's and related dementias: the GREAT RCT, Health Technol. Assess. 23 (10) (2019) 1–242.

- [56] R. Das Nair, et al., A group memory rehabilitation programme for people with traumatic brain injuries: the ReMemBrIn RCT, Health Technol. Assess. 23 (16) (2019) 1–194.
- [57] E. Hay, et al., Optimal Primary Care Management of Clinical Osteoarthritis and Joint Pain in Older People: A Mixed-Methods Programme of Systematic Reviews, Observational and Qualitative Studies, and Randomised Controlled Trials, 2018.
- [58] S.E. Lamb, et al., Aerobic and strength training exercise programme for cognitive impairment in people with mild to moderate dementia: the DAPA RCT, Health Technol. Assess. 22 (28) (2018) 1–202.
- [59] N.B. Lincoln, et al., Group cognitive rehabilitation to reduce the psychological impact of multiple sclerosis on quality of life: the CRAMMS RCT, Health Technol. Assess. 24 (4) (2020) 1–182.
- [60] D. McClurg, et al., Abdominal massage plus advice, compared with advice only, for neurogenic bowel dysfunction in MS: a RCT, Health Technol. Assess. 22 (58) (2018) 1–134.
- [61] R. Palmer, et al., Computerised Speech and Language Therapy or Attention Control Added to Usual Care for People with Long-Term Post-Stroke Aphasia: The Big CACTUS Three-Arm RCT, National Institute for Health Research (NIHR), Southampton, 2020.
- [62] L. Ridsdale, et al., Self-management education for adults with poorly controlled epILEpsy [SMILE (UK)]: a randomised controlled trial, Health Technol. Assess. 22 (21) (2018) 1–142.
- [63] L. Shaw, et al., An extended stroke rehabilitation service for people who have had a stroke: the EXTRAS RCT, Health Technol. Assess. 24 (24) (2020) 1–202.
- [64] C.A. Surr, et al., Dementia Care MappingTM to Reduce Agitation in Care Home Residents with Dementia: The EPIC Cluster RCT, NIHR Journals Library, UK, 2020.
- [65] D.J. Cottrell, et al., A pragmatic randomised controlled trial and economic evaluation of family therapy versus treatment as usual for young people seen after second or subsequent episodes of self-harm: the self-harm intervention - family therapy (SHIFT) trial, Health Technol. Assess. 22 (12) (2018) 1–222.
- [66] A. Jahoda, et al., Behavioural activation versus guided self-help for depression in adults with learning disabilities: the BeatIt RCT, Health Technol. Assess. 22 (53) (2018) 1–130.
- [67] S. Johnson, et al., A contingency management intervention to reduce cannabis use and time to relapse in early psychosis: the CIRCLE RCT, Health Technol. Assess. 23 (45) (2019) 1–108.
- [68] S. Jones, et al., Reducing Relapse and Suicide in Bipolar Disorder: Practical Clinical Approaches to Identifying Risk, Reducing Harm and Engaging Service Users in Planning and Delivery of Care – The PARADES (Psychoeducation, Anxiety, Relapse, Advance Directive Evaluation and Suicidality) programme, 2018.
- [69] A.P. Morrison, et al., Cognitive-behavioural therapy for clozapine-resistant schizophrenia: the FOCUS RCT, Health Technol. Assess. 23 (7) (2019) 1–144.
- [70] M. Serfaty, et al., Manualised cognitive-behavioural therapy in treating depression in advanced cancer: the CanTalk RCT, Health Technol. Assess. 23 (19) (2019) 1–106.
- [71] D.J. Beard, et al., Total versus partial knee replacement in patients with medial compartment knee osteoarthritis: the TOPKAT RCT, Health Technol. Assess. 24 (20) (2020) 1–98.
- [72] R. Bhatnagar, et al., Thoracoscopy and talc poudrage compared with intercostal drainage and talc slurry infusion to manage malignant pleural effusion: the TAPPS RCT, Health Technol. Assess. 24 (26) (2020) 1–90.
- [73] K. Cooper, et al., Laparoscopic supracervical hysterectomy compared with second-generation endometrial ablation for heavy menstrual bleeding: the HEALTH RCT, Health Technol. Assess. 23 (53) (2019) 1–108.
- [74] M.L. Costa, et al., Intramedullary nail fixation versus locking plate fixation for adults with a fracture of the distal tibia: the UK FixDT RCT, Health Technol. Assess. 22 (25) (2018) 1–148.
- [75] M.S. Gohel, et al., Early versus deferred endovenous ablation of superficial venous reflux in patients with venous ulceration: the EVRA RCT, Health Technol. Assess. 23 (24) (2019) 1–96.
- [76] C. Hemming, et al., Surgical interventions for uterine prolapse and for vault prolapse: the two VUE RCTs, Health Technol. Assess. 24 (13) (2020) 1–220.
- [77] D.G. Jayne, et al., Anal fistula plug versus surgeon's preference for surgery for trans-sphincteric anal fistula: the FIAT RCT, Health Technol. Assess. 23 (21) (2019) 1–76.
- [78] K.S. Khan, et al., MRI versus laparoscopy to diagnose the main causes of chronic pelvic pain in women: a test-accuracy study and economic evaluation, Health Technol. Assess. 22 (40) (2018) 1–92.
- [79] A. Maguire, et al., Best-Practice Prevention Alone or with Conventional or Biological Caries Management for 3- to 7-Year-Olds: The FiCTION Three-Arm RCT, Health Technology Assessment, 2020.
- [80] H.S. Markus, et al., Vertebral artery stenting to prevent recurrent stroke in symptomatic vertebral artery stenosis: the VIST RCT, Health Technol. Assess. 23 (41) (2019) 1–30.
- [81] L. Sharples, et al., Amaze: a double-blind, multicentre randomised controlled trial to investigate the clinical effectiveness and cost-effectiveness of adding an ablation device-based maze procedure as an adjunct to routine cardiac surgery for patients with pre-existing atrial fibrillation, Health Technol. Assess. 22 (19) (2018) 1–132.
- [82] P. Ulug, et al., Strategy of endovascular versus open repair for patients with clinical diagnosis of ruptured abdominal aortic aneurysm: the IMPROVE RCT, Health Technol. Assess. 22 (31) (2018) 1–122.

