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1 **A governing equation for rotor and wavelet number in**
2 **human clinical ventricular fibrillation: Implications for**
3 **sudden cardiac death**

4

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34

35 ABSTRACT

36

37 Rationale

38 Ventricular fibrillation (VF) is characterised by multiple wavelets and rotors. No equation to predict the
39 number of rotors and wavelets observed during fibrillation has been validated in human VF.

40

41 Objective

42 We hypothesized a single equation derived from a Markov $M/M/\infty$ birth-death process, could predict the
43 number of rotors and wavelets occurring in human clinical VF.

44

45 Methods

46 Epicardial induced VF (256-electrodes) recordings obtained from patients undergoing cardiac surgery
47 were studied (n=12 patients, n=62 epochs). Rate constants for phase singularity (PS, which occur at
48 the pivot points of rotors) and wavefront (WF) formation and destruction were derived by fitting
49 distributions to PS and WF inter-formation and lifetimes. These rate-constants were combined in an
50 $M/M/\infty$ governing equation to predict the number of PS and WF in VF episodes. Observed distributions
51 were compared to those predicted by the $M/M/\infty$ equation.

52 Results

53 The $M/M/\infty$ equation accurately predicted average PS and WF number and population distribution,
54 demonstrated in all epochs. Self-terminating episodes of VF were distinguished from VF episodes
55 requiring termination by a trend towards slower PS destruction, and slower rates of PS formation, and
56 a slower mixing rate of the VF process, indicated by larger values of the second-largest eigenvalue
57 modulus (SLEM) of the $M/M/\infty$ birth-death matrix. The longest-lasting PS (associated with rotors) had
58 shorter inter-activation time intervals compared to shorter lasting PS lasting <150 ms (~1 PS rotation in
59 human VF).

60

61 Conclusions

62 The $M/M/\infty$ equation explains the number of wavelets and rotors observed, supporting a paradigm of
63 VF based on statistical fibrillatory dynamics.

64 Keywords

65 *Ventricular fibrillation, rotors, wavelets, mechanisms, phase singularities, renewal process*

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INTRODUCTION

Ventricular fibrillation (VF) is a condition that occurs when the normally synchronised rhythm of the heart breaks down in the heart's two bottom chambers, causing the heart to beat in a rapid and erratic manner.¹ VF is the leading cause of sudden cardiac death.² This lethality has made it difficult to study the mechanisms responsible for causing and sustaining VF^{3 4}

Although several theories have been put forward, the mechanisms underlying VF remain incompletely understood.⁴ Classical hypotheses for the VF mechanism include the multiple-wavelet and rotor theories⁴⁻⁶, but these theories do not offer a governing equation to predict the number of rotors or wavelets likely to be observed during a VF episode.

Recently, we developed such a governing equation to study the population dynamics of rotors and wavelets in atrial fibrillation (AF).⁷ We demonstrated that the formation and destruction of rotors and wavelets could be characterized by rate constants λ_f and λ_d , and that these could be combined in an M/M/ ∞ birth-death process to develop stationary state equations to predict the number and population distribution of rotors and wavelets. We therefore hypothesized that the previously developed governing equation could similarly be applied to human clinical VF to explain the population dynamics of rotors and wavelets.

100 Theory – Explanation of the origin of the M/M/ ∞ birth-death equation

101 This section explains the background for the proposed VF governing equation
102 (Schematic provided in Figure 1). This theory was then applied to human VF recordings.

103 Human VF is characterized by spatiotemporally disordered wave propagation, with
104 repetitive regeneration of rotors and wavelets. Reasoning from the intrinsic spatiotemporal
105 disorder of fibrillation, we hypothesize that individual formation and destruction events of
106 phase singularities (PS) and wavefronts (WF) (which occur at the pivot of rotors/the free-ends
107 of wavelets, and depict the front of an excitation wave respectively, Figure 1) may be
108 effectively statistically independent, and therefore converge to predictable rates.^{7,8} This gives
109 rise to exponential or ‘*Markovian*’ PS and WF inter-event time distributions.^{7,8} For PS and WF
110 lifetimes (time taken for PS or WF to die) this distribution is given by:

$$111 \quad f(t) = \{\lambda_d e^{-\lambda_d t} \quad t \geq 0\} \quad (1)$$

112 where t is time, and PS λ_d the PS destruction rate, and WF λ_d the WF destruction rate.⁸

113 Similarly, PS and WF inter-formation time distribution (time between consecutive new
114 PS or WF formations) is given by:

$$115 \quad f(t) = \{\lambda_f e^{-\lambda_f t} \quad t \geq 0\} \quad (2)$$

116 where t is time, and PS λ_f the PS formation rate, and WF λ_f the WF formation rate.⁸

117 Here, we further hypothesized that PS and WF λ_f and λ_d could be combined in an
118 M/M/ ∞ birth-death process to develop a governing equation to model the population
119 distribution of rotors and wavelets in VF. An M/M/ ∞ birth-death process is a continuous-time
120 Markov chain used when new events have a Markovian rate of arrivals and destruction
121 (denoted by ‘M’).⁷ The ∞ denotes the concept that new PS or WF are potentially

122 immediately available for destruction as soon as they are formed. (S1). The governing
123 M/M/∞ equation gives the probability (P_n) of having a population size n of PS or WF⁹:

$$124 \quad P_n = \frac{\left(\lambda_f/\lambda_d\right)^n e^{-\lambda_f/\lambda_d}}{n!} \quad (3)$$

125 The average number (N) of PS or WF is summarized using the expectation of the
126 governing M/M/∞ equation⁹:

$$127 \quad N = \frac{\lambda_f}{\lambda_d} \quad (4)$$

128 where λ_f and λ_d are either the rates of PS or WF formation and destruction respectively. We
129 have previously shown an M/M/∞ birth-death process could model PS and WF population
130 dynamics in AF.⁷

131 As a further means of gaining insight into the population dynamics of rotors and
132 wavelets, we studied the M/M/∞ Markov transition matrix properties. A key property of
133 this transition matrix is the '*mixing rate*', which represents the time taken to reach the
134 stationary state distribution, expressed in terms of the interaction of the formation process
135 (governed by PS or WF λ_f) and the destruction process (governed by PS or WF λ_d). The
136 mixing rate is specifically given by¹⁰:

$$137 \quad \text{Mixing rate} = \log(1 - z) \quad (5)$$

138 where z is the second largest eigenvalue modulus (or SLEM) of the Markov transition matrix
139 (S7). In this study, we specifically hypothesized that VF termination would occur due to a
140 deviation from the stationary state of VF dynamics, due to this distribution being reached
141 more slowly (reflected by a slower mixing rate).⁷

142 **Human clinical VF recordings**

143 The human VF study is as described by Nash et al. ⁴ The study recruited patients

144 undergoing routine coronary bypass graft procedures for ischemic heart disease with cross-
145 clamp fibrillation. These studies were approved by the Hospital Ethics Committee (REC
146 01/0130), and informed consent obtained. Individual patient details are given in Supplemental
147 Table 1 (S2). During the procedure, cardiopulmonary bypass was instituted, and VF induced
148 using 50Hz burst pacing. 30 seconds of control VF was recorded with myocardial perfusion,
149 and the aorta then cross-clamped to achieve global myocardial ischemia. After 150 seconds,
150 the cross-clamp was removed to allow coronary reflow, and a further 30 seconds recorded
151 before defibrillation. We compared the characteristics of sustained episodes, which lasted the
152 full 210 seconds of recording, to episodes which self-terminated spontaneously without
153 requiring defibrillation.

