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1 Rapid identification of *Lactobacillus* strains using near-infrared

2 spectroscopy and chemometrics

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11 Highlights

12 NIR spectroscopy was applied for identification of *Lactobacillus* strains according to
13 the discrepancy of NIR acquired from bacterial colonies.

14 PCA and HCA were investigated for general discriminant analysis of *Lactobacillus*.

15 UVE and GA were performed for selection of characteristic wavenumbers.

16 Sensitivity in identification of *Lactobacillus* strains was excellent (92.857%) using
17 UVE-GA-LS-SVM with 10 wavenumbers.

18 IR spectra of bacterial colonies and cells were researched and compared in order to
19 demonstrate the feasibility of using NIR spectra acquired from bacterial colonies for
20 identification of *Lactobacillus*.

21

22 Abstract:

23 *Lactobacillus* (*L.*) plays an important role in food fermentation, while the
24 presence of some particular *Lactobacillus* species may decrease the quality of
25 fermented food products. In this study, near-infrared (NIR) spectral features of
26 *Lactobacillus* species were extracted and the feasibility in rapid identification of
27 *Lactobacillus* based on these NIR spectral features was investigated. Bacterial
28 colonies of four *Lactobacillus* species (*L. breris*, *L. casei*, *L. fermentum*, *L. reuteri*)
29 were cultured using spread-plate technique with MRS agar medium. Raw NIR
30 spectral data of bacterial colonies were acquired in the wavelength range of
31 4,000-10,000 cm^{-1} . After pre-processing, uninformative variables elimination (UVE)
32 and genetic algorithm (GA) were used to select the characteristic wavelengths
33 correlated with the four species of *Lactobacillus*. The NIR data corresponding to the
34 selected characteristic wavelengths were employed to build identification models for
35 discriminating the four species of *Lactobacillus* using Least Squares Support Vector
36 Machine (LS-SVM). The recognition rates of calibration set and prediction set using
37 the optimal identification model were 100% and 92.857%, respectively. In order to
38 explain scientifically the good results of NIR, mid infrared (MIR) spectra of bacterial
39 cells were collected and analyzed. Analytical results of MIR indicated that (1)
40 significant differences were observed in MIR spectral data collected from the four
41 species of *Lactobacillus*; (2) the selected NIR wavenumbers were quite correlated
42 with the MIR wavelengths that could reflect changes of components and structure
43 among four *Lactobacillus* cells. This explained why the four species of *Lactobacillus*
44 were reasonably well identified based on its NIR data. It is concluded that NIR
45 spectroscopy combining with chemometrics methods proposed in this paper could be
46 applied for rapid discrimination of *Lactobacillus*.

47 Keywords: NIR spectroscopy; *Lactobacillus*; Uninformative variables elimination:
48 Genetic algorithm; Least Squares support vector machine

49

50 **1. Introduction**

51 The lactic acid bacteria (LAB) are rod-shaped bacilli or cocci characterized by an
52 increased tolerance to a lower pH range(1). Various genera of lactic acid bacteria used
53 as probiotics can improve the intestinal immune status, and maintain microbial
54 balance during gastrointestinal disturbances(2). *Lactobacillus* (*L.*) is one of the most
55 important genera in LAB fermenting glucose primarily to lactic acid, CO₂ and
56 ethanol(3). *Lactobacillus* are widely used in food fermentation of yogurt(4), cheese(5),
57 soybean meal(6), etc.(7, 8). However, some *Lactobacillus* species, such as *L. brevis*,
58 have been recognized as spoilage bacteria in food processes such as beer
59 fermentation(9). Uncontrolled growth of *Lactobacillus* in beer fermentation process
60 may decrease the organoleptic quality of the beer (turbidity, sediment, acidification,
61 off-flavor and ropiness), and even affect its safety(9, 10). Therefore, it is necessary to
62 identify the specie of *Lactobacillus* in food production.

63 There is differentiation in chemical and physical properties between bacterial
64 strains so that they can be differentiated from one another. Traditional approaches for
65 identifying *Lactobacillus* are phenotypic method (5, 11, 12) and molecular biological
66 method (13-15). In the phenotypic method, a lot of phenotypic bacteria characteristics
67 are used to identify an isolate of *Lactobacillus*. These phenotypic bacteria
68 characteristics include morphological features(16) (shape, size, color, dimensions,
69 form, etc.), physiological features(5) (modes of fermentation, acid/alkaline/salt
70 tolerance, content of lactic acid dehydrogenases, etc.), and biochemical features(17)
71 (the type of interbridging in the peptidoglycan, type of fatty acids and proteins, etc.).
72 In general, about 17 phenotypic tests are required to acquire these phenotypic bacteria
73 characteristics, which makes the phenotypic method is time-consuming, tedious, and
74 involve numerical preparation procedures(18). Researchers also pointed out that the
75 reliability of these tests has been questioned(16). The exact identifications of these
76 closely related species were not reliable; some were doubtful or unacceptable and
77 some strains were misidentified with a good identification level(19). In the molecular
78 biological method, different molecular features based on molecular characterization

79 techniques (genotyping, multilocus sequence typing (MLST)(20), pulsed-field gel
80 electrophoresis (PFGE)(21) , and ribotyping(22)) are used to identify an isolate of
81 *Lactobacillus*(19). The molecular biological method has been a powerful tool that
82 have helped microbiologists to detect the smallest variations within microbial species
83 even within individual strains. However, the molecular biological method requires
84 advanced instruments and very well trained hands for elaborated sample preparation
85 (14, 23). Therefore, there is a need to investigate a rapid and simple technology for
86 identification of bacterial strains.

