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1 2	Determining the drivers and rates of soil erosion on the Loess Plateau since 1901						
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22							
23	Highlights:						
24	• We determined drivers and rates of erosion on the Loess Plateau (LP) since 1901.						
25	• LP erosion rates increased during 1930s-1970s and declined during 1980s-2000s.						
26	• Erosion rate changes before 2000s were primarily driven by land management.						
27	• A recent increase in LP erosion rates was observed between 2010 and 2016.						
28	• Erosion rate increase during 2010-2016 was mainly a result of extreme						
29	rainstorms.						
30							

31 Abstract

32 Attributing soil erosion to land management and climatic drivers is important for global policy development to protect soils. The Chinese Loess Plateau is one of the most eroded 33 areas in the world. However, there has been limited assessment of historic spatial changes 34 35 in erosion rates on the Loess Plateau and the major contributors driving these spatial 36 changes. In this study, the Revised Universal Soil Loss Equation was empirically validated and employed to assess spatially distributed historical erosion rates on the Loess Plateau 37 from 1901 to 2016. A double mass curve attribution technique was then used to investigate 38 39 the impact of land management and climatic drivers on the Loess Plateau. Decadal average erosion rates and the total area with intensive erosion (> 5,000 t km⁻² yr⁻¹) experienced a 40 sharp increase from the 1930s to 1970s, followed by a decline to an historic low between 41 42 the 1980s and 2000s. Mean erosion rates for the 2000s were 54.3% less than those of the 1970s. However, a recent increase in erosion rates was observed between 2010 and 2016. 43 Land management change was the dominant driver of historical erosion rate changes 44 45 before 2010. Extensive deforestation and farming, driven by population increase, were responsible for intensifying erosion between the 1930s and 1970s, while policy-driven 46 conservation schemes and revegetation led to reduction thereafter. However, the recent 47 increase in erosion between 2010 and 2016 was mainly driven by extreme rainfall events, 48 a major concern given climate change projections. Advanced erosion control strategies are 49 therefore required as part of integrated catchment management that both maintain water 50 51 supplies for human use during dry periods while reducing erosion during storm events.

52 **Keywords**: Land degradation, spatiotemporal patterns, attribution analysis, 53 modelling, conservation

54 **1 Introduction**

55 Soil erosion is a major global threat to terrestrial ecosystems (Borelli et al., 2017; 2020) with strong negative economic and environmental implications, including enhanced carbon 56 loss (Armstrong, 2014; Li et al., 2017b; 2021a), reduced agricultural productivity 57 58 (Montgomery, 2007), and aquatic pollution (Tong et al., 2017). It is thus crucial for achieving the Sustainable Development Goals, to understand the location and magnitude 59 of erosion and the relationship with key impacting factors (Borrelli et al., 2020; Li et al., 60 2021c). Climate change and land management have been widely acknowledged as two 61 major drivers of soil erosion, enhanced by the global scale of anthropogenic change since 62 the start of the 20th century (Borrelli et al., 2020). 63

64

The Chinese Loess Plateau is one of the most eroded areas in the world (Wang et al., 2016; 65 66 Li et al., 2017c; Best, 2019). Over 70% of the plateau is geomorphologically complex and characterized by deep gullies (thus steep slopes) (He et al., 2006). Soil erosion on the Loess 67 Plateau was primarily a natural phenomenon before the middle Holocene, driven mainly 68 69 by climate change as the geological environment is relatively stable (Liu et al., 2018). Since 3,000 years BP, human interventions increased (Ren and Zhu, 1994), including expansion 70 71 of cultivation over the past 1,000 years driven by increasing population (Wu et al., 2020), 72 deeply influencing erosion processes (Zhao et al., 2013). In particular, intensive human activities occurred during the 20th century, including those potentially accounting for 73 erosion exacerbation (e.g. wars, agricultural production, deforestation) and large-scale soil 74

conservation measures (e.g. ecological restoration, construction of dams and terraces) (He et al., 2006). These potential erosion acceleration and deceleration measures, along with climatic variations and changes (e.g. climate warming, extreme weather) may complicate the spatiotemporal pattern of soil erosion. To understand these spatio-temporal drivers first requires a reliable long-term historic erosion rate assessment.

80

River sediment load is often employed as a proxy for long-term erosion change (e.g. 81 Borrelli et al., 2017; Sun et al., 2020; Wang et al., 2015; Zheng et al., 2019; Li et al., 2021a), 82 as sediment yield data have often been collected at catchment outlets (Milliman and 83 Farnsworth, 2016; Best et al., 2019; Wang and Sun, 2021). River sediment yield can be 84 representative of soil erosion when hillslopes are well connected to catchment outlets 85 (Evans et al., 2006; Zhao et al., 2013). However, huge check-dams and reservoirs 86 established in river channels and gullies leads to a disconnection of soil erosion within 87 88 catchments from sediment yield at catchment outlets (Evans and Warburton, 2005; Wang et al., 2021). Experimental manipulations, field observations, tracer studies, remote sensing 89 90 monitoring and erosion modelling are also often employed to study erosion rates (Rodrigo-91 Comino, 2018). The former three are normally restricted to local-scale and short-term 92 studies due to intensive labor and cost requirements. Remote sensing techniques can be 93 used for a long-term and large-scale erosion study. However, remote sensing data are publicly accessible only from the 1980s onwards (Milodowski et al., 2020), limiting their 94 95 application to a century-scale erosion study. Erosion models can be implemented over large regions for long periods (Borelli et al., 2017; Li et al., 2017b; 2017c), and if appropriately 96

97 calibrated and validated, they provide a promising means for investigating historic erosion
98 rates for the whole of the Loess Plateau.

99

Modelling efforts to assess water erosion rates are increasing, but most work has concentrated on sub-catchment and event scales (Li et al., 2017c). Erosion modelling for the entire Loess Plateau is still limited. To the authors' knowledge, there have been few studies assessing soil erosion rates across the Loess Plateau, and these focus on the 1980s onwards (Li et al., 2019; Sun et al., 2014). Erosion modelling for longer periods of the 20th century has never been undertaken for the entire plateau.

