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- 1 Hillslope Form and Process:
- 2 History 1960- 2000+
- 3 Mike Kirkby
- 4
- 5 Abstract

6 The study of hillslopes has been dominated by the expansion of studies into process rates and 7 mechanisms. Perhaps the greatest volume of work has been on the 'wash' processes of soil 8 erosion, but there has also been significant work on the diffusive mass movements of linear 9 and non-linear 'creep' that shape the convexity of hilltops, on more rapid mass movements 10 and on solution processes. There has also been fresh work on distinctive processes in coastal, 11 arid and cold-climate environments.

- Accompanying and integrated with process understanding, and made possible by ubiquitouscomputational power, modelling has developed from soluble mathematical simplifications to
- 14 complex simulations that incorporate much of our understanding of process and climate.

15 Particular topics that have seen significant advance include a more complete understanding of

16 drainage density and texture, and a broadening of interest to encompass the 'critical zone'

17 that constructively unifies the land surface with the lower atmosphere, the biosphere and the

- 18 regolith. There has also been a change of focus towards steeplands, dominated by mass
- 19 movements, supply limited removal and tectonic activity.
- 20 Most recently, and now incorporated into the concept of the 'Anthropocene', human impact
- 21 is now receiving increasing attention as we acknowledge its accelerating role in changing
- 22 landscapes and their relationships.
- 23

24 Key-words

- 25 Processes, models, steeplands, drainage density, critical zone.
- 26
 27
 28
 29
 30
 31 Our understanding of hillslopes has long alternated between the two poles of form and
 32 process, changing direction with the development of new techniques and new approaches,
 33 many of them following developments in cognate sciences. In the 1960s there was a
 34 decisive shift away from studies of form, dominated by denudation chronology and the
 35 identification of erosion surfaces, towards detailed process studies that tried to measure rates
- of sediment transport. This change in direction was partly a changing of the guard as new

generations took over the science but was particularly stimulated by developments, initiallyin the USA, and many of them rooted in fluvial engineering.

39 There are two fundamental, and interconnected, research problems for hillslope science,

40 related to processes of formation and history of the landform. For process, we ask how

41 hillslopes are formed, in two and three dimensions, and how the formational processes

respond to climatic and evolutionary conditions. From the form of hillslopes, we try to

elucidate the processes responsible and the history of the landform, asking how far and how

44 uniquely these components can be deduced from what is essentially an erosional landform

45 that destroys rather than preserves evidence. In both cases, it is desirable, and increasingly

46 possible, to enrich the discussion with additional information about, for example, the regolith,

47 the vegetation cover and anthropogenic modification.

48 Perhaps the most significant changes in our understanding of hillslopes during the second half of the 20th century has been driven by the application of new technical advances. The 49 greatest single advance has come through the availability of high-quality digital mapping, 50 both from satellite and ground-based data. Remote sensing has provided digital topographic 51 maps at increasing resolution and with global extent. Improvements in photogrammetric 52 53 methods (Chandler,1999), combined with increasing computer power and laser-based surveying, have allowed accurate ground-based survey, and repeat surveys that begin to allow 54 sufficiently accurate direct measurement of net changes to the land surface. The second 55 major advance has been in the development and availability of direct radiometric dating 56 methods that can give the age of surfaces and sedimentary deposits. The third major advance 57 has been in available computational power that not only supports the analysis of the ever-58 increasing mass of topographic data but has also allowed the development of landscape 59 evolution models that are a vital tool in linking hillslope form and hillslope process. These 60 technical advances have been accompanied by the revolution in earth sciences that has been 61 brought about by understanding of plate tectonics, and by the exponential growth of 62 publication in this, as in all, fields. The history of developments in these fields is set out in 63 Sections A and B in this book. Here the focus is on the impact of these changes on our 64 understanding of the relationships between hillslope forms and processes. 65

Until the 1960s, the predominant area of hillslope research lay in the identification of erosion 66 surfaces that represented former lowland or shoreline areas that had since been relatively 67 uplifted, through tectonics and/or changing sea level. Although some areas provided 68 stratigraphic correlation, there was a marked lack of direct dating, and a proliferation of 69 potential surfaces, inciting Chorley to remark, in jest, that the number of surfaces was 70 proportional to the square of the number of researchers. There was also heated discussion 71 about the amount of residual relief allowable within a single surface and about whether 72 surfaces had been warped during uplift. These discussions are now largely moribund, except 73 in the more limited contexts of river and shoreline terraces, partly due to the limitations of 74 dating and the poor quality of detailed topographic data, and partly because the dominant 75 paradigms had moved on. It is worth noting that the underlying assumption was of Davisian 76

peneplanation, and research was concentrated in areas of modest tectonic activity.

78

79 In the 1950s, much of the discussion about hillslope evolution was focussed on the

80 applicability of models proposed by W.M. Davis (1899) and Walter Penck (1924). In its

- simplest form, Davis' cycle of erosion, developed in the gently rolling landscapes of New
- 82 England, assumed initial uplift of an undulating surface, followed by steady incision and
- 83 lowering towards a low-relief peneplain. In contrast, Penck, working in South America,
- 84 proposed a continual interaction between erosion and tectonic activity, allowing, among other
- things, the persistence of parallel retreat on steep slopes. As more emphasis was placed on
- process rates and mechanisms, this dialogue became less meaningful, gradually replaced by
 more mechanistic understanding of hillslope development.
- 88
- In Volume 4 in this series, **Burt (2008) and Werrity (2008)** surveyed the state of hillslope geomorphology from the respective viewpoints of 'Runoff and Erosion', and 'Geometry and
- 91 Evolution'. Burt concluded that "the revolution in (hillslope) geomorphology had been
- 92 completed and normal science had been resumed". Since the mid-twentieth century, a strong
- 93 emphasis on more and more detailed process studies has continued, representing the normal
- science component of progress in the science, but there have also been substantial changes in
- 95 direction and some changes in the consensus about the central issues in the subject.
- 96

97 A seminal text that helped to kick-start developments in work on hillslopes was Leopold,

98 Wolman and Miller's (1964) Fluvial Processes in Geomorphology, explicitly providing a

99 template for Carson and Kirkby's (1972) Hillslope Form and Process, which helped to

100 cement the gradual switch in research emphasis from landscape form to landscape process.