87 Usually, optical methods, such as mid infrared (MIR) spectroscopy and near
88 infrared (NIR) spectroscopy, can obtain bacteria characteristics more quickly than
89 phenotypic method and molecular biological method. Optical methods have been
90 employed to rapidly identify bacterial strains (24-27). Recently, MIR and NIR were
91 used to record the spectral features of different bacterial strains, and bacterial strains
92 were identified based on these spectral features due to the fact that these spectral
93 features could reflect differences in chemical components (proteins, fatty acids,
94 nucleic acids, etc.)(28-30) between bacterial strains. Various researches have shown
95 that MIR can be used to differentiate and identify a number of microorganism at
96 different taxonomic levels, such as, *Lactobacilli* strains(31), *Filamentous Fungi*
97 strains(26), *Brettanomyces bruxellensis* strains(32) et.al. However, it is difficult to
98 acquire MIR spectra of bacteria directly owing to bacterial cell which could not be
99 seen by naked eye. In their researches, the following procedures were applied for
100 preparation of bacterial sample in order to obtain the corresponding MIR spectra of
101 strains: (1) strain was placed into MRS broths and incubated; (2) bacterial broth was
102 centrifuged and supernatant discarded; (3) the bacterial pellet was dried under
103 moderate vacuum to obtain a transparent bacterial film before spectral acquisition.
104 Due to the complicated and time-consuming bacterial preparation which are
105 indispensable before acquisition of MIR spectra, it is no longer a simple and fast
106 method to identify strains using MIR spectroscopy. NIR spectroscopy technique, as an
107 alternative rapid detection method, has been investigated the feasibility in
108 identification and classification of *Escherichia coli* strains(30), *Pseudomonas*

109 *aeruginosa* strains(33), *Bacillus amyloliquifaciens* strains(34), *Bacillus cereus*
110 strains(34), *Listeria innocua* strains(30) et.al. In order to overcome the difficulties of
111 spectral acquisition using the NIR spectroscopy on account of strains with small size,
112 the NIR spectra were acquired from bacterial suspension after resulting pellets
113 re-suspended into a series of serial dilutions. The resulting pellets were obtained from
114 the centrifugation of bacterial broths after inoculation and culture. Although the
115 sample preparation for acquisition of NIR spectra is simpler than the sample
116 preparation for acquisition of MIR spectra. It is difficult to identify all species at the
117 same time when more than one specie simultaneously exist in the bacterial suspension.
118 Therefore, it would restrict the application of NIR in rapid identification of strains.

119 It is noted that the colony is enriched with a large number of bacterial cells
120 owing to self-replication of single strain and the classification of different strains
121 maybe performed by the unique NIR spectra of each strain. When the bacterial
122 colonies at different species are cultured on agar plate simultaneously, NIR spectra of
123 each colony are obtained. Therefore, rapid and simultaneous classification of multiple
124 strains could be performed by the NIR spectra. To validate the feasibility of this idea,
125 the following researches were investigated: (1) NIR spectra of four *Lactobacillus*
126 were acquired from bacterial colonies by NIR spectroscopy; (2) methodology for
127 rapid identification of *Lactobacillus* was developed by NIR spectral data and
128 chemometrics; (3) MIR spectra were obtained to prove the validation of identification
129 using the NIR spectra acquired from bacterial colony.

130 **2. Materials and methods**

131 2.1 Strains and preparation of samples

132 Four species of *Lactobacillus* (*L. breris*, *L. casei*, *L. fermentum*, *L. reuteri*) from
133 Fermentation Laboratory of the school of Food and Biological Engineering, Jiangsu
134 University were used in this study. Four *Lactobacillus* were stored in glycerol at
135 -80°C prior to use. *Lactobacillus* grew on a tube containing 25 mL
136 deMan-Rogosa-Sharpe (MRS) broth and incubated at 37°C for 24h. Each broth was
137 diluted at a ratio of 1:9 (broth: distilled water). Following repeated dilution, 1 ml of

138 the each dilution was extracted and smeared with a sterilized spreader onto MRS agar
139 plates, which was incubated at 37°C for 36h. Three replicates from each *Lactobacillus*
140 were cultivated and prepared in dependent assays to produce three independent
141 sample. Therefore, 21 plates for each *Lactobacillus* were obtained. Bacterial colonies
142 in plates were used to collect NIR spectral data for further analysis.

