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# 1 Glimpsing the impossible: How artificially enhanced targets improve elite performance 2

# 3 Abstract

4 In 2009, elite swimming introduced polyurethane "supersuits" which artificially enhanced performances and facilitated 43 world records at the World Championships, before being 5 6 prohibited from 2010. This transient, artificial improvement-spike created a natural 7 experiment to examine the effect of 'impossible' targets on subsequent performances. 8 Analyses revealed that swimming speeds at global championships in the post-supersuit period 9 (2011–2017) were substantially faster than predicted from the pre-supersuit period (2000– 10 2007). These results suggest that the transient, artificially enhanced performances of the 11 supersuit era recalibrated targets upwards-acting as goals-and improved subsequent 12 performances beyond previous trajectories (d = 0.64; 0.70%). Contributing to psychological goal-setting theory, the positive relationship between the size of the transient, artificial 13 improvement (i.e., goal difficulty) and subsequent performance was curvilinear, increasing at 14 15 a decreasing rate before improvements plateaued. Overall, the research demonstrates the 16 potential for elite athletes to exceed perceived human limits, after expectations have been 17 recalibrated upwards.

18

19 Keywords: performance, elite performance, goal-setting, goal difficulty, expectations, sport
20 psychology
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Glimpsing the impossible: How artificially enhanced targets improve elite performance

- Human progress throughout history, in life and sport, has often involved doing what was 28 29 previously thought impossible, from space exploration to running a sub-4-minute mile. If we 30 were able to see the world of tomorrow, now-to glimpse the impossible-would it alter our 31 expectations and accelerate our own improvement? This research examines this question in the context of elite sport to illuminate ongoing debates in psychological goal-setting theory. 32 33 To do so, it draws on a unique set of circumstances that unfolded recently in elite swimming, 34 enabling these issues to be examined systematically at the scale of an entire sport. 35 36 Supersuits in elite swimming 37 In 2008 and 2009, the sport of elite swimming experienced an era of rapid and unparalleled improvement attributed to the introduction of new swimsuit technology (Foster 38 et al., 2012). These polyurethane "supersuits" improved muscular power through 39 40 compression and often covered the entire torso and limbs to enhance hydrodynamics and buoyancy (Cortesi et al., 2014). While the prototype supersuits were introduced before the 41 42 2008 Olympic Games, the refined versions appeared in 2009 when 43 world records were 43 broken or re-broken across 32 of the 40 pool-based events at the World Championships 44 (Omega, 2019). In response to this artificial performance inflation, swimming's international 45 governing body, Fédération Internationale de Natation (FINA), prohibited these supersuits from 2010 onwards, stipulating that athletes must only wear swimsuits of standard materials 46 with minimal torso coverage (FINA, 2010; Slater, 2009). 47 48 New technology has substantially improved sporting performances before, of course, such as the use of lighter carbon-fiber vaulting-poles in athletics (Caine et al., 2012). However, it 49
- 50 is relatively rare for such technological changes to be revoked, and unprecedented for this to

happen so extensively and suddenly across an entire elite sport. As such, swimming's
supersuit era provides a unique opportunity to study the effect of seemingly impossible
targets on future performances, and to do so systematically with the highly accurate and
objective performance data that elite sport generates.

While swimming performances improved substantially in the supersuit era of 2008–2009 55 (Foster et al., 2012), the long-term effects of these improvements on future performances 56 have not been examined. The longevity of the world records established in 2009 (FINA, 57 58 2019) implies an artificial spike in performance followed by a sustained relative dip, but this 59 has not been verified empirically so this paper does that first. Having established that, this 60 effectively creates a unique, natural experiment where athletes have glimpsed superior 61 performances, enabling the effect this has on their subsequent performances to be examined 62 over time. The research is underpinned by goal-setting theory, which is now reviewed.

63

# 64 Goal-setting theory

65 Goal-setting theory arose from the work of Locke and colleagues in the 1960s, consolidating and extending earlier research on motivation and performance. Their 66 67 experiments found performance on cognitive and psychomotor tasks was highest in response to higher intentions (Locke, 1966) and to specific and difficult goals rather than "do[ing] your 68 best" (Locke & Bryan, 1966). Emergent theorizing focused on the importance of harder, 69 70 specific goals for high performance (Locke, 1968), leading to further experiments which 71 culminated in the first full goal-setting model by Locke et al. (1981). Locke et al.'s model 72 specified the mediators through which goal-setting improved performance (i.e., focusing attention and effort, enhancing persistence, and developing strategies), and the moderating 73 74 conditions under which it is most effective (i.e., challenging and specific goals which are accepted, and provision of feedback, rewards, and support). Later research extended this 75

5

model, notably including goal commitment, self-efficacy (Locke & Latham, 2002), and
ability as further moderators (Locke & Latham, 2019).

78 Most earlier goal-setting research focused on work tasks, but inspired related research in 79 sport psychology (Locke & Latham, 1985). Initial research found no difference in exercise performance between short-term, long-term, and "do your best" goals (Weinberg et al., 80 81 1985), and while specific, difficult goals improved muscular endurance, there was no difference between feedback during or after the task (Hall et al., 1987). Further research 82 83 found no difference between muscular endurance performance improvement in response to 84 goals of different difficulty, including those considered highly improbable (Weinberg et al., 85 1987). Responding to a methodological critique of goal-setting research in sport (Locke, 86 1994), Weinberg and Weigand (1996) acknowledged that future research should identify 87 optimal goal difficulty in real-life sport. However, the optimal difficulty level varies, with 88 performance-oriented athletes responding best to difficult goals (Burton & Weiss, 2008). 89 An early meta-analysis by Kyllo and Landers (1995) of experimental studies found that, 90 overall, goals improved performance by an average of 0.34 standard deviations (SD) and 91 were most effective when of moderate difficulty (SD = 0.53). They also found less 92 widespread evidence for the effectiveness of goals specifying absolute standards (SD = 0.93), 93 publicizing goals (SD = 0.79), involving athletes in goal-setting (SD = 0.62), and goals with 94 both short- and long-term proximity (SD = 0.48). This overall effect size of SD = 0.34 is 95 lower than found in non-sporting goal-setting studies (Burton et al., 2010), however, which may reflect sport's more complex skills and performances approaching physical limits 96 97 (Burton et al., 2001). Sport psychology research has generally distinguished between goal 98 types related to performance (e.g., times), outcome (e.g., winning), and process (e.g., technique) (Burton & Weiss, 2008). However, few studies have measured their unique 99 100 effects, which may reflect their inter-relatedness (Jeong et al., 2021).

