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1 The acoustical properties of air-saturated aerogel powders

2
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8
9 **Abstract**

10 The acoustical behavior of air-saturated aerogel powders in the audible frequency range is
11 not well understood. It is not clear, for example, which physical processes control the acoustic
12 absorption and/or attenuation in a very light, loose granular mix in which the grain diameter is
13 on the order of a micron. The novelty of this work is the use of a Biot-type poro-elastic model
14 to fit accurately the measured absorption coefficients of two aerogel powders with particle
15 diameters in the range 1 – 40 μm . It is shown that these materials behave like a viscoelastic
16 layer and their absorption coefficient depends strongly on the root mean square pressure in
17 the incident wave. Further, it was found that the loss factor controlling the energy dissipation
18 due to vibration of the elastic frame is a key model parameter. The value of this parameter
19 decreased progressively with both frequency and sound pressure. In contrast, other
20 parameters in the Biot-type poro-elastic model, e.g., the stiffness of the elastic frame and pore
21 size, were found relatively independent of the frequency and amplitude of the incident wave.
22 It is shown that these materials can be very efficient resonant absorbers in the low frequency
23 range.

26 PACS: 43.20 Mv, 43.20 Ye, 43.55 Ev, 43.58 Bh

27 **Keywords:** Acoustics, aerogels, modelling, particles, porous materials

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47 I. Introduction

48 The desire to develop a diverse class of lightweight, porous, and cost-effective materials for
49 acoustic applications has been the focus of industrialists for the past few decades¹.
50 Traditionally, a large selection of these materials were fibrous layers and reticulated foams².
51 Companies are invested in the use of foams or fibrous materials due to their high percentage
52 of open pore availability (e.g., section 13.9 in 2) and their versatile nature which makes it
53 possible to incorporate them in the form of layered composites. Their porous nature allows
54 them to be effective absorbers that can dissipate the acoustic energy of propagating sound
55 waves, and some may have viscoelastic properties which control structure-borne noise by
56 attenuating structural vibrations through near-field damping (NFD)³, which is achieved *via*
57 viscous interaction between the porous medium and the evanescent acoustical near-field of
58 the vibrating structure. There may be additional dissipation due to the elastic nature of the
59 frame if it is sufficiently stiff, i.e., when using poro-elastic media instead of limp porous media
60 as near-field dampers⁴. The acoustical damping multifunctionality of porous media has been
61 investigated for several years, and the study is summarized in Ref. 5, which also provides the
62 theoretical fundamentals of the study introduced in this article. The most important
63 macroscopic physical characteristics (also referred to as bulk properties) that determine the
64 acoustical properties of foams and fibrous media are: (i) airflow resistivity/effective pore size;
65 (ii) porosity; (iii) pore tortuosity; and (iv) elastic modulus of the frame⁶. Granular media are also
66 of popular interest because of their useful sound absorption and sound insulating properties^{7,8}.
67 Materials like flint particles⁹, hemp¹⁰ and expanded clays^{11,12} have already been extensively
68 studied. In particular, classical acoustics theory like the Biot theory for poro-elastic media has
69 proven to be capable of modeling sound absorption and insulation resulting from granular
70 media that have complex and hierarchical micro-structures such as the granular activated
71 carbon stacks¹³.

72 Granular aerogels are gaining more interest as an acoustic product due to their unique
73 microstructural properties, i.e., porosities of approximately 95%, very low bulk densities (e.g.,

74 0.0120 g/cm³) and large surface area values in the range from 700 to 900 m²/g¹⁴. The global
75 aerogel market was evaluated at 701 million USD in 2019 and is projected to reach 1395.5
76 million USD by 2027 with a reported compound annual growth rate (CAGR) of 9.3 percent. Its
77 primary development is in the building, oil, and gas industries. Because of their chemical
78 inertness and low thermal conductivity, aerogels are sought after products for pipe insulation
79 and protection¹⁵. The main drawbacks, however, are their high-cost production (specifically
80 for monolithic aerogels) and ever-changing economic barriers¹⁶. Nonetheless, their exciting
81 acoustical properties still create the potential for profitable gain for industries. Sound waves
82 have been observed to propagate through silica aerogels at one-third the speed of sound in
83 air or less: i.e., at about 100 m/s¹⁷. Forest et al.¹⁸ have also found that sound velocities in
84 aerogels as low as 60 to 70 m/s. The latter property results in rather high acoustic attenuation
85 in aerogels since it effectively increases a given layer depth at a fraction of a wavelength.

86 In general, there is a lack of combined experimental data and analytical models that are
87 available to predict the acoustical properties of granular aerogels. There is some literature by
88 Begum et al.¹⁹ which focus on analytical models and experimental data of the acoustical
89 properties of granular silica aerogels. However, that work was performed using material having
90 millimetric grain sizes, and by using a triple porosity model where only pore size and particle
91 size were taken into consideration, and not the elastic properties of the material frame. Xue,
92 et al.²⁰ have observed non-linear acoustical behavior of aerogel particle stacks consisting of
93 relatively small particles (i.e., 2 to 40 micron), the non-linearity being dependent on both
94 frequency and depth of the sample stack. Further evidence of non-linearity is presented in this
95 article. A majority of other research exclusively focuses on much denser granular media with
96 millimetric grain mixes, e.g., Horoshenkov and Swift²¹.

97 Earlier research by Song and Bolton²² was directed at using a four-microphone standing wave
98 tube and the transfer matrix method to determine fundamental acoustical properties such as
99 wave number and characteristic impedance of limp or rigid porous materials: i.e., materials
100 that can be modeled as effective fluids. Based on that information arbitrarily shaped porous

101 material domains can then be modelled by providing data such as complex density and
102 complex sound speed to finite element models, for example. In contrast, here the two-
103 microphone standing wave tube method was used to measure the normal incidence
104 absorption coefficients of aerogels layers²³, and the material was modeled as a poro-elastic
105 layer, hence allowing for both frame and airborne waves within the aerogel layers².
106 Since a majority of literature has focused on millimetric^{2,11} grain mixes with sub-millimeter pore
107 sizes²⁴, there is a gap in the understanding of the acoustical behavior of powder aerogels
108 whose particle sizes are close to a micron. Modelling such small particles with different
109 excitation sound pressures will help us to understand the non-linear effects which typically
110 develop in lightweight materials such as aerogels. Such an approach will also build the
111 connection between the material's bulk properties, such as material density and particle size,
112 and key acoustical characteristics such as the normal incidence absorption coefficient.
113 These were two primary motivations for the present work. Firstly, based on fitting the
114 experimental data, the connections developed in that way can help to inversely characterize
115 the aerogel's acoustical-related bulk properties, thus quantifying each bulk property's
116 contribution to the material's sound absorption performance. Secondly, and consequently, it
117 becomes possible to optimize the acoustical performance of this type of aerogel by designing
118 or specifying these bulk properties appropriately.
119 The structure of this paper is as follows. In Section II, the experimental methods and
120 characterization techniques used to measure the physical material properties of aerogel
121 powders are described. Presented in Section III are the acoustical theories and the approach
122 to modelling of the acoustics of aerogel granules. The results and related discussion are
123 described in Section IV in which the data is interpreted with a mathematical model that can be
124 used to characterize the acoustical related bulk properties. Concluding remarks, which
125 summarize the characterization process and the main result are presented in Section V.

126

127 **II. Materials and Methods**

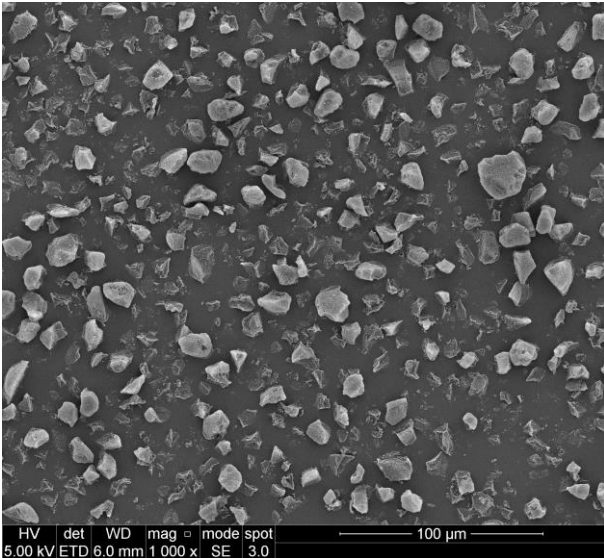
128 **A. Materials Characterization**

129 Microstructural observations of the particle size distribution of the silica aerogels studied here
130 – Enova IC3100 produced by Cabot Corporation, Alpharetta Georgia, USA (denoted as Type
131 1), and JIOS AeroVa D20 produced by JIOS Aerogel, Korea (denoted as Type 2) were made
132 by using scanning electron microscopy (SEM). The instrument used was an FEI Inspect F50
133 FEG SEM. The samples were mounted as per the manufacturer’s guidelines and were initially
134 carbon coated using a Quorum Technologies Q150T coater. However, that coating proved to
135 be insufficient to prevent sample charging even at 5 kV, and therefore the samples were
136 subsequently given a 5 nm gold coat using a Quorum Technologies Q150R gold sputter
137 coater.

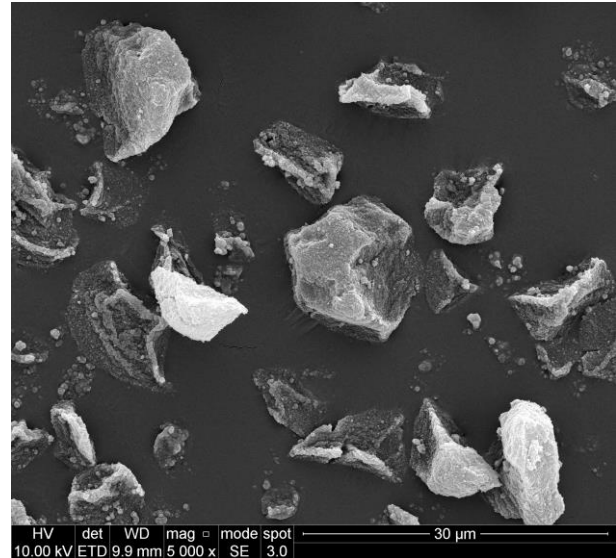
138 Secondary Electron Images (SE) were obtained at a range of accelerating voltages (kV) as
139 indicated on the micrographs. Spot size 3 was used with the 20 μm final lens aperture
140 (smallest for highest resolution) inserted. For the highest magnification images, the working
141 distance (WD) was reduced to 6 mm from the standard 10 mm for Type 1.