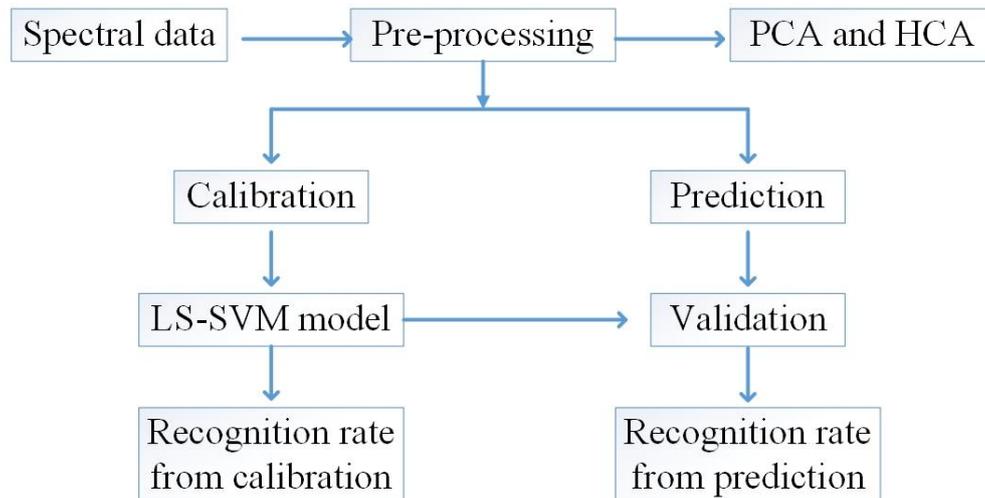
143 2.2 NIR spectral acquisition

144 The AntarisTM II near-infrared spectrophotometer (Thermo Electron Corporation,
145 USA) was employed for spectral acquisition over the 10,000 cm⁻¹ to 4000 cm⁻¹ at
146 intervals of 3.856 cm⁻¹ which resulted in 1557 variables. The plates, containing
147 individual *Lactobacillus* colonies, was placed on sample stage of NIR spectroscopy
148 for acquisition of bacterial spectra. Five spectra were collected from five
149 discontinuous region in individual cultured plate with the diffuse reflectance
150 integrating sphere mode. The average of five spectra from same plate was computed
151 and used in further data processing. Finally, 84 NIR spectra (21 spectra for each
152 *Lactobacillus*) from 84 plates respectively can be considered independent samples and
153 used for identification of *Lactobacillus*.

154 2.3 Chemometrics methods

155 Due to the NIR spectra significantly influenced by non-linearities and baseline
156 shift introduced by light scatter, suitable pre-processing should be applied to eliminate
157 these effects largely. For spectral data compression and information extraction, PCA
158 were performed to transform the spectral data after pre-processing into new
159 uncorrelated variable called principal components (PCs). HCA was applied with the
160 PCs as input variables to investigate similarities between different bacterial samples
161 and reveal if there are natural clustering in bacterial samples. Employing the full
162 spectral data does not always yield optimal results as the full variables may include
163 variables not correlated with colony features, partially correlated with colony features
164 and highly correlated with colony features. Therefore, UVE combined with GA called
165 UVE-GA is employed for characteristic variables selection in which UVE is
166 employed for elimination of uninformative variables and GA is for selection of
167 characteristic variables. LS-SVM was implemented in this study for classification

168 purposes. After the LS-SVM models were developed, they were applied to classify
169 bacterial samples. The data analysis mentioned above were performed by MATLAB
170 2010a after NIR spectral collection and steps of data analysis were shown in Fig.1.



171

172 Fig.1 Flowchart of data analysis in identification of *Lactobacillus*

173 2.3.1 Pre-processing methods

174 All pre-processing technique have the goal of reducing the un-modeled
175 variability noise in data in order to enhance the feature in the spectra. However, there
176 is always the danger of applying the wrong type or applying a severe processing that
177 will remove the valuable information(35). In this study, standard normal variate
178 (SNVT), multiplicative scatter correction (MSC), first derivative (1D) and second
179 derivative (2D) were performed to correct for light scattering, modify the additive and
180 multiplicative effects, and remove the influence of any baseline variation(36).

181 2.3.2 PCA and HCA

182 The PCA is a technique that is used for spectral data compression and
183 information extraction by the reduction of the data dimensionality and generation of
184 new uncorrelated variables called PCs(37). The PCs that account for a large
185 percentage of total variance reveal most information of *Lactobacillus* (30). HCA is a
186 unsupervised pattern recognition technique that can be used for clustering of strains
187 based on the similarities between spectra (37). The HCA was performed with the PCs
188 as input data using Ward's clustering algorithm and the Squared Euclidean Distance
189 Measure to generate a dendrogram(38, 39).

190 2.3.3 Variable selection methods (UVE, GA)

191 Uninformative variables elimination (UVE) is employed to eliminate
192 uninformative variables that clearly have no information about *Lactobacillus* in this
193 study. The artificial random variables are added to the calibration data as a reference
194 so that those spectral variables which play a less important role in model than the
195 random variables are eliminated(40). Compared to other methods, it keeps a large
196 number of the partially relevant variables for finally modeling. Therefore, GA was
197 employed to reduce the number of variables in order to achieve a simpler, more robust
198 model. GA is a popular heuristic optimization technique that employs a probabilistic,
199 non-local search process. Many studies have demonstrated the importance of GA for
200 characteristic wavelength selection(41).

201 2.3.4 Pattern recognition method (LS-SVM)

202 Before building classification models, the spectra data were randomly assigned
203 to calibration and test set according to the proportion of 2:1. For supervised
204 classification models, least squares support vector machine (LS-SVM) was
205 implemented. By applying LS-SVM classifiers, the empirical classification error can
206 be minimized while ensuring maximization of the interclass geometric boundary(37).

207 2.3 Development of identification models

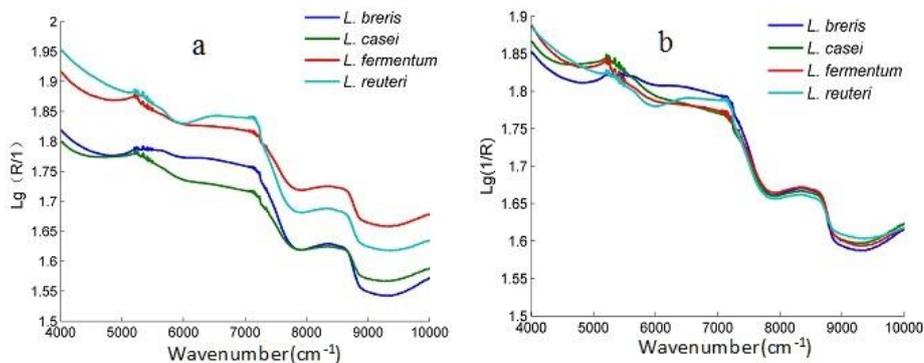
208 The full-spectrum LS-SVM model using calibration set was obtained based on
209 full spectrum and LS-SVM. The UVE-LS-SVM model was obtained based on the
210 characteristic wavelengths selected by UVE. The characteristic wavelengths selected
211 by UVE and GA were applied to build UVE-GA-LS-SVM model. And then models
212 are validated by test sets. The performance of model are evaluated via recognition
213 rates of calibration and test sets(30).

