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<https://doi.org/10.1109/tpel.2021.3089006>

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# Modulation Restraint Analysis of Space Vector PWM for Dual Three-Phase Machines Under Vector Space Decomposition

Jin Xu, Milijana Odavic, *Member, IEEE*, Zi-Qiang Zhu, *Fellow, IEEE*, Zhan-Yuan Wu, and Nuno Freire

**Abstract**—For dual three-phase machines, vector space decomposition allows the control of fundamental and main harmonic components in  $\alpha\beta$  and  $xy$  subspaces, respectively. However, the modulation of voltage references in the two subspaces using the space vector pulse width modulation (SVPWM) is not independent but coupled. In that, voltage references of two subspaces cannot be modulated simultaneously beyond the modulation restraints, thereby distorting the voltage regulation and causing harmonics or even system misbehavior. Meanwhile, the fundamental voltage in  $\alpha\beta$  subspace responsible for electromagnetic torque generation should be prioritized and secured. Thus, this paper proposes a systematic analysis of modulation restraints of three representative SVPWM techniques, i.e. the linear modulation range of  $xy$  subspace under an assured modulation index of the fundamental voltage. Voltage references of  $xy$  subspace within this range can be modulated successfully without affecting the assured modulation index and the margin left for harmonic control in  $xy$  subspace is then acknowledged. This can be used to limit the output of current controllers not to exceed the voltage modulation restraint, thereby avoiding the modulation failure. Finally, the experimental results validate the linear modulation range and demonstrate the modulation behaviors within and out of the linear modulation range.

**Index Terms**— Dual three-phase machines, modulation restraint, space vector pulse width modulation, vector space decomposition.

## NOMENCLATURE

$\theta_e$	Electrical angular position.
$\omega_e$	Electrical angular speed.
$R_s$	Stator resistance.
$T_{PWM}$	PWM period.
$T_e$	Electromagnetic torque.
$V_{dc}$	DC-link voltage.
$D_R, D_S, D_T, D_U, D_V, D_W$	Duty cycles of phases.
$i_R, i_S, i_T, i_U, i_V, i_W$	Phase currents.
$u_R, u_S, u_T, u_U, u_V, u_W$	Phase voltages.

This work was supported by the UK EPSRC Prosperity Partnership “A New Partnership in Offshore Wind” under Grant No. EP/R004900/1.”

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$u_\alpha, u_\beta; i_\alpha, i_\beta;$   
 $L_{\alpha e}, L_{\beta e}; \psi_\alpha, \psi_\beta$   
 $u_x, u_y; i_x, i_y;$   
 $L_x, L_y; \psi_x, \psi_y$   
 $\psi_{fd}$

$$\mathbf{V}_{\alpha\beta}^{(*)} = \begin{bmatrix} u_\alpha^{(*)} & u_\beta^{(*)} \end{bmatrix}^T,$$

$$\mathbf{V}_{xy}^{(*)} = \begin{bmatrix} u_x^{(*)} & u_y^{(*)} \end{bmatrix}^T$$

$$\left| \mathbf{V}_{\alpha\beta}^{(*)} \right|, \left| \mathbf{V}_{xy}^{(*)} \right|$$

$P$

Voltages, currents, inductances, and flux linkages in  $\alpha\beta$  subspace.

Voltages, currents, inductances, and flux linkages in  $xy$  subspace.

Rotor flux linkage

Modulated (Reference) voltage vectors in  $\alpha\beta, xy$  subspaces.

Lengths of  $\mathbf{V}_{\alpha\beta}^{(*)}$  and  $\mathbf{V}_{xy}^{(*)}$

Number of pole pairs

## I. INTRODUCTION

DUAL three-phase (3-ph) machines have seen an increasing trend of applications in industries[1]-[6], because of advantages such as high torque density, high-power low-current capability, etc. There is one more set of 3-ph windings with respect to single 3-ph machines and therefore two sets of 3-ph windings can be controlled separately, viewed as two 3-ph machines [7]-[8]. However, the challenge of this control structure is the coupling issue of mutual inductance between phases from two sets, respectively. To achieve a completely decoupled machine model, vector space decomposition (VSD) [9] is developed for dual 3-ph machines, in which two sets of 3-ph windings are modelled into two-dimensional orthogonal  $\alpha\beta$  and  $xy$  subspaces. The outstanding benefit of VSD is the mutual inductance between phases from two sets, respectively, can be decoupled. Furthermore, the fundamental component and harmonics with  $12k\pm 1$  ( $k=1,2,3,\dots$ ) order are decoupled into  $\alpha\beta$  subspace, while harmonics with  $6k\pm 1$  ( $k=1,3,5,\dots$ ) order are mapped into  $xy$  subspace. This will allow components with different orders to be controlled separately.

The fundamental components in  $\alpha\beta$  subspace need to be well regulated to satisfy the torque requirement and the amplitudes of the  $12k\pm 1$  ( $k=1,2,3,\dots$ ) harmonics are normally much lower. Meantime, the harmonics in  $xy$  subspace deserve good suppression as well to improve machine performances, such as low THD, small torque ripple, etc. Due to inverter nonlinearities and emf distortion, the 5th and 7th order harmonics are excited and then decoupled in  $xy$  subspace [10]-[11]. Additionally, impedance asymmetries would lead to fundamental order currents in  $xy$  subspace as well [11]. To balance phase currents, they should be suppressed. Thus, the control of  $xy$  subspace is of importance.

Due to the advantages mentioned above, many advanced control strategies are developed based on VSD [9],[12]-[20], in which, the space vector pulse width modulation (SVPWM) is implemented to modulate voltage references of  $\alpha\beta$  and  $xy$  subspaces into the switching pattern providing gate signals of power devices. A simplified drive structure is shown in Fig. 1. The dual 3-ph machine has two sets of 3-ph windings (Set 1 and Set 2) with  $30^\circ$  angle displacement. From Fig. 1, no matter what control strategies are implemented, the SVPWM technique should transfer voltage references into the switching pattern correctly, thereby controlling the machine.

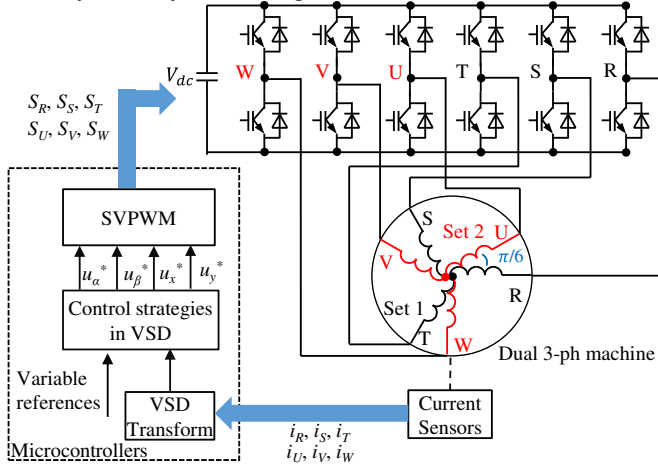


Fig. 1 Simplified drive structure of dual 3-ph machine under VSD.

However, one challenge is how to select active voltage vectors from a total number of 64 vectors to conduct the modulation during each PWM period. It should be noted that the selection principle determines the modulation restraint and computation burden. From the literature, there are mainly three categories of SVPWM techniques for dual 3-ph machines regarding the voltage vector selection principle. In [9], [12]-[15], four of twelve longest voltage vectors in  $\alpha\beta$  subspace are selected in each PWM period to modulate the  $\alpha\beta$  subspace voltage reference with the average zero voltage achieved in  $xy$  subspace, resulting in no closed-loop current control capability in  $xy$  subspace. Another SVPWM technique is to modulate the voltage references in two separate 3-ph frames using classical SVPWM developed for 3-ph machines [16]-[19]. The voltage references of two subspaces need to be transformed to voltage references in two 3-ph frames. Besides, synthetic vectors can be implemented to modulate the voltage references in two subspaces, respectively, without the coupling of dwell times [20]. Each PWM period is divided into two parts, responsible for modulations in two subspaces, respectively. Therefore, the division plays a significant role in determining the modulations of voltage references in two subspaces. However, the switching sequence generation is much complicated and the multiple switching issue could increase the switching losses.

The major concern of applying these SVPWM techniques is whether voltage references of two subspaces can be modulated simultaneously and successfully, due to the reason that the modulations of two subspaces are coupled by dwell times of voltage vectors. The coupling of modulations in two subspaces,

or generally the modulation restraints of these SVPWM techniques, are not discussed in the literature. In the control loop, the voltage references in both  $\alpha\beta$  and  $xy$  subspaces should be modulated into pulses and then be delivered to phase terminals, thereby controlling the dual 3-ph machine. Theoretically, neither of the two voltage references can be sacrificed, since the  $\alpha\beta$  voltage reference (fundamental voltage reference) controls the output of the electromagnetic torque and the  $xy$  voltage reference is in charge of harmonic regulation. However, the literature [9], [12]-[20] focuses on the development of SVPWM techniques, in other words, the generation of pulses according to the reference, rather than the modulation restraint of the two voltage references. It is not considered in the literature that voltage references of two subspaces beyond the modulation restraint cannot be modulated, thereby distorting the voltage regulation and causing harmonics or even system misbehavior.

Therefore, this paper aims to analyze the modulation restraint of SVPWM techniques under VSD to assure that the voltage references, which are conventionally outputs of current controllers, will not exceed the modulation restraint, thereby avoiding the modulation failure. The main contribution is to propose a systematic and practical analysis of the modulation restraint, i.e. the linear modulation range of  $xy$  subspace under an assured modulation index of the fundamental voltage. Unless voltage references of  $xy$  subspace are out of this range, they can be modulated successfully under an assured modulation index of the fundamental voltage. The reason to secure the modulation index is that the electromagnetic torque generation mainly relying on the fundamental current (essentially voltage) decoupled in  $\alpha\beta$  subspace should be prioritized. In any control system, the output of current controllers in  $xy$  subspace should not exceed this range, otherwise, the modulation failure could happen. From another aspect, a balanced performance can be made between the fundamental control in  $\alpha\beta$  subspace and the main harmonic control in  $xy$  subspace. If the harmonic control in  $xy$  subspace requires a large voltage, the fundamental voltage reference in  $\alpha\beta$  subspace should be reduced accordingly.

The remainder of this paper is organized as follows. The mathematical model of dual 3-ph permanent magnet synchronous machine (PMSM) used in experiments and the voltage vectors of inverters mapped into two subspaces which are vector candidates in SVPWM techniques are briefly introduced in Section II. The modulation restraints of three practical and representative SVPWM techniques are illustrated in Section III. These three SVPWM techniques include the SVPWM technique using four active vectors [9], [12]-[15], SVPWM in two separate 3-ph frames [16]-[19] and an enhanced SPVWM technique using synthetic vectors developed from [20]. In the enhanced SPVWM technique using synthetic vectors, the PWM period split is optimized by a dwell time calculation considering voltage references in two subspaces together. Compared with [20], the enhanced one is much more available and accessible for microcontrollers due to no complicated switching sequence arrangement and no multiple switching in each sampling period. Finally, the experiment results of open-loop

voltage injection and closed-loop current compensation are presented in Section IV to validate the modulation restraint.

## II. MATHEMATICAL MODEL OF DUAL 3-PH PMSM AND VOLTAGE VECTORS

### A. Mathematical Model

Assuming sinusoidal winding distribution and neglecting core losses, magnetic saturation and asymmetric impedances, the mathematical model of dual 3-ph PMSM in VSD [9] can be expressed as (1)-(3).

$$\begin{bmatrix} u_\alpha \\ u_\beta \\ u_x \\ u_y \end{bmatrix} = \begin{bmatrix} R_s & 0 & 0 & 0 \\ 0 & R_s & 0 & 0 \\ 0 & 0 & R_s & 0 \\ 0 & 0 & 0 & R_s \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \\ i_x \\ i_y \end{bmatrix} + \begin{bmatrix} \dot{\psi}_\alpha \\ \dot{\psi}_\beta \\ \dot{\psi}_x \\ \dot{\psi}_y \end{bmatrix} \quad (1)$$

$$\begin{bmatrix} \dot{\psi}_\alpha \\ \dot{\psi}_\beta \\ \dot{\psi}_x \\ \dot{\psi}_y \end{bmatrix} = \begin{bmatrix} L_{\alpha e} & 0 & 0 & 0 \\ 0 & L_{\beta e} & 0 & 0 \\ 0 & 0 & L_x & 0 \\ 0 & 0 & 0 & L_y \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \\ i_x \\ i_y \end{bmatrix} + \begin{bmatrix} \cos(\theta_e) \\ \sin(\theta_e) \\ 0 \\ 0 \end{bmatrix} \psi_{fd} \quad (2)$$

$$T_e = 3P(\psi_\alpha i_\beta - \psi_\beta i_\alpha) \quad (3)$$

It can be seen from (3) that the electromagnetic torque is mainly determined by variables in  $\alpha\beta$  subspace. However, variables in  $xy$  subspace need to be controlled to improve performances such as THD reduction, torque ripple suppression, phase current balancing, etc. The control of two subspaces relies on the SVPWM using voltage vectors.

### B. Voltage Vectors

As shown in Fig. 1, the two-level voltage sourced inverter (VSI) is employed. It creates 64 inverter states, corresponding to 64 voltage vectors, mapped into  $\alpha\beta$  subspace and  $xy$  subspace, shown in Fig. 2. The voltage vector numbers in Fig. 2 are defined as the decimal number values converted from the six-bit binary numbers composed of  $(S_W S_V S_U S_T S_S S_R)$ , where  $S_W, \dots, S_R$  denote the switching states of inverter legs  $W, \dots, R$ , respectively, and are either '1' (the upper power device is turning on) or '0' (the bottom power device is turning on).

The length of voltage vectors and their dwell times play a key role in the modulation procedure. As can be seen in Fig. 2, voltage vectors are divided into 4 dodecagons ( $N_1, N_2, N_3, N_4$ ) regarding the voltage vector lengths, expressed as

$$\begin{cases} L_{N1} = \frac{\sqrt{6} - \sqrt{2}}{6} \cdot V_{dc} \\ L_{N2} = \frac{1}{3} \cdot V_{dc} \\ L_{N3} = \frac{\sqrt{2}}{3} \cdot V_{dc} \\ L_{N4} = \frac{\sqrt{6} + \sqrt{2}}{6} \cdot V_{dc} \end{cases} \quad (4)$$

At each PWM period, several voltage vectors should be adopted to achieve the modulations of voltage references

(outputs of current controllers) in both subspaces in SVPWM techniques, thereby controlling the machine.

