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1	Combination therapies for COVID-19: an overview of the				
2	clinical trials landscape				
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1 Abstract

The COVID-19 pandemic has driven an unprecedented level of global activity in drug 2 3 discovery and clinical development for effective therapeutics targeting the coronavirus disease. There are currently 744 therapeutics being tested in 2879 clinical trials globally. Almost 90% 4 5 of these clinical trials are focused on monotherapies. Combination therapies are the mainstay of antiviral therapeutics to increase the potency of the individual compounds and to combat the 6 rapid evolution of resistance, although combination therapies have inherently complex clinical 7 and regulatory development challenges. Increased understanding of the SARS-CoV-2 lifecycle 8 and COVID-19 pathology provides a scientific rationale for evaluating the effectiveness of 9 10 different combinations. In this paper, we provide an overview of the current clinical trial landscape for combination therapeutics targeting COVID-19 through weekly scanning of 11 national and international clinical trial registries. Our analysis delves specifically into dual 12 combination therapies in what can be defined as 'pivotal clinical trials' (active, randomised, 13 controlled and at least phase II), with a focus on new and repurposed therapeutic candidates 14 15 that have shown positive signals and/or been granted authorisation for emergency use based on 16 positive efficacy and safety data.

17 Introduction

The coronavirus disease (COVID-19), caused by severe acute respiratory syndrome 18 19 coronavirus 2 (SARS-CoV-2), was declared a global pandemic in March 2020 and has remained a severe threat to public health and the economic stability in most countries.¹ Its 20 reproduction number ($R_0 > 1$), and alarming hospital admissions and death rates have pushed 21 22 many healthcare services to the brink. Understandably, this has also drawn the focus of considerable research towards the discovery of new chemical/molecular entities and/or 23 repurposed interventions to prevent and treat the disease.^{2,3} Concurrent with the rapid and 24 highly effective development, approval and rollout of prophylactic vaccines, hundreds of 25 mono- and combination therapeutics are being evaluated in thousands of trials worldwide. 26 Interventions being trialled are predominantly monotherapies, with limited success in 27 28 identifying a gold standard across the entire COVID-19 disease pathway. However, with understanding of the natural history of the SARS-CoV-2 infection, the pathophysiology, and 29 30 clinical progression of COVID-19, increased focus is on combination therapies in trials.

Combination therapies have been invaluable for cancer chemotherapy, and chronic viral infections such as HIV using HAART (highly active antiretroviral therapy) to reduce the

potential for resistance and also restrict levels of infection due to the weak efficacy of antivirals 1 to clear the virus.^{4,5} Combination therapies are also been investigated in the treatment of acute 2 viral infections such as influenza with the prediction to greatly reduce the development of rapid 3 drug resistance, supress viral replication and achieve better efficacy and reduction in mortality 4 than monotherapy.⁶ Synergistic combinations, in which the efficacy is greater than that of the 5 individual therapies, increases the chances for better treatment outcomes. These types of 6 7 combinations frequently target a common or related pathway of the disease. Interventions may also be combined to treat two different pathologies of the disease, which may be particularly 8 9 important for COVID-19. Unfortunately, the effectiveness of combining interventions to 10 increase antiviral efficacy is not predictive and requires testing.

The complexity of the pathology of COVID-19 has challenged the development of appropriate 11 12 therapeutics. The early stages of the disease are predominated by viral replication with minimal pathology whilst the later stages are comprised of decreased oxygen absorption and hyperactive 13 14 immune activation (i.e. cytokine storm) (Fig. 1). While certain therapies have shown benefit in a subset of the treatment population (e.g. severely ill) and/or in different clinical settings (e.g. 15 ICU), the complexity of the disease (Fig. 1) necessitates the need to look beyond monotherapies 16 and into combining independent treatments to increase therapeutic efficacy in a much wider 17 population and across the disease pathway.⁷ 18



Figure 1. The stages of COVID-19 showing progression of the disease. *Concept of figure has been adapted from Ngo et al* (2021).⁸

2 There are several compelling reasons for exploring combination therapies for COVID-19 3 treatment: i) different mechanisms of action in order to treat different pathologies of the disease 4 such as combining an antiviral (to decrease the viral load) with an immune-modulator to suppress the hyperimmune response; ii) increased antiviral efficacy (particularly important 5 with the limited efficacy of current SARS-CoV-2 therapeutics) whilst decreasing the potential 6 for drug resistance to the individual compounds; iii) synergy between compounds targeting the 7 8 same or different points of the virus lifecycle or disease pathway, which may permit elevated efficacy of weak inhibitors. The use of multiple combination therapies, however, are known to 9 10 be associated with increased risks in terms of safety, potential interactions, and adverse effects, which may (at least partially) explain the low numbers currently being tested in trials for 11 12 COVID-19.