154 Recordings were obtained using a 256-electrode epicardial sock (interelectrode
155 spacing-10 mm) fitted over the ventricles.⁴ Unipolar epicardial electrograms were sampled at
156 1kHz (UnEmap system, Uniservices Ltd, New Zealand) and preprocessed as previously
157 described.^{4, 7, 8} To allow for phase singularity (PS) and wavefront (WF) detection, 3-
158 dimensional mesh vertices were mapped onto a 2D polar plot using a cone-shaped surface
159 projection and Delaunay triangulation (S3).⁴ Electrode potentials were linearly interpolated
160 onto a fine regular 2-dimensional grid (100x100 points), and instantaneous phase calculated
161 using the Hilbert transform (S4).⁸

162 PS and WF detection were performed using a previously established algorithm (S5).⁴
163 New PS were defined as the detection of a PS not falling within the surrounding electrode
164 neighborhood of radius 8mm of another PS for a duration >10ms. As a sub-group analysis,
165 PS lasting >200ms (to ensure at least 1 full rotation period) were separately analyzed.
166 Wavelets were detected by identifying lines of zero phase. A graph theory approach was
167 used to identify new wavelets, as well as track wavelet splitting and merging events (S5).^{7, 11}

168 **Statistical analysis**

169 PS and WF tracking was used to calculate PS & WF lifetimes (times taken for PS or
170 WF to die) and inter-formation times (times between consecutive PS or WF formations).
171 Modelling of PS and WF inter-formation times and lifetimes distributions was performed using
172 a maximum likelihood approach, using an automated model selection method based on the
173 Akaike Information Criterion (AIC) (S6). As confirmation, a chi-squared (χ^2) goodness of fit
174 statistic was used to verify the conformance of λ_f and λ_d with an exponential-type
175 distribution. The autocorrelation of PS and WF lifetimes and inter-formation times was also
176 assessed to verify statistical independence (S8).

177 To test the hypothesis that the number and population distribution of PS/ WF could be
178 predicted by the governing equation, the average number and population distribution
179 calculated using the equation was compared to that directly observed using bivariate
180 Pearson's correlation, and a chi-squared (χ^2) goodness of fit statistic (accepted significance
181 suggesting a good fit at $\alpha > 0.05$).

182 To understand the differences in renewal process characteristics between self-
183 terminating and sustained VF episodes, λ_f , λ_d and the mixing rate in sustained VF episodes
184 were compared to those from spontaneously terminating VF episodes using an independent
185 samples t-test with $P < 0.05$ indicating significant differences. Mean dominant frequency (DF)
186 and VF cycle length (CL) in sustained versus spontaneously terminating VF episodes were
187 also studied using independent samples t-tests (S9).

188 To evaluate the effect of VF progression, we studied how the parameters λ_f and
189 λ_d evolved i) through different stages of perfused VF, ischemia and reflow, and ii) temporally
190 as each VF episode progressed over time. Specifically, we used generalized linear mixed
191 effects models to study changes in λ_f and λ_d (set as the target variable) when either stage
192 (perfusion, ischemia and reflow), or time was set as the fixed effect respectively. Specifically,

193 we reasoned that the use of 5-sec windows allows an increase in the temporal resolution of
194 the changes occurring for λ_f and λ_d .

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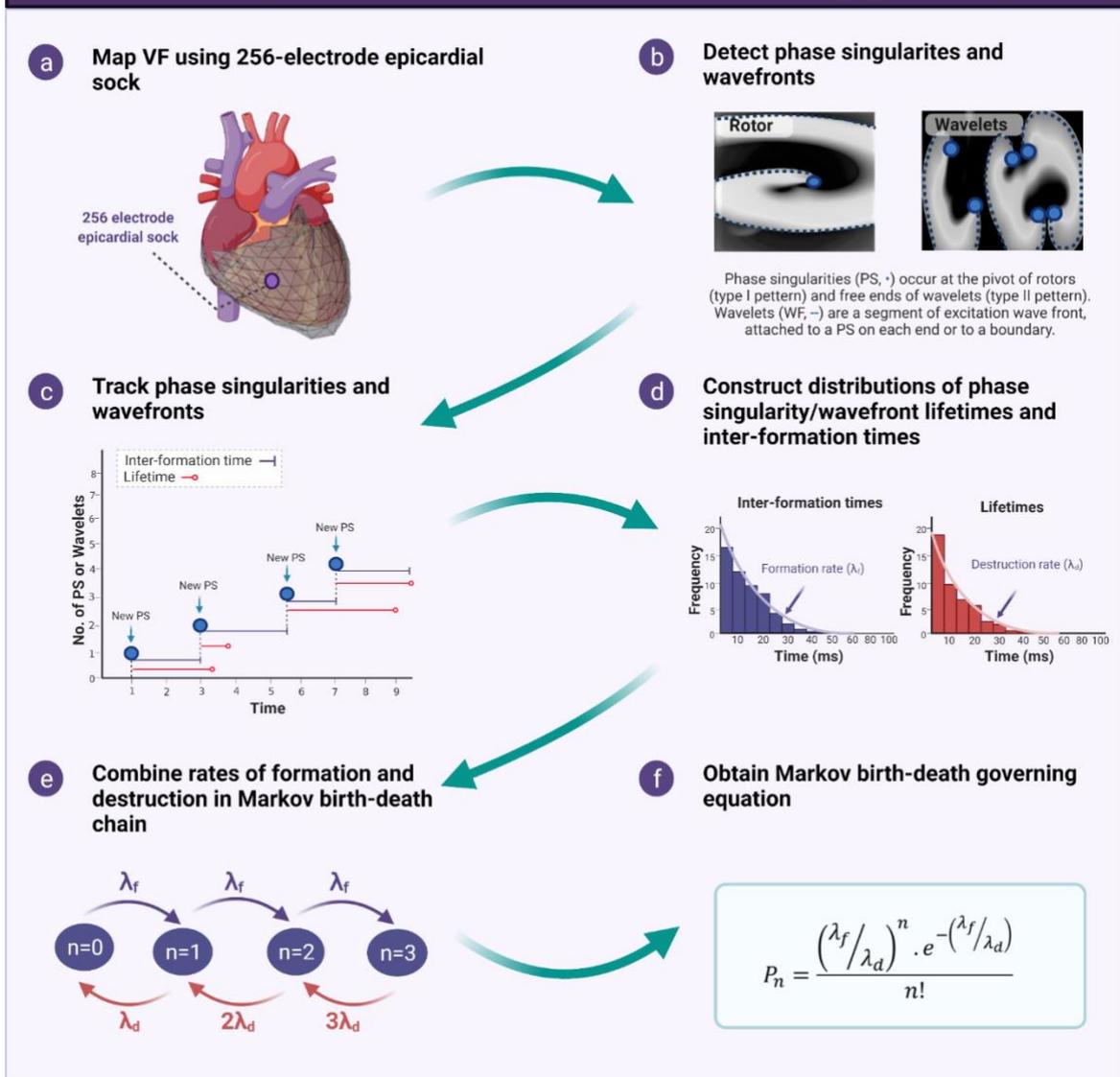
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Methods - Obtaining the governing equation of rotor and wavelet population dynamics



202

203 Figure 1: Methods – Obtaining the governing equation of rotor and wavelet population
 204 dynamics for human clinical VF data

205 To develop a governing equation of rotor and wavelet population dynamics, VF was mapped
 206 using a 256-electrode sock (**1A**), and phase singularities (PS) and wavefronts (WF) were
 207 detected using phase mapping (**1B**). PS and WF were tracked to measure their lifetimes and
 208 inter-formation times (**1C**). Distributions were constructed from PS and WF lifetimes (times
 209 taken for PS and WF to die) and inter-formation times (times between new consecutive PS
 210 or WF formations) and fit using maximum likelihood fitting and Akaike Information Criterion
 211 to: i) determine the underlying type of birth-death process and ii) measure rates of PS and
 212 WF formation and destruction (**1D**). Rates of formation and destruction are combined in a
 213 Markov birth-death chain modelled by the type of birth-death process underlying PS and WF
 214 formation and destruction (**1E**) to produce the governing equation of PS and WF dynamics
 215 (**1F**).

