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1	Noise-free microbial colony counting method for food quality assessment
2	using hyperspectral features
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14 Abstract

A noise-free bacterial colony counting method that can identify noises with similar 15 colors/shapes of colonies was proposed for food quality assessment. Noises were 16 produced using food fragments (sausage, bacon, and millet fragments) sterilized at high 17 temperatures. Agar plates with colonies and food fragments in/on agar medium were 18 used to acquire hyperspectral image data. Firstly, spectral features corresponding to the 19 colony cluster regions and the background regions (agar medium and food fragments) 20 21 were extracted from hyperspectral images. A cluster segmenting calibration model that is able to identify colony clusters and background regions was developed based on the 22 spectral features. Secondly, spectral features of colony centers and colony borders were 23 extracted. A colony separating calibration model that can separate single colony from 24 clusters (comprised multiple colonies contacting each other) was developed based on 25 the spectral features. Thirdly, each pixel of an agar plate hyperspectral image was 26 identified using the established calibration models, therefore the colonies on the agar 27 plate can be counted. Results shown that the proposed method got good correlation 28  $(R^2 = 0.9998)$  with the standard colony count method. The identification of the noises 29 caused by food fragments with similar colors/shapes of colonies is the outstanding 30 performance of the proposed method. 31

32 Keywords: colony, counting, hyperspectral imaging technology, noise-free, spectral

33 feature, chemometrics

35 1 Introduction

The method of colony counting using solid agar plates has been widely employed 36 to quantitatively measure viable microbial cells for food quality assessment<sup>1</sup>. The 37 colony counting method involves smearing the diluted bacterial suspension of food 38 products on solid agar plate<sup>2</sup>. As each viable cell on the plate grows and then forms a 39 single colony, the number of viable microbial cells in food products can be evaluated 40 by counting the colonies<sup>3</sup>. Colony counting provide essential indicators about 41 probiotics that reflect food nutrition, or harmful organisms that affect food safety<sup>4-6</sup>. 42 Actually, many reference methods or national standards concerning food quality related 43 to various organisms is based on this type of colony counting<sup>7-9</sup>. Conventional colony 44 counting is manually performed by well-trained operators, so it is low throughput, 45 laborious and time-consuming in practice<sup>10</sup>. 46

To alleviate the disadvantages associated with manual counting, various 47 automated colony counting methods using computer vision have been proposed<sup>11-13</sup>. 48 Basically, an image capture system were designed to collect a 2-dimensional color or 49 50 gray-scale images of the agar plate. Then color features or text features of the colony were extracted from the image and employed to distinguish colony cluster from the 51 background, to separate single colony from clusters composed of touching colonies, 52 and to provide the colony counting results automatically. These kind of automated 53 counting methods can obtain good results as long as interference noise, such as various 54 food fragments, do not appear in the agar plate $^{2,16}$ . 55

Unfortunately, it is really difficult to prepare pure samples of target cells without 56 containing any food fragments. As a result, the food fragments maybe wrongly 57 identified as normal colony by computer vision. Researchers have reported that it is 58 difficult to prepare pure samples of target microbial cells without residual food 59 fragments<sup>14</sup>. Technicians worked in government institution of Disease Control and 60 Prevention also reported that food fragments appeared at/in agar medium frequently<sup>15</sup>. 61 As the color and shape of food fragments maybe quite similar to that of normal colonies, 62 food fragments are easily wrongly identified as colonies by computer vision, and even 63 by well-trained employees in practice. 64

Time-lapse imaging technology, and the use of triphenyl tetrazolium chloride 65 (TTC) have been employed to reduce/eliminate the influence of the food fragments with 66 colors similar to that of colonies. Time-lapse imaging technology collects a series of 67 images to record the dynamic growth of colonies from a single-cells. As the signals of 68 food fragments can be captured prior to the colony, so this method is able to clearly 69 distinguish colonies from the food fragments<sup>16</sup>. However, it requires to repeat the image 70 acquisition many times during the colony culture, and a complex plate holder that can 71 72 automatically transport agar plate from incubation area to the imaging area has to be installed. Researches figured out that TTC can be used as an indicator because only 73 colonies containing living cells can be marked with red color. The use of TTC 74 significantly increases the contrast between the colonies and the background, and 75 segment of colonies becomes pretty much easy<sup>17</sup>. However, GuoMei et al. reported that 76 TTC affected the growth of microbial cells, which indicated errors would be caused by 77 the use of TTC in the stage of colony growth<sup>18</sup>. 78