The aim of this paper is to provide some insight from the current data on combination therapiesin this rapidly changing field and their applications in clinical practice and future trials.

15

16 Methods

In March 2020, the National Institute for Health Research (NIHR) Innovation Observatory 17 started collaborating with a multi-agency initiative in England to identify promising therapeutic 18 interventions with the aim to speed up access to treatments where the research shows there is 19 clinical benefit.⁹ This involved weekly scanning of national and international clinical trial 20 registries (ClinicalTrials.gov, EU Clinical Trials Register and the World Health Organisation 21 International Clinical Trial Registry Platform [WHO ICTRP]) to identify and prioritise the 22 23 most promising therapeutic interventions in use as monotherapy and/or in combination to prevent and treat COVID-19.10-12 24

The following search terms were used to identify relevant clinical trials: "Covid-19", "2019nCoV", "SARS-CoV-2", "2019 novel coronavirus", "severe acute respiratory syndrome coronavirus 2". Additionally, clinical trials were sifted and excluded at the point of data collection based on these criteria: behavioural, educational and physical activity-related interventions; rehabilitation programmes; ventilator or mask-based interventions; diagnostic trials; trials studying COVID-19 epidemiology and complementary or alternative medicines. Novel, aggregated entries were created for trials testing interventions in combinations of two
 to six, to clearly display the different combination therapies being tested in clinical trials.

Pivotal trials (defined as active, randomised, controlled clinical trials designed to demonstrate statistically significant clinical efficacy and safety in humans for the purpose of regulatory approvals) were identified through selected trial characteristics.¹³ The characteristics for selection of pivotal trials were based on: the current trial status (active); trial design (randomised); trial phase (phase II and above); estimated enrolment (trials with 100 or more participants); and trial location (UK, EU, USA, Australia or Canada).

9

10 Results

From the analysis of data collected through NIHR Innovation Observatory scans as of March 11 29, 2021, 744 interventions are being tested for the prevention and treatment of COVID-19 in 12 2879 clinical trials worldwide. These include antivirals, antibiotics, anti-13 inflammatories/immunomodulators, cell-based therapies, other pharmaceuticals (antimalarials, 14 antihypertensives, antithrombotics, antifibrotics etc.) and dietary supplements. Of the 744 15 interventions, approximately 37% are new (unlicensed for any indication), 44% are repurposed 16 (established interventions licensed for other indications) and 19% do not require licensing. 17

Analysis of the 2879 clinical trials shows only 302 (10.5%) of these clinical trials explore
 combination therapies (Fig. 2).¹⁴



20

Figure 2. COVID-19 monotherapy and combination therapy clinical trials as of March 29, 2021

Further analysis of the combination therapy clinical trials shows 241 trials (79.8%) are testing
dual combinations of interventions and a few are exploring other multiple combinations (Fig.

4 3).



- 6 Figure 3. Number of COVID-19 clinical trials testing combination therapies as of March 29, 2021.
- 7

8 Of the 241 dual combination therapy trials, 26 (10.8%) are pivotal trials (Table 1). These data

9 show only five pivotal trials have reported results as of the time of data analysis, which are10 discussed below.