101 A number of fundamental concepts in geomorphology have been significant for hillslope

research. The balance between the magnitude and frequency of geomorphic events has been
 most widely applied in fluvial contexts (Wolman and Miller, 1960), but the analogy with the

- actions of a constantly busy dwarf, a man and a huge giant who spends most of his time
- 105 sleeping is equally relevant for hillslope processes and remains a powerful influence. The
- 106 concept of thresholds has also been important (**Schumm, 1979**), even if there is often
- 107 confusion between clear thresholds (**Carson, 1971**) and changes in dominant process. A
- 108 number of authors have also drawn attention to the potential for the non-linear systems we
- 109 deal with to exhibit chaotic behaviour (**Phillips, 1992**) and potential catastrophic bifurcations
- 110 (Thornes, 1983). The concept of equilibrium, or of a steady state (Hack, 1960) has also

been widely applied as a useful, if never completely valid, working hypothesis.

- 112
- 113

Excursions into other disciplines have led to more controversial attempts to reframe the subject in terms of general systems theory (Chorley, 1962; Chorley and Kennedy, 1971) or

entropy minimisation (Leopold and Langbein, 1962), and to ask whether hillslope form

117 carries an unambiguous signature of life (**Dietrich**, 2006).

118

119 **Process rates and mechanisms**

The study of hillslope form, and its relation to process, can perhaps be most directly traced
back to G.K. Gilbert's observations in the Henry Mountains (1877) and on the convexity of

divides (1909), and followed by Kirk Bryan (1922) on hillslopes in Arizona. This work
recognised the existence of a functional relationship between gradient and flow power that

shaped hillslope form. Some authors consider that there is a unique relationship between

process and form, so that the forms can be analysed to reveal the formative processes, but most (Cox, 1979; Brazier et al., 2000; Tucker et al., 2001) consider that there is some

most (Cox, 1979; Brazier et al., 2000; Tucker et al., 2001) consider that there is some
 degree of equifinality. An additional dimension of influence lies in the history of a landform,

focussing discussion on the time taken for a landform to become characteristic of the

- 129 formative processes (which may themselves change over time), and on the extent to which
- 130 landforms reflect their history rather than current processes. These issues are closely allied to
- issues of response times and spatial scale (Hack, 1960; Schumm and Lichty, 1965). In
- 132 general, more sediment throughput is needed to change large features than small details, and
- so that they require longer to develop conformity with current processes (Brunsden and
 Thornes, 1979).

135

136 One important strand in hillslope understanding came from the study of stream network

patterns, stimulated by the work of **RE Horton** (1931) and continued by AN Strahler at

138 Columbia University. In the 1950's **Strahler** (1950, 1952) combined notions of stream

139 ordering with the distribution of elevations within a catchments, through the hypsometric

140 integral, scaled to the elevation range, but it became clear that most 'mature' catchments

141 showed rather limited variations in the integral, so that it was not a very sensitive indicator of

142 evolutionary development.

143 Strahler's influence was felt most directly through his students, notable Stan Schumm,

Richard Chorley, Mark Melton and Marie Morisawa. Schumm, in his study of the artificial
Perth Amboy Badlands (1956b) and in comparable work on natural semi-arid slopes in

146 Colorado (**1956a**), showed that rates of erosion could be directly measured, leading to

147 conclusions about processes and rates of hillslope sediment transport and their seasonal

variation. This work presaged a mass of work on process measurements, much of it

instigated through students of Chorley in Britain, and, as has always been the case, in tandem

with cognate research in civil engineering and geology (Hutchinson, 1967;
Skempton, 1964; Hovius et al., 2000).

151 152

Another important influence has been through agricultural research on soil erosion (e.g. 153 Meginnis 1935; Musgrave, 1947), culminating in the formulation of the Universal Soil Loss 154 Equation (Wischmeier and Smith, 1958). Although the methodology of soil erosion plots 155 and laboratory experiments was focussed on the loss of soil on a field scale, nevertheless the 156 wash processes involved form a major influence of long-term landform development, and 157 have stimulated research on the evolution of hillslope form. The mass of work on soil 158 erosion was consolidated, and very widely applied within the field of geomorphology 159 (Schumm 1956, 1967; de Ploey, 1990; Savat, 1977; Bryan and Poesen, 1989; Yair, 1990; 160 Govers, 1992; Abrahams et al., 1995; Morgan, 1980) and many others). 161

162

163 Empirical formulations for sediment transport in terms of discharge, and gradient could

readily be used to predict erosion and sedimentation down hillslopes. It was also recognised

that grain size, particularly of larger rock fragments, and vegetation cover (**Sanchez and**

166 **Puigdefabregas, 1994**) protect the surface and significantly reduce sediment transport

167 (Poesen et al., 1990), and that bare patches were important in initiating more concentrated

168 erosion (**Prosser et al., 1995**). Methods of measurement generally consisted of sediment