6

101 The effect of goals on performance specifically is rarely studied, however, particularly among elite athletes (Burton et al., 2010; Burton & Weiss, 2008). Accordingly, Jeong et al. 102 (2021) conducted a recent systematic review of research in real-life sports, focusing solely on 103 104 competitive athletes and excluding recreational exercisers. Investigating goal difficulty, specificity, proximity, source, and type, they found goal-setting theory inconsistently applied, 105 106 defined, and measured in applied sport settings. Furthermore, like the earlier meta-analysis (Kyllo & Landers, 1995), they found small sample sizes (N < 30) were still widespread, 107 limiting statistical power and inferences. So, while goals generally improved performance, 108 109 they found scarce and mixed evidence for the efficacy of different goal characteristics, and 110 definitive conclusions therefore remain elusive for competitive athletes in applied settings. 111 The current research addresses these methodological issues and heeds previous 112 recommendations to examine performances of a very large sample of elite athletes (Burton & Weiss, 2008; Jeong et al., 2021), at major championships (Burton & Weiss, 2008; Jeong et 113 al., 2021; Weinberg & Weigand, 1996), with specific, performance goals of verifiably high 114 115 difficulty (Jeong et al., 2021; Weinberg & Weigand, 1996). To do so, the transient and artificially enhanced superior performances of the supersuit season are conceptualized as 116 extremely difficult goals, with their effects on subsequent swimming performances examined. 117 118 Specifically, these targets should improve athletes' future performances, by recalibrating their 119 expectations upwards when training for championships.

Indeed, there is evidence that elite athletes use world records, and even performances
beyond these, as performance goals. Most famously, the quest to run a mile in under four
minutes preoccupied elite male middle-distance athletes until Roger Bannister's barrierbreaking run in 1954 (Krüger, 2006). While breaking world records can motivate elite
athletes during competitions (Jones et al., 2007), they are primarily used as goals to structure
training in the preparation phase (Krüger, 2006). Within swimming, specifically, elite athletes

have long used world records to motivate training effort (Chamblis, 1989; Lord, 2019).
While, explicitly, such records (i.e., times) represent performance goals (Burton & Weiss, 2008), athletes also recognize that such performances would likely deliver victories in championships (Sachs, 2020), so they also implicitly represent outcome goals (Jeong et al., 2021). Furthermore, athletes also identify the underlying training progression and technique improvements to achieve these performances (Sachs, 2020), so they would also yield accompanying process goals (Jeong et al., 2021).

133 In theoretical terms, then, these transient and artificially enhanced superior performances 134 of the supersuit season represent goals that are optimally configured to improve performance as they are: (a) performance goals, specifying absolute performance levels (i.e., target times 135 136 in each event) (Burton et al., 2001; Burton & Weiss, 2008; Kyllo & Landers, 1995); (b) 137 offering feedback that is both short-term (i.e., training and competition performances during 138 the year) and long-term (in the championship itself) (Jeong et al., 2021; Kyllo & Landers, 1995); and (c) highly difficult (i.e., above non-supersuited world-leading levels), which 139 140 should benefit performance-oriented elite athletes (Burton & Weiss, 2008; Locke & Latham, 141 2006). The first contribution of this research, therefore, is to use these methodologically-142 optimal conditions to establish: (a) that these goals improve performance, and (b) the size of 143 this effect. Accordingly, the first hypothesis is:

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145 Hypothesis 1: Performance following transient, artificial improvement will be higher than146 predicted from performance prior to the artificial improvement.

147

While evidence indicates goals improve performance, there is ongoing debate in the wider
literature about whether this positive relationship between goal difficulty and performance is
linear (e.g., Latham et al., 2008), or curvilinear whereby performance improvements plateau

151 or even decline beyond the point at which goals are perceived as impossible (e.g., Baron et al., 2016). Within sport psychology, around half of studies suggest a positive linear 152 relationship (Burton & Weiss, 2008), and while a positive, curvilinear relationship has not 153 154 been examined explicitly, it is often implied. For instance, the meta-analysis by Kyllo and Landers (1995) found goals of moderate difficulty to be most effective, implying a 155 156 curvilinear inverted-U relationship. Furthermore, while endurance performance responds similarly to both attainable and unattainable goals (Bueno et al., 2008; Weinberg et al., 1987), 157 158 the latter can induce feelings of helplessness (Bueno et al., 2008), implying the performance 159 curve levels-off for highly difficult goals. Indeed, even if a curvilinear relationship is found here, performance improvements will likely plateau, rather than decline, for seemingly 160 161 impossible goals, as elite athletes are highly motivated and uniquely talented (Issurin, 2017). 162 This research will test these competing explanations, as its second contribution, to illuminate goal-setting theory, as indicated in Hypotheses 2a and 2b, below: 163

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Hypothesis 2: The positive relationship between transient, artificial improvement (i.e.,
goal difficulty) and subsequent performance will: (a) be curvilinear, with performance
increasing at a decreasing rate following higher transient, artificial improvement; and (b)
plateau, rather than decline, when the apex of this curve is reached.

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In timed racing sports, like track athletics and swimming, it has been suggested that even a 1% performance improvement may be near that tipping point beyond which short-term goals are perceived as too challenging and unrealistic (Weinberg & Butt, 2014), and performance improvements therefore plateau or decline. However, this research will identify the apex of this curve precisely, as part of its second contribution, to illuminate goal-setting theory. Furthermore, this knowledge will enable elite athletes and their coaches to set optimally challenging goals—at the precise, performance improvement percentage-level identified—to
elicit maximum performance improvements.