Intervention 1	Intervention 2	Trial ID	No. of subjects	Results
Bamlanivimab	Etesevimab	NCT04427501	3160	Yes
Doxycycline	Ivermectin	NCT04729140	150	No
Hydroxychloroquine	Azithromycin	NCT04336332	160	No
Hydroxychloroquine	Azithromycin	NCT04344444	600	No
Hydroxychloroquine	Baricitinib	NCT04373044	144	No
Lopinavir/ritonavir	Favipiravir	NCT04499677	240	No
Lopinavir/ritonavir	Favipiravir	2020-002106-68	240	No
Lopinavir/ritonavir	Hydroxychloroquine	NCT04386070	6400	No
Melatonin	Toremifene	NCT04531748	390	No
Methylene blue	Convalescent plasma	NCT04547127	200	No
NA-831 (Traneurocin)	Oral polio vaccine (OPV)	NCT04540185	3600	No
Naltrexone	Colchicine	NCT04756128	164	No
Oseltamivir	Vidofludimus calcium	NCT04516915	120	No
Pamapimod	Pioglitazone	2020-005849-16	144	No
Remdesivir	Bamlanivimab	NCT04501978	10000	Yes
Remdesivir	Baricitinib	NCT04401579	1034	Yes
Remdesivir	Hyperimmune Immunoglobulin	NCT04546581	593	Yes
Remdesivir	Interferon beta-1a	NCT04492475	969	No
Remdesivir	Lenzilumab	NCT04583969	200	No
Remdesivir	Risankizumab	NCT04583956	200	No
Remdesivir	Tocilizumab	NCT04409262	649	Yes
Rosuvastatin	Colchicine	NCT04472611	466	No
Vitamin B- Complex	Nitazoxanide	NCT04343248	800	No
Vitamin B- Complex	Nitazoxanide	NCT04359680	1407	No
Vitamin B- Complex	Nitazoxanide	NCT04486313	1092	No
Vitamin D	Aspirin	NCT04363840	1080	No

2 Table 1. Interventions in COVID-19 dual combination pivotal clinical trials.¹⁴

Approximately 60% (n=26) of the dual combination pivotal trials explore combinations
involving antivirals and/or immunomodulatory interventions. Others include anticoagulant,
dietary supplement, antibiotic, anthelmintic, antimalarial, antioxidant, antihyperglycemic, drug
withdrawal therapy, antihyperlipidemic, antirheumatic, neuroprotectant, vaccine, diagnostic
agent, and natural sources of antiviral neutralising antibodies (Table 1).

9

10 Discussion

In this analysis, 10.5% of global COVID-19 clinical trials are testing combination therapies,
with majority exploring dual combinations. As of March 29, 2021, there are 26 dual

³

combination pivotal trials, of which approximately 60% are exploring drug classes such as
 antivirals and anti-inflammatory/immunomodulatory therapies.

Drugs, such as hydroxychloroquine, azithromycin and lopinavir/ritonavir, initially purported to have antiviral activity against SARS-CoV-2 have subsequently yielded little or no significant clinical benefits.¹⁵⁻¹⁷ Studies evaluating the antiviral activity of hydroxychloroquine were mostly based on controversial evidence; but after several randomised trials, it was reported to have limited significant clinical benefit towards COVID-19.¹⁸ The US FDA revoked the prior emergency use authorization (EUA) for hydroxychloroquine in light of adverse effects and unlikely effectiveness to treat COVID-19.¹⁹

10

11 Antivirals in combination therapies

Remdesivir, a direct acting antiviral agent, gained global attention and was granted an EUA 12 early in the pandemic when preliminary evidence from monotherapy trials suggested that it 13 shortened recovery times for severely ill and hospitalised patients.^{20,21} Analysis of the trials 14 landscape shows that remdesivir is currently being tested in 7 dual therapy pivotal trials (Table 15 1). Remdesivir is undergoing testing in combination with 16 anti-17 inflammatories/immunomodulators (including baricitinib, tocilizumab, bamlanivimab. risankizumab, lenzilumab) in order to target two different stages of the disease: the viral 18 proliferation and the hyperimmune response. 19

The dual combination of remdesivir and baricitinib, an immunomodulatory drug, was granted 20 21 an EUA by the FDA based on positive results from the ACTT-2 trial (NCT04401579), in which there was significant improvement in clinical status and reduction in recovery time in the 22 remdesivir-baricitinib treatment group when compared with the remdesivir-only group.^{22,23} 23 The remdesivir and tocilizumab combination, however, did not meet its endpoints in terms of 24 25 improved time to hospital discharge or likelihood of death or progression to mechanical ventilation in the treatment of COVID-19 pneumonia (NCT04409262).²⁴ Similarly, 26 preliminary data from the ACTIV-3 trial (NCT04501978) testing remdesivir and 27 bamlanivimab dual combination did not show any benefits in hospitalised COVID-19 28 patients.²⁵ It was reported that participants in the trial were at the late stage of the disease, hence 29 the probable ineffectiveness of the therapy.^{26,27} Remdesivir treatment was tested (as a standard 30 of care) in dual combination with hyperimmune immunoglobulin (hIG), with both treatments 31 targeting the virus; remdesivir inhibiting viral replication and the hIG neutralising SARS-CoV-32

1 2 (NCT04546581). This study, however, did not meet its endpoints as there was no reduction 2 in the risk of disease progression in adults hospitalised with COVID-19.²⁸ Remdesivir and 3 interferon beta-1a combination therapy is undergoing evaluation where the antiviral activity of 4 remdesivir is combined with the dual antiviral and anti-inflammatory properties of interferon 5 beta-1a (ACTT-3; NCT04492475). The efficacy of the combination is compared to treatment 6 with remdesivir alone in hospitalised patients.²⁹ The result of this trial and other pivotal trials 7 testing remdesivir in dual combination are yet to read-out.