142 Figures 1(a)–1(f) are SEM images of Type 1 and Type 2 aerogel powders. These images were
143 used to identify the aerogel particle distribution and structure of the pore sizes. A Java-based
144 image processing program, ImageJ, was used to manually measure the size of 100 individual
145 Type 1 and Type 2 particles in images that were obtained at a high magnification (1000 x) at
146 a scale bar of 100 μm . The data was collated to determine the normal approximation of the
147 particle size distribution: see supplementary material in Ref. 25. The average Type 1 particle
148 size was found to be 13.69 μm , with a minimum and maximum value of 7.91 μm and 24.83
149 μm , respectively, and the average Type 2 particle size was 14.20 μm with minimum and
150 maximum values of 5.54 μm and 41.78 μm respectively. These average particle size values
151 correspond to those which were used for the 3P-Biot-TMM/ACM modelling of the acoustical
152 properties (detailed in Sections III and IV), and the measured minimum and maximum values
153 of Type 1 and Type 2 aerogel powders also corresponded to the values given in the
154 manufacturers’ technical data sheets.

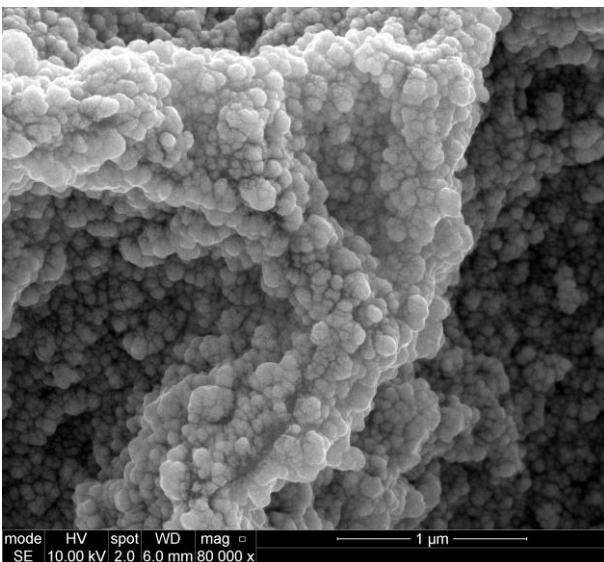
155 Note that the SEM magnification scale in each of the images in Figure 1 changes between
156 100 μm , 30 μm , 1 μm and 500 nm to provide a better insight into the particle microstructure.
157 We note that SEM image analysis is sensitive to the detail of the loading of samples onto the
158 carbon stub: i.e., when a large amount is deposited, the coating is affected, and this may
159 fracture the image surfaces. Furthermore, there may be sampling bias causing the contrast/
160 brightness settings to be adjusted and this may also affect the results¹⁹.



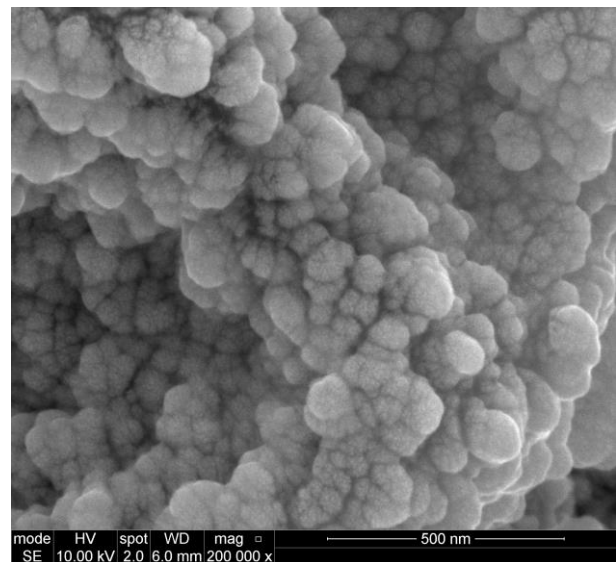
a) Type 1 – SEM image at 100 μm



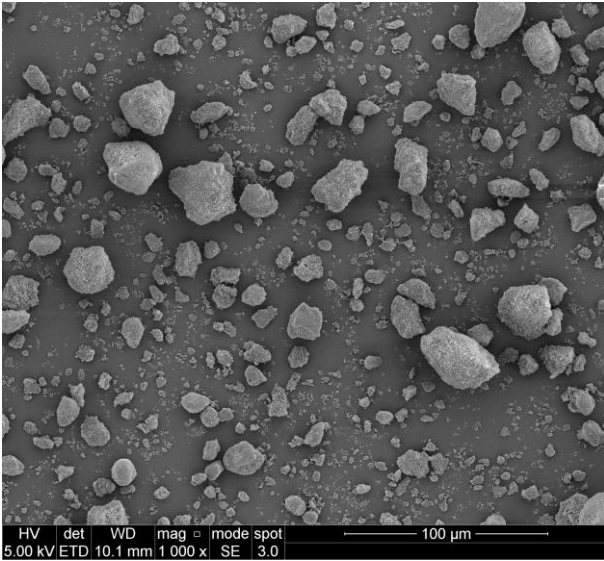
b) Type 1 – SEM image at 30 μm



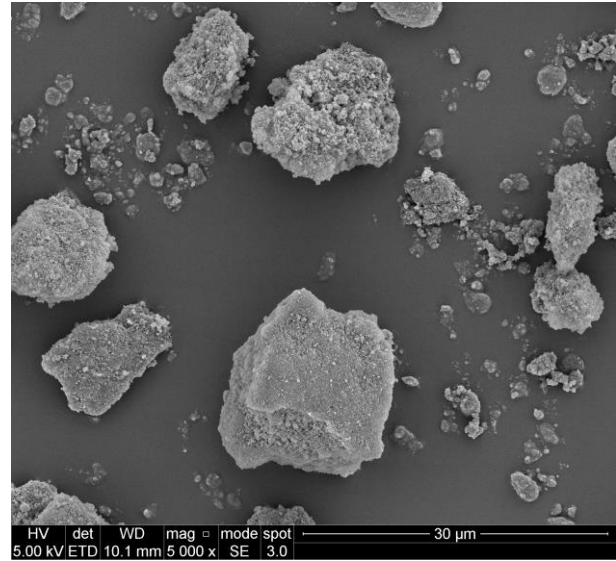
c) Type 1 – SEM image at 1 μm



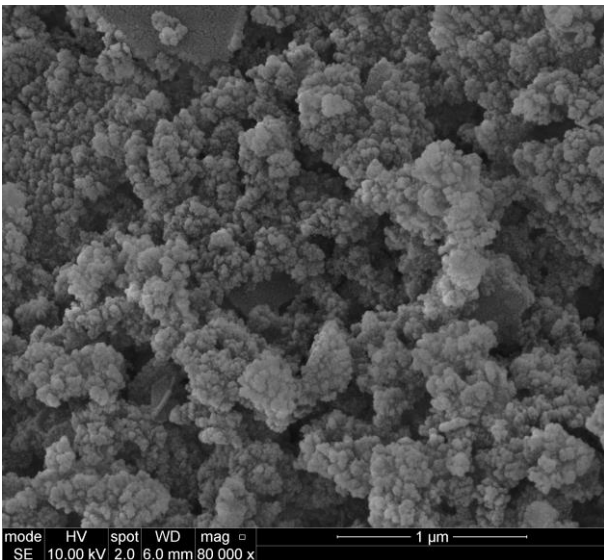
d) Type 1 – SEM image at 500 nm



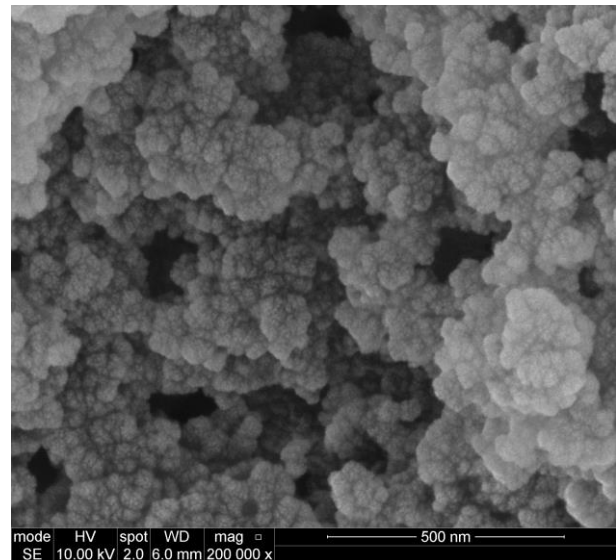
e) Type 2 – SEM image at 100 μm



f) Type 2 – SEM image at 30 μm



g) Type 2 – SEM image at 1 μm



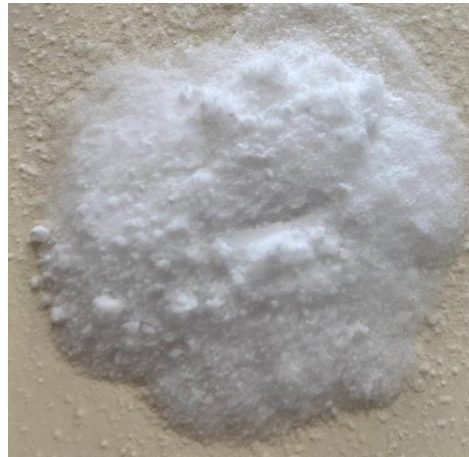
h) Type 2 – SEM image at 500 nm

161 **Figure 1.** SEM images of Type 1 and 2 aerogels taken at different magnifications (1000 x (a)
 162 and (e), 5000 x (b) and (f), 80,000 x (c) and (g) and 200,000 (d) and (h)).

