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1 **Abstract**

2 *Objective:*

3 *Introduction:*

4 The potential benefits to removing erroneous penicillin allergy labels (de-labeling) are wide ranging.  
5 Penicillin allergy assessment and de-labeling is an antibiotic stewardship priority. Delivery of such  
6 assessment and de-labeling by non-allergy specialists has been reported in several studies but the  
7 effectiveness and safety has not been formally synthesised. This is a necessary step in the upscaling  
8 of penicillin allergy assessment services.

9 Inclusion criteria: quantitative studies using appropriate designs that include adults and pediatric  
10 patients who have undergone penicillin allergy assessment and de-labeling delivered by non-allergy  
11 specialists in any healthcare setting.

12 *Methods*

13 A range of databases will be searched to identify studies published in the English language.  
14 Unpublished studies and the grey literature will also be searched.

15 Identified studies will be assessed for methodological quality using the standardised critical appraisal  
16 instruments and data extracted using tools from the Joanna Briggs Institute (JBI).

17 Data from included studies will be categorised using the EPOC taxonomy and effectiveness and  
18 safety of the intervention determined. Data will be pooled, where possible, to facilitate meta-  
19 analysis, whilst data from heterogeneous studies will be reported narratively.

20 Systematic review registration number: CRD42020219044

21 *Keywords:*

22 "antimicrobial stewardship"; "penicillin allergy assessment" "penicillin allergy de-labeling";

23 **Introduction**

24 Approximately 6% of the general population in England<sup>1</sup> and 15% of hospital inpatients in England,  
25 and elsewhere,<sup>2-4</sup> have a record of penicillin allergy. Penicillin-based antibiotics are first-line  
26 treatment for many common infections but patients with penicillin allergy labels are usually treated  
27 with second line antibiotics rather than first line penicillin antibiotics.<sup>2</sup> Second line, non-penicillin,  
28 antibiotics are often more costly,<sup>5-7</sup> can be less effective in certain clinical circumstances,<sup>1,8-10</sup> and

29 more toxic.<sup>5</sup> Second line antibiotics are often broader spectrum, potentially increasing a patient's  
30 risk of future infections with resistant bacteria.<sup>5,11</sup> Patients with penicillin allergy records are also  
31 associated with exposure to a greater number of antibiotics, increased length of hospital stay<sup>2,4</sup>  
32 higher hospital readmission rates,<sup>12</sup> all of which increase costs to healthcare systems.

33 However, more than ninety per cent of individuals with a penicillin allergy label are not allergic to  
34 penicillin.<sup>13</sup> Assessing patients with penicillin allergy labels to identify those who are not allergic to  
35 penicillin, and to de-label them, has the potential to reduce second line antibiotic use in favor of  
36 penicillins, thus reducing the unintended consequences associated with second line antibiotics.

37 Penicillin allergy assessment of patients with a reported penicillin allergy has traditionally been the  
38 role of allergy experts. Allergy services in the UK, and elsewhere, are limited<sup>14</sup> and many hospitals do  
39 not have direct access to allergy services. Furthermore, allergy services do not have capacity to  
40 assess and de-label the potentially large number of patients with reported allergies to penicillin.  
41 Traditional penicillin allergy testing requires skin testing prior to an oral challenge test, and is still the  
42 main testing method in UK allergy centres, and therefore penicillin allergy testing is resource  
43 intense. A less resource intense penicillin allergy de-labeling method uses a direct oral penicillin  
44 challenge in patients with a history consistent with low risk of future penicillin allergy, forgoing the  
45 need for skin testing.

46 Direct oral challenge testing makes allergy assessment possible outside allergy centres because it is  
47 quicker and less resource intensive than the traditional skin test method. Non-allergy specialist  
48 researchers have explored penicillin allergy assessment and de-labeling of hospitalized patients<sup>15,16</sup>  
49 and found it to be safe and effective; increased use of penicillin antibiotics instead of second line  
50 antibiotics and with minimal evidence of side effects. Two systematic reviews have confirmed the  
51 safety and efficacy of a direct oral challenge as a method of de-labeling adults when delivered by  
52 allergists and non-allergists.<sup>17,18</sup> Other non-allergist delivered methods of allergy assessment and de-  
53 label, such as skin testing methods, have also been successfully delivered in the inpatient and  
54 outpatient setting.<sup>19,20</sup> Leading allergists in the US have suggested that every physician needs to get  
55 an accurate drug intolerance history before avoiding a beta-lactam (the broader antibiotic group  
56 name that includes the penicillin antibiotic group) when it is the drug of choice, and they postulate  
57 that addressing unconfirmed beta-lactam allergy on a large scale would lead to a dramatic reduction  
58 in the morbidity and mortality associated with unconfirmed beta-lactam allergy and reduce  
59 healthcare associated costs.<sup>21</sup> In September 2020 the American Academy of Allergy Asthma and  
60 Immunology with the Infectious Diseases Society of America wrote to the Centers for Medicare and  
61 Medicaid Services to urge US hospitals to include verification of penicillin allergy as part of its

