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Micro-mechanical homogenisation of three-leaf masonry walls under compression

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5 Abstract

Three-leaf masonry panels are typically composed of external leaves of irregularly bonded units and a
rouble infill. The complexity of the response of these structures to mechanical loading arises from: a) the
interaction of the leaves and b) the irregularity of the bond pattern of the outer leaves. This complexity
makes analytical and computational modelling of these structures difficult and costly, respectively.

10 This paper proposes a computational approach for the calculation of the mechanical properties of the 11 three-leaf masonry from the properties of its constituent materials and its geometry. Using micro-12 mechanical analysis approaches applied in composite materials and accounting for the interaction of the 13 leaves through a simple analytical approach, the homogenised elastic stiffness and strength of a 14 representative volume element of three-leaf masonry can be calculated with very low computational cost.

The analysis method is validated against experimental results from the literature. It is found that the proposed model provides accurate results for a relatively wide range of case studies. These results are expanded upon through a sensitivity study, highlighting the most important material and geometric parameters influencing the predicted compressive strength of three-leaf masonry walls.

19 Keywords

20

masonry – micro-mechanics – damage mechanics – homogenisation – multi-scale modelling

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21 1 Introduction

22 Three-leaf masonry construction is a very common structural typology, strongly linked with both architectural heritage and vernacular construction. It is typically composed of two external leaves made of 23 24 regularly or, more frequently, irregularly bonded stone or brick masonry and an inner leaf made of low cohesion, low strength materials, including loose mortar, soil and stone or brick fragments. Three-leaf 25 26 masonry is a highly heterogeneous structure, composed of macroscopically distinguishable material 27 phases and leaves with widely different mechanical properties [1]. Failure in these structures under 28 mechanical loading may occur due to separation of poorly interlocked leaves, out-of-plane collapse or 29 failure of the materials in tension, shear or compression. Load transfer between the material phases and 30 between the distinct leaves, which governs the developed stresses within each constituent material, 31 depends on numerous mechanical and geometric properties characterising the three-leaf structure and the 32 connectivity of the leaves [2].

Experimental campaigns characterising the mechanical properties of three-leaf masonry, accompanied by comprehensive characterisation of the individual materials, are relatively few [2–6]. Despite the limited number of such studies, the results are enlightening in terms of describing the failure mode and the salient characteristics of the response. These studies typically include the determination of the Young's modulus and compressive strength, the measurement of the effects of grouting or other strengthening measures on capacity and the investigation of the interaction between leaves [1].

A variety of models for three-leaf masonry has been proposed in the literature. Analytical expressions for predicting the compressive strength [6–9] have been calibrated, relying partly on the mechanical properties of the materials and partly on empirical or semi-empirical observations or qualitative assessments of leaf interlocking. Further, computational modelling using finite elements has been attempted [2,10], this approach being very case-specific and characterised by high computational cost and modelling effort. Finally, method-of-cells approaches have been successfully applied for the analysis of both single-leaf [11] and multi-leaf masonry structures [12].

A very promising approach for the analysis of the external leaves of three-leaf masonry is treating the 46 outer leaf as a composite material consisting of a mortar matrix with stone or brick unit inclusions. This 47 approach is suitable for providing averaged values of stresses in the components of a composite material 48 49 and for calculating the homogenised properties of the material with low computational cost. In the initially 50 studied case of a composite material consisting of particle inclusions in a matrix, it is possible to relate the 51 stresses within the inclusion to a given applied stress in an infinite matrix [13]. This model was later 52 extended to account for composite materials with tightly packed inclusions and the interaction between 53 them and with the surrounding matrix [14,15], a condition more closely resembling that encountered in 54 the external leaves of masonry structures. While the shape of the inclusions is often considered to be 55 ellipsoidal, and despite solutions of the problem existing for near-rectangular inclusions [16], this modelling approach has been applied in linear elastic modelling masonry structures with ellipsoidal 56 57 inclusions approximating cuboid brick units with good accuracy [17]. Finally, nonlinear analyses of 58 cements and mortar using this micro-mechanical approach have been successful in capturing the behaviour 59 of brittle materials [18–21], providing a basis for application on masonry structures.

In this paper a model for the calculation of the elastic properties of three-leaf masonry structures is proposed. This goal is accomplished through a combined use of micro-mechanical modelling and analytical expressions for the homogenisation of the external leaf and the simulation of leaf interaction, respectively. This approach allows for the calculation of mechanical properties, primarily of the compressive strength, as a function of the geometric disposition and mechanical properties of the primary components comprising the three-leaf structure: units, mortar and fill material. Simultaneously, the coupled use of micro-mechanics and analytical expressions keeps the computational cost of non-linear analysis low.

The accuracy of the model is validated against experimental data involving compression tests on threeleaf masonry members. Only case studies with sufficient material characterisation are considered in this validation in order to minimise the number of assumptions concerning unknown material properties. Finally, a sensitivity study is performed for identifying the geometric and material parameters that have the strongest effect on the compressive strength of the masonry. This investigation can serve as a guide for

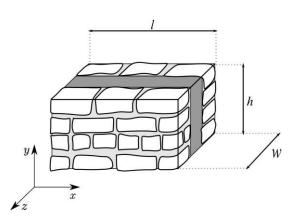
72 future characterisation efforts in the study of three-leaf masonry structures through the identification of

73 the most crucial material parameters.

74 **2 Two-scale micro-mechanical model**

75 **2.1 Overview**

The proposed model deals with the simulation of three-leaf masonry structures under mechanical loading. At the macro-scale, the structure consists of two outer leaves and an enclosed inner leaf. The outer leaves are composed of masonry units and mortar joints, while the inner leaf is composed of stone or brick fragments embedded in a highly porous fill material; the latter often being the same material as the mortar in the joints but less compacted. In the present study, it is considered that the inner and outer leaves are connected through a plane interface, thus not explicitly considering the potential presence of keyed collar joints. A visual representation of a representative three-leaf masonry structure is given in Figure 1.



83

Figure 1 General layout of three-leaf masonry structure featuring units of different sizes.

The modelling strategy consists in the serial two-scale analysis of three-leaf masonry walls. At the micro-scale, the interaction of the components within the leaves is modelled for deriving the stresses and strains at each material phase. At the macro-scale the interaction of the outer and inner leaves is modelled. The two scales are solved separately and coupled for deriving the homogenised stresses and strains of the masonry [22].

