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1 **TITLE**

2 **Combined, patient-level, analysis of two randomised trials evaluating the addition of**  
3 **denosumab to standard first-line chemotherapy in advanced NSCLC – the**  
4 **ETOP/EORTC SPLENDOUR and AMGEN-249 trials.**

5 **AUTHORS**

6 Solange Peters<sup>#‡1</sup>, Sarah Danson<sup>‡2</sup>, Dunson Ejedepang<sup>3</sup>, Urania Dafni<sup>4</sup>, Baktiar Hasan<sup>3</sup>, Hoi-Shen  
7 Radcliffe<sup>5</sup>, Frederique Bustin<sup>6</sup>, Jacky Crequit<sup>7</sup>, Linda Coate<sup>8,20</sup>, Monica Guillot<sup>9,21</sup>, Veerle Surmont<sup>10</sup>,  
8 Daniel Rauch<sup>11,22</sup>, Jakob Rudzki<sup>12,23</sup>, Deirdre O'Mahony<sup>13,20</sup>, Isidoro Barneto Aranda<sup>14,21</sup>, Amina  
9 Scherz<sup>15,22</sup>, Zoi Tsourti<sup>16</sup>, Heidi Roschitzki-Voser<sup>17</sup>, Alessia Pochesci<sup>3</sup>, Gaston Demonty<sup>18</sup>, Rolf A.  
10 Stahel<sup>17</sup>, Mary O'Brien<sup>19</sup>

11

12 ‡ Co-first authors

13

14 # Corresponding author:

15 Prof Dr Solange Peters

16 Department of Oncology

17 Centre Hospitalier Universitaire Vaudois (CHUV)

18 CH-1011 Lausanne, Switzerland

19 Tel: +41 79 556 01 92

20 Email: Solange.Peters@chuv.ch

21

1 **Affiliations**

- 2 1 *Department of Oncology, Centre Hospitalier Universitaire Vaudois (CHUV), Lausanne, Switzerland*  
3 2 *Department of Oncology and Metabolism & Sheffield Experimental Cancer Medicine Centre, University of Sheffield,*  
4 *Weston Park Hospital, Sheffield, United Kingdom*  
5 3 *Headquarters, European Organisation for Research and Treatment of Cancer (EORTC), Brussels, Belgium*  
6 4 *National and Kapodistrian University of Athens & Frontier Science Foundation-Hellas, Athens, Greece*  
7 5 *Amgen Inc, Uxbridge, United Kingdom*  
8 6 *Centre Hospitalier Regional De La Citadelle, Liège, Belgium*  
9 7 *Centre Hospitalier De Beauvais, France*  
10 8 *Mid-Western Cancer Centre, University Hospital Limerick, Limerick, Ireland*  
11 9 *Hospital Universitari Son Espases, Palma, Spain*  
12 10 *Universitair Ziekenhuis Gent, Belgium*  
13 11 *Spital STS AG Thun, Switzerland*  
14 12 *Innsbruck Universitaetsklinik, Austria*  
15 13 *Cork University Hospital, Ireland*  
16 14 *Hospital Universitario Reina Sofia, Córdoba, Spain*  
17 15 *Department of Medical Oncology, Inselspital, Bern University Hospital, University of Bern, Switzerland*  
18 16 *Frontier Science Foundation-Hellas, Athens, Greece*  
19 17 *Coordinating Office, European Thoracic Oncology Platform (ETOP), Bern, Switzerland*  
20 18 *Amgen NV Diegem, Belgium*  
21 19 *Department of Medical Oncology, Royal Marsden Hospital Sutton, UK*  
22 20 *Cancer Trials Ireland*  
23 21 *Spanish lung cancer group (GECP)*  
24 22 *Swiss Group for Clinical Cancer Research (SAKK)*  
25 23 *Central European Cooperative Oncology Group (CECOG)*

1 **KEYWORDS:**

2 NSCLC, RANKL, Denosumab, bone metastases

3 **SHORT TITLE**

4 Denosumab addition to first-line chemotherapy in NSCLC – combined analysis

5 **ABSTRACT**

6 **Introduction**

7 The efficacy of adding denosumab to standard first-line chemotherapy for advanced NSCLC  
8 patients has been evaluated in two separate randomised trials (SPLENDOUR and  
9 AMGEN-249). In this pooled analysis, we will assess the combination-treatment effect in the  
10 largest available population, in order to conclude about the potential impact of denosumab in  
11 NSCLC.