216

217 RESULTS

218 Identifying the type of birth-death process underlying PS formation and 219 destruction

220 PS lifetimes fit to an exponential distribution over all stages of VF

221 To identify the type of birth-death process underlying PS destruction, PS lifetimes were
222 fit to a range of test distributions and the AIC measured (summary in Supplemental table 2,
223 S11) in n=12 patients (n=8 sustained VF, 56 epochs; n=4 self-terminating VF, 6 epochs) over
224 perfusion, ischemia and reflow stages. The most consistent fit was found to be the exponential
225 (i.e. Markovian), with renewal rate parameter PS λ_d (all cases mean PS λ_d : 0.0097
226 (95%CI, 0.0079, 0.0115)), ischemia (mean PS λ_d : 0.0098 (95%CI, 0.0095, 0.10)) and reflow
227 (mean PS λ_d : 0.0103 (95%CI, 0.0097, 0.0109)) (Supplemental table 2, S11). Example
228 distributions from a single patient are shown in Figure 2A-C.

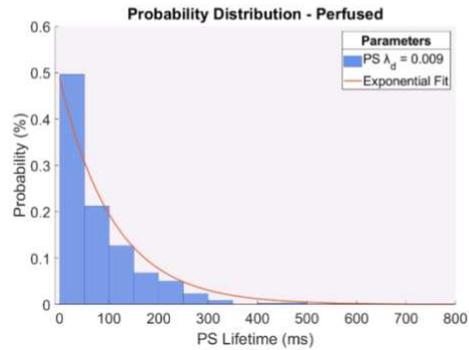
229 PS inter-formation times fit to an exponential distribution over all VF stages

230 PS inter-formation times consistently fit to the exponential (Supplemental Table 2,
231 S121) in n=12 patients over all VF stages. Figures 3D-F demonstrate example PS inter-
232 formation time distributions from a single patient over perfusion, ischemic and reflow stages
233 respectively, which are consistent with an exponential (Supplemental table 2, S11). The mean
234 rate parameter PS λ_f for all patients during perfusion was 0.0172 (95%CI 0.0153, 0.0190),
235 ischemia 0.0185 (95%CI, 0.0176, 0.0194), and reflow 0.0198 (95%CI, 0.0183, 0.213)
236 (Supplemental table 2, S11).

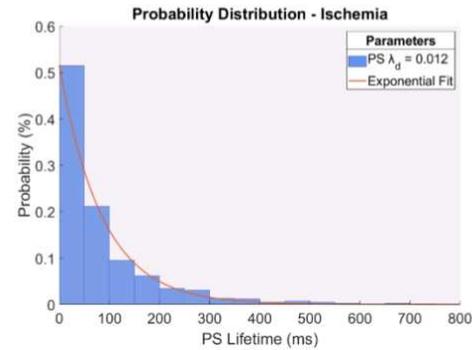
Distributions of phase singularity inter-formation times and lifetimes best fit to the exponential distribution