106

107 In this study, we assumed that the dominant driving factors of soil erosion rates varied during different periods. We validated the hypothesis through quantitatively assessing soil 108 erosion by water since the start of 20th century (1901 to 2016) across the Loess Plateau, 109 110 and determining the relative contribution of key drivers of changes in soil erosion rates over different periods. We used an empirical but physically plausible model, Revised 111 112 Universal Soil Loss Equation (RUSLE) to model erosion rates and results were validated 113 by historical sediment yield measurements. A double mass curve (DMC) technique was used to attribute the major contributors to changing erosion rates so that the role of land 114 115 management and climatic drivers could be evaluated.

116 **2 Materials and Methods**

117 2.1 Study area

The Loess Plateau (33°41′ N–41°16′ N, 100°52′ E–114°33′ E) spans horizontally over 118 640,000 km² and vertically between 1000 m and 1600 m above sea level (Zhao et al., 2013), 119 120 including six regions: the hilly and gully plateau (140,000 km²), the high-plain plateau $(200,000 \text{ km}^2)$, deserts $(79,200 \text{ km}^2)$, the rocky mountainous area $(107,200 \text{ km}^2)$, the Fen-121 Wei river valley (63,600 km²) and the Hetao alluvial plains (58,700 km²) (Figure S1). The 122 123 plateau is characterized by deep gullies and steep slopes developed on thick loess deposits, accumulated by wind deposits from the Gobi desert during the Quaternary (Cai, 2001). The 124 loess is loose, porous and easily detached by erosive forces (Li et al., 2021b), including 125 raindrop action, running water, gravity, freeze-thaw and wind (Li et al., 2017c). The plateau 126 is located in the arid and semiarid climate zone (Yang et al., 2018), with mean annual 127 precipitation ranging from 150 mm in the northwest to 700 mm in the southeast (Zhao et 128 al., 2013). Precipitation is much lower than potential evapotranspiration (Tsunekawa et al., 129 2014), leading to a low soil water content and thus limited growth of vegetation (Jiao et al., 130 131 2016). The precipitation mainly takes place in summer months (July to September) in the form of intensive and short-duration rainfall events (Guan et al., 2021), which act as a 132 major erosive agent on the Loess Plateau. Human activities (e.g. agricultural production, 133 wars, deforestation, revegetation, conservation measures) are also thought to have 134 impacted the initiation and development of erosion, particularly since the start of the 20th 135 century (He et al., 2006). 136

137 **2.2 Assessment of spatially distributed erosion rates**

138 2.2.1 RUSLE description and implementation

RUSLE (Equation 1) was developed for estimating soil loss driven by rill and inter-rill erosion based on measurements in the United States (Renard et al., 1991). The model has been adapted for the erosion condition on the Loess Plateau, mainly through taking account of steep slope erosion processes (Liu et al., 1994). Although being spatially lumped, RUSLE can be used to assess soil erosion rates over large areas as a spatially distributed model, through dividing the study area into small sub-units with uniform characteristics (i.e. grid cells).

$$A = R * K * LS * C * P \tag{1}$$

where *A* is the estimated soil loss per unit area per unit time (t ha⁻¹ yr⁻¹); *R* is the rainfallrunoff erosivity factor (MJ mm ha⁻¹ h⁻¹ yr⁻¹), and represents the driving force of erosion; *K* is the soil erodibility factor (t ha h ha⁻¹ MJ⁻¹ mm⁻¹), reflecting the susceptibility of soil to erosion; *LS* is the slope length and slope gradient factors, reflecting the effect of slope length and slope gradient on erosion; *C* is the vegetation cover factor, accounting for the impact of vegetation coverage on soil erosion; and *P* is the erosion control practice factor, representing the benefit of a given conservation measure for soil loss.

154

In the study, RUSLE was operated at a 1-km resolution, with reference to that of the available datasets. The *R* factor was traditionally derived based on rainfall energy (E) and 30-min rainfall intensity (I30). However, E and I30 are often unavailable. On the Loess Plateau the algorithms developed by Zhang and Fu (2003) have been widely accepted and

applied to derive rainfall erosivity based on more accessible daily, monthly and annual
precipitation measurements. In our study, the annual rainfall erosivity (Figure S2) was
assessed using algorithms based on 1-km monthly precipitation grid data for 1901-2016
derived from the CHELSAcruts dataset (https://chelsa-climate.org/chelsacruts/)
(Equations 2 and 3) (Karger and Zimmermann, 2018):

164
$$R = 0.3589F^{1.9462} \tag{2}$$

165
$$F = [\sum_{i=1}^{12} P_i^2] \times P^{-1}$$
(3)

where *P* is annual precipitation, mm; P_i is the precipitation for the ith month, mm; *R* is annual rainfall erosivity (MJ mm ha⁻¹ h⁻¹ yr⁻¹), and *F* is the dimensionless factor accounting for the seasonal variations in precipitation.

169

The *K* factor was calculated by the method (Equation 4) employed in the EPIC model, which has been widely applied on the Loess Plateau due to a low data requirement (e.g. Li et al., 2020b; Sun et al., 2014), based on soil type and properties provided by Soil Science Database of China (http://vdb3.soil.csdb.cn/extend/jsp/introduction). The estimated *K* factor was further improved by Equation 5 to eliminate its deviation from a field measured *K* factor (Figure S2) (Zhang et al., 2007).

176
$$K_{EPIC} = 0.1317 \left\{ 0.2 + 0.3 \exp\left[-0.0256San\left(1 - \frac{Sil}{100}\right)\right] \right\} *$$

177
$$\left(\frac{Sil}{Cla+Sil}\right)^{0.3} \left(1 - \frac{0.25C}{C+exp(3.72-2.95C)}\right)^* \left(1 - \frac{0.75Sn}{Sn+exp(-5.51+22.95Sn)}\right)$$
(4)

178
$$K = -0.01383 + 0.51575 K_{EPIC}$$
(5)

where *San*, *Sil* and *Cla* are the sand fraction (%), silt fraction (%), and clay fraction (%), respectively; *C* represents soil organic carbon content (%); *Sn* equals 1-*San*/100, K_{EPIC} is the erodibility estimated by the EPIC model, and 0.1317 is a dimensionless conversion factor that converts the unit of estimated erodibility from the American system to the international standard system.

