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eprints@whiterose.ac.uk https://eprints.whiterose.ac.uk/ Potential use of bile salts in lipid self-assembled systems for the delivery of phytochemicals

Aygul Can¹, Arwen I. I. Tyler^{1*}, Alan R. Mackie ^{1*}

¹Food Colloids and Bioprocessing Group, School of Food Science and Nutrition, University of Leeds, Leeds, United Kingdom.

*Corresponding Authors: <u>A.I.I.Tyler@leeds.ac.uk</u> (Dr. A.I.I. Tyler), <u>A.R.Mackie@leeds.ac.uk</u> (Prof. A. R. Mackie);

1 ABSTRACT

2 Phytochemicals show many desirable functions such as anti-oxidant, anti-microbial, antiinflammatory, anti-carcinogenic, anti-aging, etc. activities. These biological functions can only 3 be seen when the compounds are delivered at sufficient concentration. However, the 4 bioavailability of phytonutrients suffers from their limited absorption, transformation, and 5 6 rapid clearance from the circulation. In this review, we survey recent studies on the use of bile 7 salts in lipid self-assembled systems to enhance the bioavailability of phytochemicals and 8 consequently, their biological activity. The effect of bile salts on lipid self-assembled systems 9 in terms of morphology, encapsulation efficiency, stability, bioavailability, and biological activities are discussed. 10

Keywords: Bile Salts, Lipid self-assembled system, Phytochemicals, Bioavailability,
 Biological activity

13

14 **1. INTRODUCTION**

In recent years, consumers have shown increased interest in including functional foods 15 in their diet. Of particular interest are functional products containing health-promoting 16 phytochemicals such as phenolic compounds, terpenes, phytosterols, etc [1, 2]. There is a 17 growing body of literature that shows the potential of phytochemicals in the food, beverage, 18 and pharmaceutical industries. They show many desirable biological functions including 19 antioxidant, anti-microbial, anti-inflammatory, and anti-carcinogenic activity but these 20 biological functions depend on their bioavailability. Phytochemicals can be included in the diet 21 22 however when the amount of food and beverages that need to be consumed to reach 1 23 g/person/day of resveratrol intake is calculated, a problem arises. It would require consuming 795 kg of red Merlot grapes, 2584 kg of white Riesling grapes, and 505-2762 L of red wine 24 25 (Figure 1). Thus, the recommended intake of these compounds may be unachievable by drinking beverages or consuming foods [3] and research is already being undertaken to improve 26 27 the bioavailability of phytochemicals that are already reported as quite low after oral 28 administration [4].

The bioavailability of these phytochemicals can be low because of their limited 29 bioaccessibility or absorption, or because of fast metabolism in the body. The bioaccessibility 30 of phytochemicals can be low because of limited liberation, poor solubility in the 31 gastrointestinal tract (GIT), or through interaction with components in the GIT. Absorption can 32 be significantly limited by the intestinal mucus layer, and also affected by innate properties of 33 34 the compound including charge (ζ potential), polarity, size, and hydrophobicity. Absorbed phytochemicals pass into systemic circulation via the liver or the lymphatic system [5]. 35 36 Phytochemicals and their metabolites that reach systemic circulation are absorbed by specific tissues and are finally excreted into the urine. Hence, their bioavailability may be limited by 37 38 bioaccessibility, absorption, transformation, and rapid clearance from the circulation which 39 causes low plasma concentration that is not enough to show significant pharmacological effects 40 at target sites [6]. In order to overcome these limitations of phytochemical bioavailability, a promising solution is encapsulation technology and delivery systems [4]. 41

42 Phytochemicals can be loaded into carriers and then released under controlled conditions 43 at the target site. Encapsulation techniques are used to enhance the stability of active 44 compounds during processing and storage, mask their undesirable taste, reduce their toxicity, 45 preserve them from the harsh environment of the GIT, control their release and enhance their 46 cellular uptake and biological activities [2, 7, 8]. Different encapsulation technologies and
47 materials can be used depending on the desired properties and function of active compounds
48 such as increased surface area, solubility, homogeneity, stability, intracellular uptake, and
49 bioavailability [2].

50 Among encapsulation techniques, there has been an expanding interest in encapsulation of bioactive compounds into the novel and promising lipid self-assembled systems (LSAS) 51 such as phospholipid-based carriers (liposomes, bilosomes, transfersomes, cubosomes, 52 hexosomes), surfactant-based carriers (niosomes), emulsions (single, double, microemulsions) 53 54 and solid lipid nanocarriers (solid lipid nanoparticles, nanostructured lipid carriers (NLC), 55 nano-oleogels) [9]. The properties of these LSAS can be enhanced with the use of different lipids, surfactants, or their combinations or by incorporation of several compounds such as 56 57 cholesterol or bile salts (BSs) in the bilayer structure, and by modifying their surface with coatings [10]. The effect of phospholipid composition on liposome membrane properties has 58 59 been investigated, showing that lipid type significantly affects the transition temperature (T_m), elasticity, and fluidity of the membrane and consequently membrane stability and rigidity [11]. 60 61 The effect of sodium cholate (NaC) and sodium taurocholate (NaTC) on the membrane integrity of liposomes has been studied with a low T_m lipid (PC and 1,2-dimyristoyl-sn-glycero-62 3-phosphocholine (DMPC)) and high T_m (1,2-dipalmitoyl-sn-glycero-3-phosphocholine 63 64 (DPPC), 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), and sphingomyelin). The bile salts were shown to be less destructive to liposomes consisting of lipids with a high T_m [12]. 65 Coating with chitosan also shows promising results during digestion. The effect of low 66 molecular weight chitosan coating on the bioavailability of curcumin-loaded liposomes [13] 67 during *in vitro* digestion showed that after the oral and gastric phases of digestion, slightly 68 higher curcumin concentration was detected compared to uncoated liposomes. Curcumin 69 concentration was higher for chitosan-coated liposomes after the intestinal phase. 70

Bile salts are formed from conjugated bile acids that form complexes with sodium. Primary bile acids cholic acid (CA) and chenodeoxycholic acid are synthesized from cholesterol in the liver. These primary bile acids are conjugated to either taurine or glycine at the C-24 carboxyl group to increase their hydrophilicity. Intestinal bacteria convert primary bile acids to a secondary form (deoxycholic acid and lithocholic acid) [14]. BSs such as NaC, NaTC, and sodium deoxycholate (NaDC) are characteristic amphipathic molecules that contain a steroid nucleus. The presence of hydroxyl groups provides hydrophilic properties to the concave side of the molecule, whereas the convex side is hydrophobic due to methyl groups.
Because of their steroid skeleton, bile salts have a rigid structure that is very different compared
to head-tail surfactants [15]. Due to their amphiphilic nature, bile salts form primary
(aggregation number 2-10) or secondary (aggregation number 10-100) micelles in aqueous
solutions above the critical micelle concentration (CMC) [16, 17]. Their CMC value is also not
well defined so it is given as a range. They have low aggregation numbers of 4-6 molecules for
NaC and 7-12 molecules for NaDC [18].