90 2.2 Homogenisation of masonry leaves

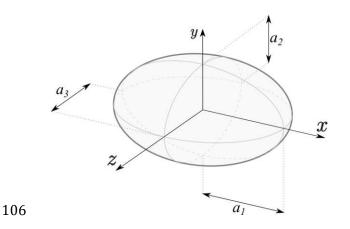
91 The outer leaves of a three-leaf masonry are treated as a composite material consisting of stone or brick 92 unit inclusions in a mortar matrix. In this context, an inclusion is defined as a region embedded in an 93 infinite, homogeneous and isotropic matrix. When the matrix undergoes a change in size and shape, the 94 inclusion in turn undergoes a deformation which is different from that of the matrix, assuming the two 95 phases possess different material properties. Defining the eigenstrain ε^* as the strain state within the 96 inclusion upon removal of the constraint provided to it by the matrix, the strain within the inclusion is 97 equal to:

$$\varepsilon_{ij} = S_{ijkl} \varepsilon^*{}_{kl} \tag{1}$$

where S_{ijkl} are the components of Eshelby's tensor **S**. These components are a function of the shape of the inclusion, with ellipsoidal inclusions having received the most attention in the literature. The outer surface of an ellipsoid is defined by the equation:

$$\frac{x^2}{a_1^2} + \frac{y^2}{a_2^2} + \frac{z^2}{a_3^2} = 1$$
(2)

101 where a_1 , a_2 and a_3 are the half-length, half-height and half-width of the ellipsoid. These ellipsoids are 102 used for approximating the shape of units in the outer leaves, be they of cuboid or more rounded shape. 103 This approximation of cuboids with ellipsoids does not appear to lead to any marked difference between 104 computed elastic properties of the masonry and experimentally derived values [17,23]. An ellipsoidal 105 inclusion is illustrated in Figure 2.



107 **Figure 2** Illustration of an ellipsoidal inclusion.

For ellipsoidal inclusions, with their axes as shown in Figure 2 aligned with the axes of the masonry as shown in Figure 1, the components of Eshelby's tensor *S* are calculated as [24]:

$$S_{1111} = \frac{3}{8\pi(1-\nu)} a_1^2 I_{11} + \frac{1-2\nu}{8\pi(1-\nu)} I_1$$

$$S_{1122} = \frac{1}{8\pi(1-\nu)} a_2^2 I_{12} + \frac{1-2\nu}{8\pi(1-\nu)} I_1$$

$$S_{1133} = \frac{1}{8\pi(1-\nu)} a_3^2 I_{13} + \frac{1-2\nu}{8\pi(1-\nu)} I_1$$

$$S_{1212} = \frac{a_1^2 + a_2^2}{16\pi(1-\nu)} I_{12} + \frac{1-2\nu}{16\pi(1-\nu)} (I_1 + I_2)$$
(3)

110 following the symmetries:

$$S_{ijkl} = S_{ijlk} = S_{ijlk} \tag{4}$$

and ν being the Poisson's ratio of the matrix. The parameters I_i and I_{ij} are calculated by the elliptical integrals [25]:

$$I_1 = 2\pi a_1 a_2 a_3 \int_0^\infty \frac{ds}{(a_1^2 + s)\Delta(s)}$$
(5)

$$I_{11} = 2\pi a_1 a_2 a_3 \int_0^\infty \frac{ds}{(a_1^2 + s)^2 \Delta(s)}$$
$$I_{12} = 2\pi a_1 a_2 a_3 \int_0^\infty \frac{ds}{(a_1^2 + s)(a_2^2 + s)\Delta(s)}$$

113 where:

$$\Delta(s) = \sqrt{(a_1^2 + s)(a_2^2 + s)(a_3^2 + s)}$$
(6)

114 The remaining parameters I_i and I_{ij} are calculated by cyclic permutation through subscripts 1,2,3.

Instead of a single inclusion, we can consider a composite material *C* comprised of *n* inclusion groups *i* within a matrix *m*. Each group represents inclusions with the same dimensions and material properties. The dilute estimate T_i of the *i*-th inclusion group is equal to:

$$T_{i} = [I + S_{i}C_{m}^{-1}(C_{i} - C_{m})]^{-1}$$
(7)

where I is the identity tensor and C_m and C_i are the stiffness tensors of the matrix and the inclusion respectively. The matrix strain concentration factor A_c in the composite is equal to:

$$\boldsymbol{A}_{C} = \left(\omega_{m}\boldsymbol{I} + \sum_{i=1}^{n} \omega_{i}\boldsymbol{T}_{i}\right)^{-1}$$
(8)

where ω_i is the volume ratio of the *i*-th group of inclusions and ω_m the volume ratio of the matrix, both with respect to the total volume of the composite. The strain concentration tensor A_i of the *i*-th inclusion group in the composite material is equal to:

$$\boldsymbol{A}_i = \boldsymbol{T}_i \boldsymbol{A}_C \tag{9}$$

123 Finally, the effective stiffness tensor C_c of the composite material is [26]:

$$\boldsymbol{C}_{C} = \boldsymbol{C}_{m} + \sum_{i=1}^{n} \omega_{i} (\boldsymbol{C}_{i} - \boldsymbol{C}_{m}) \boldsymbol{A}_{i}$$
(10)

124 The strain in the matrix $\boldsymbol{\varepsilon}_m$ is equal to [14]:

$$\boldsymbol{\varepsilon}_m = \boldsymbol{A}_C \boldsymbol{\varepsilon}_C \tag{11}$$

125 where $\boldsymbol{\varepsilon}_{c}$ is the macroscopic strain in the composite, while the stress $\boldsymbol{\sigma}_{m}$ in the matrix is equal to:

$$\boldsymbol{\sigma}_m = \boldsymbol{\mathcal{C}}_m \boldsymbol{\varepsilon}_m \tag{12}$$

126 The strain ε_i in the *i*-th group of inclusions is equal to [15]:

$$\boldsymbol{\varepsilon}_i = \boldsymbol{A}_i \boldsymbol{\varepsilon}_c \tag{13}$$

127 and the stress σ_i is equal to:

$$\boldsymbol{\sigma}_i = \boldsymbol{C}_i \boldsymbol{A}_i (\boldsymbol{C}_c)^{-1} \boldsymbol{\sigma}_c \tag{14}$$

128 where σ_c is the macroscopic stress in the composite.