163 The skeletal material density was calculated from the mass and volume combined with the
 164 volume-pressure relationship of Boyle's law measured using the gas displacement method
 165 (Micrometrics AccuPyc 1330 Helium Pycnometer used at 20 °C). The result was 2430 kg/m³
 166 for Type 1 and 1710 kg/m³ for Type 2. The bulk densities of these materials, ρ_b , were
 167 determined using calibrated scales: they were 38.71 kg/m³ and 104.91 kg/m³ for aerogel
 168 particle Types 1 and 2, respectively.

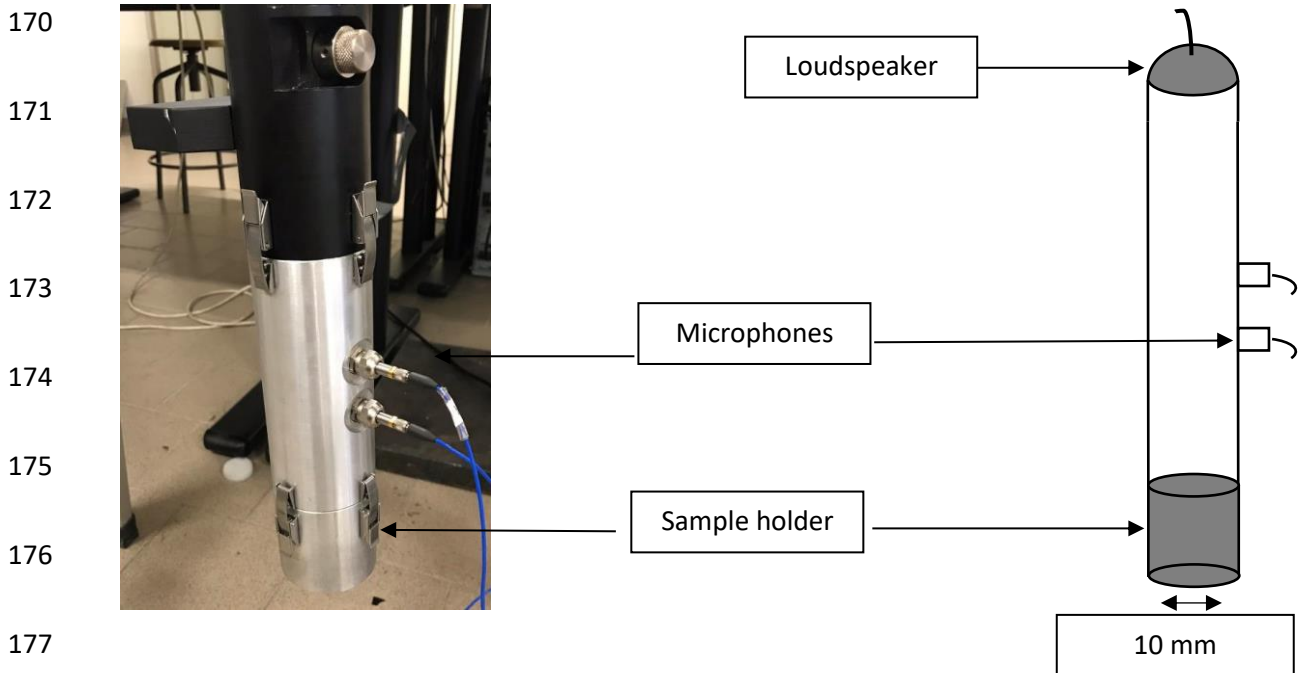


a) Type 1 – Enova IC3100



b) Type 2 – JIOS AeroVa D20

169 **Figure 2.** Photographs of the powder samples used in the impedance tube experiments.



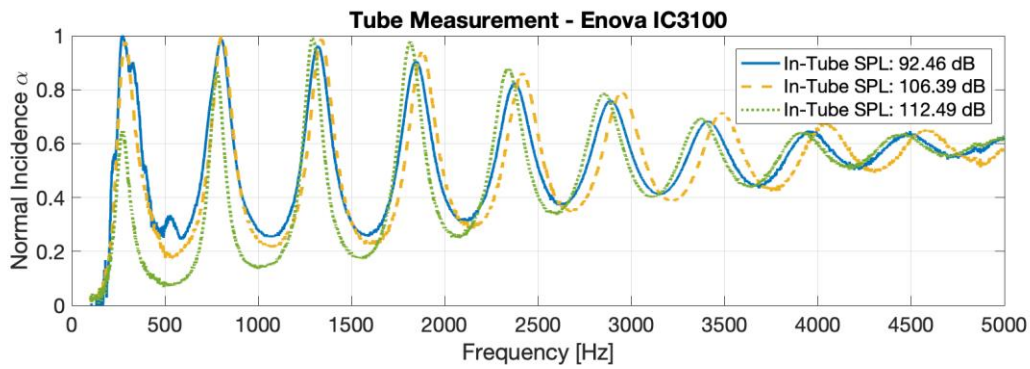
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178 **Figure 3.** 2-microphone impedance tube setup to measure the surface impedance of a porous
179 layer (taken with permission from ref. 26).

180 The acoustical properties of the aerogel stacks were measured in a 10 mm diameter standing
181 wave tube which was custom made by Materiacustica²⁷. This 2-microphone tube setup was
182 developed to test small material specimens such as granular media and powders in
183 accordance with the ISO standard 10534-2:2001²³. This setup enabled measurement of the
184 normalized surface acoustic impedance, complex reflection coefficient and sound absorption

185 coefficient of a hard-backed porous layer in the frequency range from 100 to 4999 Hz. The
186 spacing between the two microphones was 30 mm, which is usual for this frequency range as
187 recommended in the ISO standard²³. The distance from the sample surface to the first
188 microphone was 85.9 mm. The thickness of all the samples used in the acoustic experiments
189 was 50 mm. Figure 2 shows the Type 1 and Type 2 aerogel powders which were used in the
190 acoustic experiments. Finally, Figure 3 shows a photograph and sketch of the vertically
191 standing wave tube that was used in these experiments. Note that all sound pressure levels
192 quoted here refer to the sound wave incident on the sample, integrated over the frequency
193 range 100 to 4999 Hz.

194 **III. Modelling of aerogel granule stacks**

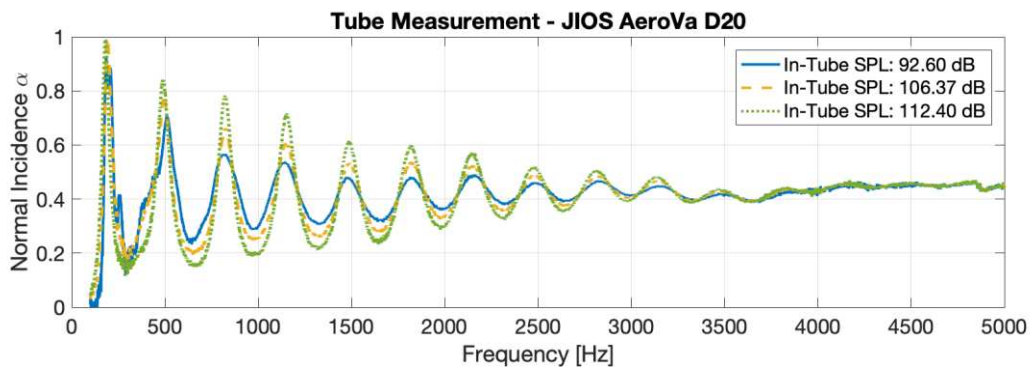
195 It was observed from the experimental data that the acoustical absorption coefficients of the
196 tested aerogel granule stacks differed significantly from those that would be expected from a
197 conventional sound absorber such as a layer of polymeric fibers. The acoustic absorption
198 coefficient of the aerogel layers showed multiple, lightly damped depth resonances: an
199 example, the normal incidence sound absorption coefficient measured at different incident,
200 broadband sound pressure levels [dB] for the two types of materials are shown in Figure 4.
201 Notably, large peak values of absorption appear at unusually low frequencies. It was also
202 found that the heights of these peaks decreased with increasing sound pressure level, which
203 provides the main evidence that the aerogel sample stacked in the tube behaves non-linearly.
204 It was also clear when conducting the experiments that the granules comprising the powder
205 samples vibrated under acoustic excitation. That vibration caused some dispersion of powder
206 particles over the area of the impedance tube adjacent to the sample holder. The sample
207 thickness occasionally reduced by as much as 0.5 mm after the first time the acoustic stimulus
208 was applied. There was little or no subsequent change in the sample thickness when the
209 experiment was repeated without touching the sample. At this stage the experimental data
210 were recorded and used for the comparison with the model.



211

212

a)



213

214

b)

215 **Figure 4.** Normal incidence sound absorption coefficients, α 's, measured at different in-tube
 216 sound pressure levels in standing wave tube experiments for (a) Enova IC3100 and (b) JIOS
 217 AeroVa D20. The SPL shown in the legend corresponds to the incident, broadband sound
 218 pressure levels measured with the two microphones in accordance with 23.

219 **A. Acoustical Theories for Predicting Sound Absorption**

220 In the present work two models were used to predict the frequency-dependent equivalent
 221 density, ρ_e , and bulk modulus, K_f , of the fluid phase (i.e., air) in the aerogel granule stacks: (i)
 222 the 5-parameter Johnson-Champoux-Allard (JCA) model^{28,29}; and (ii) the 3-parameter Páde
 223 approximation (3P) model³⁰. The Biot theory³¹ (also see Chapter 11 in ref. 2) was then applied
 224 to account for the frame elasticity in the presence of air.

225 **B. Bulk modulus and equivalent density predictions with the JCA model**

226 The original JCA model requires five non-acoustic parameters as inputs: (i) airflow resistivity,
 227 σ ; (ii) porosity, ϕ ; (iii) tortuosity, α_∞ ; (iv) viscous characteristic length, Λ ; and (v) thermal
 228 characteristic length, Λ' . Note that the static thermal permeability, k'_0 ³² (denoted as q'_0 on
 229 pages 84–85 in ref. 2), is also considered as a thermal-effects contributor to the bulk modulus
 230 in the extended JCA-Lafarge (JCAL) model, but it was not used as an input to the JCA model
 231 that was applied in the current study. Also, note that for fibrous media as described in Ref. 5,
 232 Λ and Λ' were calculated as functions of σ , ϕ , α_∞ ³⁰ and the shape factors, c (usually equaling
 233 1 for fibers) and c' (i.e., $c' = \Lambda/\Lambda'$). In contrast, σ , ϕ , α_∞ , Λ and Λ' were used in the current study
 234 to calculate ρ_e and K_f based on the JCA model (eqs. (3) and (18) in ref. 28). Other ambient
 235 parameters needed for the modeling process included the dynamic viscosity of air,
 236 $\eta = 1.82 \times 10^{-5}$ Pa s, the speed of sound in air, $\alpha_0 = 343$ m/s, the density of air, $\rho_0 = 1.21$ kg/m³, the
 237 Prandtl number, $B^2 = 0.71$, and the specific heat ratio, $\gamma = 1.402$.