A new idea, separating colonies from their background based on spectral features 79 80 caused by changes in chemical composition, was proposed in this study. Many research have demonstrated that spectral features were sensitive to the chemical components of 81 biological samples; and spectral techniques, such as ultraviolet spectrum (UV)<sup>19</sup>, visible 82 spectra (VIS)<sup>20</sup>, near infrared spectra (NIR)<sup>21</sup> and mid infrared spectra (MIR)<sup>22</sup> have 83 been used for quantitative and qualitative analysis of chemical composition in various 84 biological samples successfully. Obviously, there are changes in the chemical 85 composition between colony area and agar medium, between colony area and food 86 fragments, and between colony center area and colony border area. So it is reasonable 87 that spectral features were employed to identify colonies and the food fragments with 88 colors similar to that of colonies. 89

In order to carry out the new idea, it is essential to obtain spectral data of the whole agar plate pixel by pixel, so hyperspectral imaging technology was employed to record the whole agar plate pixel by pixel. Unlike conventional spectral technologies relies on spot measurement, such as UV, VIS, NIR and MIR, hyperspectral imaging technology combines conventional spectroscopy and imaging techniques to acquire a spectrum for

each pixel in the 2-dimensional image of an object<sup>23,24</sup>. There is the case in which the 95 chemical composition of the whole sample must to be evaluated, and it is essential to 96 acquire both spectral data and spatial data from the sample surface at the same time. 97 Hyperspectral imaging technology meets these requirements perfectly and has been 98 successfully used for full assessment of chlorophyll, flavonoids, moisture, soluble 99 solids and other chemical composition in various biological samples<sup>25-29</sup>. It is 100 reasonable to assume that the differences in chemical composition caused by colonies, 101 102 agar medium, and various noise can be characterized by hyperspectral imaging technology. 103

As mentioned above, this study was aimed at the development of a noise-free, high-precision method for automatic colony counting. The agar plates with colonies and food fragments were employed to acquire hyperspectral image data. Spectral features corresponding to colonies and backgrounds were employed to count colonies automatically. The performance of the proposed method were compared with that of computer vision, and the practical feasibility of the proposed method was also discussed.

110

# 111 2 Materials and methods

## 112 2.1 Preparation of agar plates containing colonies and noise

As an initial model system, nonpathogenic Bacillus Subtilis (CGMCC 1.8886) was 113 obtained from China General Microbiological Culture Collection Center (Beijing, 114 China). Food fragments of sausage, bacon, and millet (Kaiyuan supermarket in Jiangsu 115 University) with shapes similar to those of colonies were prepared to cause noise in 116 agar plate. After sterilization at high temperatures using an autoclave (DSX-117 280B, ShangHai Shenan Medical Instrument Factory, China), 15 ml of Luria-Bertani 118 agar medium (1% tryptone, 0.5% yeast extract, 1% NaCl, and 2% agar) maintained at 119 47 °C was transferred to a petri dish With a diameter of 90 mm, and food fragments 120 were added to the cooled agar medium. So an agar plate with food fragments on/in the 121 agar medium can be obtained. Various dilutions of B. subtilis were prepared in Hanks' 122 Balanced Salt Solution, and 100  $\mu$ L of the diluted bacteria were spotted onto multiple 123 areas of an agar plate. Then agar plates were placed in an incubator (HWHS-150, 124

Wanfeng Instrument manufacturing Co. Ltd., China) and cultured for 24 h at 37 °C.
The agar plates containing clusters of colonies and food fragments in/on the agar
medium were prepared for hyperspectral image data collection, as shown in Figure 1.