62 mandatory antibiotic stewardship programs.<sup>22</sup> More recently the World Health Organisation have  
63 recommended antibiotic de-labeling as an effective antimicrobial stewardship strategy<sup>23</sup> but  
64 knowledge of the epidemiology of penicillin allergy labels and their association with antimicrobial  
65 resistance in low and middle-income countries is sparse, as is the evidence for established antibiotic  
66 de-labeling pathways,<sup>24</sup> with the majority of studies in high-income countries.

67 Penicillin allergy de-labeling is well supported by healthcare workers and accepted by patients.  
68 Healthcare workers in a UK hospital reported frequently encountering patients with penicillin allergy  
69 records they believed to be erroneous, and recognised that incorrect penicillin allergy records were  
70 a problem that required a solution.<sup>25</sup> An Australian study demonstrated patient acceptability for oral  
71 penicillin challenges to rule out penicillin allergy,<sup>26</sup> whilst a US study found that patients felt that  
72 penicillin allergy testing provided valuable medical information.<sup>27</sup>

73 Enablement of the wider healthcare workforce to assess patients with penicillin allergy records and  
74 de-label eligible patients is required in order to deliver penicillin allergy assessment and de-labeling  
75 at scale. Lin reported on a successful general physician delivered penicillin allergy de-labeling  
76 programme in hospitalized patients in the Netherlands.<sup>28</sup> The intervention included physician  
77 education, the handing out of pocket-sized reminder cards and utilised the electronic medical record  
78 to prompt physicians to perform the necessary assessment. Maguire reported a successful US  
79 Emergency Department physician-delivered penicillin allergy de-labeling patient pathway.<sup>29</sup> The  
80 intervention included the development of a penicillin and cephalosporin test dose procedure  
81 guideline, pharmacist-led education, a physician ordering of test doses, pharmacist verification and  
82 nurse administration and post-challenge dose observation.

83 In this review, we aim to systematically review the literature to identify and determine the  
84 effectiveness of interventions that enable non-allergy specialist healthcare workers to assess, and,  
85 where appropriate, de-label adult and pediatric patients with a reported penicillin allergy in any  
86 healthcare setting and to determine and to synthesise the components of these interventions that  
87 make them safe and effective. Non-allergy specialist is defined as a medical professional whose  
88 primary specialization is not in allergy, or who has not trained in allergy as part of their specialty.<sup>30</sup>

89 A preliminary search of PROSPERO, MEDLINE, the Cochrane Database of Systematic Reviews and the  
90 JBI Evidence Synthesis was conducted and no current systematic reviews on the topic were  
91 identified. However, one systematic review by Cooper *et al.*<sup>17</sup> synthesising the evidence for the  
92 safety and efficacy of de-labeling penicillin allergy in adults using direct oral challenge was  
93 underway, and has since been published, and shows direct oral challenge as a method for de-

94 labeling adults, delivered by both allergists and non-allergists, is safe and effective. The systematic  
95 review we propose is similar to that of Cooper *et al.* but will offer further insight into penicillin  
96 allergy assessment and de-labeling interventions. Our proposed review is more focused than that of  
97 Cooper *et al.*, because it looks solely at non-allergists, but also broader because it is not limited by  
98 healthcare setting, it will include children and adolescents as well as adults, and will include all de-  
99 labeling methods utilised to de-label patients with incorrect penicillin allergy labels. We have  
100 narrowed our search to only non-allergists because we want to understand the wider frameworks  
101 that enable non-allergists to assess penicillin allergy records and safely de-label patients because if  
102 we are to tackle this at scale we need to mobilise the non-allergist workforce and learn how to do  
103 this safely.

