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## Research



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# Critical roles for EGFR and EGFR–HER2 clusters in EGF binding of SW620 human carcinoma cells

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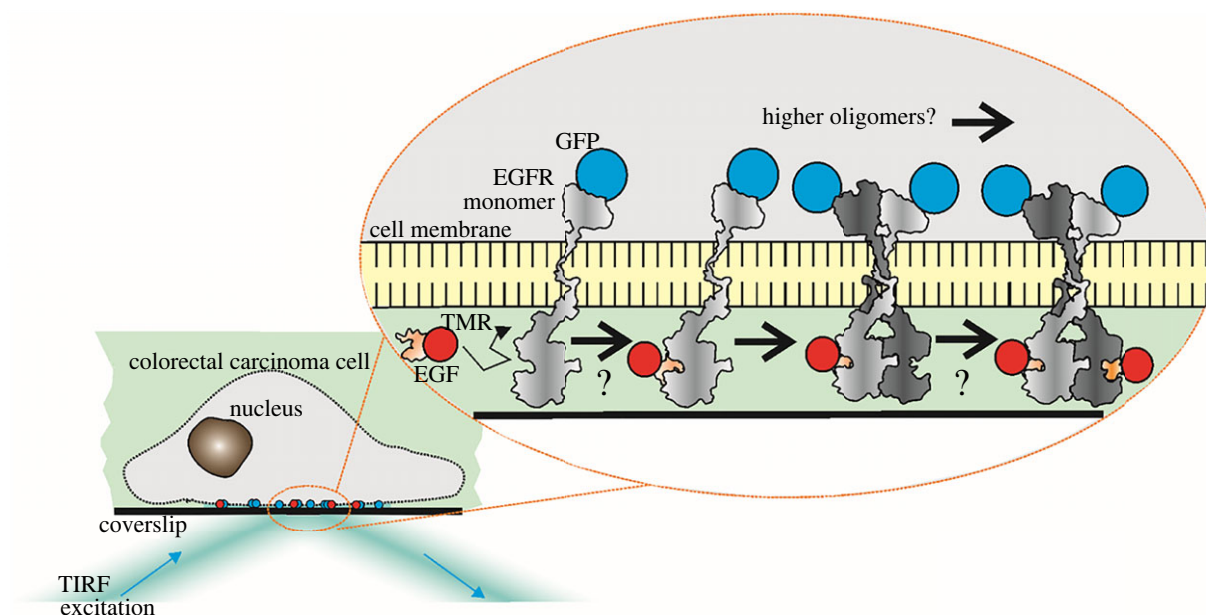
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Epidermal growth factor (EGF) signalling regulates normal epithelial and other cell growth, with EGF receptor (EGFR) overexpression reported in many cancers. However, the role of EGFR clusters in cancer and their dependence on EGF binding is unclear. We present novel single-molecule total internal reflection fluorescence microscopy of (i) EGF and EGFR in living cancer cells, (ii) the action of anti-cancer drugs that separately target EGFR and human EGFR2 (HER2) on these cells and (iii) EGFR–HER2 interactions. We selected human epithelial SW620 carcinoma cells for their low level of native EGFR expression, for stable transfection with fluorescent protein labelled EGFR, and imaged these using single-molecule localization microscopy to quantify receptor architectures and dynamics upon EGF binding. Prior to EGF binding, we observe pre-formed EGFR clusters. Unexpectedly, clusters likely contain both EGFR and HER2, consistent with co-diffusion of EGFR and HER2 observed in a different model CHO-K1 cell line, whose stoichiometry increases following EGF binding. We observe a mean EGFR:EGF stoichiometry of approximately 4:1 for plasma membrane-colocalized EGFR–EGF that we can explain using novel time-dependent kinetics modelling, indicating preferential ligand binding to monomers. Our results may inform future cancer drug developments.

## 1. Introduction

Epidermal growth factor receptor (EGFR) is a cell surface receptor essential for cell growth and differentiation, with its dysregulation implicated in several carcinomas [1], hence a target for numerous cancer drugs. Human EGFR or ERBB1 (ErbB1 or HER1) is a protein of the receptor tyrosine kinase (RTK) family and the ERBB subfamily with three other ERBB members, ERBB2 (ErbB2 or HER2), ERBB3 (ErbB3 or HER3) and ERBB4 (ErbB4 or HER4), expressed in the plasma membranes of mainly epithelial cells [2]. EGFR has an extracellular region with subdomains I–IV, of which I and III participate in ligand binding [3]. The extracellular region is connected to a cytoplasmic domain containing a tyrosine kinase.

There are 11 ligands that can bind to ERBB proteins, including epidermal growth factor (EGF) which binds to EGFR [4]. Ligand binding induces receptor



**Figure 1.** Visualizing EGF–EGFR in SW620 cells. Current models to explain EGFR activation encompass different binding rates of EGF to EGFR monomers and dimers, and binding cooperativity between EGF and EGFR. However, questions remain as to the role of EGFR clusters in cancer cells and their dependence on EGF binding. Here we used TIRF microscopy of GFP-labelled EGFR (blue) and TMR-labelled EGF (red) to enable SMLM to address these questions.

dimerization/clustering, resulting in activation following tyrosine residue autophosphorylation that initiates signalling reactions to stimulate cell growth, differentiation and proliferation. Structural evidence indicates that activation is preceded by EGF binding to EGFR monomers that induces a conformational change by removing interactions that autoinhibit EGFR dimerization [5]. Binding studies of full-length receptors suggest negative cooperativity, mediated through an intracellular juxta-membrane domain [6], as do radioligand-binding and phosphorylation assays [7,8].

An early single-molecule fluorescence imaging study using model human epidermoid cell line A431 published in 2000 reported binding of single EGF to a pre-formed EGFR dimer, followed by a second molecule to form a 2 : 2 complex [9]; however, later findings from *Xenopus* oocytes suggested in that system that the majority of EGFR was present as a monomer [10]. Other studies have instead reported observations of pre-formed EGFR oligomers using a range of methods comprising antibody-labelled EGF [11], Förster resonance energy transfer [12], autocorrelation analysis [13], bimolecular fluorescence complementation [14], pixel brightness analysis [15] and single-molecule live cell light microscopy [16,17]. The clustering and oligomeric states of EGFR are also complex since they may involve cooperativity not only between EGFR but also other ERBB proteins [14]. EGFR's clustering state before and after EGF binding under physiological conditions has remained contentious due to limitations in simultaneous data on stoichiometries of interacting receptors and ligands, to a dependence of EGF expression on EGFR clustering, to the common simultaneous presence of fluorescently labelled EGFR and dark EGFR, and to the existence of species-specific cell line differences.