128

129



Figure 1 the sectional view of an ager plate with bacterial colonies and food fragments on/in agar
 medium

132 2.2 Hyperspectral image data measurement

A line-scanning hyperspectral imaging system with the Vis/Nir wavelength range 133 of 400-1000 nm was employed to acquire hyperspectral images of the prepared agar 134 135 plates in the reflectance mode. The hyperspectral imaging system consists of a line-136 scanning spectrograph (ImSpector, VI0E, Spectra Imaging Ltd., Finland), a CMOS camera (BCi4-U-M-20-LP, Vector International, Belgium), a illuminator (Fiber-Lite 137 PL900-A, Dolan-Jenner Industries Inc., USA), a conveyer (Zolix TS200AB, Zolix. 138 Corp., China), an enclosure (ZJgrt, Great Ltd., China), a data acquisition and pre-139 processing software (Spectra Cube, Auto Vision Inc., USA), and a computer 140 (HPdx2390MT, Hewlett-Packard, China). Detailed information about hyperspectral 141 image collection can be found in our previous study<sup>30</sup>. 142

143 2.3 Hyperspectral image data analysis

After hyperspectral image measurement, the agar plate was digitized with pixels that contain spectra data, so the spectral features of each pixel can be employed to segment colonies from background and split colony clusters.

147 With the aid of hyperspectral imaging system, the 3-dimensional (3D) data cube 148 of agar plate was acquired as shown in Fig 2. In Fig. 2 (a), x axis and y axis indicate 149 the pixel location,  $\lambda$  axis indicates the wavelength of every single image. The significant

advantage of the 3D data cube is that it contains both spectral data and image data of 150 an agar plate. On the one hand, the 3D data of an individual pixel (x equals to  $x_i \in [1, 1]$ ) 151 1024], y equals to  $y_k \in [1, 1024]$  and  $\lambda$  equals to all the values in the range of [430, 152 960]) is extracted from the whole 3D data cube as shown in Fig. 2 (b). Then all the 153 signal values of the pixel are presented in a curve in order of their wavelengths, and the 154 spectral information of the pixel  $(x_j, y_k)$  can be obtained as shown in Fig. 2 (c). On the 155 other hand, the agar plate image at a specific wavelength  $\lambda_r \in [430, 960]$  (x equals to 156 all the values in the range of [1, 1024] and y equals to all the values in the range of [1, 157 1024]) can also be extracted from the 3D data cube as shown in Fig. 2 (d). In fact, the 158 whole surface of the agar plate can be digitized accurately with the aid of the pixels in 159 160 Fig. 2 (a), and the sample properties at every single pixel can be determined rapidly with the aid of its spectral information. This makes it possible to identify colony areas 161 and background areas using their spectral features. 162

163



164 165

Figure 2 agar plate hyperspectral image data cube

In order to reduce the complexity the hyperspectral data, chemometrics methods were employed to facilitate the establishment of calibration models. Genetic algorithm (GA) is employed to select the most informative wavelength regions from the large hyperspectral image data<sup>31-33</sup>, Principal component analysis (PCA) is employed to extract the spectral features from the hyperspectral image data of the selected wavelength regions<sup>34,35</sup>, K-nearest neighbors (KNN) is employed to build calibration 172 models for colony counting  $^{36,37}$ .

173

174 2.4 count of microbial colonies

The count of microbial colonies includes three main steps: (1) build cluster

segmenting calibration model, (2) build colony separating calibration model, and (3)

177 colony count. The flow chart of these steps is shown in Fig. 3.

178 2.4.1 Build cluster segmenting calibration model

Firstly, cluster/background spectra were extracted. A square region of interest (ROI) 179 of 10×10 pixels was defined within the cluster and background areas (including agar 180 medium, sausage fragments, bacon fragments, and millet fragments), then the mean 181 spectral data of the cluster and background areas was extracted for further data analysis. 182 Secondly, calibration models for cluster segmentation were build. GA and PCA were 183 used to extract the spectral features of cluster /background pixel from the calibration 184 data set, and KNN was used to build segment models by correlating the spectral features 185 with their origins (cluster area or background area) of pixels. The calibration models 186 were optimized by spectral features of the prediction set. Thirdly, the optimal 187 calibration model was validated by an independent testing data set. The Se and Sp of 188 the predicted results were calculated and were used to estimate the capability of the 189 optimal calibration model. 190

191

192 2.4.2 Build colony separating calibration model

193 Colony centers are expected to be apart even though the borders of two colonies 194 are contacting each other, so more than 2 colonies overlapping with each other could 195 be counted separately by identifying colony centers. Spectral features of colony centers 196 and colony borders were extracted and employed to build identification models for 197 identifying colony centers, as shown in Fig. 3.