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# Method

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# 181 Participants

The research examined the swimming performances of 867 elite athletes at the Olympic 182 Games (2000–2016) and World Championships (2001–2017). These athletes represented 58 183 184 countries, had a mean age of 22.74 years (SD = 3.50), and comprised 454 men and 413 185 women. Additional age data were obtained from a database (Kaufmann, n.d.). 186 Data from the 26 individual, pool-based Olympic swimming events in each of these 187 championships were examined, comprising 13 men's events and 13 women's events (see 188 FINA, 2019; Omega, 2019). These events ranged in distance (in meters, m) and spanned all four swimming strokes: freestyle (50m, 100m, 200m, 400m, and 800m for women or 1500m 189 190 for men), butterfly (100m, 200m), backstroke (100m, 200m), breaststroke (100m, 200m); and one multi-stroke discipline, individual medley (200m, 400m). Only the results of the final for 191 192 each event were used, comprising eight swimmers in each event, as some leading swimmers do not exert maximum effort in the preceding qualifying rounds (heats and semi-finals) to 193 conserve energy for the final.<sup>1</sup> Overall, 2,912 elite swimming performances were examined, 194 195 divided equally between men's and women's events, comprising 208 performances at each of the 14 global championships from 2000 to 2017. However, six missing values were 196 identified, leaving a final sample of 2,906 performances (see Results). 197 198

<sup>&</sup>lt;sup>1</sup> For instance, in the 2017 World Championships, the mean speed in the final of each of the 26 events (M = 1.74 meters per second; SD = 0.21) was faster than the mean speed for the same athletes in the respective, immediately-preceding, qualifying round (i.e., semi-finals of events of 200m and shorter, and heats of longer events) (M = 1.73 meters per second; SD = 0.21), t(206) = 6.97, p < .001.

199 Design

200 The research design was an interrupted time-series natural experiment (Craig et al., 2017). The artificial, supersuit-enhanced performances in the 2009 season acted as a naturally-201 202 occurring intervention of transient, artificial improvement midway between the periods of regular performances before (2000–2007) and after (2011–2017) the intervention. 203 204 Consequently, the artificial, supersuit-enhanced performance improvements constituted the independent variable, while post-supersuit performances constituted the dependent variable 205 relative to pre-supersuit performances. 206 207 Inevitably, there was no control group in this research as swimmers in all events used supersuits during the 2009 season. However, the longitudinal time-series data provided 208 209 confidence in the causality by demonstrating the stability of the regular performance 210 improvement trend over time (Craig et al., 2017) within each of the periods before (2000-211 2007) and after (2011–2017) the anomalous intervention (see Results). Furthermore, 212 interrupted time-series natural experiments obtain highly similar results to randomized 213 experiments (St. Clair et al., 2014). 214 As stated in the *Participants* section, the 2,906 performances analyzed were delivered by 215 867 athletes, so a mean of 3.35 performances each. The data are not therefore fully 216 independent. For time-series data, where the same participants are tracked over time at every 217 time-point, a statistical correction for autocorrelation can be applied (e.g., Craig et al., 2017). 218 However, this correction was not applied here because: (a) where data were from the same 219 athletes this was restricted to just a few years, not the whole 2000–2017 period; (b) including further controls reduces statistical power (Bernerth & Aguinis, 2016); and (c) the Durbin-220 221 Watson statistic indicated no autocorrelation issues (see Results). 222

# 223 Consideration of alternative causal explanations

As with all natural experiments outside of laboratory conditions, it was not possible to
control extraneous variables. So, it is possible that another variable (or other variables)
caused the performance increases attributed to the supersuit-enhanced targets (i.e., goals).
However, this is unlikely for the following two broad reasons.
First, there is theoretical support for this explanation—that the supersuit-enhanced targets

acted as performance goals—as discussed in the earlier literature review (see *Introduction*).

230 In particular, there is general literature about athletes using performance goals (e.g., Burton &

231 Weiss, 2008) and specific literature about elite athletes using world records as performance

**232** goals (e.g., Sachs, 2020).

233 Second, there is no evidence for plausible alternative explanations. The mean post-

supersuit performance improvement across all events, once regular improvements over years

had been controlled, was a substantial 1.19 seconds (s) (see *Results*). While there were three

236 major technical rule changes in elite swimming during the study period, the resultant

237 performance improvements were negligible compared with this: (1) breaststroke events after

238 2005 permitted a single propulsive dolphin kick after each start and turn which improved

performance by 0.19s per 50m pool length (McLean et al., 2008), but non-breaststroke events

240 (i.e., 22/26 events, see *Participants*) were unaffected; (2) a wedge at the rear of starting

blocks introduced in 2010 improved performance by 0.04s per race (Honda et al., 2010); (3)

an underwater foot-ledge attached to starting blocks after 2013 improved backstroke

243 performance by 0.06-0.08s per race (de Jesus et al., 2016).

It was also unlikely that any residual improvements in swimsuit technology retained after the supersuit ban in 2010 caused the subsequent improvements, as even prior to the supersuit era (2008–2009) elite swimmers were already using full bodysuits made from regular textile materials (Foster et al., 2012) that would have also been prohibited by the 2010 supersuit ban due to limb coverage (FINA, 2010). Finally, the consistent improvement across events, of

different strokes (with different techniques) and different distances (using different energy
systems), by athletes from 58 different countries (see *Participants*), in such a sudden way,
suggests this was not due to widespread changes in coaching, particularly in swimming where

coaching science is an advanced, mature discipline (Maglischo, 2003).

253

# 254 Measures

255 Initially, annual world ranking data (i.e., fastest times per event) from before, during, and after the 2009 supersuit season were sought, but accurate and complete ranking data were not 256 257 available from before 2009. While FINA's world ranking data did extend back to 2000, a large number of omissions were identified. So, instead, the accurate and complete results 258 259 from elite swimming's two periodic, global, long-course championships were used: the 260 biennial FINA World Championships and the quadrennial Olympic Games. Data were 261 collated from the official results of these two championships (FINA, 2019; Omega, 2019). Unlike track athletes, swimmers compete in individual lanes for all pool events and 262 263 environmental conditions are standardized (FINA, 2017), so performances in elite competitions represent the swimmers' maximum capabilities at that time. Consequently, 264 265 swimmers' seasonal best times and world records are usually achieved at these championships (Omega, 2019), for which swimmers target and taper their training (Papoti et 266 267 al., 2007).