238 **C. Bulk modulus and equivalent density predictions with the 3-parameter model**

239 The 3-parameter (3P) model described in 30 was also adopted as a simpler alternative to the
 240 JCA model to predict the aerogel's bulk modulus and effective density. These properties were
 241 then used in the Biot model³¹ to account for the response of the poro-elastic nature of the
 242 aerogel stacks. The 3P model makes use of the median pore size, s_b , porosity, ϕ , and standard
 243 deviation of the pore size, σ_s . It is assumed that the median pore size, standard deviation in
 244 pore size, two characteristic lengths, flow resistivity and tortuosity are inter-related³⁰: i.e.,

$$245 \quad \Lambda = \bar{s} e^{-5/2(\sigma_s \log 2)^2} \quad (1)$$

$$246 \quad \Lambda' = \bar{s} e^{3/2(\sigma_s \log 2)^2} \quad (2)$$

$$247 \quad \alpha_\infty = e^{4(\sigma_s \log 2)^2} \quad (3)$$

$$248 \quad \sigma = \frac{8\eta\alpha_\infty}{\bar{s}^2\phi} e^{6(\sigma_s \log 2)^2}. \quad (4)$$

249 The parameters defined by eqs. (1)-(4) were then used as inputs to calculate the effective
250 density, ρ_c , and bulk modulus, K_p , of the equivalent fluid representation^{28,29} of the aerogel
251 granule stack. Alternative equations for calculating these two properties can be found in the
252 original paper by Horoshenkov et al. (ref. 30, eqs. (13) and (16)).

253 **D. Effective density and bulk modulus as inputs in the Biot poro-elastic model**

254 The JCA model outputs, ρ_e and K_f , could be used together with the aerogel's σ , ϕ , α_∞ , its bulk
255 density, ρ_b , and/or its elasticity parameters (Young's modulus, E_1 , Poisson's ratio, ν , and
256 mechanical loss factor, η_m) as bulk property inputs for the Biot poro-elastic theory (originally
257 introduced in ref. 31, and whose formulations are summarized in Section 2.3 of ref. [5]). On
258 the other hand, when the 3P model was applied, its outputs, ρ_c and K_p , were translated into
259 effective density, ρ_e , and bulk modulus, K_f , of the fluid phase of the poro-elastic material to
260 make them configurable as inputs for the subsequent calculations involving the Biot poro-
261 elastic theory: i.e.,

$$262 \quad \rho_e = \phi \rho_c^*, \quad (5)$$

$$263 \quad K_f = \phi K_p^*, \quad (6)$$

264 where * denotes the complex conjugate, which is required owing to the difference in the
265 adopted $e^{\pm i\omega t}$ convention in the two models (see refs. 29 and 30).

266 It should be noted that here the Biot poro-elastic theory was preferred instead of the Biot limp
267 porous theory (also summarized in Section 2.3 of ref. 5) which makes it possible to introduce
268 a small, but finite value of E_1 for the aerogel stack's elastic frame. In that case, a frequency-
269 dependent η_m can be used to quantify the non-linearity of the material's loss mechanism in the
270 low frequency regime shown in the experimental data. Finally, the layer depth, d , was used as
271 a bulk property to calculate the sound absorption of a finite depth layer by using either the
272 transfer matrix method (TMM)³³ or the arbitrary coefficient method (ACM)³⁴, both of which
273 functioned equivalently.

274 IV. Results & Discussion – Characterization of Acoustical-Related Bulk Properties

275 A. Fitting the measured sound absorption coefficient spectra

276 The characterization of the bulk properties was carried out by fitting the two models described
277 in Section III to the measured frequency-dependent absorption coefficients for the Type 1 and
278 Type 2 aerogels. This fitting process was based on the MATLAB built-in numerical optimization
279 function “particleswarm”. Occasionally, a manual and empirical adjustment of the parameter
280 inputs was required. To be more specific, by fixing $\eta_m=0.2$, $E_1=775$ Pa and $\nu=0.396$, the
281 parameters σ , ϕ , α_∞ , Λ , Λ' , ρ_b , were fitted as constant values by using the JCA-Biot-poro-
282 elastic-TMM/ACM model, and s_b , ϕ , σ_s , ρ_b were fitted as constant values by using the 3P-Biot-
283 poro-elastic-TMM/ACM model for Type 1 aerogel granule stacks. Then, all the fitted bulk
284 properties mentioned above, except for ρ_b , were used to fit the data for Type 2 aerogel granule
285 stacks. These parameters were not dominant, i.e., most significant, in the fitting process.
286 Finally, η_m was manually adjusted from constant to frequency-dependent at each in-tube
287 sound pressure level for both types of aerogels, with all the other bulk properties fixed to
288 previously fitted values. Note that the loss factor was a dominant parameter. Also note that
289 the detailed process of the development of frequency-dependent (“dynamic”) loss factor
290 groups is described in a later part of this section. We note that both E_1 and ν were estimated
291 values in order to represent a finite, but small, elasticity of the aerogel stack’s solid frame.

292 Tables 1 and 2 present the summary of the input parameters identified inversely by using the
293 JCA and 3P models, respectively. The measured and predicted normal incidence absorption
294 coefficients for Type 1 and Type 2 aerogel powders are shown in Figures 5(a) and 6(a),
295 respectively. These figures present the results for the range of incident sound pressure levels
296 used in these tests. Recall that the broadband sound pressure level was calculated by
297 combining the narrow band sound pressure levels measured at the frequency points from 100
298 to 4999 Hz for which the absorption coefficient data was provided. This information was
299 available as a standard report generated for each measurement taken with the Materiacustica
300 impedance tube. The narrow band sound pressure levels were calculated using the Fourier

301 spectrum for the sound pressure with the 2-microphone procedure detailed in Refs. 23,26. By
 302 comparing the JCA-based (orange) and 3P-based (green) simulations of the sound absorption
 303 spectra, it can be seen that both models can very accurately predict the sound absorption
 304 performance for the target aerogel materials, especially in the low and medium frequency
 305 range below 2000 Hz. To further evaluate the prediction accuracy, the spectra of cumulative
 306 squared error from 100 Hz to 4999 Hz, between model-predicted and experiment-measured
 307 sound absorption are plotted in Figures 5(b)-(c) and 6(b)-(c) for the Type 1 and Type 2
 308 materials, respectively, with the total root mean squared errors marked in the legends. It can
 309 be seen from the latter results that the two models represent the experimental result with very
 310 similar accuracy.

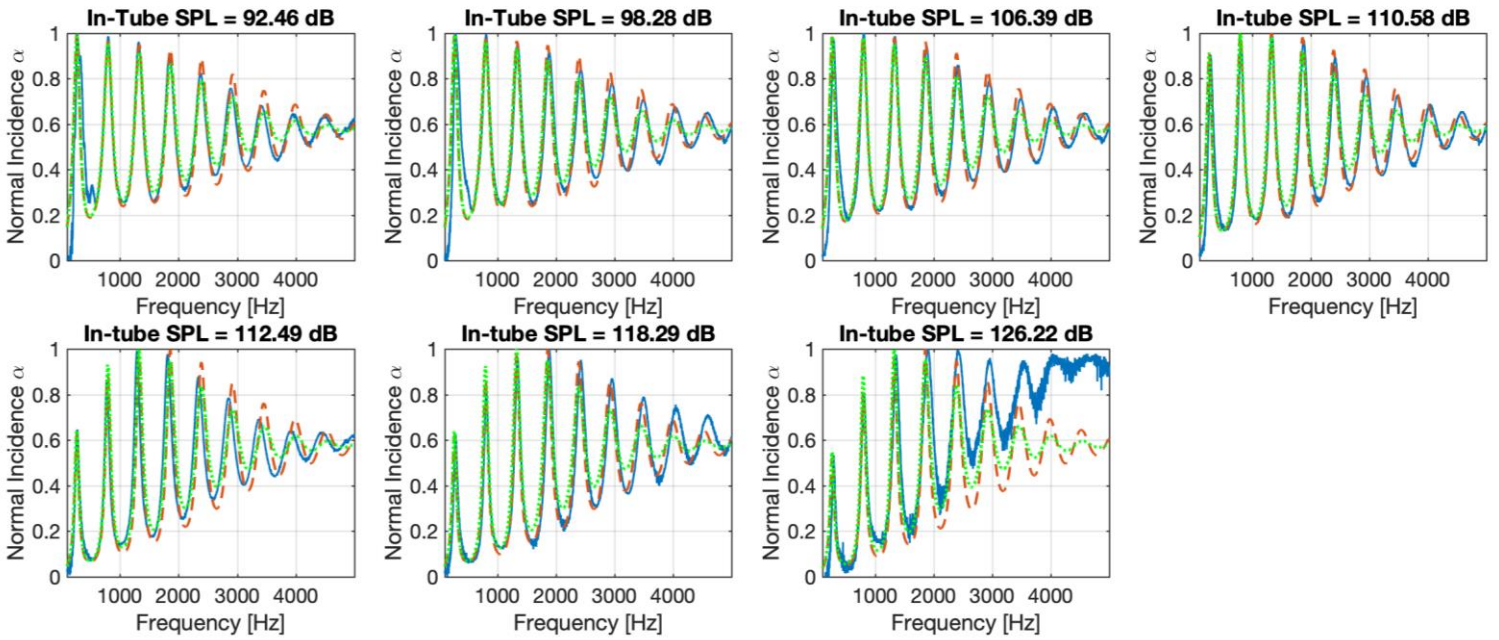
311 **Table 1.** Bulk properties characterized by fitting the JCA-Biot-TMM/ACM^{29,33,34} with the
 312 measurements and used to predict sound absorption coefficient spectra.