BSs show powerful solubilisation potential against phospholipid bilayers. When bile salt 85 concentration reaches its CMC, bile salts solubilize the vesicles to mixed micelles [19]. BSs 86 87 are incorporated into the outer layer of the phospholipid bilayer below their CMC and upon further increase of BS concentration, BSs transport to the inner leaflet of the bilayer. When the 88 89 total BS concentration reaches the saturation phase boundary, the system transforms to coexisting micelles and vesicles. Upon further increase of BS concentration the solubilisation 90 91 phase boundary is reached and BS-rich mixed micelles are formed. During solubilisation, all steps have aggregates with BS monomers [18]. Incorporating bile salts in the vesicle bilayer 92 93 results in a negatively charged surface. Through electrostatic repulsion, BS/lipid systems are thought to stabilize the bilayer against intestinal BS adsorption [20, 21] ultimately leading to a 94 95 slower vesicles-to-mixed micelles transition (Figure 2) and enhanced delivery of active 96 compounds. BSs are also used as a permeability enhancer, which changes the membrane permeability and fluidity [22]. 97

A considerable amount of literature has been published on BS-LSAS but this review primarily focuses on BS-LSAS for the delivery of phytochemicals. Therefore, the effect of the presence of BSs in LSAS in terms of the morphology, encapsulation efficiency, release profile and stability of the LSAS, and bioavailability and biological activities of loaded phytochemicals are discussed.

103

2. Effect of bile salts on the morphology of lipid self-assembled systems

Liposomes are the most widely used carriers among LSAS (Figure 2). The properties of liposomes can be enhanced with the use of different compounds such as BS in the formulation. The terms used to describe BS-liposomes vary among researchers. For example, bilosomes [23, 24], transfersomes [25, 26], ultradeformables or elastic liposomes [27, 28] are all used. BSliposomes are designed based on the structure of liposomes, which also can encapsulate both hydrophilic and lipophilic bioactive compounds [29]. BS interactions with phospholipidmembranes can have a range of effects on the morphology of LSAS.

NaDC-nanotransfersomes were designed and optimized using response surface 111 methodology to encapsulate sodium pravastatin and naringenin [25]. The amount of omega-3 112 phospholipid, NaDC, and naringenin were selected as independent variables. D_h, encapsulation 113 efficiency (EE(%)), cumulative % permeated, alanine amino transaminase (ALT) level (IU/L), 114 and malondialdehyde (MDA) levels (mmol/mg protein) were determined as dependent 115 116 variables. The impact of independent variables was reported as omega-3phospholipid>NaDC>naringenin. Optimization results showed that increasing NaDC 117 118 concentration significantly reduced the D_h of nanotransfersomes (D_h : 86-249 nm) (p<0.0001). For optimum composition (Table 1), 76% of sodium pravastatin and naringenin were loaded 119 120 in NaDC-nanotransfersomes (D_h: 191 nm). In the same way, the increased concentration of BSs resulted in the decreased Dh of resveratrol-loaded NaC-transfersomes [26] and 121 122 notoginsenoside R1-loaded NaGC-liposomes [30]. Resveratrol loaded-NaC-transfersomes were optimized using face-centred central composite design (CCD). The amount of SPC and 123 124 NaC were chosen as independent variables and their effect on D_h and EE(%) were chosen to optimise NaC-transfersomes. The D_h and polydispersity index (PDI) of the optimized 125 126 formulation was 178.9±12.87 nm and 0.132 respectively (Table 1). Their shape was found to 127 be nearly spherical and uniform by transmission electron microscopy (TEM). According to the results, once the NaC concentration was increased, the D_h of transfersomes decreased [26]. D_h 128 of notoginsenoside R1-loaded NaGC-liposomes (200 nm) was smaller than conventional 129 liposomes and when the concentration of NaGC increased, D_h and EE(%) decreased [30]. 130 These findings indicate that the concentration of BSs in the formulations plays an important 131 role in the D_h of vesicles. A decrease of the D_h can result from increased BSs concentration 132 that can decrease the surface tension of the vesicles and stabilize the bilayer and enhance the 133 elasticity of vesicles [20, 26]. 134

Biflavonoid extract from *Selaginella doederleinii* was encapsulated using liposomes [31]. The SPC/biflavonoid extract ratios (15:1, 10:1 and 5:1 w/w) and SPC/NaDC ratios (15:1, 10:1 and 5:1 w/w) were chosen for optimization to see the effect on EE(%). The SPC/biflavonoid extract ratios showed the lowest effect among the four variables and 10:1 w/w ratio was chosen for optimum formulation (Table 1). The SPC/NaDC ratio had the secondhighest effect among the four variables. Optimized liposomes (SPC/NaDC, 10:1 w/w) had a

 D_h of 249.77±15.68 nm with PDI of 0.184±0.002. 91.39±0.88% of the extract was encapsulated 141 in the optimized liposomes. These results are similar to those reported by Yang et al. who 142 studied tripterine-loaded hyaluronic acid (HA)-coated NaDC-bilosomes. Effects of 143 concentration of NaDC (1, 2, and 3 mg/mL), on the D_h and EE(%) of bilosomes were evaluated 144 [23]. With increased NaDC concentration from 1 mg/mL to 2 mg/mL, Dh decreased from ~140 145 nm to ~90 nm [25, 26, 30]. However, when NaDC concentration was increased to 3 mg/mL, 146 the D_h of bilosomes increased to ~110 nm. A possible explanation for these results, up to a 147 specific concentration of BSs reduces the surface tension that induces membrane curvature and 148 149 stabilizes the system and leads to decreased D_h. Further increase in BS concentration and an increase in the D_h may be related to aggregation in the system and increased medium viscosity 150 [23, 24, 32]. The 2 mg/mL NaDC in the bilosome formulation had the lowest D_h (~90 nm) and 151 the highest EE(%) (~100%.). For the HA-coated particles, 2 mg/mL of NaDC was chosen and 152 the D_h was 95.3 nm and 118.4 nm for the bilosomes and HA-functionalized bilosomes, 153 respectively. 154