Distribution fitting over various stages of VF - PS lifetimes

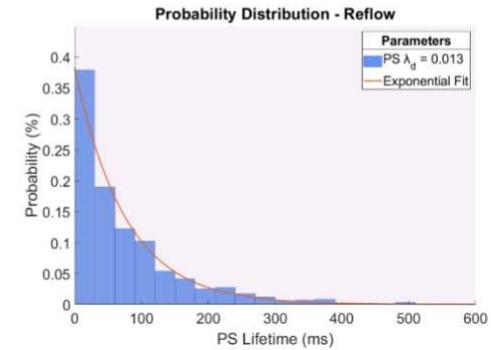
a  30 Sec



b  150 Sec

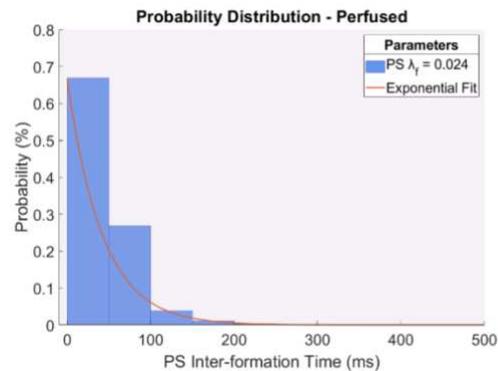


c  30 Sec

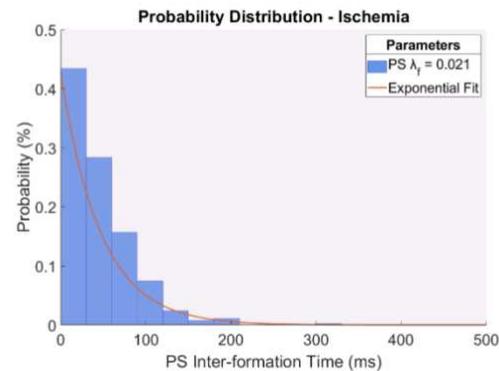


Distribution fitting over various stages of VF - PS inter-formation times

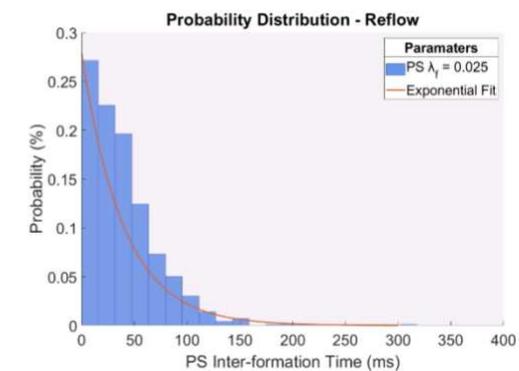
d  30 Sec



e  150 Sec



f  30 Sec

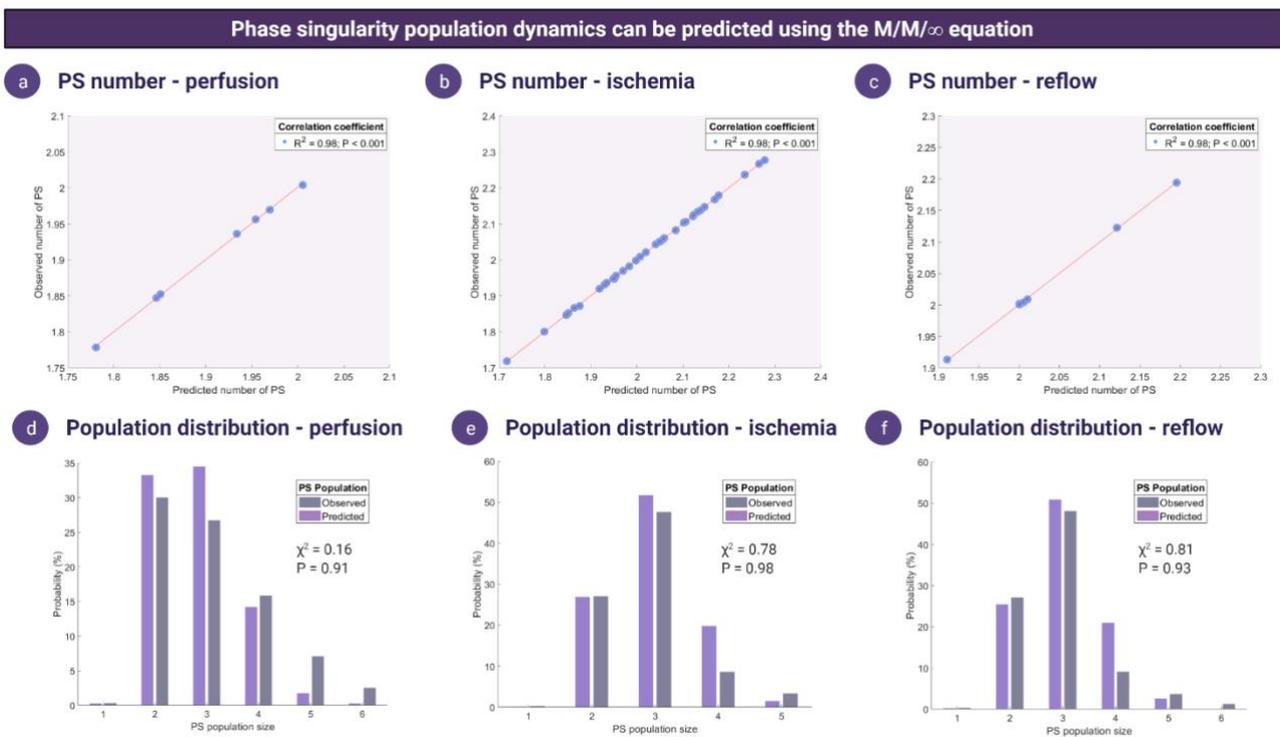


238 Figure 2: Fitting of PS inter-formation time and lifetime distributions in human clinical VF data was consistent with underlying Markovian
239 processes
240 (2A-C) PS lifetimes were consistent with an exponential distribution over perfusion, ischemia and reflow stages (χ^2 P>0.05, indicating a good
241 fit), consistent them arising from an underlying Markov process. Distributions shown were constructed with a single example 30 second epoch
242 during perfusion, ischemia (first 30secs) and reflow. (2D-F) PS inter-formation time distributions were also consistent with an exponential over
243 all stages (χ^2 P>0.05), which exhibits the 'Markovian' property.

244 **M/M/ ∞ governing equation predicts PS population dynamics in human**
 245 **sustained VF**

246 Figures 3A-C show the predicted average and observed PS number were correlated
 247 in all sustained VF epochs ($R^2=0.98$; $P<0.001$). Predicted PS population distributions, shown
 248 in purple, were compared to the observed population distribution of PS, shown in grey
 249 (examples Figure 3D-F), with χ^2 goodness-of-fit-test demonstrating close matching in all
 250 epochs ($\chi^2 P>0.05$ all sustained cases, summary in Supplemental table 4, S13). A greater
 251 probability of seeing fewer PS was observed at the beginning of the VF episode (perfusion),
 252 versus the end of the recording (reflow).

253



254 **Figure 3: Phase singularity population dynamics can be predicted using the M/M/ ∞ equation**
 255 **in human clinical VF data**

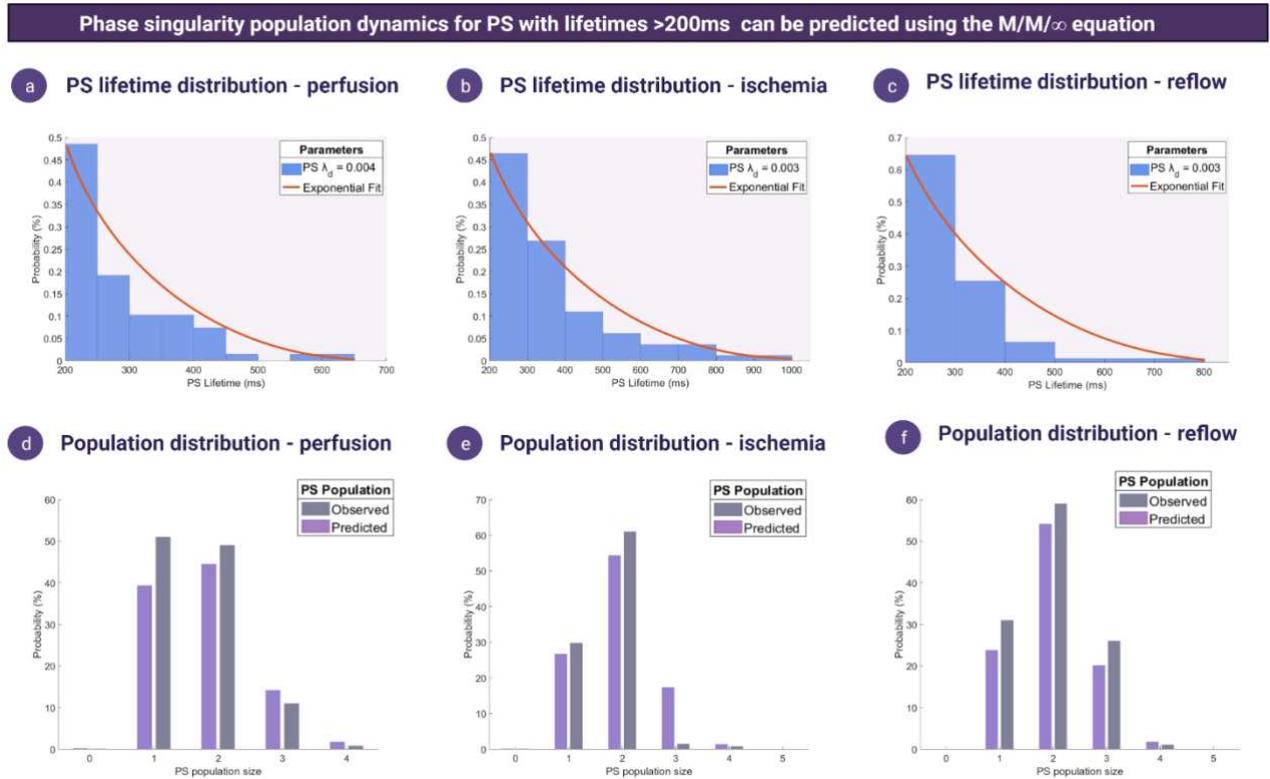
256 **(3A-C)** Predicted versus observed PS number are highly correlated ($R^2=0.98$) in epochs and
 257 stages of VF (examples shown for a single 30sec epoch during perfusion, ischemia (first
 258 30secs) and reflow stages). This suggests that the governing equation accurately summarises
 259 the average PS number observed in VF. **(3D-F)** Predicted PS population distributions fit to
 260 observed PS population distributions, with $\chi^2 P>0.05$, indicating a good fit.