Material	σ [Rayls/m MKS]	ϕ	α_{∞}	Λ [μm]	Λ' [μm]	ρ_b [kg/m ³]	E_1 [Pa]	ν	η_m
Type 1	10.5×10^6	0.999	3.0	36.1	36.1	35.5	775	0.396	Eq. (7) and Table 3
Type 2	10.5×10^6	0.999	3.0	36.1	36.1	94.0	775	0.396	Eq. (7) and Table 3

313

314 **Table 2.** Bulk properties used for the 3P-Biot-TMM/ACM^{30,33,34} to predict sound absorption
 315 coefficient spectra.

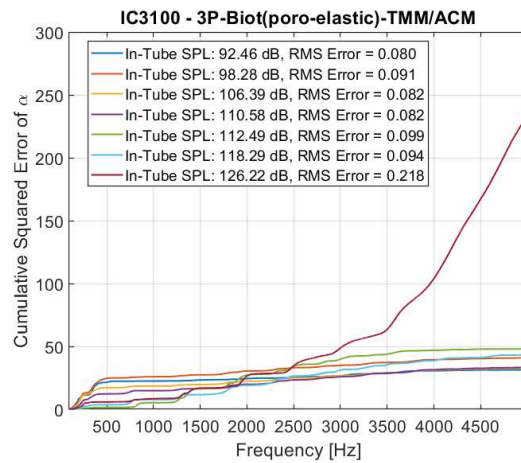
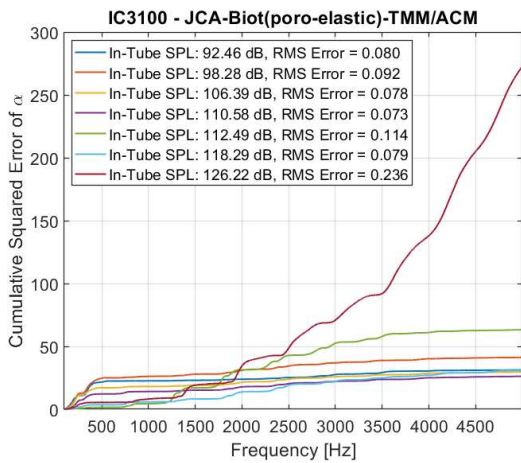
Material	ϕ	s_b [μm]	σ_s	ρ_b [kg/m ³]	E_1 [Pa]	ν	η_m
Type 1	0.999	14.7	0.756	35.5	775	0.396	Eq. (7) and Table 3
Type 2	0.999	14.7	0.756	94.0	775	0.396	Eq. (7) and Table 3



317

318

a)



319

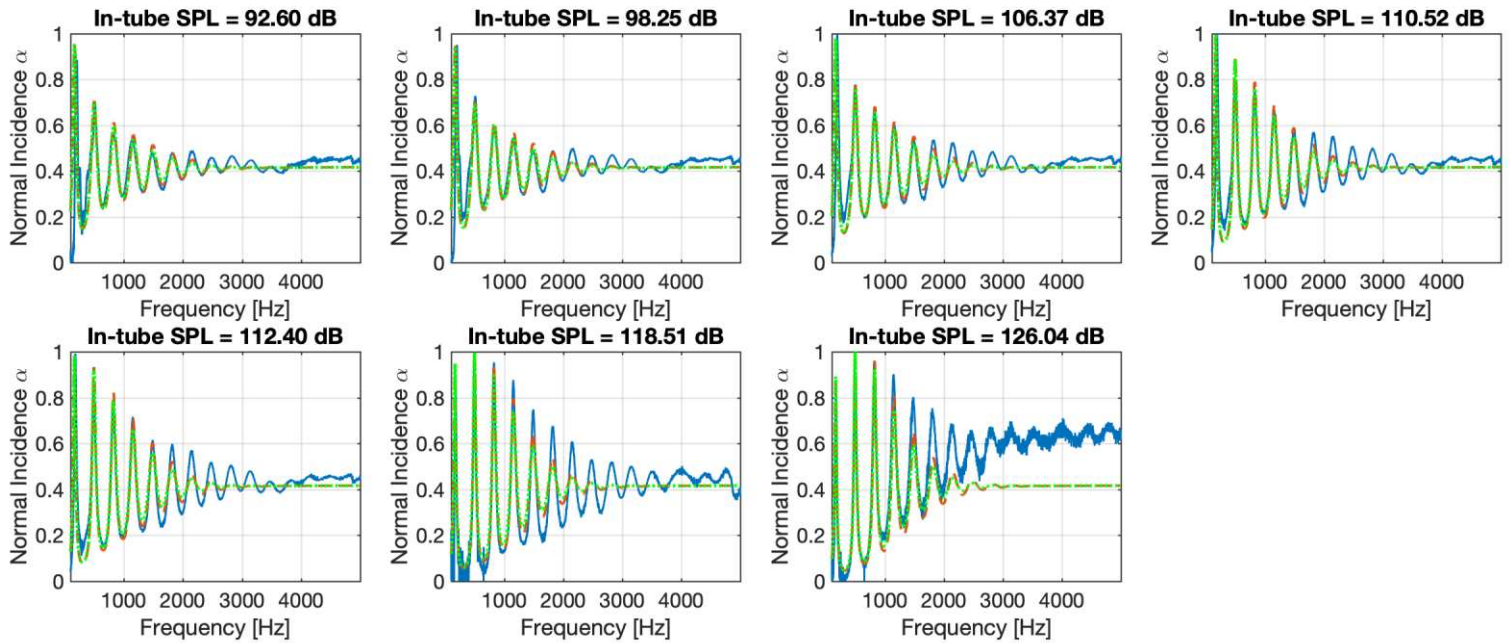
320

b)

c)

321 **Figure 5.** (a) Normal incidence absorption coefficients, α 's, of Enova IC3100 (Type 1) at
 322 different incident sound pressure levels by experimental measurement (blue-solid lines), the
 323 JCA-Biot(poro-elastic)-TMM/ACM model prediction (orange-dashed lines), the 3P-Biot(poro-
 324 elastic)-TMM/ACM model prediction (green-dotted lines), (b) Cumulative squared error
 325 between JCA-Biot(poro-elastic)-TMM/ACM model prediction and experimental measurement,

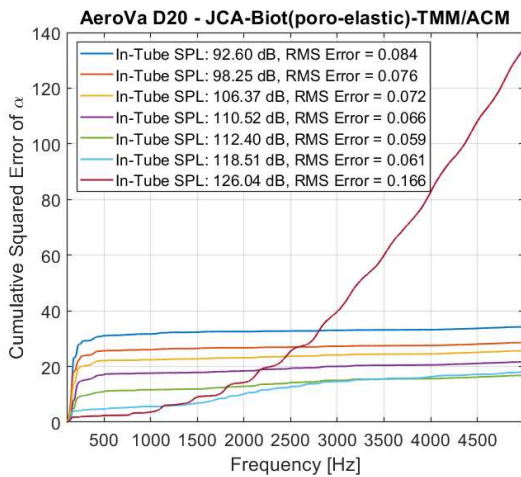
326 and (c) Cumulative squared error between 3P-Biot(poro-elastic)-TMM/ACM model prediction
 327 and experimental measurement.



328

329

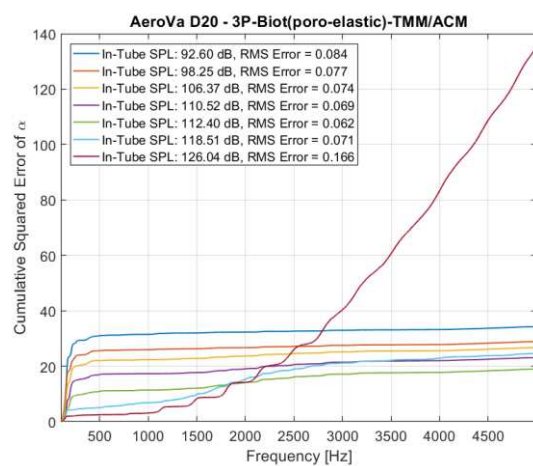
a)



330

331

b)



c)

332 **Figure 6.** Normal incidence absorption coefficients, α 's, of JIOS AeroVa D20 (Type 2) at
 333 different incident sound pressure levels by experimental measurement (blue-solid lines), the
 334 JCA-Biot(poro-elastic)-TMM/ACM model prediction (orange-dashed lines) and the 3P-

335 Biot(poro-elastic)--TMM/ACM model prediction (green-dotted lines), (b) Cumulative squared
336 error between JCA-Biot(poro-elastic)-TMM/ACM model prediction and experimental
337 measurement, and (c) Cumulative squared error between 3P-Biot(poro-elastic)-TMM/ACM
338 model prediction and experimental measurement.

339 The predicted sound absorption coefficient spectra were calculated at the 4900 equally spaced
340 frequencies ranging from 100 to 4999 Hz in the fitting process to match the frequency step in
341 the measured data. This congruence allowed the introduction of a 4900-step loss factor that
342 decreased logarithmically with increasing frequency, f : i.e.,

$$343 \log_{10} \eta_m = af + b. \quad (7)$$

344 That formal dependence primarily was the factor that enabled good fitting of the model to the
345 measured absorption coefficient spectra, especially at low frequencies for both materials, and
346 it allowed the non-linearity of both materials' acoustical performance to be numerically
347 captured. Particularly, it was found that the coefficients a and b in eq. (7) depend on the
348 incident sound pressure falling on the sample: their values are given in Table 3. The
349 dependence of these coefficients on the sound pressure level is also plotted in Figures 7 and
350 8 for Type 1 and Type 2 aerogels, respectively. These coefficients have a clear physical
351 meaning. The absolute value of coefficient a is the rate with which the loss factor decreases
352 with increasing frequency, i.e., the greater it is, the less this loss factor would depend on the
353 frequency. The value of the coefficient b is the low-frequency limit of the loss factor, i.e., the
354 greater it is, the greater the losses associated with the frame vibration excited by the incident
355 sound wave when the frequency of sound is relatively low.