Barone et al. studied ammonium glycyrrhizate-loaded liposomes composed of SPC and 155 156 NaC. Loading of ammonium glycyrrhizate did not affect the D_h, PDI, ζ potential, and the deformability index (DI) of vesicles significantly. Dh increased from 109±3.8 to 128±4.6 nm 157 158 and the ζ potential decreased from -17±0.5 to -22±0.6 mV [27]. A decrease in the ζ potential 159 can result from the negative charge on the liposome surface arising from the interaction between hydrophilic groups of ammonium glycyrrhizate and water molecules and an increased 160 number of hydrogen bonds. Moreover, the solvent layer can be increased with the interaction 161 of hydrophilic groups and water that may result in an increased D_h [27, 33]. The lowering of 162 the ζ potential mainly results from the presence of NaC in the formulation. The LSAS with ζ 163 potential values more than ± 30 mV shows moderate stability. As the ζ potential comes close to 164 zero, the system lost its stability, and agglomeration and precipitation were seen [34]. 165

Surface-modified bilosomes were prepared by Waglewska *et al.* and triblock copolymer Pluronic P123 was used to stabilise the surface. Three different concentrations of NaC were added into formulations (0.25, 0.50, and 1.00 wt %) [24]. The effect of the presence of NaC in the bilosome formulation was similar to those shown by others [27]. The negative ζ potential of the formulation results from the negative charge of NaC [35]. Sulphated polysaccharideprotein complexes of *Enteromorpha intestinalis*-loaded bilosomes were prepared using three different BSs (NaC, NaDC, NaTDC) and two different surfactants including Span 40

(hydrophilic-lipophilic balance (HLB): 6.7 [36] and Span 65 (HLB: 2.1) [37]. Span 40-173 bilosomes had more than double the D_h compared to Span 65-bilosomes for all BSs 174 formulations. The lower D_h of Span 60-bilosomes resulted from the lower HLB value of Span 175 65. Low HLB results in a decrease in the surface free energy and shows increased lipophilic 176 affinity that leads to smaller vesicles [38]. Higher HLB values lead to water uptake into the 177 vesicles and cause increased vesicle size due to hydrophilicity [21]. BSs had a significant effect 178 on the D_h of bilosomes. The D_h of bilosomes were reported as NaTDC-bilosomes >NaDC-179 bilosomes>NaC-bilosomes. The EE(%) were ranged from 69.66% to 71.60% (p>0.05). Span 180 181 65/NaC-bilosomes had the highest EE(%) (71.60±0.25%) with Dh: 181±16.80 nm [39]. In phenylethyl resorcinol-loaded transfersomes, NaDC (HLB: 16), Tween 80 (HLB: 15), Tween 182 20 (HLB: 16.7), Span 80 (HLB: 4.3), Span 20 (HLB: 8.6) were used as skin enhancers in the 183 formulation [40]. In contrast to previous work [39], the D_h of NaDC-transfersomes was 184 353.70±10.90 nm, and the PDI, ζ potential, and EE(%) of NaDC-transfersomes were 185 0.111±0.038, -41.86±0.73, and 92.49±0.01 respectively. TEM and SEM analysis also revealed 186 that NaDC-transfersomes had a unilamellar to multilamellar structure with a smooth surface 187 [40]. 188

Catechin-loaded hexosomes for topical application have been prepared using NaC, 189 NaDC, and NaTC in the formulations and the $D_{\rm h}$ of hexosomes was 148 nm without BSs but 190 191 the D_h decreased to 107–110 nm after 0.6 wt% BS addition [41]. Moreover, small-angle X-ray scattering (SAXS) measurements, showed that after the addition of 0.02 wt% of BSs in the 192 formulation of an inverse bicontinuous cubic phase dispersion (cubosomes) completely 193 transitioned to unilamellar vesicles. The phase transition could have been caused by the 194 amphiphilic structure of BSs that is in contact with the bulk water so decreases the negative 195 interfacial curvature of the system [41]. 196

197 Surfactant concentration (NaGC and Polysorbate 80) was chosen as one of the 198 independent variables for the optimization of gypenosides loaded NLCs [42]. While the 199 surfactant concentration did not show a significant effect on D_h it had a significant effect on 200 EE(%). The EE(%) increased with increased surfactant concentration and the D_h, PDI, and ζ 201 potential of the optimized NLCs formulation (Table 1) were reported as 146.7±6.8 nm, 202 0.137±0.011, and -56.0±3.4 mV respectively with 74.22% in EE(%).

 D_h of LSAS is a considerable parameter in the delivery of active compounds and, consequently, their passage through a biological membrane. According to the results, a decrease of D_h is correlated to an increase in BSs up to a specific concentration (Figure 3). BSs stabilize the vesicles by reducing the interfacial tension of the vesicles. Further increased BSs concentration, BSs begin to show disruption effect on the LSAS.

Effect of bile salts on encapsulation efficiency, release, and stability of lipid self assembled systems

The encapsulation efficiency of LSAS varies depending on the hydrophobicity or hydrophilicity of encapsulated phytochemicals, stability of the lipid membrane, the surface area of LSAS and the methods and parameters chosen for vesicle loading. The general stability of LSAS comprises the stability of active material and the retention capacity of the membranes. Membrane stability that provides integrity of the system has a direct effect on the encapsulation efficiency [43].