The key season in which the supersuits caused the transient, artificial performance improvement was 2009, so this was the midpoint in the required time-series data. The FINA World Championships moved from a quadrennial to biennial cycle in 2001 (FINA, 2019), so this was the chosen start-date eight years before 2009 and, for symmetry, the chosen end-date was the championship eight years after in 2017. For the Olympic Games, data were used for the five championships centered on 2008, the first year of the supersuit era. So, overall, the

data extended from 2000 to 2017, with six global championships before the supersuit era of 2008–2009, two during, and six after, for symmetry and consistency, with data from a total of

276 14 championships. Information about the swimming events analyzed is provided in the

277 *Participants* sub-section.

278 The raw results data from these global championships were in time units (minutes,

seconds, and hundredths of seconds) so were initially converted to speed in meters per second

280 (m/s) so that higher performances were shown intuitively as higher data-points on graphs.

281 Next, to control for natural differences in swimming speed as a result of gender, distance, and

stroke, the speed data were converted into standardized Z-scores (i.e., [x – mean] / standard

deviation; e.g., Field, 2013) within each of the 26 events—referred to below as intra-event Z-

score of speed—so that speed improvements were directly comparable across diverse events.

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# 286 Data analysis procedures

To test Hypothesis 1, it was necessary to account for the fact that performances were improving over time naturally, both overall and within each period, even without the supersuit intervention. To do so, piecewise regression (also known as segmented regression) was used, a specialist technique for identifying break-points in otherwise linear relationships between variables; that is, points at which the regression line 'breaks' and changes gradient and/or rises above or falls below the path of the preceding line section (UCLA, n.d.; Wagner et al., 2002).

So, here, piecewise regression was used to establish and compare the regression models from the pre-supersuit (2000–2007) and post-supersuit (2011–2017) periods, to test whether the regression intercept increased between these periods (i.e., that the performance trend had shifted upwards) while the gradient remained unchanged (i.e., that this was a stable and

298 enduring shift). So, for Hypothesis 1's specific piecewise regression, year of championship 299 (i.e., date) was the predictor variable and swimming speed the outcome variable. To test Hypothesis 2, it was necessary to examine the effect of the changes-between 300 301 speed in the pre-supersuit period (2001–2007) and speed in the supersuit season (2009)—on speed in the post-supersuit period (2011–2017). To do so, polynomial regression was used, a 302 303 specialist technique for examining the effects of changes between two predictor variables on an outcome variable (Edwards, 2002). The changes and their effects are modelled as a three-304 305 dimensional interaction surface, which is more reliable and represents the full variance of the 306 predictor variables unlike the alternative of difference scores (see Edwards, 2002, for a detailed overview, summarized here). Specifically, hierarchical polynomial regression was 307 308 used to examine the interaction between intra-event Z-score of speed in the pre-supersuit 309 period (2001–2007) and intra-event Z-score of speed in the supersuit season (2009), when collectively predicting intra-event Z-score of speed in the post-supersuit period (2011–2017), 310 311 to determine whether there is a curvilinear effect. 312 However, as polynomial regression analyzes changes between just two data-points, it was necessary to use the single mean of the multiple data-points in each period. So, to prepare the 313 314 data, the mean intra-event Z-score of speed was calculated for swimmers in each final 315 position (1st to 8th), in each event, across (a) the six championships in the pre-supersuit 316 period, and (b) the six championships in the post-supersuit period. 317 318 **Results** 319 320 Data screening and preparation Six missing values were identified, corresponding to five disqualifications and one non-321 starting competitor, leaving a final sample of 2,906 performances. Data screening found 322

323	minimal levels of skew and kurtosis in either the speed in m/s (0.87, 0.64) or intra-event Z-
324	score of speed (-0.06, -0.53) data, enabling parametric statistical analyses.
325	The descriptive statistics and correlations for all research variables and potential control
326	variables are shown in Table 1, for the period 2000–2017 excluding the 2008–2009 supersuit
327	seasons ( $n = 2490$ ) as these were the data for the main analyses (see below). As well as the
328	predictor variable, year of championship, and outcome variable, intra-event Z-score of speed,
329	some potential control variables (variables 2-8 in Table 1) were considered, in two ways.
330	
331	< Insert Table 1 here >
332	
333	First, there were three control variables with small-to-medium correlations (Cohen, 1992)
334	with raw swimming speed in m/s, as Table 1 shows, namely gender (men's events were faster
335	than women's events), distance (shorter events were faster than longer events), and stroke
336	(freestyle events were fastest, followed by butterfly, backstroke, individual medley, and
337	breaststroke events; collectively represented here by the four dummy-coded stroke variables).
338	However, converting raw swimming speed in m/s into standardized Z-scores of speed within
339	each event for the analyses (see Method) controlled for these three variables. This can be seen
340	in Table 1 where these correlations were effectively eliminated by the conversion ( $r \le  .02 $ ).
341	This data conversion was required here—as this research was examining improvements in
342	intra-event speed over time rather than inter-event speed-to make improvement data from
343	diverse events directly comparable and enable aggregated analyses across all events. <sup>2</sup>

<sup>&</sup>lt;sup>2</sup> For instance, while there was substantial improvement in raw swimming speed over time across all events from the Olympic Games in 2000 (M = 1.69 m/s, SD = 0.20) to the World Championships in 2017 (M = 1.74 m/s, SD = 0.21), the men's 50m freestyle in 2000 (M = 2.25 m/s, SD = 0.02) was still faster than the men's 400m freestyle in 2017 (M = 1.78 m/s, SD = 0.01), as despite the improvements over time shorter events are still faster. So, the intra-event Z-score data conversion controlled for the large inter-event differences in speed between events of different distances to enable the intra-event speed improvements over time (2000–2017, excluding the 2008–2009 supersuit seasons) to be compared in an equivalent and meaningful way.