356 The behavior of these two coefficients is illustrated graphically in Figures 7 and 8. These data
357 suggest that the dependence of the loss factor on the frequency for the relatively low bulk
358 density Type 1 aerogel is not strongly affected by the sound pressure level (see Figure 7). In
359 the case of Type 2 aerogel, however, there is a rapid increase in the absolute value of a when
360 the sound pressure level reaches 110 dB. This means that the dependence of the loss factor

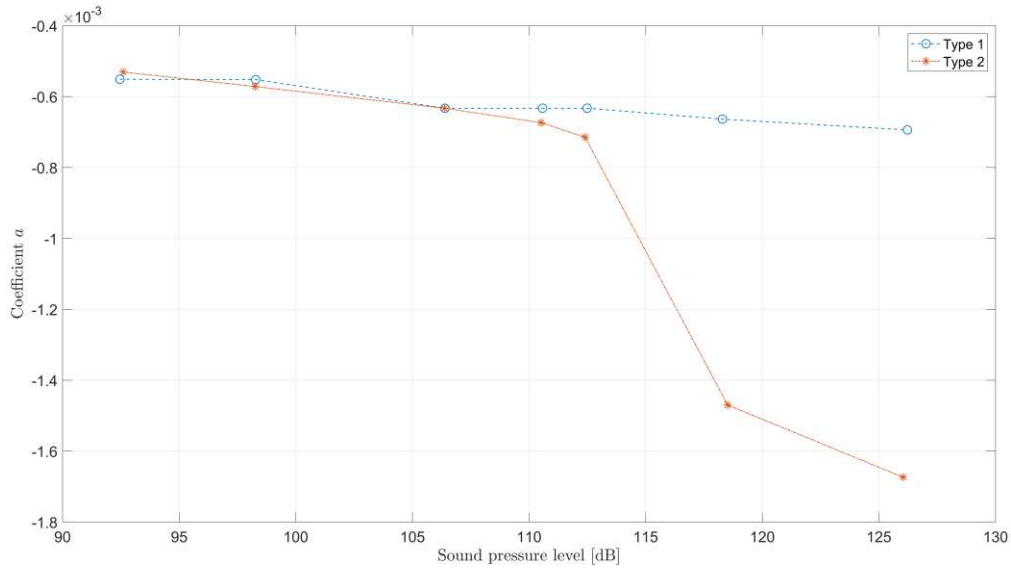
361 on the frequency becomes much more pronounced, i.e., the losses associated with
 362 mechanical vibration of a denser aerogel reduce much more rapidly as the frequency
 363 increases.

364 The behavior of the coefficient b on the sound pressure level is rather similar for the two
 365 aerogels as illustrated in Figure 8. Below 105 dB the value of this coefficient does not depend
 366 very significantly on the type of aerogel or the sound pressure level. Above this threshold
 367 however, the value of b drops suddenly and then continues to reduce slowly with increasing
 368 sound pressure level. This behavior suggests that there is sudden drop in the loss factor near
 369 the level of 105 dB. The absolute value of this drop was considerably greater in the case of
 370 the lighter aerogel (Type 1) suggesting that the drop in the losses caused by a more intense
 371 incident sound wave is greater when the bulk material density is lighter.

372 **Table 3.** The coefficients in the equation for the dynamic loss factor (eq. (7)) for two aerogels.

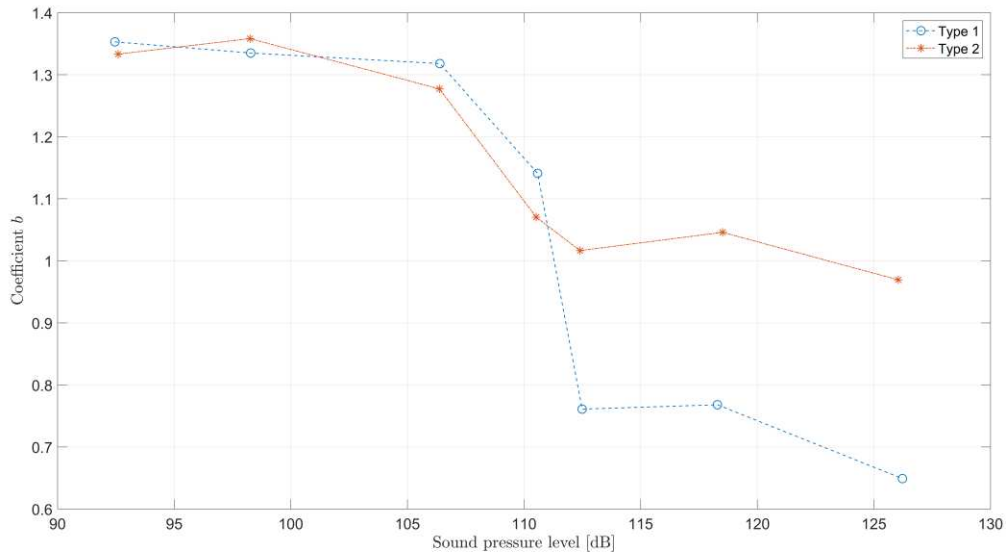
Type 1			Type 2		
In-tube SPL [dB]	a	b	In-tube SPL [dB]	a	b
92.46	-5.511×10^{-4}	1.353	92.60	-5.307×10^{-4}	1.333
98.28	-5.520×10^{-4}	1.335	98.25	-5.720×10^{-4}	1.358
106.39	-6.328×10^{-4}	1.318	106.37	-6.328×10^{-4}	1.277
110.58	-6.328×10^{-4}	1.141	110.52	-6.736×10^{-4}	1.071
112.49	-6.328×10^{-4}	0.761	112.40	-7.144×10^{-4}	1.017
118.29	-6.736×10^{-4}	0.768	118.51	-1.470×10^{-3}	1.046
126.22	-6.940×10^{-4}	0.649	126.04	-1.674×10^{-3}	0.969

373



374

375 **Figure 7.** The dependence of the coefficient a in eq. (7) on the sound pressure level.



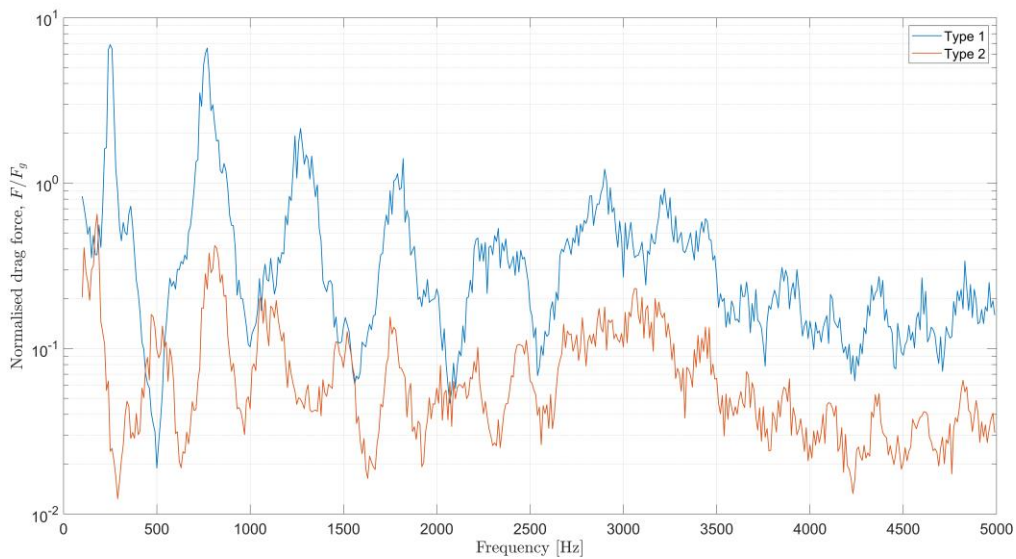
376

377 **Figure 8.** The dependence of the coefficient b in eq. (7) on the sound pressure level.

378 In light of these results, it may be of interest to estimate the radiation pressure acting on the
 379 aerogel particles. In the presence of an oscillatory flow, e.g., an incident sound wave, the drag
 380 force acting on an isolated aerogel particle with radius R is in Ref. 35

381
$$F = 6\pi\mu R \left(1 + \frac{R}{\delta}\right) u + 3\pi R^2 \sqrt{\frac{2\mu\rho_0}{\omega}} \left(1 + \frac{2R}{9\delta}\right) \frac{\partial u}{\partial t} \quad (8)$$

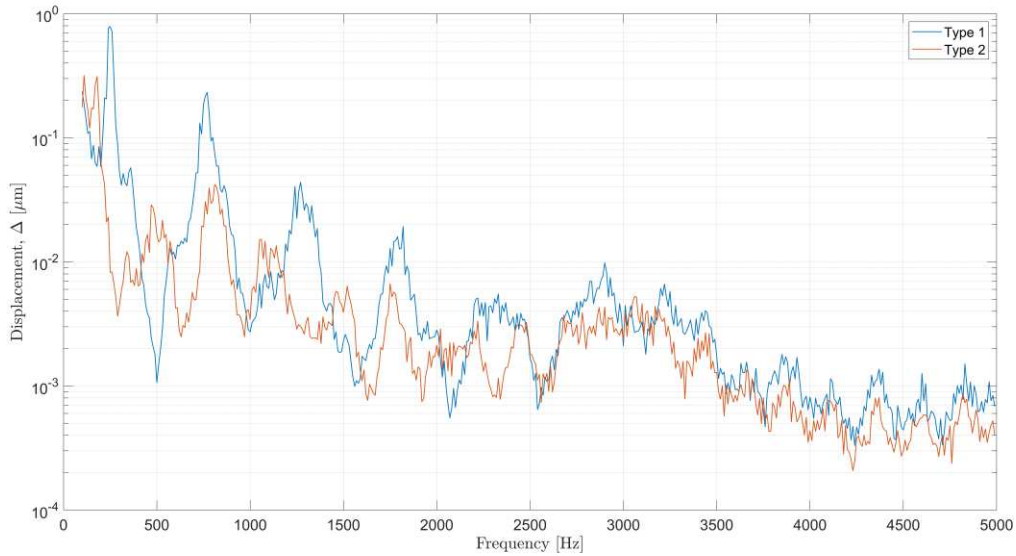
382 where $\delta = \eta/(\rho_0\omega)$ is the viscous layer depth, ω is the angular frequency and u is the acoustic
 383 velocity in the incident sound wave. In the case of harmonic excitation, $u = u_0 \sin(\omega t)$ so that
 384 the derivative in eq. (8) is $\frac{\partial u}{\partial t} = \omega u_0 \cos \omega t$. Figure 9 shows the frequency-dependent,
 385 normalized drag force amplitude, $|F|$, acting on a particle with $2R = 14 \mu\text{m}$ diameter at the
 386 broadband sound pressure level of 112 dB. This diameter is similar to the mean particle
 387 diameter measured from the SEM images for the Type 1 and Type 2 aerogels studied in this
 388 work. The drag force predicted by eq. (8) was normalized against the particle gravity force,
 389 $F_g = 4/3R^3\rho_b g$, where g is the gravity acceleration. The acoustic velocity amplitude, u_0 , in eq.
 390 (8) was estimated by using the narrow band incident sound pressure levels measured at the
 391 sample surface and surface impedance of the sample. The data shown in Figure 9 suggest
 392 that for Type 1 aerogel the drag force almost always exceeds the gravity force at this level of
 393 excitation, i.e., the aerogel particles are likely to vibrate at a range of frequencies, particularly
 394 at resonance frequencies. These resonance frequencies in $|F/F_g|$ spectra coincide with the
 395 maxima in the absorption coefficient spectra shown in Figure 5. In the case of Type 2 aerogel,
 396 the normalized drag force is close to 1 near the resonance peaks which occur below 1000 Hz.
 397 Further increase in the sound pressure level will make this force exceed 1, which means that
 398 the particles of this aerogel will begin to vibrate under acoustic excitation.