129 Different unit inclusion groups in masonry are often clearly defined by the orientation of the units 130 within the leaf. It is thus possible to distinguish between header, transversal or half-length header units 131 depending on the bond type. Each of these types of units constitute an inclusion group. Brick masonry is 132 often characterized by regularly sized units in the outer leaves, allowing the easy determination of the dimensions a_1 , a_2 and a_3 for each inclusion group. This is not often the case in stone masonry, where the 133 134 sizes of the units within the same inclusion group can vary noticeably. Due to this size irregularity, the 135 dimensions a_1 , a_2 and a_3 for each unit inclusion group are calculated as the volume-weighted average for 136 each dimension in a given area in the masonry. Each average inclusion dimension $\langle a_i \rangle$ in direction *i* is equal 137 to:

$$\langle a_i \rangle = \frac{\sum a_i \cdot V}{\sum V} \tag{15}$$

with *V* being the volume of each individual unit in the studied area of masonry. This approach ensures that the average dimension is controlled by the dimension of the units occupying the greatest volume in the leaf. For masonries with highly irregular texture, the calculation of the average dimensions can be performed for different sections of the masonry, resulting in the calculation of different mechanical properties in each section.

143 This homogenization process can be applied in a straightforward manner for the outer leaves: the 144 mortar acts as the matrix and the units as the inclusions. The geometry and volume ratio of the units can 145 be determined relatively easily through visual or photogrammetric inspection. The same process can in 146 principle be adopted for the inner leaf as well, considering the fill material as the matrix and the various 147 fragments as the inclusions. Additionally, pores can be included in the inner leaf in the form of zero-stiffness 148 inclusions. However, using this approach for the inner leaf is deemed impractical due to the typical absence 149 of accurate geometric data for the components of the inner leaf, the difficulty in acquiring such data from inspection, as opposed to the case of the outer leaves, and from the fact that the inner leaf is often 150 151 characterised mechanically as a whole in experimental campaigns. Therefore, the inner leaf is here treated 152 for the most part as a homogeneous material.

153 2.3 Masonry leaf interaction

The interaction of the masonry leaves is accounted for through a method-of-cells approach for the analysis of a representative volume element (RVE) of masonry [12]. In this context, the interaction is modelled through simple analytical expressions of stress equilibrium and strain compatibility between the inner leaves *I* and outer leaves *O* for calculating the elastic properties of the masonry *M*. Focusing on normal applied stresses, and having considered a plane interface between the leaves, shear stresses and strains are disregarded as these, due to the boundary conditions, do not develop in the RVE when it is subjected to normal stresses/strains only.

Strain compatibility between the leaves dictates that both leaves deform equally in the longitudinal (*x*)
and vertical (*y*) direction leading to the strain equalities:

$$\varepsilon_0^{(xx)} = \varepsilon_I^{(xx)} = \varepsilon_M^{(xx)}$$

$$\varepsilon_0^{(yy)} = \varepsilon_I^{(yy)} = \varepsilon_M^{(yy)}$$
(16)

163 In the transversal (*z*) direction, the strain of the masonry is defined as:

$$\varepsilon_M^{(zz)} = \omega_0 \varepsilon_0^{(zz)} + \omega_I \varepsilon_I^{(zz)}$$
(17)

164 where ω_0 and ω_I are, respectively, the volume ratios of the outer and inner leaves with respect to the total 165 volume of the masonry.

166 Stress equilibrium in the horizontal and vertical directions is expressed as:

$$\sigma_M^{(xx)} = \omega_0 \sigma_0^{(xx)} + \omega_I \sigma_I^{(xx)}$$

$$\sigma_M^{(yy)} = \omega_0 \sigma_0^{(yy)} + \omega_I \sigma_I^{(yy)}$$
(18)

167 while stress equality between leaves in the transversal direction is expressed as:

$$\sigma_M^{(zz)} = \sigma_0^{(zz)} = \sigma_l^{(zz)} \tag{19}$$

The above conditions amount to an iso-strain assumption in the vertical and horizontal direction and an iso-stress assumption in the transversal direction [27]. These conditions, along with Hooke's law for the inner and outer leaves, can be expressed in a more convenient tensor form as follows:

$$\begin{bmatrix} 0\\ \vdots\\ 0\\ \sigma_{M}\\ \varepsilon_{M} \end{bmatrix} = \begin{bmatrix} (-C_{I})^{-1} & I & 0 & 0\\ 0 & 0 & (-C_{O})^{-1} & I\\ K_{1} & 0 & K_{3} & 0\\ 0 & K_{2} & 0 & K_{4} \end{bmatrix} \cdot \begin{bmatrix} \sigma_{I}\\ \varepsilon_{I}\\ \sigma_{O}\\ \varepsilon_{O} \end{bmatrix}$$

$$K_{1} = \begin{bmatrix} \omega_{I} & 0 & 0\\ 0 & \omega_{I} & 0\\ 0 & 0 & 1 \end{bmatrix}, K_{2} = \begin{bmatrix} 1 & 0 & 0\\ 0 & 1 & 0\\ 0 & 0 & \omega_{I} \end{bmatrix}, K_{3} = \begin{bmatrix} \omega_{O} & 0 & 0\\ 0 & \omega_{O} & 0\\ 0 & 0 & 0 \end{bmatrix}, K_{4} = \begin{bmatrix} 0 & 0 & 0\\ 0 & 0 & 0\\ 0 & 0 & \omega_{O} \end{bmatrix}$$
(20)

where **0** is a 3×3 zero tensor, *I* is a 3×3 identity tensor and *C*_{*I*} and *C*_{*O*} are the stiffness tensors for the inner and outer leaves respectively. In the most general case of orthotropic inner and outer leaves, it is possible to derive closed-form expressions for all components of the orthotropic stiffness tensor C_M of the masonry (defined as $\sigma_M = C_M \varepsilon_M$) from eq. (20) as a function of the components of the C_I and C_O tensors. The expressions involving components in the out-of-plane (z) direction are tediously long, but the remainder are relatively simple and more relevant for structural analysis. The horizontal Young's modulus $E^{(x)}$ of the masonry reads:

$$E^{(x)} = \frac{\omega_{l}E_{l}^{(x)}[\omega_{l}E_{l}^{(y)}(\nu_{o}^{(xy)}\nu_{o}^{(yx)}-1) + \omega_{o}E_{o}^{(y)}(\nu_{l}^{(yx)}\nu_{o}^{(xy)}-1)] + \omega_{o}E_{o}^{(x)}[\omega_{l}E_{l}^{(y)}(\nu_{l}^{(xy)}\nu_{o}^{(yx)}-1) + \omega_{o}E_{o}^{(y)}(\nu_{l}^{(xy)}\nu_{o}^{(yx)}-1)]}{\omega_{l}E_{l}^{(y)}(\nu_{o}^{(xy)}\nu_{o}^{(yx)}-1) + \omega_{o}E_{o}^{(y)}(\nu_{l}^{(xy)}\nu_{o}^{(yx)}-1)]}$$
(21)