344	Second, potential control variables were also examined relative to intra-event Z-score of
345	speed in Table 1. It is important to note here that FINA implemented a rule change in
346	breaststroke events in 2005 to permit a propulsive dolphin kick during the underwater pull-
347	out following the starting dive and each turn (Reuters, 2005). This could have
348	disproportionately increased the intra-event Z-score of speed after 2005 in breaststroke events
349	relative to other strokes, so it was necessary to check this here. However, there was no
350	evidence of such an effect sizable enough to affect these analyses as the dummy-coded
351	breaststroke variable was not correlated with intra-event Z-score of speed ( $r = .01$ ). <sup>3</sup> Finally,
352	championship type (World Championships or Olympic Games) had only a very small
353	correlation with intra-event Z-score of speed ( $r =05$ ) so was not controlled for here. <sup>4</sup>
354	
355	Intervention check
356	The mean intra-event Z-score of speed for each of the 14 global swimming championships
357	in the 2000–2017 period are shown in Figure 1, with the error bars indicating standard
358	deviations. The transient, artificial improvement in speed during the supersuit era at the 2009
359	World Championships, and to a lesser extent the 2008 Olympic Games, is clearly visible.
360	However, to verify this statistically, and confirm the intervention of the natural experiment
361	(i.e., conduct an intervention check), the actual mean intra-event Z-score of speed in 2009
362	was compared with the <i>predicted</i> mean intra-event Z-score of speed in 2009 from an equation

363 generated from a regression predicting speed from year of championship, using all data from

364 2000–2017 except the 2008–2009 supersuit era, corresponding to Step 1 of the piecewise

- 365 regression in Table 2 but with unstandardized coefficients and non-centered variables
- 366 (described below). The size of the difference between these two values for 2009 (i.e., actual

<sup>&</sup>lt;sup>3</sup> Furthermore, the results of the main analyses did not differ in significance when breaststroke events were excluded.

<sup>&</sup>lt;sup>4</sup> The piecewise regression testing Hypothesis 1 was also run controlling for championship type (World Championships or Olympic Games) in Step 1, but the significance of the results did not differ.

and predicted) was then divided by the standard deviation of the actual value for that year to calculate the Cohen's *d* effect size (Cohen, 1992). The actual mean speed in 2009 was substantially higher (d = 1.39, a very large effect) than the predicted mean speed, thereby verifying both the anomalous nature of the improvements in the supersuit era and the natural experimental intervention.

372

# < Insert Figure 1 here >

373

# 374 Hypothesis 1: Performance increase following transient, artificial improvement

375 To test Hypothesis 1, a hierarchical piecewise regression was conducted following the recommended procedures (UCLA, n.d.; Wagner et al., 2002) described below and shown in 376 377 Table 2. First, to prepare and aid interpretation, years were centered on the 2009 supersuit 378 season (by subtracting 2009 from each year). In Step 1, year of championship (2000–2017, excluding the 2008–2009 supersuit seasons) was entered. This represented the overall (non-379 segmented) regression model and predicted a significant and sizable 45.27% of the variance 380 381 in intra-event Z-score of speed. The regression equation derived from this stage, but using unstandardized coefficients and non-centered variables, was also used for the intervention 382 check above: (a) y = 0.114x - 229.85 (for the period 2000–2017, excluding 2008–2009) 383 supersuit seasons). The accompanying Durbin-Watson statistic for this first step was 1.31, so 384 385 between 1 and 3 thereby indicating no autocorrelation issues (Field, 2013). 386 Step 2 tested whether there was a difference in the gradient of the regression lines between the pre-supersuit period (2000–2007) and post-supersuit period (2011–2017). To do so, the 387 first piecewise variable was entered, which recoded year of championship into 0 for the pre-388 389 supersuit period but retained the original year values (centered on 2009) for the post-supersuit period, to represent the change in gradient after 2009. However, this new variable explained 390

391 no incremental variance at all in intra-event Z-score of speed, indicating the gradient of the392 regression lines did not differ between these periods.

Step 3 tested whether there was a difference in the y-axis intercept between the presupersuit period (2000–2007) and post-supersuit period (2011–2017). To do so, the second piecewise variable was entered, which recoded year of championship into 0 for the presupersuit period and into 1 for the post-supersuit period, to represent the upwards shift in intercept after 2009. This new variable explained a significant 0.86% of incremental variance in intra-event Z-score of speed, indicating that the y-axis intercept of the regression line was higher for the post-supersuit period.

Taken together, Steps 2 and 3 therefore provide strong support for Hypothesis 1, as the performance improvement trendline had shifted upwards in the post-supersuit period while the gradient had remained unchanged. This effectively yields parallel regression lines as shown in Figure 1. Following standard procedures (UCLA, n.d.), the piecewise regression was plotted with separate regression equations for each segment, using unstandardized coefficients and non-centered variables: (a) y = 0.080x - 160.45 for the pre-supersuit period (2000–2007), and (b) y = 0.074x - 147.68 for the post-supersuit period (2011–2017).

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408

#### < Insert Table 2 here >

409

To analyze the upward shift in post-supersuit performances further and establish its magnitude, Cohen's *d* effect sizes (Cohen, 1992) were used again. Specifically, the difference between the *actual* mean intra-event Z-score of speed for each year of the post-supersuit period (2011–2017) and the *predicted* mean intra-event Z-score of speed for each of these same years—generated from the regression equation for the earlier pre-supersuit period (2000–2007)—was divided by the standard deviation of the actual intra-event Z-score of

19

416 speed for each year. The following results were found for each year: (1) 2011: d = 0.58; (2) 2012: d = 0.89; (3) 2013: d = 0.53; (4) 2015: d = 0.47; (5) 2016: d = 0.73; and (6) 2017: d = 0.73; and (7) 2017: d = 0.73; and (8) 2017: d = 0.73; and (9) 201 417 0.64. In each case, the effect size was essentially medium ( $d \ge 0.50$ ) to large ( $d \ge 0.80$ ) 418 419 (Cohen, 1992), with a mean of d = 0.64 across these six post-supersuit championships. To contextualize these results for elite swimming, this improvement was converted back 420 into the original times units (s). To do so, for each event the standard deviation of speed (m/s) 421 for the post-supersuit period (2011–2017) was first multiplied by d = 0.64, to obtain the mean 422 speed improvement (m/s), which was then converted into the mean time improvement (s) 423 424 using the actual mean time (s) for the post-supersuit period (2011–2017). The calculated mean time improvement across all 26 events in the post-supersuit period was 1.19s (SD = 425 426 1.51), or 0.70% (SD = 0.13). So, in a typical, two-minute, elite swimming event (i.e., most 427 200m events), this would correspond to an improvement of almost one second. Finally, it is 428 important to note that these are the improvements due *solely* to the goals-recalibrated following the transient, artificially enhanced, supersuit performances—and are *in addition* to 429 430 any improvements in performances that also occur naturally over years (see Figure 1).