Other ERBB receptors such as HER2 have been detected in monomeric, dimeric and higher-order clusters in human breast cancer cells [18], and in clusters of 2–4 HER2 molecules in fixed breast cancer cell lines determined using super-resolution fluorescence microscopy [19]. Furthermore, several light microscopy studies have suggested interactions of EGFR with other ERBB receptors in human cancer cells. For example,

EGFR and HER2 co-express in human bladder cancer and colorectal cancer cell lines [20,21] and SKBR3 human breast cancer cells. In SKBR3, EGFR and HER2 expression levels can jointly increase in large membrane protrusions [22], hinting at the possibility of EGFR–HER2 heterodimers. EGFR–HER2 interactions inside lipid rafts in SKBR3 cells have also been proposed [23]. The presence of pre-formed homo- and heterodimers of different ERBB family members, including EGFR and HER2, has also been inferred from lysate analysis of transfected CHO cells [14]. Interactions of EGFR with the hepatocyte growth factor receptor HGFR (also known as MET) have also been inferred from single-molecule imaging where increased colocalization and decreased diffusion was observed in live HeLa and BT-20 cells after EGF stimulation [24].

Here, we used two-colour single-molecule total internal reflection fluorescence (TIRF) microscopy for super-resolved single-molecule localization microscopy (SMLM) on live human colon carcinoma cells stably expressing EGFR–GFP in the presence of tetramethylrhodamine (TMR) conjugated to EGF (figure 1). Supported by predictions from Monte Carlo simulations, we find that prior to EGF binding, EGFR forms clusters with a modal stoichiometry of six molecules but extending to tens of molecules, adding to an emerging consensus that pre-formed EGFR clusters exist prior to EGF activation. Following EGF binding, we see clusters with a threefold higher stoichiometry. We find that EGF-bound EGFR clusters have a relative stoichiometry ratio for EGFR : EGF of approximately 4 : 1, which we interpret using a new time-dependent kinetics model that shows preferential ligand binding to receptor monomers with no binding to dimers. We present the first single-molecule light microscopy observations of the effect on live human cancer cells of anti-cancer immunotherapy drugs cetuximab [25] and trastuzumab [26] which specifically and separately inhibit EGFR activation by targeting either EGFR or HER2, respectively. We find that both promote an increase in EGFR cluster stoichiometry and a decrease in diffusion coefficient after the addition of EGF. Compared to untreated cells, treatment with either drug in addition to EGF results in increased numbers of EGFR molecules in a cluster and in a

higher diffusion coefficient for EGF-bound EGFR clusters, which may reflect cluster compaction. Additionally, we present novel dual-colour single-molecule TIRF imaging of EGFR–HER2 interactions from live CHO-K1 cells that contain both fluorescently labelled EGFR and HER2. These data show that EGFR and HER2 in a model cell line interact transiently before EGF binding with a dwell time of several hundred milliseconds. Taken together, these observations show that EGFR clusters comprise a mixture of EGFR and HER2, to be compared with indirect findings of heterodimer formation in SKBR3 breast cancer cells from correlative fluorescence microscopy and liquid phase electron microscopy [22]. Our results provide new insights into architectures, dynamics and interactions of EGFR molecules overexpressed in carcinoma cells. Instead of a simplified picture for EGFR function in terms of monomer and dimer states, they indicate higher levels of complexity which hitherto has not been addressed explicitly. Given the nature of the EGFR pathway as an anti-cancer drug target, our results may inform the development of new therapeutic strategies to treat cancer.

## 2. Results

### 2.1. Single-molecule microscopy reveals epidermal growth factor receptor clusters before epidermal growth factor binds in SW620 cells

To visualize EGFR molecules in live cancer cells, we generated a human colon carcinoma cell line stably expressing EGFR–GFP. Immortalized cell line SW620, deriving from a human lymph node metastasis from an adenocarcinoma of the colon [27], was selected from a colon carcinoma library [28] for its very low EGFR expression (electronic supplementary material, figures S1 and S2) consistent with previous recent findings [29–32] and low expression of the most common EGFR ligands, including TGFA. TGFA has been reported to be expressed in SW620 cells from one study published in 1987 [33]; however, our recent high-precision microarray measurements indicate only very low levels (electronic supplementary material details our SW620 microarray results for all EGFR ligands). EGFR–GFP kinase activity in SW620 cells was confirmed by observing increased phosphorylation of EGFR downstream targets, ERK1/2, in response to EGF (electronic supplementary material, figure S2b).

We optimized a home-built TIRF microscope (electronic supplementary material, figure S4) for single-molecule detection, confirmed using an *in vitro* surface assay [34] in which GFP was antibody-conjugated to a glass coverslip (electronic supplementary material, figure S5). After approximately 1 s of laser illumination, bright spots (fluorescent foci) on our image sequences exhibited step-wise photobleaching (electronic supplementary material, figure S5) indicating the presence of single GFP molecules. Single fluorophore brightness values were quantified by analysing distributions of fluorescent foci intensity values (electronic supplementary material, figure S5c).

We applied our optimized TIRF microscopy to transfected SW620 cells in serum-free medium without the addition of EGF. We observed fluorescent foci at a surface density of 0.1–0.4 per  $\mu\text{m}^2$  in the basal plasma membrane in contact with the glass coverslip (figure 2a; electronic supplementary material, figure S6) with a mean of  $66 \pm 28$  (s.d.) foci per

