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1 **Utility of whole genome sequencing in assessing and enhancing partner notification of**
2 ***Neisseria gonorrhoeae* infection**

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46 **Short Summary**

47 In a sexual health clinical setting, we demonstrate the feasibility and utility of whole genome
48 sequencing as a tool to measure the performance of and to improve partner notification.

49 **Abstract**

50

51 **Background**

52 Gonorrhoea is a sexually transmitted infection of global concern. We investigated whole genome
53 sequencing (WGS) as a tool to measure and enhance partner notification (PN) in gonorrhoea
54 management.

55

56 **Methods**

57 Between May-November 2018, all *N. gonorrhoeae* isolated from patients attending Leeds Sexual
58 Health, UK, underwent WGS. Reports listing sequences within 20 single nucleotide
59 polymorphisms (SNPs) of study isolates within a database containing select isolates from April 1
60 2016 to November 15 2018 were issued to clinicians. The proportion of cases with a potential
61 transmission partner identified by PN was determined from patient and PN data. WGS reports
62 were reviewed to identify additional cases within ≤ 6 SNPs and verified for PN concordance.

63

64 **Results**

65 380 isolates from 377 cases were successfully sequenced; 292 had traceable/contactable partners
66 and 69 (18%) had a potential transmission partner identified by PN. Concordant PN and WGS
67 links were identified in 47 partner pairs. Of 308 cases with no transmission partner by PN, 185
68 (60%) had a case within ≤ 6 SNPs; examination of these cases' PN data identified seven partner
69 pairs with previously unrecognized PN link, giving a total of 54 pairs; all had ≤ 4 SNP
70 differences. WGS clusters confirmed gaps in partner finding, at individual and group levels.

71 Despite the clinic providing sexual health services to the whole city, 35 cases with multiple
72 partners had no genetically related case, suggesting multiple undiagnosed infections.

73

74 **Conclusions**

75 WGS could improve gonorrhoea PN and control by identifying new links and clusters with
76 significant gaps in partner finding.

77

78 **Key Words:**

79 Gonorrhoea, partner notification, whole genome sequencing

80 **Introduction**

81 Gonorrhoea, a sexually transmitted infection (STI) caused by *Neisseria gonorrhoeae*, has emerged
82 as a global public health concern due to increasing incidence and antimicrobial resistance(1). The
83 worldwide appearance of isolates resistant to ceftriaxone and/or azithromycin highlights the
84 urgent need for effective control measures(2-4). In the United Kingdom, traditional control
85 methods such as partner notification (PN), screening and treatment of asymptomatic persons, and
86 promotion of condom use are standard practice with nationally established guidelines(5, 6); yet
87 gonorrhoea rates are increasing(7). Whole genome sequencing (WGS) has been used to
88 characterize *N. gonorrhoeae* lineages, including those with antimicrobial resistance(8),
89 investigate outbreaks(9, 10), predict antimicrobial susceptibility patterns(11), and provide insight
90 into transmission networks(12, 13). Here, we investigate the use of WGS as a tool to measure the
91 performance of PN and to enhance current control of gonorrhoea infections in a clinical setting.

92

93 **Materials and Methods**

94 Clinical setting

95 This study was conducted between May 1 and November 15 2018 at Leeds Sexual Health (LSH),
96 a clinic with a catchment area of one million people and over 70,000 students(14). Screening,
97 diagnosis and management of gonorrhoea and PN for confirmed cases followed national
98 guidelines(5, 6, 15). Anatomical sites were sampled according to sexual history and symptoms.
99 For asymptomatic men who have sex with men (MSM), samples were taken from the urethra,
100 rectum, and pharynx. Samples were taken for culture when patients presented with gonorrhoea
101 symptoms, or when asymptomatic cases had positive nucleic acid amplification tests (NAATs),
102 before treatment whenever possible. PN information on sexual contacts within the previous three

103 months for each case was obtained, including name, gender, type and date of last sex, and partner
104 contact information if available. Patients diagnosed with gonorrhoea were asked to return for test
105 of cure 14 days after treatment. Information on whether reported partners attended a sexual
106 health service was documented at this visit. Those diagnosed with gonorrhoea \geq four weeks from
107 initial diagnosis, with distinct dates of symptoms if applicable, were considered as two infection
108 episodes.