216 NaDC-liposomes and HA-coated NaDC-bilosomes were prepared to encapsulate 217 tripterine [23]. Both formulations showed a narrow PDI and the ζ potential decreased from 4.8 mV to -34.2 mV due to the use of the negatively charged polysaccharide coating. EE(%) and 218 219 DL(%) were 99.56% and 8.15% respectively. Doxorubicin-silybin-loaded liposomes were prepared using cholic acid (CA) to improve the oral treatment of actives [44]. The D_h of CA-220 221 liposomes was 97.03 ± 2.17 nm with a narrow PDI and both active compounds had an EE(%) of approximately 95%. In vitro release was investigated in PBS buffers (pH: 2 and 7.4) to 222 223 mimic the simulated gastric fluid (SGF) and simulated intestinal fluid (SIF) conditions. While 224 ~25% of silvbin was released from liposomes at pH 2, ~50% of silvbin was released in pH 7.4 in 12 h. In addition, the stability was determined in rat gastric fluid, rat intestinal fluid, and 225 serum. After a 12 h incubation, the EE(%) of all liposomes in different steps was still more 226 than 80% which shows that CA-liposomes protect their integrity during different steps of 227 digestion. However, some active material leakage problems were also reported because of the 228 presence of a high concentration of BSs. When the effect of NaC concentration on EE(%) of 229 230 resveratrol-loaded transfersomes was studied, high EE(%) was seen at intermediate NaC levels and a decrease in the EE(%) was seen as NaC was increased. Higher NaC amounts also can 231 232 cause leakage of active material because of the formation of lipid pores in the membrane. The ζ potential of the optimized formulation (Table 1) was reported as -23.2±1.4 mV [26]. 233

The EE(%) of the system is affected by hydrophobicity or hydrophilicity of encapsulated
 phytochemicals. In vesicle preparations the EE(%) of hydrophilic phytochemicals is related to

236 the aqueous phase trapping ability of LSAS. When the desired rigidity of the lipid membrane could not be achived, encapsulated hydrophilic phytochemicals may leak through the lipid 237 membrane by diffusion [45]. The EE(%) of hydrophilic phytochemicals are generally reported 238 as less than 30%. Hydrophobic phytochemicals can be incorporated into the lipid phase of 239 240 LSAS during vesicle formation. Thus, the EE(%) of hydrophobic phytochemicals in LSAS mainly depends on the interaction between phytochemicals and lipids. High EE(%) can be 241 reached with optimised lipid type and concentration in the formulation [46]. The EE(%)242 increases with increased lipid concentration and increases the Dh of particles so a higher 243 244 amount of phytochemicals can be loaded [47].

The addition BSs below the CMC into the formulation can also enhance the EE(%) of LSAS (Figure 3). The flexibility of vesicles increases with the presence of BSs and the bilayer and the aqueous core can be flexed to encapsulate the drug more [48]. BSs above the CMC show solubilisation effect on vesicles so vesicles transit to micelles and increase the drug solubility consequently decrease the EE(%). In addition, drug leakage can be seen because of opened lipid membrane pores [49].

In vitro release studies are important to give a prediction about the in vivo release profile 251 [33]. In vitro dissolution profiles of NLCs and NaGC-NLCs were compared with gypenosides 252 powders. Cumulative dissolution of gypenosides powders (29.4%) was slightly higher than 253 254 both NLCs in 6 h. Although NaGC-NLCs showed slightly higher release, the release of NLCs and NaGC-NLCs were not significantly different after 48 h. While ~55% of gypenosides was 255 256 released from both NLCs at 48 h, the cumulative dissolution of gypenosides powders did not change significantly after 6 h. The most likely cause of slow-release from NLCs is due to lipid 257 258 layers slowing the diffusion of the active compounds [42]. Sulphated polysaccharide-protein complexes-loaded bilosomes were prepared. Span 65-NaC-bilosomes and Span 40-NaC-259 260 bilosomes released ~70% and ~40% of active compounds respectively, while other formulations prepared with NaDC or NaTDC released less than ~20% in PBS (pH 6.8) at 37°C 261 262 in 24 h [39]. It was reported that while ~50% of [6]-gingerol released, using NaC-liposomes significantly increase the cumulative release of [6]-gingerol (~90% in 24 h) (p<0.01) [50]. In 263 264 vitro release from bilosomes and HA-coated bilosomes were investigated [23]. Bilosomes released only 40.51% of tripterine in phosphate-buffered saline (PBS) (pH 7.4) in 24 h, which 265 may be due to the hydrophobic nature of tripterin. The HA-coating also slowed the release of 266 actives from bilosomes; 11.35% and 23.24% of tripterine were released at 8 h and 24 h, 267

respectively. The release of active compounds can be controlled by loading the active into the system that acts as a barrier. Also, these barriers can be modified using coatings which provide additional layers to slow down the release. The presence of BSs in the self-assembled formulation enhances the physical stability of the system and thus can slow down the release [51].

The physical and chemical stability is important and to provide long-term shelf-life and to protect the structure of LSAS from the harsh conditions of the GIT and keep the structure in circulation longer[52]. Several factors such as lipid type, PDI, and ζ potential of the system, etc. are known to affect the stability of LSAS. BSs are only one of many factors that can enhance stability.

Phenylethyl resorcinol-loaded NaDC-transfersomes were stored at 4°C, 30°C and 45°C 278 for 4 months (RH: 75%). While NaDC-transfersomes was stable for 4 months at 4±1°C and 279 30±1°C, they could retain their stability for 2 months at 45±1°C [40]. The accelerated stability 280 of NLCs and NaGC-NLCs was monitored and both NLCs showed stable structures. The D_h 281 and EE(%) of NLCs did not change significantly during storage at 40±2°C, relative humidity: 282 75±5% for 6 months [42]. Likewise, methylene blue and curcumin-loaded NaC-bilosomes 283 showed minimum changes during storage at 4°C for 28 days. The Dh and PDI of the 284 formulations were reported as ~100 nm and <0.25. EE(%) were 85% and 70% for methylene 285 blue and curcumin, respectively [24]. The physical stability of ammonium glycyrrhizate-loaded 286 NaC-liposomes (EE(%): 57.3±3.7%) was determined at 25°C and 37°C for 4 weeks. While the 287 D_h of vesicles did not change significantly at 25°C, a significant increase was observed at 37°C 288 after 2 weeks, which could be related to the gel to fluid lamellar phase transition at 37°C [27]. 289

PDI and ζ potential of LSAS are important parameters that reflect the homogeneity 290 (PDI<0.3) of the particles and stability (more than ±30 mV) of particles respectively. 291 Aggregation, flocculation, or precipitation problems can be seen during storage with the 292 decrease of ζ potential below $\pm 30 \text{ mV}$ [53]. The net surface charge of particles comes from the 293 combination of lipid, active compounds, and other compounds that are used to modify the 294 structure. The addition of BSs in LSAS increases ζ potential negatively and physically 295 stabilizes the system due to the increased repulsion between particles. An increase of negative 296 values of ζ potential is correlated to an increased BS concentration due to the negative charge 297 of BSs. 298

299

4. Effect of bile salts on the bioavailability of lipid self-assembled systems

The biological fate of LSAS that aims to enhance the bioavailability of active compounds differs depending on their administration. While digestion is one of the main steps for the oral delivery of active materials, skin penetration is taken into consideration for the topical application of active materials. Much research is already being undertaken on LSAS for further exploiting the biological activities of phytochemicals.