184

The LS factor was derived based on the 1-km DEM, which was resampled from the 30-m 185 DEM provided by the Advanced Spaceborne Thermal Emission and Reflection radiometer 186 Global 2 (ASTER Digital Elevation Model version **GDEM** V2) 187 (https://nordpil.com/blog/aster-gdem/). Traditional LS calculation algorithms are suitable 188 for $\leq 18\%$ slopes rather than > 18\% slopes that are widespread on the Loess Plateau 189 (McCool et al., 1989), while soil loss from 9% to 55% slopes is linearly related to the sine 190 of the slope gradient (Liu et al., 1994). Thus, traditional S factor derivation formulae 191 developed by McCool et al. (1989; 1997) were used for $\leq 18\%$ area, and the formula 192 developed by Liu et al. (1994) was employed for > 18% slopes (Figure S2) (Equation 6). 193 194 The L factor was derived using the method which was developed by Jiang et al. (2005)through synthesizing field measurements mainly from the Loess Plateau (Equation 7). 195

196
$$S = \begin{cases} 10.8 \sin \theta + 0.03, & 9\% > \theta > 0\\ 16.8 \sin \theta - 0.5, & 18\% \ge \theta \ge 9\%\\ 21.91 \sin \theta - 0.96, & \theta > 18\% \end{cases}$$
(6)

197

198
$$L = \left(\frac{r}{20}\right)^{m} \begin{cases} m = 0.45 & \theta \ge 40\%\\ m = 0.35 & 40\% > \theta \ge 21\%\\ m = 0.2 & 21\% > \theta \ge 9\%\\ m = 0.15 & 9\% > \theta \ge 0 \end{cases}$$
(7)

199 where, Υ is the slope length (m), and *m* is a dimensionless constant depending on the 200 percent slope (θ).

The C factor was derived separately for different land-use types on the Loess Plateau, 202 which were defined as artificial land (e.g. urban area, villages, built-up area), croplands, 203 204 forest and grassland, and water body with reference to the 1-km resolution land-se data resampled from the History Database of the Global Environment version 3.2.1 (HYDE 205 3.2.1) (Klein Goldewijk et al., 2017). The HYDE 3.2.1 dataset provides 5-minute (~8 km) 206 resolution land-use maps for the past 12,000 years. It is an update and extension of the 207 HYDE dataset, which is an internally consistent combination of historical population 208 estimates and allocation algorithms with time-dependent weighting maps for land use 209 (Klein Goldewijk et al., 2017). The C factor for forest and grassland was calculated by 210 Equation 8, while that for croplands was estimated by Equation 9 (Figure S2). They were 211 developed based on a regression analysis between the field measured C factor for 212 corresponding sampling units of the national soil erosion survey of China completed in 213 2011 (Liu et al., 2020) and 1-km NDVI derived from Moderate-Resolution Imaging 214 215 Spectroradiometer (MODIS) data. The C factor for water bodies and artificial land was set to 0.001 and 0.1, which accounts for the soil conservation function. 216

217
$$C = 1.2899 \times e^{-6.343 \times NDVI}$$
 $R^2 = 0.7531$ $p < 0.05$ (8)

218

$$C = -0.143 \times ln(NDVI) + 0.2525 \qquad R^2 = 0.539 \qquad p < 0.05 \tag{9}$$

The NDVI data, derived from satellite images, were only available after 1982, making the calculation of the *C* factor for the whole study period difficult. Given that precipitation is a major water source for vegetation on the Loess Plateau (Li et al., 2017a; Xin et al., 2008), the spatial distribution of vegetation is closely related to patterns of precipitation and relative humidity (Kong et al., 2018). Therefore it should be possible to predict vegetation

cover using precipitation data. In line with this, a linear relationship ($R^2 = 0.77$, p < 0.001) was established between monthly precipitation and NDVI during 2000-2016 derived from the MODIS dataset. In order to ensure the consistency of the NDVI throughout the time, the regression equation was then applied to reconstruct the NDVI for the whole of the study period based on the monthly precipitation derived from the CHELSAcruts dataset.

229

The *P* factor was derived based on the resampled HYDE 3.2.1 data (1 km resolution) and 230 the 300-m resolution Climate Change Initiative Land Cover (CCI_LC) dataset 231 (https://www.esa-landcover-cci.org/?q=node/164), through incorporating terraces as the 232 dominating control factor. The CCI-LC maps were produced using multiple algorithms 233 234 required for the generation of global land cover products that are stable and consistent over time, mainly based on the Envisat MERIS archive and SPOT-Vegetation time series 235 (Bontemps et al., 2015). The cropland of the Loess Plateau (as defined for the C factor 236 237 derivation) was further divided into terraces and sloping croplands in terms of the DEM 238 and CCI LC dataset, which offers a detailed distribution of rain-fed and irrigated croplands 239 (Bontemps et al., 2015). Terraces were considered as the irrigated croplands and gentlysloping rain-fed croplands (slope of $< 3^{\circ}$). The *P* factor value of terraces and artificial land 240 241 was set to 0.2 and 0.01 respectively with reference to previous studies (e.g. Sun et al., 2014), while that of forest and grassland, water body and sloping cropland was set to 1 242 through assuming that there was no erosion control practice (Figure S2). The CCI LC data 243 244 were only available from 1992 onwards. Therefore, the spatial distribution of terraces before 1992 was considered the same as that of 1992. This is a compromise considering 245

- data shortage but acceptable because the majority of the terraces on the Loess Plateau were
- constructed from the 1990s onwards (Mu et al., 2019).
- 248 2.2.2 Model validation

Validation of large-scale modelling results remains a challenge (Batista et al., 2019; Wen and Deng, 2020). RUSLE predicts soil loss driven by rills and interrills and theoretically should be validated by erosion rate measurements, which were rather limited for the Loess Plateau (Li et al., 2020b). The model was operated at a spatial resolution of 1 km, which is much greater than the plots used for erosion rate monitoring. Therefore, it was difficult to validate our modelling results with measured erosion rates.

