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Distinct Neural Signatures of Outcome Monitoring following Selection and Execution Errors

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36 Abstract

37 Losing a point in tennis could result from poor shot selection or faulty stroke execution. To explore 38 how the brain responds to these different types of errors, we examined feedback-locked EEG 39 activity while participants completed a modified version of a standard three-armed bandit 40 probabilistic reward task. Our task framed unrewarded outcomes as either the result of errors of 41 selection or errors of execution. We examined whether amplitude of a medial frontal negativity (the 42 Feedback-Related Negativity; FRN) was sensitive to the different forms of error attribution. 43 Consistent with previous reports, selection errors elicited a large FRN relative to rewards and 44 amplitude of this signal correlated behavioral adjustment following these errors. A different pattern 45 was observed in response to execution errors. These outcomes produced a larger FRN, a 46 frontocentral attenuation in activity preceding this component, and a subsequent enhanced error 47 positivity in parietal sites. Notably, the only correlations with behavioral adjustment were with the 48 early frontocentral attenuation and amplitude of the parietal signal; FRN differences between 49 execution errors and rewarded trials did not correlate with subsequent changes in behavior. Our 50 findings highlight distinct neural correlates of selection and execution error processing, providing 51 insight into how the brain responds to the different classes of error that determine future action. 52 53 Key words: Credit Assignment, Medial Frontal Negativity, Feedback-Related Negativity, Prediction

54 Error, Reinforcement Learning

55 Introduction

56 When an action fails to produce the desired goal, there is a "credit assignment" problem to resolve: 57 Did the lack of reward occur because the wrong course of action was selected, or was it because 58 the selected action was poorly executed? Consider a tennis player who, mid-game, must 59 determine whether losing the last point was the result of selecting the wrong action or executing 60 the action poorly. The player might have attempted a lob rather than the required passing shot, an 61 error in action selection. Alternatively, a lob might have been appropriate but hit with insufficient 62 force, an error in motor execution.

Reinforcement learning presents a framework for understanding adaptive behavior through trial and error interactions with the environment. According to numerous models (e.g. temporal difference learning; Sutton & Barto, 1998), the discrepancy between expected and actual outcomes, the reward prediction error, provides a learning signal that allows an agent to refine its predictions and update its action selection policy. But what happens when a negative prediction error could arise from either poor action selection or poor response execution?

69 To address this question. McDougle et al. (2016) used a "bandit" task in which participants 70 chose between two stimuli to maximize reward. In one condition, choices were made using a 71 standard button-press method, a situation in which the negative prediction errors on unrewarded 72 trials were attributed to poor action selection (given the negligible demands on motor execution). In 73 a second condition, choices were made by reaching to the desired bandit. Here, unrewarded trials 74 were attributed to movement execution errors. In the latter condition, participants strongly 75 discounted the negative prediction errors on unrewarded trials relative to the former condition. The 76 authors hypothesized that errors credited to the motor execution system block value updating in 77 the action selection system. Consistent with this hypothesis, McDougle et al. (2019) reported that 78 reward prediction error coding in the human striatum was attenuated following execution errors, 79 relative to selection errors. Differences between responses to selection and execution errors have 80 been attributed to a greater sense of "agency" in the latter, with participants' choice biases 81 indicating a belief that they can reduce execution errors by making more accurate movements 82 (Parvin et al., 2018).

83 A window into the processes that underlie outcome monitoring is offered through the 84 discovery of the Feedback-Related Negativity (FRN), a negative deflection in the EEG first 85 identified following the presentation of feedback indicating incorrect responses (Miltner et al., 86 1997). Following its identification, the component quickly became the subject of intense 87 investigation as a marker signaling gains and losses (Gehring & Willoughby, 2002) and outcomes 88 that are worse than expected (Holroyd et al., 2006). The most prominent explanation of its 89 significance, the "reinforcement learning theory of the error-related negativity" (RL-ERN; Holroyd & 90 Coles, 2002) holds that the component (and its response-locked variant, the Error-Related 91 Negativity, the ERN) indexes the activity of signals from the midbrain dopamine that are conveyed 92 to the anterior cingulate cortex for adaptive modification of behaviour (Holroyd & Coles, 2002;

Holroyd & Umemoto, 2016). Recent developments reveal that much of the variation in this
component is driven by a positive going component (a Reward Positivity; RewP) responding to
outcomes that are better than expected (Foti et al., 2011; Holroyd et al., 2008; Proudfit, 2015).
Irrespective of whether this signal is framed as a feedback negativity or reward positivity (here, we
refer to this component as the FRN- the most widely label), there is a consensus, as indicated by a
meta-analysis of 55 datasets (Sambrook & Goslin, 2015), that it is sensitive to reward prediction
error.

100 The FRN's sensitivity to errors of action is more contentious. A series of experiments 101 (Krigolson et al., 2008; Krigolson & Holroyd, 2006, 2007a) contrasting high level (goal-attainment) 102 errors, variously operationalized as a failure to reach a target (Krigolson et al., 2008; Krigolson & 103 Holroyd, 2007a), avoid a collision (Krigolson & Holroyd, 2006, 2007b), and the erroneous selection 104 of the wrong hand or force (de Bruijn et al., 2003) with low-level errors (i.e. mismatch between 105 actual and intended motor command), concluded that the latter do not elicit a FRN. Instead, 106 reflecting a hierarchical error processing system (Krigolson & Holroyd, 2006), these motor errors 107 are proposed to be mediated within posterior parietal cortex (Desmurget et al., 1999, 2001; 108 Diedrichsen, 2005). Further elaborations indicated that the FRN may only be generated for action 109 errors that cannot be corrected (Krigolson et al., 2008; Krigolson & Holroyd, 2007a), indicating a 110 binary high level coding of outcomes in the FRN (i.e. signaling whether the goal was achieved or 111 not). In line with this, a recent experiment isolating reward-based and sensory error-based motor 112 adaptation reported a FRN in response to binary reward feedback, but not sensory error feedback-113 which instead generated a P300 (Palidis et al., 2019). Previous work on the P300's sensitivity to 114 "low level" motor execution errors led to the proposal that this later parietally distributed component 115 might reflect the revision of an internal forward model in posterior parietal cortex (Krigolson & 116 Holroyd, 2007a).

117 A contrasting set of results suggest that the FRN (and its response-locked variant, the 118 ERN) may in fact be sensitive to motor errors and reflect more than binary coding of outcomes, 119 with evidence showing that it scales with the magnitude of error during sensorimotor adaptation 120 (Anguera et al., 2009) and correlates with the size of hand-path deviations following externally 121 perturbation to target reaches (Torrecillos et al., 2014). These findings are more in line with a 122 growing body of work suggesting that the FRN indexes a general salience prediction error (Oliveira 123 et al., 2007; Torrecillos et al., 2014). A computational model attempting to unify a broad range of 124 findings on medial prefrontal cortex function (Alexander & Brown, 2011) proposes that this region 125 is responsible for tracking discrepancies between expectations and outcomes, which are reflected 126 in the FRN. Viewed in this way, the processing of execution and selection error may share a 127 common neural network that signals a mismatch between the outcome and expectations in the 128 service of behavioural adaptation (Cavanagh et al., 2012; Torrecillos et al., 2014). 129 To test whether outcome errors of action and selection can be dissociated in the medial

130 frontal cortex, we recorded feedback-locked ERPs while participants engaged in a modified bandit

131 task where choices were selected via rapid arm movements. Unrewarded trials were either framed 132 as errors in choosing the wrong bandit (a selection error) or the result of an inaccurate movement 133 (an execution error). Following a large body of evidence reporting that the FRN is sensitive to RPE 134 (Sambrook & Goslin, 2015), we expected that unrewarded outcomes attributed to selection error 135 would elicit an FRN response. If this medial frontal monitoring system also tracks general action-136 outcome discrepancies, then we should expect a deflection following errors of action execution too. 137 However, should the recently proposed movement-dependent account of RL hold, the FRN response should be attenuated when errors can be ascribed to the motor system. We would 138 139 expect P300 amplitude, a putative index of internal forward model revision (Krigolson & Holroyd, 140 2007a), to be largest for execution errors. 141 In addition to these predictions, we also examined the relationship between the FRN and 142 behavioral modification. Specifically, we predicted that participants who exhibited a larger change

in the FRN would be more likely to switch between the different options. Notably, we expected this
brain-behavior relationship would hold for selection errors, but not for execution errors. Reasoning
that action errors may instead be encoding information about the size of the execution error, with
this feedback used to correct discrepancies between the planned and actual outcome, we explored

147 the possibility that these signals may be correlated with the magnitude of error and subsequent

148 change in motor response.