109

110 Isolation of *N. gonorrhoeae*

111 Samples were cultured and tested for antimicrobial susceptibility at Leeds Teaching Hospitals
112 NHS Trust microbiology laboratory as per local protocols (Supplementary Appendix).

113

114 Whole genome sequencing and data reporting

115 Isolates from every culture-positive case during the study period underwent WGS at University
116 of Leeds, plus 335 historical isolates from Leeds collected during 2016-2017 (July – September
117 annually) as part of the Public Health England Gonococcal resistance to antimicrobials
118 surveillance programme. In patients with more than one positive sample from different sites,
119 typically only the first accessioned was submitted for sequencing. Details on DNA extraction,
120 sequencing, and bioinformatics are provided in the Supplementary Appendix. WGS data were
121 used to generate one report per study isolate, containing the isolate's sample identifier,
122 sequencing quality parameters, and sample identifiers for all sequences within 20 SNPs to date
123 (Supplementary Figure 1). Reports were issued to LSH in weekly batches, with a target
124 turnaround of 14 days from sample collection, determined to be a clinically reasonable
125 timeframe since patients diagnosed with gonorrhoea would return 14 days after for follow-up and

126 test of cure. Data from reports were examined weekly at LSH and formally analyzed at the end
127 of the study period (Supplementary Appendix and Supplementary Figure 2).

128

129 PN analysis

130 Clinical data was collected on all cases associated with WGS reports, including demographics,
131 details of infection, and PN data (Supplementary Appendix). To assess PN effectiveness, we
132 analysed partners reported by each case. Reported partners were first classified as traceable (if
133 index cases stated they were able to contact them or if enough information was given to enable a
134 provider referral) or untraceable. Among traceable partners, attendance was classified as verified
135 (if they attended LSH or had a clinician-verified attendance elsewhere), unverified (if index case
136 reported partner attendance which could not be confirmed), or no known attendance.

137

138 We calculated the nationally established auditable outcome measure for gonorrhoea PN in the
139 United Kingdom, defined as the number of all contacts of the index case who attended a service
140 within four weeks of the first PN discussion, targeting 0.4 contacts per index case in large
141 conurbations or 0.6 contacts elsewhere(5). Additionally, we determined the proportion of cases
142 with a potential transmission partner identified by PN, i.e. those with a partner with a
143 culture/NAAT confirmed diagnosis of gonorrhoea. For couples reporting each other, only one
144 case was counted as having an identified transmission partner, as one partner had to have
145 acquired the infection from a third person.

146

147 WGS analysis

148 We examined WGS data for PN-linked cases to confirm concordance. Genetic distances between
149 isolates from known partners with a culture positive diagnosis were recorded to estimate the
150 genetic distance expected following presumed direct transmission. Combining our findings and
151 the observed number of SNPs between couples with epidemiologically confirmed direct contact
152 from previous studies(12) we classify potential direct transmissions as pairs within ≤ 6 SNPs. For
153 other cases linked by WGS reports (i.e. within 20 SNPs), but not PN, to determine if
154 transmission was plausible, either directly or indirectly through a third party, we applied a
155 published nomogram for *N. gonorrhoeae*(12). The nomogram categorizes any given pair of
156 isolates as “transmission supported” or “transmission not supported” based on the time and
157 number of SNPs between them. Thus, all pairs from WGS reports were classified as: not linked
158 by nomogram, linked by nomogram but not by PN, and linked by both nomogram and PN.
159 Among pairs linked by nomogram but not by PN, we examined PN data in more detail to search
160 for any unidentified potential direct transmission events. We further examined WGS links
161 between isolates from the same patient when they had more than one infection episode.

162

163 Ethics

164 As no patient-identifiable data was used outside the usual clinical team and sequencing
165 performed on routinely cultured samples, this study was conducted as an NHS service evaluation
166 of WGS as an alternative to previously used typing methods (e.g. NG-MAST), and was therefore
167 exempt from requiring ethical approval using the Health Research Authority guidance tool.