- traps below erosion plots, and from the exposure of pegs inserted into the soil, (Campbell,
- 170 **1970**) and were applied on field sites or in laboratory experiments. Sprinklers and flumes
- 171 mimicked natural rainfall patterns and flow from upslope (**Dunne at al., 2010**. There was
- also a renewed interest in measuring rainsplash, surrounding target cells with splash cups todetect detachment and the trajectory of displaced material.
- 174
- All of this work has raised the level of understanding of the wash processes that are togetherresponsible for soil erosion, separating the physical detachment and dispersion of soil
- aggregates from the downslope net movement of material. Combinations of these
- 178 components, and the concomitant re-deposition of material, have created a complex lexicon
- of possible sub-processes. (Kinnell, 1990; Hairsine and Rose, 1992). Total loss of soil over
- the last century can also now be monitored using the Caesium-137 and Lead-210 radiometricmethods (Quine et al., 1997).
- 182
- 183 The direct measurement of surface lowering was refined in the Micro Erosion Meter (MEM),
- in which a micrometer screw was mounted between three fixed reference points and lowered
- to the surface investigated, for measuring changes in bedrock surfaces (**High and Hanna**
- 186 1970). These measurements were pioneered to monitor the solution of limestone surfaces,and work on hillslope processes was strongly stimulated, although less directly relevant to
- and work on hillslope processes was strongly stimulated, although less directly rele
 advances in karst geomorphology (Sweeting, 1950; Viles and Trudgill, 1984).
- 189
- Other relatively slow processes were also being measured. One pioneering work measured
 movement of desert sand (Bagnold, 1936). Soil Creep, identified by Sharpe (1938) as
 'imperceptible, except by measurements over long periods of time' was investigated through
 detecting the movement of buried markers in the soil (Young, 1960), the tilting of vertical
- pegs inserted into the soil (**Kirkby**, 1967) and the deformation of flexible tubes (**Finlayson**,
- 195 1981). These and other comparable measurements set the scene to generate 'process-
- 196 response' models through which rates of sediment movement could be interpreted in terms of 197 evolving hillslope form.
- 198

Work on steep slopes in the western United States provided evidence that, as gradients 199 approached the limit of stability for loose material, the rate of diffusive sediment transport 200 increased, leading to the formulation of a non-linear model that implied very high rates as 201 gradient approached an upper threshold (Roering et al., 2001). The associated hillslope 202 profile would then retain a convex summit, but the convexity would decrease downslope, 203 204 with the gradient tending asymptotically toward a uniform slope at a threshold angle. The 205 way in which these diffusive processes grade into more rapid mass movements remains an area of discussion, particularly for describing failures on slopes above the ultimate angle of 206 207 repose (Hutchinson, 1967; Carson, 1971), whether modelled as a continuous process 208 (Kirkby, 1984), or, more realistically, treated as episodic (Terzaghi, 1950).

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- 211
- As the outcome of these marriages between form and process, it became widely accepted that
- hilltop convexities were produced by diffusive processes such as creep and rainsplash,
- 214 quantifying **Gilbert's (1909**) argument; and that advective wash/ soil erosion processes
- driven by running water produced concave slope profiles. The transition in dominance
- between these process groups was associated with the area of inflexion from convex to
- concave profiles, although the precise position also responded to conditions at the base of the
- slope, whether aggrading, fixed or degrading, in both the vertical and lateral directions.

219

- 220 The 'normal science' represented by these and many other studies continues apace, leading to
- 221 improving descriptions of sediment transport processes for modelling and forecasting
- 222 purposes. Most relationships retain a large empirical content even as workers try to improve
- their physical basis. There have, however, been some attempts to fundamentally re-think the
- physical basis of hillslope process models (Phillips and Davies, 1991; Iverson, 1997, 2005),
- taking account of improved understanding of controls on soil depth (**Heimsath et al., 1997**),
- and increasing interest in the distribution of individual particle movements and non-local
- 227 effects (**Culling**, 1988).
- 228 One consistent component in these advances has been the increasing attention paid to water
- as both a driver of sediment transport and as the medium for exporting solutes. Solute
- removal in runoff, though, in humid regions, often the major component in long-term
 denudation (Miller, 1960; Walling, 1980) has generally been less researched, except in
- denudation (Miller, 1960; Walling, 1980) has generally been less researched, except in
 limestone terrains, though with some thought provoking analyses (Berry and Ruxton, 1959;
- Yatsu, 1988) until cosmogenic dating provided a tool to observe the distribution of
- weathering products, and subsurface monitoring was sufficiently intensive (Anderson et al
- 235 **1997**)

236

As reported in previous volumes of this historical survey (Burt, 2008), from the 1960s there 237 was a sharp break with the past and hillslope geomorphology became dominated by process 238 studies. 'If it moves, measure it!' became the catchphrase, and considerable ingenuity was 239 applied to new ways of measuring and monitoring changes in landscape form over time and 240 sediment transport across hillslopes. These changes inherently involved a change in the 241 spatial areas of interest, from catchments and larger areas down to small sections of a single 242 hillslope. This shift in focus inevitably further hastened the loss of interest in erosion surfaces 243 and similar broad-scale features. The strongest advances have been with respect to transport 244 by running water (wash) processes. Gullies, and particularly their initiation, have proved a 245 246 more intractable problem (Poesen et al., 2003), combining hillslope and fluvial processes and calling on understanding of the controls on drainage density. Other processes and process 247 248 domains have been less intensively researched, but there has been substantial progress on debris flows, landslides (Skempton, 1964), cold climate processes (Rapp, 1960; Washburn, 249

250 **1989**) and coastal settings (**Sunamura, 2015**).