12 **Methods**

13 Both trials included in this combined analysis, were randomised (SPLENDOUR 1:1,  
14 AMGEN-249 2:1) multi-centre trials stratified by histology, bone metastasis, geographical  
15 region and for SPLENDOUR only, ECOG PS. Cox proportional hazards models, were used to  
16 assess the treatment effect with respect to overall survival (OS; primary endpoint) and  
17 progression-free survival (PFS; secondary endpoint). Heterogeneity between trials was  
18 assessed, and subgroup analyses were performed.

19 **Results**

20 The pooled analysis was based on 740 randomised patients (SPLENDOUR:514;  
21 AMGEN-249:226), with 407 patients in the chemotherapy-denosumab arm and 333 in the  
22 chemotherapy-alone arm.

23 In the chemotherapy-denosumab arm, at a median follow-up of 22.0 months, 277 (68.1%)  
24 deaths were reported with median OS 9.2 months (95%CI:[8.0-10.7]), while in the  
25 chemotherapy-alone arm, with similar median follow-up of 20.3 months, 230 (69.1%) deaths  
26 with median OS 9.9 months (95%CI:[8.3-11.2]). No significant denosumab effect was found  
27 (HR=0.98; 95%CI:[0.83-1.18];  $P=0.85$ ).

1 Among subgroups, interaction was found between treatment and histology subtypes ( $P=0.020$ ),  
2 with a statistically significant benefit in the squamous group ( $HR=0.70$ ;  $95\%CI:[0.49-0.98]$ ;  
3  $P=0.038$ ), from 7.6-9 months median OS.

4 With respect to PFS, 363 (89.2%) and 298 (89.5%) events were reported in the chemotherapy-  
5 denosumab and chemotherapy-alone arms, respectively, with corresponding medians  
6 4.8 months ( $95\%CI:[4.4-5.3]$ ) and 4.9 months ( $95\%CI:[4.3-5.4]$ ). HR for PFS was  
7 0.97 ( $95\%CI:[0.83-1.15]$ ;  $P=0.76$ ), indicating that no significant denosumab benefit existed for  
8 PFS.

### 9 **Conclusion**

10 In this pooled analysis, no statistically significant improvement was shown in PFS/OS with the  
11 combination of denosumab and chemotherapy for advanced NSCLC and no meaningful benefit  
12 in any of the subgroups.

### 13 **INTRODUCTION**

14 Lung cancer is the leading cause of cancer mortality and histologically non-small cell lung  
15 cancer (NSCLC) accounts for 85% of lung cancers. Life expectancy of individuals with lung  
16 cancer has increased with better treatments, including targeted therapies and immunotherapy.

17 A considerable and growing number of cytokines, growth factors and immune modulators  
18 playing a role in lung cancer development are being identified. Some of them can be considered  
19 as prognostic factors, whereas others are also regarded as therapeutic targets.

20 One such example is the receptor activator of NF- $\kappa$ B (RANK) ligand (RANKL), a tumour  
21 necrosis factor (TNF) family member that signals through its receptor RANK, which was first  
22 discovered for communication between T-cells and dendritic cells. Through its receptor  
23 RANK, RANKL activates osteoclasts for bone resorption, promotes lymphocyte maturation  
24 and function, and enables mammary gland and secondary lymph node organogenesis.<sup>1-3</sup> A link  
25 between RANKL-signalling and cancer has been established in recent years. First, and perhaps  
26 owing to its bone remodelling capabilities, the RANKL-RANK pathway facilitates bone  
27 metastasis formation.<sup>4,5</sup> Second, it promotes seeding of breast tumour cells into the lungs in a  
28 T-regulatory–cell-dependent manner. Third, it participates in development of progestin-  
29 dependent mammary tumours.<sup>6-8</sup> Importantly, less is known about its contribution in primary