while the vertical Young's modulus $E^{(y)}$ can be obtained from eq. (21) by substitution between the *x* and *y* superscripts. The Poisson's ratio $v^{(xy)}$ is:

$$v^{(xy)} = \frac{\omega_{I} E_{I}^{(x)} v_{I}^{(yx)} \left(v_{O}^{(xy)} v_{O}^{(yx)} - 1 \right) + \omega_{O} E_{O}^{(x)} v_{O}^{(yx)} \left(v_{I}^{(xy)} v_{I}^{(yx)} - 1 \right)}{\omega_{I} E_{I}^{(x)} \left(v_{O}^{(xy)} v_{O}^{(yx)} - 1 \right) + \omega_{O} E_{O}^{(x)} \left(v_{I}^{(xy)} v_{I}^{(yx)} - 1 \right)}$$
(22)

180 while $v^{(yx)}$ can be obtained from eq. (22) by substitution between the *x* and *y* superscripts.

This model for the interaction of the leaves in the macro-scale can be used for the determination of the 181 properties of a three-leaf masonry RVE. While it accounts for the in-plane interaction between the leaves 182 183 through the iso-strain assumption, the iso-stress assumption in the transversal (z) direction cannot account for the out-of-plane effects caused by the bulging of the inner leaf under compression and the 184 subsequent pushing-out of the outer leaf [2]. These out-of-plane effects arise as a consequence of structural 185 186 element geometry and boundary conditions and thus cannot be captured through an RVE analysis. 187 Conceptually, these effects can be modelled by treating the three-leaf structure as a bonded iso-strain 188 composite under compression, infinitely thick in the longitudinal (x) direction [28], but this addition to the 189 proposed model is not pursued in this paper. Finally, the model does not account for in-height differences 190 of vertical deformation between the leaves, which is again an aspect arising at structural element level.

191 **3 Calculation process**

For the calculation of the compressive strength of the masonry, it is necessary to model the nonlinearityof the constituent materials of the composite. For compressive loading it is required to model the nonlinear

response of the components in compression and tension. It has been previously determined numerically that interface nonlinearity between units and mortar has a negligible effect on the compressive strength of masonry [29]. Therefore, interfaces between inclusions and matrices are not considered in the present investigation.

A damage mechanics approach is adopted in this work, where the stiffness is reduced through multiplication with integrity variables in tension and compression. An exponential softening curve is adopted for the response in tension. The integrity variable in tension I_t is a function of the maximum principal strain ε^+ and the maximum principal effective stress σ_e^+ . The principal strain ε^+ is calculated from the strain tensor of the inclusion or matrix being evaluated and the effective principal stress σ_e^+ is calculated from the stress tensor obtained by the product of the undamaged stiffness tensor and the strain tensor. The expression for the integrity variable reads [30]:

$$I_t(\varepsilon^+) = \begin{cases} 1 & 0 \le \varepsilon^+ \le \varepsilon_t \\ \frac{f_t}{\sigma_e^+} \exp\left(-\frac{f_t h}{G_t}(\varepsilon^+ - \varepsilon_t)\right) & \varepsilon_t \le \varepsilon^+ \end{cases}$$
(23)

where f_t is the tensile strength, G_t is the tensile fracture energy, h is the bandwidth and ε_t being the peak strain in tension, equal to:

$$\varepsilon_t = \frac{f_t}{E} \tag{24}$$

A parabolic curve is adopted for the materials in compression. The integrity variable in compression I_c is a function of the minimum principal strain ε^- and minimum principal effective stress σ_e^- , calculated similarly as in the case in tension, and is equal to [30]:

$$I_{c}(\varepsilon^{-}) = \begin{cases} 1 & \varepsilon_{l} \leq \varepsilon^{-} \leq 0 \\ -\frac{f_{c}}{\sigma_{e}^{-}} \frac{1}{3} \left(1 + 4 \frac{\varepsilon^{-} - \varepsilon_{l}}{\varepsilon_{c} - \varepsilon_{l}} - 2 \left(\frac{\varepsilon^{-} - \varepsilon_{l}}{\varepsilon_{c} - \varepsilon_{l}} \right)^{2} \right) & \varepsilon_{c} \leq \varepsilon^{-} \leq \varepsilon_{l} \\ -\frac{f_{c}}{\sigma_{e}^{-}} \left(1 - \left(\frac{\varepsilon^{-} - \varepsilon_{c}}{\varepsilon_{u} - \varepsilon_{c}} \right)^{2} \right) & \varepsilon_{u} \leq \varepsilon^{-} \leq \varepsilon_{c} \\ 0 & \varepsilon^{-} \leq \varepsilon_{u} \end{cases}$$
(25)

where f_c is the compressive strength, ε_l is the limit of proportionality, equal to:

$$\varepsilon_l = -\frac{1}{3} \frac{f_c}{E} \tag{26}$$

with *E* being the Young's modulus, ε_c is the peak strain in compression, equal to:

$$\varepsilon_c = -\frac{5}{3} \frac{f_c}{E} \tag{27}$$

212 and ε_u is the ultimate strain, equal to:

$$\varepsilon_u = \varepsilon_c - \frac{3}{2} \frac{G_c}{f_c h} \tag{28}$$

where G_c is the compressive fracture energy and h is the bandwidth. In eq. (23) & (25) the bandwidth depends on the dimension of the component in the direction being evaluated. For example, for units in horizontal tension the bandwidth is equal to the length of the unit, while for bed joints in compression the bandwidth is equal to the thickness of the bed joint.

The combination of vertical compression and horizontal or transversal tension is common in units in masonry under vertical compression. The effect of lateral tension on the vertical compressive strength of the material is taken into account through a reduction of the initial compressive strength of the inclusions as a function of the lateral stress according to the expression:

$$f_c^*(\sigma) = \left(1 - \frac{\max\left(\sigma^{(xx)}, \sigma^{(zz)}, 0\right)}{f_t}\right) f_c$$
(29)

which is a very close linear approximation of a Mohr-Coulomb failure criterion in the tension-compressionregion.