214 **3. Result and discussion**

215 3.1 NIR spectra investigation

216 The spectra in the range of 4000-10000 cm^{-1} illustrated that the absorption
217 decrease with the wavenumber increasing expect some spectral peaks (Fig.1). As can
218 be seen in Fig.1 (a), average NIR spectra of each *Lactobacillus* has no significant
219 discrepancy instead of the intensity. Three obvious absorption peaks around 5350

220 cm^{-1} , 7150 cm^{-1} and 8650 cm^{-1} are all based on molecular overtone and combination
221 vibrations. MSC, 1D, 2D, SNVT, MSC+1D, MSC+2D, SNV+1D and SNV+2D were
222 applied for the multivariate data to eliminate spectrum interference factors due to the
223 influence of noise or the diffuse reflectance. LS-SVM based on raw spectral data and
224 the spectral data after eight kinds of pre-processing methods was employed to select
225 most suitable pre-processing method according to the recognition rates showing in
226 Table 1. It was found that the MSC performed relatively better than the other noted
227 methods for separation of the *Lactobacillus* (Table 1). Therefore, MSC was selected
228 to be employed for further process in this study. The average spectra of each
229 *Lactobacillus* after MSC was shown in Fig.1 (b).

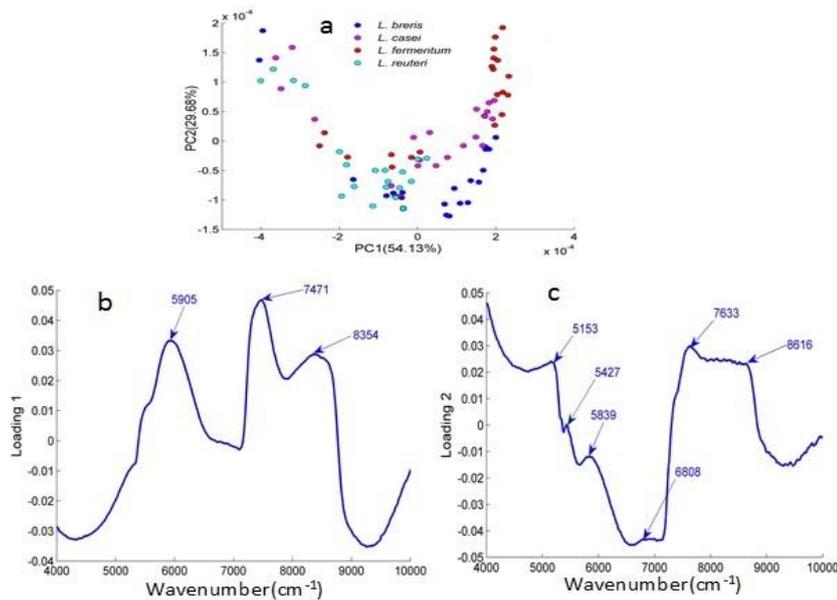


230
231 Fig.1. the raw average NIR spectra of four strains (a) and the NIR spectra after MSC (b)

232 3.2 Clustering analysis using PCA and HCA

233 The NIR spectral data of four *Lactobacillus* (81 objects \times 1557 variables) after
234 MSC were submitted to PCA to extract the effective information. New uncorrelated
235 variables were created, and the scores plot of PC1 versus PC2 display clustering for
236 *Lactobacillus* (Fig.2a). The first two principal components together accounted for
237 approximately 83.81% of the total variance (PC1: 54.13% and PC2: 29.68%). Based
238 on Fig.2a, a number of spectra for each *Lactobacillus* are overlapped. This weak
239 separation was obtained and maybe owing to the first two PCs that contained
240 insufficient information for classification. Therefore, more PCs and more
241 chemometrics, such as variable selection, supervised pattern recognition, should be
242 attempted to identify *Lactobacillus*. The loading plots of the first two PCs were
243 investigated to show wavenumbers making great contribution to the variation in the

244 data set. In Fig.2 (b), the peaks at 5905cm^{-1} , 7471cm^{-1} , 8354cm^{-1} influencing the PC1
 245 significantly could be the important wavenumbers that have high correlation with the
 246 features of each *Lactobacillus*. Furthermore, the PC2 also was responsible for the
 247 separation of *L. breris* and *L. fermentum*. The corresponding loading plot of PC2
 248 shown in Fig.2 (c) manifest that 5153cm^{-1} , 5427cm^{-1} , 5839cm^{-1} , 6808cm^{-1} , 7633cm^{-1} ,
 249 8616cm^{-1} , associated with O-H, N-H structure, are the critical wavenumbers for such
 250 separation.