## III. MODULATION RESTRAINT ANALYSIS OF SVPWM TECHNIQUES

In this section, the procedure of deriving the linear modulation range reflecting the modulation restraint is explained in detail for three practical SVPWM techniques. The vector selection principles of these three SVPWM techniques are representative, which are the vector selection in two sets of 3-ph reference frames, the direct selection of four active voltage vectors, and the synthetic vector theory, respectively.

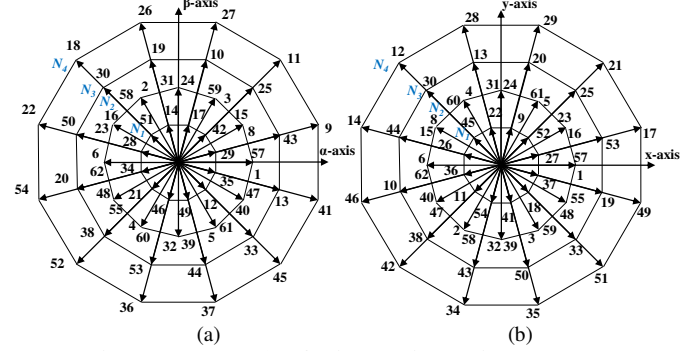


Fig. 2 Voltage vector maps. (a)  $\alpha\beta$  subspace. (b)  $xy$  subspace.

### A. SVPWM with Two Separate 3-ph Modulation Frames

The diagram of SVPWM with two separate 3-ph modulation frames (SVPWM-D3) [16]-[19] is shown in Fig. 3. Voltage references  $V_{\alpha\beta}^*$  and  $V_{xy}^*$  are transformed to  $u_R^*, u_S^*, u_T^*, u_U^*, u_V^*, u_W^*$  by (5) firstly.

$$\begin{bmatrix} u_R^* \\ u_S^* \\ u_T^* \\ u_U^* \\ u_V^* \\ u_W^* \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 & 0 \\ -\frac{1}{2} & \frac{\sqrt{3}}{2} & -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{2} & -\frac{\sqrt{3}}{2} & \frac{1}{2} & \frac{\sqrt{3}}{2} \\ \sqrt{3} & 1 & -\sqrt{3} & 1 \\ \frac{1}{2} & \frac{1}{2} & -\frac{1}{2} & \frac{1}{2} \\ -\frac{\sqrt{3}}{2} & 1 & \frac{\sqrt{3}}{2} & \frac{1}{2} \\ 0 & -1 & 0 & -1 \end{bmatrix} \begin{bmatrix} u_\alpha^* \\ u_\beta^* \\ u_x^* \\ u_y^* \end{bmatrix} \quad (5)$$

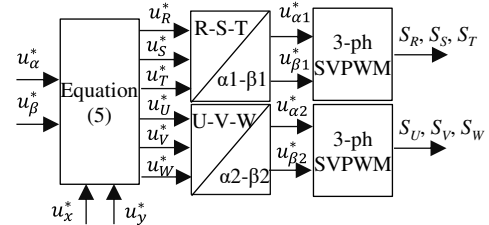


Fig. 3 Diagram of SVPWM-D3.

Then, 3-ph Clarke transform is used to derive the voltage references of Set 1 ( $u_{\alpha 1}^*$  and  $u_{\beta 1}^*$ ) in  $\alpha_1\beta_1$  reference frame and

voltage references of Set 2 ( $u_{\alpha 2}^*$  and  $u_{\beta 2}^*$ ) in  $\alpha_2$ - $\beta_2$  reference frame from  $u_R^*$ ,  $u_S^*$ ,  $u_T^*$ ,  $u_U^*$ ,  $u_V^*$ ,  $u_W^*$ . Then, the relationship between  $u_{\alpha}^*$ ,  $u_{\beta}^*$ ,  $u_x^*$ ,  $u_y^*$  and  $u_{\alpha 1}^*$ ,  $u_{\beta 1}^*$ ,  $u_{\alpha 2}^*$ ,  $u_{\beta 2}^*$  is established as

$$\begin{cases} u_{\alpha 1}^* = u_{\alpha}^* + u_x^* \\ u_{\beta 1}^* = u_{\beta}^* - u_y^* \\ u_{\alpha 2}^* = \frac{\sqrt{3}}{2}u_{\alpha}^* + \frac{1}{2}u_{\beta}^* - \frac{\sqrt{3}}{2}u_x^* + \frac{1}{2}u_y^* \\ u_{\beta 2}^* = -\frac{1}{2}u_{\alpha}^* + \frac{\sqrt{3}}{2}u_{\beta}^* + \frac{1}{2}u_x^* + \frac{\sqrt{3}}{2}u_y^* \end{cases} \quad (6)$$

The modulations of voltage references in two separate 3-ph modulation frames and modulation restrictions are presented in Fig. 4.  $V_{\alpha 1\beta 1}^* = [u_{\alpha 1}^* \ u_{\beta 1}^*]^T$  in  $\alpha_1$ - $\beta_1$  reference frame and  $V_{\alpha 2\beta 2}^* = [u_{\alpha 2}^* \ u_{\beta 2}^*]^T$  in  $\alpha_2$ - $\beta_2$  reference frame are modulated through conventional 3-ph SVPWM in two separate 3-ph modulation frames, respectively.

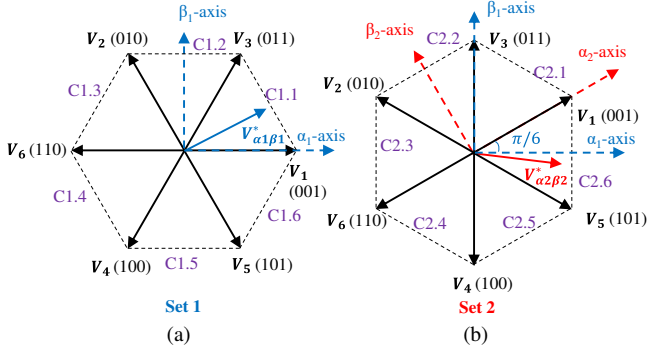


Fig. 4 Modulation restrictions in two separate 3-ph modulation frames. (a) Set 1. (b) Set 2.

From Fig. 4,  $V_{\alpha 1\beta 1}^*$  and  $V_{\alpha 2\beta 2}^*$  exceeding the modulation restrictions denoted by the dotted hexagon cannot be achieved. The restriction lines are denoted by C1.1, ..., C1.6 in Set 1 and C2.1, ..., C2.6 in Set 2, as indicated in Fig. 4. The modulation range of xy subspace in the per-unit scale with respect to  $V_{dc}$  under a given  $V_{\alpha\beta}^*$  can be derived according to these restrictions. Using  $V_{\alpha\beta}^* = [\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}]^T$  as an example, the derivation of the modulation range of xy subspace is presented below. The restriction line C1.1 can be expressed by  $V_{\alpha 1\beta 1}^*$  and shown as

$$-\sqrt{3} \cdot u_{\alpha 1}^* - u_{\beta 1}^* + \frac{2\sqrt{3}}{3} \cdot V_{dc} \geq 0. \quad (7)$$

Substitute (6) and  $u_{\alpha}^* = \frac{\sqrt{3}}{8}V_{dc}$ ,  $u_{\beta}^* = \frac{1}{8}V_{dc}$  into (7), the modulation restriction line in xy subspace corresponding to C1.1 in Fig. 4 can be expressed as

$$-\sqrt{3} \cdot u_x^* + u_y^* + \frac{4\sqrt{3}-3}{6} \cdot V_{dc} \geq 0, \quad (8)$$

and shown in Fig. 5(a) (marked as C1.1 accordingly). In the same way, all the modulation restriction lines in xy subspace regarding Fig. 4(a) can be obtained and shown in Fig. 5(a).

Then, the distances from the point of origin to lines of C1.1, ..., C1.6 denoted by  $d_{C1.1}, \dots, d_{C1.6}$  can be calculated. The distance  $d_{C1.1}$  is presented in Fig. 5(a) as an example. The restriction lines regarding Fig. 4(b) in xy subspace is presented in Fig. 5(b). Similarly, the distances from the point of origin to lines of C2.1, ..., C2.6 denoted by  $d_{C2.1}, \dots, d_{C2.6}$  can be calculated. Then, the modulation range of xy subspace is derived by combining Fig. 5(a) and (b), as shown in the shadowed area of Fig. 5(c). The circle indicates the linear modulation range under  $V_{\alpha\beta}^* = [\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}]^T$  and the magnitude (radius) denoted by  $L_{LMR}$  is calculated as  $L_{LMR} = \text{Min}\{d_{C1.1}, \dots, d_{C1.6}, d_{C2.1}, \dots, d_{C2.6}\} = 0.327 \cdot V_{dc}$ .

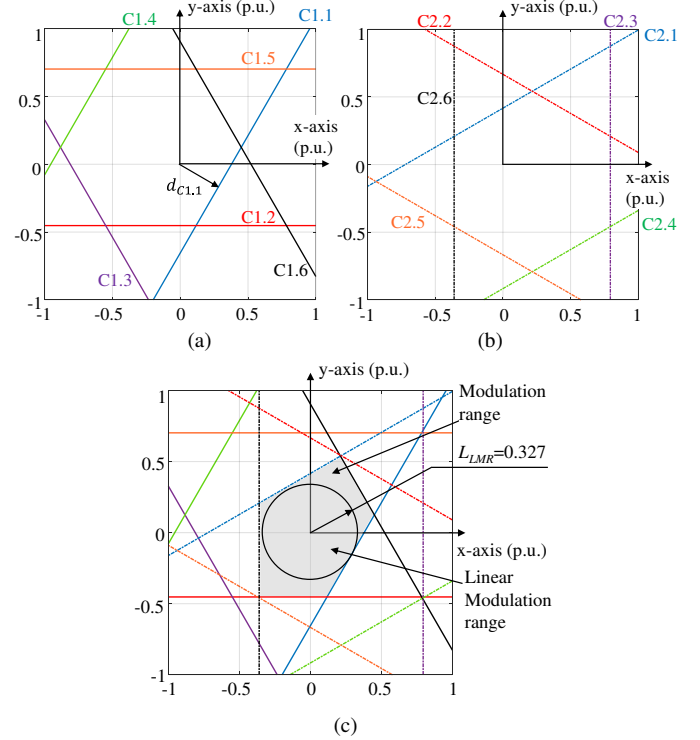


Fig. 5 Linear modulation range in xy subspace for SVPWM-D3 under  $V_{\alpha\beta}^* = [\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}]^T$ . (a) Modulation restrictions regarding Fig. 4(a). (b) Modulation restrictions regarding Fig. 4(b). (c) Linear modulation range of xy subspace combining (a) and (b).

Since the modulation index of the fundamental voltage can generally represent the voltage demand for torque generation and speed control, the linear modulation range under an assured modulation index can be more practical for real applications. Neglecting low-amplitude high-frequency harmonics in  $\alpha\beta$  subspace, the modulation index of the fundamental voltage in  $\alpha\beta$  subspace, is defined as

$$m = \frac{|V_{\alpha\beta}^*|}{\frac{V_{dc}}{2}}. \quad (9)$$

Following the same analysis procedure described in Fig. 5, the magnitude of the linear modulation range under  $V_{\alpha\beta}^*$  with the magnitude of  $0.25 \cdot V_{dc}$  can be derived and shown in Fig. 6, in which  $\theta_V$  is the vector angle against  $\alpha$ -axis (Fig. 7 (a))

ranging from 0 to  $2\pi$ . It shows that  $L_{LMR}$  varies against  $\theta_V$  within the range of  $0.327 \cdot V_{dc}$  to  $0.336 \cdot V_{dc}$ . Thus, the minimum value of  $L_{LMR}$  with  $\theta_V$  ranging from 0 to  $2\pi$  ( $L_{LMR} = 0.327 \cdot V_{dc}$ ) is defined as the magnitude of the linear modulation range under  $m = 0.25 \cdot V_{dc} / (0.5 \cdot V_{dc}) = 0.5$ . The difference between linear modulation ranges under a specific  $V_{\alpha\beta}^*$  and an assured modulation index is explained in Fig. 7. In Fig. 7(a), the modulation of any  $V_{xy}^*$  with the magnitude no longer than  $L_{LMR}$  would not affect that of the specific  $V_{\alpha\beta}^*$ . However in Fig. 7(b), the modulation of any  $V_{xy}^*$  with the magnitude no longer than  $L_{LMR}$  would not affect the modulation of any  $V_{\alpha\beta}^*$  with the magnitude of  $\frac{V_{dc}}{2} \cdot m$ .

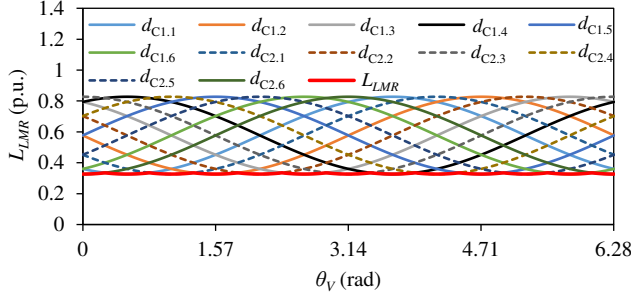


Fig. 6  $L_{LMR}$  against  $\theta_V$  ranging from 0 to  $2\pi$  under  $|V_{\alpha\beta}^*| = 0.25 \cdot V_{dc}$  for SVPWM-D3.

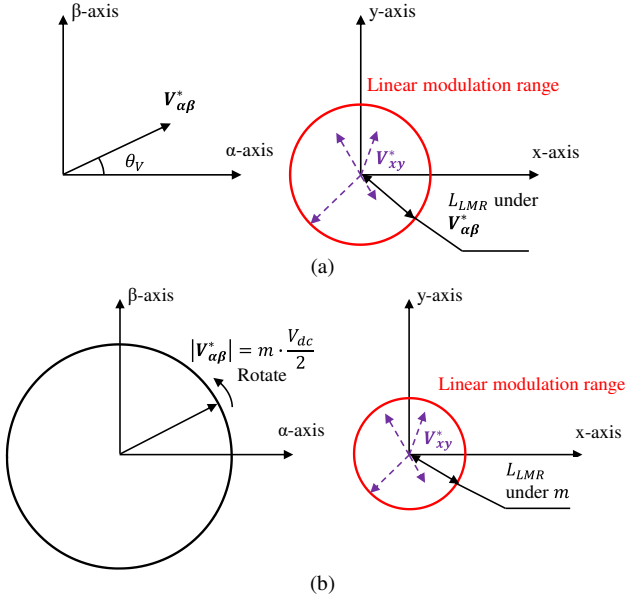


Fig. 7 Schematic diagrams of linear modulation range. (a) Under a specific  $V_{\alpha\beta}^*$ . (b) Under an assured modulation index.