168

169 **Results**

170 During the study period, 474 cases of gonorrhoea were diagnosed; cultures were performed on
171 455. The 385 positive isolates were submitted for WGS, five were excluded (one not *N.*
172 *gonorrhoeae*, 4 contaminated culture plates); thus, 380 isolates were successfully sequenced and
173 WGS reports generated (sample list in Supplementary Table 2). These originated from 362
174 patients (median age 23 years) with 377 infection episodes (15 patients had two infection
175 episodes). Cases and diagnosed partners are included in these numbers. Although most patients
176 had only one isolate submitted, one patient had three identical isolates sequenced from different
177 anatomical sites from the same infection episode, and another had two identical isolates from
178 different sites from the same infection episode; one sequence per infection episode was retained
179 for analysis. Another two patients had two isolates submitted from different sites on the same
180 day; these revealed genetically unrelated isolates (4699 and 3919 SNPs different), and were
181 counted as distinct infection episodes. Thus, 377 sequences were analyzed. There were 118 cis-
182 females, one trans-woman, and 243 cis-males. Among females, the majority (116/118, 98%)
183 were female heterosexuals; two were women who have sex with men and women (WSMW).
184 Among males, nearly half (119/243, 49%) were MSM, 22 (9%) were men who have sex with
185 men and women (MSMW), and 102 (42%) were male heterosexuals. One patient was a
186 transgender woman who has sex with men. Amongst males, infections were primarily urethral
187 (168/254, 66%), followed by rectal (62/254, 24%) and pharyngeal (24/254, 9%). Amongst
188 females, most were urogenital infections (114/122, 93%). All isolates were susceptible to
189 ceftriaxone; 14 were resistant, and 29 had intermediate susceptibility, to azithromycin. Overall,
190 319 (84%) isolates were successfully sequenced within 14 days, and 246(65%) had WGS reports
191 sent to LSH within 14 days of sample reception. Reasons for delayed WGS results included
192 numbers of samples exceeding weekly capacity (12-16 isolates), isolates missing the scheduled

193 batch due to impurity (requiring sub-culture), delays associated with WGS report generation
194 (software problems, manual interventions), and sub-optimal sequence data. Turnaround times
195 from sample collection to time points in the sequencing and reporting process are presented in
196 Supplementary Table 1.

197

198 Partner notification

199 From 377 episodes 1395 partners were reported, median two per case. Eighty-five cases had only
200 untraceable partners; 292(77%) cases reported at least one traceable partner, providing a total of
201 434 traceable partners (Figure 1).

202

203 Considering performance against national audit standards, 125 partners had verified attendance
204 in Leeds or elsewhere within four weeks of PN discussion (9% of total reported partners),
205 representing 0.33 contacts per index case (national target 0.40). Including 44 partners with
206 unverified attendance, there were 0.44 contacts per index case. By study end, 11 more partners
207 had verified attendance for 0.48 contacts per index case.

208

209 Eighty-five cases had culture-positive verified partners diagnosed at LSH, 12 had verified
210 NAAT-positive but culture-negative partners, and four had verified partners testing positive at
211 another sexual health clinic. Among the 85 cases with culture-positive partners, there were 32
212 mutually reporting couples for which only one partner could be counted; thus, in total, only 69
213 $(85+12+4-32)$ (18%) of the 377 infection episodes had a potential transmission partner identified
214 by PN, with 308 cases with no identifiable transmission partner.

215

216 WGS results for PN-linked cases

217 In examining WGS data for PN-linked cases, we considered the proportion of partner WGS data
218 that was available at the test-of-cure visit for each index case. Of 130 partners with verified
219 attendance in Leeds, 85 were culture-positive and had isolates submitted for sequencing. As over
220 half of reported partners (78/130, 60%) attended before or on the same day as the index case
221 (Table 1) and 53/78(68%) were culture-positive, 45/53(85%) of partner isolates could be linked
222 to their index cases by WGS reports (i.e. within 20 SNPs) at 14 days. The remaining eight
223 partners with isolates cultured before their index cases included two diagnosed before the study
224 and therefore not sequenced, one with a contaminated culture, one with an unrelated isolate
225 (4429 SNPs different), and four with a delay in sequencing. The four partner isolates that were
226 delayed in sequencing were linked to their index cases at a later time when both sequences were
227 available.