149 Materials and Methods

150 Participants

151 Using an effect size estimate derived from our previous work on the FRN ($\eta 2p = .167$; Mushtaq et 152 al., 2016), with a desired statistical power of 0.8 and alpha criterion set at 0.05, we set a minimum 153 sample size of 28 participants. In total we tested thirty-two right-handed participants (EHI > 40; 154 Oldfield, 1971). Two participants were excluded due to excessive EEG artifacts, and a technical 155 error during data collection rendered one participant's dataset unusable. All analyses were 156 performed on the resulting sample of 29 participants (19 females, 10 males, μ age = 26.75 years, 157 ±9.51 years). 158 Participants were told they would be remunerated based on their performance. However, 159 due to the pseudo-veridical nature of outcomes (see Procedure), all received a fixed payment of

- 160 \pounds 10.00. Participants signed an informed consent document, were fully debriefed, and the
- 161 experiment was approved by the Ethics Committee in the School of Psychology at the University of
- 162 Leeds, United Kingdom.

163 Design and Procedure

164 We employed a novel three-armed bandit task (Figure 1) where the absence of reward on a given 165 trial could be the product of a poorly executed action or an error in action selection (McDougle et 166 al., 2019). Following EEG set-up, the participant was seated in a chair approximately 50 cm away 167 from a 24" ASUS monitor (53.2 X 30 cm [2560 x 1600 pixels], 100 Hz refresh rate). The participant 168 was instructed to make a choice by making a reaching movement, sliding their right arm across a 169 graphics tablet (49.3 X 32.7 cm, Intuos 4XL; Wacom, Vancouver, WA) while holding a digitizing 170 pen encased inside a customized air hockey paddle. The tablet was placed below the monitor on 171 the table and between an opaque platform that occluded the hand.

172 The experimental session comprised 400 trials, with opportunity for self-paced breaks. To 173 initiate each trial, the participant made a reaching movement, sliding their right arm to position a 174 white cursor (diameter of 0.5 cm) inside the home position, indicated by a solid white circle at the 175 center of the screen. After maintaining this position for 400 ms, the start circle turned green and 176 three bandits appeared on the screen (positioned at a radial distance 8 cm from the center at 90°, 177 210° and 330° degrees relative to the origin). The bandits were colored light blue, dark blue, or 178 purple and the color-position mappings were maintained for the entire experiment (randomized 179 across participants).

180 Following the appearance of the 3 bandits, participants had 2 seconds to initiate a reaching 181 movement. If the reaction time (RT) was greater than 2 s, the trial was aborted and the message 182 "Too Slow" appeared. After movement onset, participants had 1 s (Movement Time; MT) to 183 complete a rapid straight-line "shooting" movement through one of the bandits. Upon movement 184 initiation, the cursor indicating hand position disappeared and did not reappear until feedback 185 presentation. If the movement was not completed within the required 1 s window, the trial was 186 terminated and the error message "Too Slow" was displayed. If the movement was completed 187 within the 1 s window, there were three possible outcomes: If the movement was accurate (hand 188 passed through the bandit) the cursor was displayed within the spatial extent of the bandit. On 189 these trials, there were two possible outcomes: (1) The bandit could turn green, indicating that a 190 reward would be earned for the trial (reward outcome), or (2) the bandit would turn red, indicating 191 that, while the movement was accurate, no reward would be given on that trial (selection error). If 192 the movement missed the bandit, a cursor would appear indicating the position when the hand was 193 at the radial distance of the bandits, and thus indicate if the execution error was clockwise or 194 counterclockwise relative to the target. The bandit would turn yellow, further signaling an execution 195 error. Participants were informed of the three possible outcomes prior to the start of the experiment 196 and presented with demonstrations of the three outcomes.

Following McDougle et al. (McDougle et al., 2019), each bandit had its own fixed
probabilities for the three trial outcomes. All bandits had a 40% reward outcome, and thus, the
expected value for the three bandits were identical. However, the frequency of selection error and

execution error trials varied. For one bandit, 50% of the trials resulted in execution errors and 10%
resulted in selection errors. We refer to this as the "High Execution/Low Selection Error" bandit. A
second bandit resulted in execution errors on 10% of trials and 50% resulted in selection errors (a
"Low Execution/High Selection Error" bandit). A third, "Neutral" bandit produced an equal number
(30%) of execution and selection errors.

205 To achieve these probabilities, outcomes were surreptitiously perturbed so that they aligned 206 with predetermined feedback (a randomized sequence for each run) for the selected bandit. On 207 trials in which the actual movement produced the desired outcome in terms of hitting or missing the 208 bandit, the cursor was shown at its veridical position. However, if the participant's movement 209 missed the bandit, but the trial outcome was set as either a reward or selection error (i.e., 210 outcomes requiring successful motor execution), the feedback showed the cursor landing inside 211 the bandit, albeit near the side consistent with the actual hand position. Conversely, where a trial 212 was set to be an execution error, but the stylus successfully intersected the bandit, the cursor was 213 shifted just outside the bandit, with the side again consistent with the actual hand position (e.g., if 214 the hit was slightly clockwise to the center of the bandit, the cursor appeared outside the spatial 215 boundary of the bandit on the clockwise side). On trials in which feedback needed to be perturbed 216 (i.e., deliver a false hit or false miss) to control the frequency of outcomes, the cursor position was 217 shifted by randomly sampling from a normal distribution $(\pm 6.24^\circ, \text{ equivalent to } .5 \text{ cm with an 8 cm})$ 218 reach) until a new cursor position was chosen that landed inside the bandit (for false hits) or 219 outside the bandit (false misses).

220 We included three further constraints to minimize the likelihood that participants would 221 recognize that the outcomes were not always directly reflective of their movements: (i) No online 222 movement feedback was available; (ii) end-point feedback was presented 1 s after the stylus had 223 passed the bandit location (this also helped reduce the impact of motor artefacts contaminating the 224 ERP); and (iii) if the actual reaching angle was greater than 10° from the closest bandit on any trial 225 (irrespective of the set outcome), no outcome was shown, the experiment software instructed 226 participants to "Please Reach Closer to the Bandit." Trials in which the movement was not 227 completed within 1 s of the onset of the bandits or in which the reach angle was greater than 10° 228 from the closest bandit were repeated, ensuring a full data set of 400 trials for each participant.

To increase motivation, participants were told that at the end of the experiment the software would randomly select five trials, and based on the outcomes from these trials, a cash bonus between £1-5 would be provided. As such, the goal was to accumulate as many reward trials as possible. In actuality, all participants received a fixed payment of £10 for taking part in the experiment.

Finally, given that it is possible that the execution error feedback could be interpreted in different ways (for example, participants may have assumed these errors were the result of faulty technical equipment), participants were invited to complete a brief optional post-experiment survey where they were asked to rate their agreement with the statement "I felt that that the miss (yellow)

- 238 outcomes were the result of poor arm reaches" on a 7-point Likert scale, where a score of 7
- indicated strongly agree and 1 indicated strongly disagree. From 21 respondents, a mean score of
- 5.57 (SD = 1.6), which was statistically significantly different to the mid-point (neither agree nor
- disagree) on the scale (t(20) = 4.41, p < .001), indicated general agreement with the intended
- 242 experimental manipulation.
- 243 The experimental task was programmed using the Psychophysics Toolbox (Brainard, 1997;
- Kleiner et al., 2007) and lasted approximately 35 minutes, with an additional 25-30 minutes of
- technical set up for EEG data acquisition.