1 tumours from other carcinomas such as lung cancer. In a large international clinical trial of  
2 patients with advanced solid tumours (excluding breast and prostate cancer) or multiple  
3 myeloma, denosumab, a fully human monoclonal antibody that binds RANKL and blocks  
4 RANKL-RANK interaction, was shown to be non-inferior – with a trend toward superiority –  
5 to zoledronic acid in delaying time to first skeletal related event (SRE) (Hazard Ratio (HR),  
6 0.84; 95%CI:[0.71-0.98]; non-inferiority p=0.0007, representing 16% reduction in hazard).  
7 Although this trial failed to reveal any difference in overall survival (OS),<sup>9</sup> a pre-specified  
8 exploratory subgroup analysis reported that the effect of denosumab on time to first on-study  
9 SRE relative to zoledronic acid by tumour stratification factors resulted in a HR of 0.84 for  
10 NSCLC (n=702; 95%CI:[0.64-1.10]; P=0.20).

11 SPLENDOUR (ETOP-5-12/EORTC 08111; ClinicalTrials.gov Identifier: NCT02129699) was  
12 an open label phase III trial with the primary objective to evaluate whether the addition of  
13 denosumab to standard first-line platinum-based doublet chemotherapy in advanced NSCLC  
14 improves OS. AMGEN-249 (ClinicalTrials.gov Identifier: NCT01951586) was a randomised,  
15 double-blind, multi-centre phase II trial of denosumab in combination with chemotherapy as  
16 first-line treatment of metastatic NSCLC.

17 The current analysis aims at performing an individual data combined analysis of the  
18 SPLENDOUR and AMGEN-249 trials, representing the largest number of patients available  
19 in order to allow for a conclusion about the potential anti-tumour effect and subsequent OS  
20 impact of denosumab in NSCLC. The primary objectives are to assess efficacy in term of OS  
21 and progression-free survival (PFS) in the combined data sets.

## 22 **METHODS**

### 23 **Study designs of included clinical trials**

24 SPLENDOUR was an open label phase III trial with the primary objective to evaluate whether  
25 the addition of denosumab to standard first-line platinum-based doublet chemotherapy in  
26 advanced NSCLC improves OS. Patient population included those with and without bone  
27 metastasis.

28 AMGEN-249 was a randomised (a ratio 2:1), double blind, multi-centre phase II trial of  
29 denosumab in combination with chemotherapy as first-line treatment of metastatic NSCLC.

1 In both trials, the primary endpoint was OS, while PFS was included among secondary  
2 endpoints. The primary objective of this combined individual patient data analysis was to  
3 assess the efficacy in terms of OS and PFS in the combined datasets.

4 The two multicentre trials with similar design were combined to increase the overall statistical  
5 reliability of the results. Each trial was a randomised, parallel-group design, comparing  
6 addition of denosumab to standard chemotherapy. The stratification factors of both studies  
7 were similar. AMGEN-249 stratification factors were histology (squamous vs non squamous);  
8 bone metastasis (Yes vs No); region (Western Europe, North America/Australia, Rest of the  
9 World). SPLENDOUR stratification factors were histology (squamous vs non squamous);  
10 bone metastasis (Yes vs No); region (Eastern Europe, Western Europe, Southern Europe) and  
11 ECOG Performance Status (PS) (0/1 vs 2), which was not a stratification factor for the  
12 AMGEN-249 trial.

### 13 **Patients and treatment**

14 Non-small cell lung cancer (NSCLC) patients were pooled from the two clinical trials. The  
15 eligibility criteria for both trials are reported in the individual publications.<sup>10,11</sup>

16 In SPLENDOUR, patients were randomised 1:1 to receive either four to six cycles of platinum-  
17 based doublet chemotherapy (platinum compound plus gemcitabine or pemetrexed for non-  
18 squamous cell histology) or platinum-based doublet chemotherapy plus denosumab at a dose  
19 of 120 mg, subcutaneously every three to four weeks (chemotherapy-denosumab-arm).