251

Alluvial fans represent a distinct form that is intermediate between hillslopes and channels. 252 Fans differ from other hillslopes in being primarily depositional. The intra-fan erosion and 253 (normally net) deposition are driven by channel processes and the source material derived 254 from a mixture of slope and channel sediment transport. Some major fans, such as the 255 256 Okavango in southern Africa, are essentially the deltas of ephemeral rivers that terminate inland (Stanistreet and McCarthy, 1993). Many others form where sediment eroded from 257 258 uplifted mountain blocks overwhelms the transporting capacity of local rivers, most 259 commonly in arid and semi-arid regions. For areas such as Death Valley (CA), where fans dominate the local relief, fundamental process relationships and the dynamics of alternating 260 261 of cut and fill within a fan, have been established through fieldwork (Denny, 1967) and

experiment (Hooke, 1967). Later studies have examined the behaviour of discontinuous
streams (Bull, 1997) and the balance between fluvial and debris flow inputs (Blair, 1999).
While the largest fans are in tectonically active semi-arid areas. it is recognized that they
occur at all scales and in a wide range of environments (Harvey, 2011). Cosmogenic dating
now provides valuable estimates of both fan accumulation and source area erosion rates
(Granger et al., 1996).

268

There has also been rapid change in the methodologies and data sources that are available to 269 delineate and quantify the shape of the landscape. Until the 1970s, landform data were either 270 271 taken from topographic maps or from surveyed slope profiles. Improvements in the convenience of, and access to, more accurate terrestrial and methods now not only detect 272 changes between successive re-surveys with millimetre accuracy, but also accurately 273 describe the three-dimensional topography, re-connecting hillslopes with their associated 274 channel networks, and so linking back to the pioneering work of Strahler. In addition, 275 satellite data have, since the first LANDSAT data in 1972, added global coverage of features 276 and topography at steadily improving resolution. Current (2020) technology provides global 277 278 data at 5 m resolution, and this continues to improve. Better local resolution (0.5-2 m) for 279 many areas can be provided by airborne LIDAR systems. The utility of these data is related to the underlying assumptions of researchers. In particular, researchers assign different levels 280 of importance to micro-topography, while most recognise that all connected channel 281 networks are of significance to hillslope form and process, setting an upper limit on 282 acceptable resolution which can now generally be met (Zhang et al., 2008). 283

284

285

286 The availability of digital topographic data, together with improved understanding of how 287 hillslope sediment processes influence form, have allowed some inference of process from observed form. Both forward and inverse landform evolution models rely on assumptions 288 that can only be partially verified. In the case of forward modelling, assumptions relate to 289 initial and boundary conditions. For inverse modelling, assumptions commonly need to be 290 291 made about equilibrium, or quasi-equilibrium with simple basal conditions. The simplest, and most widely used assumption is that the landscape is in equilibrium with a uniform rate 292 293 of denudation and tectonic uplift, driven from the base of the slope. If, for example, it is 294 further assumed that sediment transport rate is given by a function of distance (or area drained per unit contour width) multiplied by gradient to a known power (often 1.0), then a 295 surveyed hillslope profile estimates the distance function for the formative processes. 296 Difficulties with this approach lie in the security of the framing assumptions – Has 297 denudation rate been constant; and for long enough to achieve equilibrium? - and the 298 knowledge that diffusive processes systematically destroy arbitrary initial perturbations, such 299 as stepped field boundaries or archaeological mounds. Nevertheless, these inverse methods, 300 combined with the availability of ever improving digital elevation data, are increasingly 301 providing an important tool for hillslope understanding. 302

303 [Figure 1 near here]

Although radiocarbon dating of organic material has been available since the 1950s, the

- 305 opportunities for dating have, since then, been very greatly enhanced, allowing a wider range 306 of materials and a wider range of ages to be determined. Luminescence dating methods have
- provided dates for the burial of inorganic sediments since the 1980s (Wintle and Huntley,
- **1982**), and advances in Atomic Mass Spectrometer design allowed cosmogenic dating of
- 309 quartz in rock samples (Klein et al., 1982; Nishiizumi et al., 1986). As these methods have
- become more widely available, it has been possible to directly date many Holocene terrace
- deposits and to obtain direct measurements of upland surfaces where there are undisturbed
- boulders. The integrated products of erosion from upland surfaces, gathered together asfluvial gravels, can also be subjected to cosmogenic dating, identifying the sources of the
- eroded material. These dating methods have started to enable direct dating of undisturbed
- surfaces, and so re-energise what had seemed to be moribund discussions about the age of
- upland areas and surfaces. Cosmogenic dating has also allowed probing of the soil and
- opened significant ongoing discussions about the evolution of the soil and the rates of
- 318 sediment transport (McKean et al., 1993)
- 319

320 Drainage Texture: How long is a hillslope?

321

322 Although the direct impact of network analysis has lessened over the last 50 years, Horton's 323 (1945) analysis of channel networks paper remains influential. The statistics generated from 324 stream ordering and bifurcation ratios launched many studies of morphometry, and were initially thought to encapsulate some deeper truths about catchment development. 325 Subsequent work shown that many of the constant rations between stream orders to be the 326 properties of almost all such tree-like networks (Shreve, 1966). Various attempts 327 (Smart, 1968; Surkan, 1969) have been made to revive this approach, both through enlarging 328 databases or through looking at more details of branching structures and junction angles than 329 are contained within the rather sparse stream ordering analysis. Perhaps the most valuable 330 results suggest that branching angles reflect the modes of network enlargement and that the 331 network structure is related to the hydrological response (Rinaldo et al., 1993) of a 332 catchment. Other applications of network structures have been found through the concept of 333 connectivity considering not only flows of water but also linkages though sediment transport 334 and ecology. Others (Evans, 2012.) have continued to pursue morphometry as a descriptor 335 of landscapes, greatly assisted by the availability of high-resolution digital maps. 336

337

338 One of the most important issues that has been explored, and to some extent resolved, is the scale of the landscape, expressed in the concept of drainage density, the total length of 339 channels per unit catchment area, which is the reciprocal of mean valley width. Even though 340 the geometry of a single hillslope profile can perhaps be modelled satisfactorily in terms of 341 the processes acting, this understanding is constrained by the boundary conditions of the 342 model – that is by assuming a known hillslope length. To derive and explain the controls on 343 hillslope length requires an understanding of the relationships between the hillslope and 344 345 channel processes. The consensus that has emerged is that the channel head is defined by the balance between diffusive processes, such as creep and rainsplash in competition with 346