261 **M/M/ ∞ governing equation predicts population dynamics of more sustained PS**
262 **with lifetimes > 200ms**

263 An important consideration is whether the governing equation would apply to longer-
264 lasting PS, arising from spiral waves where at least 1 full rotation should have occurred. To
265 distinguish this subgroup, we repeated analyses on PS with lifetimes >200ms (to ensure that
266 at least 1 full rotation period had been completed). We showed that for this subgroup, inter-
267 formation times (mean χ^2 p-value perfused = 0.18 (95%CI,0.03,0.39); ischemia = 0.88
268 (95%CI,0.007,0.17); reflow = 0.06(95%CI,0.011,0.25)) and lifetimes (mean χ^2 p-value
269 perfused = 0.16 (95%CI,0.02,0.34); ischemia = 0.08 (95%CI,0.05,0.16); reflow =
270 0.06(95%CI,0.011,0.21) processes also consistently fit to the exponential.

271 Observed PS population distributions also closely matched those predicted by the
272 M/M/ ∞ governing equation (example Figure 4) with χ^2 goodness-of-fit-testing demonstrating
273 close matching (χ^2 P>0.05 all sustained cases, summary in Supplemental table 5, S14).

274



276 Figure 4: Phase singularity population dynamics for PS with lifetimes >200ms can be
 277 predicted using the $M/M/\infty$ equation

278 **(4A-C)** PS lifetime distributions for PS with lifetimes >200ms generate exponential
 279 distributions (examples shown for a single 30sec epoch during perfusion, ischemia (first
 280 30secs) and reflow stages). **(4D-F)** Predicted PS population distributions fit to observed PS
 281 population distributions, with χ^2 $P > 0.05$, indicating a good fit.

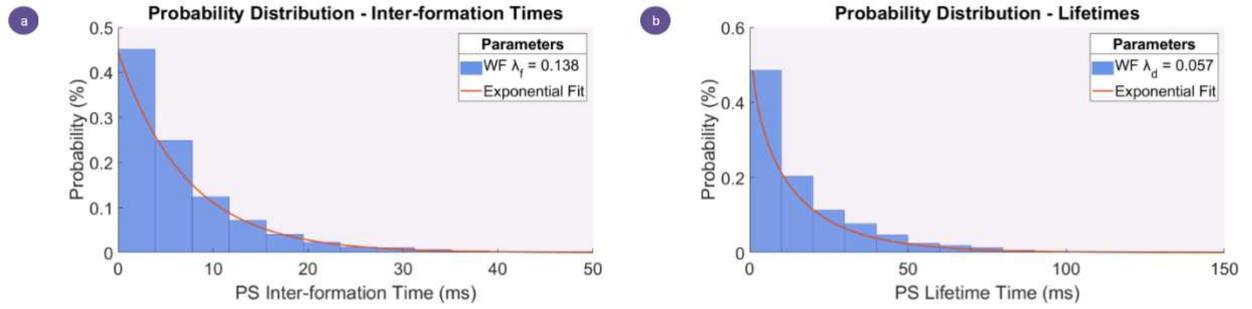
283 M/M/ ∞ governing equation predicts WF population dynamics

284 Analyses were repeated in all epochs of VF for wavefronts (WF) to further assess the
285 application of the M/M/ ∞ governing equation. WF inter-event distributions fit best to the
286 exponential indicated by the AIC (Supplemental table 3, S10). Renewal rate constants WF λ_f
287 and WF λ_d were given by the exponential rate parameter, which were combined in the M/M/ ∞
288 equation to predict WF population dynamics. Mean WF λ_f in sustained VF cases for perfusion
289 was 0.192 (95%CI,0.128,0.256), 0.240 (95%CI, 0.201,0.274) for ischemia, and 2.96
290 (95%CI,0.220,0.372) for reflow. Mean WF λ_d in sustained VF cases was 0.0748
291 (95%CI,0.0569,0.0.748) for perfused VF, 0.0833 (95%CI,0.0745,0.0921) for ischemia, and
292 0.985 (95%CI,0.0757,1.121) for reflow. The predicted average and observed WF number
293 were highly correlated ($R^2 > 0.99$; Figure 5C-E) and predicted WF population distributions fit
294 to observed distributions with $\chi^2 P > 0.05$ in all epochs (summary in Supplemental table 5, S15)
295 (Figure 5F-H).

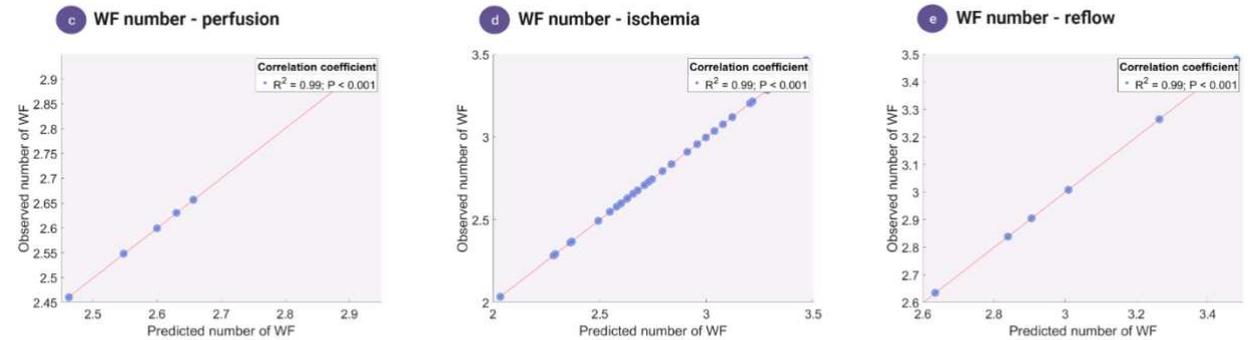
296 When compared to PS λ_f in sustained cases of VF all stages (perfusion, ischemia and
297 reflow), WF λ_f was significantly higher ($P < 0.001$), suggesting higher rates of WF formation.
298 However, PS λ_f and WF λ_f were correlated ($R = 0.61$). Similar results were seen for WF λ_d
299 when compared to PS λ_d , with WF λ_d being significantly faster ($P < 0.001$) and indicating higher
300 rates of WF formation. WF λ_d and PS λ_d were also correlated ($R = 0.59$).

Wavefront population dynamics can be predicted using the M/M/ ∞ equation

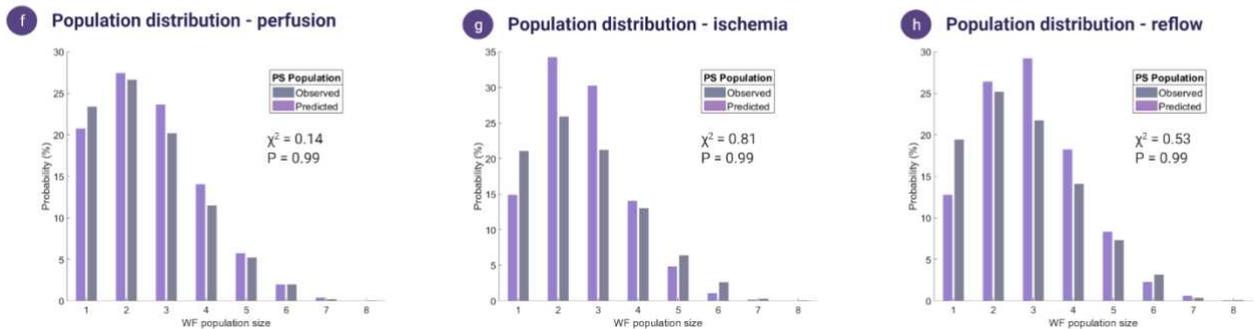
WF lifetime and inter-event time distribution fitting



Average number of WF can be accurately predicted



WF population distributions can be accurately predicted



301

302 Figure 5: Wavefront population dynamics can be predicted using the M/M/ ∞ equation in
 303 human clinical VF data

304 (5A-B) WF inter-formation and lifetime distributions fit best to the exponential. (5C-E)
 305 Predicted versus observed WF number are highly correlated in all epochs and over all stages
 306 of VF ($R^2 > 0.99$ all epochs). (5F-H) Predicted WF population distributions fit to observed WF
 307 distributions ($P > 0.05$).