399

400 **Figure 9.** The spectra for the normalized drag force acting on a particle of Type 1 and Type
401 2 aerogel in the case of 112 dB broadband sound pressure level incident on the surface of
402 the aerogel specimen in the impedance tube.

403 Figure 10 shows the estimates of the displacement spectra for the air molecules in the incident
404 sound wave at 112 dB. This figure, and Figure 9, can explain the change in the absorption
405 coefficient spectra with the increased sound pressure level. When the sound pressure level is
406 relatively small, e.g., 92 dB (broadband), then the displacement in the incident sound wave is
407 well below that of the particle diameter. The relatively light particles in Type 1 aerogel can
408 begin to be excited by the vibrating air, particularly in the lower frequency range. However,
409 their movements are likely to be much smaller than their diameter. In this way they interact
410 mechanically with each other losing energy through contact friction so that the acoustic
411 absorption coefficient peaks close to 1 at frequencies below 1000 Hz (see Figure 5 for 92 dB).
412 As the level of excitation increases, the amplitude of the particle movement increases
413 progressively. This amplitude generally reduces with the increased frequency. When this
414 amplitude becomes comparable with the particle diameter, e.g., 1 μm at 250 Hz for 112 dB
415 excitation (see Figure 10), the particles can begin to separate, i.e., they lose contact with each
416 other, so that the losses reduce below 1000 Hz as illustrated by the standing wave tube data
417 in Figure 5. At this level, the amplitude of the displacement of air molecules in the medium and
418 high frequency regime (1000 – 3000 Hz) is close to the 1-10 nm range (around $1/1000^{\text{th}}$ of the
419 particle diameter) which seems ideal to result in an increase in the absorption coefficients,
420 particularly around the resonance peaks as shown in Figure 5.



421

422 **Figure 10.** The spectra for the displacement of the air molecules in the sound wave incident
 423 on the surface of Type 1 and Type 2 aerogel specimen in the impedance tube.

424 Similar behavior can be seen in the case of the Type 2 aerogel (see Figure 6). However, this
 425 is a much denser material, so this behavior is much less pronounced because this denser
 426 material would require a much greater drag force to make its particles vibrate as shown in
 427 Figure 9. Nevertheless, it appears from the data that the amplitude, of the peaks in the
 428 absorption coefficient in the frequency range of 1000 to 2000 Hz almost double as the
 429 broadband sound pressure level increases from 92 to 126 dB (see Figure 6).

430 Furthermore, to prove that the dynamic loss factor groups in the Biot-poro-elastic theory are
 431 required to provide good fittings/predictions of target aerogel granule stacks' sound absorption
 432 performance especially at the low frequency region, both Biot-limp-porous theory and Biot-
 433 poro-elastic theory with constant loss factor (i.e. independent of frequency or in-tube sound
 434 pressure level) were used to predict the normal incidence sound absorption coefficients, and
 435 the predicted results are shown as comparisons with measured results in Appendix A. Neither
 436 of these models can predict the absorption coefficients as accurately as the poro-elastic model
 437 with frequency-dependent loss factor.

438

439 **V. Conclusions**

440 In the present work the acoustic absorption offered by two powder-form aerogels with particle
441 sizes in the range of 5.54-41.78 μm was studied. Two theoretical models were fitted to the
442 measured data. The 5-parameter Johnson-Champoux-Allard^{28,29} and an alternative 3-
443 parameter³⁰ models have been used in combination with the Biot poro-elastic model³¹ to
444 predict the acoustical properties of the aerogel layers and therefore to explain the measured
445 data. A summary of main conclusions is as follows:

- 446 (1) A relatively thin (e.g., 50 mm thick) layer of a light aerogel powder can provide a very
447 high (almost 100%) acoustic absorption at relatively low frequencies, e.g., below 250
448 Hz.
- 449 (2) The behavior of these materials is non-linear, i.e., it depends on the amplitude of the
450 incident sound pressure.
- 451 (3) The agreement between the predicted and measured absorption coefficient obtained
452 with the adopted models was close with, root mean squared errors below 0.1, for most
453 of the in-tube sound pressure levels and with the given quoted set of the input
454 parameters.
- 455 (4) Reasonable bulk densities were captured by the numerical fitting function, which
456 showed that the Type 2 (94 kg/m^3) aerogel had a density more than twice that of Type
457 1 (35.5 kg/m^3), but both bulk densities characterized by the models were smaller than
458 the values directly measured using material samples (Type 1: 38.7 kg/m^3 , Type 2:
459 104.9 kg/m^3) This indicated that the aerogel's mass density might vary under different
460 in-tube sound pressure levels and loading conditions (i.e. standing wave tube input
461 voltages) due to non-linearity.
- 462 (5) A small but finite elasticity expressed in terms of the Young's modulus of both
463 materials' solid frame structure needs to be introduced in the modeling process in order
464 to realize a good fitting to the measured sound absorption over a broad range of
465 frequencies.

466 (6) Different groups of dynamic loss factors were needed to yield “best fits” at different in-
467 tube sound pressure levels, which indicates that the non-linearity of both materials
468 quantified in terms of dynamic loss factor is dependent on both frequency and incident
469 sound pressure level.

470 (7) An additional sound absorption mechanism could not be captured by the Biot-type
471 poro-elastic model and needs to be considered to provide better fits in the high
472 frequency region (i.e., above 2000 Hz) especially when the incident sound pressure
473 level is relatively large.

474 (8) The loss factor required to fit the measured data at low frequencies (i.e., below 2000
475 Hz) is very high, and is higher than is physically reasonable for an elastic porous
476 medium, which then suggests that there is an additional loss mechanism working at
477 low frequencies to contribute to the non-linearity of the sound absorption.

478 Detailed investigation of aspects (6) to (8) in addition to a summary of design concepts for
479 optimizing aerogel granule stacks’ wide-band sound absorption should be the subject of future
480 work. These design concepts can be based on the calculations of the fluid displacement and
481 resulting drag force acting on the aerogel particle that offer an explanation of the observed
482 level-dependent acoustic absorption behavior of the aerogel stacks.

483 **Acknowledgements**

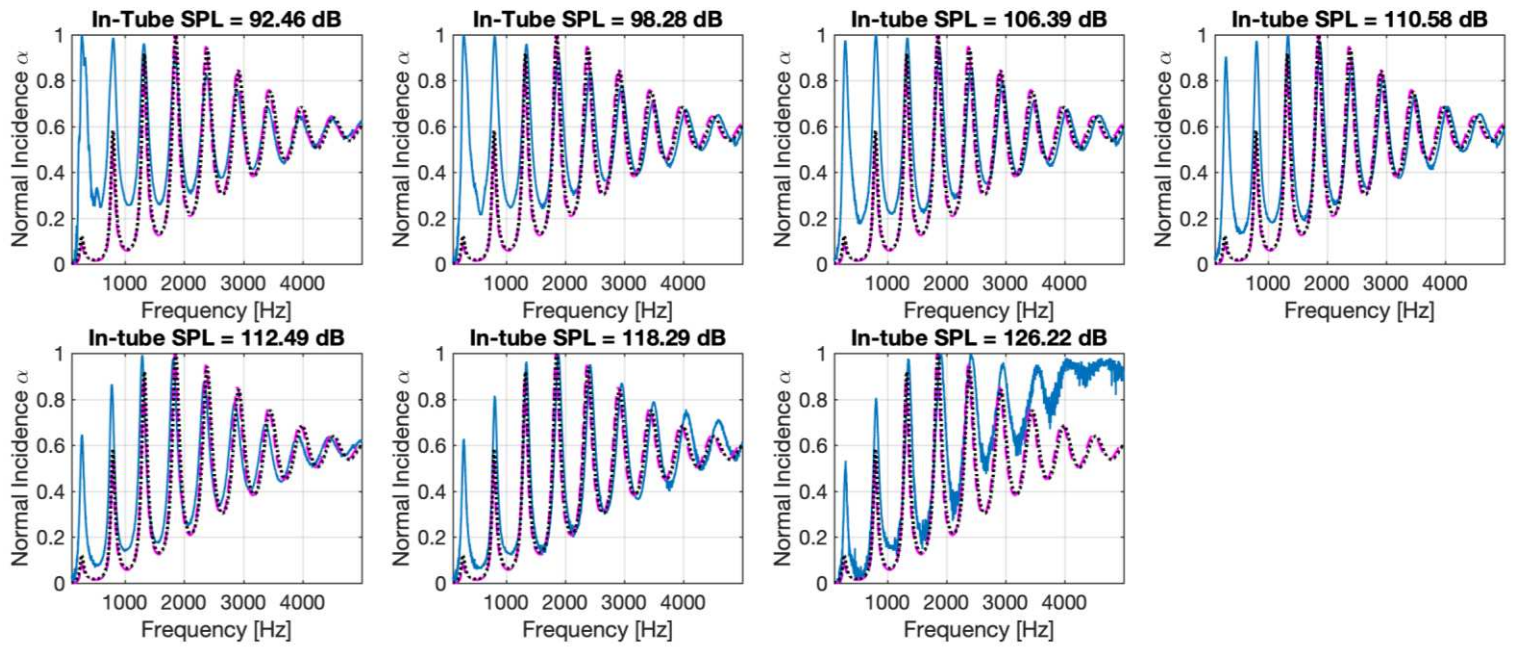
484 The authors would like to thank the EPSRC-sponsored Centre for Doctoral Training in
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489 high magnification SEM images.