255

Measured sediment yield from catchments provides an alternative for validation. The sediment delivery ratio on the plateau was close to 1 before large-scale construction of check dams (i.e. 1970) (Zhao et al., 2013). Therefore, sediment yields from 22 catchments (Figure S1) between 1919 and 1969 (six catchments for 1919-1953 and 16 catchments for 1957-1969) obtained from the Yellow River Conservancy Commission were employed to validate RUSLE predictions. Sediment yield measurements from the initial six catchments were jointly used for model validation as the measurements were limited before 1950.

263 2.2.3 Assessment of spatiotemporal patterns of erosion rates

Temporal patterns of modelled erosion rates during 1901-2016 were investigated based on annual time series and the decadal average of erosion rates for the entire Loess Plateau and its six subregions. Spatial patterns of erosion rates during 1901-2016 and extreme erosion years (erosion rate > 10,000 t km-2 yr-1) were assessed through categorizing erosion rates

into different levels. These levels were defined by the technological standard of soil and 268 water conservation (SL190-2007) issued by the Ministry of Water Resources of China 269 270 (MWRC) (Sun et al., 2014). The MWRC-defined erosion intensity levels consist of weak erosion (< 1,000 t km-2 yr-1), slight erosion (1,000-2,500 t km-2 yr-1), moderate erosion 271 (2,500-5,000 t km-2 yr-1), intensive erosion (5,000-8,000 t km-2 yr-1), very intensive 272 erosion (8,000-15,000 t km-2 yr-1) and severe erosion (> 15,000 t km-2 yr-1). The 273 proportion of each area containing erosion rates at each intensity level was calculated and 274 all spatial analysis in the study was completed using the ArcGIS 10.2 package. 275

276 **2.3 Separation of impacts of precipitation and human activities**

A double mass curve (DMC) approach (Searcy and Hardison, 1960) was employed to 277 quantitatively evaluate the impact of climate change (i.e. precipitation) and human 278 activities on soil erosion. The DMC is advantageous for its low data requirement and high 279 280 transferability. The DMC is composed of cumulative values of two parameters plotted against one another (i.e. x and y coordinates) through a specific period. The DMC is a 281 straight line when the ratio of x and y is a constant, while change points (slope breaks) in 282 the DMC are interpreted as a result of external disturbances. The deviation of DMC from 283 the straight line represents the contribution of external disturbances. In the study, the DMC 284 was established based on cumulative values of precipitation (x axis) and erosion rates (y 285 axis) (Figure S3). The deviation of DMC from the straight line was used to quantitatively 286 evaluate the contribution of precipitation ($\Delta_{Precipitation}$ in Figure S3) and human activities 287 $(\Delta_{\text{Human activity}} \text{ in Figure S3})$ on soil erosion. 288

The change point of the DMC is essential for the separation of the contribution of 290 precipitation and human activities in different periods. In the study, the change point was 291 292 determined visually, with the aid of the trend analysis for modelled erosion rates. The cumulative sums (CUSUM) of the differences between monthly means and the grand mean 293 of each data set function, proposed by Cluis (1983), was employed to conduct the trend 294 295 analysis. The function has been applied to study the changing trend (thus changing points) of sediment discharge over the Loess Plateau (Mu et al., 2012), and it can be derived using 296 Equation 10: 297

$$LP_i = \sum_{1}^{n} (E_i - \bar{E}) \tag{10}$$

where, LP_i is the CUSUM for the *i*th year, E_i is erosion rates for the *i*th year, \overline{E} is mean annual erosion rates for the study period, and n is the number of years (116 years).

302 **2.4 Statistical analysis**

In the study, the unitary linear regression method was employed to fit the relation between
variables (Equations 11-13):

 $y = ax + b \tag{11}$

306
$$a = \frac{n \sum x_i y_i - \sum x_i \sum y_i}{n \sum x_i^2 - (\sum x_i)^2}$$
(12)

307
$$b = \frac{\sum y_i}{n} - a \frac{\sum x_i}{n}$$
(13)

where *a* and *b* represent the parameters of the linear regression equation, *x* and *y* represent the independent and dependent variable respectively, and *i* refers to the values of x and y in i^{th} period. The goodness of the fit was assessed using the coefficient of determination

311 (\mathbb{R}^2) (Equation 14), while the significance of the regression was evaluated using the F test 312 (i.e. *p* value).

313
$$R^{2} = \left[\frac{\sum(o_{i}-\bar{o})(p_{i}-\bar{p})}{\sqrt{\sum(o_{i}-\bar{o})^{2}}\sqrt{\sum(p_{i}-\bar{p})^{2}}}\right]^{2}$$
(14)

where o_i and p_i represent the observed and predicted values in the i period, and \bar{o} and \bar{p} refer to the average of o_i and p_i . Statistical analysis was performed in Microsoft Excel 2013.

317 **3 Results**

318 **3.1 Accuracy of modelling results**

The R² between modelling results and sediment yield for 1919-1953 was 0.75, while that 319 320 for 1957-1969 was over 0.48 (Table 1). The fitness of modelling results and observations was not perfect, but satisfactory, given that data availability and data quality of the RUSLE 321 input parameters were limited (e.g. measured NDVI was not available for the validation 322 323 period). 324 325 326 327 328 329

Period	Stations	Drainage area (km ²)	\mathbb{R}^2	р	
	Huangfuchuan	3175	0.74	< 0.001**	
	Qingshui	735	0.77	<0.001**	
	Jiuxian	1562	0.55	0.004*	
	Pianguan	1915	0.58	0.002*	
	Gaoshiya	1263	0.69	< 0.001**	
	Qiaotou	2901	0.80	< 0.001**	
	Peijiachuan	2159	0.71	< 0.001**	
	Xingxian	650	0.51	0.006*	
1957-1969	Shenjiawan	1121	0.63	0.001*	
	Gaojiachuan	3253	0.74	< 0.001**	
	Linjiaping	1873	0.70	< 0.001**	
	Houdacheng	4102	0.72	< 0.001**	
	Yanchuan	3468	0.48	0.008*	
	Daning	3992	0.73	< 0.001**	
	Baijiachuan	29662	0.59	0.002*	
	Jixian	436	0.59	0.002*	
	Xiangtang	15750			
	Jingle	3100			
	Lancun	7600			
1919-1953	Zhaocheng	27700	0.75	< 0.001**	
	Zhuangtou	26700			
	Nanhechuan	26550			