Multi-axial compression of the inner leaf can result in the increase in its compressive strength. This increase is modelled through use of the Hsieh-Ting-Chen failure criterion [31]. When expressed in terms of principal stresses, the criterion reads:

$$f = A \frac{J_2}{f_c^2} + B \frac{\sqrt{J_2}}{f_c} + C \frac{\sigma_1}{f_c} + D \frac{I_1}{f_c} - 1 = 0$$
(30)

where I_1 and J_2 are the first stress and second deviatoric stress invariants respectively and σ_1 is the maximum principal stress. The numerical parameters *A*, *B*, *C* and *D* are calibrated from uniaxial compression, uniaxial tension, biaxial compression and triaxial compression under equibiaxial stress. In the present study, the standard values originally reported by the authors of the criterion are used, although they can be calibrated experimentally for each studied case, albeit with some difficulty.

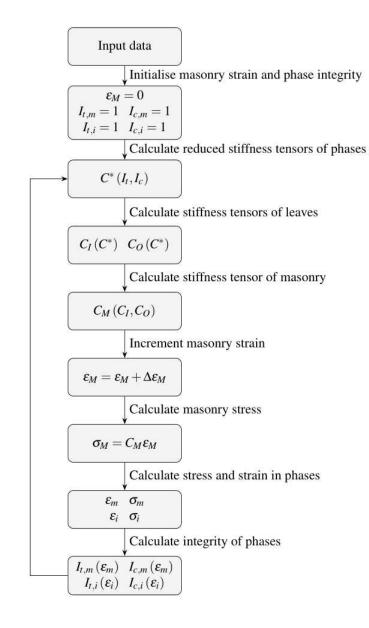
An isotropic damage approach is adopted for the reduction of the stiffness of the phases. The reduced stiffness tensor C^* of each matrix and inclusion is calculated as the product of the initial stiffness tensor Cand the integrity variables:

$$\boldsymbol{C}^* = I_t(\varepsilon) \ I_c(\varepsilon) \ \boldsymbol{C} \tag{31}$$

In summary, the calculation sequence for each loadstep of a strain-driven nonlinear analysis is comprised of the following steps:

- 2361. calculation of reduced stiffness tensors C^* of matrices and inclusions from eq. (31)2372. calculation of stiffness tensors C_I and C_O of inner and outer leaves from eq. (10)2383. increment of strain ε_M of masonry M2394. calculation of macroscopic stresses and strains in masonry M, inner leaf I and outer leaf O from240eq. (20)2415. calculation of microscopic stresses and strains in matrices and inclusions within I and O from242eq. (11) to (14)
- 243 6. calculation of integrity variables for next step from eq. (23) & (25)

The strain-driven analysis is continued until complete softening of the stress-strain curve of the composite is obtained. The calculated compressive strength of the composite is defined as the peak stress obtained. The calculation process is illustrated in the flowchart shown in Figure 3.



247

Figure 3 Flowchart of calculation process.

249 4 Model validation

The proposed model is validated against experimental data from the literature involving vertical compressive testing of three-leaf masonry. Only cases accompanied by extensive characterisation of the units, mortar and fill material were considered for analysis. Nevertheless, empirical assumptions were often necessary to fill in gaps in the mechanical characterisation, especially regarding the Young's moduli and Poisson's ratios of the constituent materials.

Geometric properties were derived from the case study description and from manual processing of the figures provided by the authors. When the relevant information is not available, empirical assumptions 257 were made for certain material parameters based on statistical analysis of the available experimental 258 inventory [29]. The Young's modulus E_{μ} of the units was taken as 300 times the compressive strength and that of the mortar E_m and fill E_f as 700 times their respective compressive strength. The Poisson's ratio of 259 260 the units v_u was taken as 0.15 and that of the mortar v_m 0.25 for simulating its significant lateral expansion 261 near compressive yielding. Similarly, the Poisson's ratio of ungrouted fill v_f was taken as equal to 0.10 due 262 to its often high porosity, which decreases lateral expansion under vertical compression, while grouted fill 263 was assigned a value of 0.20 for simulating the increase in cohesion after strengthening. The tensile 264 strength of all components was taken as equal to 10% their respective compressive strength. The 265 compressive fracture energy G_c is calculated for all components as [29]:

$$G_c = f_c d \tag{32}$$

were d = 1 mm and the tensile fracture energy G_t is calculated as [32]:

$$G_t = \frac{73f_c^{0.18}}{1000} \tag{33}$$

267 4.1 Vintzileou & Tassios (1995)

This experimental campaign includes the compressive testing of three-leaf stone masonry wallettes subjected to vertical compression before and after grouting [6]. The outer leaf is built in single-wythe running bond, with alternate courses having slightly different embedment in the inner leaf, creating small keyed collar joints. Therefore, two types of units are distinguished. The compressive strength of the inner leaf after grouting is not reported. Therefore, only the case before grouting was used in this investigation.

273 4.2 Binda et al (2006)

In this case study masonry wallettes constructed of stone units, a strong mortar and a relatively cohesive inner leaf were tested in compression [2]. The outer leaf is composed of alternating courses of full- and half-length units. Two series of tests were conducted, each with a different geometry characterised by the presence or absence of a collar joint between leaves. In this simulation only the case without collar joints is simulated. 279

4.3 Vintzileou & Miltiadou-Fezans (2008)

280 The case study involves the compressive testing of masonry walls before and after grouting [5]. The properties of the fill after grouting were not reported. For simulating the wall after grouting, the 281 282 compressive strength of the fill is assigned the target value reported by the authors despite this parameter 283 not having been determined directly. The two outer leaves, both in single wythe construction, feature 284 different geometric bond patterns. One leaf is composed of stone units in running bond, while the other 285 features alternating courses of header stones and half-length stones. Both leaves feature horizontally and 286 vertically arranged brick tiles, a feature typical of Byzantine architecture (cloisonné masonry) but lacking 287 extensive characterisation in the literature. All these types of units are included in the model. Further, the 288 stones feature different embedment lengths within the inner leaf. Nevertheless, the two outer leaves are 289 considered identical in the model after averaging their featured geometric properties.

290 4.4 Oliveira et al (2012)

This series of experiments deals with the testing in compression of stone masonry before and after strengthening using grouting and other mechanical reinforcement methods [4]. The authors reported the mechanical properties of the fill after grouting, allowing the simulation of the compressive testing both before and after intervention.

295 4.5 Meimaroglou & Mouzakis (2018)

The case study involves the compressive testing of short stone masonry wallettes made using clay mortar [3]. The outer leaves were constructed in a running bond pattern consisting of full-length and halflength unit groups. The inner leaf was constructed using alternate layers of stone fragments and uncompacted mortar but was not the subject of mechanical characterisation itself. As such, in a departure from the methodology used in the other case studies simulated this paper, the properties of the fill were determined by applying the homogenisation method described in this paper.