490

491 **Appendix A. Demonstration of the necessity to introduce dynamic loss factors in the**
492 **Biot-poro-elastic theory**

493 First, the Biot limp porous theory [2] was used to predict sound absorption coefficients given
494 the properties listed in Table 1 (except for E_1 , ν , and η_m , and the same inputs for all tube
495 voltages) by following the JCA-Biot (limp porous)-TMM/ACM calculation routine, and the
496 results are plotted as magenta-dashed lines in Figures 11(a-c) and 12(a-c) for the Type 1 and
497 Type 2 materials, respectively. Secondly, the Biot-type poro-elastic model with a constant
498 $\eta_m=0.2$, was used to predict sound absorption coefficients given the properties listed in Table
499 1 (except for η_m , same inputs for all tube voltages) by following the JCA-Biot(poro-elastic)-
500 TMM/ACM calculation routine, and the results are plotted as black-dotted lines in Figures 11(a-
501 c) and 12(a-c) for Type 1 and Type 2 particles, respectively.

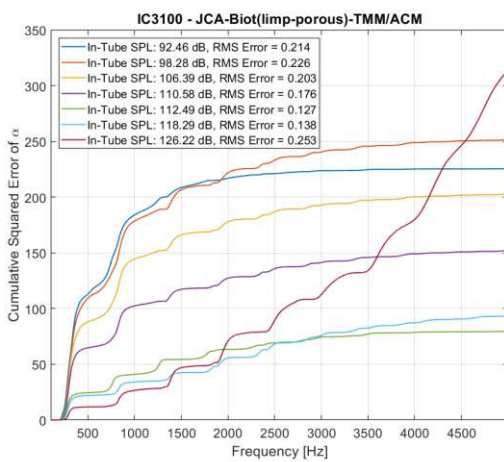
502 It can be observed that by using either Biot-limp-porous theory or Biot-poro-elastic theory with
503 a constant loss factor, we could not realize predictions are accurate as were obtained
504 previously with a frequency-dependent loss factor, especially in the frequency region below
505 2000 Hz. This demonstrated that it is necessary to introduce the dynamic loss factor as a
506 function of frequency in the Biot-type poro-elastic theory to yield a robust prediction of sound
507 absorption coefficients. That conclusion is reinforced by examining the cumulative error plots
508 also presented in Figures 11(a-c) and 12(a-c). It can be seen that the errors are very much
509 larger for these models than was the case for the results plotted in Figures 5 and 6.



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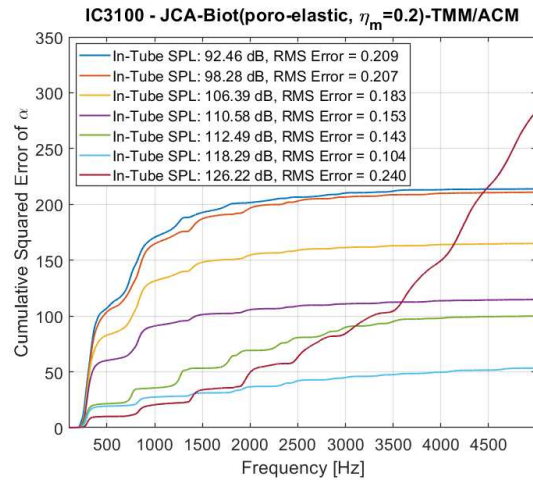
a)



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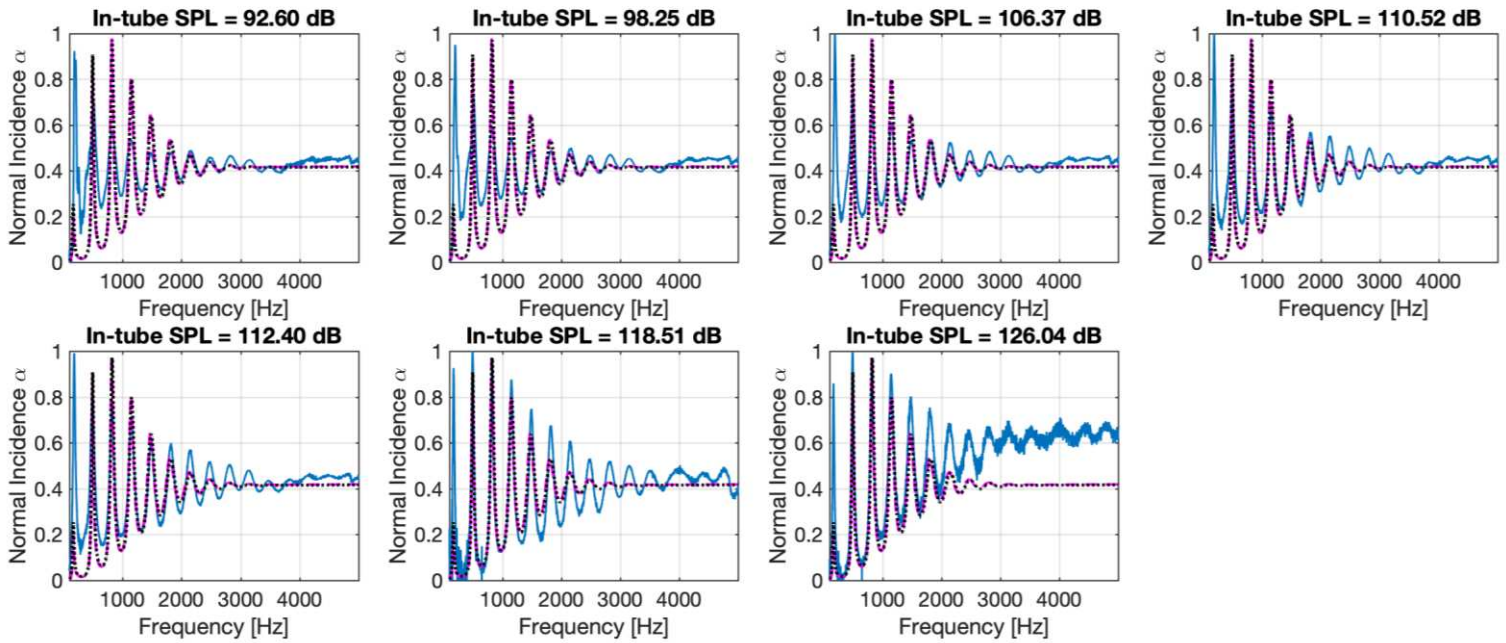
b)



c)

514 **Figure 11.** (a) Normal incidence absorption coefficients, α 's, of Enova IC3100 (Type 1) at
 515 different incident sound pressure levels (i.e., tube voltages) by experimental measurement
 516 (blue-solid lines), the JCA-Biot(limp porous)-TMM/ACM model prediction (magenta-dashed
 517 lines), the JCA-Biot(poro-elastic)-TMM/ACM model prediction (black-dotted lines) with
 518 constant $\eta_m=0.2$, (b) Cumulative squared error between JCA-Biot(limp-porous)-TMM/ACM
 519 model prediction and experimental measurement, and (c) Cumulative squared error between

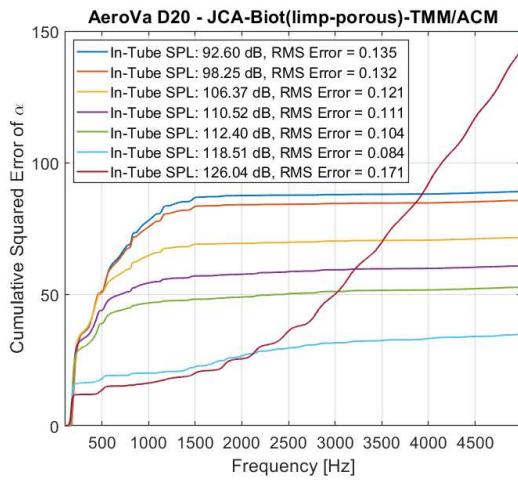
520 JCA-Biot(poro-elastic with constant η_m)-TMM/ACM model prediction and experimental
 521 measurement.



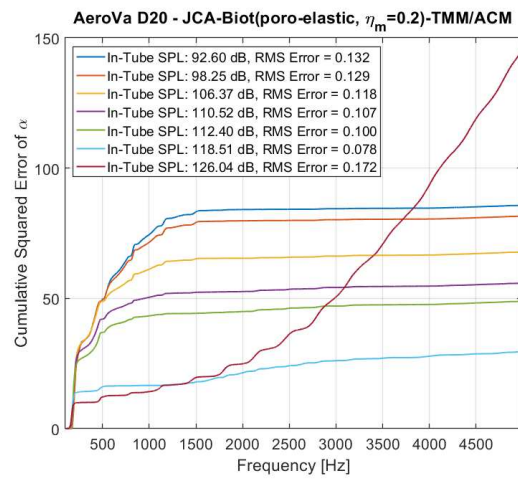
522

523

a)



524



525

b)

c)

526 **Figure 12.** (a) Normal incidence absorption coefficients, α 's, of JIOS AeroVa D20 (Type 2) at
 527 different incident sound pressure levels (i.e., tube voltages) by experimental measurement
 528 (blue-solid lines), the JCA-Biot(limp porous)-TMM/ACM model prediction (magenta-dashed

529 lines), the JCA-Biot(poro-elastic)-TMM/ACM model prediction (black-dotted lines) with
530 constant $\eta_m=0.2$, (b) Cumulative squared error between JCA-Biot(limp-porous)-TMM/ACM
531 model prediction and experimental measurement, and (c) Cumulative squared error between
532 JCA-Biot(poro-elastic with constant η_m)-TMM/ACM model prediction and experimental
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