20 In the AMGEN-249 trial, patients were randomised 2:1 to receive four to six cycles of standard  
21 of care chemotherapy and denosumab 120 mg or placebo subcutaneously every three to four  
22 weeks plus a loading dose on day 8.

### 23 **Statistical Analyses**

24 The two randomised trials were designed to evaluate the addition of denosumab using OS as  
25 the primary endpoint. The pooled statistical analysis was conducted using the same patient  
26 populations as those used in both trials. Efficacy data were analysed on intention-to-treat basis.  
27 The Cox proportional hazards (PH) model was used to analyse OS and PFS. In all primary  
28 analyses, the Cox PH model was stratified by stratification factors (histology, bone metastasis,  
29 ECOG PS, geographical region) with the stratification factors nested within each trial.  
30 Sensitivity analyses were also performed for both OS and PFS endpoints to account for the

1 different stratification factors for each study, including Cox models stratified by study and  
2 adjusting (in multivariate models) for all the stratification factors. P-values were reported from  
3 corresponding stratified log-rank tests. Follow-up time was calculated using the reverse-  
4 censoring Kaplan-Meier method.

5 Subgroup analyses by age (<70 vs ≥70), sex and stratification factors (with ECOG PS 0/1 and  
6 2 exclusively from SPLENDOUR trial) used at randomisation were conducted to assess  
7 heterogeneity of the results across specific subgroups using the Cox regression model as in the  
8 primary analysis. If the subgroup of interest was one of the stratification factors, in the Cox  
9 model this stratification factor was excluded. No adjustment for multiple testing was performed  
10 for subgroup analyses.

11 All statistical analyses were conducted at a 0.05 significance level and 95% confidence  
12 intervals (CI) were constructed.

## 13 **RESULTS**

14 The pooled population consisted of 740 patients: 514 patients were derived from the  
15 SPLENDOUR trial (255 in chemotherapy-alone and 259 in chemotherapy-denosumab,  
16 randomised from 11 December 2014 to 10 January 2018) and 226 from the AMGEN-249 trial  
17 (78 in chemotherapy-placebo and 148 in chemotherapy-denosumab, randomised from  
18 31 December 2013 to 21 May 2015) (Figure 1). In total, 407 patients were randomised to the  
19 treatment arm (combination of chemotherapy with denosumab), and 333 patients to the control  
20 arm (chemotherapy-alone or with placebo). Overall, 70% of the patients were male; median  
21 age was 66 years, 92.6% had an ECOG PS of 0/1 and 7.4% had an ECOG PS of 2. The majority  
22 of the patients had non-squamous NSCLC (74.9%). Table 1 shows the distribution of patient  
23 characteristics for the pooled population. The characteristics were well balanced between  
24 treatment arms (except for geographical region, a stratification factor with different levels in  
25 the two trials).

### 26 **Efficacy of treatment on overall survival**

27 The median follow-up for the combined analysis was 20.3 months in the chemotherapy arm  
28 and 22.0 months in the chemotherapy-denosumab arm. In the chemotherapy-arm, the total  
29 number of deaths was 230 (69.1%) with median OS 9.9 months (95%CI:[8.2-11.2]), while in  
30 the chemotherapy-denosumab arm, 277 (68.1%) deaths were recorded with median OS



1 9.2 months (95%CI:[8.0-10.7]). The Kaplan-Meier curves for OS by treatment arm are  
2 depicted in Figure 2A, while respective plots by trial can be found in the supplement (Figures  
3 S1A-B).

4 The primary statistical analysis showed that the combination of denosumab with chemotherapy  
5 was not significantly superior to chemotherapy in terms of OS (HR=0.98; 95%CI:[0.82-1.18];  
6  $P=0.85$ ). Sensitivity results were consistent with those of the primary analysis (indicating no  
7 significance). Test for heterogeneity of the treatment effects was not able to detect  
8 heterogeneity between the two trials (Figure 2B).