251
 252 Fig.2. PCA results: (a) score plot of PC1 versus PC2, (b) the loading plot for PC1, and (c) the loading plot for PC2

253 Fig.3 shows the dendrogram obtained after carrying out the HCA. The first ten
 254 PCs, which account for 90% of total variance in dataset were used as the input data.
 255 Ward's algorithm and squared Euclidian distance was selected to investigate the
 256 dissimilarities between the spectra of four strains. In Fig.3, the left vertical axis of
 257 dendrogram depicts the names of 40 bacterial samples. The second column on the left
 258 is the number from 1 to 40, in which from 1 to 10 represent *L. breris*, 11 to 20
 259 represent *L. casei*, 21 to 30 represent *L. fermentum*, and 31 to 40 represent *L. reuteri*.
 260 The upper horizontal axis represents the distance between two bacterial samples or
 261 two cultures. The magnitude of this distance depends on the number of spectra in a
 262 cluster and the similarities between them. Two major clusters were illustrated in
 263 dendrogram. The first cluster (two clusters) only included *L. breris* and the second

264 cluster was made up of two well distinguished subclusters, the first subcluster with *L.*
265 *fermentum* (10 strain), the second subcluster with *L. reuteri* (10 strains) and *L. casei*
266 (10 strains). The second subcluster is divided into two clusters, one is *L. reuteri*, and
267 the other one is *L. casei*. Only single *L. reuteri* was wrongly assigned to the cluster of
268 *L. casei*. The dendrogram in this paper does not completely follow the classical
269 scheme (homofermentative and heterofermentative *Lactobacillus*) using FT-IR
270 spectroscopy(29). In addition, the dendrogram provided in this study was also not
271 similar with phylogenetic tree of *Lactobacillus* (42, 43),