Figure 1- Experimental Task: (A) Participants moved a stylus on a tablet to make rapid shooting
movements (i) through one of 3 bandits (large circles) at 90°, 210° and 330° degrees relative to the
home position (small circle). Following a 1000 ms delay (not pictured), pseudo-veridical feedback (white
cursor) was provided indicating if the outcome was a reward (ii), a selection error (iii) or an execution
error (iv). (B) The hand was occluded throughout, and stimuli were presented on a monitor positioned in
front of the participants at approximately eye level.

253

254 Electrophysiological Data Recording and Preprocessing

255 EEG data were recorded continuously from 64 scalp locations at a sampling rate of 1024 Hz using 256 a BioSemi Active-Two amplifier (BioSemi, Amsterdam). Four electrooculograms (EOG) – above and below the left eye, and at the outer canthi of each eye - were recorded to monitor eve 257 258 movements. Two additional electrodes were placed on the left and right mastoids. The CMS and 259 DRL active electrodes placed close to the Cz electrode of the international 10-20 system served as 260 reference and ground electrodes, respectively. EEG pre-processing was performed using the 261 EEGLAB (Delorme & Makeig, 2004) and Fieldtrip (Oostenveld et al., 2011) toolboxes, combined 262 with in-house procedures running using Matlab (The MathWorks, Inc., Natick, Massachusetts). 263 All data were first re-referenced offline to the average of all channels, and downsampled 264 from 1024 Hz to 256 Hz. The continuous time series data were filtered using a high-pass filter with 265 a cut-off at 0.1 Hz (Kaiser windowed-sinc FIR filter, beta = 5.653, transition bandwidth = .2 Hz, 266 order = 4638) and a low-pass filter with a cut-off at 30 Hz (Kaiser windowed-sinc FIR, beta = 5.653, 267 transition bandwidth = 10 Hz, order = 126). A second filtering of the data was performed for 268 subsequent independent component analysis using a high-pass filter cut-off at 1 Hz (Kaiser 269 windowed-sinc FIR filter, beta = 5.653, transition bandwidth = 2 Hz, order = 4666). ICA typically 270 attains better decompositions on data with a 1 Hz high-pass filter (Winkler et al., 2015). The data

271 were segmented into epochs beginning 1s before and lasting 1s after the onset of feedback. 272 Infomax ICA, as implemented in the EEGLAB toolbox, was run on the 1 Hz high-pass-filter 273 epoched data, and the resulting component weights were copied to the .1 Hz high-pass-filter 274 epoched data. All subsequent steps were conducted on the .1 Hz high-pass-filtered data. 275 Potentially artefactual components were selected automatically using SASICA (Chaumon et al., 276 2015), based on low autocorrelation, high channel specificity, and high correlation with the vertical 277 and horizontal eye channels. The selections were visually inspected for verification purposes and 278 adjusted when necessary. After removal of artefactual components, the Fully Automated Statistical 279 Thresholding for EEG Artefact Rejection plugin for EEGLAB (Nolan et al., 2010) was used for 280 general artefact rejection and interpolation of globally and locally artefact contaminated channels, 281 supplemented by visual inspection for further periods of non-standard data, such as voltage jumps, 282 blinks, and muscle noise.

283 Following artifact-removal, 93.5% of total trials were available for analysis. There was no 284 difference in the percentage of trials removed across conditions (F (2, 56) = 2.09, p = .133). 285 However, as a product of the experimental design, there was a difference in the total number of 286 trials between the conditions (F (2, 56) = 85.2, p < .001), with more reward trials (μ = 150, ±9) 287 available for analysis relative to execution error ($\mu = 114, \pm 12; t(28) = 12.21, p < .001$) and 288 selection error trials ($\mu = 110, \pm 11$; t(28) = 13.89, p < .001). There was no difference in trial counts 289 for the two types of errors (t(28) = .82, p = .693). To increase the reliability of our conclusions by 290 addressing potential problems of distribution abnormalities and outliers, averaged waveforms were 291 constructed for each individual by taking the bootstrapped (n = 100.000) means from the EEG time 292 series epochs. The waveforms were baseline corrected using a 200 ms time window pre-feedback 293 onset.

294

295 **ERP Quantification**

296 Given that we had specific hypotheses, we focused our analysis on two locations. First, meta-297 analyses (Sambrook & Goslin, 2015; Walsh & Anderson, 2012) have shown the feedback-locked 298 FRN effect to be maximal over the frontocentral region of the scalp. As such, we averaged activity 299 across three frontocentral electrodes FC1, FCz, and FC2. Second, given that the P300 300 (specifically, the P3b sub-component) is commonly present in feedback-locked ERPs and typically 301 maximal over parietal electrodes (Polich, 2007), we averaged over electrodes P1, Pz, and P2. 302 Averaging across electrodes improves the signal-to-noise ratio of the ERP measures (Oken & 303 Chiappa, 1986).

To test whether our results might be biased by the specific configurations of electrodes included in the averaged cluster and use of bootstrapped waveforms, we calculated the similarity between four different approaches to calculating the ERPs: (i) grand averaged activity from the raw waveforms in the clustered electrodes, (ii) grand averaged activity from the bootstrapped waveforms in the clustered electrodes, (iii) grand averaged activity from raw waveforms from a single electrode (FCz for frontocentral analysis and Pz for parietal); and (iv) grand averaged
activity from bootstrapped means extracted from a single electrode. An intraclass correlation
coefficient indicated a high level of agreement between all four approaches (Frontocentral ICC =
.995, 95% CI 0.989- 0.997; Parietal ICC: = .996, 95% CI 0.994- 0.997). Clustered bootstrapped
averaged ERP waveforms are reported here.

314 With growing evidence that most of the variation in the FRN is driven by a reward positivity, 315 we decided to make use of difference waveforms for our analysis to detect differences irrespective 316 of whether they were driven by positive or negative deflections in the ERP (Krigolson, 2018). A 317 difference waveform procedure has the added benefit of more easily isolating the FRN from 318 components that precede (P2) and follow (a large P3 component comprising a frontal P3a and 319 parietal P3b), eliminating activity in common between two conditions (Kappenman & Luck, 2017). 320 The majority of research on the FRN has typically computed "reward prediction error" (RPE) 321 difference waveforms, derived by subtracting error/loss trials from reward trials (Sambrook & 322 Goslin, 2015). Here, we created a "Selection Error" difference waveform by subtracting the 323 average activity associated with Selection Error trials from the average activity related to all 324 Reward trials, and an "Execution Error" difference waveform by subtracting the average activity 325 associated with Execution Error trials from the average activity associated with Reward trials. 326 Finally, we directly contrasted Execution and Selection Error ERPs by subtracting the Execution 327 Error waveform from the Selection Error waveform to create an "Error Sensitivity" difference 328 waveform. For statistical analysis, the parent waveform outcome trials were subjected to a one-329 way ANOVA and where main effects emerged, one-sample t tests were conducted to identify 330 where these difference waveforms were significantly different to zero.

331 To reduce the number of false positives (Luck & Gaspelin, 2017), the ERP data were 332 downsampled to 250 Hz and only activity between 150 and 500 ms (spanning the P2, FRN and P3 333 ERPs) was analysed. For each analysis, p values were corrected by applying a false discovery 334 rate (FDR) control algorithm (Benjamini & Hochberg, 1995; Lage-Castellanos et al., 2010). The 335 Benjamin-Hochberg correction approach was adopted as previous studies have shown it to reliably 336 control the FDR when data are correlated, even when the number of comparisons are relatively 337 small (Hemmelmann et al., 2005). This method is also ideally suited for the exploration of focally 338 distributed effects (Groppe et al., 2011).

339 To aid the interpretation of the difference waveforms, we first visualised the grand averaged 340 ERPs related to each outcome. For every statistically significant contrast, we present the mean 341 amplitude from the cluster for each parent waveform. Differences between relevant conditions at 342 each electrode site are also visualized through topographical maps to support interpretation of 343 underlying components: Predicated on previous research (Walsh & Anderson, 2012), we 344 anticipated that the FRN should show a frontocentral topography and, following an early 345 frontocentral peak, there would be a subsequent posterior maximum corresponding to the P3b 346 sub-component of the P300 (Holroyd & Krigolson, 2007).