104 The findings of this review will inform the development of a complex intervention designed to  
105 facilitate and embed penicillin allergy assessment and de-labeling delivered by non-allergy specialists  
106 as part of secondary care antimicrobial stewardship programme in a UK hospital.

107 We aim to systematically review and synthesise the literature evaluating the effectiveness and the  
108 safety of interventions that enable non-allergy specialist healthcare workers to remove incorrect  
109 penicillin allergy labels in adult adolescent and pediatric patients with reported penicillin allergies.

110 The objective of this systematic review is to (1) identify and synthesise the range of interventions  
111 and allergy testing methods used by non-allergists to enable assessment of reported penicillin  
112 allergies and subsequent de-labeling. (2) To identify which types of healthcare workers have been  
113 targeted by interventions that set out to assess and de-label penicillin allergy records in objective 1.  
114 (3) To determine the effectiveness (increases in penicillin antibiotic use) and safety (absence of  
115 adverse drug events) of strategies used to deliver non-allergy specialist inpatient de-labeling in  
116 hospitalized patients.

### 117 **Review question(s)**

118 What is the effectiveness and safety of interventions that facilitate non-allergy specialist healthcare  
119 workers' assessment of adults and pediatric patients with reported penicillin allergy records with  
120 subsequent de-labeling of erroneous records?

### 121 **Inclusion criteria**

#### 122 ***Participants***

123 This review will include studies with any patient (adults, adolescents and children) who have a  
124 penicillin allergy record, or self-reported allergy to penicillin on direct questioning, in any healthcare  
125 context from any country.

### 126 ***Intervention(s)***

127 The review will also include studies reporting on penicillin allergy de-labeling using any method  
128 (direct de-label, direct oral challenge, skin testing and oral challenge) by non-allergy specialists which  
129 include, but not limited to, nurses, pharmacists and doctors.

### 130 ***Exclusions***

131 Penicillin allergy assessment and de-labeling interventions delivered by immunologists, or allergy  
132 specialists will be excluded.

133

### 134 ***Comparator(s)***

135 Adults adolescents and children who receive usual standard care and do not undergo penicillin  
136 allergy assessment. Due to the nature of the intervention there may not be a comparator group and  
137 therefore studies without comparator or control group will not be excluded.

### 138 ***Outcomes***

139 This review will consider studies that include the following outcomes:

#### 140 ***Primary outcome:***

141 The number of adults, adolescents or children with a penicillin allergy record successfully de-labeled.

#### 142 ***Secondary outcomes:***

143 1. Any measured antimicrobial stewardship impact (e.g. antibiotic class prescribed, antibiotic cost,  
144 antibiotic side effects, treatment failure, health care associated infections (HCAI) and antibiotic  
145 resistant (AMR) infections).

146 2. Any measured healthcare system impact (e.g. length of hospital stay, healthcare resource  
147 utilization).

148 3. Any unintended harm associated with the de-label process (e.g. anaphylaxis, side effects of  
149 antibiotics).

### 150 ***Types of studies***

151 *Included*

152 This review will include both experimental and quasi-experimental study designs including  
153 randomized controlled trials, non-randomized controlled trials, before and after studies and  
154 interrupted time-series studies. In addition, analytical observational studies including prospective  
155 and retrospective cohort studies, case-control studies and analytical cross-sectional studies as well  
156 as descriptive observational study designs.

157 *Excluded*

158 Case reports

## 159 **Methods**

160 The systematic review will be conducted in accordance with the Joanna Briggs Institute methodology  
161 for systematic reviews of effectiveness,<sup>31</sup> and reported using the Preferred Reporting Items for  
162 Systematic Reviews and Meta-Analysis (PRISMA) checklist.<sup>32</sup>

163 The protocol has been registered in PROSPERO CRD: 42020219044

## 164 **Search strategy**

165 The search strategy will aim to locate both published and unpublished studies. An initial limited  
166 search of EMBASE will be undertaken to identify articles on the topic. The text words contained in  
167 the titles and abstracts of relevant articles, and the index terms used to describe the articles will be  
168 used to develop a full search strategy for EMBASE (see Appendix 1). The search strategy, including all  
169 identified keywords and index terms, will be adapted for each included database and/or information  
170 source. Backwards and forwards references searches of all included sources of evidence will be  
171 completed to identify additional studies.