228

229 A further 52 partners attended after their index cases; 32 were culture-positive, and 30(94%)
230 were linked by WGS reports (within 20 SNPs). The two non-linked partners were diagnosed
231 after the study end so not captured in the database. Thus, of 85 partners testing culture-positive in
232 Leeds, 79(93%) could be linked by WGS to the cases who reported them. These 79 partners
233 linked to their index cases by both WGS and PN, comprised 64 individuals from 32 mutually
234 reporting couples, and 15 from couples where only one partner reported the other. Among these
235 47 (32 + 15) couples with known sexual contact and presumed direct transmission and available
236 sequence data, all pairs of isolates were between 0-4 SNPs (Figure 3).

237

238 WGS findings across the whole study

239 From the 377 cases analyzed, 266 had linked isolates that were within the 99% prediction
240 interval supporting transmission using a previously published nomogram, and 237 cases had
241 links to isolates within 6 SNPs (Figure 2). Examining the 308 cases with no transmission partner
242 found by PN, 211(69%) had ≥ 1 plausible direct or indirect transmission partner within the
243 nomogram thresholds and 185(60%) ≥ 1 plausible direct or indirect transmission partner within
244 ≤ 6 SNPs. Thus, the majority of cases did not have a transmission partner identified by PN but
245 did have a genetically plausible direct or indirect transmission partner within the *N. gonorrhoeae*
246 infections diagnosed in Leeds.

247 Clinic health advisors were able to use WGS reports to identify seven additional couples
248 with suspected direct transmission, not identified by PN. For example, several cases reported
249 partners without verifiable information (e.g. first name only) for whom confirmation of partner
250 attendance was impossible with available information, but facilitated by WGS. Together with the
251 47 couples linked through PN, a total of 54 couples with presumed direct transmission were
252 identified. All pairs were within 4 SNPs (Figure 3).

253 Fifteen patients had two infection episodes during the study. Three had the same isolate
254 twice with the same reported partners. Five patients reported at least one partner that was the
255 same across episodes, but had genetically unrelated isolates between episodes; this includes one
256 patient who had two genetically unrelated isolates from different anatomical sites on the same
257 day. He reported only one partner. The remaining were all MSM, had different isolates, and did
258 not report the same partners across episodes.

259

260 Sequencing-based clusters

261 Cases related to ≥ 1 other case(s) within 20 SNPs were clustered into groups to describe the
262 different lineages circulating in Leeds (Supplementary Figure 3). Each cluster contained only
263 genomes with the same multi-locus sequence type (MLST, provided in Supplementary Table 2).
264 322 cases fell into 62 clusters of ≥ 2 cases, plus 55 singletons. Most clusters (54/62, 87%) had
265 < 10 cases, with 34 containing 2-3 cases, and only two containing > 20 cases (21 and 31 cases).
266 The eight clusters with > 10 cases were mixed in terms of several characteristics (Figure 4). For
267 example, although two major clusters contained primarily MSM, these were mixed with MSMW
268 and heterosexuals. Three clusters included HIV seropositive and seronegative cases. Although no
269 isolates had azithromycin resistance in the two largest clusters, a cluster of 17 cases contained
270 seven cases with azithromycin intermediate resistance. All clusters contained asymptomatic
271 cases, including three with more than half who were asymptomatic.

272 We next combined PN networks with cases linked within ≤ 6 SNPs to allow us to
273 visualize potential direct transmission events. The vast majority of PN reported partners were
274 not verified, whilst diagnosed cases could be organized into genetically related transmission
275 chains (Figure 5). Tracking the growth of clusters over time permitted us to make observations
276 both at an individual patient level and at a group level. At an individual level, linking PN data
277 and WGS clusters allowed us to identify undiagnosed individuals reported by several index
278 cases: for example, two heterosexual females diagnosed with three infections over four months
279 reported the same male who could not be located within the database.