Till now, the procedures to derive  $L_{LMR}$  under the assured modulation index have been explained completely. Follow these procedures,  $L_{LMR}$  under the assured modulation index  $m$  within the range of  $[0, \frac{2}{\sqrt{3}}]$  can be calculated and then plotted in Fig. 8 in which  $L_{LMR}$  is scaled with a base of  $\frac{V_{dc}}{2}$ . It is found from Fig. 8 that  $L_{LMR}$  and  $m$  are in linear relationship and can be written as

$$L_{LMR} = \frac{V_{dc}}{2} \cdot \left( -m + \frac{2}{\sqrt{3}} \right). \quad (10)$$

From Fig. 8 and (10), there is no linear modulation range under  $m$  larger than  $\frac{2}{\sqrt{3}}$  for the SVPWM-D3 technique.

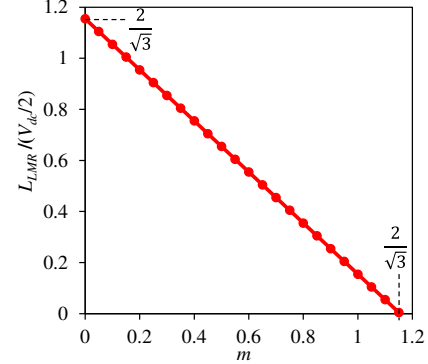


Fig. 8  $L_{LMR}$  under different modulation indices for SVPWM-D3.

### B. SVPWM with Four Active Voltage Vectors

The SVPWM technique using four active voltage vectors (SVPWM-4L) [9], [12]-[15] is widely implemented but not researched concerning the modulation restraint. Four active voltage vectors are determined to modulate voltage references during each PWM period. The voltage vector selection principle is presented in Fig. 9. The  $\alpha\beta$  subspace is divided into 12 sectors using voltage vectors from Dodecagon  $N_4$  as boundaries. The twelve voltage vectors from Dodecagon  $N_4$  in  $\alpha\beta$  subspace are candidate vectors that can provide a large modulation range in  $\alpha\beta$  subspace.

In Fig. 9, if  $V_{\alpha\beta}^*$  is located between  $V_{11}$  and  $V_9$  in  $\alpha\beta$  subspace, four active voltage vectors  $V_{27}$ ,  $V_{11}$ ,  $V_9$  and  $V_{41}$  are selected to modulate  $V_{\alpha\beta}^*$  and  $V_{xy}^*$  in two subspaces, respectively. In such a case, twelve sectors and four active voltage vectors determined by the location of  $V_{\alpha\beta}^*$  are shown in Table I.

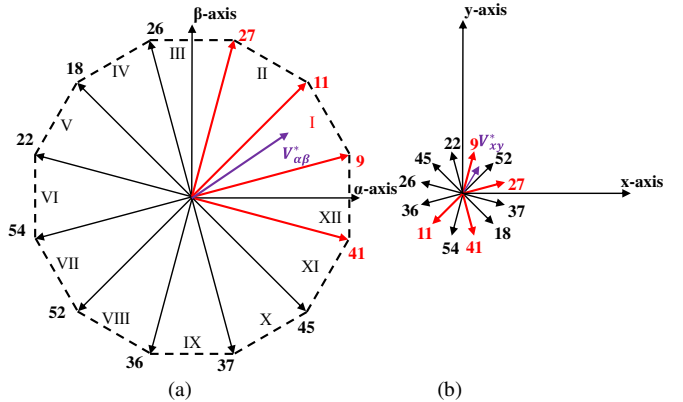


Fig. 9 Sector division and voltage vector selection principle for SVPWM-4L. (a)  $\alpha\beta$  subspace. (b)  $xy$  subspace.

The modulation procedure is described in (11) and zero voltage vectors are applied to fill in the gap of each PWM period.

$$\begin{bmatrix} T_{SA} \\ T_{SB} \\ T_{SC} \\ T_{SD} \end{bmatrix} = T_{PWM} \begin{bmatrix} V_{SA\alpha} & V_{SB\alpha} & V_{SC\alpha} & V_{SD\alpha} \\ V_{SA\beta} & V_{SB\beta} & V_{SC\beta} & V_{SD\beta} \\ V_{SAx} & V_{SBx} & V_{SCx} & V_{SDx} \\ V_{SAy} & V_{SBx} & V_{SCy} & V_{SDy} \end{bmatrix}^{-1} \begin{bmatrix} u_{\alpha}^* \\ u_{\beta}^* \\ u_x^* \\ u_y^* \end{bmatrix}, \quad (11)$$

in which,  $V_{SA\alpha}$ ,  $V_{SB\alpha}$ ,  $V_{SC\alpha}$ ,  $V_{SD\alpha}$  denote projections of  $\mathbf{V}_{SA}$ ,  $\mathbf{V}_{SB}$ ,  $\mathbf{V}_{SC}$ ,  $\mathbf{V}_{SD}$  on  $\alpha$ -axis. For projections on  $\beta$ -axis, x-axis, and y-axis, similar denotations apply.  $T_{SA}$ ,  $T_{SB}$ ,  $T_{SC}$  and  $T_{SD}$  denote dwell times of  $\mathbf{V}_{SA}$ ,  $\mathbf{V}_{SB}$ ,  $\mathbf{V}_{SC}$ ,  $\mathbf{V}_{SD}$ , respectively.

TABLE I

SECTOR DIVISION AND VOLTAGE VECTOR SELECTION FOR SVPWM-4L				
Sector	$\mathbf{V}_{SA}$	$\mathbf{V}_{SB}$	$\mathbf{V}_{SC}$	$\mathbf{V}_{SD}$
I	27	11	9	41
II	26	27	11	9
III	18	26	27	11
IV	22	18	26	27
V	54	22	18	26
VI	52	54	22	18
VII	36	52	54	22
VIII	37	36	52	54
IX	45	37	36	52
X	41	45	37	36
XI	9	41	45	37
XII	11	9	41	45

However, it cannot ensure there is always a solution to (11). Dwell times have restrictions shown in (12) which should be satisfied and  $T_z$  is the dwell time of zero voltage vectors.

$$\begin{cases} T_{SA} \geq 0 \\ T_{SB} \geq 0 \\ T_{SC} \geq 0 \\ T_{SD} \geq 0 \\ T_z = T_{PWM} - (T_{SA} + T_{SB} + T_{SC} + T_{SD}) \geq 0 \end{cases} \quad (12)$$

Substitute (11) into (12), the modulation range of xy subspace related to  $u_{\alpha}^*$  and  $u_{\beta}^*$  can be derived. Similarly,  $\mathbf{V}_{\alpha\beta}^* = \left[ \frac{\sqrt{3}}{8} V_{dc} \quad \frac{1}{8} V_{dc} \right]^T$  is set as an example and  $\mathbf{V}_{27}$ ,  $\mathbf{V}_{11}$ ,  $\mathbf{V}_9$  and  $\mathbf{V}_{41}$  are selected under this case. Then, the modulation range of xy subspace is shown in Fig. 10 in the per-unit scale with respect to  $V_{dc}$ . From Fig. 10, each line presents one dwell time restriction in (12). The shadowed area is the modulation range of xy subspace under  $\mathbf{V}_{\alpha\beta}^* = \left[ \frac{\sqrt{3}}{8} V_{dc} \quad \frac{1}{8} V_{dc} \right]^T$ . The distances from the point of origin to lines of  $T_{SA}$ ,  $T_{SB}$ ,  $T_{SC}$ ,  $T_{SD}$  and  $T_z$  in (12), are denoted as  $d_{SA}$ ,  $d_{SB}$ ,  $d_{SC}$ ,  $d_{SD}$  and  $d_z$ . The distance  $d_{SA}$  is presented in Fig. 10 as an example. Similarly, the circle indicates the linear modulation range and the magnitude ( $L_{LMR}$ ), i.e. the radius, is the minimum value among  $d_{SA}$ ,  $d_{SB}$ ,  $d_{SC}$ ,  $d_{SD}$  and  $d_z$ , which is  $L_{LMR} = \text{Min}\{d_{SA}, d_{SB}, d_{SC}, d_{SD}, d_z\} = 0.017 \cdot V_{dc}$  under this case.

Then, the linear modulation range of xy subspace under  $\mathbf{V}_{\alpha\beta}^*$  with the magnitude of  $0.25 \cdot V_{dc}$  and vector angle ranging from 0 to  $2\pi$  can be derived, shown in Fig. 11. From Fig. 11,  $L_{LMR}$  is relatively small and varies from 0 to  $0.017 \cdot V_{dc}$ . Thus, the linear modulation range under  $m = 0.5$  has the magnitude of  $L_{LMR} = 0$  for SVPWM-4L. Moreover, the magnitude of the

linear modulation range under  $m \in [0, \frac{2}{\sqrt{3}}]$  is analyzed to be zero.

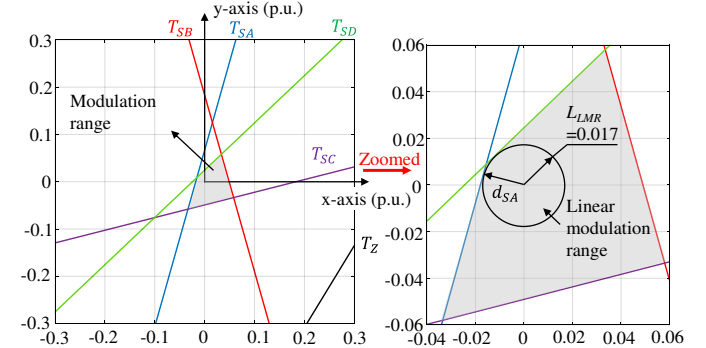


Fig. 10 Modulation range in xy subspace for SVPWM-4L under  $\mathbf{V}_{\alpha\beta}^* = \left[ \frac{\sqrt{3}}{8} V_{dc} \quad \frac{1}{8} V_{dc} \right]^T$ .

### C. Enhanced SVPWM with Synthetic Vectors

Synthetic vectors composed of two voltage vectors can be implemented to achieve a decoupled modulation of voltage references in two subspaces indirectly [20]. In this SVPWM technique [20], the modulations in two subspaces do not affect each other.

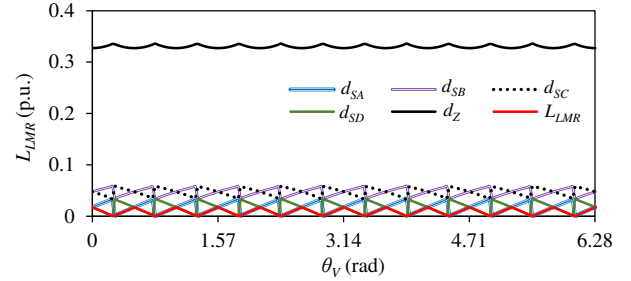


Fig. 11  $L_{LMR}$  against  $\theta_v$  ranging from 0 to  $2\pi$  under  $|\mathbf{V}_{\alpha\beta}^*| = 0.25 \cdot V_{dc}$  for SVPWM-4L.

The enhanced SVPWM with synthetic vectors (SVPWM-SV) is further developed from [20] with optimization of sector division and switching pattern generation. In SVPWM-SV [20], each PWM period  $T_{PWM}$  is split into two parts, denoted as  $T_{\alpha\beta}$  and  $T_{xy}$  which are calculated according to the lengths of  $\mathbf{V}_{\alpha\beta}^*$  and  $\mathbf{V}_{xy}^*$ , shown as

$$\begin{cases} T_{\alpha\beta} = \frac{|\mathbf{V}_{\alpha\beta}^*|}{|\mathbf{V}_{\alpha\beta}^*| + |\mathbf{V}_{xy}^*|} \cdot T_{PWM} \\ T_{xy} = \frac{|\mathbf{V}_{xy}^*|}{|\mathbf{V}_{\alpha\beta}^*| + |\mathbf{V}_{xy}^*|} \cdot T_{PWM} \end{cases} \quad (13)$$

In the period of  $T_{\alpha\beta}$ ,  $\mathbf{V}_{\alpha\beta}^*$  is modulated through two synthetic vectors and the average zero voltage in xy subspace is achieved, as shown in Fig. 12, where  $\mathbf{V}_{\alpha\beta}^*$  locates at Sector I and synthetic vectors  $\mathbf{V}_{SV1}$ ,  $\mathbf{V}_{SV2}$  are formed by  $\mathbf{V}_{11}$ ,  $\mathbf{V}_{25}$  and  $\mathbf{V}_9$ ,  $\mathbf{V}_{43}$ , respectively, used to modulate  $\mathbf{V}_{\alpha\beta}^*$ . The sector division is the same as SVPWM-4L. Following the same rule, the synthetic vectors implemented for  $\mathbf{V}_{\alpha\beta}^*$  locating at all sectors are presented in Table II, in which  $\mathbf{V}_{SA,\alpha\beta}$ ,  $\mathbf{V}_{SC,\alpha\beta}$  are  $N_4$  voltage

vectors and  $V_{SB\_a\beta}$ ,  $V_{SD\_a\beta}$  are  $N_3$  voltage vectors in  $a\beta$  subspace.

Then, the procedure to obtain the synthetic vectors is illustrated. It can be seen that  $V_{SA\_a\beta}$  and  $V_{SB\_a\beta}$  ( $V_{SC\_a\beta}$  and  $V_{SD\_a\beta}$ ) are in phase in  $a\beta$  subspace, but are out of phase in  $xy$  subspace. Therefore, through the proposed allocation of dwell times, the average zero voltage in  $xy$  subspace is achieved by

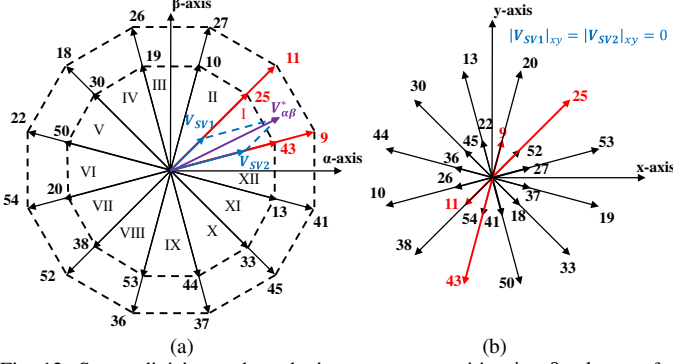


Fig. 12 Sector division and synthetic vector composition in  $a\beta$  subspace for SVPWM-SV. (a)  $a\beta$  subspace. (b)  $xy$  subspace.