347 advective processes driven by running water. Diffusive processes and small mass movements

tend to fill in potential channel heads, reducing the length of the channel network andreducing drainage density. Advective processes tend to extend channel heads and increase

reducing drainage density. Advective processes tend to extend channel heads and increase
 drainage density. The theoretical basis of this balance was set out succinctly by Smith and

351 Bretherton (1972) and has been exemplified and verified in field studies in the 1980s

352 (Montgomery and Dietrich, 1989). As these relationships have been explored in greater

depth, it has become clear that the nature of the diffusive and advective processes is

important in determining the style of the stream heads and the dynamics of their position in

the landscape. Both the diffusive and advective processes vary in magnitude and frequency,

- so that stream head positions change over time, reflecting the most recent cut and fill events.
- 357
- 358
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On gentle slopes, diffusive processes consist mainly of creep and rainsplash, whereas on 360 steeper slopes, discrete mass movements tend to be more important, creating a more episodic 361 362 history of events that fill stream head hollows, potentially dominated by periods of soil saturation. Headward cutting of stream channels tends to be associated with intense storm 363 events, which need not be synchronised with filling of hollows. The combined result of these 364 365 processes may be to create a headwater area of advancing and retreating stream heads, which 366 may be tightly constrained or more diffuse. The exact geometry of this region reflects the 367 frequency distributions of the competing processes as they interact within the evolving threedimensional form. 368

369

370 One operational difficulty in understanding the factors that control drainage density has

always been to map the position of stream heads. In any network, half of the links (between

junctions and/or stream heads) consist of unbranched finger-tip streams, so that

inconsistencies in defining stream head positions may have a significant effect on catchment
 behaviour. Although early attempts to define stream heads relied largely on field appraisal,

most research is now based on extracting features from DEMs, so that there is a danger of

376 circularity in defining what is a channel, if we are to seek better understanding of how

drainage density is determined. In many cases, 'channels' are necessarily defined by the

378 occurrence of plan and/or profile curvature but they might also be defined by, for example,

the occurrence of flow (Carlston, 1963; Gregory and Walling, 1968).

380

In a significant early study, Melton (1957) surveyed many small semi-arid catchments, and 381 showed a significant relationships between drainage density and climate, vegetation and 382 infiltration capacity. with highest densities in the most arid areas. In terms of the balance 383 384 between diffusive and advective processes, this inter-dependence was consistent with the 385 greater dominance of advective wash processes in more arid areas, a trend also implicit in Langbein and Schumm's (1958) influential paper on the relationship between climate and 386 387 sediment yield. However other work (Patton and Schumm 1975; Tarboton et al., 1992) 388 began to suggest that there was also a strong inverse relationship between drainage density

and gradient, and this was reinforced by the work of Dietrich and co-authors (**Montgomery and Dietrich, 1989; Iijavasquez and Bras, 1995**). These field-based observations indicated that the threshold stream head catchment area, and so drainage density, was almost inversely proportional to gradient (Figure 2). Other workers (**Vandaele et al., 1996**) have also found an inverse relationship between stream or gully threshold area and gradient, but relationships are generally weaker ($A \sim S^{-n}$ for n<1).

395 [Figure 2 near here]

Although the controls on drainage density are perhaps the most important consideration for 396 397 hillslope morphology, the other controls on profile evolution are also important; initial conditions, boundary conditions and the rates of sediment transport processes acting 398 throughout. Initial conditions are required to provide the starting point for any evolutionary 399 model, and generally depend on an understanding of the regional history determined by direct 400 or stratigraphic dating. Alternatively, local assumptions can be made about the behaviour of 401 channels - for example that the entire slope is in a steady state, in equilibrium with a constant 402 rate of downcutting and uplift (Hack, 1960; Ahnert, 1994) or that the position of the slope-403 base stream is unchanging over time (Kirkby, 1971). Although these types of assumption 404 subsume issues of both initial and boundary conditions, the consideration of boundary 405 conditions deserves greater attention that it has generally received. In some limited cases 406 (Savigear, 1952), it has also been proposed that space may be substituted for time, so that a 407 spatial set of profiles represents an evolutionary sequence over time, but this approach has 408 not generally been successfully applied to entire hillslopes. 409

410

411 The evolution of a slope profiles is generally considered in isolation, but may also be fruitfully considered as a valley cross-section in which erosional development may not only 412 lower but also translate the hillslopes, so that divides and streams migrate laterally as they 413 erode. One driver of asymmetry is the contrast in process rates due to the opposing aspects 414 and consequent differences in vegetation cover and erosion rates (Perron et al., 2009). These 415 relationships can be viewed either as a ridge between two streams, or as a channel between 416 opposing hillslopes. In a two-dimensional cross-section, a ridge between two fixed streams at 417 different heights will show steady migration of the divide towards the higher stream, but the 418 rate of migration is too slow to allow extensive stream capture. In a three-dimensional 419 landscape, however, low elevation stream heads are able to cut back into the side of a 420 neighbouring valley at higher elevation, allowing widespread divide migration and capture of 421 upland areas by adjacent steeper catchments. Viewed from the channel, it has been 422 hypothesised that the channel will migrate laterally until the sediment delivery from opposing 423 hillslopes is equal. This condition seems appropriate where sediment removal in the channel 424 is 'transport limited', but may not apply where it is 'supply limited', allowing migration 425 towards the steeper slope. The various possibilities are now being discussed, but not yet fully 426 427 resolved.