8 With similar mechanism of action to remdesivir and shortened viral clearance time when 9 compared with lopinavir/ritonavir, favipiravir may be another antiviral candidate for dual 10 combination therapy trials.^{30,31} The timing of treatment may prove to be the key element in 11 antiviral therapies, since antivirals are mostly effective at the early stage of the infection 12 (incubation and early symptomatic phase - See Fig. 1).

13

14 Anti-inflammatories /immunomodulators in combination therapies

Neutralising monoclonal antibodies are under evaluation in clinical trials for treatment of 15 COVID-19.32 These antibodies bind and inactivate the spike glycoprotein (S protein) of SARS-16 CoV-2 hereby preventing entry of the virus particle into the host cell.³³ The efficacy of 17 monoclonal antibodies has been tested as monotherapy and in combination with encouraging 18 results. Early results from trials exploring antibodies have shown significant benefit on survival 19 and reduction in mortality for COVID-19 patients.^{32,34} Although the FDA revoked the EUA of 20 bamlanivimab monotherapy due to a sustained increase in resistant variants of SARS-CoV-2, 21 bamlanivimab is still undergoing evaluation in combination therapy trials.³⁵ In the BLAZE-1 22 study (NCT04427501), ambulatory patients with mild to moderate COVID-19 illness were 23 randomised to receive bamlanivimab monotherapy or placebo in one group, or bamlanivimab 24 25 and etesevimab (another neutralising antibody) or placebo in the second group, within 3 days of the first positive SARS-CoV-2 test sample collection. It was reported that there was a 26 27 significant reduction in viral load at day 11; reduction in COVID-19-related hospitalisations at day 29 as well as a lesser risk for emergent resistant variants in patients who received the 28 combination therapy compared with the monotherapy group.^{36,37} Other combination therapy 29 trials testing neutralising antibodies are still ongoing. 30

Elevations in IL-6 levels have been reported in many COVID-19 patients, and IL-6 inhibitors,
such as tocilizumab, have been trialled to target the excessive inflammatory response (cytokine)

storm) of the immune system.^{38,39} Tocilizumab as a monotherapy has been reported to improve patient symptoms, shorten hospital stay, and reduce overall mortality from severe COVID-19.³⁹⁻⁴¹ Administration of tocilizumab at the beginning of inflammation has also been suggested to improve its efficacy.⁴² However, the inclusion of tocilizumab in more combination therapy pivotal trials would be required to further investigate its effectiveness.

Other anti-inflammatory interventions being tested in ongoing dual combination pivotal trials 6 7 include baricitinib and colchicine. Baricitinib has been previously described to improve the clinical efficacy of remdesivir in combination when compared with remdesivir-only therapy.²² 8 It has also shown significant improvement in respiratory function in patients with COVID-19 9 pneumonia when combined with corticosteroids.43 Colchicine has been reported to decrease 10 cytokine production and improve patient survival when administered early in the disease 11 process.⁴⁴ Colchicine may be considered as supportive treatment for hospitalised COVID-19 12 patients. 13

Similar to antiviral therapies, anti-inflammatory interventions are significant early in the disease pathway (Fig. 1) to prevent the progression of COVID-19, however, the administration of combination therapies should be tailored to the individual patient based on a number of factors such as, stage of the disease, patient health status and geographic region. Administration of some anti-inflammatory interventions, such as corticosteroids, to immunocompromised patients may alter host defence and delay the elimination of the virus, as such there must be a risk-benefit assessment.⁴⁵

21

22 Regulatory developments in combination therapies

Pivotal trials are essential for authorisation of effective combinations based on statistically significant evidence of efficacy and safety. Regulators may authorise the emergency use of an unapproved product or unapproved use of an approved product for COVID-19 provided the product is effective in diagnosing, treating or preventing the disease; the potential and known benefits outweigh the potential and known risks; and in the absence of adequate or approved alternatives.⁴⁶ Only three combination therapies have been granted authorisation for emergency use to treat COVID-19 based on evidence of high scientific quality.