TABLE II  
SECTOR DIVISION AND VOLTAGE VECTOR SELECTION FOR SYNTHETIC VECTORS IN  $a\beta$  SUBSPACE

Sector	$V_{SV1}$		$V_{SV2}$	
	$V_{SA\_a\beta}$	$V_{SB\_a\beta}$	$V_{SC\_a\beta}$	$V_{SD\_a\beta}$
I	11	25	9	43
II	27	10	11	25
III	26	19	27	10
IV	18	30	26	19
V	22	50	18	30
VI	54	20	22	50
VII	52	38	54	20
VIII	36	53	52	38
IX	37	44	36	53
X	45	33	37	44
XI	41	13	45	33
XII	9	43	41	13

$$\begin{cases} L_{N3} \cdot T_{SB\_a\beta} - L_{N1} \cdot T_{SA\_a\beta} = 0 \\ T_{SA\_a\beta} + T_{SB\_a\beta} = T_{SV1} \end{cases}, \quad (14)$$

$$\begin{cases} L_{N3} \cdot T_{SD\_a\beta} - L_{N1} \cdot T_{SC\_a\beta} = 0 \\ T_{SC\_a\beta} + T_{SD\_a\beta} = T_{SV2} \end{cases}, \quad (15)$$

in which,  $T_{SA\_a\beta}$ ,  $T_{SB\_a\beta}$ ,  $T_{SC\_a\beta}$  and  $T_{SD\_a\beta}$  denote dwell times of  $V_{SA\_a\beta}$ ,  $V_{SB\_a\beta}$ ,  $V_{SC\_a\beta}$  and  $V_{SD\_a\beta}$ , respectively;  $L_{N1}$ ,  $L_{N3}$  are shown in (4);  $T_{SV1}$  and  $T_{SV2}$  denote dwell times of  $V_{SV1}$  and  $V_{SV2}$ , respectively. Then,  $T_{SA\_a\beta}$ ,  $T_{SB\_a\beta}$ ,  $T_{SC\_a\beta}$  and  $T_{SD\_a\beta}$  calculated from (14) and (15) can be expressed as

$$\begin{cases} T_{SA\_a\beta} = \frac{2\sqrt{2}}{\sqrt{6} + \sqrt{2}} \cdot T_{SV1} \\ T_{SB\_a\beta} = \frac{\sqrt{6} - \sqrt{2}}{\sqrt{6} + \sqrt{2}} \cdot T_{SV1} \end{cases}, \quad (16)$$

$$\begin{cases} T_{SC\_a\beta} = \frac{2\sqrt{2}}{\sqrt{6} + \sqrt{2}} \cdot T_{SV2} \\ T_{SD\_a\beta} = \frac{\sqrt{6} - \sqrt{2}}{\sqrt{6} + \sqrt{2}} \cdot T_{SV2} \end{cases}. \quad (17)$$

According to (16) and (17), the length of the synthetic vectors  $V_{SV1}$  and  $V_{SV2}$  in  $a\beta$  and  $xy$  subspaces can be expressed as

$$\begin{cases} |V_{SV1}|_{a\beta} = \frac{L_{N4} \cdot T_{SA\_a\beta} + L_{N3} \cdot T_{SB\_a\beta}}{T_{PWM}} \\ = \frac{3\sqrt{2} - \sqrt{6}}{3} \cdot V_{dc} \cdot \frac{T_{SV1}}{T_{PWM}}, \\ |V_{SV1}|_{xy} = 0 \end{cases}, \quad (18)$$

$$\begin{cases} |V_{SV2}|_{a\beta} = \frac{L_{N4} \cdot T_{SC\_a\beta} + L_{N3} \cdot T_{SD\_a\beta}}{T_{PWM}} \\ = \frac{3\sqrt{2} - \sqrt{6}}{3} \cdot V_{dc} \cdot \frac{T_{SV2}}{T_{PWM}}, \\ |V_{SV2}|_{xy} = 0 \end{cases}, \quad (19)$$

where  $|V_{SV1}|_{a\beta}$  and  $|V_{SV1}|_{xy}$  denotes the lengths of  $V_{SV1}$  in  $a\beta$  and  $xy$  subspaces, respectively;  $|V_{SV2}|_{a\beta}$  and  $|V_{SV2}|_{xy}$  denotes the lengths of  $V_{SV2}$  in  $a\beta$  and  $xy$  subspaces, respectively. Then, substituting (18) and (19) into (16) and (17), respectively, (16) and (17) can be rewritten as

$$\begin{bmatrix} T_{SA\_a\beta} \\ T_{SB\_a\beta} \\ T_{SC\_a\beta} \\ T_{SD\_a\beta} \end{bmatrix} = \frac{T_{PWM}}{V_{dc}} \cdot \begin{bmatrix} \frac{\sqrt{6}}{2} & 0 \\ \sqrt{3} & 0 \\ \sqrt{6} + \sqrt{2} & 0 \\ 0 & \frac{\sqrt{6}}{2} \\ 0 & \frac{\sqrt{3}}{\sqrt{6} + \sqrt{2}} \end{bmatrix} \begin{bmatrix} |V_{SV1}|_{a\beta} \\ |V_{SV2}|_{a\beta} \end{bmatrix}. \quad (20)$$

Of note, the synthetic vector  $V_{SV1}$  is in phase with  $V_{SA\_a\beta}$  and  $V_{SB\_a\beta}$  in  $a\beta$  subspace. Similarly, the synthetic vector  $V_{SV2}$  is in phase with  $V_{SC\_a\beta}$  and  $V_{SD\_a\beta}$  in  $a\beta$  subspace as well. From (18) and (19), the average zero voltage in  $xy$  subspace will be maintained when  $V_{SV1}$  and  $V_{SV2}$  are used to modulate  $V_{a\beta}^*$ . Then, the modulation of  $V_{a\beta}^*$  can be described as

$$\begin{bmatrix} |V_{SV1}|_{a\beta} \\ |V_{SV2}|_{a\beta} \end{bmatrix} = \begin{bmatrix} \cos\left(\mu + \frac{\pi}{6}\right) & \cos(\mu) \\ \sin\left(\mu + \frac{\pi}{6}\right) & \sin(\mu) \end{bmatrix}^{-1} \begin{bmatrix} u_{a\beta}^* \\ u_{\beta}^* \end{bmatrix}, \quad (21)$$

in which,  $\mu = \frac{\pi}{6} \cdot n - \frac{\pi}{12}$  ( $n = 1, 2, \dots, 12$ ).  $n$  is corresponding to the sector number in  $a\beta$  subspace and for example, if  $V_{a\beta}^*$  is in Sector I,  $n = 1$ . Then, substituting (21) into (20), the dwell times of voltage vectors in the first part  $T_{a\beta}$  can be obtained according to  $V_{a\beta}^*$ . Meanwhile, the dwell times of zero voltage vectors can be calculated as



$$T_{0_{\alpha\beta}} = T_{63_{\alpha\beta}} = \frac{T_{\alpha\beta} - T_{SA_{\alpha\beta}} - T_{SB_{\alpha\beta}} - T_{SC_{\alpha\beta}} - T_{SD_{\alpha\beta}}}{2} \quad (22)$$

where  $T_{0_{\alpha\beta}}$  and  $T_{63_{\alpha\beta}}$  denote the dwell times of  $\mathbf{V}_0$  and  $\mathbf{V}_{63}$  in the period of  $T_{\alpha\beta}$ , respectively.

In the period of  $T_{xy}$ ,  $\mathbf{V}_{xy}^*$  is modulated through two synthetic vectors and the average zero voltage in  $\alpha\beta$  subspace is maintained, as shown in Fig. 13, where  $\mathbf{V}_{xy}^*$  locates at Sector I and two synthetic vectors  $\mathbf{V}_{SV3}$ ,  $\mathbf{V}_{SV4}$  formed by  $\mathbf{V}_{21}$ ,  $\mathbf{V}_{25}$  and  $\mathbf{V}_{17}$ ,  $\mathbf{V}_{53}$ , respectively, used to modulate  $\mathbf{V}_{xy}^*$ . Following the same rule, the synthetic vectors implemented for  $\mathbf{V}_{xy}^*$ , locating at all sectors are presented in Table III, in which  $\mathbf{V}_{SA_{xy}}$ ,  $\mathbf{V}_{SC_{xy}}$  are  $N_4$  voltage vectors and  $\mathbf{V}_{SB_{xy}}$ ,  $\mathbf{V}_{SD_{xy}}$  are  $N_3$  voltage vectors in  $xy$  subspace. The procedures to obtain the length of  $\mathbf{V}_{SV3}$ ,  $\mathbf{V}_{SV4}$  in  $xy$  subspace and their dwell times are the same as those for  $\mathbf{V}_{SV1}$ ,  $\mathbf{V}_{SV2}$  in  $\alpha\beta$  subspace shown in (14)-(19), but are conducted in  $xy$  subspace. Then, the lengths of  $\mathbf{V}_{SV3}$  and  $\mathbf{V}_{SV4}$  are shown as

$$\begin{cases} |\mathbf{V}_{SV3}|_{\alpha\beta} = 0 \\ |\mathbf{V}_{SV3}|_{xy} = \frac{3\sqrt{2} - \sqrt{6}}{3} \cdot V_{dc} \cdot \frac{T_{SV3}}{T_{PWM}} \end{cases} \quad (23)$$

$$\begin{cases} |\mathbf{V}_{SV4}|_{\alpha\beta} = 0 \\ |\mathbf{V}_{SV4}|_{xy} = \frac{3\sqrt{2} - \sqrt{6}}{3} \cdot V_{dc} \cdot \frac{T_{SV4}}{T_{PWM}} \end{cases} \quad (24)$$

in which,  $|\mathbf{V}_{SV3}|_{xy}$  and  $|\mathbf{V}_{SV4}|_{xy}$  denote the lengths of  $\mathbf{V}_{SV3}$  and  $\mathbf{V}_{SV4}$  in  $xy$  subspace, respectively;  $|\mathbf{V}_{SV3}|_{\alpha\beta}$  and  $|\mathbf{V}_{SV4}|_{\alpha\beta}$  denote the lengths of  $\mathbf{V}_{SV3}$  and  $\mathbf{V}_{SV4}$  in  $\alpha\beta$  subspace, respectively, which are zero;  $T_{SV3}$  and  $T_{SV4}$  denote the dwell times of  $\mathbf{V}_{SV3}$  and  $\mathbf{V}_{SV4}$ , respectively.

Similarly, the modulation of  $\mathbf{V}_{xy}^*$  can be described as

$$\begin{bmatrix} |\mathbf{V}_{SV3}|_{xy} \\ |\mathbf{V}_{SV4}|_{xy} \end{bmatrix} = \begin{bmatrix} \cos\left(\sigma + \frac{\pi}{6}\right) & \cos(\sigma) \\ \sin\left(\sigma + \frac{\pi}{6}\right) & \sin(\sigma) \end{bmatrix}^{-1} \begin{bmatrix} u_x^* \\ u_y^* \end{bmatrix}, \quad (25)$$

in which;  $\sigma = \frac{\pi}{6} \cdot q - \frac{\pi}{12}$  ( $q = 1, 2, \dots, 12$ ).  $q$  is corresponding to the sector number in  $xy$  subspace and for example, if  $\mathbf{V}_{xy}^*$  is in Sector I,  $q = 1$ . Similarly, the dwell times of voltage vectors in the period of  $T_{xy}$  can be expressed as

$$\begin{bmatrix} T_{SA_{xy}} \\ T_{SB_{xy}} \\ T_{SC_{xy}} \\ T_{SD_{xy}} \end{bmatrix} = \frac{T_{PWM}}{V_{dc}} \cdot \begin{bmatrix} \frac{\sqrt{6}}{2} & 0 \\ \sqrt{6} + \sqrt{2} & 0 \\ 0 & \frac{\sqrt{6}}{2} \\ 0 & \frac{\sqrt{3}}{\sqrt{6} + \sqrt{2}} \end{bmatrix} \begin{bmatrix} |\mathbf{V}_{SV3}|_{xy} \\ |\mathbf{V}_{SV4}|_{xy} \end{bmatrix}, \quad (26)$$

$$T_{0_{xy}} = T_{63_{xy}} = \frac{T_{xy} - T_{SA_{xy}} - T_{SB_{xy}} - T_{SC_{xy}} - T_{SD_{xy}}}{2} \quad (27)$$

where  $T_{SA_{xy}}$ ,  $T_{SB_{xy}}$ ,  $T_{SC_{xy}}$  and  $T_{SD_{xy}}$  denote the dwell times of  $\mathbf{V}_{SA_{xy}}$ ,  $\mathbf{V}_{SB_{xy}}$ ,  $\mathbf{V}_{SC_{xy}}$  and  $\mathbf{V}_{SD_{xy}}$ , respectively;  $T_{0_{xy}}$  and  $T_{63_{xy}}$  denote the dwell times of  $\mathbf{V}_0$  and  $\mathbf{V}_{63}$  in the period of  $T_{xy}$ , respectively.

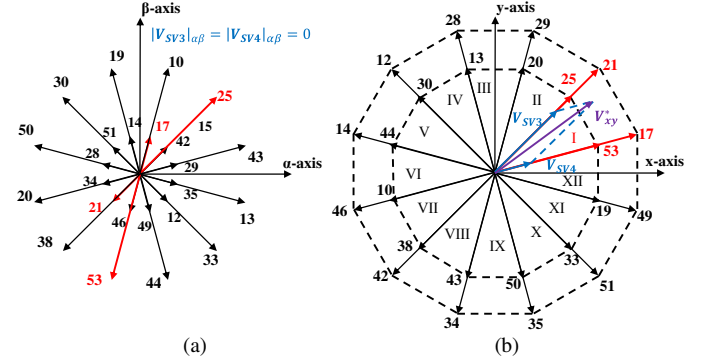


Fig. 13 Sector division and synthetic vector composition in  $xy$  subspace for SVPWM-SV. (a)  $\alpha\beta$  subspace. (b)  $xy$  subspace.