After oral administration, LSAS pass through the oral cavity and reach the stomach. In 305 306 the gastric compartment, LSAS interact with gastric fluids (pH 1-3) and a high concentration of calcium and sodium salts. Physical properties begin to change during this interaction and 307 308 some lipophilic compounds in the structure begin to get digested by gastric lipases in the stomach (10–30%). After the gastric phase, LSAS that can survive the acidic environment of 309 the stomach enter the small intestine (pH 6-7.5). Compounds mix with bile salts from the gall 310 311 bladder and pancreatic secretions. Following hydrolysis of lipophilic compounds to free fatty acids and monoglycerides by pancreatic lipases in the small intestine (70–90%), bile salts, free 312 fatty acids, monoglycerides, and phospholipids form mixed micelles which can be absorbed by 313 the intestinal enterocytes [54, 55]. LSAS that are composed of digestible compounds lose their 314 integrity after the gastric and intestinal phases. Hydrophilic phytochemicals pass to the 315 enterocytes for absorption and are transported to the portal vein [54, 56]. Highly hydrophobic 316 phytochemicals (partition coefficient (log P)>5) are attached to chylomicrons. Chylomicrons 317 enter the lymphatic system and carry these hydrophobic phytochemicals to the tissues. 318 319 Hydrophobic phytochemicals are released from chylomicrons by hydrolysis of lipoprotein by lipases for the absorption of phytochemicals at the tissue [54, 56]. 320

LSAS that are composed of indigestible coatings like chitosan, pectin, etc can remain intact through the small intestine and pass to colon where they may be hydrolysed by colonic microflora, encapsulated phytochemicals can then be absorbed in the colon [54].

Gypenosides are triterpenoid saponins from *Gynostemma pentaphyllum*. Gypenosides are used for medical purposes for many years however they are sensitive to the environment and suffer low solubility both in lipid and water [42]. In a study designed to assess the delivery of gypenosides for oral application, the time to peak plasma concentration (T_{max}), maximum plasma concentration (C_{max}), area under the plasma concentration (AUC_{0-∞}), and mean retention time (MRT_{0-∞}) were investigated in rats after oral administration of gypenosides 330 powders, NLCs and NaGC-NLCs. Loading of gypenosides into NLCs prolonged the absorption time 1.8-fold and retention time 1.9-fold (p<0.05). The C_{max} of NLCs (0.671±0.073) 331 mg/mL.h) also increased ~1.5-fold compared to the powder so it shows that NLCs remained 332 longer in the circulation. The addition of NaGC in the NLC formulation increases the T_{max} and 333 $MRT_{0-\infty}$ significantly (p<0.05). The plasma concentration of gypenosides increased compared 334 to gypenosides powders and NLCs ~1.9-fold and 1.3-fold, respectively. Intestinal absorption 335 of NLCs and NaGC-NLCs was also compared with the gypenosides powder. The apparent 336 absorption coefficient (Papp) of NLCs showed increased permeability and bioavailability 337 338 compared to gypenosides powder (p < 0.05) in all sections of the intestine. The presence of NaGC in NLCs increased the Papp 5.11-fold compared to gypenosides powder and ~2-fold 339 compared to NLCs in the jejunum (p<0.05). In addition, Caco-2 cell viability was increased 340 from ~70% to ~90% at 100 µg/mL gypenosides due to loading the gypenosides into NaGC-341 NLCs that reduced the cytotoxicity of gypenosides [42]. 342

343 The delivery of tripterin isolated from Tripterygium wilfordii was investigated [23]. Tripterin, also known as celastrol, shows antioxidant, anti-angiogenic, and anti-rheumatic 344 345 effects. However, it is poorly soluble in water and consequently shows low solubility in biological fluids [57]. Cellular uptake of free tripterine, bilosomes, and HA-coated bilosomes 346 347 in RAW264.7 cells was compared. Loading tripterine into bilosomes doubled its cellular 348 uptake from ~75 ng/mg of protein to ~150 ng/mg of protein (p<0.01). Compared to uncoated bilosomes, HA enhanced the internalization of bilosomes and provided higher cellular uptake 349 (~275 ng/mg protein) (p<0.01). This enhanced internalization ability was further confirmed by 350 confocal laser scanning microscopy (CLSM). Bioavailability and biodistribution results of free 351 tripterine, bilosomes, and HA-coated bilosomes showed that C_{max}, AUC_{0-∞} and the elimination 352 time of HA-coated bilosomes was significantly different from free tripterine and bilosomes. 353 The C_{max} and AUC_{0-∞} of bilosomes (23.57±0.49 µg/mL, 76.19±1.13 µg/mL.h) and coated 354 bilosomes (25.24±0.57 µg/mL, 112.19±0.85 µg/mL.h) were higher than the C_{max} of free 355 tripterine (22.62±0.71 µg/mL, 35.86±0.53 µg/mL.h). In addition, the elimination time of the 356 357 drug decreased 2.13-fold using bilosomes and 3.13 fold using coated bilosomes. Encapsulation 358 improved the resistance of tripterinein in circulation. The relative bioavailability of tripterine in bilosomes and coated bilosomes was 480.3% and 799.9% respectively, compared to free 359 360 tripterine [23].