347 Brain-Behavior Relationships

A key question in this study is whether electrophysiological signatures of different types of outcomes correlate with the participants' choice behavior (see San Martín, 2012 for a review). Based on a reinforcement learning account of the FRN (Holroyd and Coles, 2002), we would expect the amplitude of the FRN to scale with the degree of behavioral adjustment: large differences in the FRN should be more likely to lead to changes in choice behavior compared to small differences in the FRN. Here we can ask this question with respect to both selection and execution errors.

To examine brain-behavior correlations, we calculated a behavioral adjustment score, or "Switch Bias" rate, for each participant (operationalized as the ratio of the percentage of trials that the participant switched following an error to the percentage of switching following a reward). This served as an intuitive index of how much participants favored one outcome over another. Mean amplitudes from the statistically significant clusters of EEG activity were then correlated with these behavioral adjustment scores.

361 Rather than signaling a need to switch from one target to another, feedback from Execution 362 Errors might be more readily used to modify a motor plan for future action. To quantify the 363 magnitude of cursor error, we calculated the angular deviation of the cursor relative to the center of 364 the selected target. Hand error was calculated as the position of the hand relative to the center of 365 the selected target and was different to cursor error only on trials with perturbed outcomes. The 366 degree of motor correction was examined on a subset of data where participants selected the 367 same target on consecutive trials and quantified as the degree of angular change in hand position 368 relative to cursor position on the previous outcome. Mean cursor error and motor correction scores 369 were correlated with mean amplitudes from the previously identified statistically significant clusters 370 of EEG activity.

371

372 Statistical Analysis

- 373 For reporting purposes, time points are rounded to the nearest millisecond, amplitude (in
- 374 microvolts; µV) to two decimal places and p values to three decimal places. The range for the
- 375 scalp maps was time-interval specific and determined by the 1st and 99th percentile values across
- 376 all electrodes. Spearman's rho (r_s) was used to examine correlations between amplitude and
- 377 behavior. For correlations between behavior and neural activity, peak and mean amplitudes were
- 378 extracted. Both are reported and the strongest correlations are visualized. Where appropriate,
- pairs of correlations were directly compared with Hittner, May, and Silver's (2003) modification of
- 380 Dunn and Clark's (1969) approach, using a back-transformed average Fisher's Z procedure as
- implemented in the R package Cocor v. 1.1-3 (Diedenhofen & Musch, 2015). The statistical
- 382 significance threshold was set at p < .05. Generalized eta squared (η_G^2) is used as a measure of
- 383 effect size for repeated measures ANOVAs. This measure was selected over eta squared and
- 384 partial eta squared because it provides comparability across between- and within-subjects designs
- (Bakeman, 2005; Olejnik & Algina, 2003); we considered $\eta^2_g = 0.02$ to be small, $\eta^2_g = 0.13$ medium
- and $\eta^2_g = 0.26$ to be a large effect size. All statistical analyses were performed using R (R Core
- 387 Team, 2015).

388 Results

389 Behavioral Responses

390 A one-way ANOVA revealed a significant difference in bandit preference (F [2, 56] = 8.27, p < .001, 391 n_{q}^{2} = .23), with participants exhibiting bias towards the High Execution/Low Selection Error bandit. 392 Overall, this bandit was chosen on average on 39% (SE = 2%) of the trials, which was significantly 393 greater than the Low Execution/High Selection error bandit (M = 29%; SE = 1%; t(28) = 4.03, p = 394 .001) and Neutral bandit (M = 32%; SE = 2%; t(28) = 2.58, p = .046), with no difference for the 395 latter two (t(28) = 1.07, p = .877). Consistent with previous work, when expected value is equal, the 396 data show that participants prefer choices in which unrewarded trials are attributed to errors in 397 movement execution rather than errors in action selection (Parvin et al., 2018; Green et al., 2010; 398 Wu et al., 2009).

We then examined the effect of the different outcomes on the subsequent choice, asking how they influenced switching behavior (**Figure 2**A). Participants exhibited high switching rates overall (54%), but the rate differed according to outcome type (F [2, 56] = 10.23, p < .001, η^2_g = .11). Switching was highest following selection errors (M = 66%; SE = 5%) and markedly lower following execution errors (M = 42%, SE = 5%; t(28) = 5.22, p < .001). This difference is consistent with the hypothesis that motor errors attenuate value updating, perhaps because participants believe they have more control to correct for execution errors (Parvin et al., 2018).

406 Interestingly, switch rates following rewarded trials fell between the other two outcome 407 types (M = 55%, SE = 6%). There was no difference between switch rates following reward relative 408 to selection errors (t(28) = 1.85, p = .227) or execution errors, although the latter approached 409 significance (t(28) = 2.46, p = .062, following Bonferroni correction). The fact that many participants 410 (18 of 29) were so prone to switching after a rewarded outcome and even more so (numerically) 411 than after an execution error was unexpected. The high switching rates would suggest a bias 412 towards exploratory behavior in this task- which might have been promoted by the relatively low 413 rewards and/or the highly probabilistic nature of the outcomes (Cohen et al., 2007; Daw et al., 414 2006). Notably, there were very large individual differences in the treatment of the outcomes: 415 Switch rates ranged from 3% to 98% following rewards, 7%-99% following selection errors and 416 4%-81% following execution errors.

417

418 ERP Responses

419 Our primary aim was to examine whether selection and execution errors could be reliably

420 distinguished in outcome-locked ERPs. To start, we ran an exploratory 3 (Bandit Type: High

421 Execution/Low Selection Error vs. Low Execution/High Selection Error vs. Neutral) X 3 (Outcome:

422 Reward vs. Selection Error vs. Execution Error) ANOVA at each time point for the frontocentral and

- 423 parietal clusters. The main effect of Bandit Type was not significant (p's \geq .702) and there was no
- 424 Bandit Type X Outcome interaction (p's \geq .671). Thus, we collapsed across the three bandits in

425 our primary analyses of the three outcomes, allowing us to avoid increasing the family-wise error426 rate.

427 The grand averaged ERPs related to each outcome are shown in Figure 2B and 2C. F 428 tests revealed two significant clusters in the frontocentral region between 156 -180 ms and 210-429 336 ms, and three clusters in the parietal region (176-196 ms; 218-239 ms; and 355-438 ms). 430 Descriptively, the first cluster in the frontocentral region was driven by a delay in the onset of an 431 initial P200-like signal following an execution error, and the second cluster incorporated FRN 432 deflections following selection and execution errors, along with subsequent positive deflections, 433 likely reflecting the P3a subcomponent of the P300 signal (Polich, 2007). The early two clusters in 434 the parietal region reflect shifts in the latency and amplitude of the execution error ERP, with the 435 third cluster driven by the attenuation of the P3b subcomponent of the P300 following selection 436 errors.





Figure 2- Behavioral Responses and ERP Grand Averages. (A) Switching rates following the three trial outcomes. Participants were more likely to repeat a choice (indexed by lower switch rates) following execution errors relative to selection error feedback. Error bars represent ±1 SEM. Feedbacklocked ERPs for each outcome type, recorded from (B) frontocentral and (C) parietal electrode clusters. Zero on the abscissa indicates feedback onset. The green shaded regions indicate the significant clusters identified in the mass univariate analysis. Pairwise differences in these clusters are visualized in Figures 3-5 through the comparison of difference waveforms.

445

Figure 3A depicts the Selection Error difference waveform, derived by subtracting the Selection Error waveform from Reward ERPs for the frontocentral cluster (shown in Figure 2B) and shows a statistically significant cluster of time points between 242-336 ms (one-sample t-tests of the difference wave against zero). An examination of the scalp topography of the first (242-289 ms) and second half of this window (289- 336 ms) indicated a clear frontocentral maximum in the early phase, followed by a shift towards centroparietal maximum in the later part of the window (Figure 3B).