308

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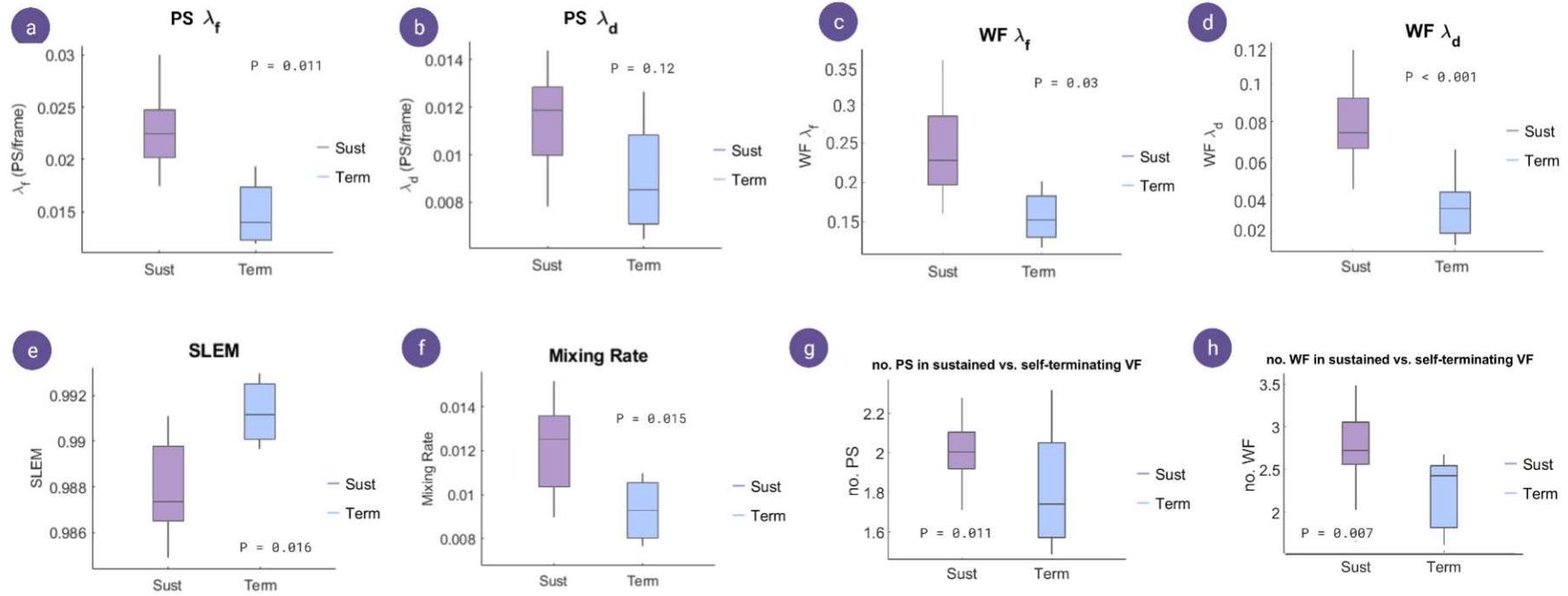
311 **Spontaneous VF termination is associated with slower birth-death mixing rates**
312 **in human clinical VF data, but not with mean DF or cycle length**

313 When compared in sustained VF episodes (n=56 episodes from n=8 patients), PS λ_f
314 was higher than in spontaneously terminating episodes (n=6 terminating episodes arising from
315 n=5 patients) (P=0.011, Figure 6A). PS λ_d was also higher in sustained VF episodes than in
316 spontaneously terminating episodes, but differences were not statistically significant (P=0.12,
317 Figure 6B). WF λ_f was and WF λ_d were also higher in spontaneously terminating episodes
318 than in self-terminating episodes of VF (WF λ_f P=0.003; WF λ_d P<0.001; Figure 6C-D).

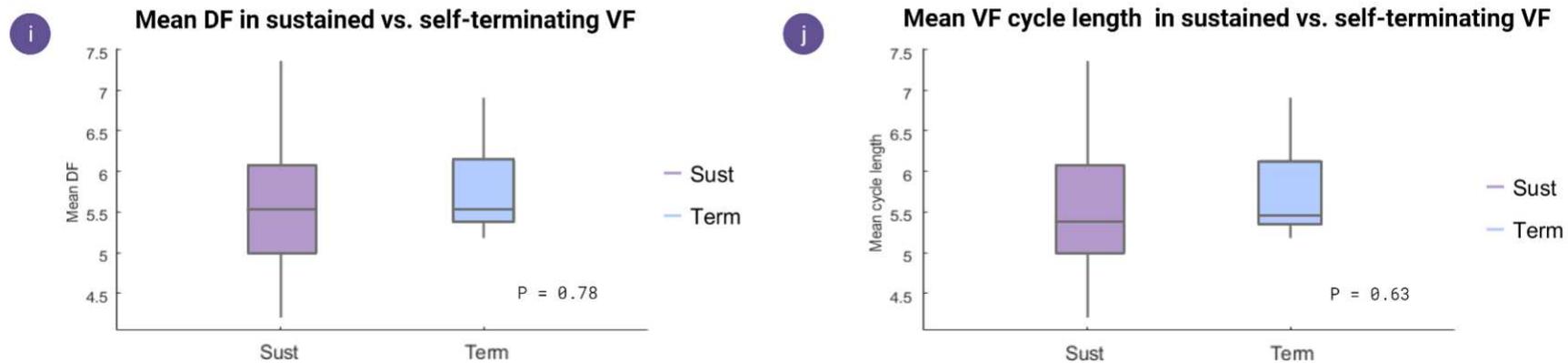
319 The second-largest eigenvalue modulus (SLEM, Supp S1) of termination cases was
320 consistently higher (mean: 0.9912 (95%CI,0.9888,0.9935) than sustained VF cases (mean:
321 0.9879 (95%CI,0.9858,0.9899)) (P=0.016) (Figure 6E), leading to larger spectral gaps and
322 slower mixing rates for spontaneous termination epochs (mean mixing rate termination:0.0089
323 (95%CI,0.0065,0.0113), sustained:0.012 (95%CI,0.012,0.014); P=0.015) (Figure 6F). The
324 slower mixing of formation and destruction processes also associated with fewer observed
325 numbers of PS in the experimental data (mean sustained: 2.01(95%CI,1.98,2.04),P=0.0109;
326 mean term: 1.83(95%CI,1.42,2.23)) and WF (mean sustained:
327 2.77(95%CI,2.66,2.88),P=0.0109; mean term: 2.22(95%CI,1.67,2.78)) (Figure 6G-H).

328 In comparison, mean DF and CL showed no statistically significant differences in
329 sustained versus spontaneously terminating VF (DF: P=0.63; CL: P = 0.78) (Figure 6I-J).