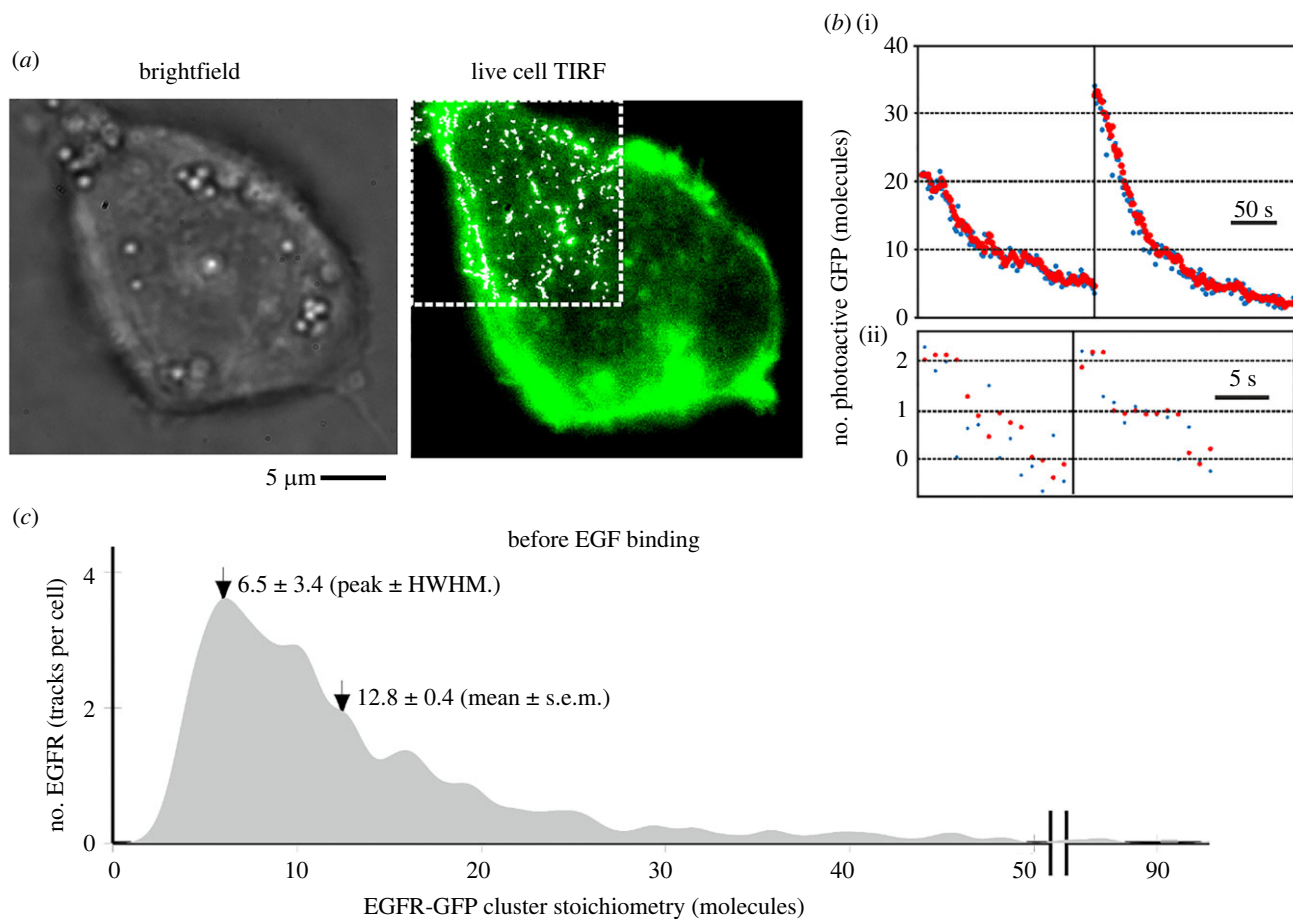
cell. In most cells, foci could be detected across the full extent of the basal membrane and exhibited a smooth surface topography consistent with earlier scanning electron microscopy performed on SW620 cells [38]. We tracked foci over several seconds to approximately 40 nm spatial precision using home-written tracking software [39–45] (electronic supplementary material, movie S1).

Foci image widths were on average within 10% of those observed for single GFP *in vitro* (approx. 250 nm half width at half maximum). However, their brightness was greater than that expected for monomeric GFP, with fluorescence-intensity traces exhibiting multiple stochastic photobleaching steps (figure 2b) indicative of several molecules within each EGFR cluster. We could determine the stoichiometry of these foci by dividing their initial brightness by that of a single GFP [34]. The mean brightness of a single GFP was measured *in vivo* by quantifying the foci brightness towards the end of a photobleach trace, when only one photoactive molecule remained. The *in vivo* single-GFP brightness obtained in this way was within 15% of that measured *in vitro*, confirming accurate single-molecule detection *in vivo* (electronic supplementary material, figure S5c).

By integrating total fluorescence GFP intensity in each cell and correcting for native autofluorescence, we estimate the total copy number is approximately 200 000 EGFR–GFP molecules per cell. Tracked foci brightness values indicated that they comprise clusters of EGFR with a broad stoichiometry distribution, across different cells and within the same cell, with a range 2–90 EGFR molecules per cluster, with a peak value of approximately 6 and a mean of  $12.8 \pm 0.4$  molecules ( $\pm$ s.e.m.) (figure 2c). We did not detect any monomeric EGFR–GFP before adding EGF from greater than 1000 tracks in 19 different cells (electronic supplementary material, table S1), despite our microscope having single-GFP sensitivity *in vivo* and *in vitro* under the same imaging conditions (electronic supplementary material, figure S5). We considered whether the absence of detected monomers and broad range of stoichiometry could be due to the random optical overlap of lower stoichiometry EGFR clusters in our diffraction-limited images. We modelled this effect by convolving a Poisson distribution calculated from the overlap probability [46] with the brightness distribution of a cluster in a range of different stoichiometry states (similar to earlier studies [17,47]). The simulated EGFR cluster stoichiometry distributions due to optical overlap for one–four molecules per cluster had a poor resemblance to the experimental stoichiometry distribution (electronic supplementary material, figure S7). However, simulating a cluster stoichiometry randomly sampled from a second Poisson distribution with peak value equal to four molecules per cluster, but extending to tens of molecules per cluster, resulted in reasonable predictions which could account for approximately 90% of the observed variance ( $R^2 = 0.88$ ) in the experimental stoichiometry distribution (electronic supplementary material, figure S7). This suggests that many EGFR foci are formed from clusters with a broad stoichiometry distribution. Rather than EGFR being a fixed, covalently bound tetramer, these results suggest a more loosely bound assembly of EGFR, comprising monomers and dimers that condense into clusters before EGF is added.

### 2.2. Epidermal growth factor causes clusters to increase their epidermal growth factor receptor content

To determine the effect of EGF binding on EGFR cluster stoichiometry and spatio-temporal dynamics, we performed



**Figure 2.** EGFR cluster stoichiometry in SW620 cells before EGF binding. (a) Transfected SW620 cell showing GFP (green) and overlaid tracking (white) on top left corner. (b) Photobleaching intensity traces from tracked EGFR–GFP clusters with stoichiometries of several tens of molecules (i), down to two molecules (ii), raw data (blue) and Chung-Kennedy filtered (red) [35,36] that preserves distinct edges such as those due to GFP photobleaching. (c) Distribution of EGFR cluster stoichiometry rendered as a kernel density estimation [37] before EGF binding showing peak at approximately six molecules and a mean of 12.8 molecules, with  $N = 19$  cells, and 1250 cluster tracks in total (66 tracks per cell), corresponding to approximately 850 tracked EGFR per cell on average.

TIRF following addition of EGF to the cell culture. We kept live SW620–EGFR–GFP cells in serum-free media for 24 h prior to imaging to minimize binding of serum-based EGFR ligands and then washed immediately prior to EGF addition. We then added EGF conjugated 1:1 with fluorescent tetramethylrhodamine (EGF–TMR) at a final concentration of  $100 \text{ ng ml}^{-1}$  (15.6 nM), higher than the  $K_D$  for EGF to EGFR of  $300 \text{ pM}$ – $2 \text{ nM}$  [48], and visualized cells using TIRF to allow simultaneous observation of EGFR and EGF in our green- and red-colour channels, respectively. Excess EGF–TMR was retained in the sample during imaging enabling observation over incubation times of 3–60 min.

