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1 **No effect of thermal maturity on the Mo-, U-, Cd- and Zn-isotope compositions of**
2 **Lower Jurassic organic-rich sediments**

3

4 Alexander J. Dickson^{1,2*}, Erdem Idiz¹, Don Porcelli¹, Melissa J. Murphy¹, Ricardo Celestino³,
5 Hugh C. Jenkyns¹, Simon W. Poulton⁴, Stephen P. Hesselbo³, John N. Hooker^{1,5}, Micha
6 Ruhl^{1,6}, Sander H.J.M. van den Boorn⁷

7

8 1. Department of Earth Sciences, University of Oxford, Oxford, OX1 3AN, UK

9 2. Department of Earth Sciences, Royal Holloway University of London, Surrey, TW20 0EX,

10 UK

11 3. Camborne School of Mines, University of Exeter, Penryn, Cornwall, TR10 9FE, UK

12 4. School of Earth and Environment, University of Leeds, Leeds, LS2 9JT, UK

13 5. School of Mathematics, Science and Engineering, University of the Incarnate Word, San
14 Antonio, Texas 78209, USA

15 6. Department of Geology, Trinity College Dublin, The University of Dublin, Dublin 2,
16 Ireland

17 7. Shell Global Solutions International B.V., Grasweg 31, 1031 HW Amsterdam, The
18 Netherlands

19

20 *Corresponding author: alex.dickson@rhul.ac.uk

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27 **ABSTRACT**

28 The isotope ratios of redox-sensitive metals in organic-rich rocks are critical tools for
29 quantifying the timing and severity of deoxygenation and nutrient cycling in Earth's past. The
30 resilience of isotopic data to thermal alteration of the host sediments over millions of years
31 of burial is, however, largely unknown. Here we present Mo, U, Cd and Zn stable-isotope
32 data from two stratigraphic successions of the same Lower Jurassic Posidonienschiefer unit
33 in the Lower Saxony Basin of North Germany that were affected by different burial histories.
34 We show that thermal maturity had no effect on the isotopic compositions of these elements
35 but does appear to have increased their concentrations in the rock. The data corroborate
36 the results of laboratory-based maturation studies and provide constraints on the Mo-, U-,
37 Cd- and Zn- isotopic compositions of ~182 Ma seawater in the Lower Saxony Basin.

38

39 **INTRODUCTION**

40 Isotopic measurements of metallic elements are widely employed as a means to elucidate
41 the redox history of the oceans. Some elements, such as molybdenum (Mo) and uranium
42 (U), have been used to trace the development of oxygenated conditions on Earth, and to
43 quantify the expansion and contraction of deoxygenated zones in the oceans (Dickson,
44 2017; Clarkson et al., 2018). The isotopic compositions of several other metals, including
45 zinc (Zn) and cadmium (Cd), might also record redox conditions or productivity, due to their
46 strong affinity for burial in organic-rich sediments (Little et al., 2016; Vance et al., 2016; Isson
47 et al., 2018; Bryan et al., 2021). However, the application of these latter two isotope systems
48 to disentangle paleo-chemical changes in the oceans is still in its infancy (e.g. Georgiev et
49 al., 2015; Sweere et al., 2020).

50

51 An important prerequisite for the robust application of any paleo-environmental proxy is that
52 the mineral or sedimentary archive used to infer past chemical conditions was unaffected

53 by diagenetic or metamorphic processes; or at least that the effect of such processes on the
54 measured chemical composition can be quantified (Eroglu et al., 2021). Catagenesis
55 (thermal maturation of organic matter) may have a central role to play in altering the
56 geochemical signature of metals at high burial temperatures due to metal adsorption onto,
57 and incorporation into, organic molecules (Lewan and Maynard, 1982; Chappaz et al., 2014;
58 Dahl et al., 2017). However, the effect of catagenesis on metal isotopes in a widely
59 employed paleo-chemical archive, namely organic-rich shales, is not well understood.