302 **4.6 Summary of case studies and numerical analysis results**

All experimental data used for numerical analysis of the case studies are presented in Table 1, which
 includes all numerical parameters required for analysis, apart from the fracture energies which are

- 305 calculated according to Eq. (32) and Eq. (33). The properties of the fill presented for the Meimaroglou &
- 306 Mouzakis case were calculated numerically using the proposed model. These data are accompanied by the
- 307 numerical analysis results using the proposed model in terms of vertical compressive strength, Young's
- 308 modulus and in-plane Poisson's ratio.

Table 1 Experimental case study and numerical analysis results. Assumed values marked with an asterisk. Percentile difference between

310 numerical and experimentally derived values in parentheses.

Component	Parameter	Symbol	[([6]		[2]		[5]		5]	[4]	[4]	[3]		Units
Unit	Length	l_u	293	293	310	150	300	180	300	180	172	172	320	160	mm
	Height	h_u	137	137	150	150	115	30	115	30	157	157	105	105	mm
	Width	w _u	130	140	170	170	155	140	155	140	99	99	165	165	mm
	Compressive strength	$f_{c,u}$	100	100	17.3	17.3	25	17	25	17	52.5	52.5	107.5	107.5	N/mm ²
	Tensile strength	$f_{t,u}$	10^{*}	10^{*}	1.8	1.8	2.5^{*}	1.7^{*}	2.5^{*}	1.7^{*}	5.25*	5.25*	3.1	3.1	N/mm ²
	Young's modulus	E_u	30000*	30000*	8525	8525	7500*	5100*	7500*	5100*	20600	20600	32250*	32250*	N/mm ²
	Poisson's ratio	v_u	0.15*	0.15^{*}	0.15*	0.15^{*}	0.15*	0.15^{*}	0.15*	0.15^{*}	0.15*	0.15*	0.15*	0.15^{*}	_
	Volume ratio	ω_u	0.425	0.425	0.380	0.551	0.667	0.133	0.667	0.133	0.850	0.850	0.652	0.185	—
Mortar	Joint thickness	t_m	10		10		20		20		20	20	2	0	mm
	Compressive strength	$f_{c,m}$	1.7		9.2		4.35		4.35		3.9	3.9	3	.9	N/mm ²
	Tensile strength	$f_{t,m}$	0.17^{*}		0.920*		0.435*		0.435^{*}		0.39*	0.39*	0.39*		N/mm ²
	Young's modulus	E_m	1190*		6440*		3045*		3045*		410	410	2730*		N/mm ²
	Poisson's ratio	ν_m	0.25*		0.25*		0.25*		0.25*		0.25*	0.25*	0.2	25*	_
	Volume ratio	ω_m	0.150		0.069		0.200		0.200		0.150	0.150	0.1	85	—
Fill	Compressive strength	$f_{c,f}$	0.15		4.0		0.15		3.00		0.29	4.1	3.	58	N/mm ²
	Tensile strength	$f_{t,f}$	0.015*		0.300*		0.015*		0.300*		0.029*	0.410*	0.4	87	N/mm ²
	Young's modulus	E_{f}	105*		1616		105*		900*		41	2870*	71	34	N/mm ²
	Poisson's ratio	v_f	0.10^{*}		0.10*		0.10*		0.20*		0.10^{*}	0.20*	0.	13	-
Masonry	Length	l	l 600		310		1040		1040		600	600	70	00	mm
	Height	h	1200		790		1200		1200		1100	1100	55	50	mm
	Width	w	400		510		450		450		300	300	50	00	mm
	Inner leaf volume ratio	ω_I	0.325		0.333		0.275		0.275		0.333	0.333	0.3	33	-
	Outer leaf volume ratio	ω_{o}	0.6	575	0.667		0.725		0.725		0.667	0.667	0.6	67	-
	Compressive strength	f_c	1.	49	5.	81	1.	94	3.	49	2.00	3.60	4.	00	N/mm ²
	Young's modulus	Ε	46	11	17	70	13	13	13	13	2122	2008	69	92	N/mm ²
Numerical results	Compressive strength	f_c	· · · · ·	-6.5%)	6.70 (1	15.3%)	3.43 (+	76.9%)	3.62 (-	+3.6%)	2.84 (+42.2%)	3.44 (-4.3%)	4.26 (6.4%)	N/mm ²
	Young's modulus	Ε	7604 (+	,	6128 (+	+246%)		-224%)	4474 (-	+241%)	3982 (+87.6%)	4929 (+145%)	6) 12203 (+16639		N/mm ²
	Poisson's ratio	v_{xy}	0.2	257	0.1	.46	0.1	75	0.1	68	0.263	0.235	0.2	12	-

312 Overall, the model, coupled with the assumptions presented in the description of the calculation 313 method, predicts the compressive strength of three-leaf masonry with good accuracy, despite some salient 314 characteristics of three-leaf masonry having been omitted, primarily the out-of-plane effects caused by the 315 boundary conditions. The model tends to overestimate the compressive strength, possibly due to the 316 omission of out-of-plane effects. It is not currently clear whether the empirical assumptions regarding 317 material parameters or whether elements of the modelling approach introduce a systematic bias on the 318 model. The most notable lack of accuracy is that in the Vintzileou & Miltiadou-Fezans case before grouting 319 [5], where the predicted compressive strength is 76.9% higher than the mean experimental value and 51% 320 higher than the maximum experimental value. It is notable, however, that in the grouted case in the same 321 experimental series, as in the cases investigated by Oliveira et al [4], the compressive strength is predicted 322 with greater accuracy, indicating a mitigation of out-of-plane effects by the intervention. Since it is not clear 323 which parameter has the strongest influence on the results, and since several material parameters were 324 not directly characterised in the experimental campaign, this case will form the basis of a sensitivity study.

325 The proposed model and assumptions are less successful in predicting the Young's modulus of the 326 three-leaf masonry case studies examined here even when the Young's moduli of the components were 327 experimentally determined. The predicted Young's modulus is systematically higher than the 328 experimentally derived value, especially in the Meimaroglou & Mouzakis case [3], where the difference is 329 remarkable. It is noted for this case study that the value of the Young's modulus used for the units is very 330 plausible for limestone of this strength [33], although the particulars of the limestone used are not known 331 in detail. It is possible that imperfect compaction of the mortar in the joints and the presence of 332 imperfections or voids in the inner leaf result in a reduction of the Young's modulus of masonry. Further, 333 large differences between the empirically-derived and actual values of the Young's moduli of the 334 components are a possible cause of this discrepancy. Consequently, the large differences between the 335 computed and experimentally measured values highlight the need for comprehensive characterisation of 336 all materials before application of the proposed model. Finally, it is currently unclear whether full 337 simulation of the out-of-plane interaction of the leaves could reduce the calculated Young's modulus of 338 masonry through the induction of bending on the outer leaf.