280 At a group level, emerging epidemiological trends could be identified. For example, one
281 cluster consisted of two heterosexual males with an identical isolate, both of whom reported
282 contact with female sex workers; another contained three heterosexual males with an identical
283 isolate, with one reporting sex worker contact. Another contained a female (sex worker) who

284 reported multiple male partners, but the only other case in the cluster was a heterosexual male
285 who reported two female partners who were not sex workers. Yet another contained eight MSM
286 reporting recent sauna use, including two naming the same sauna. Finally, we noted that of the
287 55 genetic singletons, 35 reported multiple sexual partners. As might be expected, many of the
288 total 189 partners reported by the singletons were untraceable (110, 58%), and were from outside
289 the local area (other countries [68, 36%]), or elsewhere in the UK [21, 11%]).

290

291 **Discussion**

292 Although WGS has been useful to inform public health measures surrounding *N. gonorrhoeae*
293 outbreaks, ours is the first study to evaluate its usefulness in a clinical setting. It is also the first
294 exploration of the clinical utility of WGS for PN as part of routine STI control, where we
295 demonstrate the feasibility of sequencing and reporting to a sexual health clinic. Although WGS
296 confirmed nearly all known links from PN with a sequenced isolate, PN identified potential
297 transmission partners for only a minority (18%) of cases, despite considerable investment in
298 skilled PN services. This was frequently due to the index cases' lack of knowledge of their
299 partners' identities or reluctance to disclose information. WGS also enabled identification of
300 cases of confirmed attendance that could not be verified through PN, therefore enhancing the
301 reported performance of PN. Although the number of verified contacts per index case, 0.33, fell
302 below the national audit standard of 0.4, even had this been met, a majority of index cases would
303 still have undiagnosed partners.

304 WGS offers a potential assay of PN performance and the effectiveness of the clinic in
305 terms of the proportion of all cases diagnosed. For example, 60% of cases with no transmission
306 partner by PN had a closely genetically related case within 6 SNPs. WGS also offers a potential

307 mechanism for directing interventions to key gaps in partner finding. We have shown examples
308 where individual-level focus could be achieved: for the undiagnosed partner reported by several
309 index cases, it would be reasonable to intensify health advisor efforts, and further information
310 gathering, surrounding a potential untreated person. More frequently, groups could be identified:
311 the recognition of an emerging transmission chain involving multiple sauna-attending MSM
312 might prompt intensified screening in addition to the usual outreach services. The example of the
313 female sex worker with multiple related cases could prompt liaison with sex worker outreach
314 projects to sensitively increase efforts to locate her and her partners. A similar approach could be
315 adopted for partners of cases representing genetic singletons, especially when clinical history is
316 consistent with local acquisition. As the clinic serves the whole local population, it appears likely
317 that a large proportion of such cases' partners are undiagnosed. Finally, examination of patients
318 with repeat infections can reaffirm the direction of intervention needed: re-infection from the
319 same partners vs. acquisition from new sources. We did not systematically sequence isolates
320 from each positive anatomical site, assuming most such cases would yield identical isolates.
321 However, out of the four cases with ≥ 1 isolate submitted from different sites on the same day,
322 two revealed genetically unrelated isolates, raising the possibility of more than one transmission
323 partner (one of these cases reported only one partner). This represented an unexpected finding
324 that could have implications for further questioning of the patients.

325 To summarize, periodic review of WGS clusters could inform PN efforts in two main
326 ways. First, one might search for any missed attendances in reported partners with incomplete
327 information. Second, areas requiring intervention can be identified through the examination of
328 clusters and genetic singletons. General epidemiological trends can be followed: we observed
329 evidence of bridging between sexual populations (e.g. MSM and heterosexuals), and mixing of

330 individuals with discrepant HIV sero-status within the same clusters, similarly to other
331 studies(13, 16). Granular trends within clusters, such as increasing rates of asymptomatic or
332 extra-genital infections and antibiotic resistance, can be identified and acted upon rapidly when
333 observed within WGS clusters, which provide evidence for sustained transmission, providing
334 focus and incentive for intervention.

335 Our study also provides further data for improved clinical use of genomic tools such as
336 the nomogram, which provides compatibility with direct or indirect transmission. In our cohort,
337 couples with presumed direct transmission were often within 0-1 SNPs, and all were within 4
338 SNPs of one another. This reflects the fact that most pairs related by recent transmission are
339 more likely to have lower SNP values (Figure 6).