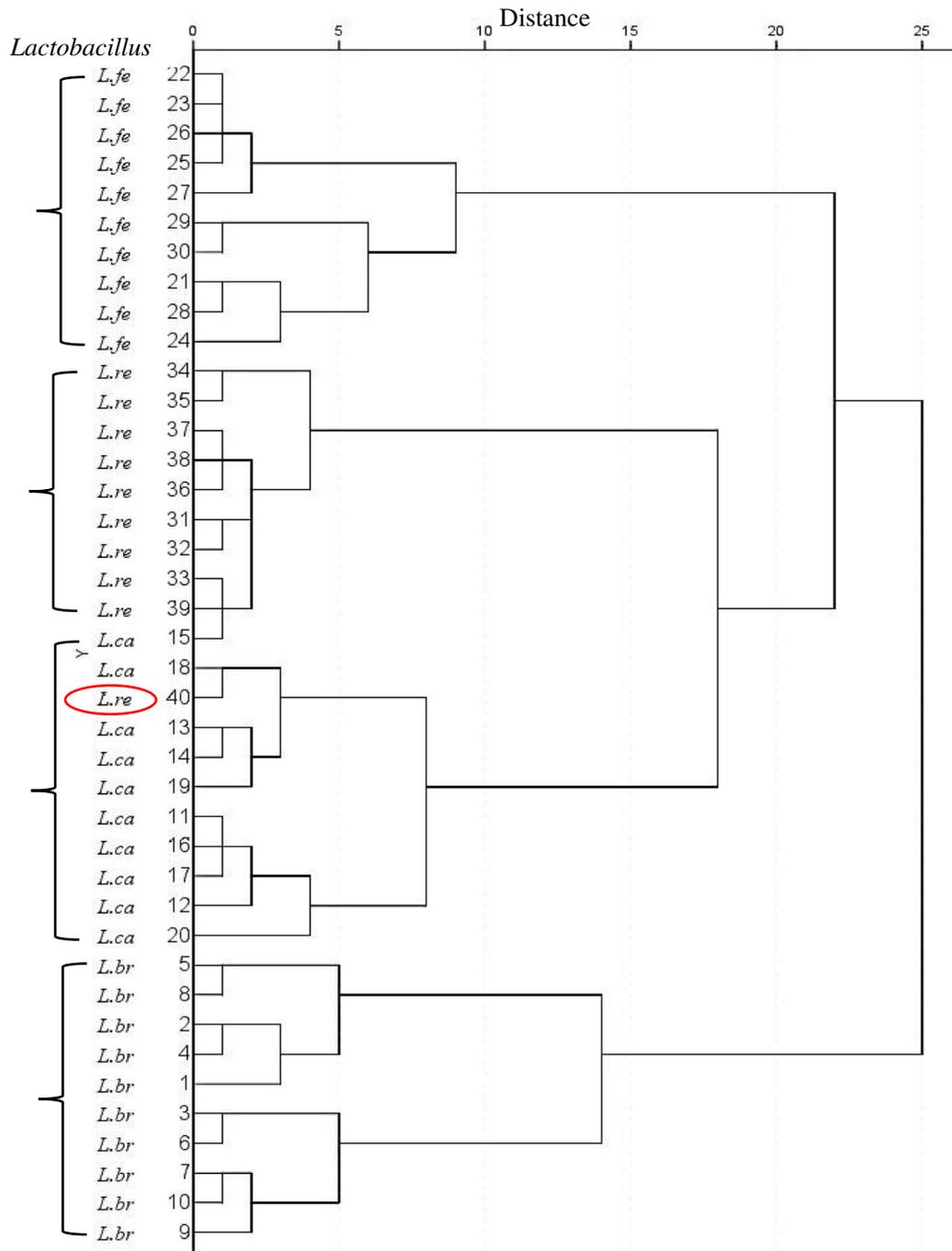


Fig.3 Dendrogram from hierarchical cluster analysis of four *Lactobacillus*

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273

274 3.3 Development of identification models using UVE, GA, and LS-SVM

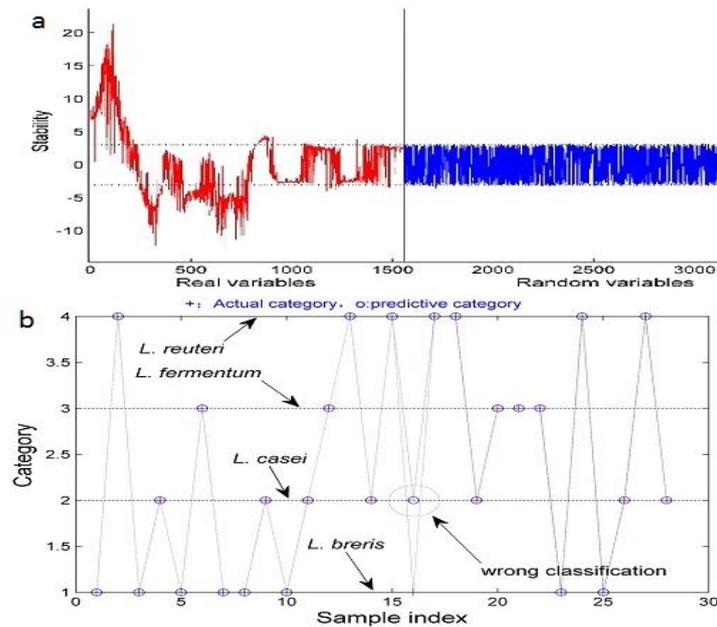
275 In this study, 56 samples were used for calibration and 28 samples were used for
 276 prediction data. Classification results based on full spectrum data after eight different
 277 pre-processing were illustrated in Tab.1. As indicated in Tab.1, the highest accuracy
 278 of LS-SVM model was based on MSC with recognition rate of 85.714% for test set
 279 and 98.214% for the calibration set. The second high recognition rate for prediction

280 set is 82.143% when SNV is used. In the best model, four bacterial samples were
281 mistakenly classified. One *L. fermentum* was mistakenly predicted as *L. casei*, one *L.*
282 *breris* was mistakenly predicted as *L. fermentum*, one *L. fermentum* was mistaken for
283 *L. reuteri*, and one *L. casei* was mistaken for *L. brevis*.

284 Owing to the high-dimensional data containing highly correlated variables, UVE
285 and GA were employed in this research for selecting characteristic wavenumbers
286 reflecting the features of *Lactobacillus*. It could be advantageous to use only few
287 variables for accurate, simple and robust classification. The variables that do not
288 contain more information than the random variables will be regarded as uninformative
289 variables and eliminated using UVE. To minimize the uninformative variables the
290 random variables would be optimized with several random variables in different
291 orders of magnitude, such as 10^{-8} , 10^{-9} , 10^{-10} , 10^{-11} , 10^{-12} . After UVE in conjunction
292 with LS-SVM, the optimal order of magnitude in random variables was 10^{-11} . As
293 indicated in Fig.4 (a), the stability range of the added random variables with order of
294 magnitude of 10^{-11} (the last 1557 wavenumbers) is from 3 to -3. From the observation
295 of first 1557 variables, 700 variables whose stability lies out of the two dot lines will
296 be remained for LS-SVM models and else variables whose stability lies within the dot
297 lines will be eliminated. The remnant 700 wavenumbers were mainly located between
298 4000 and 7000 cm^{-1} . Most of the variables between 7000 and 10000 were eliminated.
299 As a result, recognition rate of UVE-LS-SVM model achieved 96.428% shown in
300 Fig.4 (b). Only one *L. breris* was mistaken for *L. casei*. The UVE-LS-SVM model
301 based on 700 wavenumbers selected by UVE is more stable and accurate than model
302 based on full spectrum

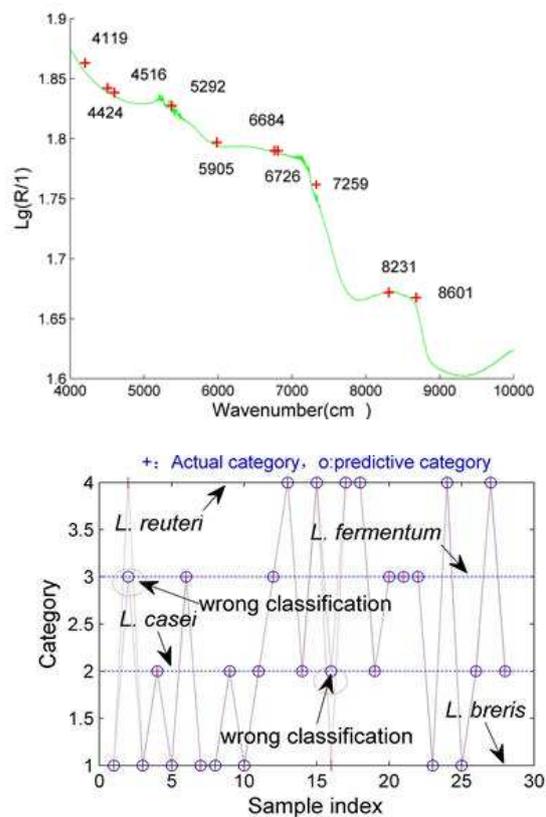
303 To further eliminate most of insignificant variables, GA was utilized to select the
304 characteristic wavenumbers highly correlated with the features of *Lactobacillus*. 10
305 characteristic wavenumbers (4119 cm^{-1} , 4427 cm^{-1} , 4317 cm^{-1} , 5292 cm^{-1} , 5905 cm^{-1} ,
306 6184 cm^{-1} , 6527 cm^{-1} , 6969 cm^{-1} , 8531 cm^{-1} , 8601 cm^{-1}) were selected by GA based on
307 remaining 700 wavelengths. The 10 wavenumbers were labeled on the spectrum
308 shown in Fig.5. With the 10 characteristic wavelengths, UVE-GA-LS-SVM model
309 achieved 92.857% for the recognition rate of prediction and 100% for the recognition

310 rate of calibration. The recognition rates of UVE- GA-LS-SVM model were between
 311 that of the LS-SVM model in full-spectrum and that of UVE-LS-SVM model. But
 312 UVE- GA-LS-SVM with the least wavenumbers (10 wavenumbers) is simpler and
 313 more robust than UVE-LS-SVM and LS-SVM models with 700 and 1557
 314 wavenumbers, respectively. As shown in Fig.5 (b), the classification result of
 315 UVE-GA-LS-SVM model demonstrates that one *L. breris* was mistaken for *L. casei*
 316 and one *L. reuteri* was mistakenly predicted as *L. fermentum*.