TABLE III  
SECTOR DIVISION AND VOLTAGE VECTOR SELECTION FOR SYNTHETIC VECTORS IN  $xy$  SUBSPACE

Sector	$\mathbf{V}_{SV3}$		$\mathbf{V}_{SV4}$	
	$\mathbf{V}_{SA_{xy}}$	$\mathbf{V}_{SB_{xy}}$	$\mathbf{V}_{SC_{xy}}$	$\mathbf{V}_{SD_{xy}}$
I	21	25	17	53
II	29	20	21	25
III	28	13	29	20
IV	12	30	28	13
V	14	44	12	30
VI	46	10	14	44
VII	42	38	46	10
VIII	34	43	42	38
IX	35	50	34	43
X	51	33	35	50
XI	49	19	51	33
XII	17	53	49	19

Then the final step is to arrange the switching sequence according to dwell times calculated as (20), (22), (26), and (27). For instance, the switching sequence for  $\mathbf{V}_{\alpha\beta}^* = \left[ \frac{\sqrt{3}}{8} V_{dc} \quad \frac{1}{8} V_{dc} \right]^T$  and  $\mathbf{V}_{xy}^* = \left[ \frac{\sqrt{3}}{16} V_{dc} \quad \frac{1}{16} V_{dc} \right]^T$  are presented in Fig. 14.

The flowchart of the SVPWM-SV technique can be summarized in Fig. 15. The PWM period split makes the SVPWM-SV technique complicated. On the other hand, it can be seen from Fig. 14 that phases experience multiple switching during each PWM period leading to high switching loss. Meanwhile, the complicated switching sequence arrangement with too many voltage vectors poses a significant challenge for microcontrollers. Therefore, the SVPWM-SV in [20] can be improved to become more practical and accessible.

In the enhanced SVPWM-SV technique, there is no PWM period split and the modulations of  $\mathbf{V}_{\alpha\beta}^*$  and  $\mathbf{V}_{xy}^*$  are firstly achieved by using (21) and (25). Then, the dwell times of  $\mathbf{V}_{SA_{\alpha\beta}}$ ,  $\mathbf{V}_{SB_{\alpha\beta}}$ ,  $\mathbf{V}_{SC_{\alpha\beta}}$ ,  $\mathbf{V}_{SD_{\alpha\beta}}$ ,  $\mathbf{V}_{SA_{xy}}$ ,  $\mathbf{V}_{SB_{xy}}$ ,  $\mathbf{V}_{SC_{xy}}$  and

$V_{SD\_xy}$  can be calculated according to (20) and (26). The dwell times of zero voltage vectors  $V_0$  and  $V_{63}$ , denoted by  $T_0$  and  $T_{63}$ , respectively in the period of  $T_{PWM}$  can be expressed as

$$T_0 = T_{63} = \frac{T_{PWM}}{2} \frac{T_{SA\_a\beta} + T_{SB\_a\beta} + T_{SC\_a\beta} + T_{SD\_a\beta}}{T_{SA\_xy} + T_{SB\_xy} + T_{SC\_xy} + T_{SD\_xy}} \quad (28)$$

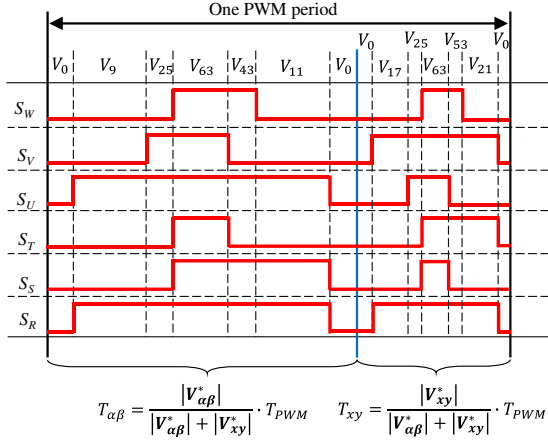


Fig. 14 PWM period split and switching sequence generation for  $V_{a\beta}^* = \left[\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}\right]^T$  and  $V_{xy}^* = \left[\frac{\sqrt{3}}{16}V_{dc} \ \frac{1}{16}V_{dc}\right]^T$  in SVPWM-SV [20].

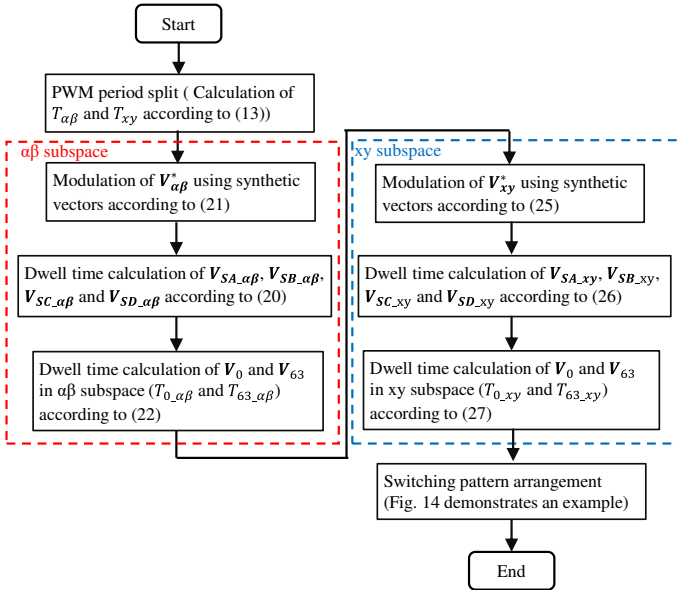


Fig. 15 Flowchart of SVPWM-SV [20].

The next step is to calculate the duty cycle of each phase according to (20), (26), and (28). Still using the example  $V_{a\beta}^* = \left[\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}\right]^T$  and  $V_{xy}^* = \left[\frac{\sqrt{3}}{16}V_{dc} \ \frac{1}{16}V_{dc}\right]^T$ , duty cycles of phases can be calculated as (29). Then, the switching sequence is generated from (29) and the turn-on time of each phase is allocated in the middle of the switching pattern, as shown in Fig. 16. There will be no multiple switching in each phase and no complicated switching sequence arrangement, compared with the SVPWM-SV in [20] shown as Fig. 14. Of note, the switch

sequence in Fig. 14 and Fig. 16 are different, but both of them can modulate  $V_{a\beta}^* = \left[\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}\right]^T$  and  $V_{xy}^* = \left[\frac{\sqrt{3}}{16}V_{dc} \ \frac{1}{16}V_{dc}\right]^T$ . Additionally, the generation of the switching sequence in Fig. 16 is much simpler for microcontrollers.

$$\begin{cases} D_R = \frac{T_{PWM} - T_0}{T_{PWM}} \\ D_S = \frac{T_{SA\_a\beta} + T_{SD\_a\beta} + T_{63}}{T_{PWM}} \\ D_T = \frac{T_{SA\_xy} + T_{SD\_xy} + T_{63}}{T_{PWM}} \\ D_U = \frac{T_{SA\_a\beta} + T_{SB\_a\beta} + T_{SC\_a\beta} + T_{SD\_a\beta} + T_{SB\_xy} + T_{63}}{T_{PWM}} \\ D_V = \frac{T_{SA\_xy} + T_{SB\_xy} + T_{SC\_xy} + T_{SD\_xy} + T_{SB\_a\beta} + T_{63}}{T_{PWM}} \\ D_W = \frac{T_{SD\_a\beta} + T_{SD\_xy} + T_{63}}{T_{PWM}} \end{cases} \quad (29)$$

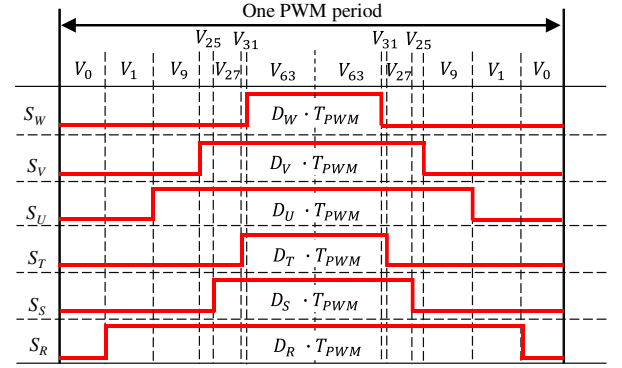


Fig. 16 Switching sequence generated from (29) for  $V_{a\beta}^* = \left[\frac{\sqrt{3}}{8}V_{dc} \ \frac{1}{8}V_{dc}\right]^T$  and  $V_{xy}^* = \left[\frac{\sqrt{3}}{16}V_{dc} \ \frac{1}{16}V_{dc}\right]^T$  in enhanced SVPWM-SV.

The steps of the enhanced SVPWM-SV technique can be summarized in Fig. 17. Comparing Fig. 15 and Fig. 17, the steps of the enhanced SVPWM-SV technique are simpler. The outstanding benefits are no PWM period split and no multiple switching per phase.

Since the enhanced SVPWM-SV can modulate voltage references in  $\alpha\beta$  and  $xy$  subspaces separately without being coupled by dwell times of voltage vectors, the derivation of linear modulation range is more straightforward than SVPWM-4L and SVPWM-D3. The modulation in  $\alpha\beta$  subspace (Sector I as an example) can be described as Fig. 18, in which  $\gamma$  is the angle between  $V_{a\beta}^*$  and  $V_{SV2}$ . Then,  $T_{SV1}$  and  $T_{SV2}$  against  $m$  can be derived using (30).

$$\frac{|V_{a\beta}^*|}{\sin\left(\frac{5}{6}\pi\right)} = \frac{|V_{SV1}|_{\alpha\beta}}{\sin(\gamma)} = \frac{|V_{SV2}|_{\alpha\beta}}{\sin\left(\frac{1}{6}\pi - \gamma\right)} \quad (30)$$

Substituting (9), (18), (19) into (30),  $T_{SV1} + T_{SV2}$  reflecting the period consumed to modulate  $V_{a\beta}^*$  in one PWM period can be expressed as

$$T_{SV1} + T_{SV2} = \frac{3T_{PWM} \cdot m}{3\sqrt{2} - \sqrt{6}} \cdot \left( \sin(\gamma) + \sin\left(\frac{1}{6}\pi - \gamma\right) \right), \quad (31)$$

in which  $\gamma \in \left[0, \frac{1}{6}\pi\right]$ . Then, the maximum value of (31) is  $\frac{\sqrt{3}}{2} T_{PWM} \cdot m$ . Since the modulations of  $\alpha\beta$  and  $xy$  subspaces are decoupled, following the same procedure, the maximum value of  $T_{SV3} + T_{SV4}$  is  $\frac{\sqrt{3}}{2} T_{PWM} \cdot \frac{|V_{xy}^*|}{\frac{V_{dc}}{2}}$ . Due to the restriction of dwell times,  $T_{SV1} + T_{SV2} + T_{SV3} + T_{SV4} \leq T_{PWM}$ ,  $L_{LMR}$  can be expressed as

$$L_{LMR} = \frac{V_{dc}}{2} \cdot \left( -m + \frac{2}{\sqrt{3}} \right). \quad (32)$$

It can be seen from (10) and (32) that SVPWM-D3 and the enhanced SVPWM-SV have the same modulation restraint.

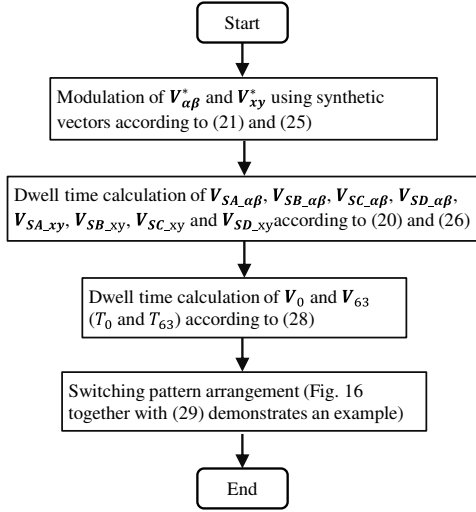


Fig. 17 Flowchart of enhanced SVPWM-SV.

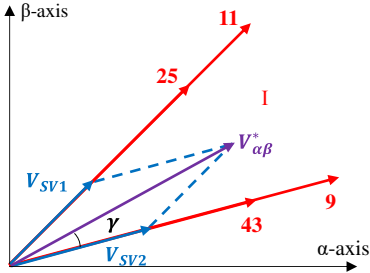


Fig. 18 Modulation in  $\alpha\beta$  subspace for enhanced SVPWM-SV (Sector I as an example).

#### D. Summary

The plot of  $L_{LMR}$  under  $m$  for SVPWM-D3, SVPWM-4L and the enhanced SVPWM-SV can be summarized in Fig. 19(a). It should be noted that there is no linear modulation range in  $xy$  subspace under  $m$  larger than  $\frac{2}{\sqrt{3}}$  for all SVPWM techniques. For SVPWM-4L,  $L_{LMR}$  is zero under all modulation indices, while  $L_{LMR}$  against  $m$  is the same for SVPWM-D3 and the enhanced SVPWM-SV. The schematic diagram of the linear modulation range of SVPWM-D3 and the enhanced SVPWM-SV presented in  $xy$  subspace is shown in Fig. 19(b). The

magnitude of the linear modulation range reaches  $\frac{V_{dc}}{\sqrt{3}}$  (max) under  $m = 0$  and it shrinks to zero under  $m = \frac{2}{\sqrt{3}}$ .

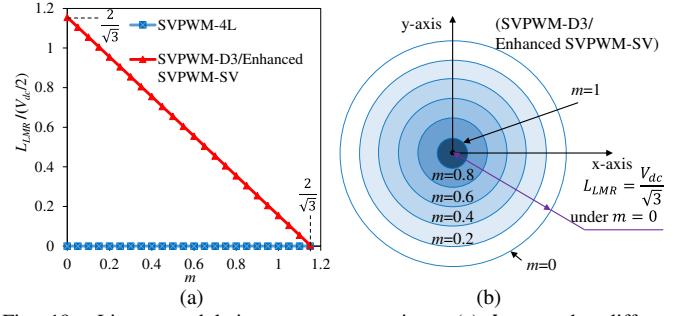


Fig. 19 Linear modulation range comparison. (a)  $L_{LMR}$  under different modulation indices. (b) Schematic diagram of linear modulation range in  $xy$  subspace for SVPWM-D3 and enhanced SVPWM-SV.

Since the value of  $m$  can be estimated through the torque requirement or fundamental current amplitude under the operation speed, the linear modulation range of SVPWM-D3 and the enhanced SVPWM-SV can be derived from (1), (2), (9), and (32) under such working conditions to ensure the control of  $xy$  subspace would not affect the current control in  $\alpha\beta$  subspace. An example of the linear modulation range derivation under a given fundamental current is illustrated in Section IV.