331 Table 1. Validation of RUSLE modelling results with sediment yield measured at 332 catchment outlets

* significant at p < 0.01, ** significant at p < 0.001

334 3.2 Spatiotemporal changes of erosion rates

Modelled erosion rates varied greatly during 1901-2016 (Figure S1). The overall erosion rates, as well as for the six subregions, were generally low until 1917, where we see a peak of 27,940.3 t km⁻² yr⁻¹ for the hilly and gully plateau. The mean overall erosion rate for the 1920s increased to 5,663.0 t km⁻² yr⁻¹ compared to 4,928.7 t km⁻² yr⁻¹ for the 1910s, partly due to an extreme event in 1925. The amplitude of changes in erosion rates was variable across the subregions, with intensified erosion in the high-plain plateau and Hetao alluvial

plains while there was reduced erosion in the other four regions. During the 1930s to 1970s, 341 erosion rates stayed at a relatively high level and mean erosion rates exceeded 8,000 t km⁻ 342 2 yr⁻¹ for 13 years during that period. During the 1980s to 2000s, erosion rates decreased 343 markedly. Erosion rates on the Loess Plateau and its six subregions for the 2000s were 344 54.3% and 51.1%-66.2% lower than that for the 1970s and were even smaller than that for 345 the 1900s and 1910s. However, during 2010 to 2016, the erosion rates rebounded to a level 346 comparable with that of 1900s and 1910s. This increase was largely attributed to 347 particularly intensive erosion in 2013 (10,960.8 km⁻² yr⁻¹). 348

349

Erosion rates also varied greatly among regions (Figure 1). Mean erosion rates during 350 1901-2016 for the subregions followed the sequence of hilly and gully plateau > high-plain 351 plateau > rocky mountainous area > Fen-Wei river valley > Hetao alluvial plains > deserts. 352 Very intensive erosion (> $8,000 \text{ t km}^{-2} \text{ yr}^{-1}$) was mainly concentrated in the hilly and gully 353 plateau and high-plain plateau. In the early 20th century (1900s and 1910s), the very 354 intensive erosion was mainly restricted to the hilly and gully plateau. Since the 1920s very 355 356 intensive erosion began to extend to the high-plain plateau and the rocky mountainous area. 357 Since the 1980s soil erosion reduced and the areas of very intensive erosion retreated to the northeastern part of the high-plain plateau and southern part of the hilly and gully plateau 358 359 (i.e. central Loess Plateau). However, the area of very intensive erosion increased again during 2010-2016. 360



Figure 1. Spatiotemporal patterns of soil erosion rates over the Loess Plateau and 10-year
average erosion rates for the Loess Plateau between 1901 and 2016.

An erosion rate of < 5,000 t km⁻² yr⁻¹ accounted for 54.6%-81.3% of the area of the Loess Plateau, particularly before 1930 and after 1980 (Figure 2). This is partly because 67.8%-99.7% of the Fen-Wei river valley, deserts and Hetao alluvial plains were subject to erosion

368	with a rate $< 5,000$ t km ⁻² yr ⁻¹ . Erosion rates of > 5,000 t km ⁻² yr ⁻¹ occupied 56.2%- 72.2%
369	of the hilly and gully plateau before the 1970s and 36.2%-56.0% of the region since the
370	1980s. In the high-plain plateau, the total area with erosion rates > 5,000 t km ⁻² yr ⁻¹ (50.2
371	%- 53.4% of the region) was of similar magnitude to that of the total area with an erosion
372	rate $< 5,000 \text{ t km}^{-2} \text{ yr}^{-1}$ (46.6%-49.8% of the region) between the 1920s and 1970s. Erosion
373	rates < 5,000 t km ⁻² yr ⁻¹ accounted for 59.2%- 75.6% of the high-plain plateau during other
374	periods. In the rocky mountainous area, the total area with erosion rates > 5,000 t km ⁻² yr ⁻
375	1 (40.8%-52.4% of the region) was similar to the total area with erosion rates < 5,000 t km ⁻¹
376	2 yr ⁻¹ (47.6%-59.2% of the area) before the 1920s. The former accounted for 57.8%-67.1%
377	of the area between the 1930s and 1970s, while the latter occupied 69.6%-87.4% of the
378	area since the 1980s. Notably, intensive erosion (> $5,000 \text{ t km}^{-2} \text{ yr}^{-1}$) expanded during 2010-
379	2016 in all six subregions.



Figure 2. Temporal variations in area percentage of different levels of erosion for the Loess
 Plateau and the six geographical subregions of the Loess Plateau between 1901 and 2016.

Between 1901 and 2016, mean erosion rates of the Loess Plateau exceeded 10,000 t km⁻² 383 yr⁻¹ in four years, which were 1917, 1925, 1949 and 2013. In these four years, very intensive 384 erosion (> 8,000 km⁻² yr⁻¹) was concentrated in the hilly and gully plateau and high-plain 385 plateau (Figure 3). In 2013, the very intensively eroded area (> $8,000 \text{ km}^{-2} \text{ yr}^{-1}$) was less 386 than that in 1917, 1925 and 1949, except for in the high-plain plateau where the total area 387 with the highest intensity erosion was greater compared to 1917 (Figure 4). Extreme 388 erosion rates corresponded well with intensive rainfall in rainy months (i.e. June to 389 September). For example, in 2013, the high erosion rates were coincident with peak 390 precipitation in July, which is particularly the case for the hilly and gully plateau (Figure 391 5). 392





Figure 3. Spatial patterns of soil erosion rates over the Loess Plateau for extreme erosion years, during which mean soil erosion rates for the Loess Plateau were >10,000 t km⁻² yr⁻ 1 .





Figure 4. Area percentage of different levels of erosion for the Loess Plateau and six geographical subregions during extreme erosion years (i.e. 1917, 1925, 1949 and 2013).



Figure 5. Time series of RUSLE predicted annual erosion rates and monthly precipitation for
 rainy months (i.e. June, July, August and September).