Step 1: Build cluster segmenting calibration model



Figure 3 Process flowchart for counting microbial colonies in agar plate

Firstly, colony center/border spectra were extracted. A square region of interest 200 (ROI) of 5×5 pixels was defined within the colony center and border regions in 201 hyperspectral images; then the mean spectral data of the ROI was extracted. Secondly, 202 calibration models for colony separating were build. GA and PCA were used to extract 203 the spectral features of center/border pixel from the calibration data set, and KNN was 204 205 used to build identification models by correlating the spectral features with their origins (colony center area or colony border area) of pixels. The calibration models were 206 optimized by spectral features of the prediction set. Thirdly, the optimal calibration 207 model was validated by an independent testing data set. The Se and Sp of the predicted 208 results were calculated and were used to estimate the capability of the optimal 209 210 calibration model.

211

212 2.4.3 Colony count

In the cluster segmenting calibration model, the relationship between spectral 213 features and colony/background areas has been established. In the colony separating 214 calibration model, the relationship between spectral features and colony center/border 215 216 areas has been established. With the aid of the optimal segment model and the optimal identification model, microbial colonies in agar plates can be counted based on the 217 spectral features recorded in the 3D hyperspectral data cube, as show in Fig. 3. 218 Firstly, spectra data of each pixel in the hyperspectral image of an agar plate with 219 colonies and noises were extracted. Secondly, the cluster areas on the hyperspectral 220 image were segmented. The spectral features of each pixel were substituted in the 221 optimal cluster segmenting calibration model, and then all the pixels were divided 222

into cluster and background areas so that the cluster areas on the agar plate can be
segmented. Thirdly, the overlapping colonies were separated. The spectral data of
pixels belonging to the cluster areas were substituted in the optimal colony separating

calibration model, and then all the pixels were divided into colony center and border

areas so that the colonies contacting with the others can be separated. With the aid of the separated colony centers, all the colonies on the agar plate can be counted. The number of the colony centers was considered as that of colonies in the agar plate due to that each colony own only one colony center.

231

232 2.5 Software

The hyperspectral images of agar plates were collected using SpectralCube (ImSpector, image, Auto Vision Inc., USA). All the hyperspectral image processing methods were performed in Matlab V.7.4 (The Mathworks, Natick, USA). The PCA procedure used in this paper is the algorithm contained in Matlab. The source code of the GA algorithm and KNN algorithm was developed based on the demo code presented in the published book<sup>38</sup>.

239

240 3 results and discussion

241 3.1 investigation of optical features produced by agar plate

An agar plate containing microbial colonies, sausage/sausage/ millet fragments and agar medium were employed to acquire color image and hyperspectral image, and the spectral/image optical features of colonies and food fragments in color or hyperspectral images were investigated, as shown in Fig. 4.

Computer vision method based on two-dimension images at R/G/B wavelengths 246 has been widely used for colony count, so the color image of the agar plate was 247 collected, as shown in Fig. 4(a). The gray images of the color image at R/G/B 248 wavelengths were shown in Fig. 4(b-c). In Fig. 4(b-c), there is high contrast in gray 249 levels between colonies and agar medium regions and slightly lower contrast between 250 colonies centers and borders. This is agreement with the published papers reporting 251 that colonies can be segment from agar medium with proper threshold gray value 252 253 while it is difficult to separate single colony from colony clusters using computer 254 vision. In Fig. 4(b-c), it can be also find that gray levels in colony regions are very close to that of food fragment regions, and sometimes the colony region and food 255

fragment region get the same gray level as marked in the figures. This indicates that it
is very difficult to identify colonies from those fragments using conventional color
imaging analysis.