172 Only studies published in English will be included due to a lack of funding for translation services. No  
173 date limit will be set for included studies because this is a relatively new antimicrobial stewardship  
174 intervention and studies are only expected to be identified from 2010 onwards.

## 175 **Information sources**

176 The databases to be searched from their inception to present day include EMBASE (Ovid), MEDLINE  
177 (Ovid), CINAHL (Ovid), PsycInfo, Web of Science and Cochrane CENTRAL. Sources of unpublished  
178 studies/ grey literature to be searched include WHO Library database, key organisation websites and  
179 conference proceedings (ESCMID, Society for Healthcare Epidemiology of America, Healthcare

180 Infection Society and Infection Prevention Society), registered Controlled Trial Registers, technical or  
181 research reports from government agencies and the British Library (Ethos) Collection of PhD  
182 dissertations.

183 We will contact known experts in the topic regarding any unpublished work and to ensure we have  
184 not overlooked relevant literature.

### 185 **Study selection**

186 Following the search, all identified citations will be collated and uploaded into Endnote Note v.X9.2  
187 (Clarivate Analytics, PA, USA)<sup>33</sup> and duplicates removed. Following a pilot test, titles and abstracts  
188 will then be screened by at least two independent reviewers for assessment against the inclusion  
189 criteria for the review using RAYYAN software.<sup>34</sup> Potentially relevant studies will be retrieved in full  
190 and their citation details imported into the JBI System for the Unified Management, Assessment and  
191 Review of Information (JBI SUMARI) (JBI, Adelaide, Australia).<sup>35</sup> The full text of selected citations will  
192 be assessed in detail against the inclusion criteria by at least two independent reviewers using  
193 RAYYAN software.<sup>34</sup> Reasons for exclusion of papers at full text that do not meet the inclusion  
194 criteria will be recorded and reported in the systematic review. Any disagreements that arise  
195 between the reviewers at each stage of the selection process will be resolved through discussion, or  
196 with an additional reviewer. The results of the search and the study inclusion process will be  
197 reported in full and presented in a Preferred Reporting Items for Systematic Reviews and Meta-  
198 analyses (PRISMA) flow diagram.<sup>32</sup>

### 199 **Assessment of methodological quality**

200 Eligible studies will be critically appraised by two independent reviewers at the study level for  
201 methodological quality using standardized critical appraisal instruments from the Joanna Briggs  
202 Institute for experimental, quasi-experimental, observational and descriptive studies.<sup>31</sup> Authors of  
203 papers will be contacted to request missing or additional data for clarification, where required. Any  
204 disagreements that arise will be resolved through discussion, or with a third reviewer. The results of  
205 critical appraisal will be reported in narrative form and in a table.

206 Studies will not be excluded on the grounds of their risk of bias, but the risk of bias will be reported  
207 when presenting the results. The risk of bias judgments will be summarized across different studies  
208 for each of the domains listed using the risk of bias graph and the risk of bias summary. Therefore,  
209 all studies, regardless of the results of their methodological quality, will undergo data extraction, and  
210 synthesis (where possible).<sup>31</sup>



211 **Data extraction**

212 Data will be extracted from studies included in the review by two independent reviewers using the  
213 standardized data extraction tool.<sup>31</sup>

214 The data extracted will include specific details about the populations, study methods, interventions,  
215 and outcomes of significance to the review objectives and interventions categorised using the  
216 Effective Practice and Organisation of Care (EPOC) taxonomy of health interventions.<sup>36</sup> Authors of  
217 papers will be contacted to request missing or additional data, where required.

218 **Data synthesis**

219 Studies will, where possible, be pooled in statistical meta-analysis using JBI SUMARI. Effect sizes will  
220 be expressed as either odds ratios (for dichotomous data) or weighted (or standardized) final post-  
221 intervention mean differences (for continuous data) and their 95% confidence intervals will be  
222 calculated for analysis. Heterogeneity will be assessed statistically using the standard chi-squared  
223 and I squared tests and reasons for heterogeneity will be explored using subgroup and/or sensitivity  
224 analyses. Statistical analyses will be performed using the random effects model, or if study numbers  
225 are small, the fixed effects model.<sup>37</sup> Where statistical pooling is not possible the findings will be  
226 presented in narrative form including tables and figures to aid in data presentation where  
227 appropriate. A funnel plot will be generated to assess publication bias if there are 10 or more studies  
228 included in a meta-analysis. Statistical tests for funnel plot asymmetry (Egger test, Begg test,  
229 Harbord test)<sup>38-40</sup> will be performed where appropriate.