405 **3.3 Impacts of natural and human factors on erosion rates**

In terms of the DMC and CUSUM analysis, two change points were found for the modelled erosion rates. They are 1924 and 1981 for the entire Loess Plateau, high-plain plateau and Hetao alluvial plains, and 1931 and 1981 for the hilly and gully plateau, rocky mountainous area, Fen-Wei river valley, and deserts (Figure S3 and Figure S4). The CUSUM of soil erosion rates followed a decreasing trend during 1901-1924/1931 and 1981-2016, and an increasing trend during 1925/1931-1980 for corresponding areas.

412

413 DMC analysis showed that, compared to the reference period, erosion rates increased during 1925/1932-1981 and decreased after 1982 (Table 2). In addition, the erosion increases during 414 1925/1932-1981 were dominated by human interventions (the contribution of human activities was 415 over 53%), particularly for the high-plain plateau and Hetao alluvial plains (the contribution of 416 human activities was over 96%). The decrease of erosion between 1982 and 2016 was also 417 dominated by human interventions, of which the contribution was no less than 68%. The 418 contribution of human interventions to erosion decrease often exceeded 100% as precipitation 419 facilitated an erosion increase. Precipitation changes intensify erosion on the Loess Plateau and 420 most of its subregions (except High-plain plateau and Hetao alluvial plains), but the contribution 421 of precipitation change to erosion rate changes were much lower than that of human activities. 422

	Period	observe d mean (t km ⁻² yr ⁻¹)	modelle d mean (t km ⁻² yr ⁻¹)	Total change ^a		Precipitation contribution ^b		Human contribution ^c	
Region				Amount (t km ⁻² yr ⁻¹)	Percent (%)	Amount (t km ⁻² yr ⁻¹)	Percent (%)	Amount (t km ⁻² yr ⁻¹)	Percent (%)
Ţ	1901-1924	4367.6							
Loess Plateau	1925-1981	6317.9	4665.2	1950.2	44.7	297.6	15.3	1652.7	84.7
	1982-2016	3475.9	4496.4	-891.8	-20.4	128.8	-14.4	-1020.6	114.4
Hilly and	1901-1931	7758.0							
gully	1932-1981	10770.0	9152.9	3012.0	38.8	1394.9	46.3	1617.1	53.7
plateau	1982-2016	6146.5	8543.7	-1611.5	-20.8	785.7	-48.8	-2397.2	148.8
Hish alsia	1901-1924	4811.8							
plateau	1925-1981	7301.4	4828.1	2489.6	51.7	16.3	0.7%	2473.4	99.3
	1982-2016	3972.2	4647.7	-839.7	-17.4	-164.1	19.5	-675.6	80.5
Rocky	1901-1931	5745.6							
mountaino	1932-1981	8068.3	6821.8	2322.6	40.4	1076.1	46.3	1246.5	53.7
us al ca	1982-2016	3360.2	6433.1	-2385.4	-41.5	687.5	-28.8	-3072.9	128.8
For We	1901-1931	2925.2							
river valley	1932-1981	4246.3	3372.5	1321.1	45.2	447.2	33.9	873.8	66.1
	1982-2016	2036.7	3216.1	-888.5	-30.4	290.9	-32.7	-1179.4	132.7
Hetao	1901-1924	857.8							
alluvial plains	1925-1981	1330.2	874.3	472.5	55.1	16.6	3.5	455.9	96.5
plains	1982-2016	781.4	833.4	-76.4	-8.9	-24.3	31.8	-52.0	68.2
	1901-1931	661.2							
Deserts	1932-1981	964.2	727.1	303.0	45.8	65.8	21.7	237.2	78.3
	1982-2016	652.0	689.5	-9.2	-1.4	28.3	-306.0	-37.5	406.0

425 a refers to the total changes in erosion rates from the comparison period to the reference period, while b and c refer to the contribution of precipitation 426 change and human activity to the total change. For the Loess Plateau, high-plain plateau and Hetao alluvial plains, the reference period is 1901-1924

and the comparison periods are 1925-1981 and 1982-2016. For hilly and gully plateau, rocky mountainous area, Fen-Wei river valley, and deserts,
 the reference period is 1901-1931 and the comparison periods are 1932-1981 and 1982-2016.

429 **4 Discussion**

430 **4.1 Spatiotemporal changes of erosion rates**

431 Long-term historic (e.g. century scale) erosion rate assessments have either been rarely undertaken

432 (Rodway-Dyer et al., 2010; Garcia-Ruiz et al., 2015; Gonzalez et al., 2016; Li et al., 2020b) or

subject to spatial heterogeneity due to the difference in measurement methods or scale of 433 434 measurements (Kirkby et al., 1996; Garcia-Ruiz et al., 2015; Borrelli et al., 2017). Based on century-scale process modelling, we reconstructed historic erosion rates for the entire Loess 435 Plateau for the first time, and validated the results using field measurements. The satisfactory 436 437 modelling accuracy demonstrated that the method employed in our study worked well. This has important implications for historic erosion rate assessment in other parts of the world. For example, 438 our method can be used to derive erosion rates across large areas with scarce erosion rate 439 assessments, such as the Tibetan Plateau (Teng et al., 2018) and remote peatlands (Li et al., 2018). 440 The reconstructed erosion rates can then be used for further analysis with erosion drivers, 441 providing a direct reference for erosion conservation. 442

443

Based on the reconstructed erosion rates for the Loess Plateau, we found that decadal average erosion rates and the area with intensive erosion (Sun et al., 2014) increased between the 1930s and 1970s, decreased between the 1980s and 2000s to a historic low level (since 1901) and rebounded after 2010. This temporal change pattern is roughly consistent with the fluctuations of sediment load of the Yellow River, which was relatively low before the 1930s, increased until the -1960s and decreased since the 1980s (He et al., 2004; 2006). However, sediment yield at

catchment outlets can no longer represent the detailed distribution of soil erosion, particularly 450 when eroded particles are trapped by check-dams and reservoirs established in gullies and river 451 channels in the region (Li et al., 2020b). Compared to previous erosion rate assessments focusing 452 on the 1980s onwards (e.g. Li et al., 2019; Sun et al., 2014), our study is able to reach broader 453 conclusions. This is because previous modelling results may be subject to bias given that soil 454 erosion rates and the area of very intensive erosion (> $8000 \text{ t km}^{-2} \text{ yr}^{-1}$) since the 1980s have sharply 455 decreased compared to previous decades. In terms of century-scale modelling, we found that the 456 spatial distribution of very intensive erosion shifted mainly within the hilly-gully plateau and high-457 plain plateau, and sometimes extended to the rocky mountainous area (1930s-1970s) (Figure 1). 458 The central Loess Plateau was particularly subject to severe erosion even during the period with 459 historic low erosion rates (e.g. 2000s). 460