60

61 **GEOLOGICAL SETTING**

62 The Lower Saxony Basin (LSB) in northern Germany (Fig. 1) preserves an ~182 Ma organic-
63 rich unit called the Posidonienschiefer. Since its formation in the Early Jurassic, this unit has
64 been buried to a variety of depths within the LSB due to locally variable basin subsidence,
65 followed by inversion during the Paleogene (Betz et al., 1987, Bruns et al., 2013). The
66 organic matter contained within the Posidonienschiefer has therefore been exposed to
67 vastly different degrees of heating over horizontal distances of tens of kilometers. These
68 characteristics of the Posidonienschiefer deposited in the LSB offer the ideal opportunity to
69 test whether thermal maturation can alter the isotopic composition and concentration of
70 metals contained within organic-rich sedimentary rocks.

71

72 Two drilled cores recovered from different parts of the LSB contain ~30-meter-thick
73 stratigraphically equivalent sections of the Posidonienschiefer. These units can be readily
74 correlated to each other via their distinctive enrichments in total organic carbon that stand
75 in contrast to the underlying and overlying organic-poor mudrocks of Pliensbachian/Early
76 Toarcian and Late Toarcian age, respectively (Fig. 2). The units post-date the early Toarcian
77 Oceanic Anoxic Event in the Lower Saxony Basin. One of the Posidonienschiefer
78 successions, in core A, contains labile organic matter with hydrogen indices >700

79 mgHC/gTOC. By contrast, the organic matter in core B has hydrogen indices of ~0
80 mgHC/gTOC, demonstrating a substantially greater maximum burial depth, with consequent
81 thermal alteration of organic matter and loss of hydrogen-rich moieties through expulsion of
82 petroleum fluids. The different burial histories of each core are confirmed by reflectance
83 measurements of terrestrial organic macerals (vitrinite, %R_o) of ~0.5 for core A (immature),
84 and ~3.5 for core B (overmature).

85

86 **METHODS**

87 Element concentrations of samples from cores A and B were measured by first dissolving
88 sample aliquots in a 3:1 mixture of concentrated HNO₃ and HCl at 150–180°C to oxidize
89 organic matter, followed by a 2:1 mixture of HNO₃ and HF at 120°C to dissolve silicates.
90 Measurements were made on sample aliquots diluted in 3% HNO₃ by ICP-MS. Reported
91 concentrations have uncertainties of ±10%, based on replicates of samples, certified
92 reference solutions and materials (SDO-1 USGS shale).

93

94 For stable Mo-, Zn-, Cd- and U- isotopes, sample powders were similarly digested
95 completely, after the addition of double-spike solutions (¹⁰⁰Mo-⁹⁷Mo, ⁶⁷Zn-⁶⁴Zn, ¹¹³Cd-¹¹¹Cd,
96 ²³⁶U-²³³U). Each element was purified using established anion-exchange methods (Weyer
97 et al., 2008; Dickson et al., 2020; Sweere et al., 2020). Mo-, Zn-, Cd- and U-isotope ratios
98 were measured on a Nu Plasma MC-ICP-MS at the University of Oxford. Isotope ratios are
99 expressed according to the following equation:

100

$$101 \left(\left[\frac{(R_{\text{sample}} - R_x)}{R_x} \right] \right) * 1000 \quad (1)$$

102

103 Where R_{sample} is ⁹⁸Mo/⁹⁵Mo, ⁶⁶Zn/⁶⁴Zn, ¹¹⁴Cd/¹¹⁰Cd and ²³⁸U/²³⁵U. R_x is NIST 3134 for Mo,
104 IRMM 3702 for Zn, NIST 3108 for Cd and CRM145 for U. Delta values for Mo and Zn were

105 corrected by 0.25 ‰ and 0.28 ‰, respectively, to place them on their zero-delta scales
106 (Nägler et al., 2014; Archer et al., 2017). External reproducibilities of ± 0.08 ‰, ± 0.07 ‰ and
107 ± 0.06 ‰ (all 2 S.D.) were determined for Mo, Zn and Cd by processing multiple aliquots of
108 USGS SDO-1 through the full analytical procedure. For U, reproducibility was determined
109 from sample replicates and repeated analyses of SRM 950a, giving a value of ± 0.1 ‰.
110 Procedural blanks were ~ 2 ng for Mo, 3 ng for Zn, and < 1 ng for Cd and U.