317
 318 Fig.4. (a): the stability distribution of each variable for identification of strains by UVE. The two dot lines in (a)
 319 indicate the lower and upper threshold; (b): model performance for prediction.

320
 321



322

323 Fig.5. (a) the ten red plus signs indicative the position of 10 characteristic wavenumbers in spectra by UVE and

324

GA. (b) model performance for prediction based on UVE-GA-LS-SVM.

325

Tab.1. overall recognition rates (%) for identification of four *Lactobacillus* based on full spectrum

326

LS-SVM using different pre-processing methods

Chemometrics methods	LS-SVM	
	calibration	prediction
None	85.714	82.857
SNV	98.214	88.571
MSC	98.214	91.429
1D	89.286	82.857
2D	89.286	82.857
SNV-1D	92.857	85.714
SNV-2D	92.857	85.714
MSC-1D	96.429	85.714
MSC-2D	98.214	85.714
MSC-UVE	98.214	96.428
MSC-UVE-GA	98.214	92.857

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328

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330 3.4 Scientific explanation of established identification models

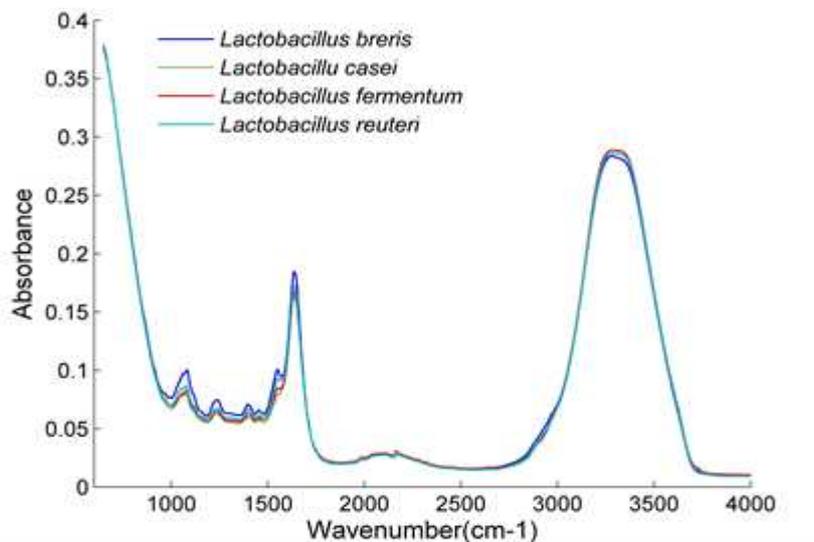
331 In this study, MIR spectroscopy was employed to investigate the components
332 and structure of bacterial cells and the absorption bands of each *Lactobacillus* was
333 used to validate the difference of components and structure among *Lactobacillus*.
334 MIR spectra of 4 bacterial cells were displayed in Fig.6a. Absorption bands of four
335 *Lactobacillus* were located at the region of 1040-1090 cm^{-1} , 1230-1240
336 cm^{-1} , 1390-1400, 1440-1450, 1530-1560 cm^{-1} , 1630-1640 cm^{-1} , 2850-1990 cm^{-1} ,
337 3250-3260 cm^{-1} owing to the inconsistent peaks of each spectrum. The characteristic
338 absorption in frequency at 3250-3260 cm^{-1} in the frequency region of 3150
339 cm^{-1} -3500 cm^{-1} is attributed to the O-H bonded stretching vibration. 2850-1990 cm^{-1}
340 are in the range of 3000-2800 cm^{-1} influenced by C-H stretching vibrations in fatty
341 acid and some amino acid. The C=O stretching vibration of amides linked to proteins
342 make contribution to the absorption peak at 1630-1640 cm^{-1} (amide I) and the
343 absorption peak at 1530-1560 cm^{-1} is likely due to the N-H deformation of amides
344 linked to proteins (amide II). The peaks between 1500~1200 cm^{-1} , namely 1230-1240
345 cm^{-1} , 1390-1400 and 1440-1450 cm^{-1} were possibly influenced by CH_2 and CH_3
346 bending modes of proteins, fatty acids and phosphate-carrying compounding. The
347 1040-1090 cm^{-1} between 1200 cm^{-1} and 900 cm^{-1} were due to the symmetric stretching
348 vibration of PO_2^- groups found in nucleic acids (44, 45). Bacterial cells maybe all
349 contain proteins, peptides, fatty acid, and polysaccharides et al based on these
350 absorption peaks. However, intensity of peaks and the highest point of peaks among
351 the four spectra was different. That means the groups, components and the content of
352 them are different. Therefore, *Lactobacillus* could be classified based on the
353 difference of groups, components and the content of them.

354 For acquisition of MIR spectroscopy, bacterial samples, potassium bromide (KBr)
355 pellets were prepared. KBr pellets were the most common sample for acquisition of
356 MIR spectra and described by D.J.M. Mouwen et.al(24). About 2 mg colonies and
357 200 mg KBr powder were homogenized in an agate-stone mortar. The mixture was
358 made into a coin shaped pellet. Finally, six KBr pellets for each *Lactobacillus* were
359 obtained and used for acquisition of MIR spectra. The MIR spectra were measured

360 with Nicolet 380 FT-IR spectrometer (Thermo Electron Corporation, USA). MIR
361 spectra were acquired in the spectral range of 500 to 4000 cm^{-1} at resolution of 2 cm^{-1} .
362 The colonies and coin shaped pellets were positioned and directly contacted with an
363 infrared attenuated total reflection diamond. Six spectra were acquired for each
364 sample and each spectrum were composed of an average of 36 separate scans. Finally,
365 six spectra were averaged. Although FT-IR has been well known as a technique for
366 identification of bacteria Bacterial samples preparation for MIR spectroscopy requires
367 a large number of bacterial cells, centrifugation, lyophilization and pressing. On
368 account of the complicated and time-consuming sample preparation, the application of
369 NIR in rapid identification of strains were restricted.