In line with the reinforcement learning account of the FRN, there was a relationship between neural activity and behavior. Specifically, amplitude (mean: $r_s = -.483$, p = .009; peak : $r_s =$ -0.36, p = .052; **Figure 3C**) from the early part of the cluster (capturing the FRN) negatively

- 456 correlated with behavioral adjustment: The larger the difference waveform (i.e., greater negative
- deflection for selection errors relative to rewards), the greater the bias for the participant to switch
- to a different bandit following a selection error outcome relative to a reward outcome. We note that
- 459 one participant had a switch rate score of -0.87, which was 2.97 standard deviations away from the
- 460 mean. Re-running the analysis without this participant showed a weaker relationship, but the
- 461 pattern remained statistically significant (mean: $r_s = -.39$, p = .042; peak: $r_s = -.34$, p = .074).
- The topographical map (**Figure 3**C inset) demonstrates that this effect was localized to the frontocentral region. We found no evidence for such a relationship in the later, P3a, part of the time window ($r_s = -.08$, p = .672; **Figure 3**D). The mean FRN and P3a correlations were marginally different from one another (z = 1.96, p = .05), providing support that the FRN, but not the P3a, is a reliable correlate of behavior change.



467

468 Figure 3- Selection Error in the Frontocentral Cluster: (A) The Selection Error waveform, defined as the difference in the ERPs on trials resulting in selection errors and rewards. The green shaded regions 469 470 indicate significant clusters for this contrast and the grey shaded regions indicate where the clusters 471 identified in the original time-series analysis did not reach statistical significance for this difference 472 waveform. Zero on the abscissa indicates feedback onset. (B) Mean amplitudes for the early and late 473 phases of the statistically significant clusters, with insets showing scalp maps of the distribution of 474 differences across sites for each time interval. Selection Error difference waveform amplitude (shown 475 on the ordinate, where negative values indicate more negative amplitude for selection errors relative to 476 reward) correlated with an increase in the Switch Bias score (shown on the abscissa, where positive 477 values indicate more switching following selection errors relative to reward) at a time interval 478 corresponding to the FRN (C), but not the P3 (D). The insets show scalp maps of the distribution of 479 amplitude differences across sites, revealing a frontocentral maxima for the FRN correlation.

480

481 Execution Errors

482 To examine the electrophysiological correlates associated with unrewarded outcomes attributed to

483 motor execution errors, we performed similar analyses, but now focus on the comparison between

- 484 execution error trials and reward trials (the Execution Error difference waveform- the result of
- subtracting the Execution Error ERP from Reward ERPs in the frontocentral cluster shown in

Figure 2B). This comparison revealed two statistically significant clusters- one ranging from 156180 ms and a second between 207-325 ms (Figure 4A).

The first cluster showed an amplitude reduction in response to Execution Errors relative to reward trials. Similar to the Selection Error waveform result, we expected the second cluster would be contaminated by a P3a signal. Thus, we followed the same protocol, splitting this cluster into two equal intervals – (i) an early phase marked by the time interval 207-266 ms; and (ii) a later phase for activity between 266-325 ms. There was a clear frontocentral distribution for the early

493 phase, and in the later time window, a shift towards centroparietal electrodes (**Figure 4**B).

494 We next examined the relationship between these three epochs (156-180 ms; 207-266 ms; 495 266-325 ms) and behavioral adjustment (Figure 4C-E). The peak amplitude difference in the 496 earliest interval (156-180 ms) correlated positively ($r_s = 0.37$, p = .05) with switching rates following 497 an execution error relative to reward. Following execution errors, smaller peaks in the 156-180 ms 498 time window were associated with a lower tendency to switch. Note that this pattern is opposite to 499 that observed between the amplitude of the FRN and behavioral adjustments following selection 500 errors. The mean amplitude measure had a similar pattern of results, but was not significant (rs = 501 0.35, p = .065). An examination of topography revealed this correlation to be maximal in the 502 frontocentral cluster, suggesting that smaller amplitudes in response to execution errors early in 503 the feedback processing stream are associated with a higher tolerance to this outcome.

In contrast to the results for Selection Errors, the FRN captured in the 207-266 ms time window did not correlate with behavioral adjustment ($r_s = .07$, p = .722). We tested, and confirmed, that this correlation was reliably different to the correlation observed for Selection Errors in the FRN time interval (z = 2.40, p = .016). There was no correlation between the Execution Error waveform in the P3a time window (266-325 ms) and behavioral adjustment ($r_s = .22$, p = .258).



510 Figure 4- Execution Error in the Frontocentral Cluster: (A) The Execution Error difference 511 waveform, defined as the difference amplitude for execution error and reward ERPs. The green shaded 512 regions indicate clusters showing statistically significant differences. Zero on the abscissa indicates 513 feedback onset. (B) Mean amplitudes for the early and late phases of the significant clusters. (C) The 514 Execution Error difference waveform amplitude (shown on the ordinate, where positive values indicate 515 larger amplitude for execution errors relative to reward) positively correlated with an increase in the 516 Switch Bias score (shown on the abscissa, where positive values indicate more switching following 517 execution errors relative to reward) in this early time window, but there were no correlations in the later 518 time windows (D & E).

519

509

520 We conducted the same analysis for the Execution Error waveform in the parietal cluster of 521 electrodes. Execution errors elicited smaller amplitude responses relative to rewards in an early 522 time window (176-196 ms) but elicited larger amplitude responses at 218-239 ms post feedback. In 523 the later time window, there was a positive correlation between amplitude and behavior ($r_s = .47$, p 524 = .01) in the posterior region, suggesting a shift from frontocentral to parietal regions in the 525 processes driving behavioral adjustment (Dhar & Pourtois, 2011; Overbeek et al., 2005). 526 Interestingly, and unexpectedly, the amplitude of the P3b subcomponent of the P300 signal-527 proposed to reflect the revision of internal forward models in posterior parietal cortex (Krigolson & 528 Holroyd, 2007a) showed no difference in the processing execution errors and rewards (see Figure 529 **2**C) and there was no relationship with behavioral adjustment ($r_s = -0.01$, p = .946).

530 Error Sensitivity Difference Waveform

As described in the previous two sections, when using a common baseline (rewarded trials), we observed differences in both the ERP results and correlational analysis between unrewarded trials that were attributed to failures in movement execution or action selection. We performed a direct comparison between these two types of unrewarded outcomes by analyzing an Error Sensitivity difference waveform, subtracting the ERP for selection errors from the ERP for execution errors (see **Figure 2B** for the parent waveforms).

In the frontocentral cluster there was a significant difference in the range of the FRN (222250 ms; Figure 5 A, B). We had anticipated that the amplitude of the FRN would be attenuated
following execution errors, assuming a lower response would be reflective of reduced value
updating (McDougle et al., 2019). However, the observed effect was in the opposite direction:
Execution errors elicited a larger FRN deflection, relative to selection errors.

We also examined whether the magnitude of this difference correlated with the "Switch Bias" rate. For this measure, the proportion of switches following execution errors was subtracted from the number of switches made following selection errors. Note that these values range from 0 to -0.91, due to the fact no participants produced more switches following execution errors relative to selection errors. Although the parent waveforms for this correlation are included in the previous analyses, the EEG activity in this analysis is specific to the range 220-250 ms, the window in which the error outcome ERPs differed significantly.

There was no relationship between mean amplitude in this window and Switch Bias ($r_s = .23$, p = .23). However, the peak negative amplitude revealed a positive correlation with Switch Bias ($r_s = .41$, p = .026; **Figure 5**C). Participants who had relatively similar switching rates to the two unrewarded outcomes had smaller FRN differences, while individuals with a large negative bias (i.e., less switching after execution errors) also exhibited larger FRN amplitudes for motor execution errors relative to selection errors. This correlation was maximal in frontocentral sites (**Figure 5**C inset).