The mean spectral data of the colonies and non-colonies particles were extracted 259 from the hyperspectral images of agar plates, as shown in Fig. 4(e). As shown in Fig. 260 4 (e), the spectral curve of microbial colony is quite different from that of agar 261 medium in the wavelength range of 390-560 nm. The spectral curve of microbial 262 263 colony and agar medium have similar trends in wavelength range of 610-960 nm, while the spectral readings of microbial colony is different from that of agar medium. 264 In Fig 4(e), it can be also find that the spectral curve of colony is also different from 265 that of food fragments in/on agar medium. Changes in spectral readings and spectral 266 curves are caused by differences in type and quantity of chemical component between 267 colonies and non-colonies particles, as many published papers reported that spectral 268 information is sensitive to chemical components in biochemical samples. This results 269 indicate that changes in spectral reading between colonies and non-colonies particles 270 271 indicate that spectral features can be employed to segment colonies from its background. 272

The mean spectral data of colony centers and colony edges were extracted from 273 the hyperspectral images of agar plates, as shown in Fig. 4(f). As shown in Fig. 4 (d), 274 spectral curves of colony centers and colony borders have similar trends in 275 wavelength range of 430-960 nm, the spectral readings of colony centers are different 276 from that of colony edges, especially in the wavelength ranges of 530-570 nm, 730-277 770 nm and 830-880 nm. Changes in spectral readings are caused by differences in 278 279 quantity of colony cells between colony centers and colony edges. In fact, differences in quantity of colony cells also cause symmetric gradation of gray levels from the 280 colony border to its center, which is the fundament to separate single colony from 281 colony clusters using human eyes or computer vision systems. Changes in spectral 282 reading between colony centers and colony edges indicate that spectral features can be 283 employed to separate single colony from colony clusters. 284





Figure 4 spectral/image features of agar plate. (a) Color image of an agar plate; (b) R
gray image of the color image; (c) G gray image of the color image; (d) B gray image
of the color image; (e) the mean spectra data of the colonies and non-colonies
particles; (f) the mean spectra data of the colony center and colony edge.

292

293 3.2 building cluster segmenting calibration model

294 90 spectrums belonging to colonies, 90 spectrums belonging to food fragments,

- and 60 spectrums belonging to the agar medium were extracted from the
- 296 hyperspectral images. Spectrums of colonies were in the foreground category,

spectrums of food fragments and agar medium were in the background category. The
spectra data of the foreground/background samples and their categories were
employed to build colony areas segment model, as described in section 2.4.1.

GA, PCA and KNN algorithms were employed to build colony areas 300 segmentation models. In this study, the parameters used in GA algorithm were set as 301 302 following: number of max generations (Maxgen) was equal to 60, crossover probability (Pc) was equal to 0.50, mutation probability (Pm) was equal to 0.05, 303 304 population size (*Popsize*) was equal to 60, the length of a chromosome (*Chrolen*) was equal to 40, the average probability of variables selected in chromosomes of the 305 initialized population (Pinit) was equal to 10%. The fitness values (Fvalue) was the 306 identification rates (Ir) of KNN model. Foreground samples were defined as 307 "positives", and background samples were defined as "negatives". Details of optimal 308 calibration models with spectra data and chemometrics methods were described in 309 section 2.3. 310

The capability of optimal calibration modes for segmentation of an unknown 311 312 sample was tested by an independent testing set. So 60 spectrums belonging to colonies, 60 spectrums belonging to food fragments, and 60 spectrums belonging to 313 the agar medium were extracted from the hyperspectral images and used to construct 314 the testing data set. With different spectral treatment, the results of the calibration 315 model were summarized in Table 1. The identification rates of the calibration data set, 316 prediction data set and testing data based on raw spectra were 95.63%, 92.50% and 317 91.67%, respectively. The identification rates of the calibration data set, prediction 318 data set and testing data based on SNV pretreatment were 98.75%, 96.25% and 319 320 95.56%, respectively. Compared with the raw spectral without any pretreatment, SNV pretreatment can enhance the performance of calibration model, this indicating that 321 the scattering effects and baseline shifts contribute a part of the unwanted variations 322 323 in the raw spectra.

Compared with the results based on SNV pretreatment, the calibration model based on GA wavelength selection got better results. The identification rates of the testing data set based on GA wavelength selection were 99.44%, which means the calibration model based on GA wavelength gets high capability for segmentation of
an unknown sample. The identification results based on GA wavelength selection also
indicated that the spectral features corresponding to foreground/background samples
were characterized successfully by the optimized identification model.