9 In the subgroup analyses, no heterogeneity was detected, except for histology (interaction  
10  $P=0.02$ , Figure 3). A statistically significant benefit of the chemotherapy-denosumab  
11 combination was detected in the squamous group with median OS 9 months compared to  
12 7.6 months under chemotherapy alone, and HR=0.70 (95%CI:[0.49-0.98];  $P=0.038$ ), while the  
13 effect was not significant for the non-squamous patients ( $P=0.30$ ) (Figures 4A-B). No other  
14 subgroup with statistically significant OS benefit was found. For exploratory purposes, the  
15 Kaplan-Meier plots for the subgroups of patients with or without bone metastasis are presented  
16 in the supplement (Figures S2A-B).

### 17 **Efficacy of treatment on progression-free survival**

18 In the chemotherapy-arm, 298 (89.5%) patients had progressed or died, and the median PFS  
19 was 4.9 months (95%CI:[4.3-5.4]). Similarly, in the chemotherapy-denosumab arm,  
20 363 (89.2%) patients had progressed or died, with median 4.8 months (95%CI:[4.4-5.3]).  
21 Figure 5A shows the PFS Kaplan-Meier curves for control and treatment. Corresponding plots  
22 by trial can be found in the supplement (Figures S3A-B).

23 According to the combined statistical analysis performed, the combination of chemotherapy  
24 with denosumab was not significantly different to chemotherapy-alone in terms of PFS  
25 (HR=0.97; 95%CI:[0.83-1.15];  $P=0.76$ ). No significant results were also confirmed by  
26 sensitivity analyses. Test for heterogeneity of the treatment effects did not detect heterogeneity  
27 between the two trials (Figure 5B).

28 Furthermore, in the subgroup analyses, heterogeneity was detected between PS subgroups  
29 (interaction  $P=0.001$ , Figure 6). A statistically significant benefit of chemotherapy-alone was  
30 detected in the ECOG PS=2 group, with HR=2.51 (95%CI:[1.29-4.89];  $P=0.0045$ ). This result

1 however should be interpreted cautiously as the sample size of patients with ECOG PS of 2  
2 was very small (n=55; all from the SPLENDOUR trial). Kaplan-Meier plots for patients with  
3 PS 0/1 or 2 are provided in the supplement (Figures S4A-B). No other subgroup with  
4 significant difference was found. PFS curves for the subgroups of patients with or without bone  
5 metastasis and by histology subtype are also reported, for exploratory purposes, in the  
6 supplement (Figures S5A- S6B). Of note, the PFS results did not confirm the OS benefit for  
7 denosumab that was found for the squamous subgroup.

## 8 **DISCUSSION AND CONCLUSION**

9 Retrospective data on bone metastasis, a significant cause of morbidity in advanced cancer,  
10 demonstrate that 30-45% of NSCLC patients are affected during the course of their disease,  
11 with a post mortem documentation in 36% of patients. Bone metastases at initial diagnosis  
12 occur in about two thirds of patients.<sup>12-15</sup> Cancer metastasis to the bone results from the active  
13 engagement and interaction with the bone microenvironment. RANKL-mediated increased  
14 bone turnover and osteoclast activity may enhance tumour growth in bone by mechanically  
15 facilitating cancer cell establishment.

16 A direct impact of RANK and RANKL on tumour cell proliferation has been hypothesised,  
17 with a potential similar stimulatory effect as observed on osteoclasts. RANK-signalling  
18 accelerated tumorigenesis in mouse mammary tumour virus (MMTVneu)-RANK transgenic  
19 mice as well as in the MMTVneu transgenic mouse model.<sup>6,16</sup> In addition, RANKL expression  
20 per se has been observed in some tumour types, while RANK was shown to be expressed at  
21 least in some cancer cell types. In parallel, early clinical data suggest a potential anti-tumoural  
22 effect of RANK pathway inhibitors. Undoubtedly, the potential for RANK pathway inhibitors  
23 to reduce tumour aggressiveness and metastatic capabilities via distinct mechanisms should  
24 deserve specific investigations. The apparent survival benefit of denosumab compared to  
25 zoledronic acid for patients with lung cancer and bone metastases, in a post-hoc analysis of a  
26 randomised phase III trial, gave additional reason to prospectively investigate the impact of  
27 denosumab.<sup>9,17</sup>