Notoginsenoside R1 derived from *Panax notoginseng* poorly dissolves in water and cannot exhibit its biological functions sufficiently [58]. For the oral application of notoginsenoside R1, *in vitro* cellular uptake in Caco-2 cells and intestinal absorption of notoginsenoside R1-loaded NaGC-liposomes and liposomes were compared. NaGC-liposomes showed 1.5-fold higher cellular uptake compared to liposomes (p<0.01) so the presence of NaGC enhanced the cellular uptake of notoginsenoside R1.

notoginsenoside Intestine absorption of **R**1 367 reported was as duodenum>jejunum>ileum>colon. The Papp of notoginsenoside R1 was NaGC-liposomes> 368 liposomes> notoginsenoside R1 solution for the same drug concentration (20 µg/mL and 100 369 μ g/mL) and in the same section of the intestine (p < 0.05). Addition of NaGC increased P_{app} 370 1.85-fold (20 µg/mL drug) and 3.19 fold (100 µg/mL drug) compared to free drug. NaGC-371 372 liposomes enhanced the C_{max} 1.85-fold and $T_{1/2}$ 2.52-fold compared to liposomes. The presence of NaGC also improved AUC_{0-t} 1.32-fold and 2.68-fold compared to liposomes and 373 374 notoginsenoside R1 solution [30]. Loading into NaC-liposomes increased the relative bioavailability (16.4-fold) and elimination half-time ($T_{1/2}$) of [6]-gingerol (~2.5-fold) which 375 376 shows that [6]-gingerol can stay longer in the circulation [50]. Similarly, an in vivo pharmacokinetic study showed that while C_{max} , AUC_{0- ∞} and MRT_{0- ∞} of all biflavonoids were 377 378 increased, C_L decreased when loaded into NaDC-liposomes. Encapsulation did not show a 379 significant difference in the $T_{1/2}$ of biflavonoids. A pharmacokinetic study showed that the relative bioavailability of biflavonoids in the extract was enhanced between 191%-995% due 380 to loading into NaDC-liposomes. Moreover, the cytotoxicity of the biflavonoids extract-loaded 381 liposomes was evaluated on HT-29 cells. Encapsulation into NaDC-liposomes reduced the 382 half-maximal inhibitory concentration (IC₅₀) of the extract by 37% [31]. 383

Ammonium glycyrrhizinate is isolated from Glycirrhiza glabra and is used for 384 385 supplements and medical purposes. Ammonium glycyrrhizinate shows an anti-inflammatory 386 effect against inflammation on the skin [59]. The applicability of the ammonium glycyrrhizate-387 loaded NaC-liposomes for topical application was investigated and at 24 h, only 40% of ammonium glycyrrhizate was released from vesicles and showed pseudo-first-order kinetics 388 389 [27]. Permeation and skin tolerability studies were done with the topical application of vesicles on humans. 134.9 µg/cm² ammonium glycyrrhizate penetrated through the stratum corneum 390 and epidermis and permeation through the skin layers showed zero-order kinetics. For topical 391 392 application, drugs need to pass through the stratum corneum and epidermis as well as protect

393 their structure [27]. Erythema index (Δ EI) values measured were below 4.5 and show that ammonium glycyrrhizate-loaded NaC-liposomes can be tolerated by human skin without any 394 toxicity. In order to determine the effect of NaC on the deformability of 395 dipalmitoylphosphatidylcholine (DPPC) liposomes, the deformability of liposomes and NaC-396 397 liposomes (DPPC/NaC 4:1) were compared using the vesicle-pore model where both liposomes are pushed to pass through narrow pores and deformability parameters are measured 398 399 [28]. According to the results, NaC improves the flexibility of liposomes and decreases the 400 deformability through pores.

401 Resveratrol (3,5,4'-trihydroxytrans-stilbene) is a well-known natural antioxidant [60]. 402 Spreadability, skin permeation, and deposition of a resveratrol-loaded NaC-transfersome cream was compared with a conventional resveratrol cream [26]. The spreadability of the 403 404 vesicular cream was 47.90±3.65% spread by weight (conventional resveratrol cream: 39.14±1.59%). The permeation flux of the resveratrol-loaded NaC-transfersomes cream and 405 conventional cream was determined as 4.95 ± 0.69 and $2.70\pm0.73 \,\mu$ g/cm² respectively (at 24 h). 406 When deposition in the skin layer was evaluated, drug deposition of the NaC-transfersome 407 cream ($335.2\pm4.12 \mu g/cm^2$ with skin retention: 70.16 $\pm0.87\%$) was reported to be significantly 408 higher than conventional cream (67.12 \pm 19.63 µg/cm² with skin retention: 14.05 \pm 4.11%). In 409 410 vitro skin permeation and deposition of phenylethyl resorcinol-loaded NaDC-transfersomes were investigated. Compared to liposomes (skin permeation: 20.65 µg/cm², accumulation: 411 28.18 μg/cm²), transfersomes (skin permeation: 72.66 μg/cm², accumulation: 71.21 μg/cm²) 412 provided higher permeation and accumulation of phenylethyl resorcinol in newborn pig skin 413 (p<0.05) [40]. According to the results, the enhanced permeation ability of the resveratrol-414 loaded NaC-transfersome cream [26] and phenylethyl resorcinol-loaded NaDC-transfersomes 415 [40] is possibly due to the presence of BSs that can enhance the penetration [16]. Sodium 416 pravastatin and naringenin-loaded NaDC-transfersomes were optimized and NaDC showed a 417 significant impact on the cumulative % permeated (<0.0001). When NaDC concentration (20-418 419 60 mg) increased, cumulative (%) permeated increased from 37% to 59%. Results also showed 420 that NaDC did not show a considerable effect on ALT (p: 0.8767) and MDA (p: 0.4490) levels 421 [25]. The deformability of phenylethyl resorcinol-loaded NaDC-transfersomes and liposomes was compared before and after filtering through a 200 nm polycarbonate filter [40]. While 422 transfersomes (D_h: 398.37±9.82 nm, PDI: 0.06±0.08) passed through the filter, liposomes (D_h: 423 600.23±11.92 nm, PDI: 0.21±0.07) couldn't pass because of their rigid structure. After 424 425 filtration, the D_h, PDI, and DI of NaDC-transfersomes were 371.97±8.72 nm, 0.13±0.09, and 426 6.63% respectively. These results confirm that incorporating NaDC into the lipid bilayers427 enhances the flexibility of vesicles.