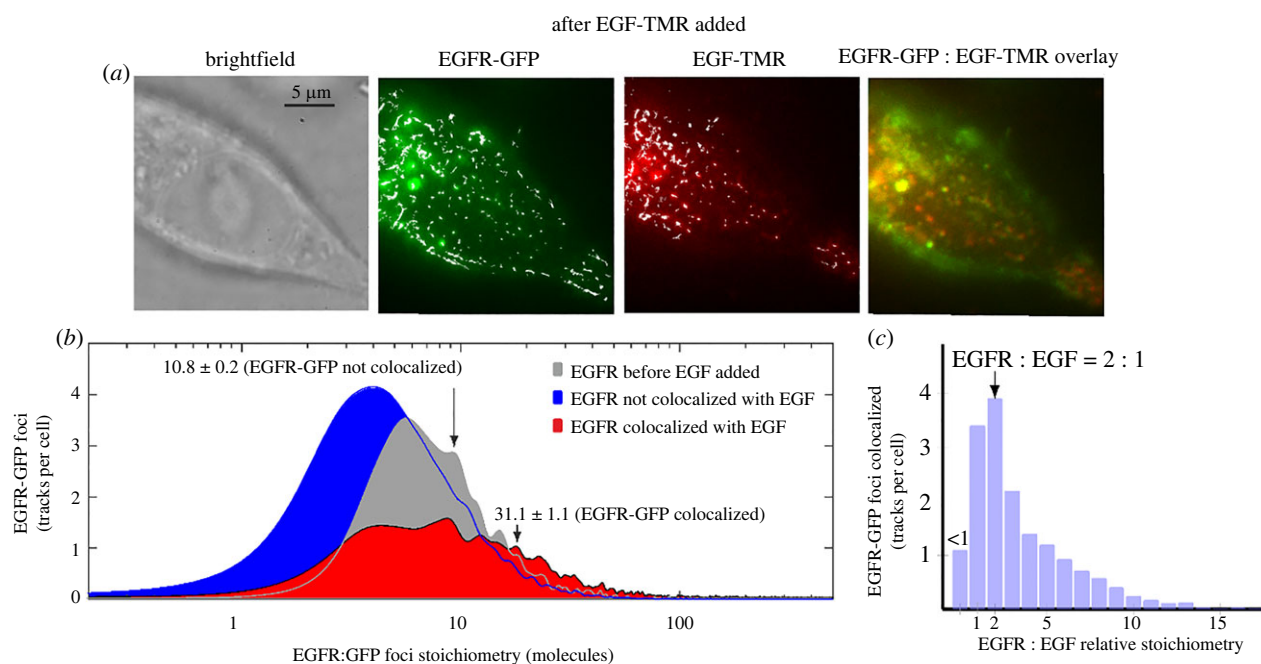
Colocalization of EGFR and EGF foci was determined using the numerical overlap integral between tracked green/red foci, establishing a metric for putative binding of EGF to EGFR clusters to within our spatial precision of 40 nm. After EGF incubation for a few minutes, binding between green/red foci was detected (figure 3a; electronic supplementary material, movie S2 and figure S8). We observed a mean of approximately 57 EGFR tracks per cell across all incubation times from 117 cells and a total of 4700 tracks across all cells (electronic supplementary material, table S1). We estimated  $40 \pm 18\%$  of EGFR clusters were bound to EGF over 3–60 min incubation, corresponding to 64% of all tracked EGFR clusters (electronic supplementary material, figure S9).

The EGFR stoichiometry for clusters not bound to EGF was similar to the value (approx. 13 molecules) measured before

adding EGF (electronic supplementary material, table S1 and figure S9c; figure 3b). EGF-bound EGFR clusters had a higher mean stoichiometry of approximately 31 EGFR molecules compared to 11 EGFR molecules for clusters not bound to EGF, as shown in the electronic supplementary material, table S1, and the stoichiometry distributions in figure 3b (Student's  $t$ -test  $p < 0.0001$ ), with non-parametric testing also indicating that the two distributions were statistically different ( $p < 0.0001$ ). Binning the stoichiometry as a function of incubation time (electronic supplementary material, figure S9c), the mean stoichiometry of EGFR clusters not bound to EGF remained roughly constant at 8–14 during incubation with EGF over 60 min, whereas that of EGF-bound EGFR clusters increased to 20–50 molecules per cluster.

### 2.3. Epidermal growth factor-bound clusters contain four epidermal growth factor receptor molecules per epidermal growth factor

To determine the relative stoichiometry between EGFR clusters and EGF when EGF was bound, we measured red foci stoichiometry simultaneously to colocalized green foci. EGF stoichiometry was determined using the same photobleaching protocol to that of GFP-labelled EGFR. Fluorescence-intensity traces for EGF–TMR on the red channel exhibited step-wise photobleaching when multiple EGF–TMR molecules were



**Figure 3.** EGF increases EGFR cluster stoichiometry in SW620 cells. (a) Brightfield and TIRF of SW620–EGFR–GFP cells after adding EGF–TMR (10 min time point). GFP (green), TMR (red) foci and overlay images are shown with yellow indicating colocalization (putative binding between EGFR clusters and EGF within our 40 nm spatial precision). Overlaid tracks are shown (white). (b) Stoichiometry distributions of EGF-bound EGFR clusters (red) and EGFR not bound to EGF (blue) across all times. Mean and s.e.m. for each distribution indicated (arrows). (c) Distribution of relative EGFR : EGF stoichiometry for EGF-bound clusters.  $N = 117$  cells.

present, and EGF foci stoichiometry was obtained by dividing the initial intensity in the traces by that of a single TMR molecule. As for GFP, the latter (approx. 2400 counts on our detector) was obtained *in vivo* from the final brightness in the photobleach, averaging over multiple traces, and agreed with *in vitro* measurements (electronic supplementary material, figure S5c). Our analysis revealed a modal relative stoichiometry for EGFR:EGF of  $1.9 \pm 0.8$  ( $\pm$ half width half maximum; figure 3c) with mean  $4.2 \pm 0.1$ ; EGFR clusters bound to EGF contain approximately four EGFR molecules for every EGF.