461 **4.2 Impacts of human activities and extreme weather events**

We demonstrated that human activities played a dominant role and climate change played a 462 secondary role in historic erosion changes on the Loess Plateau during 1901-2016. This is 463 consistent with previous studies investigating the contribution of climate change and human 464 activities on soil erosion / sediment flux on the Plateau (e.g. Li et al., 2019; Guo et al., 2019; Zheng 465 et al., 2019; Xu et al., 2021). However, most previous studies found that human activities and 466 climate change contributed to the reduction of erosion / sediment flux rather than the increase of 467 erosion / sediment flux. We found that human-dominated erosion increased during 1925/1932-468 1981 and decreased during 1982-2016 for the Loess Plateau and its subregions, while precipitation 469 changes intensified erosion on the Loess Plateau and most of its subregions throughout the study 470 period (except High-plain plateau and Hetao alluvial plains). The above discrepancy could be 471 attributed to the difference in study periods (and thus different reference periods), given that 472

different attribution analysis methods employed in previous studies have been demonstrated to 473 produce comparable results for the same environmental settings (Zhao et al., 2018). Previous 474 studies on erosion rate assessment were mainly conducted for the 1980s onwards, while those on 475 sediment flux investigation primarily focused on the 1920s onwards. In earlier studies the 476 contribution of climate change and human activities was assessed using a reference period with 477 higher erosion rates than that used in our study (e.g. 1901-1924/1901-1930). Therefore, our study 478 offers new insights into the role that human activities and climate change played in altering loess 479 plateau erosion rates. . 480

481

Changes in modelled erosion rates for the Loess Plateau were in accordance with some important 482 social changes. In the 1930s and 1940s, the central Loess Plateau became densely populated 483 because of migration during the anti-invasion war and inner war in China (He et al., 2006). For 484 example, the population of northern Shaanxi province increased from 1.31 million in 1941 to 1.42 485 million in 1945 (Liu et al., 2012). Given that the awareness of the general public about soil 486 conservation was low, the population increase led to mass devastation of forest and grassland 487 vegetation and thus rapid erosion increase (Figure 1). The end of wars in 1949 and subsequent 488 economic development facilitated a rapid population growth during the 1950s to 1970s. However, 489 China, and particularly on the Loess Plateau, still suffered from low agricultural productivity and 490 firewood shortages. Between 1958 and 1960, China undertook the 'Great Leap Forward' policy, 491 492 and implemented the 'food for the program' in agricultural production, largely encouraging cultivation and deforestation (Rozelle et al., 1997). Cropland expansion, particularly on hillslopes, 493 and firewood requirements further reduced forest and grassland vegetation and intensified erosion. 494 495 Although conservation measures were implemented to control erosion, they did not work well

(Zhao et al., 2013). Overall, because there was a great increase in human interventions without
efficient land management or erosion control measures, soil erosion was exacerbated, leading to
the expansion of serious erosion between the 1930s and 1970s.

499

Since the 1980s, China undertook social and economic reforms to control soil erosion and to 500 guarantee food security for inhabitants. The policy "comprehensive management of small 501 watersheds" was launched to integrate the management of hills, water, forests, and croplands, with 502 the aim of reducing sediment and flooding, and improving agricultural production (Wu et al., 503 2020). The 'Grain-for-Green' project, which is the largest ecological restoration program in 504 developing countries and mainly concentrated in the hilly and gully plateau and high-plain plateau, 505 has been implemented since 1999 (Feng et al., 2016). In addition, rapid economic development 506 and urbanization led to a large-scale migration of population from rural areas to cities (Wei et al., 507 2019), directly reducing the human-land conflict. These factors led to a rapid erosion decrease 508 since the 1980s and particularly low erosion rates during the 2000s. Overall, the decrease of 509 erosion rates since the 1980s to a historic low level directly demonstrates the long-term success of 510 the soil and water conservation measures (Wang et al., 2021). This success is also meaningful for 511 other places in the world suffering from soil erosion, as erosion is also likely to be controlled by 512 intensive conservation measures given that it could be controlled in the harsh Loess Plateau 513 environment. 514

515

Although human activities were found to dominate the erosion rate change on the Loess Plateau, we found a rebound of average erosion rates during 2010-2016 on the Plateau that was mainly driven by intensive storms occurring in the hilly and gully plateau in July 2013 (Li et al., 2020a)

(Figure 5). This supported our hypothesis that erosion rate changes may be dominated by different 519 factors over different periods. There have been studies assessing the impacts of extreme rainfall 520 events on soil erosion/sediment flux on the Loess Plateau (e.g. Hu et al., 2019; Wang et al., 2020; 521 Zhao et al., 2021) and other places in the world (e.g. Lana-Renault et al., 2009; Estrany et al., 522 2009; Wu et al., 2021; Li et al., 2021a). However, they were undertaken mainly at plot / catchment 523 scales and thus they were not able to investigate the impact of intensive events at larger scales (e.g. 524 the whole of the Loess Plateau). We demonstrated that individual storm events had wider 525 implications and may lead to an exceptional increase of mean soil erosion rates over a large region 526 527 (e.g. the hilly-gully plateau and even the entire Loess Plateau). 528 On the Loess Plateau, vegetation consumption of water during drier conditions (Jia et al., 2017) is 529 now close to the level beyond which the population will suffer water shortages (Feng et al., 2016; 530 Wang et al., 2018). This creates a scientific challenge because if further revegetation is encouraged 531 to reduce erosion it may lead to water scarcity for the human population. However, without good 532 vegetation cover, extreme rainfall events, which may occur more frequently in the future (Wang 533 et al., 2015), may further increase mean decadal erosion rates. There is also a challenge of 534 535 maintenance of some conservation measures to withstand future storm events. For example, there was widespread destruction of terraces and roads during storms on 26 July 2017 (Yang et al., 2019; 536

537 Zhang et al. 2019), further exacerbating soil loss. Therefore, an enhanced erosion control strategy
538 is needed for the Loess Plateau to cope with extreme events in the future.