339 **5** Sensitivity study

340 The object of the study is the sensitivity of compressive strength f_c , Young's modulus E and in-plane Poisson's ratio v_{xy} of masonry to a set of material and geometric parameters. It is performed by variation 341 of a) the Young's modulus and the Poisson's ratio of the stone and brick units (E_u , v_u) and the mortar (E_m , 342 343 v_m), namely elastic material properties that are often not characterised in experimental campaigns due to 344 practical difficulties in execution, b) the tensile f_t and compressive f_c strength of the fill, mortar and the 345 stone and brick units (the tensile strength in often only indirectly characterized through flexural or splitting 346 tests while the compressive strength of the fill is difficult to determine in existing structures), and c) the 347 volume ratio ω_m of the mortar in the outer leaf and the length l_{u1} and height h_{u1} of the stone units, as these 348 geometric parameters may vary substantially in different locations of irregular stone masonries or cannot 349 be easily determined. Each parameter is varied without changing any of the others apart from the 350 dimensions of the stone units, which are studied jointly. The Vintzileou & Miltiadou-Fezans [5] case is used 351 for investigating elastic and geometric parameters and the Binda et al [2] case is used for investigating 352 strength parameters, since the proposed model and assumptions were less successful in accurately 353 predicting the compressive strength of the masonry in these two cases compared to the others. Additionally, the former case presents the opportunity of studying the influence of the brick tiling elements 354 on the mechanical properties of cloisonné masonry. All material and geometric parameters in this section 355 356 that have been normalized through division with the reference values found in Table 1 are represented using a hat operator, i.e. \hat{f}_c is equal to the compressive strength of masonry calculated after variation of a 357 358 parameter divided by the reference value of f_c . The parameters modified in the sensitivity study and the 359 range of variation are presented in Table 2.

Component	Parameter	Symbol	Minimum	Maximum
Mortar	Young's modulus	\widehat{E}_m	0.33	3.00
	Poisson's ratio	$\hat{\nu}_m$	0.60	1.20
	Compressive strength	$\hat{f}_{c,m}$	0.25	1.50
	Tensile strength	$\hat{f}_{t,m}$	0.25	1.50
	Content ratio	$\widehat{\omega}_m$	0.25	1.75
Stone units	Young's modulus	\hat{E}_{u1}	0.33	3.00
	Poisson's ratio	\hat{v}_{u1}	0.33	1.33
	Compressive strength	$\hat{f}_{c,u1}$	0.25	1.50
	Tensile strength	$\hat{f}_{t,u1}$	0.25	1.50
	Length	\hat{l}_{u1}	0.25	2.00
	Height	\hat{h}_{u1}	0.25	2.00
Brick units	Young's modulus	\hat{E}_{u2}	0.33	3.00
	Poisson's ratio	\hat{v}_{u2}	0.33	1.33
Fill	Compressive strength	Î _{c f}	0.25	1.50

361 The sensitivity of the calculated properties of masonry to elastic parameters of the components is 362 illustrated in Figure 4. The influence of the Young's modulus E_m of mortar on the compressive strength f_c 363 of masonry is notable. An decrease of E_m can result in an increase in f_c of up to nearly 20% due to higher 364 confinement of the mortar. Additionally, lowering E_m increases the Poisson's ratio v_{xy} of masonry, making 365 the composite material more prone to lateral expansion under vertical compression. Lowering the 366 Poisson's ratio v_m of the mortar results in an increase in the predicted strength of masonry due to the 367 development of lower lateral tensile stresses in the units. However, excessive reduction results in a 368 decrease in the compressive strength. An increase in this parameter has the opposite effect on the units 369 and leads to their tensile failure. It is noted that while v_m can initially be very low due to porosity, it can 370 increase rapidly near compressive failure of the mortar [34], which can in turn lead to premature failure of 371 the masonry due to high tensile stresses in the units. Similarly, a higher Young's modulus E_{u1} of the stone 372 units leads to more confinement of the mortar and an increase in the compressive strength of masonry, the 373 overall effect being more pronounced compared to the variation of E_m . Increasing the Poisson's ratio v_{u1} 374 of the units makes their lateral deformation more compatible with the lateral expansion of the mortar, 375 potentially decreasing lateral tensile stresses and reducing tensile damage. However, the effect is not 376 particularly pronounced in this case study. Despite the low volume ratio of brick units, lowering the Young's 377 modulus E_{u2} of brick can lead to a lower f_c due to increase in the stress concentration in the mortar. Finally, 378 variation of the Poisson's ratio v_{u2} of the brick does not strongly influence the calculated properties of the 379 masonry.

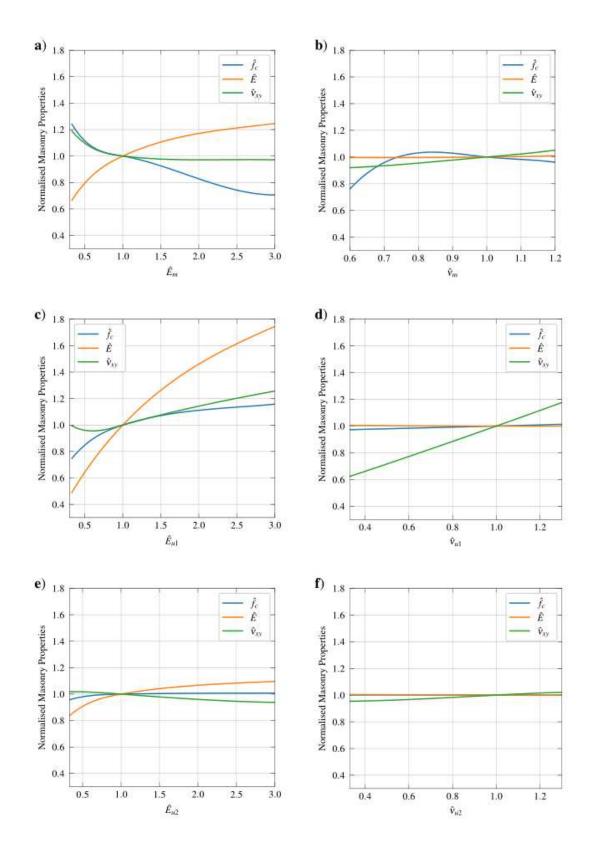
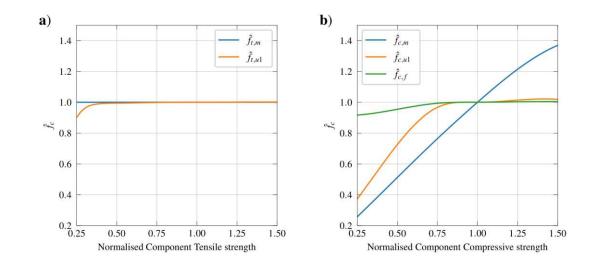