28 Our present analysis is aiming at establishing a final conclusion about the role of denosumab  
29 as an anti-tumour agent in advanced NSCLC particularly in subgroups underrepresented in  
30 either study alone. Both trials in this combined analysis, were randomised multi-centre trials  
31 seeking to investigate whether the addition of denosumab to standard first-line platinum

1 chemotherapy improves OS in advanced NSCLC. The SPLENDOUR trial planned to  
2 randomise 1000 patients. However, the recruitment stopped prematurely in January 2018 after  
3 the randomisation of 514 patients, due to slow accrual, related to the advent of frontline  
4 immunotherapy becoming available in competitive clinical trials and subsequently established  
5 as standard of care. The final analysis of SPLENDOUR did not show an improvement in OS  
6 for the addition of denosumab compared to chemotherapy. Subgroup analyses did not show  
7 survival differences between patient cohorts with and without bone metastases and irrespective  
8 of histological subtypes. The combined analysis allows the effects of the treatment to be  
9 assessed in a larger cohort. The patient characteristics and the follow-up duration were similar  
10 in both trials. Although the size of the SPLENDOUR trial was more than double that of the  
11 AMGEN-249 trial, combining them provides a larger clinically homogenous cohort. The  
12 results were weighted towards the SPLENDOUR trial since it was comparatively larger.

13 This combined analysis using patient individual data found no statistically significant  
14 improvement in PFS with the combination of denosumab and chemotherapy versus  
15 chemotherapy alone, in the overall population and across the important clinical subgroups as  
16 defined by age, gender, PS and presence of bone metastases, histology, and region with the  
17 exception of a divergent poorer outcome for the small subgroup of PS 2 patients. No  
18 statistically significant improvement in OS with the combination of denosumab and  
19 chemotherapy was shown, with the exception of a differential treatment effect by histology,  
20 which was a stratification factor in both studies. A statistically significant improvement in OS  
21 was demonstrated in patients with histologically squamous NSCLC, while such a benefit was  
22 not found in non-squamous NSCLC. This difference was interestingly not statistically  
23 significant for PFS. Whether this improvement of median OS from 7.6 to 9.0 months, with the  
24 survival curves tending to come together at approximately 18 months (even though with only  
25 a few patients still at risk), represents a true effect of RANK-signalling pathway inhibition, or  
26 is the effect of any confounding factors that would have impacted OS differentially in these  
27 subgroups, including for example subsequent lines of treatments, remains unknown. Of note,  
28 two smaller randomised trials that tested zoledronic acid added to second-line chemotherapy  
29 with docetaxel, also failed to show a positive PFS signal in patients with NSCLC. [18,19](#)

30 While the efficacy and safety of denosumab in the treatment of patients with bone metastases  
31 from lung cancer has been firmly established, our compiled data set from two prospective  
32 clinical trials fails to demonstrate a positive survival impact for denosumab when added to

1 chemotherapy. However, with the emergence new strategies for immune- and targeted  
2 therapies, the treatment paradigm for metastatic NSCLC has been dramatically modified. New  
3 combined strategies on the role of the RANK pathway blockade in cancer are being considered,  
4 including combinations with immune-checkpoint blockade.<sup>20-22</sup> The DENIVOS trial is  
5 evaluating denosumab and nivolumab combination as second-line treatment in stage IV  
6 NSCLC with bone metastases (NCT03669523). In priority and specifically, the potential of  
7 denosumab to enhance the response to immuno-oncology agents deserves further evaluation in  
8 NSCLC.

## 9 **DATA AVAILABILITY STATEMENT**

10 Research data are not shared.

## 11 **ACKNOWLEDGEMENT**

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