539 **4.3 Uncertainties and limitations**

Our modelling is subject to uncertainties. The major source of uncertainty comes from model input
data. A representative and widely applied historical land-use product, HYDE 3.2.1 (Zhang et al.,

2021), was used for the derivation of the C and P factors, given that more accurate historical land-542 use data were unavailable for the Loess Plateau. In order to overcome the drawbacks of the coarse 543 resolution (~ 8 km), we have resampled the HYDE 3.2.1 dataset to a 1-km resolution during the 544 derivation of C and P factors, and further incorporated the 300-m CCI LC dataset for P factor 545 derivation. Although resampling did not improve the accuracy of the HYDE 3.2.1 dataset, a 546 smaller grid cell size did facilitate the matching of landuse type with other datasets with higher 547 resolution (e.g. NDVI data). Since data on vegetation cover before 1980 were not available, we 548 reconstructed the NDVI based on the close relationship between precipitation and vegetation on 549 the Loess Plateau (Kong et al., 2018). We acknowledged that the vegetation coverage is impacted 550 by multiple driving factors such as climate conditions and human activities (e.g. agricultural 551 production and revegetation). Thus, our reconstructed NDVI was subject to uncertainties which 552 propagated into modelled erosion rates. However, it was difficult to reconstruct the vegetation 553 coverage with more sophisticated methods (models) given that there were insufficient data 554 (particularly human activity data) to feed them. 555

556

557 RUSLE is an empirical model. The model does not consider the specific erosion processes, possibly limiting its capacity to reflect the response of erosion rates to influencing factors. 558 However, RUSLE enabled long-term and large-scale modelling which was advantageous over 559 contemporary process-based models that are primarily event-based, catchment-scale models, 560 561 which are not able to model long-term erosion rates over large areas (Li et al., 2017c). Therefore, the use of RUSLE in our study was a compromise. In the future, more effort should be made to 562 develop long-term and large-scale process-based models for the Loess Plateau so that more 563 specific erosion processes can be incorporated. 564

566 **4.4 Implications for management**

Our results have implications for land management. The spatial pattern of modelled erosion rates provides a direct reference for decision makers to identify the key erosion regions within the Loess Plateau. This helps the government to put limited resources towards the most critical locations. For example, we found that the central Loess Plateau was always subject to severe erosion even when the mean erosion rates of the entire Loess Plateau reached an historic low level in the 2000s (Figure 1). This implies that the central Loess Plateau should be the key region for future soil and water conservation.

574

We found that human activities were dominant drivers of erosion rate changes before the 2000s, 575 while extreme rainfall events accounted for the erosion rate rebound between 2000 and 2016. This 576 suggests that the existing erosion control measures on the Loess Plateau may not be able to cope 577 with extreme rainstorms and erosion rates may increase again if the extreme weather occurs more 578 frequently in the future. Therefore, an enhanced erosion control strategy is needed for the Loess 579 Plateau, and particularly for the central Loess Plateau, which is the area most sensitive to erosion. 580 However, given the contradiction between the water resource scarcity and soil conservation 581 discussed above, enhanced erosion control may need to be achieved through supporting 582 revegetation that consumes less soil moisture (e.g. using species that are more drought tolerant) 583 (Wang et al., 2016) and improved engineering measures (e.g. stormwater capture) (Zhang et al., 584 2014). 585

Our results also have global implications for environmental protection. Global warming is expected to intensify both drought and flash floods, which are serious threats to fragile terrestrial environments (Borrelli et al., 2020; Ornes, 2018; Tabari, 2020; Yin et al., 2018). Increasing risks of drought and floods directly challenges the resilience of existing measures and demands innovative new nature-based geoengineering methods for environmental protection.

592 **5 Conclusions**

We assessed the spatiotemporal pattern of erosion rates over the entire Chinese Loess Plateau 593 between 1901 and 2016 using the RUSLE model and attributed the erosion rate changes to human 594 activities and climatic drivers. The RUSLE modelling results were validated with sediment yield 595 measurements from 22 catchments between 1919 and 1969, during which time sediment yields 596 were very representative of erosion rates within catchments, as check dams and barriers were not 597 widespread during this period. Modelling results showed that decadal average soil erosion rates 598 and the total area with intensive erosion (> 5,000 t km⁻² yr⁻¹) experienced a sharp increase from 599 the 1930s to 1970s, followed by a decline to an historic low during the 2000s. Mean erosion rates 600 for the Loess Plateau in the 2000s decreased by 54.3 % compared to the 1970s. However, a recent 601 increase in erosion rates was observed between 2010 and 2016. Human activities were the 602 dominant direct drivers of the historical rise and fall of erosion rates until 2010. Extensive 603 deforestation and farming driven by population increase were responsible for the intensifying 604 erosion between the 1930s and 1970s while policy-driven conservation schemes and revegetation 605 led to the reduction thereafter. However, the recent increase in erosion rates between 2010 and 606 2016 was found to be mainly driven by extreme rainstorms. This demonstrates a new challenge 607 for ecological recovery as extreme climate events are likely to be exacerbated further by global 608

warming. Compounded with human water shortages, ecological recovery of the Loess Plateaudemands a strengthened innovative erosion control strategy.

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615 Data availability

The raw data for the derivation of RUSLE inputs can be obtained through the corresponding links and references in the section 2.2.1 'RUSLE description and implementation'. RUSLE inputs and outputs have been provided in the text, figures, tables and supporting information. Other data can be obtained from corresponding authors upon reasonable request.

620 Author contributions

Xingmin Mu, Guangju Zhao and Pengfei Li conceived the idea and designed the research. Pengfei
Li, Jiannan Chen and Guangju Zhao analyzed data, produced figures and tables, and drafted the
manuscript. Bintao Liu implemented RUSLE. Pengfei Li, Joseph Holden, Peili Wu, Guangju
Zhao, Bintao Liu, Jinfei Hu, Faith Ka Shun Chan and Xingmin Mu refined the manuscript and
provided additional interpretation of the findings.

626 **Conflict of Interests**

627 The authors declare no conflict of interests.

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