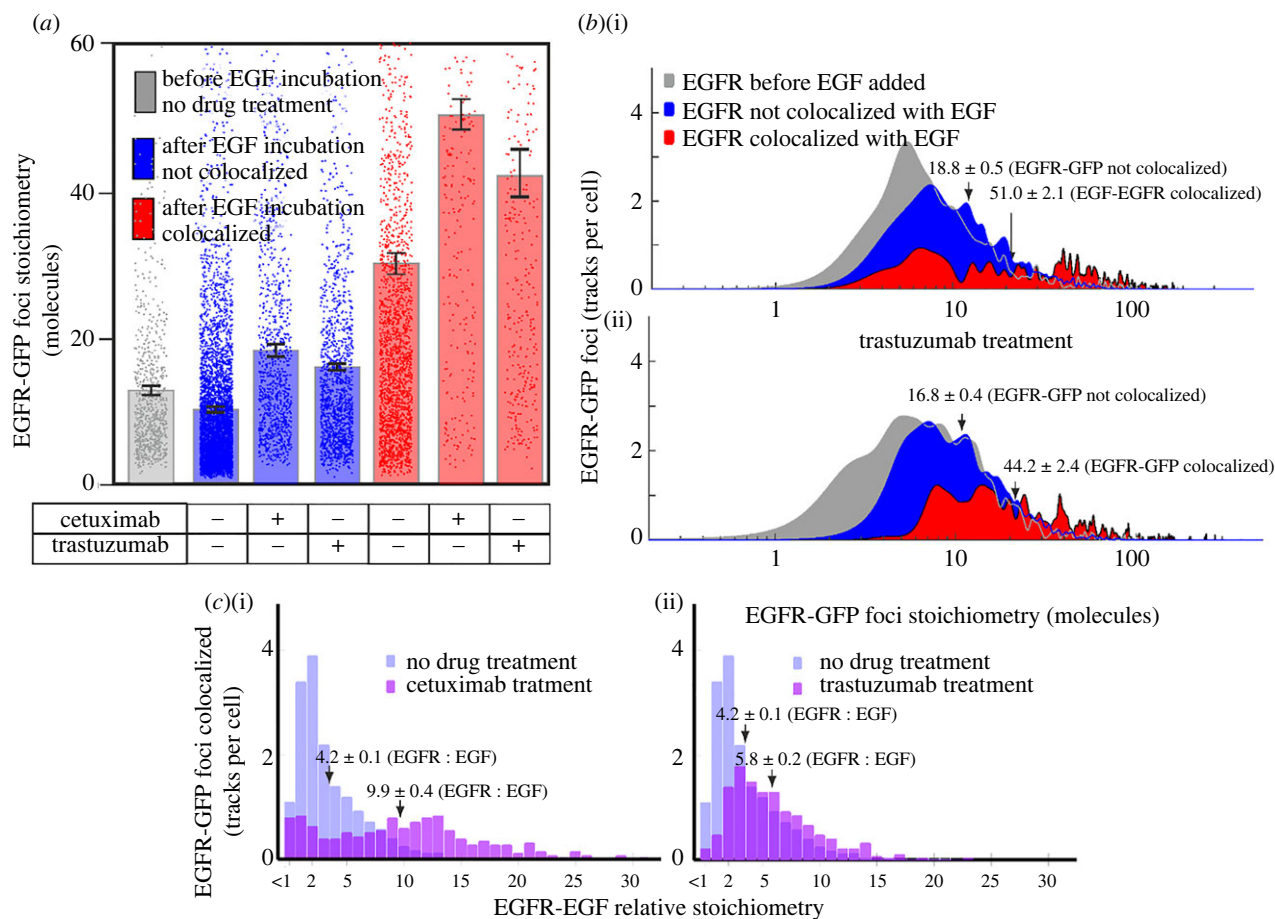
To interpret these observations, we developed a new multi-state time-dependent kinetics model that accounts for EGF–EGFR binding, receptor dimerization and receptor internalization and recycling (electronic supplementary material, figure S10a). The model predicts the fractional saturation on the surface,  $\gamma^{\text{surface}}$ , which is the surface ratio EGF : EGFR (excluding internalized molecules). The model shows that on adding EGF, initial concentrations of unligated EGFR monomers ([R]) and dimers ([RR]) decrease while concentrations of ligated monomers ([RL]) and dimers (singly ligated [RRL] and doubly ligated [RRL2]) increase over the first 5 min (electronic supplementary material, figure S10a). Endocytosis leads to the accumulation of internalized ligated monomers ([RL]<sup>inside</sup>) and dimers (singly ligated [RRL]<sup>inside</sup> and doubly ligated [RRL2]<sup>inside</sup>) (dashed lines, electronic supplementary material, figure S10a) with EGFR recycling back to the plasma membrane contributing to equilibration of all concentrations after approximately 30 min (electronic supplementary material, figure S10a).  $\gamma^{\text{surface}}$  is shown in the inset in electronic supplementary material, figure S10a. Its inverse at equilibrium predicts an EGFR : EGF ratio of approximately 1.5, lower than our observed mean value of approximately 4. However, if we assume that ligand can bind only to receptor monomers (and not to dimers), our model predicts  $\gamma^{\text{surface}}$  of 0.24, which corresponds

closely to the experimental mean EGFR : EGF ratio of approximately 4 (electronic supplementary material, figure S10c,d).

#### 2.4. Epidermal growth factor receptor clustering increases on adding cetuximab or trastuzumab

It is known that EGF binds to monomeric EGFR resulting in EGFR dimerization prior to activation [5–8]; however, it is less clear what role EGFR activation plays in EGFR clustering. To investigate the effect of EGFR pathway inhibition on EGFR clustering, we imaged the transfected SW620 cells in the presence of EGFR pathway inhibitors cetuximab or trastuzumab, two commonly used anti-cancer drugs, which separately target EGFR and HER2, respectively. These are, to our knowledge, the first single-molecule observations of the effect of EGFR- and HER2-targeting anti-cancer drugs on living human cancer cells. Cetuximab targets EGFR and is a monoclonal antibody anti-cancer drug commonly used against neck and colon cancers in advanced disease stages to inhibit cell division and growth [25]. Binding of cetuximab to domain III of the soluble extracellular segment of EGFR is believed to result in partial blockage of the EGF-binding region, hindering the adoption of an extended conformation required for EGFR dimerization. Trastuzumab is a monoclonal antibody anti-cancer drug commonly used to treat breast cancer [26] that results in similar downstream effects of EGFR pathway inhibition of impairing cell division and growth. However, trastuzumab does not bind directly to EGFR but to domain IV of the extracellular segment of HER2 [49]. Trastuzumab binding does not affect HER2 self-association [50] but influences the stability of HER2-mediated dimers with EGFR [51].

Before adding EGF, we found that treatment with cetuximab or trastuzumab at cytostatic concentrations caused statistically significant differences between the stoichiometry distributions for EGFR–GFP stoichiometry (Student's *t*-test,  $p < 0.0001$ , Brunner–Munzel,  $p = 0.01$ ,  $p = 0.08$ , respectively)