431

# 432 Hypothesis 2: Curvilinear relationship between goal difficulty and performance

433 The polynomial regression procedures advocated by Edwards (2002) were followed, as 434 described below, with the results shown in Table 3. First, the two predictor variables were 435 mean-centered to aid interpretation, namely mean intra-event Z-score of speed in the presupersuit period (2000–2007) (polynomial variable X) and intra-event Z-score of speed in the 436 supersuit season (2009) (polynomial variable Y), by subtracting the respective means from 437 438 each value. Second, both predictor variables (X and Y) were entered in Step 1 of the regression predicting the outcome variable mean intra-event Z-score of speed in the post-439 440 supersuit period (2011–2017) (polynomial variable Z). These two variables significantly

441	predicted 80.48% of the variance in the outcome variable in this first step (see Table 3 for full
442	results). In Step 2, the $X^2$ , XY, and $Y^2$ polynomial terms were entered, collectively
443	representing the curvilinear interaction surface. These three terms significantly predicted an
444	incremental 1.52% of the variance in the outcome variable in this second step, for a total of
445	82.00% of variance explained overall. The resultant curvilinear interaction surface is shown
446	in Figure 2 (graph macro: Edwards, n.d.). The standardized Beta for the Y <sup>2</sup> term was also
447	approaching significance ( $p = .076$ ) and had the highest absolute value of the three
448	polynomial variables entered at Step 2. Collectively, then, these results partially support
449	Hypothesis 2a, as the change in variance explained in Step 2 was significant but the
450	standardized Beta for the $Y^2$ term was not quite significant (see Edwards, 2002).
451	
452	< Insert Table 3 & Figure 2 here >
453	
454	Further visual inspection was used to examine this curvilinear effect in detail, and to test
455	Hypothesis 2b. Figure 3 shows the two-dimensional cross-section of the three-dimensional
456	polynomial interaction surface from Figure 2, at the plane where the centered variable $X =$
457	0.00, namely mean intra-event Z-score of speed for the pre-supersuit period (2000-2007).
458	This is the cross-section of the interaction surface as viewed from the right-hand wall of
459	Figure 2, so the curvilinear effect in Figure 3 appears inverted relative to the view in Figure 2
460	although the axes and curve are the same. Figure 3 therefore represents the graph of the
461	curvilinear relationship between intra-event Z-score of speed in the supersuit season (2009)
462	and mean intra-event Z-score of speed in the post-supersuit period (2011-2017), having
463	controlled for mean intra-event Z-score of speed in the pre-supersuit period (2000-2007).
464	
465	< Insert Figure 3 here >

466 In simple terms, and in the context of goal-setting theory, Figure 3 therefore represents 467 the relationship between goal difficulty and performance. The curvilinear nature of this relationship between intra-event Z-score of speed in the supersuit season and mean intra-468 469 event Z-score of speed in the post-supersuit period is clearly visible. The latter increases at a decreasing rate as the former increases, as Hypothesis 2a predicted, with the curve starting 470 471 positively before plateauing, rather than declining, in support of Hypothesis 2b. Through visual examination of Figure 3, the point at which the upward curve begins to plateau is 472 473 where the centered variable Y = 1.00, namely intra-event Z-score of speed in the supersuit 474 season (2009).

475 Finally, to contextualize these results in terms of elite swimming performances again, the 476 relevant intra-event Z-scores of speed were first converted back into equivalent swimming 477 times using the mean and standard deviation data for speed (m/s) for each event. Specifically, the times were calculated for when centered variable X = 0.00 (equivalent to the cross-478 sectional plane represented by Figure 3 and corresponding to the mean intra-event Z-score of 479 480 speed in the pre-supersuit period), and for when centered variable Y = 1.00 (equivalent to the plateau-point in Figure 3 and corresponding to intra-event Z-score of speed in the supersuit 481 482 season). The percentage difference between these two times is therefore the point at which 483 the target performance improves post-target performances most, or where the upwards curve plateaus, which was a mean of +4.17% (SD = 0.53) across all 26 events, relative to pre-target 484 485 performances.

- 486
- 487

#### Discussion

488

489 This research used the transient, artificial performance improvements of elite swimming's490 supersuit era as a natural experimental intervention to examine the effects on subsequent

491 performances, through the lens of goal-setting theory (Burton & Weiss, 2008; Jeong et al., 492 2021; Kyllo & Landers, 1995; Weinberg & Weigand, 1996). The supersuit era offered a unique context in which to study this issue, as the temporary performance improvements that 493 494 occurred were unprecedented in magnitude, their scope across an entire elite sport, and the subsequent revocation of the technology. The mean swimming speed in the 2009 supersuit 495 496 season demonstrated a substantial, upwards spike in performance (d = 1.39) relative to what would have been predicted from the overall trend for the 2000–2017 period, excluding the 497 2008–2009 supersuit seasons, thereby verifying the natural experimental intervention. 498

499

# 500 Hypothesis 1: Performance increase following transient, artificial improvement

In strong support of Hypothesis 1, swimming speed in the post-supersuit period was significantly higher than would have been predicted from the earlier pre-supersuit period, with the intercept difference test significant and the gradient difference test nonsignificant in the piecewise regression. The substantial, transient, artificial performance improvement of the supersuit era effectively disconnected the overall performance trendline between the presupersuit (2000–2007) and post-supersuit (2011–2017) periods, and moved the latter upwards to create parallel trendlines as shown in Figure 1.

508 These findings constitute the first contribution of this research, indicating that: (a) these 509 goals improved performance; and (b) the mean goal-related improvement across the six post-510 supersuit championships was d = 0.64, a medium-to-large effect size (Cohen, 1992). This 511 effect is almost twice as large as the overall SD = 0.34 improvement found by Kyllo and Landers' (1995) meta-analysis, and, of relevance here, comparable to their effect sizes for 512 absolute goals (SD = 0.93) and those of moderate difficulty (SD = 0.53). However, the meta-513 514 analysis was of experiments often involving untrained non-athletes performing simple physical exercises unrelated to sport (Kyllo & Landers, 1995), in which improved 515

516 performance was possible simply through increasing effort from moderate baseline levels 517 (see e.g., Burton et al., 2001). In contrast, this research examined the upper echelon of the world's elite athletes—among the most highly motivated, physically fit, and talented humans 518 519 (Issurin, 2017)—who improved on performances that were already at the perceived limits of human potential (i.e., at or near world record pace). Clearly, then, even elite athletes still have 520 521 room for substantial improvement if their targets are recalibrated upwards. In real terms, this 522 level of improvement corresponds to event times 0.70% faster on average (see *Results*). In elite swimming, this can be the difference between winning and missing medals, and 523 524 sometimes even between medaling and failing to qualify for the final (see e.g., FINA, 2019).