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431 Landscape Evolution and models

Changes in emphasis towards process mechanisms and finer scales not only shifted the 432 primary focus of research from entire landscapes to the individual hillslope, but occurred at 433 the same time as a major re-definition of major paradigms in geography and more widely. 434 The 'quantitative revolution' (Burton, 1963), initially driven by more rigorous and data-435 hungry statistical analysis in Human Geography, accompanied the wider availability of 436 computer power, beginning in the 1960s and eventually reaching individual desktops in the 437 1980s. For hillslopes, these technical advances allowed the development of models for 438 hillslope development, essentially partial differential equations that could only be 'solved' 439 numerically. They also expanded the possibilities for theoretical development (Scheidegger, 440 **1961**) and furthered other quantitative methods (**King**, **1966**) 441 442 Process-response models were essentially built upon the continuity equation, that states that 443 444 change in elevation over time is a necessary concomitant of spatial differences in sediment transport. If more sediment leaves a section of hillslope that it receives, that difference must

445 be accommodated by a net erosion within the section. This statement of continuity provides a 446 fundamental link between space and time. Spatial differences in sediment transport rates 447 448 drive change in form over time. At its simplest, for a sediment transport process, the rate of which is driven by a linear dependence on gradient, this leads to a differential equation 449 familiar to students of diffusion. Analytical solutions of this equation were well documented 450 for thermal conduction and first applied for soil creep (Culling, 1963), the rate of which had 451 been widely assumed, though with surprisingly little concrete justification, to be linearly 452 dependent on gradient, and so cementing the analogy. 453

454

With the widespread availability of computing power, there was a sharp increase in 455 computational models, led by the work of Ahnert (1964, 1976), in which processes were 456 separately identified and their rates represented as functions of distance from the divide (or 457 unit drainage area) and gradient. Kirkby (1971) was another exponent of this approach, 458 attempting to provide a more physically-based approach through the use of highly empirical 459 sediment transport 'laws'. For the sediment carried downslope by running water (i.e. wash 460 processes) these relationships relied heavily on the earlier agricultural engineering work on 461 462 soil erosion.

463

Over time, the number of processes and sub-processes, and the resolution and threedimensionality of the topography, has increased greatly, allowing the models to represent
more complex inter-relationships between form and process. One important step was the
introduction of solutional and weathering processes, allowing the explicit inclusion of a
regolith layer within models (Kirkby, 1985). Most of the process-response models operated
in a continuum of time and space, so that inclusion of mass movements, inherently episodic,
could only be stochastic or make continuum approximations (Kirkby, 1984).

471 One important direction of modelling since the 1990s has been in the development of more

complex and innovative modular platforms (**Martin and Church, 2004**). The MIT group,

headed by Rafael Bras have made two significant contributions in the SIBERIA (**Willgoose**

474 et al.,1991 a, b) and CHILD (Tucker et al., 2001) models, and these platforms are still being

actively elaborated to include other features. Other widely used model include CAESAR

476 (Coulthard et al., 2000) and CASCADE Tomkin and Braun, 1999). A very high level of visual realism is now shown in some more recent models (Egholm et al., 2009), bringing 477 together the strands of modelling over the previous 50 years, and, significantly, incorporating 478 the response of process rates to climatic drivers and elevation. This offers the opportunity to 479 drive models with independently derived climate reconstructions and scenarios for the future. 480 Some of these recent models also have the capability to include individual storms, 481 demonstrating that the apparently random occurrence of large storms can lead to significant 482 unpredictability in the outcomes, and some models have been proposed in which storm 483

- incidence is the key driver of the model (**Chase**, 1992)
- In models of landscape evolution, it is now technically possible to include soil formation,
- individual storm events, climate change and vegetation cover within a single model, but the
- resulting complexity, and the uncertainty surrounding poorly researched interactions, makes
 complete integration difficult, if not impossible to achieve, and clearer insights and intuitive
- 488 complete integration difficult, if not impossible to achieve, and clearer insights and intuitive
 489 understanding are often provided by simpler, if more limited LEMs. For example, models
- 439 understanding are often provided by simpler, if more initied ELWIS. For example, models
 490 such as CREAMS, EPIC, SWAT (Krysanova and Arnold, 2008) and WEPP (Laflen et al.,
- 490 such as CREARIS, ETC, SWAT (Rrysanova and Arnold, 2000) and WETT (Lanch et al.,491 1994) are focussed on erosion in cultivated areas during individual storms, but are not
- 492 primarily concerned with longer term landscape change.

493

- 494 The availability of models has, naturally, raised issues of calibration, validation and
- equifinality. Until the 1980s, laborious computing and surveying combined to make
- 496 comparisons crude, far from the directness of fitting hydrographs generated from storm
- rainfall events. Should scientists make many model runs, constrained by the known
- uncertainty of process rate models, asking the target landform to lie within the envelope of
- 499 model outcomes? Or should the model be compared with a bundle of surveyed profiles? Few
- 500 modellers adequately embraced either of these approaches, generally relying on the
- 501 incorporation of guesstimated process rates to justify comparisons.

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506 Steeplands and Tectonics

For many years, much of the research on hillslope form and process has concentrated on
landscape of low or modest relief, implicitly tied to Davisian assumptions of gradual
denudation towards, although rarely reaching, a low-relief peneplain, and in which tectonics
plays only a subsidiary part. Within this framework, a mantling regolith is rarely absent, so
that sediment transport processes can generally be assumed to be transport limited, with little
influence of control through the weathering and release of fresh material. However, since the
1980s, the influence of plate tectonics has been felt by geomorphologists and other earth