111

112 Hydrogen index (HI) values were measured using a Rock-Eval 6. %TOC data were
113 previously reported in Hooker et al. (2020). Accuracy and precision of the measurements
114 were quantified with an internal shale standard (St Audries Bay Shale) as ± 0.2 % for %TOC
115 and ± 15 mgHC/gTOC for HI. Highly reactive Fe species (Fe_{HR}) were determined with
116 established techniques (Poulton and Canfield, 2005). Replicate AAS analyses gave a RSD
117 of $< 5\%$ for all extraction steps. Total Fe concentrations (Fe_T) used to calculate Fe_{HR}/Fe_T
118 ratios were determined by XRF with uncertainties determined from replicate standards, of
119 $< 1\%$.

120

121 **RESULTS AND DISCUSSION**

122 **Metal Geochemistry of Immature and Over-Mature Deposits**

123 The mean isotopic compositions of Mo, U, Zn and Cd in bulk-rock analyses of the
124 Posidonienschiefer in cores A and B are the same within the associated uncertainties of the
125 methods (Fig. 3). Despite the fact that the two sedimentary successions are conservatively
126 correlated together (Fig. 2), stratigraphic profiles of the Mo-, U-, Zn- and Cd-isotope datasets
127 are also similar. *t*-tests of all four populations of isotope data from within the
128 Posidonienschiefer interval do not allow a null hypothesis, namely that the data are identical,
129 to be rejected at $> 95\%$ significance (Table 1). Slight differences in isotopic compositions

130 stratigraphically above and below the Posidonienschiefer are probably related to the lower
131 non-detrital concentrations of the elements in these deposits.

132

133 The similarity of the isotopic compositions of Mo, U, Zn and Cd in the immature and
134 overmature successions of cores A and B suggests either that these metals can be released
135 during thermally induced bond-reorganization and subsequently become re-fixed with
136 residual kerogen / pyro-bitumen or to inorganic minerals (e.g. pyrite). Alternatively, they may
137 be mostly inorganically bound within the mudrock matrix. Laboratory experiments are
138 consistent with these mechanisms by showing that a high fraction of the metal inventory of
139 pyrolyzed Posidonienschiefer rocks resides within a non-extractable phase (Dickson et al.,
140 2020). The mobilization of a significant fraction of metals to organic fluids during secondary
141 migration is not supported by either the high concentrations of Mo, U, Zn and Cd in the
142 overmature deposits of core B (Fig. 3), or by the low abundances of these metals that have
143 been measured in organic fluids produced during pyrolysis-induced thermal cracking and in
144 natural oils (Odermatt and Curiale, 1991; Ventura et al., 2015; Dickson et al., 2020).

145

146 The mean concentrations of Mo, U, Zn and Cd are consistently higher in core B than in core
147 A (Fig. 3). The magnitudes of these differences are 45% for Mo, 185% for U, 22% for Zn
148 and 10% for Cd, which are similar to increases in metal concentrations observed in the
149 residues of artificially pyrolyzed rock samples (6–57% for Mo, 21–52% for Zn and 15–19%
150 for Cd) (Dickson et al., 2020). Stratigraphic variability in the down-core profiles of the metals,
151 however, means that the populations of concentration data are only significantly different
152 (>95%) for Mo and U (Table 1).

153

154 The LSB contains active petroleum systems, for which the Posidonienschiefer is a major
155 source rock (Kockel et al., 1994). During catagenesis, source rocks with Type II kerogen

156 can lose >30–40% of their original organic matter due to cracking and secondary migration
157 (Raiswell and Berner, 1987), which is similar to previous estimates of organic-carbon loss
158 from mature Posidonienschiefer successions in northern Germany (Littke et al., 1991). The
159 differences in metal concentrations between core A and core B can be linked to the loss of
160 substrate mass caused by the secondary migration of organic fluids, but with a smaller loss
161 of metals. This interpretation is consistent with the limited isotopic differences between the
162 two cores, which point to the retention of metals within the thermally altered rocks in core B.