However, there are some restrictions in this modulation restraint analysis. Firstly, only fundamental voltage modulation reflected by the modulation index is considered in  $\alpha\beta$  subspace, however the  $12k \pm 1$  ( $k=1,2,3,\dots$ ) order harmonics with much small amplitudes are neglected. If their amplitudes are large enough to consider (normally rare), the linear modulation range in  $xy$  subspace will shrink. Secondly, there is an achievable nonlinear modulation range in  $xy$  subspace in each PWM period in addition to the calculated linear modulation range under the correspondence modulation index. For example, the shadowed area in Fig. 5(c) is the total modulation range including the nonlinear modulation range. However, the nonlinear modulation range will generate additional low-frequency harmonics in the system which will interact with injected  $xy$  voltages, thereby distorting the voltage regulation and might even lead to system misbehavior. Therefore, the linear modulation range is used as the effective restraint in this analysis.

#### IV. EXPERIMENTAL VALIDATION OF MODULATION RESTRAINT

Firstly in this section, an open-loop experiment tests the modulation restraints of three SVPWM techniques through voltage injection, in which  $V_{xy}^*$  can be within and out of the linear modulation range of  $xy$  subspace under a given  $m$ . Then, a closed-loop current compensation using the PIR(PI+Resonant) controllers under the condition of a series  $3.3\Omega$  resistor in Phase R is conducted to show the deterioration of current control with SVPWM-D3 and the enhanced SVPWM-SV out of their modulation restraints. The purpose of the series  $3.3\Omega$  resistor is to create a large voltage requirement in  $xy$  subspace.

The low-speed direct-drive wind power test rig to conduct the experiments of the voltage injection and current control is

shown in Fig. 20. The test dual 3-ph PMSM with parameters shown in Table IV can be driven by a 3-ph PMSM load machine to rotate at a fixed speed.

TABLE IV  
PARAMETERS OF TEST DUAL 3-PH PMSM

Parameters	Value
Rated power	3.7kW
Rated speed	170r/min
Rated torque	209Nm
Stator self-inductance	17.21mH
Stator resistance	3.3Ω
PM Flux	1.03Wb
Number of pole pairs	16

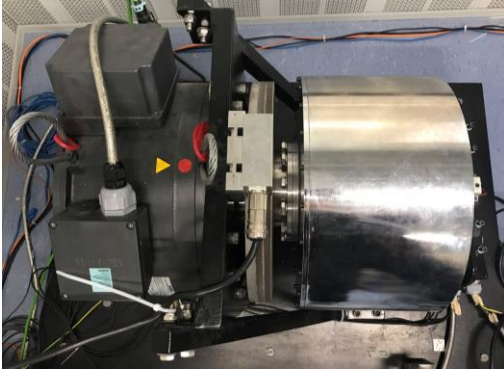


Fig. 20 Test rig setup containing load machine (left) and test dual 3-ph PMSM (right).

#### A. Open-loop Voltage Injection

The control diagram of the open-loop voltage injection is presented in Fig. 21. The dc-link voltage  $V_{dc}$  is set to 70V and a fixed mechanical speed of 10 r/min is provided by the load machine. The voltage references  $u_d^*$ ,  $u_q^*$ ,  $u_x^*$ ,  $u_y^*$  ( $V_{\alpha\beta}^*$ ,  $V_{xy}^*$ ) are injected as an open-loop control to check whether SVPWM-4L, SVPWM-D3, and the enhanced SVPWM-SV can provide a required modulation of harmonic voltage injection in xy subspace under a given  $m$ .

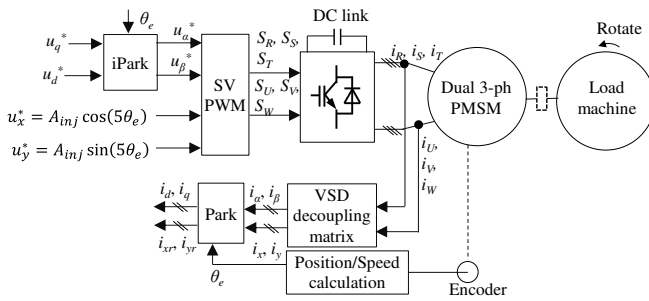


Fig. 21 Control diagram of open-loop voltage injection.

Of note,  $u_d^*$  and  $u_q^*$  denote voltage references in  $\alpha\beta$  subspace after Park transform, which are set to  $u_d^* = 0$ ,  $u_q^* = 28V$ , achieving  $V_{\alpha\beta}^*$  rotating with a magnitude of 28V and resulting in  $m = 0.8$  and  $L_{LMR} = 12.41V$  according to (9), (10) and (32) for both SVPWM-D3 and the enhanced SVPWM-SV. For  $u_x^*$  and  $u_y^*$ , the 5th harmonic with an amplitude of  $A_{inj}$  is used to test the linear modulation range shown in Fig. 19(a). Of note,

other order harmonics can be injected as well since the amplitude matters.

For SVPWM-4L, the voltage trajectories with  $A_{inj} = 0$  and 5V are shown in Fig. 22 and Fig. 23, respectively. Since SVPWM-4L has no linear modulation range, the voltage trajectories in both  $\alpha\beta$  and xy subspaces cannot follow the voltage references with  $A_{inj} = 5V$ , shown in Fig. 23, confirmed the previous analysis. Meanwhile, the overmodulation based on (12) is activated. Any negative value among  $T_{SA}$ ,  $T_{SB}$ ,  $T_{SC}$  and  $T_{SD}$  would be set to zero. If  $T_z < 0$ ,  $T_{SA}$ ,  $T_{SB}$ ,  $T_{SC}$  and  $T_{SD}$  will reduce proportionally until  $T_z = 0$ .

Then, for SVPWM-D3 and the enhanced SVPWM-SV, the voltage trajectories with  $A_{inj} = 12.41V$  and 20V are shown in Fig. 24, Fig. 25 and Fig. 26, Fig. 27, respectively. For  $A_{inj} = 12.41V$ , both SVPWM-D3 and the enhanced SVPWM-SV can provide a good tracking performance of voltage references but fail at  $A_{inj} = 20V$ , which is out of the linear modulation range and leads to the overmodulation. For SVPWM-D3, the overmodulation for 3-ph SVPWM is activated in two sets, respectively. The modulation of any voltage references ( $V_{\alpha1\beta1}^*$  and  $V_{\alpha2\beta2}^*$ ) exceeding the dotted hexagon (described in Fig. 4) will be shortened to the edge of the dotted hexagon with the same direction. The resulting voltage vector will not be the same as its reference in aspects of length and direction in each subspace. For the enhanced SVPWM-SV, the overmodulation is based on (28). If  $T_0 = T_{63} < 0$ ,  $T_{SV1}$ ,  $T_{SV2}$ ,  $T_{SV3}$  and  $T_{SV4}$  will reduce proportionally until  $T_z = 0$ . Due to the synthetic vectors, the resulting voltage vector after the overmodulation is shorter than its reference in each subspace, but they are in the same direction. As can be seen in Fig. 25 and Fig. 27, for one sampling point,  $V_{\alpha\beta}^* = [26.8 \quad -8.14]^T$  ( $\frac{u_\beta^*}{u_\alpha^*} = -0.304$ ),  $V_{xy}^* = [-19.9 \quad -1.93]^T$  ( $\frac{u_y^*}{u_x^*} = 0.0971$ ), the resulting voltages after the overmodulation procedure are  $V_{\alpha\beta} = [23.6 \quad -7.40]^T$  ( $\frac{u_\beta}{u_\alpha} = -0.314$ ),  $V_{xy} = [-16.8 \quad -1.32]^T$  ( $\frac{u_y}{u_x} = 0.0782$ ) and  $V_{\alpha\beta} = [23.0 \quad -6.97]^T$  ( $\frac{u_\beta}{u_\alpha} = -0.304$ ),  $V_{xy} = [-17.1 \quad -1.66]^T$  ( $\frac{u_y}{u_x} = 0.0971$ ) for SVPWM-D3 and the enhanced SVPWM-SV, respectively. It can be seen that the resulting voltage vector is shorter than its reference in each subspace for the two SVPWM techniques. However, the directions for the resulting voltage vector and its reference are different for SVPWM-D3.

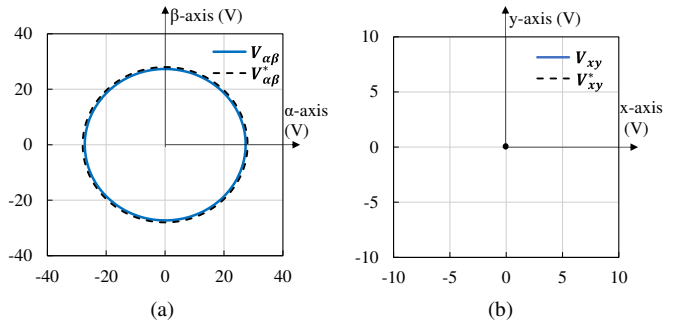


Fig. 22 Voltage trajectories with  $A_{inj} = 0$  for SVPWM-4L. (a)  $\alpha\beta$  subspace. (b) xy subspace.

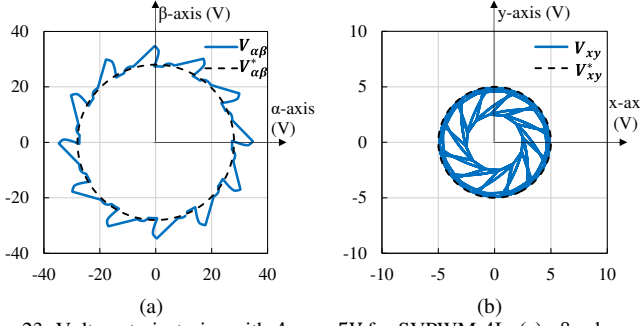


Fig. 23 Voltage trajectories with  $A_{inj} = 5V$  for SVPWM-4L. (a)  $\alpha\beta$  subspace. (b) xy subspace.

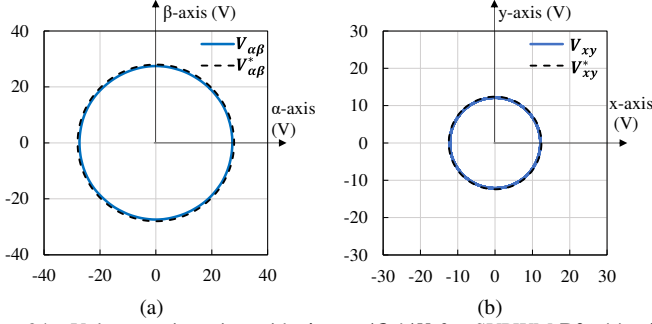


Fig. 24 Voltage trajectories with  $A_{inj} = 12.41V$  for SVPWM-D3. (a)  $\alpha\beta$  subspace. (b) xy subspace.

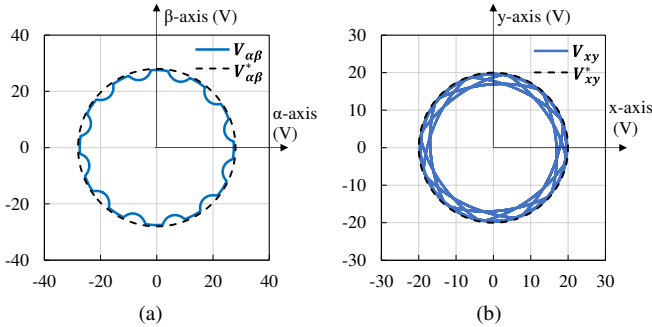


Fig. 25 Voltage trajectories with  $A_{inj} = 20V$  for SVPWM-D3. (a)  $\alpha\beta$  subspace. (b) xy subspace.

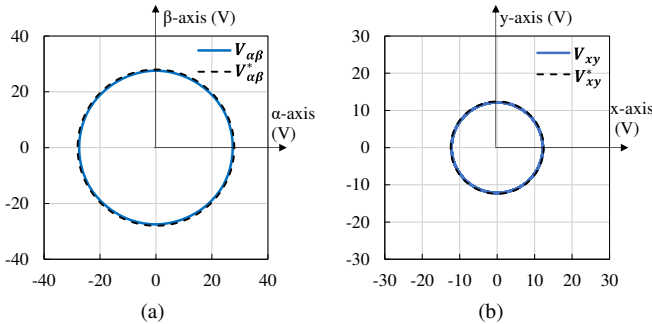


Fig. 26 Voltage trajectories with  $A_{inj} = 12.41V$  for enhanced SVPWM-SV. (a)  $\alpha\beta$  subspace. (b) xy subspace.

### B. Closed-loop Current Compensation

The closed-loop current compensation shown in Fig. 28 could be more common and practical in xy subspace regulation, in which  $G_R(s)$  denoting the transfer function of the resonant

controller is illustrated later. As mentioned in the introduction section, currents in xy subspace should be suppressed to zero to improve the system performances. To create a high demand for voltage in xy subspace to test the linear modulation range, a series  $3.3\ \Omega$  resistor causing unbalanced phase currents is connected to Phase R. Through adjusting the dc-link voltage, the magnitude of voltage reference in xy subspace required for balancing phase currents can be out of the linear modulation range. Then, the deterioration of current compensation restricted by the linear modulation range can be tested. With the additional resistor, (1) is changed as

$$\begin{bmatrix} u_\alpha \\ u_\beta \\ u_x \\ u_y \end{bmatrix} = \begin{bmatrix} \frac{4}{3}R_s & 0 & \frac{1}{3}R_s & 0 \\ 0 & R_s & 0 & 0 \\ \frac{1}{3}R_s & 0 & \frac{4}{3}R_s & 0 \\ 0 & 0 & 0 & R_s \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \\ i_x \\ i_y \end{bmatrix} + \begin{bmatrix} \psi_\alpha \\ \psi_\beta \\ \psi_x \\ \psi_y \end{bmatrix}. \quad (33)$$

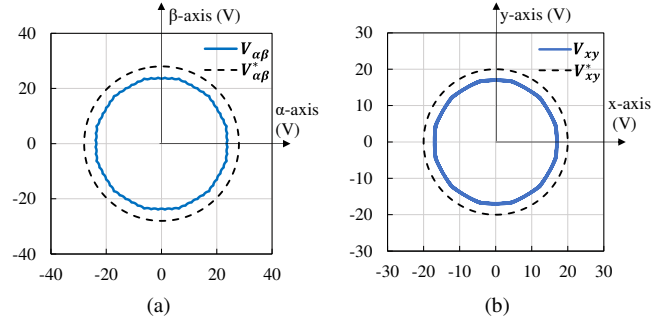


Fig. 27 Voltage trajectories with  $A_{inj} = 20V$  for enhanced SVPWM-SV. (a)  $\alpha\beta$  subspace. (b) xy subspace.