557 Figure 5- Error Processing Differences in the Frontocentral Cluster: (A) The Error Sensitivity 558 difference waveform, calculated by subtracting ERPs for selection error from execution error ERPs. The 559 green shaded region indicates the single cluster in which there was a significant difference for this 560 contrast and the grey shaded regions indicate where the clusters identified in the original time-series 561 analysis did not reach statistical significance in this comparison. Zero on the abscissa indicates 562 feedback onset. (B) Mean amplitudes for the early and late clusters indicated by shaded regions in 563 panel A. Inset scalp maps show topographical distribution for each cluster. (C) Peak amplitude difference in the FRN (shown on the ordinate, where negative values indicate a larger negative 564 565 deflection for execution errors relative to selection error) correlated with a larger Switch Bias score 566 (shown on the abscissa, where larger negative values indicate more switching following selection error 567 relative to execution error). Note that no participants showed higher rates of switching following 568 execution error relative to selection error. This correlation shows that as the similarity in the behavioral 569 response to execution and selection error increased, amplitude differences in the processing of 570 execution and selection error decreased.

571

572 Examining the parietal cluster revealed no differences in the earliest interval (176-196 ms).

573 However, differences emerged in the 218-239 ms and 359-445 ms epochs, with larger positive

amplitudes for execution errors relative to selection errors. The mean amplitude across each of

- 575 these clusters (218-239 ms and 359-445 ms) was not correlated with the behavioral adjustment
- 576 scores ($r_s \le .179$, p's $\ge .352$).

577 Kinematic Analysis

To gain a deeper understanding of the relationship between brain activity and task performance, we examined correlations between task kinematics and the statistically significant periods identified in the time series analysis in the frontocentral and parietal difference waveforms. We reasoned that, in contrast to Selection Errors, where there was a relationship between FRN amplitude and choice selection, the Execution Error FRN may instead be encoding information about cursor position and subsequent movement correction.

In the first analysis, we examined whether there was a relationship between cursor error (the presented position of the cursor shown to participants at the end of the movement) magnitude and ERP activity. There were no reliable correlations between the mean activity of the statistically significant clusters in the difference waveforms and corresponding differences in cursor error magnitude (Execution Error: $r_s \le 0.228$, p's ≥ 0.233 ; Selection Error: $r \le 0.176$, p's $\ge .359$; Error Sensitivity: $r_s \le 0.152$, p's $\ge .429$).

590 In the second analysis, we asked whether ERP amplitude on the current trial would 591 correlate with the degree of motor correction on subsequent trials. Here, we restricted analysis to 592 the subset of trials in which participants chose the same target consecutively. The amount of motor 593 correction in response to feedback (computed as the mean absolute change in end-point veridical 594 hand position relative to the cursor position on the previous trial), varied as a function of Feedback (F (2, 56) = 75.37, p <.001, η^2_q = .66). As both outcomes indicated a successful movement, we 595 596 expected, and found, no difference (t(28) = 0.47, p > .999) in the subsequent degree of correction 597 for Selection Error (M = 3.73°, SE = 0.15°) and Reward (M = 3.64°, SE = 0.17°) trials. In contrast, 598 Execution Error, signaling a need to change one's motor response to hit the target (M = 6.53° , SE 599 = 0.22°) had higher rates of correction relative to both Selection Error (t(28) = 8.95, p < .001) and 600 Reward (t(28) = 8.95, p < .001) outcomes. Despite these behavioral differences, there were no 601 correlations between mean activity of the statistically significant clusters in the difference 602 waveforms and relative differences in the magnitude of subsequent motor corrections (Execution 603 Error: $r_s \le -0.239$, p's ≥ 0.211 ; Selection Error: $r_s \le -0.328$, p's ≥ 0.083 ; Error Sensitivity: $r_s \le .152$; 604 p's ≥ 0.429).

To ensure that we did not miss any potential sensitivity to task kinematics in other time ranges, we undertook an exploratory search of the full time series data by correlating cursor error and motor correction with mean amplitude from 150ms to 500ms. 608 We found no correlations between ERP difference waveforms and Cursor Error in the 609 frontocentral (p's \geq .45) or parietal sites (p's \geq .75) following correction. We also note, with a 610 degree of caution given the corrected p values were not significant, that there was one statistically 611 significant pattern prior to correction- a positive correlation between the Error Sensitivity difference 612 waveform and Cursor Error ($r_s = .43, 406 \text{ ms}$). In correlating motor correction rates with ERP 613 amplitude, we found no significant relationships in the frontocentral cluster (p's \geq .454). Here, we 614 noted that the strongest relationship ($r_s = .456$) was a positive one between motor correction and 615 the Error Sensitivity difference waveform at 164 ms – a pattern that was sustained across 156-174 616 ms. As participants made larger degrees of correction following Execution Errors relative to 617 Selection Errors, they also exhibited greater amplitude. In the parietal cluster, we found no reliable 618 patterns of activity following (p's \geq .97) or prior to correction (p's \geq .1).

619 **Perturbation Awareness**

620 In a final set of explorations, we examined whether participants were sensitive to the feedback 621 manipulation that had been applied to control the frequency of our three outcomes. In almost half 622 the trials (M = 47.8%, SE = 0.01%) we delivered perturbed instead of veridical feedback (52.2%, 623 SE= 0.01%). We had taken measures to minimize the likelihood of participants booming aware of 624 these changes (e.g., no online movement feedback was provided, and end-point feedback was 625 presented 1 s after the stylus had passed the bandit) and in a post-experiment survey, participants 626 indicated that they believed execution error outcomes to be the result of poor reaches, suggesting 627 no explicit awareness of the manipulation. Nevertheless, we did find differences in cursor error 628 (Figure 6A), as revealed through a 3 (Outcome: Reward vs. Selection Error vs. Execution Error) X 629 2 (Veracity: Veridical vs. Perturbed) interaction (F (2, 56) = 27.4, p < .001, η^2_q = .25). In all cases, 630 cursor error was largest in the Veridical trials, but the effect was greatest for Reward (Veridical M = 631 1.68°, SE = 0.02°, Perturbed M = 0.98°, SE = 0.01°; t(28) = 26.83, p < .001) and Selection Error 632 (Veridical M = 1.72°, SE = 0.02°, Perturbed M = 0.97°, SE = 0.02°; t(28) = 30.95, p < .001) 633 outcomes, with differences of 0.7° and 0.75° respectively. For Execution Error, there was a visual difference of 0.27° (Veridical 5.99°, SE = 0.07°, Perturbed M = 5.72°, SE = 0.04°; t(28) = 3.5, p = 634 635 .045).

636 In examining hand error (position of the hand relative to the center of the target), we found a Veracity X Outcome interaction (F (2, 56) = 4770.99, p <.001, η^2_{α} = .981; **Figure 6**B). Veridical 637 638 Execution Error trials ($M = 5.99^\circ$, $SE = 0.07^\circ$) were not statistically significantly different to 639 perturbed Selection Error (M = 5.90°, SE = 0.07°; t (28) = 1.08, p = .886) and perturbed Reward trials (M = 5.93° , SE = 0.07° ; t (28) = 1.09, p = .881). Similarly, there was no difference in hand 640 641 error for perturbed Execution Error trials (M = 1.75°, SE = 0.02°) compared to veridical Selection 642 Error (M = 1.72°, SE = 0.02°; t (28) = 0.998, p = .915) and veridical Reward trials (M = 1.68°, SE = 643 0.02° ; t (28) = 2.41, p = .188).

644 Participants did not alter their behavioral strategy in response to feedback perturbations 645 (Veracity: F(1, 28) = 0.899, p = .351, $\eta^2_q = < .01$).; Veracity X Outcome: F(2, 56) = 1.42, p = .251, 646 $\eta^2_g < .01$; **Figure 6**C). However, a suggestion that they might have been implicitly sensitive to 647 these differences is indicated by the degree of motor correction following veridical and perturbed 648 feedback (Figure 6D). One participant had no stay trials following perturbed feedback in this 649 subset of data and was excluded from this analysis. In the remaining participants, we observed an 650 Outcome X Veracity interaction (F (2, 54) = 4.49, p = .016, η_{g}^{2} = .04). There were no differences in 651 the degree of motor correction following Execution Error (Veridical M= 6.3°, SE = 0.19°, Perturbed 652 $M = 6.84^{\circ}$, $SE = 0.32^{\circ}$; t(27) = 2.07, p = .718), but greater corrections (Reward: Veridical M= 2.92°, 653 SE = 0.13°, Perturbed M = 4.28°, SE = 0.26°; t(27) = 4.56, p <.001; Selection Error: Veridical M= 654 3.02° , SE = 0.20°, Perturbed M = 4.62°, SE = 0.17°; t(27) = 6.30, p < .001) followed false hits trials. 655 These positively surprising outcomes (real reaches had missed the target on these trials, hence 656 the perturbation) may have prompted overcompensation as participants sought to calibrate their 657 movements to task feedback.