- scientists, and there has been a marked upsurge in work on steepland settings. This focus hasbeen linked to increased concentration on supply-limited removal, both from mountain slopes
- 516 and within rivers.
- 517 Although outside the direct scope of this chapter, it may be noted that fluvial sediment
- 518 movement is essentially transport limited for fine grained material and at high flows, and
- 519 increasingly supply limited for the coarsest material and at low flows. This has led to the
- 520 formulation of stream power models (**Whipple and Tucker, 1999**) that express channel
- 521 denudation rates as a direct function of gradient and discharge or catchment area, rather than
- 522 mediated by the continuity equation together with a sediment transport capacity. This allows
- the whole evolution of the landscape, both the hillslopes and channels, to be expressed
 directly in terms of denudation rates, significantly changing many relatively well-established
- 525 conclusions of earlier work. Interwoven with these development, the emergence of plate
- 526 tectonics as the dominant geological paradigm in the 1960s has gradually been incorporated
- 527 into geomorphological thinking, much of it driven by earth scientists rather than geographers.
- 528 Taking these trends together, there has also been a necessary broadening in the spatial scale
- of interest, since one of the key responses to erosion is through isostatic adjustment, which
- occurs at the scale of mountain ranges rather than over a single hillslope (**Davy and Crave**,
- **2000; Kooi and Beaumont, 1994**). Furthermore, many mountain ranges have glaciated
- areas, so that the relationships between water-driven and glacially driven erosion also become
- 533 important (**Egholm et al., 2009**).

534

535 In this view of steepland evolution, mountains are built from the subduction or collision of

- tectonic plates. As mountains rise and gradients steepen, mass movements dominate erosion,
- 537 at a rate that increases with, and may become proportional to relief (Ahnert, 1970).
- Earthquakes and major storms (hurricanes, typhoons) trigger mass movements as steep slopes
- weather and reach a critical state. Material is transported in steep rivers, whose stream power,
- and the availability of coarse material as tools, allows them to progressively incise their beds,
- 541 cutting gorges with steepening sidewalls. Flexural isostatic response to the localised fluvial
- 542 incision increases summit relief in a broad area surrounding the deepening river gorge,
- 543 further increasing local relief. Weathering is enhanced by the increased precipitation on the
- windward flank of mountain ranges, so that erosion and isostatic uplift are greater there, and
 the range grows preferentially into the wind (Kooi and Beaumont, 1994; Tucker and
- 546 Slingerland, 1994). If a mountain massif is high enough to support glaciers, then the
- 547 increased rate of erosion beneath and around the ice, the 'glacial buzzsaw' (**Egholm at al**,
- 548 **2009**), further interacts with other erosional and flexural processes. There may also be limits
- to relief set by inherent rock strength (**Schmidt and Montgomery, 1995**).

550

- 551 This steepland model of hillslope evolution differs radically from earlier paradigms in
- 552 geomorphology, both in its characteristic scale and in the range of dominant processes and
- 553 forms. Mass movement, tectonics and fluvial incision describe a landscape that is formed as a
- dynamic balance between uplift and erosion, closer to the spirit of **Penck's (1924**) view of
- landscape evolution, and in which sediment removal is dominated by its availability rather
- than by the transporting capacity of each sediment process.
- 557 There is perhaps scope to merge these two views of the landscape into a single unity, in 558 which tectonics and mass movements are fully accommodated in models of lowland
- landscapes (**Egholm et al., 2002**), and the transition between supply and transport limited
- removal is more fully incorporated into steepland geomorphology (Gunnell and Fleitout,
- **1998**). An important conceptual dichotomy in sediment transport is the issue of 'supply
- 562 limited' or 'transport limited' removal (**Carson and Kirkby, 1972**, p104). This has led to
- some bifurcation in the directions of hillslope modelling that are still not fully resolved, and
- there have been only limited attempts to bridge this divide (Foster and Meyer, 1972;
- 565 Kirkby, 1992; Howard, 1994).
- 566 A second expanding strand of research that has greatest relevance in steepland landscapes is
- the interaction between landslides, weathering rates and the deep circulation of water within
- bedrock and partially weathered material (Hack and Goodlett, 1960; Kirkby, 1973).
- 569

570 The Critical Zone

Hillslope geomorphology has, historically, been concerned more with the surface expression
of the land than with the underlying soil and rock. This is, in part, because soil properties can
only be sampled at a small number of locations. Windows into this world have been opened
up as landscape evolution models have increasingly included soil/regolith components
(Willgoose, 2018) while techniques including ground penetrating radar (Conyers and
Goodman, 1997) and cosmogenic dating have begun to provide tools to probe the forms and

- 577 processes in the subsurface.
- 578

Since the 1990s, there has been much more investment in directly instrumenting subsurface 579 processes linked to water flow chemistry (Anderson et al., 2002), and this is now leading to 580 fresh insights about weathering and the initiation of mass movements. It has also led to the 581 identification of the 'critical zone' as the "heterogeneous, near surface environment in which 582 complex interactions involving rock, soil, water, air, and living organisms regulate the natural 583 habitat and determine the availability of life-sustaining resources". This definition (NRC, 584 585 2001) led to the establishment of 'Critical Zone Observatories', initially in USA and now globally (ESDAC, 2020), and this approach has, to some extent, changed the focus of 586 587 instrumented catchment studies, putting more emphasis on the vertical exchanges between 588 the surface, the soil and the biota (figure 3). So far, however, these approaches have, perhaps, generated more questions than answers, but they become increasingly important as 589 590 we focus on the impacts of global heating.

592 [Figure 3 near here]

593

594 These developments show the potential to bring together several strands of research discussed 595 above. They emphasize the important role of subsurface water, both within the soil and 596 bedrock, in controlling weathering processes, soil formation and the stability of potential 597 mass movements. The concept of the critical zone has cast new light on many of the 598 interacting processes in and on hillslopes, and has great potential for refreshing existing

- 599 concepts (Abernethy at al, 1998)
- A, partly parallel development has been the adoption and instrumentation of artificially created areas within which the early stages of hillslope and catchment evolution may be observed. The 'Chicken Run' catchment (**Gerwin et al., 2009**) has been established on the tailings of opencast coal mining and has begun to show the evolution of soils and drainage. A completely artificial hillslope and enclosure (LEO) has been constructed in Arizona to control conditions even more completely (**Pangle et al., 2015**). Comparison of such artificial
- 606 hillslopes with evolutionary models (Willgoose and Riley, 1998) has proved challenging.