From (33), the additional resistor leads to the cross-coupling between  $\alpha$ -axis and x-axis, which means a fundamental component in  $i_x$  can be caused by  $i_\alpha$  if no compensation, i.e.  $u_x = u_y = 0$ . Therefore, a large voltage demand is created to regulate currents in a good performance, expressed as

$$\begin{bmatrix} i_\alpha \\ i_\beta \\ i_x \\ i_y \end{bmatrix} = \begin{bmatrix} i_d \cos(\theta_e) - i_q \sin(\theta_e) \\ i_d \sin(\theta_e) + i_q \cos(\theta_e) \\ 0 \\ 0 \end{bmatrix}, \quad (34)$$

in which,  $i_d$  and  $i_q$  denote the d-axis and q-axis currents, respectively, after Park transform in  $\alpha\beta$  subspace. Setting  $i_d$  to zero, the voltage demand for the compensation of the fundamental current in xy subspace is  $\frac{1}{3}R_s \cdot i_q \sin(\theta_e)$  calculated from (33) and (34). Of note, the 5th and 7th current harmonics decoupled in xy subspace require a compensation voltage as well so that the voltage reference in xy subspace should cover the mitigation of these current harmonics.

Then, how the voltage reference in xy subspace is generated to compensate the fundamental, 5th and 7th harmonic components is illustrated. The closed-loop current control diagram with the purpose of controlling  $i_x$  and  $i_y$  to zero is presented in Fig. 28, in which  $i_{xr}$ ,  $i_{yr}$  and  $u_{xr}$ ,  $u_{yr}$  denote currents and voltages after Park transform in xy subspace. After Park transform, 5th and 7th harmonics are converted into 6th

[11] and the fundamental component appears as DC component and 2nd harmonics. Then, the PIR controllers [11], [21]-[22] are implemented to generate the voltage references suppressing current harmonics, since the resonant controller can offer a large gain at the frequencies of  $2\omega_s$  and  $6\omega_s$  ( $\omega_s$  denotes fundamental frequency). The transfer function of the applied resonant controller can be expressed as

$$G_R(s) = \frac{K_r s}{s^2 + \omega_c s + (h\omega_s)^2}, \quad (35)$$

where  $K_r$  denotes the resonant gain;  $h$  denotes the order;  $\omega_c$  denotes cut-off frequency, which determines the bandwidth regarding the resonant frequency [22]. Details of parameter tuning can be seen in [21]-[22], since PIR controllers are not within the research scope of this paper. In the experiments, the parameters are listed in Table V and the output limit of PIR controllers is set to  $\frac{V_{dc}}{\sqrt{3}}$ .

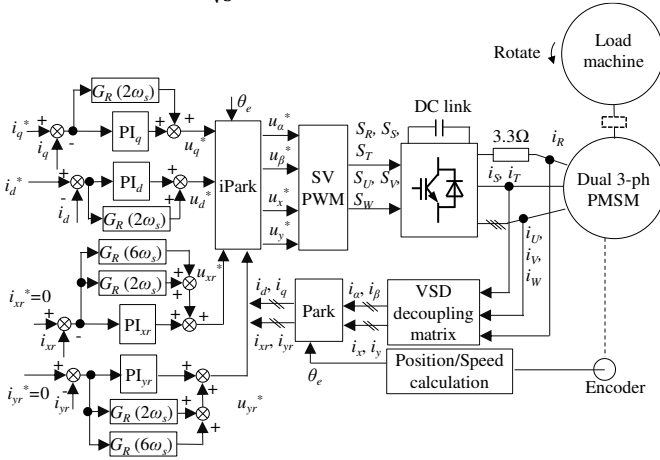


Fig. 28 Control diagram of closed-loop current compensation.

TABLE V  
PARAMETERS OF PIR CONTROLLERS

$i_d/i_q$ PIR controllers		$i_{xr}/i_{yr}$ PIR controllers	
Parameter	Value	Parameter	Value
$K_p$	45	$K_p$	12
$K_i$	2750	$K_i$	2750
$K_r$	2750	$K_r$	2750
$\omega_c$	$\omega_s/50$	$\omega_c$	$\omega_s/50$

The speed of the test machine is driven to 10 r/min by the load machine. Substituting (33), (34) into (9) and neglecting harmonics with much smaller amplitudes, the linear modulation ranges for SVPWM-D3 and the enhanced SVPWM-SV against  $i_q$  under  $V_{dc}=50V$  and  $70V$  are plotted in Fig. 29. It can be seen that under the condition of  $i_q=2.5A$  and  $V_{dc}=50V$  ( $70V$ ),  $L_{LMR}$  is 0.59V (12.14V). However, substituting (34) into (33), the maximum magnitude of  $V_{xy}^*$  required to suppress this fundamental component without considering the low-amplitude 5th and 7th harmonics in xy subspace can be estimated around 2.75V which is larger than  $L_{LMR}$  for  $V_{dc}=50V$ , but smaller than  $L_{LMR}$  for  $V_{dc}=70V$ . There is still a large margin for the compensation of 5th and 7th harmonics under  $V_{dc}=70V$ . Thus, the suppression in xy subspace can be achieved by SVPWM-D3

and the enhanced SVPWM-SV under  $V_{dc}=70V$ , but fails under  $V_{dc}=50V$ , thereby demonstrating the deterioration of current compensation out of the SPVWM modulation restraints.

The experimental results using only PI controllers in  $\alpha\beta$  subspace (no compensation in xy subspace, i.e.  $u_x^* = 0, u_y^* = 0$ ) under  $i_d^* = 0, i_q^* = 2.5A$  and  $V_{dc}=70V$  are presented in Fig. 30 to show the unbalanced phase currents and the current in xy subspace caused by the series  $3.3\Omega$  resistor in Phase R. The voltage trajectories in  $\alpha\beta$  and xy subspaces are shown in Fig. 30(a) and (b), respectively, calculated from the duty cycles shown in Fig. 30(c). From Fig. 30(d), the phase currents are unbalanced, leading to a large fundamental component in the x-axis current, shown in Fig. 30(g). The spectrum analysis of Phase R and U currents are presented in Fig. 30(e) and the fundamental amplitudes of unbalanced Phase R and U currents reach 1.98A and 2.95A, respectively. Meanwhile, the 5th and 7th harmonics caused by inverter nonlinearities are presented as well due to no compensation in xy subspace. The spectrum analysis of  $i_x$  and  $i_y$  shown in Fig. 30(h) validates (33) and a requirement of compensation for the 5th and 7th harmonics in xy subspace.

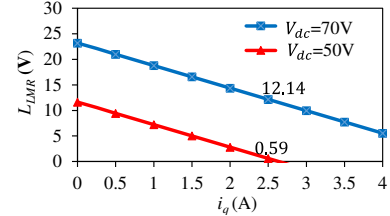
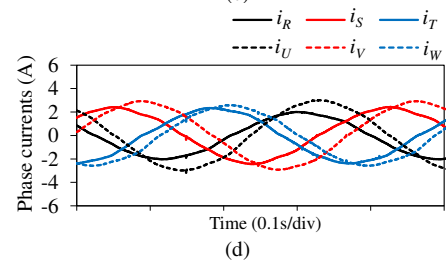
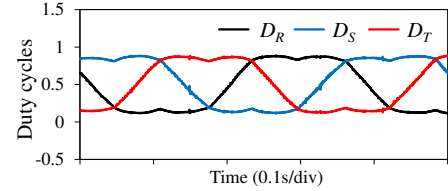
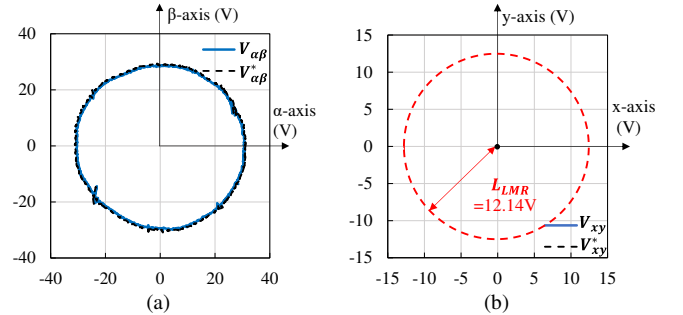


Fig. 29  $L_{LMR}$  against  $i_q$  for SVPWM-D3 and enhanced SVPWM-SV.



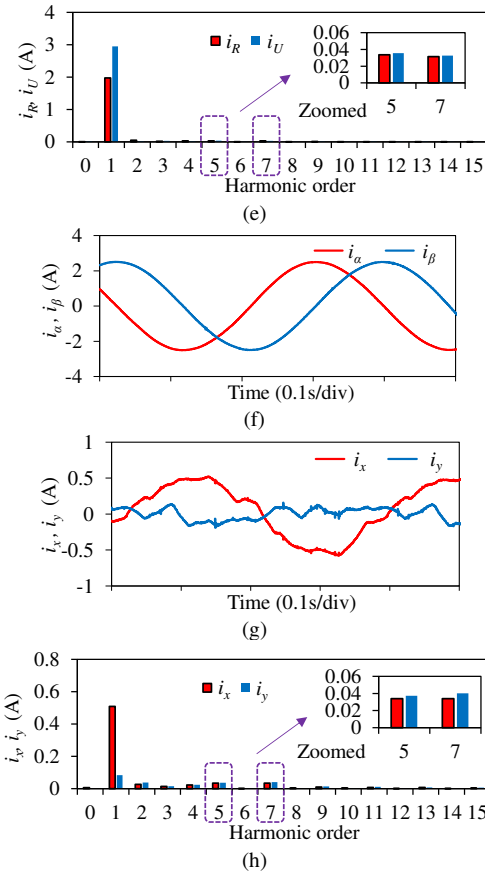


Fig. 30 Experimental results without current compensation in xy subspace using SVPWM-D3 under  $V_{dc}=70V$ . (a) Voltage trajectory in  $\alpha\beta$  subspace. (b) Voltage trajectory in xy subspace. (c) Duty cycles. (d) Phase currents. (e) Spectrum analysis of Phase R and U currents. (f) Currents in  $\alpha\beta$  subspace. (g) Currents in xy subspace. (h) Spectrum analysis of currents in xy subspace.

Then, the experimental results with current compensation in xy subspace using SVPWM-D3 under  $V_{dc}=70V$  are presented in Fig. 31. The voltage trajectory in xy subspace, shown in Fig. 31(b) indicates the voltage reference is within the linear modulation range, therefore, SVPWM-D3 can modulate voltage references in both subspaces illustrated in Fig. 31(a) and (b). Due to the control of xy subspace, currents are well regulated as shown in Fig. 31(d), (f) and (g). The spectrum analysis of Phase R and U presented in Fig. 31(e) shows that the fundamental components are balanced and the 5th/7th harmonics are suppressed effectively. From Fig. 31(g),  $i_x$  and  $i_y$  are controlled to zero.

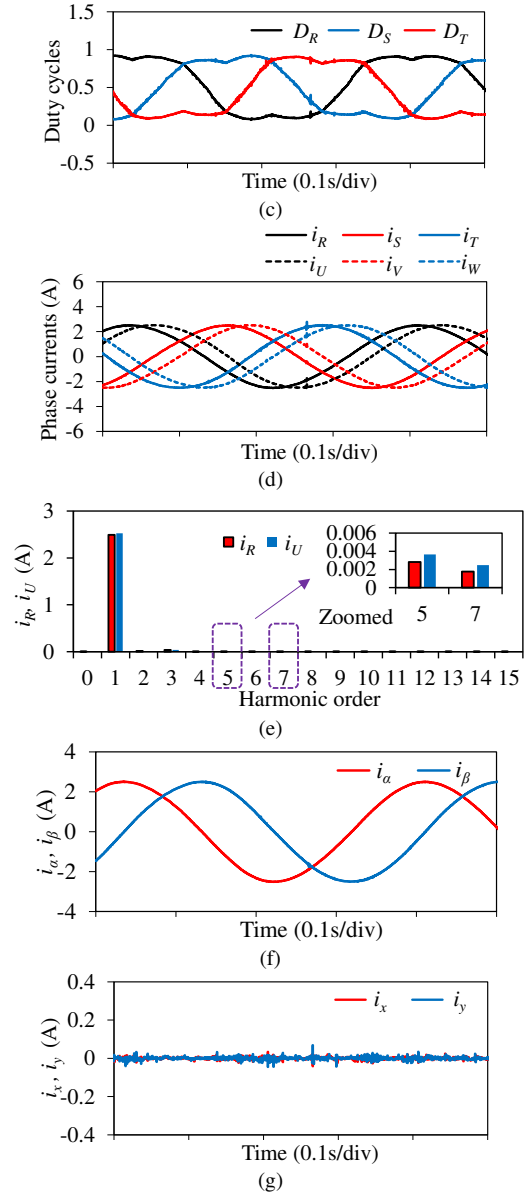
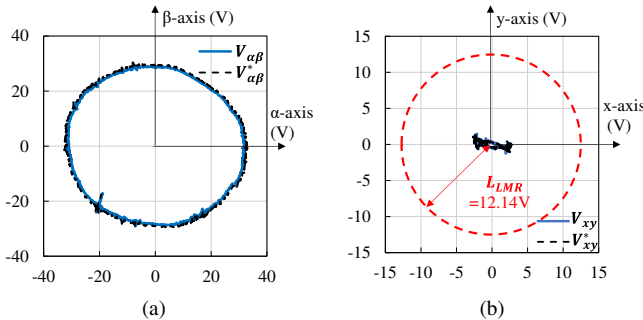


Fig. 31 Experimental results with compensation in xy subspace using SVPWM-D3 under  $V_{dc}=70V$ . (a) Voltage trajectory in  $\alpha\beta$  subspace. (b) Voltage trajectory in xy subspace. (c) Duty cycles. (d) Phase currents. (e) Spectrum analysis of Phase R and U currents. (f) Currents in  $\alpha\beta$  subspace. (g) Currents in xy subspace.