163

164 The attribution of geochemical differences (or lack thereof) between cores A and B to
165 contrasting thermal histories requires that the original depositional conditions at the two
166 locations were the same. The long residence times of Mo, U, Zn and Cd (10,000–500,000
167 years in the modern ocean, Ku et al., 1977; Bruland, 1980; Miller et al., 2011; Little et al.,
168 2013), imply that their isotopic compositions in seawater (deep ocean for Cd and Zn) would
169 be near homogenous. Even if the marginal marine basins of the Early Jurassic
170 epicontinental European shelf sea experienced a ~10-fold decrease in trace-metal
171 concentrations similar in magnitude to estimates for Cretaceous Oceanic Anoxic Event 2
172 (Owens et al., 2016), seawater values are still unlikely to have decreased by enough to
173 cause isotopic heterogeneity *within* the LSB waters that would be resolvable between the
174 two core locations.

175

176 Small differences in paleo-depositional conditions may, however, have influenced trace-
177 metal concentrations. Both cores contain similar thicknesses of the Posidonienschiefer (~30
178 m) that indicates a first-order similarity in accumulation rates. However, core A contains
179 higher concentrations of detrital elements such as Al and Ti than core B, suggesting that it
180 was deposited in a more proximal setting (Fig. S1). Similarly, the concentration of organic
181 carbon in core B is only slightly less than in core A, despite the fact that a large amount of

182 'original' organic matter may have been lost as migrated hydrocarbons during maturation
183 (Raiswell and Berner, 1987). A higher original %TOC for core B than core A (i.e. >15%)
184 would account for this observation and would support the contention that core B was located
185 in a more distal, perhaps more subsident and deeper water, part of the LSB where the
186 potential for accumulation of organic matter was greater. Slightly higher metal accumulation
187 rates, coupled with less dilution by detrital phases in the deeper parts of the LSB, may thus
188 have contributed to the difference in metal concentrations between the two cores. The slight
189 differences in paleo-depositional conditions are supported by basin modelling (Bruns et al.,
190 2013) and explain why metal/TOC ratios in core B overlap with metal/TOC ratios in core A
191 (Fig. S2). Nonetheless, higher average metal/TOC ratios in core B, particularly for Mo and
192 U, are consistent with laboratory experiments that indicate percent level increases in
193 metal/TOC ratios with increasing maturity. These changes probably occurred due to the loss
194 of organic matter with a low metal content during secondary migration (Dickson et al., 2020).
195 In thermally altered mudrocks, these ratios are therefore maximum estimates of their syn-
196 depositional values.

197

198 **Isotopic Constraints on the Composition of Early Jurassic Seawater**

199 Preservation of the isotopic composition of metals in the ~182 Ma Posidonienschiefer allows
200 the corresponding values in seawater in the LSB to be constrained. Fe-speciation data (Fig.
201 1) suggest that the entire shale unit was deposited under euxinic conditions. Cross-plots of
202 Cd/Mo versus Mn*Co (Sweere et al., 2016) support a depositional environment similar to
203 the modern Black Sea (Fig. S3), where the isotopic compositions of Cd, Zn and Mo are
204 similar to the global deep ocean (Neubert et al., 2008; Vance et al., 2016; Bryan, 2018).
205 Thus, the isotopic compositions of these elements in LSB seawater were close to $\sim 0.07 \pm$
206 0.13 ‰ , $\sim 0.58 \pm 0.20 \text{ ‰}$ and $\sim 1.70 \pm 0.42 \text{ ‰}$, respectively (average and 2 S.D. of Cores A
207 and B). U isotopes in shales are dominated by the burial of isotopically heavy U(IV) that is

208 offset from coeval seawater by $\sim+0.6$ ‰ (Andersen et al., 2014): the average of the
209 Posidonienschiefer samples (0.09 ± 0.23 ‰) therefore equates to a LSB seawater estimate
210 of ~-0.5 ‰. The reconstructed Zn-isotope composition of LSB seawater overlaps with that
211 of the modern deep ocean, unlike the Cd-, Mo- and U-isotope values that are all lower.