Catechin is a widely studied phenolic compound that shows mainly antioxidant activity. 428 In vitro skin permeation performance of catechin-loaded NaTC-hexosomes was tested on the 429 skin of newborn pigs and compared with catechin-loaded hexosomes and vesicles. Due to the 430 presence of NaTC, NaTC-hexosomes showed better permeation through skin layers and higher 431 drug accumulation in the skin layers including stratum corneum:~14%, epidermis:~7%, 432 dermis:~8%, and receptor compartment:~9%. While liposomes showed max accumulation 433 (~9%) in the dermis, no drug accumulation was detected in the receptor compartment. 434 435 Hexosomes showed maximum of ~5% drug accumulation both in the stratum corneum and the receptor compartment [41]. 436

437 Loading of active compounds into LSAS prolonged the absorption time and retention 438 time compared to free active compounds. The addition of BSs to the LSAS further increases 439 the absorption time and retention time of the active compounds compared to BS-free LSAS. 440 Due to the presence of BSs, the C_{max} and $AUC_{0-\infty}$ of circulating active compounds are 441 increased, therefore improved relative bioavailability has been reported (Figure 3). In addition, 442 BSs increase the elasticity of particles and enhance the penetration and accumulation of active 443 compounds [48].

444 445

5. Effect of bile salts on the biological activity of phytochemicals loaded into lipid self-assembled systems

Phytochemicals show numerous biological activities such as antioxidant, antiinflammatory, anti-tumour, anti-arthritic, anti-tyrosinase activity, etc (Figure 4). LSAS can
provide increased solubility, enhanced diffusion properties, and stability to phytochemicals and
promote these biological functions [61].

450 *In vivo* anti-tumour activity of biflavonoids extract-loaded liposomes was determined on 451 HT-29 colon cancer cells. NaDC-liposomes almost doubled the antitumor activity of 452 biflavonoid extracts (p<0.001) without systemic toxicity [31]. In the same way, the anti-tumour 453 activity of [6]-gingerol (100 μ g/mL, 15% inhibition) on HepG2 cells was improved 454 significantly due to loading into NaC-liposomes (inhibition rate:~100%) (p<0.01) [50]. In 455 addition, the *in vitro* anti-tumour effect of curcumin-loaded polyethylenimine-ethosomes (PEI) 456 and doxorubicin-loaded NaC-ethosomes (7:3 v/v) on B16 cells was determined. PEI-loaded 457 NaC-ethosomes and doxorubicin-loaded NaC-ethosomes showed similar inhibition (~55%) against B16 cells. PEI-ethosomes and doxorubicin-loaded NaC-ethosomes (7:3 v/v) exerted 458 stronger inhibition against B16 cells and cell survival was decreased to $\sim 30\%$ (p<0.01). Similar 459 results were also seen for in vivo anti-tumor effects. Melanoma tumor inhibition rates were 460 21.9% and 35.5% for curcumin-loaded PEI and doxorubicin-loaded NaC-ethosomes, 461 respectively. PEI-ethosomes and doxorubicin-loaded NaC-ethosomes (7:3 v/v) inhibited 462 46.38% of Melanoma tumor (p<0.01) [62]. Loading the phytochemicals in the BS-contining 463 system enhanced their anti-tumour activity significantly. 464

The anti-inflammatory activity of ammonium glycyrrhizate-loaded NaC-liposomes was investigated in human volunteers [27]. Free compound and liposomes were applied topically on chemically (0.2% w/v methyl nicotinate) stimulate erythema and NaC-liposomes showed ~10-30-fold increase in anti-inflammatory activity compared to an equivalent of ammonium glycyrrhizate solution.

470 Anti-arthritic activity of tripterine-loaded HA-coated NaDC-bilosomes on arthritic mice 471 was studied [23]. Mediators that show the inflammation level was measured and coated and 472 uncoated bilosomes were compared with the model control. While bilosomes reduced the 473 concentration of mediators in serum approximately double times compared to model control, 474 HA-coated bilosomes reduced mediators approximately fourfold compared to model control 475 (p<0.01).

476 Anti-tyrosinase activity of phenylethyl resorcinol-loaded NaDC-transfersomes and liposomes were evaluated after topical application on pig skin. Kojic acid was chosen as a 477 positive control. Liposomes (95.54 $\pm 0.13\%$) and NaDC-transfersomes (91.09 $\pm 1.23\%$) showed 478 better anti-tyrosinase activity than kojic acid (87.35±0.76%). Accumulation of phenylethyl 479 resorcinol from NaDC-transfersomes (80.47±0.22%) was nearly the same as liposomes 480 (79.53±0.45%). Tyrosinase activity and melanin content in B16 melanoma cells was also 481 measured after NaDC-tranfersome and liposome application. Compared to liposomes 482 (trosinase activity: 71.27% and melanin content: 82.11%), NaDC-transfersomes decreased 483 trosinase activity to 64.36% and melanin content to 64.85%. NaDC-transfersomes showed 484 effective skin lightening properties [40]. 485

The *in vitro* antioxidant activity of catechin-loaded NaTC-hexosomes was measured.
Using the 1,1-diphenyl-2-picrylhydrazyl (DPPH) assay, NaTC-hexosomes, hexosomes,

vesicles, and catechin in methanol solution could all inhibit 88% of DPPH radicals [41]. Similarly, the antioxidant activity of a resveratrol solution (95%) and resveratrol-loaded NaCtransfersomes (~92%), were not significantly different (p>0.05). In addition, empty tranfersomes also showed the ability to inhibit ~21% of 25 μ M DPPH radicals [26]. The presence of BSs in formulations and loading into BSs-systems did not show a significant effect on the antioxidant activity of catechin and resveratrol.

In general, the presence of BSs in LSAS increased the biological activity of the phytochemicals. Although increased permeation and accumulation of catechin and increased skin retention of resveratrol were reported due to loading into BS-LSAS, no significant changes were observed in the biological activities of resveratrol and catechin.

498

6. CONCLUSION

This review focused on the effect of BSs on phytochemicals-loaded-LSAS. The presence 499 500 of BSs in LSAS showed effects on the morphology, encapsulation efficiency, stability, bioavailability, and biological activities of phytochemicals. Up to a specific concentration that 501 502 differs depending on the BSs, the D_h of LSAS decreased as the concentration of BSs increased. This was due to BSs reducing the surface tension of the vesicles and stabilize the bilayers. 503 While the EE(%) of hydrophilic phytochemicals is related to the trapping ability of the lipid 504 membrane to hydrophilic phytochemicals dissolved in aqueous phases, 505 the EE(%) of 506 hydrophobic phytochemicals is mainly related to the interaction between the lipid membrane and phytochemicals. The addition of BSs to the formulations affected the EE(%) significantly. 507 Increased BS concentration up to a specific concentration, resulted in increased EE(%). Upon 508 further increase in BS concentration, BSs showed a solubilisation effect resulting in the 509 transition from vesicles to micelles and disruption of the vesicles. It has been reported that 510 511 EE(%) may decrease due to membrane pore formation caused by BSs which may cause leakage of phytochemicals. In vitro release studies showed that encapsulation of phytochemicals into 512 BS-LSAS slows their release. The addition of negative charged BSs improved the stability of 513 LSAS due to an increase negative ζ potential that increased repulsion between particles. 514 Pharmacokinetics studies reported that the addition of BSs increased the absorption time and 515 plasma concentration and decreased the elimination time of phytochemicals. BSs also 516 enhanced the flexibility of particles and favored the penetration and accumulation of 517 phytochemicals. Increased relative bioavailability of phytochemicals was reported due to the 518 519 loading of the compounds into BS-LSAS. With enhanced bioavailability, in general,