340 WGS implementation in a sexual health setting raises ethical concerns. It is important to
341 recognize that the PN process involves the seeking and use of sensitive information, to which a
342 reported partner cannot provide consent a priori. In this context, WGS represents an adjunctive
343 tool to enhance surveillance and partner finding as used in outbreaks (9). Potentially important
344 issues are that neither partner has consented to links made by WGS, and WGS may also provide
345 indirect links between two individuals via one or more intermediate cases. This is an area that
346 merits formal ethical research and patient and public consultation.

347 Our study has certain limitations. As the first exercise and analysis of its kind, the
348 availability and utility of results within 14 days and SNP threshold used were exploratory. The
349 implementation of weekly analysis with the clinical team had challenges, such as the exact
350 actions that could be taken within ethical boundaries, when a gap in partner finding was
351 identified. However, our work provides a framework on which subsequent clinical
352 implementation efforts can be based, by demonstrating that a periodic examination of WGS

353 clusters and analysis could enhance PN. Cost-effectiveness analysis of implementing such a
354 pipeline should be considered. Finally, despite providing sexual health care to the entire city, our
355 study is a single-centre study that may not be representative of different settings.

356

357 **Conclusion**

358 Against a background of rising gonorrhoea infection rates, we emphasise that PN only enables the
359 sources of a minority of cases to be identified and treated. There is an urgent need for novel
360 control interventions. We have demonstrated the feasibility and utility of WGS to confirm PN
361 links, reveal new PN links, and to help clinicians focus in on undiagnosed cases for intervention.
362 With expanding databases and understanding of relationships between genomic and clinical data,
363 the implementation of WGS in sexual health will likely be beneficial to the control of STIs.

364

365 **Declarations**

366 DWE declares lecture fees from Gilead outside the submitted work. IBM has received funding to
367 attend conferences from Techlab, Inc. outside the submitted work. No other author has a conflict
368 of interest to declare.

369

370 **Contributors**

371 MHW, DWE, ASW, JDW, and LYK designed and coordinated the study. IBM and WF
372 performed WGS sequencing and reporting. LYK, LK, and JDW contributed to data collection
373 and management. LYK analyzed the data with support from DWE, JDW, ASW, and MHW.
374 LYK wrote the first draft of the paper and all authors read, commented on, and approved the
375 final manuscript.

377

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416

417 **Figure 1. Routine partner notification results**

418 Separately submitted

419 Legend: Flowchart of routine partner notification results

420 **Figure 2. Breakdown of all WGS links**
421 Separately submitted
422 Legend: Flowchart of WGS links analysis for study cases

423 **Figure 3. SNP distribution for pairs of isolates from couples with presumed direct**
424 **transmission**
425 Separately submitted

426 Table 1. Partners with verified attendance in Leeds and WGS report links to their index cases
 427

	Number of partners with verified attendance in Leeds (n)	Number who tested culture-positive (n)	Partner and index case linked by WGS reports (n, %)	WGS linkage at test of cure visit* (n, %)
Before or on same day as index case attendance	78	53	49 (93%)	45 (85%)
Within four weeks of index case attendance	42	25	23 (92%)	11 (44%)
Four weeks or more after index case attendance	10	7	7 (100%)	--
Total	130	85	79 (93%)	56 (65%)

428 *Test of cure visit usually occurred at 14 days from the index case's initial attendance

429 **Figure 4. Patient and infection characteristics of WGS clusters containing more than ten cases**

430 Separately submitted

431 Legend:

432 Eight clusters are represented with each horizontal bar representing a cluster

433 MSM: men who have sex with men only; MSMW: men who have sex with men and women;

434 M Hetero: male heterosexuals; WSMW: women who have sex with men and women;

435 F Hetero: female heterosexuals

436 **Figure 5. PN and WGS networks**

437 Separately submitted

438 Legend:

- 439
- | | |
|---------------|--------------------------------|
| ● Female | — WGS link only, within 6 SNPs |
| ● Male | — PN link only |
| ● Transgender | — Both WGS and PN link |
- 440
- 441
- 442

443 Large circles denote cases within study cohort; small circles denote historical cases; empty circles denote untraceable partners from PN. A deidentified text version of the network plotted is provided as a Supplementary File.

444 **Figure 6. Transmission nomogram with bands depicting varying confidence ranges for**
445 **recent transmission event**
446 Separately submitted