Given these differences, we explored the extent to which the ERP signal was sensitive to the veracity of the feedback. We re-ran the ERP time-series analysis, performing a 3 (Outcome: Reward vs. Selection Error vs. Execution Error) X 2 (Veracity: Veridical vs. Perturbed) at each time point for the frontocentral and parietal clusters. There were no statistically significant main effects of Veracity (F's \leq 6.99, p's \geq .397) and no Outcome X Veracity interactions (F's \leq 2.55, p's \geq .79) in the frontocentral cluster and similarly, no main effects (F's \leq 5.42, p's \geq .853) or Veracity X Outcome interactions (F's \leq 1.83, p's \geq .986) in the parietal cluster.

665 We then explored whether there were any differences in the relationship between ERP 666 activity and kinematic adjustment as a function of Feedback Veracity. As perturbed feedback 667 elicited larger corrective movements than veridical, we speculated that an ERP signal sensitive to 668 positive surprise may scale in response to this behavior for Selection and Execution error trials. To 669 explore this idea, a difference wave subtracting perturbed ERP amplitude from veridical was 670 computed. The amplitude of this "Perturbation Difference" waveform was correlated with (i) the 671 mean difference in cursor error for veridical and perturbed feedback per outcome; and (ii) the mean 672 difference in degree of correction following veridical relative to perturbed feedback per outcome.

673 In analysing the relationship between the Perturbation Difference waveform and Cursor 674 Error in the frontocentral cluster, we found no correlations that survived correction for multiple 675 comparisons (p's \geq .616). However, in the parietal cluster, the Selection Error waveform strongly 676 correlated with Perturbation Difference amplitude at 273 ms ($r_s = -0.62$, p = .011; Figure 6E), 677 indicating a sensitivity to discrepancies between actual and presented hand position. Specifically, 678 this correlation shows that for participants with larger veridical errors, perturbed feedback elicited 679 larger positive amplitudes in a manner consistent with the P300 signaling surprise (Donchin, 1981; 680 Nassar et al., 2019). The Error Sensitivity difference waveform showed a similar pattern but did not 681 reach the significance threshold after correction ($r_s = -.47$ at 343 ms). The pattern for Execution 682 Error was reversed, with the strongest correlation observed later (r_s = .45 at 492 ms)- with 683 amplitude highest when both cursor error and amplitude were higher in the veridical condition 684 relative to the perturbed condition. However, this too was not significant following correction.

685 In terms of the relationship between perturbation amplitude differences and the degree of 686 motor correction, there were no significant effects in the frontocentral ($p's \ge .120$) or parietal 687 clusters (p's \geq .82). With the same note of caution for non-significant correlations offered above, 688 two patterns suggest a further dissociation in the processing of selection and execution error: In 689 the time frame of the FRN, there was a relationship between frontocentral amplitude of the 690 Perturbation Difference waveform and motor correction ($r_s = -.542$ at 289 ms). Here, greater 691 corrective movements in response to perturbed feedback correlated with larger differences in the 692 FRN; and (ii) later in the window, the Perturbation Difference waveform for Execution Errors 693 positively correlated ($r_s = .52$ at 335 ms) with the degree of motor correction, indicating that larger 694 cursor error corrections in response to perturbed feedback have correspondingly larger amplitudes 695 for perturbed feedback in the time range of the P3a. Despite the finding that Selection Error, like 696 Reward, resulted in adaptation following perturbed relative to veridical outcomes, no relationship 697 was observed, with the strongest effect at 420 ms ($r_s = -.299$).

698 Finally, as an alternative to averaging over perturbed and veridical trials, we correlated the 699 degree of perturbation on a single trial, computed as the difference between hand error and cursor 700 error (which was zero on veridical trials, a positive value on trials where the cursor was shown to 701 be closer to the target than the hand position and a negative value when the cursor position was 702 shown to be further away from the target relative to hand position) with amplitude in the 703 frontocentral and parietal clusters at each time point in the ERP per outcome for every participant. 704 We did not find any general patterns to indicate a sensitivity to perturbation magnitude. In the 705 frontocentral cluster, one participant showed a positive correlation between perturbation and the 706 processing of Reward (between 152-172 ms and 254-289 ms), another showed a correlation for 707 Execution Error trials (between 70-86 ms, 110-137 ms, 188-204 and 289-500ms) and two 708 participants showed positive correlations for Selection Error. The first had a positive correlation 709 between 453-457 ms and the second had a positive correlation in multiple clusters across the 710 whole time series (between 4-11 ms, 31-90 ms, 117-188 ms, 258-277 ms, and 460 -477 ms). In

- 711 the parietal cluster, no relationships emerged for Reward or Execution Error, with two participants
- showing positive correlations between the degree of perturbation and the processing of Selection
- Fror: one between 340-356 ms and a second participant between 289-317 ms and 382-500 ms.
- 714



715

716 Figure 6- Feedback Perturbation and Awareness: (A) Cursor error was larger for veridical 717 feedback relative to perturbed; (B) There was no difference in the magnitude of hand error for 718 perturbed selection and reward error trials relative to veridical execution error trials and no 719 difference between perturbed Execution Error trials compared to veridical Selection Error and 720 Reward trials; (C) Despite smaller cursor error, participants made larger corrections in response to 721 perturbed feedback, with the pattern most pronounced for false hits; (D) Perturbed feedback did not impact on the likelihood of switching bandits; (E) Amplitude differences between perturbed and 722 723 veridical feedback in the Parietal cluster for Selection Errors at 273 ms (shown on the ordinate. 724 where positive values indicate larger amplitude for veridical relative to perturbed outcomes) 725 correlated with magnitude of the difference in cursor error for these outcomes (shown on the 726 abscissa, where positive values indicate larger veridical cursor errors relative to perturbed).

727 Discussion

728 Adaptive behavior necessitates distinguishing between outcomes that fail to produce an expected 729 reward due to either the selection of the wrong action plan or poor motor execution. Although the 730 majority of decision-making research, in neuroscience as well as economics, have focused almost 731 exclusively on the former, a few studies have shown that failed outcomes attributed to 732 sensorimotor errors can markedly biases choice behavior (Green et al., 2010; McDougle et al., 733 2016, 2019). Here, we examined this issue by asking how an ERP signature of reinforcement 734 learning, the Feedback-Related Negativity/Reward Positivity (FRN), varied in response to selection 735 and motor errors. Predicated on the theory that the FRN is a scalp-related prediction error (Holroyd 736 & Coles, 2002), we tested the hypothesis that errors attributed to failures in execution should lead 737 to an attenuation in the FRN.

738 Consistent with our expectations, selection errors elicited a larger FRN relative to reward 739 outcomes. Moreover, in line with a reinforcement learning account, the amplitude of the FRN 740 following selection errors was negatively correlated with the probability that participants switched 741 between the response options following feedback. Behaviorally, participants showed lower switch 742 rates following execution errors, a pattern consistent with the hypothesis that the reinforcement 743 learning system discounts these errors (McDougle et al., 2019). However, contrary to the 744 prediction that FRN amplitude would be attenuated following execution errors, these errors actually 745 produced the largest FRN. A striking difference between the ERPs in response to selection and 746 execution error was that the amplitude of the FRN following selection errors was predictive of 747 behavioral biases and learning, whereas this ERP response following execution errors did not 748 correlate with these variables.

While almost all participants were more likely to switch after a selection error compared to an execution error, the differential response (i.e., difference in switch rates) to these two error outcomes varied considerably across participants. Moreover, this behavioral difference was correlated with the neural response to the two types of feedback: The more similarly participants treated the two outcomes at a behavioral level, the smaller the difference in FRN amplitude in response to these outcomes.