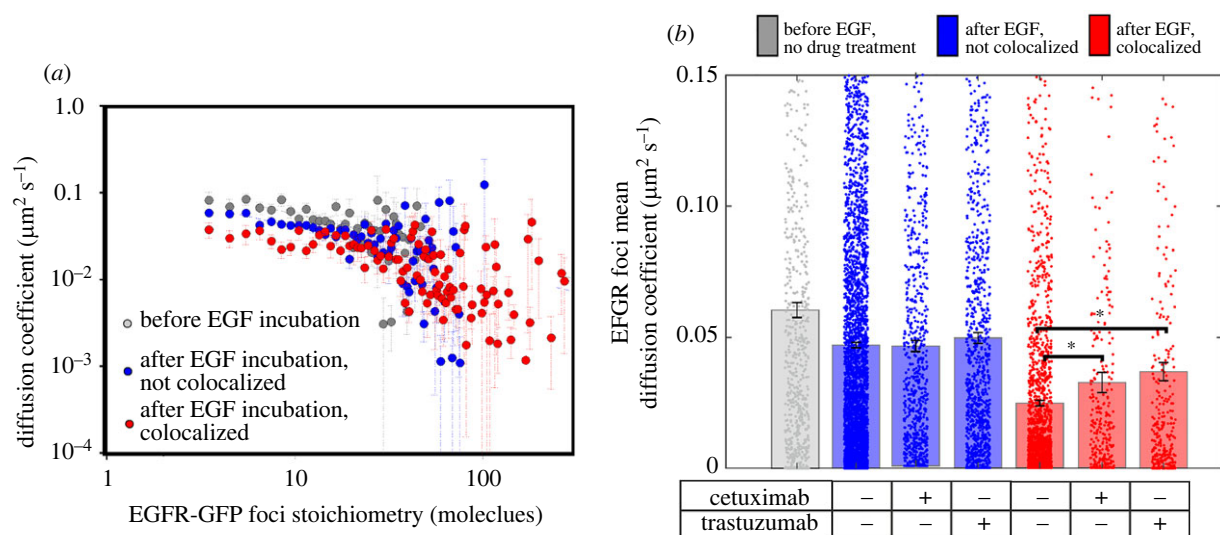
**Figure 4.** Cetuximab and trastuzumab increase EGFR cluster stoichiometry. (a) Mean EGFR cluster stoichiometry before and after EGF incubation and its dependence on EGF binding, in the presence (+) or absence (-) of each drug treatment. Error bars are s.e.m.,  $N = 10$ –117 cells per dataset (see electronic supplementary material, table S1). (b) Distributions of EGFR cluster stoichiometry for cells treated with cetuximab (i) or trastuzumab (ii). Distributions shown are pre-EGF addition (grey) and post-EGF addition for EGF-bound EGFR clusters (red) and EGFR not bound to EGF (blue). Data collated across 60 min EGF incubation. Mean and s.e.m. values are indicated by arrows. (c) Distributions of EGFR : EGF relative stoichiometries of EGF-bound EGFR clusters for drug-treated cells (purple) contrasted against no drug treatment (light blue).  $N = 10$ –117 cells per dataset.

but with no significant effect on the number of detected EGFR-GFP tracks per cell (electronic supplementary material, table S1). EGF incubation together with drug treatment resulted in increased EGFR cluster stoichiometries for both EGF-bound and EGFR-unbound clusters, for both drugs, compared to stoichiometries after EGF incubation with no drug treatment (figure 4*a,b*; electronic supplementary material, table S1). The mean stoichiometry of EGF-bound EGFR clusters in drug-treated cells increased significantly to  $51 \pm 2$  and  $44 \pm 2$  for cetuximab and trastuzumab, respectively, with maxima of several hundred molecules (figure 4*a,b*; electronic supplementary material, table S1). There were approximately 20% fewer EGF-bound EGFR tracks for cetuximab- or trastuzumab-treated cells compared to untreated cells (electronic supplementary material, figure S12). We also observed a shift to higher EGFR : EGF relative stoichiometry for cetuximab and trastuzumab treatments beyond the approximately 2 : 1 modal ratio observed for untreated cells (figure 4*c*), consistent with competitive inhibition of EGF binding. Taken together, these results support the hypothesis that EGF binding increases the level of EGFR clustering.

## 2.5. Epidermal growth factor triggers larger epidermal growth factor receptor heterocluster formation

Tracking of EGFR clusters indicated Brownian diffusion up to time intervals of approximately 100 ms (electronic

supplementary material, figure S13). Using the initial gradient of the mean square displacement with respect to time interval for each track, we determined the apparent diffusion coefficient  $D$  and correlated this against EGFR cluster stoichiometry. Plotting  $D$  against stoichiometry for all tracked clusters shows a trend towards lower diffusion with higher stoichiometry (figure 5*a*; electronic supplementary material, figures S13 and S14). One explanation for these observations can be made using the principles of the Stokes-Einstein relation, which states that  $D = k_B T / \gamma$ , where  $k_B$  is Boltzmann's constant,  $T$  is the absolute temperature and  $\gamma$  is the frictional drag of a tracked EGFR cluster in the membrane. The frictional drag is dependent on the local viscosity and the size and shape of the diffusing object. Larger clusters (i.e. those with a higher effective diameter) have a higher frictional drag in the membrane so a trend towards lower cluster diffusion with higher number of EGFR molecules per cluster is not unreasonable for an accretion model of cluster growth. In the absence of any drugs,  $D$  for EGF-bound clusters was lower than that for EGF-unbound clusters (red data to blue, figure 5*b*), that would be consistent with an increase in effective cluster diameter as clusters accumulate more EGFR upon EGF binding triggering increased dimerization. However, for clusters that have grown much larger than the approximately 3–5 nm width of the two-dimensional cell membrane, there is an expectation that the effective drag coefficient has a less-sensitive logarithmic scaling with effective diameter as



**Figure 5.** EGFR cluster diffusion depends on stoichiometry and EGF binding. (a) Log-log plot for apparent diffusion coefficient,  $D$ , as a function of EGFR cluster stoichiometry. (b)  $D$  upon drug treatment for the same datasets of figure 4. Significant differences using Student's  $t$ -test ( $p < 0.05$ ) for +cetuximab and +trastuzumab ( $p = 0.01$  and less than 0.0001) are indicated with asterisk, with corresponding Brunner–Munzel tests on the full distributions indicating  $p$ -values of 0.0001 and 0.001, respectively; s.e.m., error bars.

opposed to being inversely proportional to the effective diameter of an object diffusing in a purely three-dimensional environment [52], so there may be additional effects to consider (see Discussion).

We found that the addition of cetuximab or trastuzumab made relatively little difference to  $D$  for EGF-unbound EGFR clusters (blue data, figure 5b), suggesting that these drug treatments are unlikely to have a significant effect on the plasma membrane viscosity in the vicinity of EGFR clusters, or on interactions of EGFR with the cytoskeleton that could influence cluster diffusion. However, we also found that both cetuximab and trastuzumab increased  $D$  for EGF-bound EGFR clusters (red data, figure 5b) in the direction of higher values associated with EGFR clusters not bound to EGF in the untreated datasets (grey bar, figure 5b). One hypothesis for these findings is that there are non-EGFR components present in clusters that influence  $D$ . HER2 is a candidate here, since trastuzumab binds not to EGFR but specifically to HER2; since the frictional drag of an EGFR cluster includes not only visible GFP-labelled EGFR but also any unlabelled components that contribute to frictional drag, one explanation is that trastuzumab reduces the EGFR cluster diameter by perturbing the association between EGFR and unlabelled HER2 if present in a cluster, following EGF binding to EGFR. In support of this explanation, HER2 is known to affect the stability of HER2-mediated dimers with EGFR [51] while not affecting the binding of HER2 with other HER2 molecules [50]. An important conclusion to this hypothesis is that it is likely that, prior to drug treatment, there must clusters present that comprise both EGFR and HER2, i.e. heteroclusters.