Evolution models, particularly for summit convexities, have begun to probe beneath the
 simplicity of Gilbert's (1909) argument, and ask how depth-controlled rates of soil formation

609 interact with strength-controlled rates of diffusive transport to subtly modify the forms and

rates of development (**Heimsath et al., 1999**). There has also been renewed interest in the

- 611 fate of soil organic matter, examining the dynamics of translocation, decomposition and
- 612 burial in downslope transport.
- 613
- 614

615 **The Anthropocene**

616 With an increasing understanding of mankind's role in transforming the planet has come the

realisation that human influence has long played an important part in the evolution of

618 hillslope and other environments through land use change. This has culminated in the

- 619 proposal, still under discussion, that the Anthropocene should be defined as the distinct
- 620 geological epoch during which human activity has produced distinctive nuclear and other
- markers, and during which there is an ongoing mass extinction event. Geomorphologists
- have generally chosen to work in semi-natural environments, but there is an increasing focus
- on human-accelerated soil erosion, reflected in recent reviews (Montgomery, 2007;
- 624 Thornes, 2007; Verheijen et al., 2009) as a significant modifier of natural slopes through re-
- distribution or removal of mineral and organic soil by running water, often in association
- 626 with extensive gullying where bare soil is exposed for cultivation.
- 627 Dating methods have significantly advanced understanding of Holocene and historic events,
- particularly through the application of luminescence, Caesium 135 and Lead 210 and
 lichenometry methods (Innes, 1983;.Lindstrom et al., 1992)
- 630 In the 1990s there was also a recognition of the process of tillage erosion, in which repeated
- ploughing systematically moves material downslope (Govers et al., 1994; Quine et al.,
- 632 **1997**; **Poesen et al., 1997**) at a rate that increases with gradient. In cultivated areas, this

- 633 process generates a diffusive flux that can be several orders of magnitude greater than the
- natural diffusive processes of creep and rainsplash (Lobb et al., 1995). Although little
- material leaves the base of a hillslope, tillage erosion significantly denudes the landscape,
- lowering the centre of mass of the land as material moves downslope (van Oost et al., 2005)
- 637 Tillage Erosion is also responsible for re-distributing soil organic matter along a hillslope, a
- 638 topic of current interest in the context of global warming and carbon
- 639 sequestration.(Gregorich et al., 1998; Lal, 2005).
- 640

641 Conclusions

642 Since 1950, hillslope geomorphology has been completely re-vitalized, a change that has

- been initially driven by the emphasis on process rates and mechanisms that was just
- beginning to take off in the 1950s and 1960s. Continuing work on processes still represents
- the greatest bulk of the ever-growing volume of published material, and still offers new
- 646 insights and some surprises. However, there have been more fundamental insights that
- transcend this normal science, particularly in the greater understanding of landscape scale and
- drainage density, and in the behaviour of steepland terrains, and some of these insights have
- been sharpened by comparison with other planetary surfaces. The concept of the critical zone
- now offers new windows on hillslope behaviour, and may be accelerating the immersion of
- 651 geomorphology within a broader environmental context. The threats and opportunities
- opened up by the reality of global heating are now also beginning to shift our focus from
 longer term geological timescales to the immediacy of shorter term potential impacts, so that
- longer term geological timescales to the immediacy of shorter term potential impacts,the future of hillslope geomorphology may look very different from its recent past.
- During the second half of the twentieth century, a few personalities stand out, both for their 655 own contribution and through their students. In America, the genealogy of Luna Leopold, 656 Tom Dunne and Bill Dietrich (Geotree, 2020) stands out for their contributions to hillslope 657 658 geomorphology in this period. The pioneering work of other researchers has also been inspiring, for example Anders Rapp, Jan De Ploey and his successors. In Britain the most 659 widely felt influences have perhaps been through Dick Chorley, John Thornes and Denys 660 Brunsden. Similarly, and in many cases through the agency of significant participants, a 661 number of organisations have played a seminal role in spreading and exchanging ideas. Some 662 of these super-spreader roles have been played by the Binghampton Geomorphology 663 Symposium (1970), the Benelux Colloquium on Geomorphological Processes (1976), the 664 IGU Commission on Measurements and Theory Application in Geomorphology (COMTAG) 665 and its successors, as well as The British Society for Geomorphology (BGRG/BSG) and the 666 Hydrology Division of the American Geophysical Union. 667
- 668
- 669 [figure 4 near here]
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- 671

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- 1031

1033 Figure captions

1034 1: The characteristic form of hillslope profiles with different denudational processes (from1035 Kirkby, 1971, Figure 15.10)

1036

1037 2: Drainage area versus local slope for channel heads in Oregon and California. Local slope
1038 was determined in the field, and drainage area from topographic base maps. Channel heads
1039 define a threshold between channelled and unchanneled regions of the landscape. (from
1040 Montgomery and Dietrich, 1989, figure 4)

1041

1042 3: Equilibrium chemical depletion ratio (=ratio of chemical to total denudation, shown by

1043 diagonal lines) in terms of rates of mechanical and chemical denudation. Points and broken

1044 curve indicate average $4,000 \text{ km}^2$ basins at ca 15°C mean annual temperature, from Langbein

and Schumm (1958), Langbein and Dawdy (1964) and Strakhov (1967). Adapted from

- 1046 Carson and Kirkby, 1973; figure 9.18). Numbers beside the curve indicate mean annual
- 1047 rainfall (mm).

1048

4: Some leading scientists who have been engaged in researching hillslope form and process,1960-2000.