On the contrary,  $V_{dc}=50V$  cannot satisfy the voltage requirement of compensation in xy subspace, as in Fig. 32. From Fig. 32(b), the reference in xy subspace is out of the linear modulation range and leads to the voltage tracking failures in both subspaces (Fig. 32(a) and (b)). The saturation of duty cycles is shown in Fig. 32(c). Meanwhile, the voltage references could conduct misbehavior and reach the output limit of current controllers as indicated in Fig. 32(a) and (b). Thus, the currents are distorted as shown in Fig. 32(d), (f) and (g). From the spectrum analysis of Phase R and U shown in Fig. 32(e), the modulation failure (or the overmodulation) reduces the amplitudes of fundamental components in Phase R and Phase U currents from 2.49A and 2.52A (shown in Fig. 31(e)) to 1.85A and 1.88A, respectively, which means the current references



$i_d^* = 0$ ,  $i_q^* = 2.5A$  are not satisfied and followed. Meanwhile, the compensation of the 5th and 7th harmonics is deteriorated.

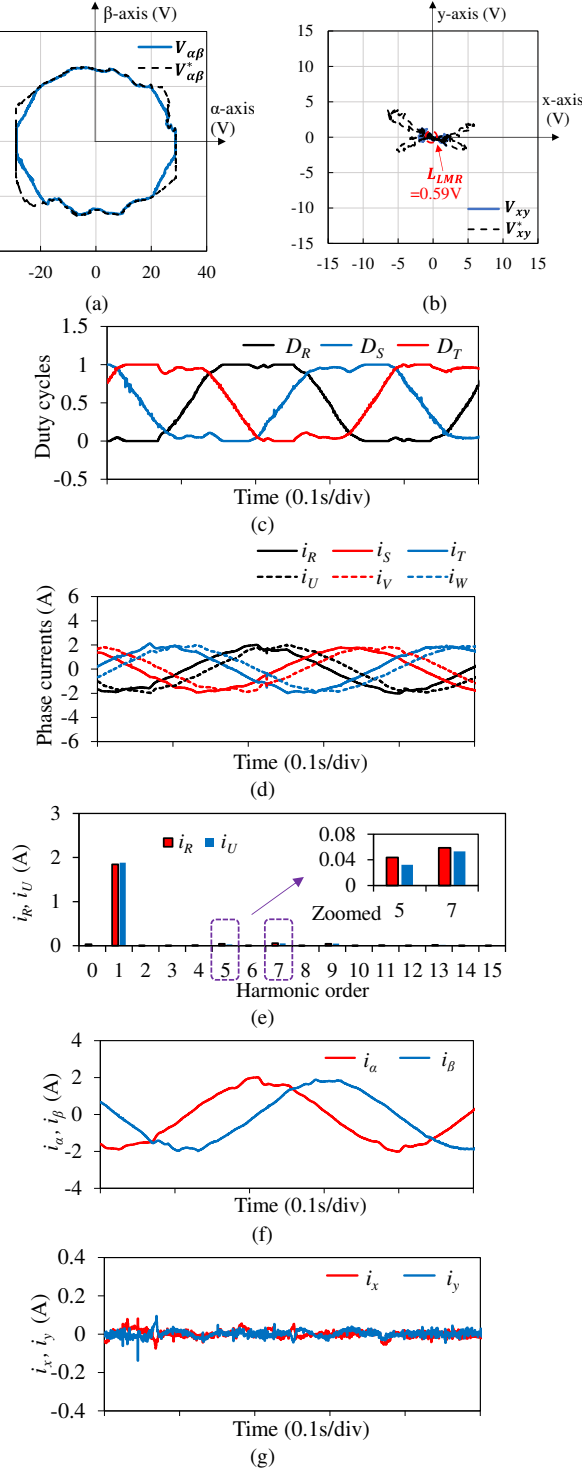


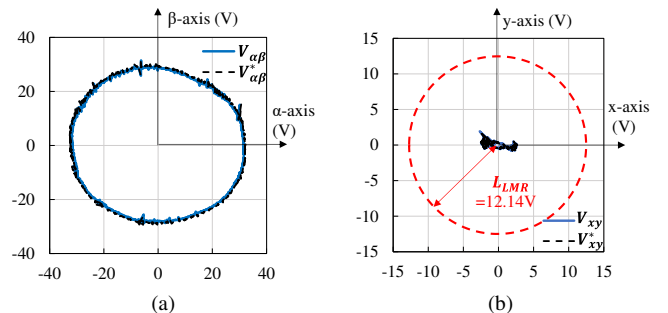
Fig. 32 Experimental results with current compensation in xy subspace using SVPWM-D3 under  $V_{dc}=50V$ . (a) Voltage trajectory in  $\alpha\beta$  subspace. (b) Voltage trajectory in xy subspace. (c) Duty cycles. (d) Phase currents. (e) Spectrum analysis of Phase R and U currents. (f) Currents in  $\alpha\beta$  subspace. (g) Currents in xy subspace.

The experimental results with current compensation in xy subspace using the enhanced SVPWM-SV under  $V_{dc}=70V$  and

$50V$  are presented in Fig. 33 and Fig. 34, respectively. Under  $V_{dc}=70V$ , the voltage required for the compensation in xy subspace is within the linear modulation range, illustrated in Fig. 33(b). Therefore, the currents can be well regulated, as shown in Fig. 33(d), (f), and (g). However, the enhanced SVPWM-SV fails to provide the modulation of voltage references in xy subspace, which is out of the linear modulation range under  $V_{dc}=50V$ , thereby distorting the current balancing, presented in Fig. 34. Comparing the spectrum analysis of Phase R and Phase under  $V_{dc}=70V$  and  $V_{dc}=50V$  shown in Fig. 33(e) and Fig. 34(e), the amplitudes of fundamental components of Phase R and U are reduced to 1.77A and 1.80A under  $V_{dc}=50V$  from 2.49A and 2.52A under  $V_{dc}=70V$ , respectively. It means that the current references  $i_d^* = 0$ ,  $i_q^* = 2.5A$  are not fulfilled under  $V_{dc}=50V$ . Also, the 5th/7th harmonic compensation is affected by the modulation failure.

Comparing Fig. 32 and Fig. 34, the enhanced SVPWM-SV performs slightly better than SVPWM-D3 with limited dc-link voltage. Regarding SVPWM techniques, the overmodulation procedure and the nonlinear modulation range are the two main factors determining the performance with the voltage reference out of the linear modulation range. As shown in the last paragraph of Section IV-A, the overmodulation procedures of both SVPWM-D3 and the enhanced SVPWM-SV could lead to different resulting voltage vectors. The direction of the resulting voltage vector could be different from that of its reference for SVPWM-D3. On the other hand, the different nonlinear modulation ranges of these two SVPWM techniques could generate different low-frequency harmonics, thereby affecting the performance. Of note, under other voltage references involving harmonics with different orders, amplitudes or phases in xy subspace, the performance for SVPWM-D3 and the enhanced SVPWM-SV could vary. Therefore, it cannot be guaranteed that one technique has a better performance than the other for any voltage reference with limited dc-link voltage. This is our future research direction.

For both SVPWM techniques, the modulation of the fundamental voltage in  $\alpha\beta$  subspace deteriorates due to the limited dc-link voltage. However, it might need to be secured in most applications because of the electromagnetic torque control. Under the condition of limited dc-link voltage, the partial control strategy can be applied to secure the modulation in  $\alpha\beta$  subspace for both SVPWM-D3 and the enhanced SVPWM-SV. In this approach, the length of  $V_{xy}^*$  is reduced to  $L_{LMR}$  but the direction of  $V_{xy}^*$  does not change. Therefore, the control in xy subspace can be achieved partially.





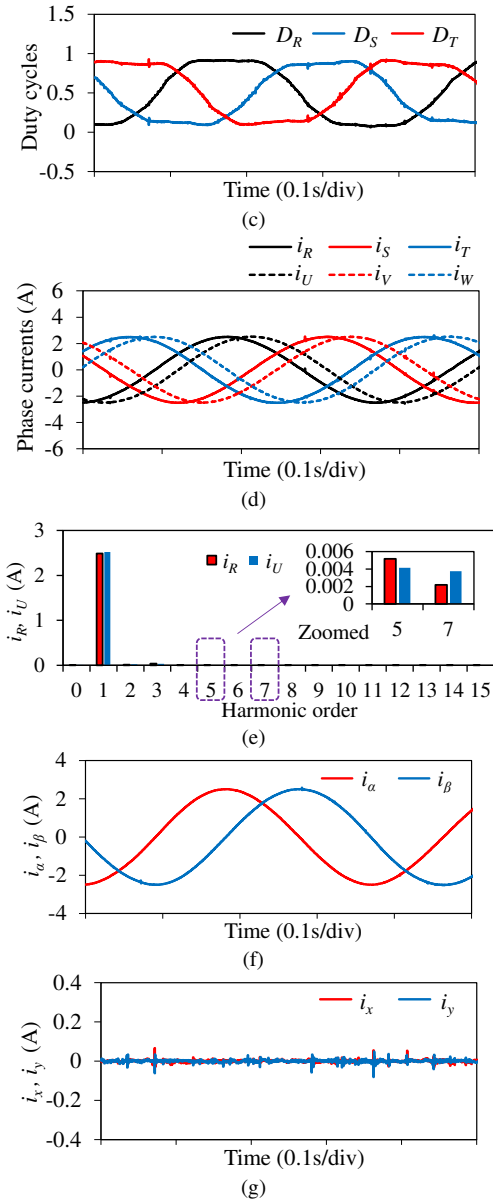


Fig. 33 Experimental results with current compensation in xy subspace using enhanced SVPWM-SV under  $V_{dc}=70V$ . (a) Voltage trajectory in  $\alpha\beta$  subspace. (b) Voltage trajectory in xy subspace. (c) Duty cycles. (d) Phase currents. (e) Spectrum analysis of Phase R and U currents. (f) Currents in  $\alpha\beta$  subspace. (g) Currents in xy subspace.

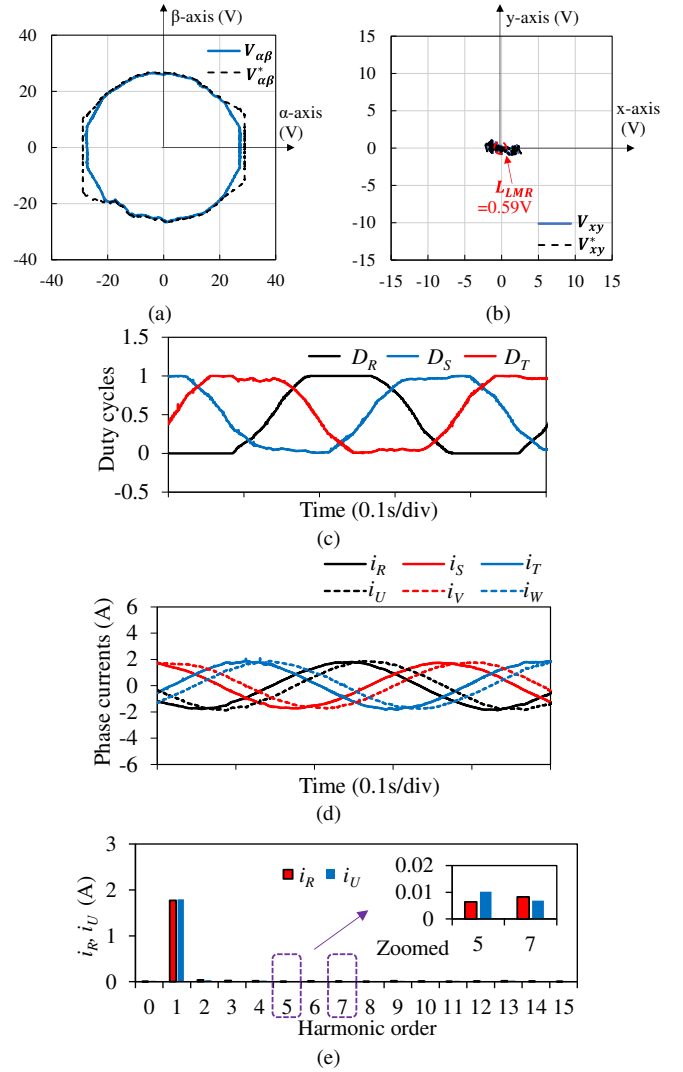
## V. CONCLUSION

This paper focuses on the modulation restraint analysis of three practical SVPWM techniques for dual 3-ph machines under vector space decomposition, through defining the linear modulation range of xy subspace under an assured modulation index of fundamental voltage. It is found that there is no linear modulation range in xy subspace for the SVPWM with four large voltage vectors, while there is a trade-off between the linear modulation range of xy subspace and the modulation index of fundamental voltage in  $\alpha\beta$  subspace for the SVPWM with two separate 3-ph modulation frames and the SVPWM with synthetic vectors which is further enhanced in this work by

reducing the device switching frequency. This is particularly useful to limit the output limit of current controllers in xy subspace (harmonic control capability) under a required electromagnetic torque or current, thereby avoiding the modulation failure.

The experimental results in the open-loop voltage injection and the closed-loop current compensation demonstrate the voltage distortion, failure of current regulation, and harmonics caused by the modulation failure when the voltage reference in xy subspace exceeds the linear modulation range.

Besides, the three SVPWM techniques are generally representative regarding the voltage vector selection principle, so that other SVPWM techniques using similar voltage vector selection principles can be analyzed following the procedures presented in this paper. Additionally, the SVPWM using synthetic vectors is improved to be simpler and more practical and accessible for microcontrollers because of no complicated switch sequence arrangement and no multiple switching.



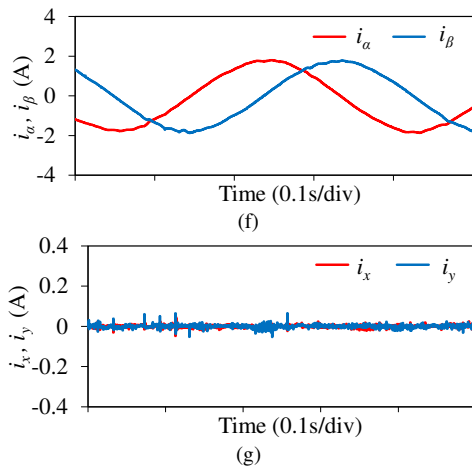


Fig. 34 Experimental results with current compensation in xy subspace using enhanced SVPWM-SV under  $V_{dc}=50V$ . (a) Voltage trajectory in  $\alpha\beta$  subspace. (b) Voltage trajectory in xy subspace. (c) Duty cycles. (d) Phase currents. (e) Spectrum analysis of Phase R and U currents (f) Currents in  $\alpha\beta$  subspace. (g) Currents in xy subspace.

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