Changes associated with spontaneously terminating VF episodes



DF and cycle length not associated with spontaneously terminating VF episodes



331 Figure 6: Observations from spontaneously terminating in human clinical VF episodes

332 **(6A-D)** Self-terminating VF episodes demonstrated a slower rate of PS formation (PS λ_f ,
333 $P=0.011$), WF formation (WF λ_f , $P=0.003$), WF destruction (WF λ_d , $P<0.001$) and PS
334 destruction (PS λ_d , $P=0.12$) trending towards slowing. **(6E-H)** Self-terminating VF also
335 exhibited larger second largest eigenvalue modulus (SLEM), leading to smaller spectral
336 gaps and slower mixing of formation and destruction processes, indicated by the mixing
337 rate ($P<0.05$). Overall, this led to fewer numbers of PS and WF in spontaneously
338 terminating VF episodes. **(6F-G)** Mean DF and mean cycle length show no association to
339 spontaneously terminating VF episodes ($P>0.05$).

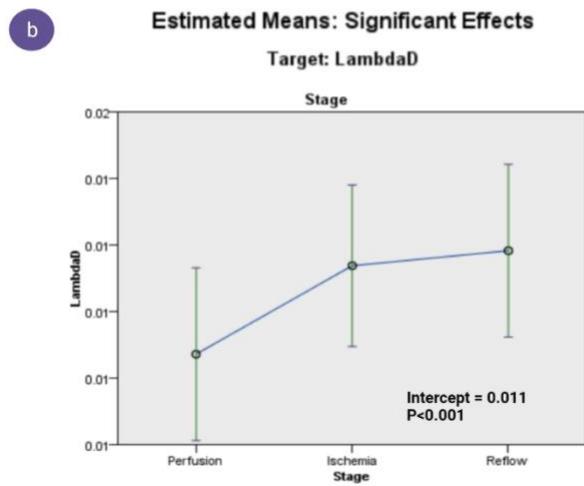
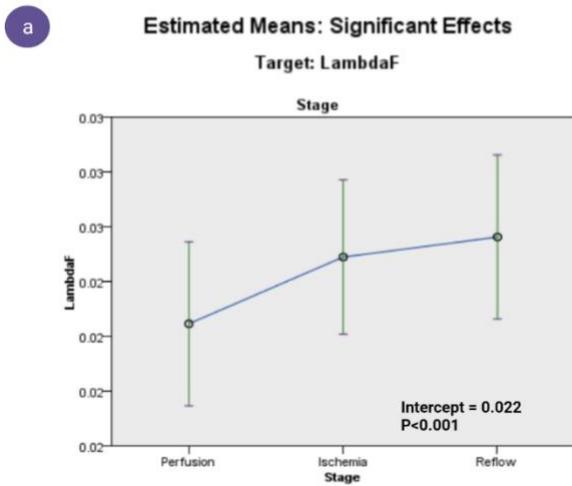
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341 **Rates of PS formation and destruction increases during the earliest stages of**
342 **VF, before a period of stabilisation**

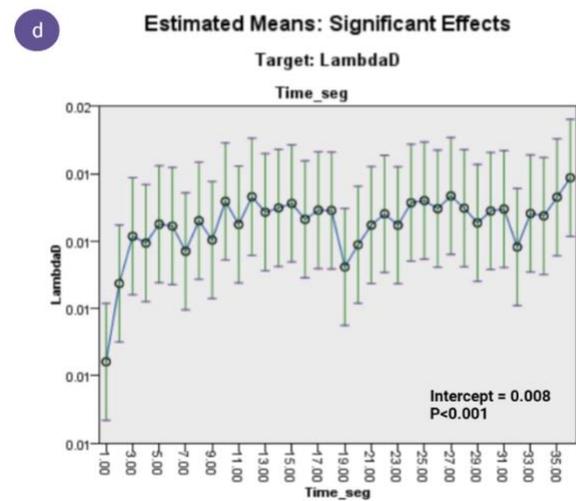
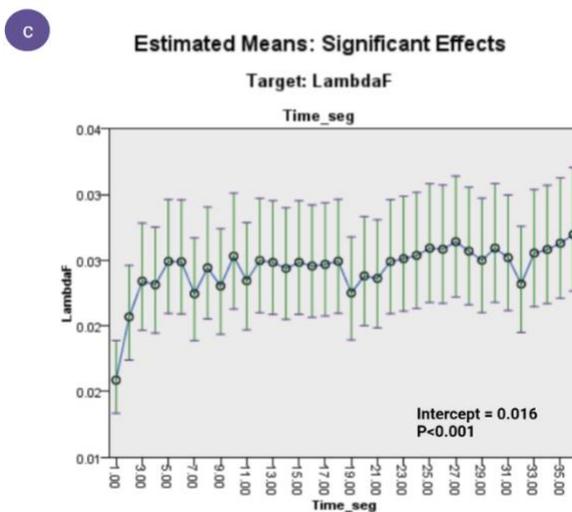
343 When analysed by stage (with patient ID set as a random effect), PS λ_f in epochs of
344 sustained human VF demonstrated an abrupt increase during perfusion to ischemia, which
345 slowed during reflow (Figure 7A). This resulted in a temporal intercept of 0.022 ($P<0.001$). PS
346 λ_d showed a similar trend (temporal intercept = 0.011, $P<0.001$; Figure 7B). When analysed
347 by time segment (segment lengths= 5sec blocks, with patient ID set as a random effect) PS
348 λ_f and PS λ_d demonstrated the largest increase during the first 15secs of VF (Figure 7C-D),
349 returning a temporal intercept of 0.016 ($P<0.001$) and 0.008 ($P<0.001$) respectively.

Rates of formation and destruction increase over the evolution of VF

λ_f and λ_d are highest during reflow stage



λ_f and λ_d show most abrupt increase during initial 15 seconds of VF



350

351 Figure 7: Rates of formation and destruction increase over the evolution of VF

352 (7A-B) Estimated mean charts for significant effects ($P < 0.05$) displayed for λ_f and λ_d when
 353 modelled using a generalized linear mixed model by stage (perfusion, ischemia, reflow). (7C-
 354 D) Estimated mean charts for significant effects for λ_f and λ_d when modelled by time segment
 355 (segments = 5sec blocks). λ_f and λ_d increase throughout episodes.

356

357

358 **Longest lasting phase singularities are associated with decreased**
359 **refractoriness**

360 An important area of investigation was the longest-lasting PS likely to be associated
361 with sustained rotational events. To study these events, an extreme value theory approach
362 was implemented (S9). The longest-lasting PS seen during sustained episodes of VF (across
363 all patients and stages of VF) were identified using a generalized extreme value distribution
364 (GED), which gives the distribution of PS lasting over a given threshold, in this case the upper
365 97.5% percentile of PS lifetimes (1.27sec). Long-lasting PS were then defined as those with
366 the top 5% longest lifetimes from taken from the GED (mean lifetime=1.55sec
367 (95%CI,1.39,2.70), mean number of rotations=10.34 (95%CI, 4.037,16.64)).

368 Long-lasting PS were found to associate with regions possessing a shorter mean
369 activation interval (AI) (mean AI=201.97ms (95%CI, 187.02, 216.929)), compared to short
370 lasting PS (randomly selected from those lasting >1 rotation, mean lifetime=0.028sec
371 (95%CI,0.003,0.054)) with a mean AI of 231.17 (95%CI, 205.17, 257,16) (P=0.015; Figure 8A-
372 B).