A number of previous findings have inferred indirectly that EGFR may form heterocomplexes with other RTKs [14,20,22–24], and recent evidence shows that HER2 inhibitor lapatinib induces HER2/HER3 heterocomplex formation in breast cancer cells [53].

We have no available viable cell line derived from the SW620 cell line currently that has both HER2 and EGFR fluorescently labelled; however, we were able to construct a dual-label cell line using model CHO-K1 cells that have

similar low endogenous EGFR expression levels. We constructed this cell line to contain GFP-labelled HER2 and EGFR labelled with HaloTag650 (HaloTag STELLA Fluor 650) ligand. Using similar TIRF and SMLM, we found that HER2 and EGFR exhibit mobile and immobile foci, with transient colocalization and co-diffusion (electronic supplementary material, figure S15a) over a mean dwell time of  $335 \pm 100$  ms (electronic supplementary material, figure S15b, movies S3 and S4). Although there are several biological differences between SW620 and CHO-K1 cells, taken together, our results add support to the hypothesis that EGF induces the formation of larger EGFR heteroclusters that involve a HER2 component (electronic supplementary material, figure S15c). Heteroclusters may also include HER3 or HER4. We tested this indirectly by treating cells with the inhibitor pertuzumab, a monoclonal antibody anti-cancer drug similar to trastuzumab albeit with complementary function against HER2/HER3 heteroassociation [54]. We found that pertuzumab treatment also resulted in perturbations to EGFR clustering (electronic supplementary material, figure S16), perhaps suggesting HER3 contribution to heteroclusters.

### 3. Discussion

Here, we investigated the role of EGFR clusters in cancer and their dependence on EGF binding. Two important improvements over earlier reports are (i) our SW620 observations relate to a human carcinoma line, enabling insights to the EGF pathway in cancer directly and (ii) we have spatial information concerning EGFR and EGF localization simultaneously from labelled protein and ligand. In prior microscopy in which labelled EGF is not imaged simultaneously to labelled EGFR, inference is more limited.

We used single-molecule TIRF and SMLM on transfected SW620 cells which do not natively express EGFR. By using GFP on EGFR with TMR on EGF, we have measured the stoichiometry and diffusion of single EGFR clusters, and how these depend on putative EGF binding within our 40 nm



spatial precision. We find that before EGF binds to EGFR, EGFR comprises clustered assemblies, the most prevalent of which contains six EGFR molecules, but with the cluster stoichiometry extending to several tens of molecules. We find that binding of EGF to EGFR results in higher cluster stoichiometry. The observation that EGFR may exist as pre-formed clusters prior to EGF activation has been suggested previously by several studies [11–15,17] with our findings here adding to this growing consensus.

We developed a new time-dependent kinetics model using realistic parameters derived from previous studies. Unlike previous models, it predicts the time evolution of all concentrations and accounts for recycling and endocytosis. The model enables the interpretation of imaging data revealing insights that could not be achieved with time-independent schemes based solely on affinities and equilibrium constants. It also factors in the temperature dependence of EGF binding in living cells, showing the contrast between EGF:EGFR predictions at 37°C and 4°C ( $\gamma_{\text{surface}} \sim 0.24$  at 37°C versus  $\gamma_{\text{surface}} \sim 0.96$  at 4°C). These differences arise from the fact that receptor–EGF binding and dimerization equilibrium constants can strongly depend on temperature (they may vary by as much as a factor of 10–100 between approximately 0°C and 37°C [55]), as well as from the fact that receptor internalization is highly temperature dependent [56]. It is worth noting that our model has validity for any receptor–ligand system for which reaction rates have been measured. It predicts a mean EGFR:EGF ratio of 4:1 within a cluster which agrees with our experimental measurements. The model predictions are not explicitly dependent on the presence of heterodimers. Limited experimental data from heterodimeric components for EGF activation rates and internalization processes preclude a full theoretical description within the current model framework, though it is not inconceivable that EGF-binding processes might be reaction-limited as opposed to diffusion-limited due to the relatively high rates of diffusion of the small EGF ligand comparable to EGFR clusters. In such a scenario, an EGFR molecule that is paired with a non-EGFR ERBB superfamily partner (for example, HER2, -3 or -4) might have comparable reaction kinetics to pure monomeric EGFR, though testing this is beyond the scope of our present study. To our knowledge, this is the first report of a truly time-dependent kinetics model applied to single-molecule precise live cell data; therefore, its accurate prediction adds significant support to the model's key premise that there is preferential EGF binding to EGFR monomers with no binding to dimers.

Our model adds to existing evidence of ligand binding to EGFR monomers. Small angle X-ray scattering and isothermal titration calorimetry to EGFR's isolated extracellular domain (sEGFR) suggest EGF binds to sEGFR monomers, receptor dimerization involving the association of two monomeric EGF–sEGFR [57]. Multi-angle laser light scattering suggests sEGFR is monomeric in solution but dimeric after EGF ligation [58]. Fluorescence anisotropy indicates 1:1 binding of EGF:sEGFR, analytical ultracentrifugation suggesting two (EGF–sEGFR) complexes [59]. Structural evidence indicates activation is preceded by ligand binding to receptor monomers [60–62].

We also performed TIRF with SMLM to investigate anti-cancer drugs cetuximab [25] and trastuzumab [26], to our knowledge for the first time on living human cancer cells,

although correlative fluorescence microscopy and liquid phase electron microscopy have been used previously to investigate the drug lapatinib that reversibly inhibits both EGFR and HER2 [22]. We discovered that the diffusion of EGF-bound EGFR clusters increased upon treating cells with either drug. Since cetuximab and trastuzumab separately target EGFR and HER2, respectively [25,49], a reasonable conclusion is that clusters likely contain a mixture of both proteins.

One implication of this observation is that these drugs reduce the effective frictional drag experienced by clusters which could imply a compaction effect, i.e. that the EGFR packing density within EGF-bound clusters is higher for drug-treated compared to untreated cells. It is known that EGFR adopts a spatially more extended conformation for dimerization to occur [63]—when EGF binds to EGFR, the activated EGFR dimers become more compact than non-EGF-bound EGFR dimers in the two-dimensional plane of the plasma membrane, but also become marginally taller perpendicular to this plane. Therefore, if a cluster contains a mixture of both EGF-bound and EGFR-unbound subunits then the addition of a dimerization inhibitor might conceivably result in EGF-unbound EGFR subunits adopting the more compact conformation not associated with dimerization in the two-dimensional plane of the plasma membrane, so increasing the overall packing density of EGFR in that cluster. However, equivalent details are not currently known for trastuzumab. An alternative explanation is that there are changes to the membrane or cytoskeletal microenvironment in the vicinity of EGFR clusters that are dependent not only on the presence of the drugs used here but also on whether EGF is bound to EGFR. One further consideration concerns putative hop diffusion that was reported as a model to explain the apparent increases in translational diffusion for E-cadherin oligomers in the plasma membrane [64]. In this model, the plasma membrane is compartmentalized by the actin-based cytoskeleton into corral zones of a few hundred nanometre effective diameter that E-cadherin can hop between such that the hopping rate decreases dramatically with an increase of E-cadherin's oligomeric state. However, the spatial and temporal resolution limitations in our current work preclude us from probing this level of ultrastructural detail at this time.