755 These findings could be reconciled by considering the top-down mechanisms that may 756 modulate how execution errors are processed. Behavioral experiments have shown that a sense of 757 agency related to the perceived ability to correct for motor errors biases choice behavior (Parvin et 758 al., 2018). In the present experiment, the finding that participants persevered with a bandit 759 following execution error but switched more often following selection errors also points towards 760 differences in agency. Previous work on the FRN has shown that outcomes that can be controlled 761 lead to a more negative FRN than those that cannot (Sidarus et al., 2017) and the FRN is 762 attenuated in the absence of actively performed actions (Donkers et al., 2005; Donkers & van 763 Boxtel, 2005). The finding that execution errors produced a larger FRN relative to selection error is 764 consistent with the presumed greater sense of agency associated with this type of unrewarded

765 outcome.

766 A recent fMRI experiment using a 3-arm bandit task similar to that employed here, revealed 767 an attenuation of the signal associated with negative reward prediction error in the striatum 768 following execution failures (McDougle et al., 2019). Our observation of a larger negative deflection 769 for execution error trials in the FRN may appear contrary to these previously reported striatal 770 results. However, the fMRI investigation did show increased ACC activity in response to execution 771 errors compared to selection errors, suggesting that the former have their own neural signature. 772 With regards to the EEG response, there have been a number of studies reporting FRN deflections 773 in response to execution error (Anguera et al., 2009; Krigolson et al., 2008; Torrecillos et al., 2014). 774 These studies, in line with the Prediction-Response Outcome model of medial frontal cortex 775 function (Alexander & Brown, 2011), point to the existence of a general monitoring system that 776 responds to violation of expectations. However, an important aspect of these tasks is that errors in 777 movement execution typically resulted in high level goal errors (e.g., failure to reach or remain on 778 target in a manual tracking task) and/or involved the introductions of perturbations during the 779 movement phase (Krigolson et al., 2008). This makes it difficult to rule out the contribution of 780 cognitive control and response inhibition processes- which are known to generate an N200 781 component that shares similar spatial and temporal characteristics to the FRN signal (Holroyd, 782 2004; Holroyd et al., 2008). A recent study separating reward and sensory prediction errors in a 783 motor adaptation task showed that the FRN responds to the former, but not the latter (Palidis et al., 784 2019). The present findings, indicating gualitatively different relationships between the two medial 785 frontal negativities with behavioral modification, add weight to the possibility that execution error 786 processing may be distinct from dopamine-related reinforcement learning processes.

787 We also observed two distinct patterns of activity in time windows preceding and following 788 the FRN that provide further support for the claim of differential processing of execution and 789 selection error. First, smaller amplitude responses were observed following execution errors 790 relative to rewards in frontocentral sites 156-180 ms post-feedback, and the amplitude of this 791 component correlated with switch rates. Second, in parietal sites (218-239 ms), larger amplitude 792 responses occurred following execution errors relative to reward and this difference was also 793 correlated with switch rates. Importantly, in a reversal of the FRN pattern, magnitude differences in 794 these early frontocentral and late parietal signals correlated with behavioral adjustment linked to 795 execution errors. This pattern points towards the existence of distinct error monitoring systems 796 operating at different levels of behavioural control (Yordanova et al., 2004).

Exploratory analysis on the relationship between ERP amplitude and task showed that the degree of motor correction following execution errors relative to selection errors correlated with amplitude differences in an early frontocentral cluster (156-174 ms). The time course of this cluster closely mirrored that of the earliest difference between execution error and reward – where amplitude differences correlated with switch rates. Given that we had no a priori expectations for such a result and that this specific result did not survive correction for multiple comparisons, interpretations must be treated with caution and require further robustly powered replication work
to confirm. Should future work replicate this pattern it would add weight to the idea that the need to
make a behavioural modification following an error in the motor system precedes the generation of
the FRN.

807 A pertinent question of the present task and data is the extent to which participants were 808 aware of the perturbations applied to the feedback to control outcome frequencies. Participants did 809 not have access to online feedback and end-point cursor information was presented with a 1 810 second delay to minimize the likelihood of participants becoming aware of the perturbations. In a 811 post-experiment survey, participants indicated that they had attributed execution errors to poor 812 motor control. Consistent with this we found that during the task, perturbed feedback did not alter 813 choice strategy, nor did it result in any significant differences in the ERP. However, participants did 814 on average make larger corrective movements following perturbed feedback- this was despite 815 these outcomes showing smaller cursor errors than veridical feedback. In exploratory analysis, we 816 did not find any relationships between amplitude and perturbation magnitude at a trial level for the 817 majority of the participants, but we did find a correlation between amplitude differences and cursor 818 error when averaging across perturbed and veridical trials. This correlation manifested in the 819 parietal cluster at 273 ms, which likely reflected the onset of the P300. Here, the positive amplitude 820 of this signal reduced as the amount of veridical error increased. That the P300 shows a sensitivity 821 to discrepancies between actual and presented hand position is consistent with the theory that the 822 signal is generated through the active updating of an internal model of the environment (Donchin & 823 Coles, 1988). The P300 is also notable for being a putative marker of conscious perception (Rutiku 824 et al., 2015). If participants did indeed have access to this information during the task, it may be 825 that these perturbations were not sufficiently large enough to signal a need to change strategy.

826 These findings also raise a broader question of whether the present results might be 827 specific to outcomes that are framed as execution errors, or extend to any endogenous or 828 exogenous event that results in an unrewarded trial in which the outcome does not provide 829 information about the reward probability associated with the selected object (Green et al., 2010). 830 For example, if an unexpected gust of wind blew a tennis lob out-of-bounds, would that be treated 831 as an "execution error"? Or, if after pulling the lever on a slot machine, a power failure caused the 832 game to terminate without a payoff, would this affect how the choice is judged? A future study 833 could test endogenous execution errors (e.g., reaching error) and exogenous errors (e.g., the task 834 screen goes blank randomly before an outcome is delivered) more explicitly than the perturbations 835 applied here. If similar results are found in both settings, elements of the early activity observed in 836 frontocentral sites may indicate the establishment of a sensory "state", representing that the 837 intended action plan was not properly implemented, irrespective of whether this mismatch was due 838 to endogenous or exogenous factors, even before the prediction error is evaluated. This echoes 839 the sequential ordering in models of temporal difference learning, where first the agent perceives 840 its state, and then computes reward prediction errors relevant to that state (Sutton & Barto, 1998).

841

842 Limitations and Future Directions

843 While we have hypothesized that execution errors impact choice behavior, either by 844 attenuating the operation of reinforcement learning processes or via an enhanced sense of 845 agency, it is also important to consider alternative hypotheses. In the behavioural data we 846 observed a high base rate for switching between bandits. The highly probabilistic nature of the 847 outcomes, coupled with the relatively low reward rate increased made the task of determining the 848 optimal choice difficult (while each bandit different frequencies of execution and selection errors, 849 they all had the same expected value). This may have biased participants towards an exploration 850 strategy to reduce uncertainty by focusing on gathering more information about the reward 851 likelihood of each bandit for later exploitation (Cohen et al., 2007; Daw et al., 2006). Viewed in this 852 way, repetition of target selection following execution error might not be due to increased agency 853 or RL discounting but may instead reflect a failure to acquire information on the reward probability 854 of the chosen target on the previous trial and a drive to reduce uncertainty. Future work could 855 disentangle these explanations by, for instance, assigning lower expected value to high 856 execution/low selection error bandits and/or through the presentation of fictive outcomes for motor 857 errors.

858

859 Conclusion

We observed a robust FRN in response to both selection and execution errors, but only the former correlated with behavioral adjustment. In contrast, the amplitude of a positive deflection in the ERP, both prior and after the FRN, correlated with choice behavior following execution errors. These results indicate a need for a more nuanced interpretation of what the FRN represents, and how it may be shaped by contextual information. More generally, the results provide insight into how the brain discriminates between different classes of error to determine future action.

866 **References**

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