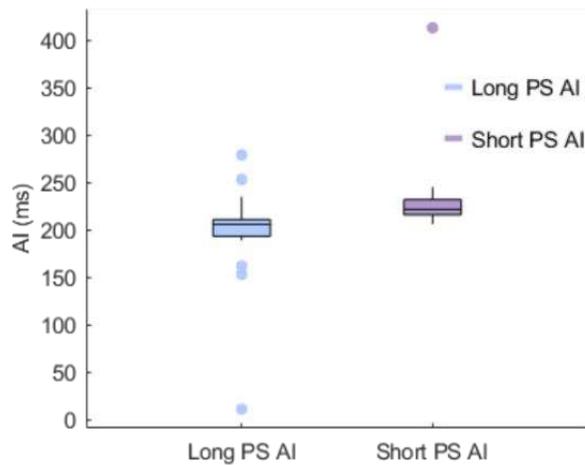
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Long-lasting PS tend to occur in regions with shorter activation intervals (AI)

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a Activation intervals (AI) for long-lasting vs. short-lasting PS



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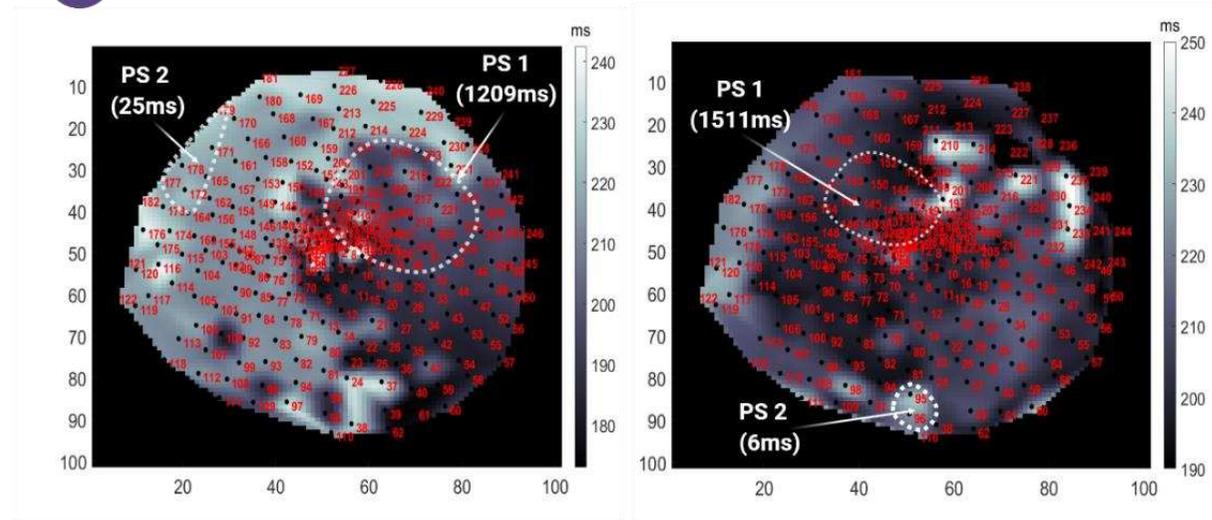
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b PS locations on mean activation interval map



382 Figure 8: Investigating longest lasting PS

383 (8A) To understand whether sustained PS were associated with differences in refractoriness, the mean activation interval (AI) of sustained versus
384 short-lasting PS was examined. Shorter mean AI were associated with longest lasting PS. (8B) Two example AI maps are shown, where longest
385 mean AI in white and shortest in black. Two PS are shown in each AI map. On the left, a sustained PS (PS 1) lasting 1209ms and a short-lasting
386 PS (PS 2) with a lifetime of 25ms is shown. On the right, a sustained PS (PS 1) lasting 1151ms and a short-lasting PS (PS 2) with a lifetime of
387 6ms is shown.

388

390 The M/M/ ∞ governing equation in human clinical VF

391 A basic property of VF is the continuous regeneration of rotors and wavefronts. Here,
392 we show in human VF that the number and population dynamics of rotors and wavefronts can
393 be modelled with a governing equation derived from an M/M/ ∞ birth-death process. The M/M/ ∞
394 equation was found to apply to both short-lasting PS, and sustained PS with lifetimes greater
395 than 1 rotation period. The rate constants of formation and destruction were found to evolve
396 temporally as each epoch progressed, but the M/M/ ∞ equation was found to apply during all
397 stages of observed VF including perfusion, ischemia and reflow. It was observed that the rate
398 constants of PS formation and destruction trended slower during self-terminating VF episodes
399 compared to those requiring defibrillation, consistent with underlying relative slowing of the VF
400 process as a mechanism to potentially explain spontaneous VF termination.

401 Contextualisation in relation to prior cardiac fibrillation research

402 The mechanisms underlying the spatial and temporal organization of VF have long
403 been a source of intensive scientific investigation.^{5, 12} The principal advance of the current
404 study is that a common governing equation may potentially regulate the number of rotors and
405 wavefronts in VF. The similarity of this equation between VF and AF⁷, provides a unifying link
406 between what have been considered 'different beasts'.¹ This generality may be valuable for
407 understanding fibrillatory mechanisms.

408 One key difference when compared to AF, however, is the observation that PS λ_f and
409 PS λ_d evolve and increase as VF progresses into ischemia before stabilising. Another key
410 difference is that although both PS λ_f and PS λ_d decrease in cases of spontaneously
411 terminating VF, only changes in PS λ_f were statistically significant unlike in AF. Between the
412 model systems, PS λ_f , PS λ_d , WF λ_f and PS WF λ_d in AF are also higher than shown here
413 for VF. This reflects similar previous findings, where an increase in the mapped area led to an
414 increase in the number of PS and WF detected. Consequently, this resulted in new PS and

415 WF formation events being captured more quickly, hence decreasing renewal rates. However,
416 as shown previously an interesting feature of this framework is that these rate constants scale
417 with the size of the mapped area, and that equations apply at each respective scale.⁷

418 **Potential mechanistic and clinical applications of the renewal paradigm**

419 The current study may assist in providing the foundation for a statistical
420 conceptualisation of fibrillatory dynamics. At the present time there remains ongoing debate
421 about the role of rotors and wavelets as theories of fibrillation.^{13, 14} This study suggests that
422 they can be conceptualised as probabilistic phenomena arising from a common underlying
423 process.

424 The rate constants derived here could be used to better understand potential structural
425 and electrophysiologic determinants of VF. Recent mechanistic studies posit that VF occurs
426 on a continuous spectrum modulated by factors such as the degree of fibrosis and gap junction
427 coupling.¹⁵ The rate constants could therefore be used to help identify this continuum by
428 providing a single, simple and easily measurable continuous variable that captures how such
429 determinants modulate observed VF dynamics. This would be potentially advantageous
430 compared to postulating that VF is developed by several different mechanisms.

431 Given that episodes of spontaneous VF termination demonstrate slowing of PS and WF
432 formation and destruction process, the rate constants could also provide a potential global
433 therapeutic target that could determine if interventions assist towards achieving VF
434 termination.¹⁶

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CONCLUSION

Human VF has complex and disordered dynamics. We present a governing equation to explain the population dynamics of rotors and wavelets in human clinical VF. The governing equation presented here represents the foundation for a statistical paradigm of fibrillatory dynamics with relevance to the field of sudden cardiac death research.

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469 DATA SHARING PLANS

470 The authors confirm that the code and algorithms used to generate the results within
471 this manuscript will be made available upon request.

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