Figure 4 Results of sensitivity study on elastic parameters: a) Young's modulus of mortar, b)
Poisson's ratio of mortar, c) Young's modulus of stone units, d) Poisson's ratio of stone units, e)
Young's modulus of brick units and f) Poisson's ratio of brick units.

384 The sensitivity of the calculated properties of masonry to the tensile and compressive strength of the 385 components is illustrated in Figure 5. For the investigated case, the calculated compressive strength f_c of 386 masonry is insensitive to the tensile strength $f_{t,m}$ of the mortar due to the latter component being under 387 confinement by the units. The compressive strength of masonry is not particularly sensitive to the tensile strength $f_{t,u1}$ of the stone units due to the low ratio of tensile over compressive stress developed in this 388 389 component in this particular case. Different combinations of elastic properties for the mortar and units can 390 lead to an increase in this ratio and result in sensitivity of the compressive strength of masonry to the 391 tensile strength of the units. Further, existing local damage to the stone units, which would induce an 392 apparent decrease in their tensile strength, could lead to a moderate reduction of the compressive strength 393 of masonry. The compressive strength f_c of the masonry is, as expected, not proportional to the compressive strength of the mortar and stone units. The compressive strength $f_{c,f}$ of the fill does not 394 395 strongly affect the compressive strength of the masonry due to its very low stiffness, leading to the 396 development of low compressive stresses in the fill.

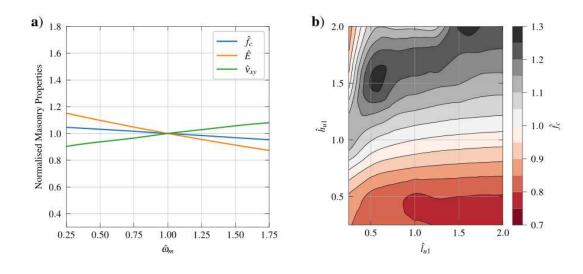


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Figure 5 Results of sensitivity study on inelastic parameters: a) tensile strength and b)
 compressive strength of components.

400 The sensitivity of the calculated properties of masonry to geometric parameters is shown in Figure 6. 401 While the compressive strength f_c of masonry is not particularly sensitive to the volume ratio ω_m of mortar, 402 the Young's modulus *E* and Poisson's ratio v_{xy} of masonry are moderately influenced by ω_m . A higher

403 mortar content increases the Poisson's ratio and decreases the Young's modulus of the masonry, resulting 404 in a more deformable composite material. Higher deformability in sections of masonry with thicker mortar 405 joints compared to adjacent sections can lead to deformation incompatibility, instability and loss of 406 strength. Finally, the compressive strength of masonry shows notable sensitivity to the length l_{u1} and 407 height h_{u1} of the stone units. The interaction of the parameters presents a complex profile. Overall, f_c is 408 more sensitive to changes in height for a given length for a large proportion of the variation range studied, 409 with shorter units typically yielding higher values of f_c . Units roughly 225 mm in length and 200 mm in 410 height, a near-square shape which is encountered in one of the external leaves of the wall, result in 411 substantially elevated values of f_c .



412

Figure 6 Results of sensitivity study on geometric parameters: a) volume ratio of mortar and
b) length and height of units.

415 6 Conclusions

A method for the homogenisation of composite materials has been combined with a method-of-cells approach for the two-scale analysis of three-leaf masonry structures. It provides good accuracy in the prediction of the compressive strength of three-leaf masonry and highlights crucial characteristics of these structures with very low computational cost. The method requires a very dedicated approach in the mechanical characterisation of the unit, mortar and fill properties, but compensates for this requirement by providing an attractive alternative to finite element analysis for the determination of the mechanical properties of these structures. Finite element analysis necessarily suffers from the same requirement of rigorous material characterisation while inducing the additional burden of potentially very high computational cost. Further, the proposed method moves beyond currently available empirical models for three-leaf masonry under compression by proposing a degree of quantification of the problem typically not available in empirical models. Under this light, the advantages of micro-mechanical approaches of threeleaf masonry structures become apparent.

428 Following a sensitivity study using the proposed model, the compressive strength of masonry is found 429 to be sensitive to properties such as the Poisson's ratio of the mortar, a parameter that is difficult to 430 measure and presents significant nonlinearity. Additionally, the properties of secondary brick unit 431 elements found in cloisonné masonry can moderately affect the calculated properties of masonry, making 432 the characterisation of these elements a relevant task in the structural assessment of existing masonry 433 structures. Therefore, the need for rigorous characterisation of the mechanical properties of all 434 components becomes a pressing issue for the acquisition of accurate analysis results. Finally, variation in 435 the dimensions of the units, which is common in stone masonry, strongly affects the calculated compressive 436 strength. Therefore, geometric survey of the masonry texture, including the dimensions of the units, is 437 shown to be an important aspect of structural assessment of masonry buildings.

438 The present work opens several potential avenues for future work. The interface between units and 439 mortar can be included in an updated homogenisation process, which, coupled with failure models for 440 interface tension and shear, can expand the modelling strategy here presented for the analysis of walls 441 under in-plane shear. It is envisaged to complement this method with out-of-plane leaf interaction at the 442 structural element scale for the analysis of complete masonry members through implementation of the 443 updated micro-mechanics model in a finite element context. Evaluation of the results of an updated model 444 can guide the adjustment of the modelling approach and the empirical assumptions accompanying it. Additionally, common mechanical strengthening measures, such as longitudinal and transversal ties can be 445 446 modelled. Finally, the analysis method can be coupled with photogrammetry methods for the automatic 447 acquisition of the geometry and calculation of the volume ratios and average dimensions of all components 448 in the external leaves.

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