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- 332

Table 1 results of the cluster segmenting calibration models

Spectra	Calibration results			Validation results			Testing results						
treatment	Ir	Se	Sp	Ir	Se	Sp	Ir	ТР	FN	TN	FP	Se	Sp
Raw spectra	95.63	96.67	95.00	92.50	93.33	92.00	91.67	55	5	110	10	91.67	91.67
SNV pretreatment	98.75	100	98.00	96.25	96.67	96.00	95.56	57	3	115	5	95.00	95.83
GA wavelength selection	100	100	100	100	100	100	99.44	60	0	119	1	100	99.17

333

334 3.3 building colony separating calibration model

90 spectrums belonging to colony center regions, and 90 spectrums belonging to 335 colony border regions were extracted from the hyperspectral images. Spectrums of 336 colony center regions were in the foreground category, spectrums of colony border 337 338 regions were in the background category. The spectra data of the foreground/background samples and their categories were employed to build colony 339 areas segment model, as described in section 2.4.2. Similar to the date processing in 340 section 3.2, the GA, PCA and KNN algorithms were also employed to optimize 341 342 colony center identification model. The same GA parameters (Maxgen, Pc, Pm, Popsize, Chrolen, Pinit, Ir, Fvalue) in Section 3.2 were used in this section. 343 Foreground samples were defined as "positives", and background samples were 344 defined as "negatives". Details of optimal calibration models with spectra data and 345 chemometrics methods were described in section 2.3. 346 The capability of optimal calibration model for segmentation of an unknown 347 sample was also tested by an independent testing set. So 30 spectrums belonging to 348

colony center regions, and 30 spectrums belonging to colony border regions were

extracted from the hyperspectral images and used to construct the testing data set.

352	With different spectral treatment, the results of the calibration model were
353	summarized in Table 2. The identification rates of the calibration data set, prediction
354	data set and testing data based on raw spectra were 92.50%, 90.00% and 88.33%,
355	respectively. The identification rates of the calibration data set, prediction data set and
356	testing data based on SNV pretreatment were 95.00%, 93.33% and 91.67%,
357	respectively. Compared with the results based on raw spectral, the performance of
358	calibration model has been enhanced after SNV pretreatment. The identification rates
359	of the calibration data set, prediction data set and testing data based on GA
360	wavelength selection were 98.33%, 95.00% and 93.33%, respectively. It could be
361	found that the best identification results obtained after GA wavelength selection,
362	which indicated the spectral features corresponding to colony center/border
363	characterized successfully by the optimized identification model.
364	

365

Table 2 results of the colony separating calibration models

Spectra	Calibration results			Validation results			Testing results						
treatment	Ir	Se	Sp	Ir	Se	Sp	Ir	ТР	FN	TN	FP	Se	Sp
Raw spectra	92.50	96.67	88.33	90.00	90.00	90.00	88.33	27	3	26	4	90.00	86.67
SNV pretreatment	95.00	96.67	93.33	93.33	93.33	93.33	91.67	28	2	27	3	93.33	90.00
GA wavelength selection	98.33	100	96.67	95.00	96.67	93.33	93.33	29	1	27	3	96.67	90.00

366

367

368 3.4 count of bacterial colonies

Agar plates with 76 colonies (as shown in Fig. 5 (a)), agar medium, and 13 food

370 fragments in/on agar medium were employed to collect hyperspectral images using

the method described in section 2.2. The spectral data of each pixel in the

372 hyperspectral image were extracted and employed for colony count, which mainly

include segmentation of colony areas from background, separating single colony from

clusters, and colony count, as described in section 2.4.3.