212

213 The very low Cd-isotope value is close to that of the Bulk Silicate Earth (~ 0 ‰, Schmitt et
214 al., 2009). Biological fractionation in the upper ocean would have increased the Cd-isotope
215 composition of water entering the LSB across a shallow sill, so such a mechanism cannot
216 explain these low values. Likewise, there is no isotopically heavy removal sink for Cd in the
217 oceans that could produce such low values. The large-scale burial of Cd into marine
218 sediments globally could move the oceanic composition close to that of the weathering input
219 fluxes but would require a large change in global Cd cycling that cannot be resolved from
220 the LSB data alone. The inferred LSB seawater Mo-isotope composition is probably a
221 minimum estimate, given that sediments accumulating in some euxinic basins may be offset
222 from coeval seawater by -0.5 to -0.7 ‰ (Dickson, 2017). Nonetheless, the LSB value is
223 consistent with data from similar-age shales in southern Germany, the Netherlands and
224 Yorkshire, UK (Dickson et al., 2017). The U-isotope composition of LSB seawater probably
225 reflects a slightly greater burial flux of U(VI) into marine sediments in the Early Jurassic
226 compared to the modern situation.

227

228 **CONCLUSIONS**

229 A recent study found limited isotopic variation in Mo, Zn and Cd during laboratory pyrolysis
230 of organic-rich rocks, while finding percent-level increases in metal concentrations with
231 maturation (Dickson et al., 2020). However, the rapid laboratory heating rates, compared to
232 geological conditions, make their application to natural systems uncertain. The new data
233 from the LSB confirm the relevance of these findings to natural systems, despite the

234 significantly different timescales (millions of years versus weeks) and magnitudes (tens of
235 °C versus hundreds of °C) of heating in each situation. Importantly, the new data
236 demonstrate that metal-isotope compositions in organic-rich rocks are not significantly
237 affected by thermal alteration of organic matter in the host substrate, and thus retain primary
238 paleo-chemical information. By contrast, metal concentrations may be elevated above their
239 syn-depositional values in highly mature rocks, thus giving maximum constraints on the
240 concentrations of these elements in ancient sediments.

241

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417 **Figure captions**418 **Figure 1:** Location of the Lower Saxony Basin (LSB) in the Early Jurassic (c. 180 Ma).

419 Modern shorelines are shown as dashed lines.

420

421 **Figure 2:** Stratigraphy of core A (circles) and core B (squares). Core B has been placed on

422 the depth scale of core A by identifying tie-points (arrows) at the top and bottom of the

423 organic-rich interval and at the peak in Mo concentrations in the lower part of the unit (shown

424 in Fig. 3), and linearly interpolating depths between these tie-points. The horizontal dashed

425 lines indicate the stratigraphic position of the Posidonienschiefer.

426

427 **Figure 3:** Metal geochemical profiles for core A (circles) and core B (squares). Core B is

428 plotted on the core A depth scale, as defined in Fig. 1. The 2 S.D. external reproducibilities

429 for each isotope system are shown by horizontal bars.

430

431

| Variable | Core A | | | Core B | | | t-value | p-value |
|-----------------------------|--------|--------------------|------------------|--------|--------------------|------------------|---------|---------|
| | Mean | Standard deviation | No. observations | Mean | Standard deviation | No. observations | | |
| <i>TOC, %</i> | 10.6 | 2.2 | 31 | 9.5 | 1.9 | 32 | 2.177 | 0.033 |
| <i>HI</i> | 694 | 22 | 31 | 3 | 4 | 32 | 168.26 | <0.0001 |
| <i>[Mo], ppm</i> | 70.5 | 39.9 | 31 | 101.9 | 52.3 | 31 | -2.66 | 0.010 |
| $\delta^{98/95}\text{Mo}$ | 1.67 | 0.22 | 31 | 1.70 | 0.22 | 31 | -0.608 | 0.545 |
| <i>[Zn], ppm</i> | 178 | 97 | 15 | 217 | 80 | 13 | -1.165 | 0.255 |
| $\delta^{66/64}\text{Zn}$ | 0.58 | 0.11 | 15 | 0.59 | 0.10 | 12 | -0.372 | 0.713 |
| <i>[Cd], ppm</i> | 1.7 | 1.1 | 17 | 1.8 | 0.6 | 15 | -0.524 | 0.605 |
| $\delta^{114/110}\text{Cd}$ | 0.02 | 0.17 | 14 | 0.05 | 0.09 | 13 | -0.558 | 0.583 |
| <i>[U], ppm</i> | 5.7 | 2.4 | 15 | 16.1 | 11.8 | 10 | -2.777 | 0.021 |
| $\delta^{238/235}\text{U}$ | 0.08 | 0.09 | 15 | 0.12 | 0.12 | 11 | -1.016 | 0.323 |