525

# 526 Hypothesis 2: Curvilinear relationship between goal difficulty and performance

The nature of the relationship between the transient, artificial improvement in the supersuit era and performances in the post-supersuit period was then examined further. The results of the polynomial regression indicated that the curvilinear interaction between mean speed in the pre-supersuit period (2000–2007) and speed in the supersuit season (2009) predicted mean speed in the post-supersuit period (2011–2017) significantly. However, the standardized Beta for the square of speed in the supersuit season (2009) was not quite significant, so there was only partial support for Hypothesis 2a.

As Figure 2 shows, the level of the artificial improvement in the supersuit season was positively related to speed in the post-supersuit period, having effectively controlled for speed in the pre-supersuit period, and this relationship was curvilinear with the relationship plateauing at higher levels of artificial improvement, supporting Hypothesis 2b. It therefore appears that the relationship between goal difficulty and performance is curvilinear, with performance increasing at a decreasing rate, before improvements plateau, rather than decline, for highly difficult goals.

541 This finding illuminates current debates in wider goal-setting theory, suggesting that the 542 positive relationship between goal difficulty and performance is curvilinear (Baron et al., 2016) rather than linear (Latham et al., 2008). Within sport psychology, while half the 543 544 research suggests a positive linear relationship (Burton & Weiss, 2008; Jeong et al., 2021), contrary to these findings, a curvilinear relationship has yet to be examined explicitly. 545 546 Nevertheless, research suggesting that moderately difficult goals are most effective (Kyllo & Landers, 1995) implies a curvilinear inverted-U relationship, while research suggesting 547 attainable and unattainable goals are equally effective (Bueno et al., 2008; Weinberg et al., 548 549 1987) implies a curve with a plateau more similar to that found here. This study measured goals over a range of difficulty, however—by using continuous data rather than few discrete 550 551 categories-thereby explicitly and precisely identifying the curvilinear relationship between 552 goal difficulty and performance. In doing so, this research addressed previous methodological issues and solidified theory to guide future research (see Jeong et al., 2021). 553

Further analysis found that transient, artificial improvements from the pre-supersuit period 554 555 to the supersuit season of up to +4.17% led to increasingly improved performances in the post-supersuit period, effectively acting as a target beyond which improvements plateaued 556 (see Figure 3). According to championship dates, the mid-point of the pre-supersuit period 557 (2000–2007) was 5.70 years before the mid-point of the 2009 supersuit season, so this would 558 559 correspond to the effective time-horizon for the target. The identification of this optimal 560 percentage improvement level for goals of +4% has not previously been examined empirically, so this is a novel contribution of the current research. However, it has been 561 previously speculated that a 1% performance improvement was the optimal goal level 562 (Weinberg & Butt, 2014), so this research would suggest that was an underestimate and that 563 elite athletes can respond to more of a challenge. 564

565 Before generalizing, however, it should be recognized that progress in elite swimming has exceeded that in comparable timed racing sports—as swimming improvements are largely 566 due to improved technique, not only improved fitness (Maglischo, 2003)-so adjustments to 567 568 this target level may be required for other sports if used for goal-setting purposes. For instance, between 1990 and 2020, world records in Olympic events improved 3.31 times 569 570 more in swimming (M = 4.51%, SD = 1.20) (USA Swimming, n.d.) than in track athletics (M= 1.36%, SD = 1.24) (World Athletics, 2020). So, the +4.17% optimal goal level found here 571 572 for swimming would equate to +1.26% for track athletics, given this conversion factor. 573

# 574 **Practical implications**

575 Given the substantial performance improvements found here, it is important to understand 576 the nature of the goals that enabled them. To do so, the transient and artificially enhanced 577 targets which acted as goals here are now described in terms of their goal-setting properties, 578 drawing on the literature, as a series of practical recommendations.

579 Accordingly, when developing goals for elite athletes, coaches and athletes should do the following. First, goals should specify absolute performance levels (Burton et al., 2001; 580 Burton & Weiss, 2008; Kyllo & Landers, 1995), such as times, distances, weights, or 581 582 repetitions. Second, these long-term performance goals should also be divided into progressive, short-term performance and process goals (Burton & Weiss, 2008; Kyllo & 583 584 Landers, 1995), to guide ongoing training and performance at interim competitions before the 585 major championships. Third, for elite athletes, these performance goals should be highly difficult (Burton & Weiss, 2008; Locke & Latham, 2006), to challenge existing expectations 586 about what is possible. Specifically, when training for competitions around five years away 587 (e.g., similar to an Olympic cycle), this research suggests that setting goals around 4% above 588 their current elite performance levels can lead to performance improvements of 0.70% (in 589

addition to natural improvement over years) in elite athletes already competing in global
championship finals. While performance may improve more dramatically than this, goals
more difficult than this appear not to have additional benefits.

Finally, it is important to recognize that the percentage levels for goal difficulty and
expected improvement identified here are derived from, and appropriate for, timed racing
sports (e.g., swimming). However, while highly related, times and speeds do not correspond
perfectly linearly with other performance units such as weight lifted (e.g., Maglischo, 2003)
and distance jumped (e.g., Bridgett & Linthorne, 2006). So, further research should establish
and calibrate such percentage levels for other sports.