375

377 3.4.1 Segmentation of colony areas from the background

After hyperspectral image collection, the hyperspectral image date of the agar 378 plate was obtained as shown in Fig. 5(c). The spectral data of each pixel in the 379 hyperspectral image were employed to segment colony areas from the background. 380 The same spectral features used in the segmenting model were extracted and 381 382 substituted into the optimal calibration model to predict the category that every pixel belongs to. The gray level of a pixel was set to "1" if the pixel was divided into 383 foreground category (the pixel belongs to colony regions) by the optimal segment 384 model, and the gray level of a pixel was set to "0" if the pixel was divided into 385 background category (the pixel belongs to food fragments or agar medium regions). 386 The binary image of the agar plate after colony segment using the optimal segment 387 model was shown in Fig. 5 (e). The two dimension gray image of the agar plate was 388 collected as shown in Fig. 5(b), and the binary image of the agar plate after colony 389 segment using conventional computer vision with threshold values (150, 200) was 390 also presented in Fig. 5 (d). 391

392 In Fig. 5(d), it could be found that 4 food fragments (marked with red square) appear in the foreground region after conventional computer vision processing, which 393 means the four food fragments were wrongly segmented as colonies. This results are 394 caused by that the grayscales of the food fragments are quite close to that of colonies 395 at R, G, B bands, and it is very difficult to set a threshold value to differentiate 396 colonies from food fragments. In Fig. 5(e), it could be found that only colonies appear 397 in the foreground region after colony segment using hyperspectral features, and all the 398 food fragments were identified as background successfully. This results indicated that 399 hyperspectral features are able to differentiate colonies from food fragments even 400 401 though the color of food fragments are similar to that of colonies.





403 Figure 5 colony count using hyperspectral imaging technology and conventional

404 computer vision. (a) An agar plate with colonies and food fragments. (b) Gray image
405 data of the agar plate. (c) Hyperspectral image data of the agar plate. (d) The binary
406 image of the agar plate after colony segment using conventional computer vision. (e)
407 The binary image of the agar plate after colony segment using the optimal segment
408 model. (f) The binary image of the touching colonies after colony separation using
409 conventional computer vision. (g) The binary image of the touching colonies after
410 colony separation using colony separating model.

411

412 3.4.2 Identification of colonies contacting or overlapping with each other

413 After colony area segmentation, the spectral data of each pixel in the colony areas were employed to identify colony centers. The same spectral features used in the 414 colony separation model were extracted and substituted into the optimal calibration 415 model to predict the category that every pixel belongs to. The gray level of a pixel 416 417 was set to "1" if the pixel was divided into foreground category (the pixel belongs to colony center) by the optimal segment model, and the gray level of a pixel was set to 418 "0" if the pixel was divided into background category (the pixel belongs to colony 419 420 border). The binary image of the agar plate after colony segment using the optimal segment model was shown in Fig. 5 (g). The binary image of the agar plate after 421 colony segment using conventional computer vision with the watershed algorithm was 422 also presented in Fig. 5 (f). 423

There are 9 colony clusters containing two or three colonies, as shown in Fig. 424 425 5(a). In Fig. 5(f), it could be found that colonies in 5 clusters were separated successfully, and 4 clusters (marked with red circle) were wrongly identified as single 426 427 colonies. This results are caused by that the grayscales of the food fragments are quite close to that of colonies at R, G, B bands, and it is very difficult to set a threshold 428 value to differentiate colonies from food fragments. In Fig. 5(f), it could be found that 429 colonies in 8 clusters were separated successfully, and only one clusters (marked with 430 red circle) was wrongly identified as single colonies. This results indicated that 431 hyperspectral features are more powerful than conventional computer vision in 432

433 separation colonies in colony clusters.

434

### 435 3.4.3 Colony count

After segmentation of colony areas from the background and identification of 436 colonies contacting or overlapping with each other, colonies on the agar plated can be 437 counted. The spot image of the recognized colonies processed using conventional 438 computer vision was shown in Fig. 5(f), the spot image of the recognized colonies 439 440 processed using the proposed method based on hyperspectral features was shown in Fig. 5(g). The agar plate (Fig. 5 (a)) were used to determine the reference value of 441 colony number by human vision with a magnifying glass and the reference value of 442 colony number is 76. In Fig. 5(f), the computer vision count error is caused by that 4 443 food fragments were segmented as colonies in Fig. 5(d) and 4 multi-colony clusters 444 were identified as single colonies in Fig. 5(f). In Fig. 5(g), colony number obtained 445 using conventional computer vision is 75, the count error is caused by that 1 multi-446 colony cluster with two colonies was identified as single colonies in Fig. 5(g). 447 448 Compared with the conventional computer vision method, the proposed method based on hyperspectral features is more powerful to count colonies on agar plates. 449 The capability of the proposed method for different colony numbers was tested. 450 20 agar plates with various colony concentrations and food fragments were 451 determined using hyperspectral imaging technology, computer vision method and 452 human vision at the same time. The correlation between hyperspectral imaging 453