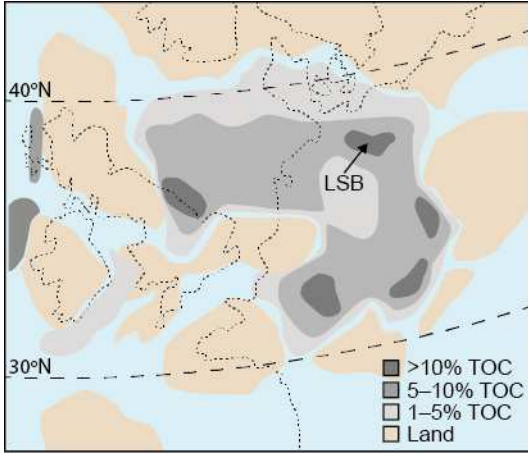
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433 **Table 1:** t-test results. Variables for which the null hypothesis (no difference between Core

434 A and B) can be rejected at >95% significance are italicized.

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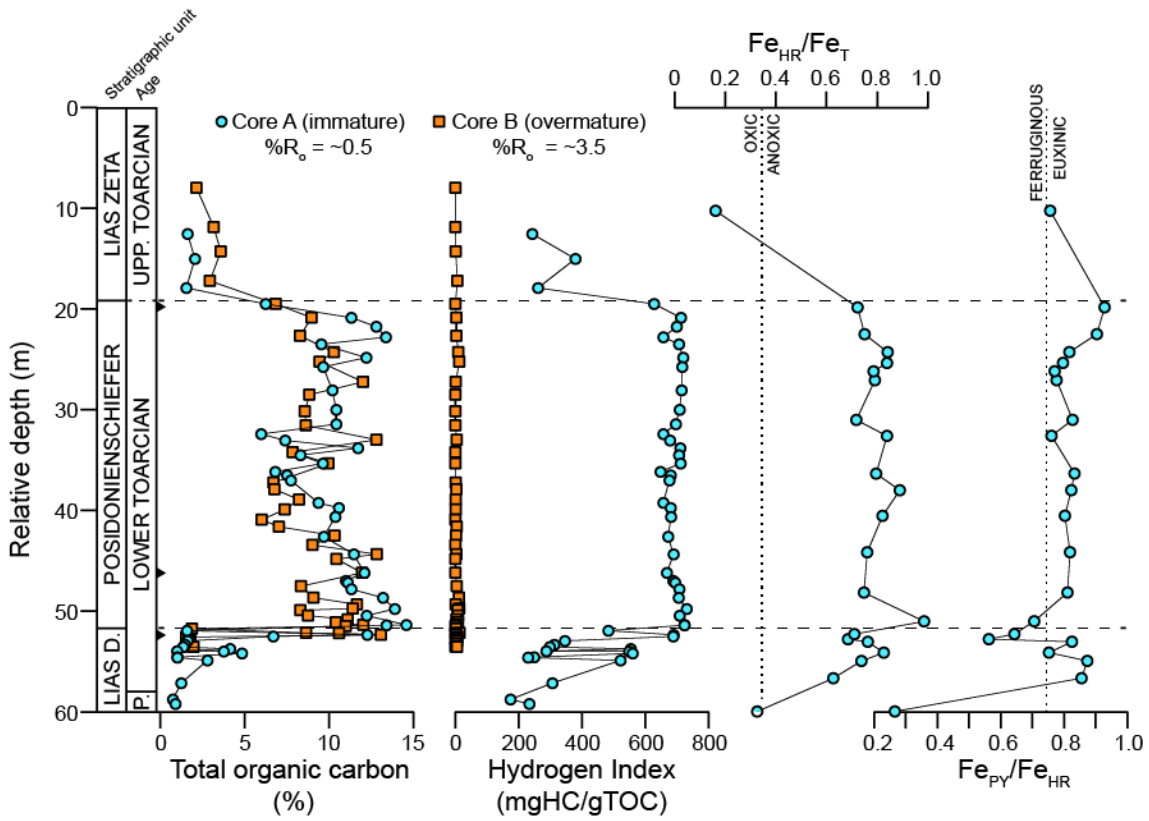
436 Figure 1