Although we do not have a cell line in SW620 that co-expresses both fluorescently labelled EGFR and HER2, we were able to make a viable dual-label strain in model CHO-K1 cells, which indicated that EGFR and HER2 foci co-diffuse over periods of several hundred milliseconds prior to incubation with EGF. With the caveat that there are biological differences between SW620 and CHO-K1, if the molecular behaviours of EGFR and HER2 molecular interactions *per se* are fundamentally identical irrespective of the cell line, these data suggest that EGFR clusters may contain a mixture of EGFR and HER2 both before and after EGF binding. It should be noted that other reports suggest that HER2 and HER3 may engage in heterocomplex formation [53] so we cannot exclude the possibility that HER3 may also be present in mixed clusters of the SW620 line. Indeed, we tested this possibility indirectly by treating cells with pertuzumab, a monoclonal antibody anti-cancer drug which targets the HER2/HER3 interface. This treatment also induced stoichiometry changes to receptor clusters, suggesting a role for HER3 in heteroclusters; however, the full extent of HER3 and HER4 involvement in heteroclusters is beyond the scope of this present study.

Our findings show that EGFR is clustered before and after EGF binding, consistent with observations from AFM studies using EGF-coated tips which imaged human lung adenocarcinoma cells from the A549 cell line, known to have high EGFR expression [65]. These data suggested half the EGFR clusters had diameters of 20–70 nm pre-activation, with 35–105 nm post-activation, indicating cluster growth following EGF binding, to be compared with our findings. However, we find important differences with respect to some recent single-molecule studies. Although there were earlier suggestions of pre-formed oligomeric EGFR, including Needham *et al.* [17] and Zanetti-Domingues *et al.* [66], they and Huang *et al.* [10] observed monomeric EGFR, in particular Huang *et al.* assigning a proportion of 94%. We cannot directly exclude the possibility in our experiments that monomeric EGFR is at high density for which the mean separation is less than the optical resolution limit. However, the absence of not a single detected monomer from several thousand tracks, despite having single-GFP detection sensitivity, makes this unlikely. An alternative explanation is that we estimate the EGFR copy number to be approximately 200 000 molecules per cell, similar to endogenously expressing cancer cell lines [67] but more than double that estimated from Needham *et al.* and Huang *et al.*, which may conceivably result in shifting the equilibrium position for EGFR clustering towards higher stoichiometry. In support of this, the peak value of 6 EGFR cluster molecules we measure before EGF binds is higher than that observed by Needham *et al.* who observed 4. Such an increased on-rate could conceivably contribute to a depleted monomeric population, which has implications for carcinomas in which the expression level of EGFR is known to be high. The absence of monomeric EGFR before the addition of EGF may also suggest some spontaneous activation independent of ligand binding.

Reports on possible heterocomplex formation between EGFR and other ERBB proteins do not detail whether these associations are before or after EGF binding. Our observations show that transient associations between EGFR and HER2 may last a few hundred milliseconds, but that cluster size and number increase following EGF binding. Our findings suggest a role for trastuzumab in modulating regulatory balance through the availability of endogenous HER2 to associate with EGFR. Even when scarce, the presence of HER2 is known to selectively discourage internalization and degradation of activated EGFR and promote recycling to the plasma membrane via both chaperone proteins and EGF dissociation [68]. However, although HER2 is known to act as co-receptor, it has no known direct ligand and its physiological role in interacting with EGFR is still unclear. One possibility is that the diffusion of heterocomplexes may enable a spread of activation across cell surfaces. Also, the resistance of HER2-bearing complexes to downregulation might sustain signalling once established, i.e. a ‘latch’ response. Future work involving the development of a viable SW620 cell line that co-expresses labelled EGFR and HER2 may help these questions to be addressed, in particular to determine what ERBB component EGF specifically targets in clusters that contain heterodimers.

Future work will also be valuable to unravel how EGFR–HER2 heterocluster formation affects and is affected by the downstream signalling proteins, which themselves may cluster and alter their diffusion as has been observed in Ras signalling which interacts with EGFR [69]. Similar bidirectional effects

occur with the cytoskeleton and through endocytosis. Constraining EGFR clustering and diffusion modulates phosphorylation [70], similarly inhibiting endocytosis increases EGFR autophosphorylation [71]. Unravelling the complex interplay between receptor clustering and diffusion with downstream signalling proteins, cytoskeletal interactions and endocytosis will remain a significant challenge going forward.

Our findings that heterocomplex cluster size increases post-EGF binding suggest new strategies for anti-cancer drug design. For example, new drugs to disrupt interface between HER2 and EGFR directly. Strategies that disrupt EGFR clusters before EGF binding may also inspire new drug designs. Similarly, single-molecule quantification would be valuable to probe different carcinomas, for example, those of the lung in which EGFR mutations are implicated in cancer [72] or in the design of cell surface logic gates for targeted therapies [73]. Finally, in enabling quantification of the actions of different drugs, there may be value in identifying chemotherapy ‘sweet-spots’ in carcinomas known to be treatable using combined drugs, such as in gastric cancer [74].

## 4. Material and methods

Full details for methods used for cell line preparation, gene expression quantification, microscopy and modelling are given in the electronic supplementary material.

### 4.1. Software access

All bespoke code in MATLAB is available at EGFRanalyser: <https://sourceforge.net/projects/york-biophysics/>.

**Data accessibility.** Analysed data are included in full in the main text and electronic supplementary material. All raw imaging data are available from the authors.

The data are provided in the electronic supplementary material [75].

**Authors’ contributions.** A.J.M.W.: formal analysis, investigation, software, writing—original draft and writing—review and editing; C.F.: formal analysis, investigation, methodology, writing—original draft and writing—review and editing; I.L.: formal analysis, writing—original draft and writing—review and editing; O.H.: investigation, methodology, writing—original draft and writing—review and editing; A.L.P.: investigation, writing—original draft and writing—review and editing; S.S.: formal analysis, investigation, methodology, writing—original draft and writing—review and editing; P.Z.: formal analysis, investigation, methodology, writing—original draft and writing—review and editing; T.L.: formal analysis, investigation, writing—original draft and writing—review and editing; D.O.: formal analysis, investigation, writing—original draft and writing—review and editing; J.W.: methodology, supervision, writing—original draft and writing—review and editing; A.K.: conceptualization, data curation, formal analysis, funding acquisition, methodology, resources, supervision, writing—original draft and writing—review and editing; S.W.B.: conceptualization, data curation, formal analysis, funding acquisition, methodology, resources, supervision, writing—original draft and writing—review and editing; M.L.: conceptualization, data curation, formal analysis, funding acquisition, methodology, project administration, supervision, writing—original draft and writing—review and editing.

All authors gave final approval for publication and agreed to be held accountable for the work performed therein.

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