454 method and human vision method are shown in Fig. 6. As shown in Fig. 6, an

455 excellent value of correlation efficiency was obtained in the hyperspectral imaging

456 method. The hyperspectral imaging method was demonstrated as a reliable method

that can count microbial colonies to the same level as the human vision count method.



## 458 459

Figure 6 the correlation of colony count results determined by hyperspectral imaging method and human vision method

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460

# 462 3.5 discussion

Errors caused by colony count will be amplified hundred or thousand times in 463 464 converting colony numbers to the total number of microbial cells in food products, as the extraction of food samples need to be diluted by hundred or thousand times in 465 466 colony cultivation. The automated colony count method mainly focuses on how to provide reliable results in colony detection. There are some cases in which error occurs 467 during automated colony counting. In the presence of noises caused by various food 468 fragments, the noises maybe identified as normal colony, and the numbers of colonies 469 determined by automated colony count method is higher than the truth value. The 470 471 microbiological safety risk on food products will be overestimated in this case. In the presence of a cluster comprised multiple colonies, the cluster maybe identified as a 472 473 single colony, and the numbers of colonies determined by automated colony count method is lower than the truth value. The microbiological safety risk on food products 474 will be underestimated in this case. So distinguishing the colonies from the noise and 475 separation colonies from the cluster are the key steps to ensure the precision of colony 476 counting. 477

478

Usually, conventional computer vision collects color images of an agar plate, then

colonies were segmented and counted from the background according to colors/shapes 479 features. Conventional computer vision is effective to agar plates with high gray 480 481 contrast between colony and its background, or without food fragments producing noises in color images. The proposed method extract spectral features of colony and its 482 background to segment and count colonies, so it presented good performance in 483 identifying food fragments with similar color/shapes to colonies, or separating single 484 colony from clusters. As researches in school and technicians in government institution 485 486 of Disease Control and Prevention reported that food fragments appear at/in agar medium frequently. Compared with conventional computer vision, the proposed 487 method could produce more reliable results in practice for food quality assessment. 488

Complex chemometrics methods are necessary in building calibration models, 489 which makes the data processing complex, while the data processing in colony count 490 using the established calibration models is quite simple in the proposed method. Usually, 491 wavelength selection chemometrics methods are employed to select the most 492 informative wavelengths correlating with the samples and pattern recognition 493 494 chemometrics methods are employed to establish the correlation ships between selected spectra data (or spectral features) and sample qualities. These data processing in 495 building calibration models can't be skipped due to the complex interaction between 496 detecting lights and food samples. However, the use of the established calibration 497 models for predicting sample qualities is very simple. As the step 3 in Fig. 3, the main 498 data processing for colony count includes extract spectral features from the raw data 499 500 and substitute the spectral features to the established calibration models. The data 501 processing can be completed using an ordinary computer without any chemometrics 502 procedures.

503

504 4 conclusion

A new noise free method was proposed to count microbial colonies for food quality assessment using hyperspectral imaging technology. Agar plates with microbial colonies and various food fragments with similar colors/shapes to colonies were employed to collect hyperspectral image data. The spectral features of

colonies/food fragments, colony centers/borders were extracted from the 509 hyperspectral images and employed to build calibration models for segmenting 510 colonies from the background, separating single colony from clusters. With the aid of 511 the calibration models, each pixel of an agar plate hyperspectral image can be 512 identified according to their spectral features. Results shown that the proposed method 513 got good correlation ( $R^2 = 0.9998$ ) with human vision method widely employed as 514 national standards. Compared with conventional computer vision method, the 515 proposed method is effective to identify the noised caused by food fragments with 516 similar colors/shapes to colonies. It could be concluded that the proposed method can 517 detect microbial colonies to the same level as the standard method and is therefore of 518 practical importance. 519

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