599

# 600 Limitations and future research

601 The unique circumstances yielding this natural experiment enabled goal-setting theory to 602 be examined systematically in elite athletes competing in global championships, as discussed. Nevertheless, despite these methodological benefits, the research had several limitations. 603 604 First, there was no control group, as supersuits were used by swimmers in all events in 2009. Although it was highly likely that the transient, artificially enhanced, supersuit 605 606 performances caused the subsequent improvement—as goal-setting theory supports this (see Introduction) and there were no plausible alternative explanations (see Method)—it is not 607 608 therefore possible to state this with certainty. Such future research could therefore analyze 609 situations where changes benefitting performance are implemented, and then revoked, in 610 some events but not others. The current situation in elite athletics where new "super shoes" 611 are enhancing performances (Taylor, 2021) could offer one such opportunity, with some 612 athletics events acting as a potential control group (e.g., track versus road running). Second, athletes did not confirm explicitly that the transient, artificially enhanced, 613 614 supersuit performances acted as goals. Rather, this was inferred from evidence that elite

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615 athletes do use world records as goals to structure their training (see Introduction), and from 616 the absence of plausible alternative explanations (see *Method*). Consequently, it would be useful for such future research to examine their specific motivations and goals explicitly 617 618 using interviews (Burton & Weiss, 2008) or questionnaires (Burton et al., 2010) also. 619 Furthermore, such future studies could untangle the relationships between these explicit 620 performance goals, the implicit outcome goals they also represent (i.e., such performances 621 deliver victory), and the shorter-term process goals (e.g., technique improvements) required 622 to deliver them (Burton & Weiss, 2008; Jeong et al., 2021).

Finally, as this study focused on elite athletes competing in global championships, the results may not generalize fully to other populations. For instance, junior athletes, who are still developing, will likely experience more dramatic improvements that those found here. Consequently, they may therefore benefit from even more difficult goals, particularly if they are targeting future global competitions (Chamblis, 1989). Conversely, difficult goals may discourage recreational athletes and exercisers who instead benefit from less challenging, nonspecific goals such as "do your best" or "as well as possible" (Swann et al., 2020).

630

# 631 Conclusion

In conclusion, then, on the rare occasions when anomalous events serendipitously
recalibrate goals upwards, it appears that human performance will increase beyond
expectations, as what was previously thought impossible now appears attainable. However,
these results also have clear implications for more routine and planned scenarios, where goalsetting theory can be used to design processes to deliver similar performance improvements.
Specifically, for motivated, elite athletes, highly difficult goals beyond perceived existing
limits, that specify absolute performance levels and offer interim feedback, can help them

640 impossible to be achieved, which is the quintessence of human progress.

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Figure 1: Mean speeds at global swimming championships 2000–2017, with regression
lines for pre-supersuit (2000–2007) and post-supersuit (2011–2017) periods





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Figure 2: Polynomial interaction surface between mean speed in the pre-supersuit
 period (2000–2007) and speed in the supersuit season (2009) predicting mean speed in

- 792 the post-supersuit period (2011–2017) at global swimming championships
- 793



# Figure 3: Cross-section of polynomial interaction surface from Figure 2 when centered mean swimming speed in 2000–2007 (intra-event Z-score) is zero [X = 0]



#### 797 798 799 800

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# Table 1: Descriptive statistics and Pearson correlations

	Variable	М	SD	1	2	3	4	5	6	7	8	9	10	11	12
1	Year of championship	2008.66	5.79	_											
2	Gender	0.50	0.50	.00											
3	Event distance (meters)	261.16	292.60	.00	.09**	_									
4	Stroke 1 (dummy-coded): Butterfly	0.15	0.36	.00	.00	16**									
5	Stroke 2 (dummy-coded): Backstroke	0.15	0.36	.00	.00	16**	18**								
6	Stroke 3 (dummy-coded): Breaststroke	0.15	0.36	.00	.00	16**	18**	18**	_						
7	Stroke 4 (dummy-coded): Individual medley	0.15	0.36	.00	.00	.06**	18**	18**	18**						
8	Championship type	0.67	0.47	.08**	.00	.00	.00	.00	.00	.00					
9	Gradient difference test (pre-2008 = 0; post-2009 = year)	2.50	2.93	.92**	.00	.00	.00	.00	.00	.00	.00	_			
10	Intercept difference test (pre-2008 = 0; post-2009 = 1)	0.50	0.50	.92**	.00	.00	.00	.00	.00	.00	.00	.85**			
11	Intra-event Z-score of speed	-0.11	0.98	.67**	01	.02	01	.00	.01	.01	05**	.62**	.66**	_	
12	Speed (in meters per second)	1.71	0.20	.09**	.42**	26**	.06**	03	40**	32**	01	.08**	.09**	.11**	—

n = 2490. \* p < .05. \*\* p < .01.

# 

#### Table 2: Piecewise regression of year predicting speed at global swimming championships in the pre-supersuit (2000-2007) and post-supersuit (2011-2017) periods testing differences in gradient and intercept

Predictor variable	Outcome variable: Intra-event Z-score of speed					
	Step 1	Step 2	Step 3			
Year of championship	.67***	.68***	.47***			
Gradient difference test (pre-2008 = 0; post-2009 = year)	_	01	02			
Intercept difference test (pre-2008 = 0; post-2009 = 1)		—	.24***			
Adjusted $R^2 \times 100$	45.27***	45.25***	46.11***			
Adjusted $\Delta R^2 \times 100$	45.27***	-0.02	0.86***			

 $n = 2490. * p \le .05. ** p \le .01. *** p \le .001$ . Standardized Betas are shown.

Table 3: Polynomial regression examining the interaction between mean speed in the pre-supersuit period (2000-2007) and speed in the supersuit season (2009) predicting mean speed in the post-supersuit period (2011–2017) at global swimming championships

Predictor variable	Outcome variable: Mean intra-event Z-score of speed in the post-supersuit period (2011–2017) [Z]			
	Step 1	Step 2		
Mean intra-event Z-score of speed in the pre-supersuit period (2000–2007) (centered) [X]	.55***	.62***		
Intra-event Z-score of speed in the supersuit season (2009) (centered) [Y]	.40***	.35***		
X <sup>2</sup>		07		
XY	_	.02		
$Y^2$	—	12†		
Adjusted $R^2 \times 100$	80.48***	82.00***		
Adjusted $\Delta R^2 \times 100$	80.48***	1.52***		

n = 208. †  $p \le .10$ . \*  $p \le .05$ . \*\*  $p \le .01$ . \*\*\*  $p \le .001$ . Standardized Betas are shown.