370 In this study, the NIR spectra were acquired from colonies on agar plates not the
371 KBr pellets. This sample preparation leave out most of complicated procedures
372 (centrifugation, lyophilization and pressing) except bacterial culture. The selected ten
373 characteristic wavenumbers for identification of strains were also influenced by
374 functional groups in fatty acid, peptides and proteins et al. 5905 cm^{-1} is the first
375 overtone of Carbon-hydrogen (C-H); 8531 and 8601 are the weaker second overtone
376 of C-H; 6184 and 6527 cm^{-1} are the first overtone of Nitrogen–hydrogen (N-H); 6969
377 cm^{-1} is the first overtone of Oxygen–hydrogen (O-H); 5292 cm^{-1} is the second
378 miscellaneous overtone band of carbonyl group in peptides; 4119, 4427 and 4317 cm^{-1}
379 are the C-H combination bands. The bands of C-H may be linked with fatty acid and
380 some amino acid. The bands of O-H may be linked with water. The bands of N-H
381 may be linked with proteins and peptides. The bands of miscellaneous overtone
382 maybe linked to peptides. Relationship between the ten characteristic wavenumbers in
383 NIR spectra and the absorption bands in MIR spectra was also investigated. The
384 frequency of 5905 cm^{-1} is included in frequencies of two times of the region of
385 2850-2990 cm^{-1} . The frequencies of 8531 and 8601 cm^{-1} are included in frequencies of
386 three times of the region of 1210-1260 cm^{-1} . The frequencies of 6184 and 6527 cm^{-1}
387 are included in frequencies of three times of the region of 1530-1560 and 1630-1640
388 cm^{-1} respectively. The frequency of 5292 cm^{-1} is included in frequencies of three times
389 of the region of 1630-1640 cm^{-1} . The frequencies of 4119, 4427 and 4317 cm^{-1} are the

390 combination of 1230-1240 cm^{-1} , 1390-1400 cm^{-1} , 1440-1450 and 2850-2990 cm^{-1} . So
 391 we consider that of 5905, 8531 and 8601 cm^{-1} are influenced by functional groups of
 392 membrane fatty acid and by some amino acid on account of C-H stretching vibrations.
 393 6184 and 6527 cm^{-1} are affected by amide I and amide II groups belong to proteins
 394 and peptides due to N-H stretching. 5292 cm^{-1} is the second miscellaneous overtone
 395 band of carbonyl group in peptides owing to C=O stretching. 4119 cm^{-1} , 4427 cm^{-1}
 396 and 4317 cm^{-1} are the C-H combination bands influenced by vibration of C-H. The
 397 NIR spectra at ten wavenumbers, influenced by the components and structure in
 398 bacterial cells, are unique for each *Lactobacillus* owing to the different components
 399 and structure in diverse *Lactobacillus*. Therefore, single strain could be identified
 400 used the unique NIR spectra at ten wavenumbers.



401

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Fig.6. The average IR spectra of bacterial cells (a) and bacterial colonies (b)

403

Table 2 Tentative assignment of characteristic wavenumbers found in NIR spectra

Frequency (cm^{-1})	Related frequency in MIR	Assignment
5905, 8531, 8601	2850-2990, 1210-1260	CH, CH ₂ , CH ₃
6184, 6527	1530-1560	Amide II band, C=O
5292	1630-1640	Amide I, N-H
4119, 4427, 4317	1230-1240, 1390-1400	Combination of C-H

404

4. Conclusion

405 This paper presented a rapid method to discriminate four *Lactobacillus* strains
406 based on NIR spectroscopy technique aided by chemometric methods. The NIR
407 spectra were acquired from bacterial colonies on MRS agar medium. Rapid
408 identification of *Lactobacillus* were developed with the pre-treatment (MSC),
409 variables selection (UVE and GA), and supervised discriminant analysis (LS-SVM)
410 performed. MSC based on full wavenumber and LS-SVM showed the best
411 performance in all pre-processing methods with the recognition rate of 91.429%.
412 Utilization of UVE and GA, resulting in 10 wavenumber that have high correlation
413 with the features of *Lactobacillus*, could simplify the identification models and
414 improved the performance. The recognition rates of UVE- GA-LS-SVM model
415 (92.857%) were between that of the LS-SVM model in full-spectrum (91.429%) and
416 that of UVE-LS-SVM model (96.428%). The UVE-GA-LS-SVM model with 10
417 wavenumbers is simpler and more robust than the full-spectrum LS-SVM model with
418 1557 wavenumbers and UVE-LS-SVM model with 700 wavenumbers. By comparing
419 with absorption bands in MIR spectra, the ten characteristic wavenumbers are
420 influenced by functional groups in components and structure. Each bacteria has a
421 unique NIR spectra due to the stretching and bending vibrations of molecular bends or
422 functional groups presented in cellular components (proteins, nucleic, lipids, etc.).
423 Therefore, single strain could be identified for the unique NIR spectrum. This
424 methodology may become a powerful tool for identification of strains due to timely
425 spectral collection and high sensitivity for identification strains.

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