- [83] P.J. Andrews, et al., Therapeutic hypothermia to reduce intracranial pressure after traumatic brain injury: the Eurotherm3235 RCT, Health Technol. Assess. 22 (45) (2018) 1–134.
- [84] N.A. Francis, et al., C-reactive protein point-of-care testing for safely reducing antibiotics for acute exacerbations of chronic obstructive pulmonary disease: the PACE RCT, Health Technol. Assess. 24 (15) (2020) 1–108.
- [85] R. Foy, et al., Developing and Evaluating Packages to Support Implementation of Quality Indicators in General Practice: The ASPIRE Research Programme, Including Two Cluster RCTs, 2020.
- [86] M.C. Gulliford, et al., Electronically delivered interventions to reduce antibiotic prescribing for respiratory infections in primary care: cluster RCT using electronic health records and cohort study, Health Technol. Assess. 23 (11) (2019) 1–70.
- [87] C. Haighton, et al., Randomised Controlled Trial with Economic and Process Evaluations of Domiciliary Welfare Rights Advice for Socioeconomically Disadvantaged Older People Recruited Via Primary Health Care (the Do-Well Study), 2019.
- [88] K. Lovell, et al., Training to Enhance User and Carer Involvement in Mental Health-Care Planning: The EQUIP Research Programme Including a Cluster RCT, 2019.
- [89] P.J. Selby, et al., Methods for the Evaluation of Biomarkers in Patients with Kidney and Liver Diseases: Multicentre Research Programme Including ELUCIDATE RCT, 2018.
- [90] T. Wykes, et al., Patient Involvement in Improving the Evidence Base on Mental Health Inpatient Care, The PERCEIVE Programme, 2018.
- [91] K. Skivington, et al., A new framework for developing and evaluating complex interventions: update of Medical Research Council guidance, BMJ 374 (2021), n2061

- [92] G. Davies, et al., Methods for assessment of patient adherence to removable orthoses used after surgery or trauma to the appendicular skeleton: a systematic review, Trials 21 (1) (2020) 507.
- [93] J.D. Morrison, H. Becker, A.K. Stuifbergen, Evaluation of intervention Fidelity in a multisite clinical trial in persons with multiple sclerosis, J Neurosci Nurs 49 (6) (2017) 344–348.
- [94] B. Borrelli, The assessment, monitoring, and enhancement of treatment fidelity in public health clinical trials, J. Public Health Dent. 71 (Suppl. 1) (2011) S52–S63.
- [95] P. Craig, et al., Developing and evaluating complex interventions: the new Medical Research Council guidance, BMJ 337 (2008), a1655.
- [96] H.B. Menz, D.R. Bonanno, Objective measurement of adherence to wearing foot orthoses using an embedded temperature sensor, Med. Eng. Phys. 88 (2021) 19–24.
- [97] P.J.A. Nicolson, et al., Self-reported home exercise adherence: a validity and reliability study using concealed accelerometers, J Orthop Sports Phys Ther 48 (12) (2018) 943–950.
- [98] G. Tober, et al., Validation of a scale for rating the delivery of psycho-social treatments for alcohol dependence and misuse: the UKATT process rating scale (PRS), Alcohol Alcohol. 43 (6) (2008) 675–682.
- [99] A. Cook, L. Douet, I. Boutron, Descriptions of non-pharmacological interventions in clinical trials, BMJ 347 (2013), f5212.
- [100] R. Dennett, et al., Adherence and drop-out in randomized controlled trials of exercise interventions in people with multiple sclerosis: a systematic review and meta-analyses, Multiple sclerosis and related disorders 43 (2020), 102169.
- [101] H. Hawley-Hague, M. Horne, D.A. Skelton, et al., Review of how we should define (and measure) adherence in studies examining older adults' participation in exercise classes, BMJ Open 6 (2016), e011560.