phytochemicals showed higher biological activity. The application of LSAS has potential in
the food, beverage, and pharmaceutical industries. Hence, exploring the possible use of BSs in
LSAS for the delivery of phytochemicals was outlined.

523 Author Contributions

AC: Conceptualization, Investigation, Identification, Methodology, Screening, Writing-Original draft preparation; AIIT: Project Administration, Supervision, Validation, Writing-Review and editing; ARM: Project Administration, Supervision, Validation, Writing-Review and editing.

Conflicts of interests

529 The authors declare no competing financial interest.

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Figure 1. Quantities of food and beverages that must be consumed to reach therapeutic doses of reseveratrol. If a person intends to ingest 1 g of resveratrol each day, this would require consuming the depicted quantities of foods or beverages [3].



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- Figure 2. Schematic representation of the transition from vesicles to mixed micelles upon an
- increase in bile salt concentration. Adapted from reference [18].
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Figure 3. Effect of presence of bile salts on D_h, EE(%), ζ potential of lipid self-assembled
 systems and relative bioavailability of phytochemicals.

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Figure 4. Biological activities of phytochemicals.

Self-assembled System	Application	Active Compound	Biological Activity	Bile Salts	Composition	Reference
Liposomes	Topical Application	Ammonium glycyrrhizinate	Anti- inflammatory Activity	NaC	SPC: 88mg NaC:12 mg in 6 mL water/EtOH Ammonium glycyrrhizate : 0.3% w/v of the drug in the lipid	[27]
Liposomes	Oral Application	Doxorubicin and Silybin	Cardioprotective Activity	Cholic acid	PC: 40 mg/mL DSPE-PEG- Cholic acid : 3 mg/mL Chol: 8 mg/mL Silybin:5 mg/mL Doxorubicin: 1 mg/mL	[44]
Liposomes	Oral Application	Biflavonoids extract from Selaginella doederleinii	Anti-tumour Activity	NaDC	Optimum: SPC: 30 mg/mL Chol: 3 mg/mL NaDC: 3 mg/mL Extract: 3 mg/mL Isomalto-oligosaccharides/lipid, 2:1, w/w)	[31]
Liposomes	Oral Application	[6]-gingerol	Anti-tumour Activity	NaC	Optimum: PC: 75 mg Isopropyl myristate: 0.08 mg NaC: 0.04 mg [6]-gingerol: 5 mg	[50]
Liposomes	Oral Application	Notoginsenoside R1	-	NaGC	Optimum: SPC: 50 mg Chol: 10 mg DSPE-PEG2000: 10 mg NaGC: 6 mg Notoginsenoside R1: 8 mg	[30]
Liposomes	Topical Application	Pogostone	-	NaC	SPC, NaC, Chol, Vitamin E, Pogostone: 0.07%	[28]
Transfersomes	Oral Application	Genistein	Antioxidant and Neuroprotective Activity	NaDC	PC: 693 mg NaDC: 297 mg Genistein: 10 mg in 50 mL water	[63]

Table 1. A summary of the self-assembled system for delivery of phytochemicals.

Transfersomes	-	Resveratrol	Antioxidant Activity	NaC	Optimum: SPC: 90.7 mg NaC: 12.5 mg Resveratrol: 100 mg in 5 mL PBS buffer (pH 7.4)	[26]
Transfersomes	Topical Application	Phenylethyl resorcinol	Anti-tyrosinase activity	NaDC	SPC: 3% (w/v) CHOL: 0.5% (w/v) phenylethyl resorcinol: 0.5% (w/v) NaDC: 15% (w/w) in water: up to 100% (v/v)	[40]
Transfersomes	Oral Application	Sodium pravastatin and Naringenin	Anti- hyperlipidemic and Antioxidant Activity	NaDC	Desirability values of the numerical optimization: Omega-3 PC: 0.7908 NaDC: 1 Naringenin: 1	[25]
Bilosomes	-	Tripterine	Anti-arthritic Activity	NaDC	SPC: 80 mg DOTAP: 20 mg NaDC: 2 mg/mL Tripterine: 10 mg HA: 10 mg in water: 10 mL	[23]
Bilosomes	Oral Application	Sulphated polysaccharide- protein complexes of <i>Enteromorpha intestinalis</i>	Anti-cancer activity	NaC, NaDC and NaTDC	Chol/ Span 65: 1:5 molar ratio Active compounds: 10 mg NaC: 0.5 M in 10 mL saline solution (0.9% w/v NaCl)	[39]
Surface- modified Bilosomes	Intravenous and Topical Application	Methylene blue and Curcumin	Anticancer Activity	NaC	Optimum: L-α-PC: 1.00 wt% Chol: 0.30 wt% Pluronic P123: 0.60 wt% NaC: 0.50 wt% in water: 97.60 wt%	[24]
Hexosomes	Topical Application	Catechin	Antioxidant Activity	NaC, NaGC and NaTC	Optimum: GMO: 3.0 wt% Oleic acid: 0.5 wt% NaTC: 0.3 wt% Pluronic F108: 0.3 wt% in water: 95.9 wt%	[41]
Ethosomes	Transdermal Application	Doxorubicin and Curcumin	Cytotoxic and Chemosensitizing Activity	NaC	Lecithin: 100 mg Chol: 10 mg PEI or SC: 5 mg in 10 ml of water/ethanol	[62]
Nanostructured Lipid Carriers	Oral Application	Gypenosides	-	NaGC	Oleoyl macrogolglycerides, glyceryl monolinoleate, glycerol monostearate, SPC, Gypenosides, NaGC, Polysorbate 80	[42]