230 **Assessing certainty in the findings**

231 The Grading of Recommendations, Assessment, Development and Evaluation (GRADE) approach for  
232 grading the certainty of evidence will be followed<sup>41</sup> and a Summary of Findings (SoF) table will be  
233 created using GRADEPro GDT 2020 (McMaster University, ON, Canada). The SoF will present the  
234 following information where appropriate: absolute risks for the treatment and control, estimates of  
235 relative risk, and a ranking of the quality of the evidence based on the risk of bias, directness,  
236 heterogeneity, precision and risk of publication bias of the review results. The outcomes reported in  
237 the SoF will be: the proportion of adults adolescents or children with a penicillin allergy record  
238 successfully de-labelled, any measured antimicrobial stewardship impact, any measured healthcare  
239 system impact, any unintended harm associated with the de-label process.

240 *Funding*

241 HEE/ NIHR ICA Programme Clinical Doctoral Research Fellowship. NIHR300542 1st September 2020

242 *Conflicts of interest*

243 There is no conflict of interest in this project.

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#### 356 **Appendix I: Search strategy**

357 EMBASE (OVID) searched 16th October 2020

- 358 1. (penicillin adj2 allerg\*).tw.
- 359 2. (penicillin adj2 hypersensitiv\*).tw.
- 360 3. (penicillin adj2 anaphylaxis).tw.
- 361 4. (beta-lactam adj2 allerg\*).tw.
- 362 5. (beta-lactam adj2 hypersensitiv\*).tw.
- 363 6. (beta-lactam adj2 anaphylaxis).tw.
- 364 7. ("betalactam" adj2 anaphylaxis).tw.
- 365 8. ("betalactam" adj2 hypersensitiv\*).tw.
- 366 9. ("betalactam" adj2 allerg\*).tw.
- 367 10. ("\*lactam" adj2 allerg\*).tw.
- 368 11. ("\*lactam" adj2 hypersensitiv\*).tw.
- 369 12. ("\*lactam" adj2 anaphylaxis).tw.
- 370 13. ("antibiotic" adj2 anaphylaxis).tw.
- 371 14. ("antibiotic" adj2 hypersensitiv\*).tw.

372 15. ("antibiotic" adj2 allerg\*).tw.  
373 16. ("antimicrobial" adj2 allerg\*).tw.  
374 17. ("antimicrobial" adj2 hypersensitiv\*).tw.  
375 18. ("antimicrobial" adj2 anaphylaxis).tw.  
376 19. "PENICILLIN DERIVATIVE"/  
377 20. "DRUG HYPERSENSITIVITY"/ or ANAPHYLAXIS/  
378 21. 19 and 20  
379 22. "PENICILLIN ALLERGY"/  
380 23. 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9 or 10 or 11 or 12 or 13 or 14 or 15 or 16 or 17 or 18 or 21 or  
381 22  
382 24. "clinical decision tool".tw.  
383 25. "clinical decision making".tw.  
384 26. "clinical assessment tool".tw.  
385 27. direct.tw.  
386 28. challenge.tw.  
387 29. de-label\*.tw.  
388 30. 27 and 28  
389 31. delabel\*.tw.  
390 32. "interview".tw.  
391 33. "antibiotic stewardship".tw.  
392 34. "antimicrobial stewardship".tw.  
393 35. test\*.tw.  
394 36. "allergy assess\* ".tw.  
395 37. "oral challeng\* ".tw.  
396 38. "ANTIMICROBIAL STEWARDSHIP"/  
397 39. "SKIN TEST"/  
398 40. "PROVOCATION TEST"/  
399 41. "ALLERGY TEST"/

400 42. "CLINICAL EVALUATION"/

401 43. ALGORITHM/

402 44. "RISK ASSESSMENT"/

403 45. 24 or 25 or 26 or 29 or 30 or 31 or 32 or 33 or 34 or 35 or 36 or 37 or 38 or 39 or 40 or 41 or 42

404 or 43 or 44

405 46. 23 and 45

406 No limits set, number of returns 3188.