The first dual combination to be granted EUA by the FDA on November 19, 2020 was remdesivir and baricitinib, for the treatment of COVID-19 in hospitalised adults and paediatric patients (2 years and older) requiring supplemental oxygen, invasive mechanical ventilation, or extracorporeal membrane oxygenation (ECMO). The authorisation was granted based on
 the totality of scientific evidence from the randomised, double-blind, placebo-controlled trial
 (NCT04401579) which suggested potential benefits of the combination outweighing their
 known risks.⁴⁷

5 The FDA issued an EUA on November 21, 2020 for a neutralising antibody cocktail, REGN-6 COV2 (casirivimab and imdevimab) for the treatment of mild to moderate COVID-19 in adults 7 and paediatric patients (12 years and older) at risk of progressing to severe COVID-19 based on data from NCT04425629.⁴⁷ Recommendations for use was also granted by the European 8 9 Medicines Agency (EMA) in outpatients with COVID-19 who do not need supplemental oxygen.⁴⁸ This fixed-dose combination was aimed at reducing mutational escape by SARS-10 CoV-2 with both antibodies binding to distinct regions of the viral target thus eliminating the 11 likelihood of treatment resistance.⁴⁹ There was a significant reduction in viral load, 12 hospitalisation and emergency room visits in patients who received the antibody cocktail 13 compared to the placebo group.⁵⁰ The use of some combinations of monoclonal antibodies have 14 been targeted against variants with reduced susceptibility to the individual antibodies, thus 15 vielding improved clinical outcomes.⁵¹ 16

Similarly, the bamlanivimab and etesevimab combination was granted EUA by the FDA on February 25, 2021 as well as recommendations for use by the EMA in March 2021 for the treatment of mild to moderate COVID-19 in adults and paediatric patients (12 years and older) at risk of progressing to severe COVID-19 based on data from NCT04427501. The combination is recommended to be used within 10 days of symptom onset, but not authorised in patients who require oxygen or those on chronic oxygen therapy from underlying non-COVID-19-related comorbidity.⁵²⁻⁵⁴

Different regulatory approaches apply in the assessment of pivotal clinical trials for approval; however, all are based on evidence of high scientific quality in terms of robust safety and efficacy data. Regulators can, however, be flexible in the areas of scientific data interpretation and benefit to harm balance when granting authorisations for emergency use, provided the intervention or combination therapy is used in accordance with the stipulated conditions of use.⁵⁵

1 Future perspectives

Based on the review of the current trial landscape, a comparatively small proportion of trials
are testing combination therapies, yet these will likely prove essential for effective COVID-19
treatment and therapeutic prophylaxis due to the complex pathophysiology and possible rates
of antiviral resistance.

6 With no gold standard treatment and many repurposed monotherapy interventions failing to 7 show significant benefit, there is a clear justification to move the focus to effective combination 8 therapies. Focusing on safe and effective combinations in future randomised controlled trials 9 may enable better overall therapeutic efficacy against COVID-19. Large randomised 10 combination therapy clinical trials are warranted using therapies/pharmaceutical 11 agents/medicines/drugs with significant, albeit small, benefits in monotherapy trials rather than 12 continued duplication of ongoing trials.

Interventions have been trialled to target specific stages along the disease pathway such as viral replication, cytokine injury and thrombosis, with significant success rates. An evaluation of the timely administration of a combination of these specific interventions may effectively target multiple stages of the COVID-19 disease pathway. Combination therapies can also be evaluated in platform trials where potential combinations can be compared simultaneously against a common control with established effectiveness. This will enable early prioritisation of effective treatment arms while saving time and resources.

20 Pivotal trials which test for effectiveness of therapy require large sample sizes, but with the 21 recent administration of vaccines and a significant reduction in affected cases, conducting large trials may be quite difficult. While results from smaller trials across different trial locations 22 23 could be pooled using statistical approaches such as meta-analysis to ascertain the effectiveness of combination therapies, generalising these findings due to heterogeneity in trial designs and 24 target populations may be a challenge. Pooling data from individual clinical trials should be 25 initiated with trials conducted during the same phase of the pandemic since the clinical 26 27 knowledge on the management of COVID-19 has significantly improved compared to the initial phase of the pandemic, thereby capturing valid treatment effects. 28

The treatment pattern for COVID-19 is rapidly evolving and with focus on the clinical benefitsof combination therapies, better treatment options will hopefully become available.

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