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439 Figure 2



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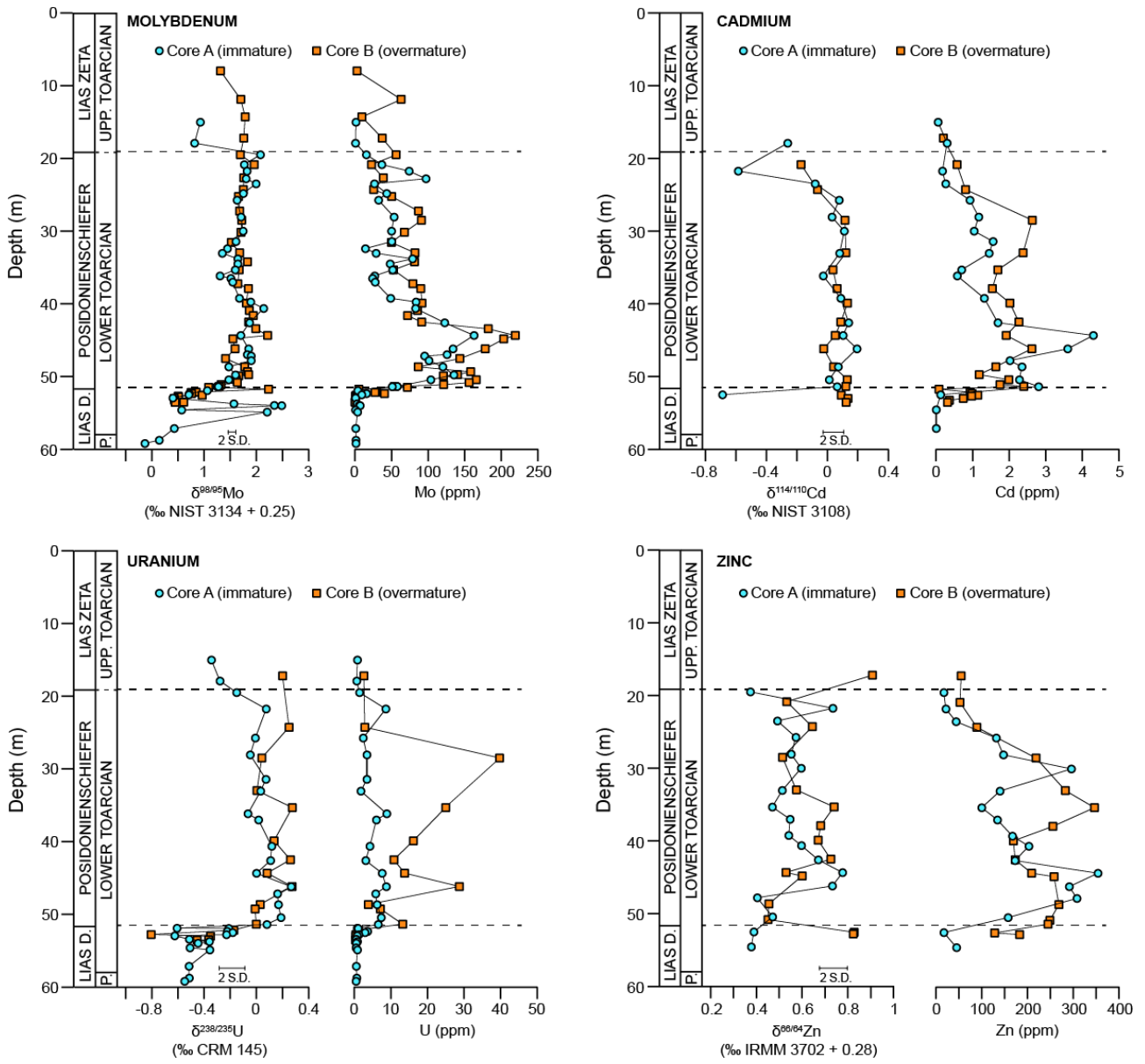
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445 Figure 3

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